

Inference rules are the common language spoken by PL designers.

わたしは プログラミングげんごが だいすきだ
watashi wa puroguramingu gengo ga daisuki da



mechanical process,
just like applying inference rules

I programming languages love

🥳🎉 Congratulations, you've graduated from having to model everything with inference rules.

😎 From this point on, we'll only model interesting language features using inference rules.

🙌 Non-interesting computation becomes a side condition

$e \in \text{expr} ::=$	value	value
	$\text{expr} + \text{expr}$	addition
	$\text{expr} \times \text{expr}$	multiplication
$v \in \text{value} ::=$	0	zero
	$S(\text{value})$	successor

```
expr ::= nat
      | e1 + e2
      | e1 * e2
value ::= nat
```

This is + in math (adding two nats)

$$\frac{e_1 \Rightarrow n_1 \quad e_2 \Rightarrow n_2 \quad (n_1 + n_2 = n_3)}{e_1 + e_2 \Rightarrow n_3} \text{Add}$$

This is + in language syntax

$$\frac{}{v \Rightarrow v} \text{VAL} \quad \frac{e_1 \Rightarrow 0 \quad e_2 \Rightarrow v_2}{e_1 + e_2 \Rightarrow v_2} \text{ADD0} \quad \frac{e_1 \Rightarrow S(v_1) \quad v_1 + e_2 \Rightarrow v_2}{e_1 + e_2 \Rightarrow S(v_2)} \text{ADDS}$$

Name a concept that's common in all following expressions/programs

$$\int_0^{\pi} \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} dy dx$$

```
def ipsum(x):  
    def dolor(x):  
        return x+1  
    return x+2
```

$$f(x) = x^2 + 1$$

forall n, exists m, m > n + 1

```
template<typename T1, T2>  
T1 max(T1 x, T1 y)  
{ ... }
```

$$\sum_{i=0}^{\infty} \prod_{j=i}^{100} \sum_{i=j}^{100} (i+j)$$

VARIABLES

Activity: With your neighbor, come up with at least 4 categories.

Then for each row, sort each item into one of those categories.

1

```
def lorem(x):  
    return x + y
```

```
def ipsum(x):  
    def dolor(x):  
        return x+1  
    return x+2
```

```
def sit(x):  
    return 3
```

```
def amet(x):  
    return x  
print(amet(3))
```

2

```
template<class A> class LinkedList {  
    template<class A> class Node  
    { A value;  
      Node* next; }  
    Node<A>* head; }
```

```
template<typename T1, T2>  
T1 max(T1 x, T1 y)  
{ ... }
```

```
template<class Key>  
class HashMap {  
    void insert(Key, Val);  
    Val* find(Key);  
}
```

3

forall n, exists m, $m > n + 1$

exists u, $u \rightarrow (\text{forall } u, u \vee \neg u)$

forall x, exists y, $x \rightarrow \text{true}$

exists f, $f(x) > 0$

4

$$f(x) = \int_0^x \sin 2x \, dx$$

$$\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dy \, dx$$

$$\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dx \, dy$$

$$\sum_{i=0}^{100} \prod_{j=i}^{100} \sum_{i=j}^{100} (i+j)$$

Concepts we discovered:

Variables are used / unused

Variables are undefined

Variables are shadowed

Count the number of variables

There are two kinds of variables

```
def lorem( x ):
    return x
```

What is the difference between
x and x?

```
def lorem( x ):
    return 1
```

Is it okay to remove x?

Yes


```
def lorem( ):
    return x
```

Is it okay to remove x?

No

There are two kinds of variables

```
def lorem( x ):
    return x
```



We call

- x a variable declaration
- x a variable reference

We learned:


- Every variable reference must be declared first.
- It's ok to declare a variable without referencing it.

For every variable, identify it as a declaration or a reference.

-
- 1
- ```
def lorem(x):
 return x + y
```
- ```
def ipsum(x):  
    def dolor(x):  
        return x+1  
    return x+2
```
- ```
def sit(x):
 return 3
```
- ```
def amet(x):  
    return x  
    print(amet(3))
```
-
- 2
- ```
template<class A> class LinkedList {
 template<class A> class Node
 { A value;
 Node* next; }
 Node<A>* head; }
```
- ```
template<typename T1, T2>  
T1 max(T1 x, T1 y)  
{ ... }
```
- ```
template<class Key>
class HashMap {
 void insert(Key, Val);
 Val* find(Key);
}
```
- 
- 3
- ```
forall n, exists m, m > n + 1
```
- ```
forall x, exists y, x → true
```
- ```
exists u, u → (forall u, u ∨ -u)
```
- ```
exists f, f(x) > 0
```
- 
- 4
- $$f(x) = \int_0^x \sin 2y \, dy$$
- $$\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dy \, dx$$
- $$\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dx \, dy$$
- $$\sum_{i=0}^{100} \prod_{j=i}^{100} \sum_{i=j}^{100} (i+j)$$



```
def lorem(x):
 return x
```

An orange arrow points from the 'x' in 'return x' to the 'x' in 'def lorem(x)'. The 'x' in the function signature is highlighted with a yellow circle, and the 'x' in the return statement is highlighted with a teal circle.

- A reference points to declaration
- A declaration binds all references that point to it

If a reference:

- points to a declaration, we say it's bound
- doesn't point to a declaration, we say it's free (or unbound).

For each reference, determine whether it's bound or free.

- 1
- ```
def lorem(x):  
    return x + y
```
- ```
def ipsum(x):
 def dolor(x):
 return x+1
 return x+2
```
- ```
def sit(x):  
    return 3
```
- ```
def amet(x):
 return x
 print(amet(3))
```
- 2
- ```
template<class A> class LinkedList {  
    template<class A> class Node  
    { A value;  
      Node* next; }  
    Node<A>* head; }
```
- ```
template<typename T1, T2>
T1 max(T1 x, T1 y)
{ ... }
```
- ```
template<class Key>  
class HashMap {  
    void insert(Key, Val);  
    Val* find(Key);  
}
```
- 3
- forall n, exists m, $m > n + 1$
- forall x, exists y, $x \rightarrow \text{true}$
- exists u, $u \rightarrow (\text{forall } u, u \vee \neg u)$
- exists f, $f(x) > 0$
- 4
- $f(x) = \int_0^x \sin 2y \, dy$
- $\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dy \, dx$
- $\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dx \, dy$
- $\sum_{i=0}^{100} \prod_{j=i}^{100} \sum_{i=j}^{100} (i+j)$

How to know which declaration a reference points to?

"Binder"

Every declaration of x is associated with a scope:

- Scope = a subprogram or sub-expression (specified by the language designer as a "scoping rule")
- Every reference to x inside the scope points to the declaration with which the scope is associated

```
def lorem(x):  
  return x + y
```

Annotations: x is **bound** (green highlight, red arrow from x to x in the parameter list), y is **free** (red arrow from y to a question mark above it).

```
(forall n, exists m,  
m > n + 1) ^ true
```

Annotations: n and m are **bound** (green highlights, red arrows from n to n and m to m in the quantifier lists).

```
template<class Key>  
class HashMap {  
  void insert(Key, Val);  
  Val* find(Key);  
}
```

Annotations: Key is **bound** (yellow highlight, red arrow from Key to Key in the template parameter list). Key and Val in the function signatures are **bound** (green highlights, red arrows from Key to Key and Val to Val in the class scope).

Draw an arrow from each reference to its declaration if it's bound

- 1
- ```
def lorem(x):
 return x + y
```
- /
- ```
def ipsum(x):  
    def dolor(x):  
        return x+1  
    return x+2
```
- /
- ```
def sit(x):
 return 3
```
- /
- ```
def amet(x):  
    return x  
    print(amet(3))
```
- 2
- ```
template<class A> class LinkedList {
 template<class A> class Node
 { A value;
 Node* next; }
 Node<A>* head; }
```
- /
- ```
template<typename T1, T2>  
T1 max(T1 x, T1 y)  
{ ... }
```
- /
- ```
template<class Key>
class HashMap {
 void insert(Key, Val);
 Val* find(Key);
}
```
- 3
- forall n, exists m, m > n + 1
- /
- exists u, u → (forall u, u ∨ ¬u)
- /
- forall x, exists y, x → true
- /
- exists f, f(x) > 0
- 4
- $f(x) = \int_0^x \sin 2y \, dy$
- /
- $\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dy \, dx$
- /
- $\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dx \, dy$
- /
- $\sum_{i=0}^{100} \prod_{j=i}^{100} \sum_{i=j}^{100} (i+j)$

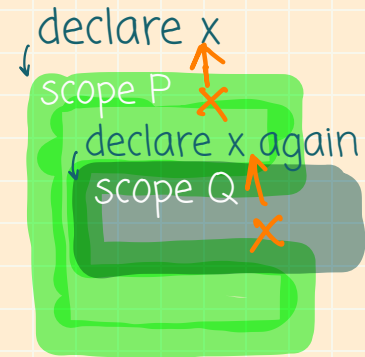
## How to know which declaration a reference points to?

Every declaration of  $x$  is associated with a scope:

- Scope = a subprogram or sub-expression
- Every reference to  $x$  inside the scope points to the declaration with which the scope is associated

Caveat: scopes can be nested.

If you declare a variable  $x$  with scope  $P$ , and inside  $P$ , you declare variable  $x$  again with scope  $Q$ , then all references to  $x$  refers to the declaration associated with  $Q$ , not  $P$ .



Draw an arrow from each reference to its declaration if it's bound

- 1
 

```
def lorem(x):
 return x + y
```

```
def ipsum(x):
 def dolor(x):
 return x+1
 return x+2
```

```
def sit(x):
 return 3
```

```
def amet(x):
 return x
print(amet(3))
```
- 2
 

```
template<class A> class LinkedList {
 template<class A> class Node
 { A value;
 Node* next; }
 Node<A>* head; }
```

```
template<typename T1, T2>
T1 max(T1 x, T1 y)
{ ... }
```

```
template<class Key>
class HashMap {
 void insert(Key, Val);
 Val* find(Key);
}
```
- 3
 

forall n, exists m, m > n + 1

forall x, exists y, x → true

exists u, u → (forall u, u ∨ -u)

exists f, f(x) > 0
- 4
 

$$f(x) = \int_0^x \sin 2y \, dy$$

$$\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dy \, dx$$

$$\int_0^\pi \int_x^{2x} \frac{x^2 + y^2}{\sqrt{x+y}} \, dx \, dy$$

$$\sum_{i=0}^{100} \prod_{j=i}^{100} \sum_{i=j}^{100} (i+j)$$

I've been using natural language to explain variables...

- Fine if you're talking to human programmers who're trying to learn the language.
- NOT fine if you're designing the language

Let's make variables formal now!

Let's add **local variables** to the language of natural numbers

```
e2, e2 ∈ expr ::= nat
 | e1 + e2
 | e1 - e2
 | x
 | let x = e1 in e2
```

Informal semantics of **let** **x** = e1 **in** e2

1. First evaluate e1 to get a value v1
2. During the evaluation e2, any reference to x is v1
3. The overall let gets the value of e2

Exercise:

- which x in the grammar denotes declarations?
- which x denotes references?
- what is the scope of a declaration?



Let's add **local variables** to the language of natural numbers

$e_1, e_2 \in \text{expr} ::= \text{nat}$   
|  $e_1 + e_2$   
|  $e_1 - e_2$   
|  $x$   
| **let  $x = e_1$  in  $e_2$**

Informal semantics of **let  $x = e_1$  in  $e_2$**

1. First evaluate  $e_1$  to get a value  $v_1$
2. During the evaluation  $e_2$ , any reference to  $x$  is  $v_1$
3. The overall let gets the value of  $e_2$

For each expression, tell me what its value is based on the informal semantics.

1. **let  $x = 1+2$  in  $2*x$**   $\Rightarrow 6$
2. **let  $x = 1+2$  in (let  $y = 2*x$  in  $x*y$ )**  $\Rightarrow 8$
3. **let  $x = x$  in 1** **ill-scoped**
4. **(let  $x = 1$  in  $x$ ) + x** **ill-scoped**
- $\rightarrow$  5. **let  $x = (\text{let } x = 1 \text{ in } x+1)$  in  $x$**   $\Rightarrow 2$

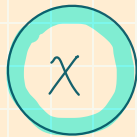
**well-scoped**

## Formally representing programs with variables and binders

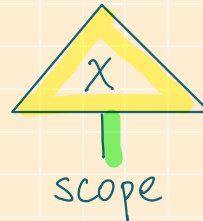
An **abstract binding tree** (ABT) is an AST with two new types of nodes

1. Variables: represent variable references (no children)
2. Binders represent declarations

A binder node is annotated with the declared variable, and a single subtree called the scope (where references are bound).

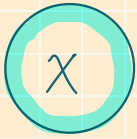


Variable node

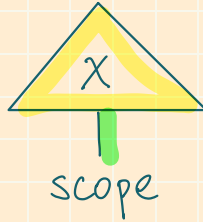


Binder node

# Abstract binding trees (ABTs)



Variable node



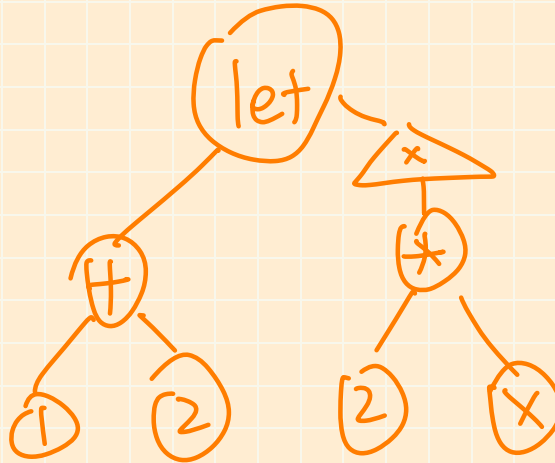
Binder node

$e2, e2 \in \text{expr} ::= 0 \mid S(0)$

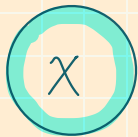
~~$\oplus$~~   $\mid e1 + e2$   
 ~~$\otimes$~~   $\mid e1 * e2$   
 ~~$\gamma$~~   $\mid x$   
?  $\mid \text{let } x = e1 \text{ in } e2$

Examples:

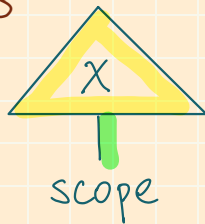
let  $x = 1+2$  in  $2*x$



## Abstract binding trees



Variable node

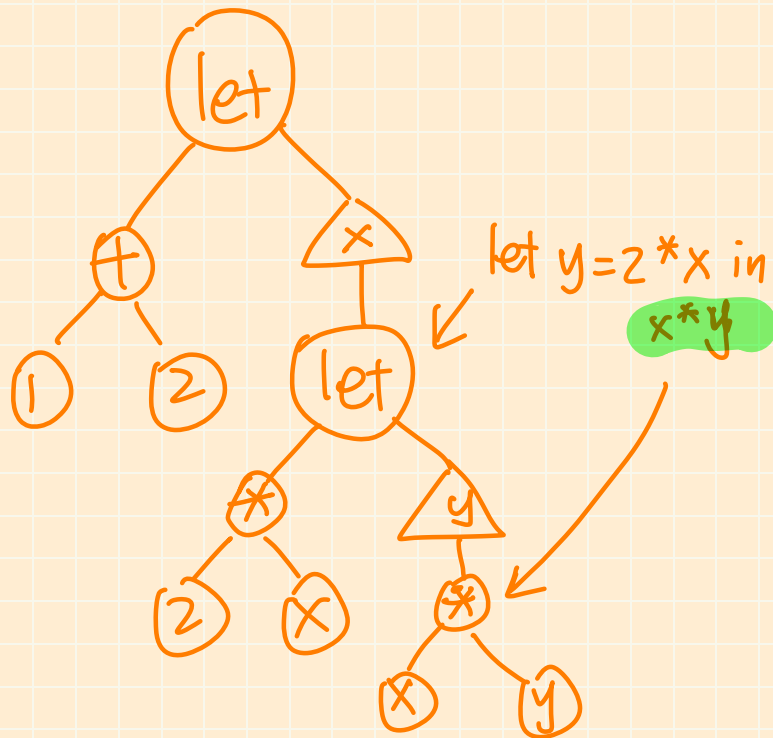


Binder node

Examples:

let  $x = 1 + 2$  in let  $y = 2 * x$  in  $x * y$

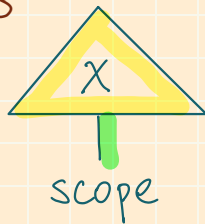
$e_1, e_2 \in \text{expr} ::= 0 \mid S(0)$   
 $\mid e_1 + e_2$   
 $\mid e_1 - e_2$   
 $\mid x$   
 $\mid \text{let } x = e_1 \text{ in } e_2$



## Abstract binding trees



Variable node



Binder node

Examples:

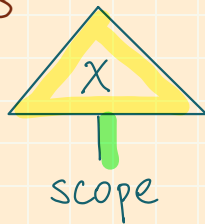
let  $x = x$  in 1

```
e2, e2 ∈ expr ::= 0 | S(0)
 | e1 + e2
 | e1 - e2
 | x
 | let x = e1 in e2
```

## Abstract binding trees



Variable node



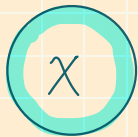
Binder node

Examples:

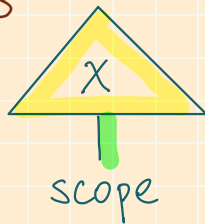
(let  $x = 1$  in  $x$ ) +  $x$

```
e2, e2 ∈ expr ::= 0 | S(0)
 | e1 + e2
 | e1 - e2
 | x
 | let x = e1 in e2
```

## Abstract binding trees



Variable node



Binder node

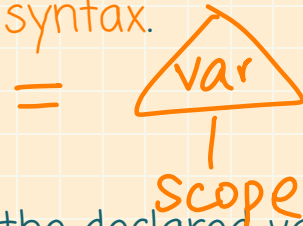
Examples:

let  $x = (\text{let } x = 1 \text{ in } x+1) \text{ in } x$

```
e2, e2 ∈ expr ::= 0 | S(0)
 | e1 + e2
 | e1 - e2
 | x
 | let x = e1 in e2
```

To specify binder nodes in CFGs, we use the **binder syntax**.

A binder syntax has the form "<var>. <scope>"

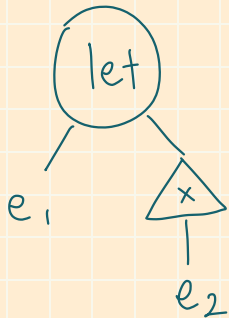


- <var> is the variable being declared
- <scope> is the subtree of the binder node where the declared variable is in scope

$e_1, e_2 \in \text{expr} ::= \text{nat} \mid e_1 + e_2$

"let" in binder syntax

$\begin{array}{c} | \\ x \\ | \end{array} \text{let } x = e_1 \text{ in } e_2 \rightarrow \text{let}(e_1, x. e_2)$



Meaning: "let" is an ABT node with 2 children:



- The left child is an expr ABT ( $e_1$ )
- The right child is a binder node, where
- it declares variable  $x$
- The scope of  $x$  is an expr ABT ( $e_2$ )



## Semantics of variables

$e_1, e_2 \in \text{expr} ::= 0 \mid S(0)$   
                   $\mid e_1 + e_2$   
                   $\mid e_1 - e_2$   
                   $\mid x$   
                   $\mid \text{let } x = e_1 \text{ in } e_2$

Informal semantics of  $\text{let } x = e_1 \text{ in } e_2$

1. First evaluate  $e_1$  to get a value  $v_1$
2. During the evaluation  $e_2$ , any reference to  $x$  is  $v_1$
3. The overall let gets the value of  $e_2$

$$\frac{e_1 \Rightarrow v_1}{\text{let } x = e_1 \text{ in } e_2 \Rightarrow ?} \text{ Let}$$

We should be able to show  $\text{let } x = 3 \text{ in } 2*x \Rightarrow 6$

The meaning of variables is given by substitution

Context  
↓

We should:

let  $x = 3$  in  $2 * x$

Substitute  $x$  with 3 in  $2 * x$

The substitution function  $[r/x]e$  substitutes variable  $x$  with replacement expression  $r$  in context expression  $e$

---

Important: we'll use substitution to define operational semantics.

But substitution itself doesn't evaluate/run the program

The only thing it does:

Find and replace ×

Find

Replace with

The substitution function  $[r/x]e$  substitutes variable references  $x$  with replacement expression  $r$  in context expression  $e$ :

Defined recursively over the context expression  $e$

$[r/x]e =$   
if  $e$  is a number:  $\text{return } x$        $[3/x](4) = 4$

if  $e$  is  $e1 + e2$  or  $e1 * e2$ :

if  $e$  is variable  $y$ :

if  $x = y$ :  $\text{return } r$

$[3/x](x) = 3$

else :  $\text{return } e$

$[3/x](y) = y$

(if  $e$  is  $\text{let}(e1, y. e2)$ ):

$[3/x]2$

$[3/x]x$

$[3/x]y$

$[3/x](2 + x)$

$[3/x](x * y)$

$[10/y](x + y * x)$

```
[r/x] e =
 if e is Num(n):
 return e
 if e is Add(e1, e2):
 return Add(e1, e2)
 if e is Mul(e1, e2):
 return Mul(e1, e2)
 if e is Var(y):
 if x == y:
 return r
 else:
 return e
 if e is let(e1, y. e2):
 TODO
```

The meaning of variables is given by substitution

We should:

let  $x = 3$  in  $2 * x$

Substitute  $x$  with  $\_\_\_$  in  $\_\_\_$

$[3/x](\text{let } x = x+1 \text{ in } x * 2) = [3/x](\text{let } (\underset{3}{x+1}, \overset{\text{so don't need to do anything}}{x, x * 2}))$

$\left[ \begin{array}{l} \text{let } x = 3 \text{ in} \\ (\text{let } x = \underset{3}{x+1} \text{ in } x * 2) \end{array} \right]$   
 $4 * 2 = 8$

Substitute  $x$  with  $\_\_\_$  in  $\_\_\_$

The substitution function  $[r/x]c$  substitutes variable  $x$  with replacement expression  $r$  in context expression  $e$

Key: we should replace references to  $x$  that are free

The substitution function  $[r/x]e$  substitutes free references of  $x$  with replacement expression  $r$  in context expression  $e$ :

Defined recursively over the context expression  $e$

$[r/x] e =$

if  $e$  is a number:  $e$

if  $e$  is  $\text{Add}(e_1, e_2)$ :  $\text{Add}([r/x]e_1, [r/x]e_2)$

if  $e$  is variable  $y$ :

if  $x = y$ : return  $r$

else: return  $e$

if  $e$  is  $\text{let}(e_1, y. e_2)$ :

if  $x = y$ :

$\text{let}([r/x]e_1, y. e_2)$

else:

$\text{let}([r/x]e_1, y. [r/x]e_2)$

$$[3/x] (x + 1) = 3 + 1$$

$$[3/x] \text{ let } y = x \text{ in } y \quad \text{let } y = 3 \text{ in } y$$

$$[3/x] \text{ let } y = x \text{ in } x$$

$$[3/x] \text{ let } x = y \text{ in } x$$

$$[3/x] \text{ let } x = x \text{ in } x$$

```

[r/x] e =
 if e is Num(n):
 return e
 if e is Add(e1, e2):
 return Add(e1, e2)
 if e is Var(y):
 if x = y:
 return r
 else:
 return e
 if e is let(e1, y. e2):
 e1s = [r/x]e1
 if x = y:
 return let(e1s, y. e2)
 else:
 e2s = [r/x]e2
 return let(e1s, y. e2s)

```

$$[3/x] \left( \text{let } y = x \text{ in } \left( \text{let } x = y \text{ in } x + y \right) \right) =$$

$$\text{let } y = 3 \text{ in}$$

$$[3/x] \text{ let } x = y \text{ in } x + y$$

$$= \left( \text{let } x = y \text{ in } x + y \right)$$

Using substitution to define semantics of language features with binders:

$e_1 \Rightarrow v_1$

$\text{let } x = e_1 \text{ in } e_2 \Rightarrow v_2$

Let

Examples

1.  $\text{let } x = 1+2 \text{ in } 2*x$
2.  $\text{let } x = 1+2 \text{ in let } y = 2*x \text{ in } x*y$
3.  $\text{let } x = x \text{ in } 1$
4.  $(\text{let } x = 1 \text{ in } x) + x$
5.  $\text{let } x = (\text{let } x = 1 \text{ in } x+1) \text{ in } x$

```
[r/x] e =
if e is Num(n):
 return e
if e is Add(e1, e2):
 return Add(e1, e2)
if e is Var(y):
 if x == y: return r
 else: return e
if e is let(e1, y. e2):
 e1s = [r/x]e1
 if x == y:
 return let(e1s, y. e2)
 else:
 e2s = [r/x]e2
 return let(e1s, y. e2s)
```