# JUNTANG ZHUANG

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#### ABOUT ME

I am a Research Sceintist at OpenAI. I'm recognized as the primary contributor to DALL-E 3 and a core contributor to Embedding V3. I am the sole inventor of long-ctx algorithm enabling GPT-4 Turbo's 256k-context capability, notably surpassing the performance of Claude. My research interest lies in machine learning, optimization, large language models and numerical methods and theories.

### WORK EXPERIENCE

# OpenAI, Research Scientist

April 2022 - now

- Working on next-generation GPT model architecture
- Sole inventor of long-context algorithm, delivered to GPT4-Turbo (256k capability, served 128k), outperformed Anthropic's Claude 2.1
- Lead multilingual capability for OpenAI Embedding v3, outperformed Cohere's embedding model
- Primary contributor to DALL·E 2.5 & 3 (contributors disclosed)
- Co-author of GPT-4 (contributors disclosed)

### Google, Student Researcher

June-Oct 2021

• Proposed GSAM, a generic method to improve the generalization of neural networks

#### **OPEN-SOURCE PROJECTS**

AdaBelief-optimizer (>1k stars on github, added to official repositories such as Deepmind optax, Tensorflow-Addons and Google Flax); ShelfNet; LadderNet; TorchDiffEqPack

#### AWARDS & SCHOLARSHIPS

• Henry Prentiss Becton Graduate Prize (1 out of Yale School of Engineering & Applied Science)	2022
• Best paper award, Machine Learning in Medical Imaging (MLMI)	2019
• Top-1 winner for CNI Transfer Learning Challenge, MICCAI	2019
• Graduate fellowship, Yale University	2016
• Award for excellent learning performance, Tsinghua University	2015
• Meritorious award for Mathematical Contest in Modeling (top 10% teams worldwide)	2015
• National encouragement award (for excellent learning performance), Tsinghua University	2014
• Sparks Program (Undergraduate High-tech Club) membership, Tsinghua University	2014

# **EDUCATION**

Yale University Ph.D. in Biomedical Engineering (Advisor: James S. Duncan)	Sep 2016 - April 2022
Yale University M.A. in Statistics, M.Phil in Biomedical Engineering	Sep 2017 - May 2018
Tsinghua University B.E. in Engineering Physics	Sep 2012 - May 2016

#### SELECTED PUBLICATIONS

- 1. **J. Zhuang**, B. Gong, et al., Surrogate gap minimization improves sharpness-aware training *International Conference on Learning Representations* (ICLR 2022)[project page]
- 2. **J. Zhuang**, Y. Ding, et al., Momentum centering and asynchronous update for adaptive gradient methods Conference on Neural Information Processing Systems (NeurIPS 2021)[project page]
- 3. **J. Zhuang**, N. Dvornek, et al. MALI: a memory efficient and reverse accurate integrator for Neural ODEs, International Conference on Learning Representations (ICLR 2021)[project page]
- 4. **J. Zhuang**, N. Dvornek, et al. Multiple-shooting adjoint method for whole-brain dynamic causal modeling , *Information Processing in Medical Imaging* (IPMI 2021, oral presentation) [project page]
- 5. **J. Zhuang**, T. Tang, et al. AdaBelief Optimizer: adapting stepsizes by the belief in observed gradients, Conference on Neural Information Processing Systems (NeurIPS 2020, Spotlight) [project page]

- 6. **J. Zhuang**, N. C. Dvornek, et al. Adaptive Checkpoint Adjoint Method for Gradient Estimation in Neural ODE, *International Conference on Machine Learning* (ICML 2020) [project page]
- 7. **J. Zhuang**, J. Yang, et al., ShelfNet for fast semantic segmentation, Workshop on Computer Vision for Road Scene Understanding and Autonomous Driving (CVRSUAD 2019)

#### SELECTED RESEARCH EXPERIENCE

# 1. Optimization for deep learning

### Surrogate gap minimization improves sharpness-aware training

Jun - Oct 2021

- Proposed a generic method to improve the generalization of neural networks. Specifically, for the ImageNet top-1 accuracy the proposed method achieved +11.3% improvement over AdamW on Vision Transformer, and +12% improvement on MLP-Mixer.
- Paper accepted to ICLR 2022.[project page]

# Momentum centering and asynchronous update for adaptive gradient methods Jan - May 2021

- Proposed ACprop, which is an adaptive optimizer combining momentum centering and asynchronous update. Theoretically, ACProp has the optimal convergence rate and weak convergence conditions. Validated ACProp in extensive empirical studies.
- Paper accepted to NeurIPS 2021. [project page]

# AdaBelief optimizer: a fast, accurate and stable optimizer for deep learning

Jan - June 2020

- Developed an optimizer for deep learning models. To our knowledge, it's the first to achieve three goals simultaneously: fast training speed, good generalization performance, and stability of training.
- Paper accepted as **Spotlight Presentation** by NeurIPS 2020. [project page]

# 2. Solvers for continuous-time neural networks

# MALI: a memory efficient and reverse accurate integrator for Neural ODEs

Sep - Nov 2020

- Proposed MALI, a new solver for Neural ODEs with numerical accuracy at a constant memory cost. MALI achieves new state-of-the-art (3.71 BPD on ImageNet64) for image generation with continuous models.
- Paper accepted by International Conference on Learning Representations (ICLR 2021) [project page]

### Adaptive checkpoint adjoint method for gradient estimation in neural ODE

Jun - Dec 2019

- Proposed and implemented a family of adaptive ODE solvers for accurate gradient estimation. Achieved both accuracy and computation efficiency. To our knowledge, our method is the first to enable neural-ODE to achieve comparable results to state-of-the-art discrete-layer models on benchmark classification tasks.
- Paper accepted by International Conference on Machine Learning (ICML 2020). [project page]

# 3. Prior-informed machine learning and biomedical applications

# Evolutionary causal modeling of brain states from task-fMRI data

Mar - Sep 2020

- Modeled the effective connectome of the brain, which is the directional influence between different regions of the brain. Developed a differential equation model to simulate the dynamical evolution of brain states.
- Paper accepted as **Oral Presentation** by IPMI 2021.

### PROFESSIONAL ACTIVITY

Served as reviewer for MIDL, ICML, NeurIPS, ICLR, EMBC and MEDIA.

### INVITED TALKS

- Computational Neuroscience Laboratory at Stanford University
- SyncedTech (name in Chinese pinyin: JiQi ZhiXin) [video]

2020

2020

### **SKILLS**