

# Large-scale Generative and Multimodal Recommendation Systems: An Overview

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# Outline

## Generative Recommendation

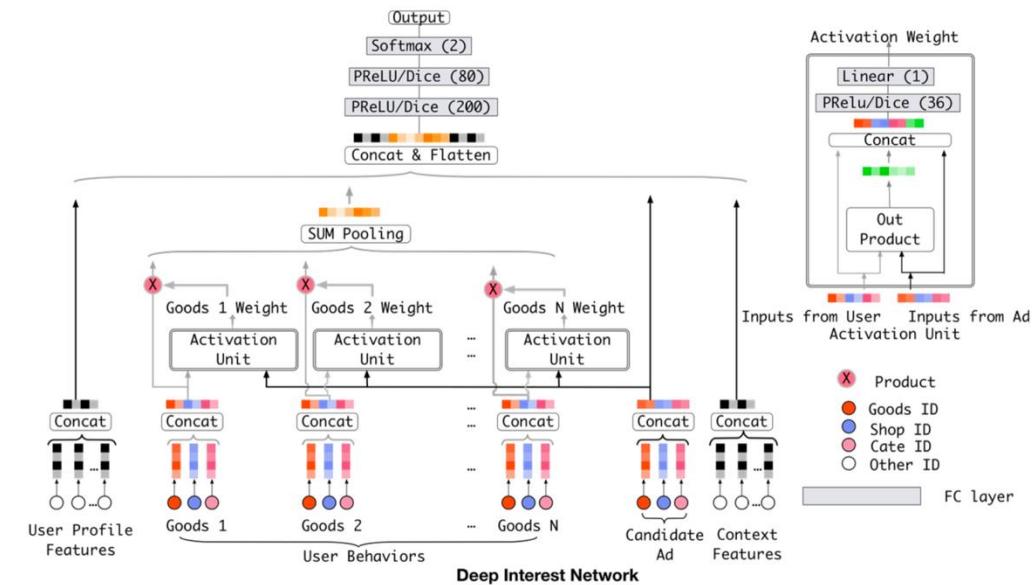
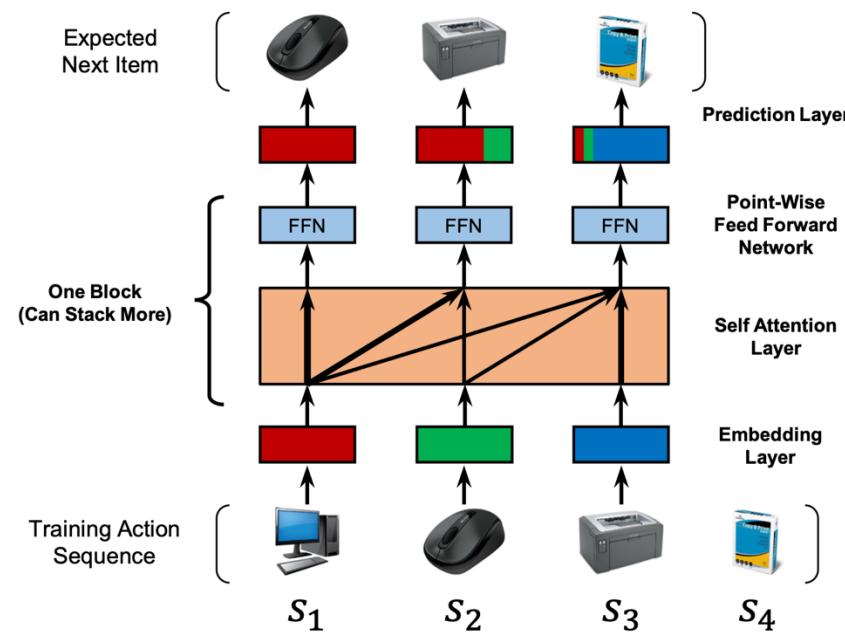
- Token Organization
- Action Handling

## Multimodal Recommendation

- Alignment
- Distance Transfer
- Semantic IDs

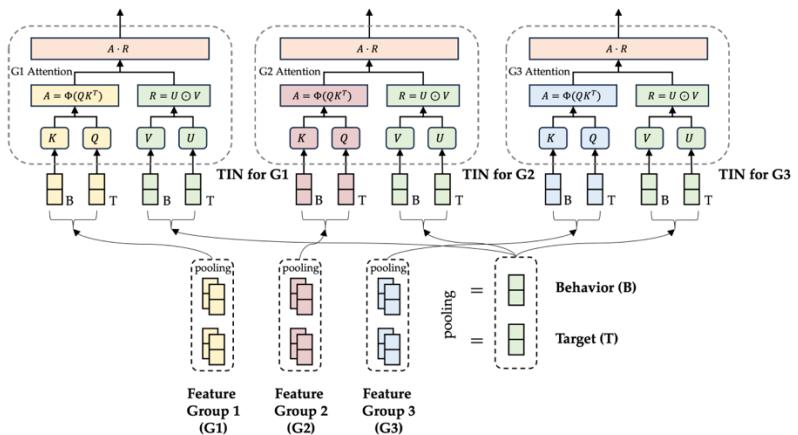
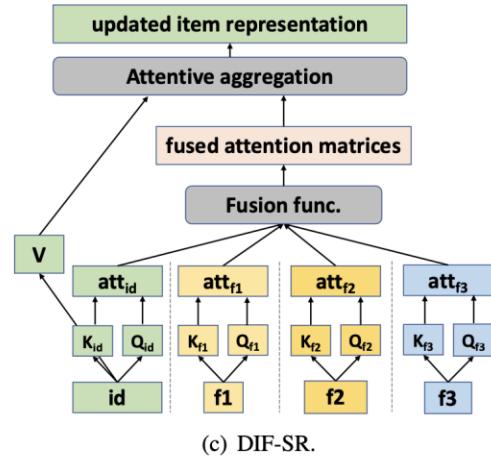
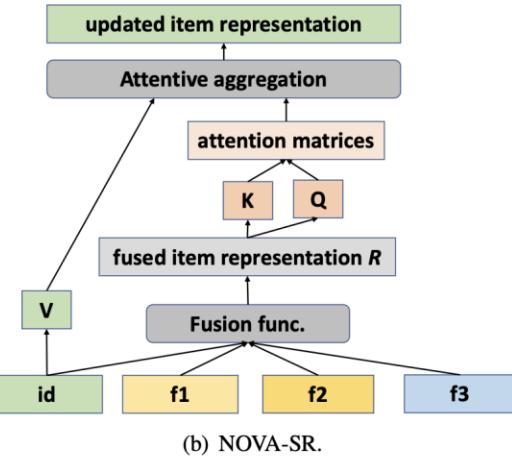
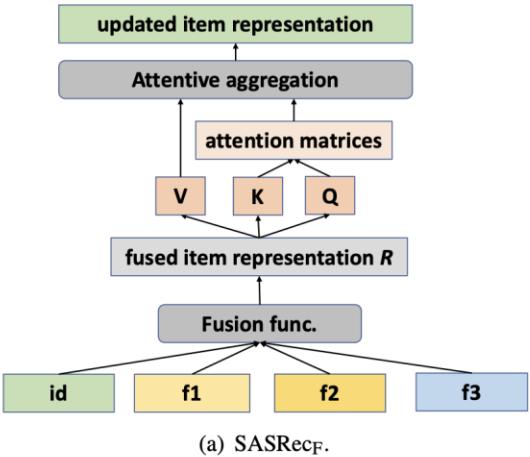
# Item-Oriented: SASRec, DIN

- Next-item prediction paradigm
- Fuse all side infos



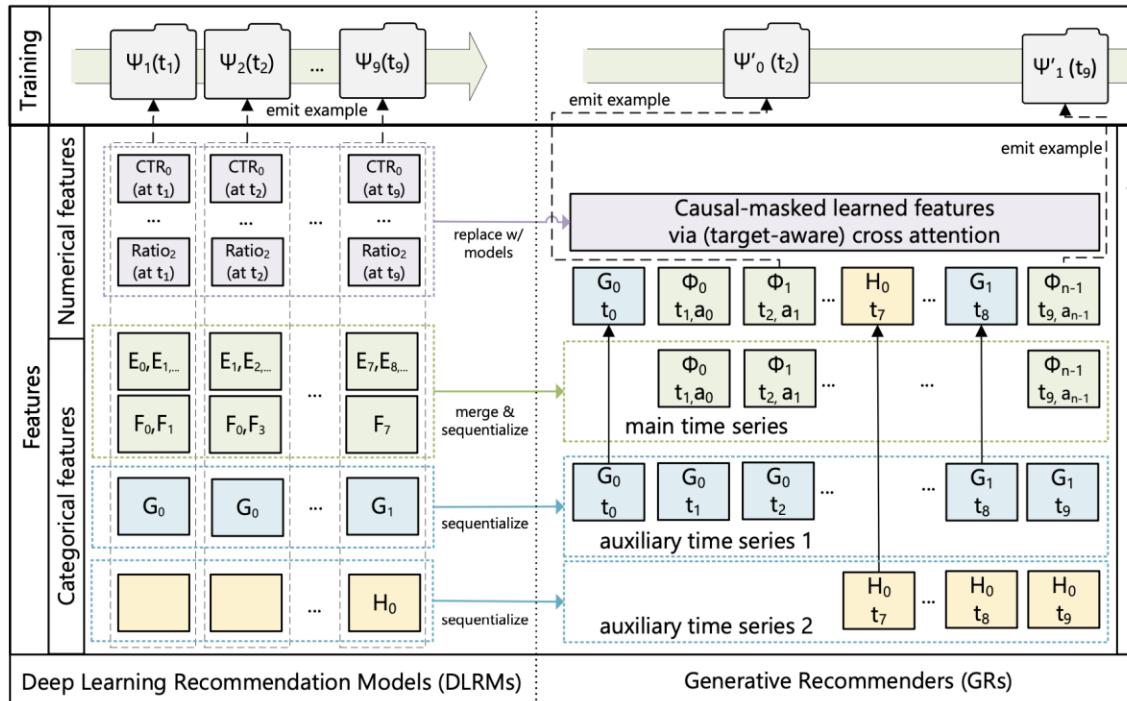
# Side Infos

- SASRec fuse all side infos
- NOVA employs only the item ID in the **Value**
- DIF-SR further decouple each feature in the **Attention (Q, K)**
- DSI-TIN decouples feature groups in the **Attention**



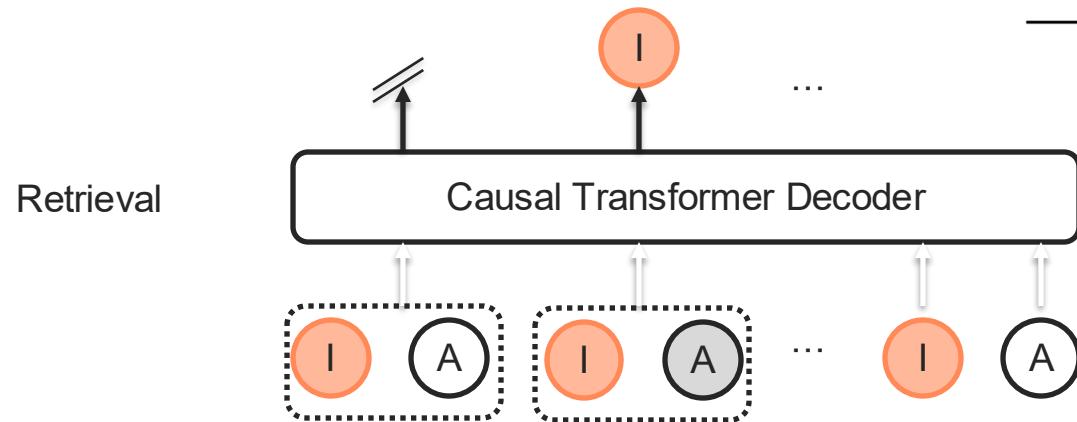
# HSTU

- HSTU first selects the longest time series, typically by merging the features that represent items user engaged with, i.e., item ID, as the **main time series**
- It then *compress* the remaining time series by keeping the earliest entry per consecutive segment and then merge the results into the main time series
- **Remove numerical features**, relying on **the target attention** mechanism to handle them

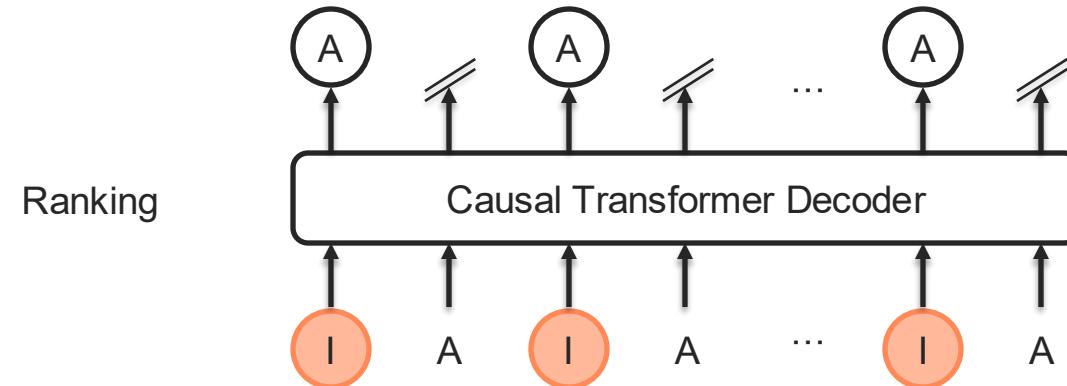


Task	Specification (Inputs / Outputs)
Ranking	$x_i s$ $\Phi_0, a_0, \Phi_1, a_1, \dots, \Phi_{n_c-1}, a_{n_c-1}$ $y_i s$ $a_0, \emptyset, a_1, \emptyset, \dots, a_{n_c-1}, \emptyset$
Retrieval	$x_i s$ $(\Phi_0, a_0), (\Phi_1, a_1), \dots, (\Phi_{n_c-1}, a_{n_c-1})$ $y_i s$ $\Phi'_1, \Phi'_2, \dots, \Phi'_{n_c-1}, \emptyset$ $(\Phi'_i = \Phi_i \text{ if } a_i \text{ is positive, otherwise } \emptyset)$

Task	Specification (Inputs / Outputs)
Ranking	$x_i$ s $\Phi_0, a_0, \Phi_1, a_1, \dots, \Phi_{n_c-1}, a_{n_c-1}$
	$y_i$ s $a_0, \emptyset, a_1, \emptyset, \dots, a_{n_c-1}, \emptyset$
Retrieval	$x_i$ s $(\Phi_0, a_0), (\Phi_1, a_1), \dots, (\Phi_{n_c-1}, a_{n_c-1})$
	$y_i$ s $\Phi'_1, \Phi'_2, \dots, \Phi'_{n_c-1}, \emptyset$ $(\Phi'_i = \Phi_i \text{ if } a_i \text{ is positive, otherwise } \emptyset)$



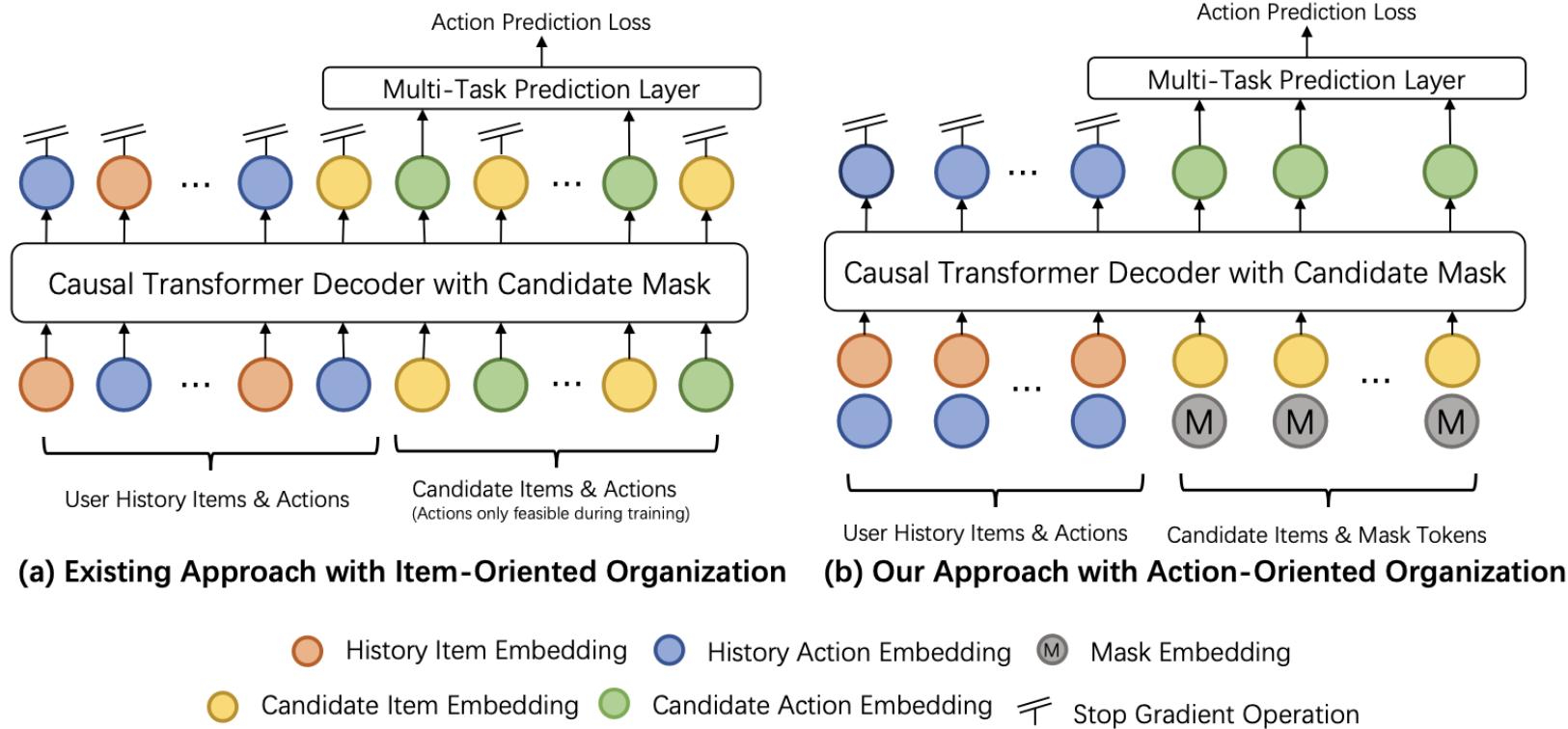
- If there is **positive** feedback on the next item, then predict this item; otherwise, predict **empty**.



- Interleave** items and actions in the sequence. Predict the action for each item, and predict **empty** for the action.

# GenRank

- **DON'T interleave** items and actions in the sequence, but treat actions as side info, as done in traditional methods like SASRec or DIN for the history behaviors
- For the target, predict the action of each item
- **Left side (history): SASRec; right side (target): HSTU**



# Overview

## Generative Recommendation

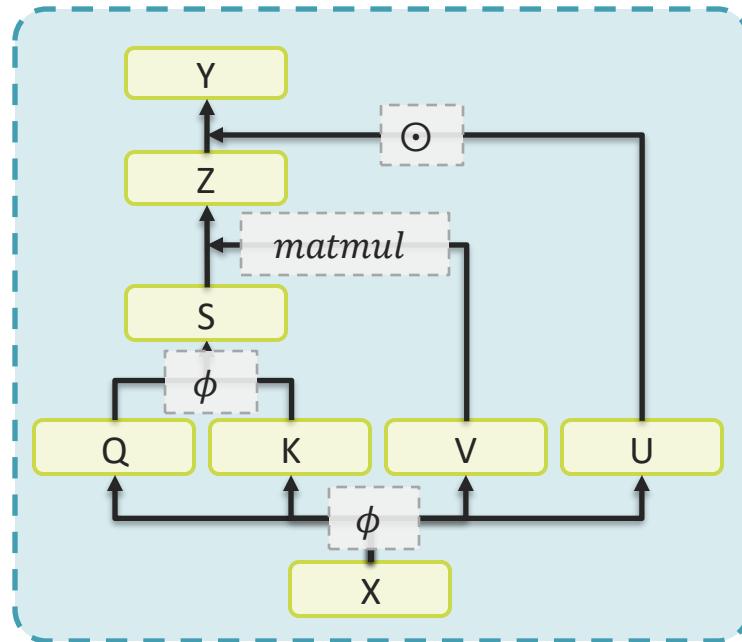
- Token Organization
- Action Handling

## Multimodal Recommendation

- Alignment
- Distance Transfer
- Semantic IDs

# HSTU

- DNN-based methods **employs MLPs**  $f_{MLP}(b_i, t)$  to learn the interaction between behaviors and the target
- Employs a SiLU or SwiGLU activation function, due to “**the difficulty of approximating dot products with learned MLPs**”



Task	Specification (Inputs / Outputs)	
Ranking	$x_i$ s	$\Phi_0, a_0, \Phi_1, a_1, \dots, \Phi_{n_c-1}, a_{n_c-1}$
	$y_i$ s	$a_0, \emptyset, a_1, \emptyset, \dots, a_{n_c-1}, \emptyset$
Retrieval	$x_i$ s	$(\Phi_0, a_0), (\Phi_1, a_1), \dots, (\Phi_{n_c-1}, a_{n_c-1})$
	$y_i$ s	$\Phi'_1, \Phi'_2, \dots, \Phi'_{n_c-1}, \emptyset$ $(\Phi'_i = \Phi_i \text{ if } a_i \text{ is positive, otherwise } \emptyset)$

$$U(X), V(X), Q(X), K(X) = \text{Split}(\phi_1(f_1(X)))$$

$$A(X)V(X) = \phi_2 \left( Q(X)K(X)^T + \text{rab}^{p,t} \right) V(X)$$

$$Y(X) = f_2(\text{Norm}(A(X)V(X)) \odot U(X))$$

# Temporal Interest Network (TIN)

- Target-aware Temporal Encoding
- Target-aware Attention
- Target-aware Representation

$$u_{TIN} = \alpha(Q, K) \odot (U \odot V)$$

$$= \alpha(\tilde{v}_t W_Q, \tilde{e}_i Q_K) \cdot (\tilde{v}_t W_U \odot \tilde{e}_i W_V)$$

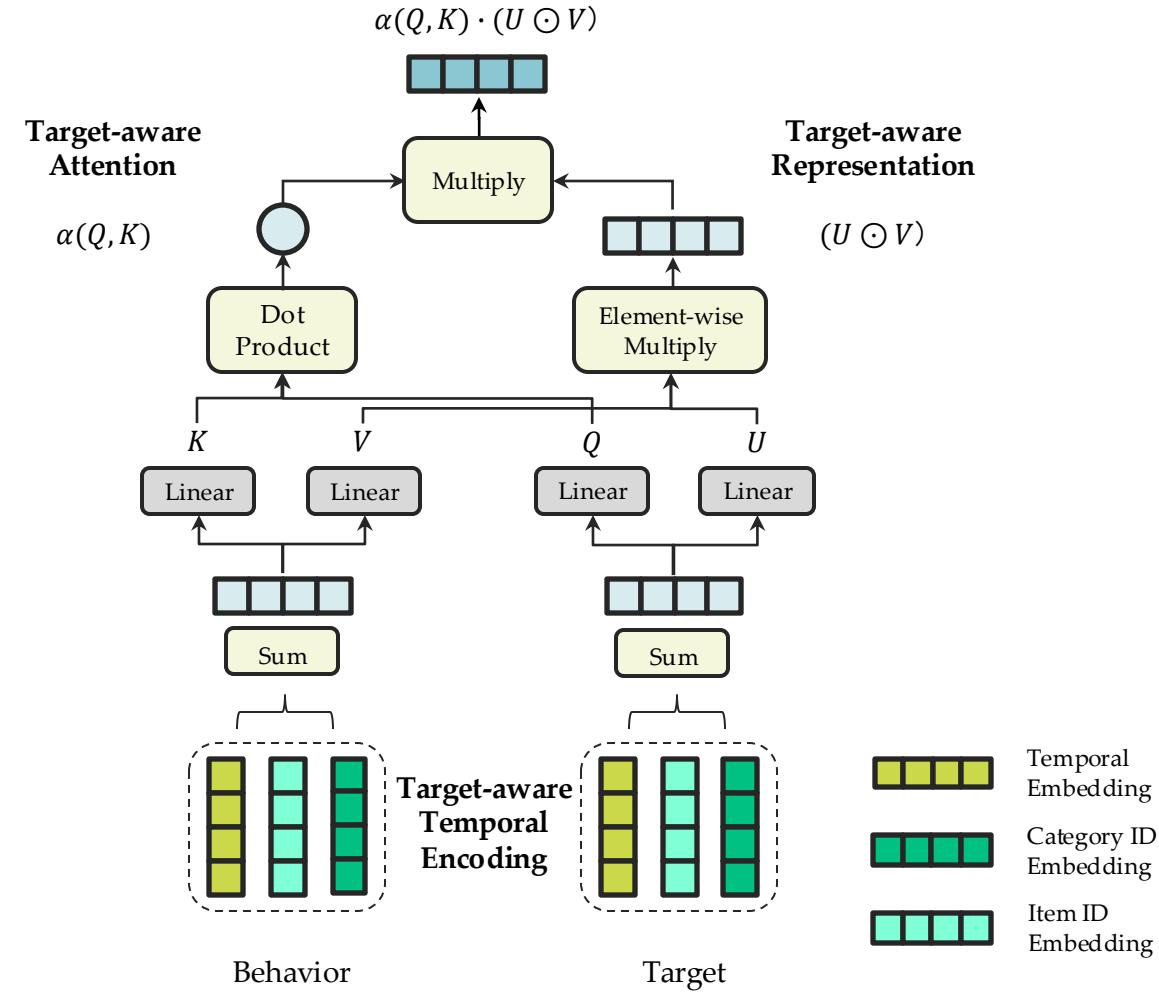
Formulation of TIN

$$U(X), V(X), Q(X), K(X) = \text{Split}(\phi_1(f_1(X)))$$

$$A(X)V(X) = \phi_2(Q(X)K(X)^T + \text{rab}^{p,t})V(X)$$

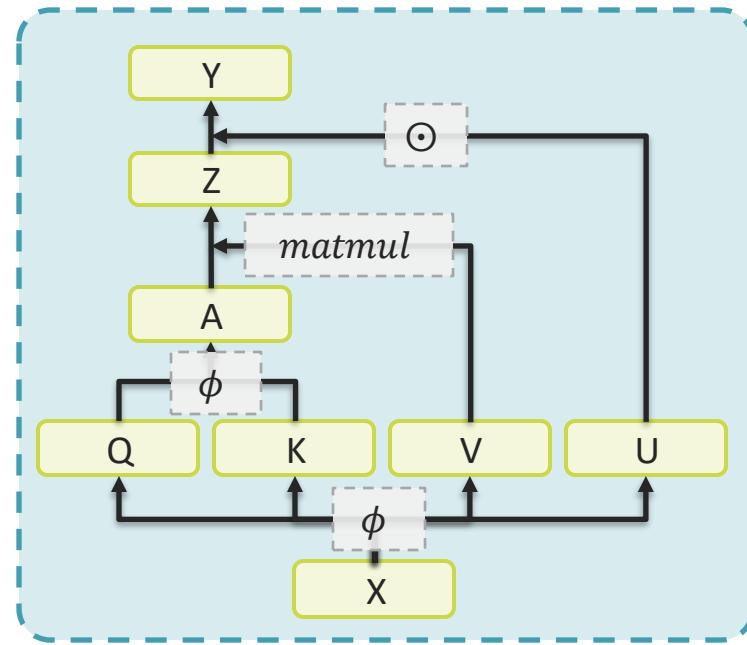
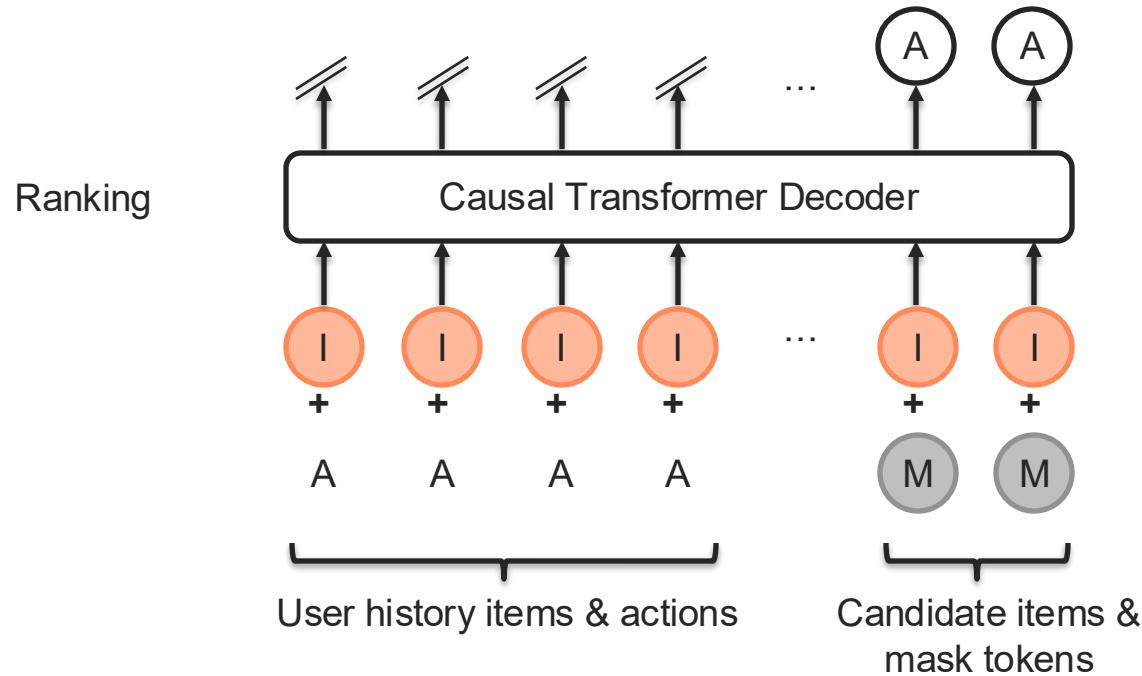
$$Y(X) = f_2(\text{Norm}(A(X)V(X)) \odot U(X))$$

Formulation of HSTU



# GenRank

- Similar architecture with HSTU: SwiGLU activation function



$$X = I + A \text{ or } X = I + M$$

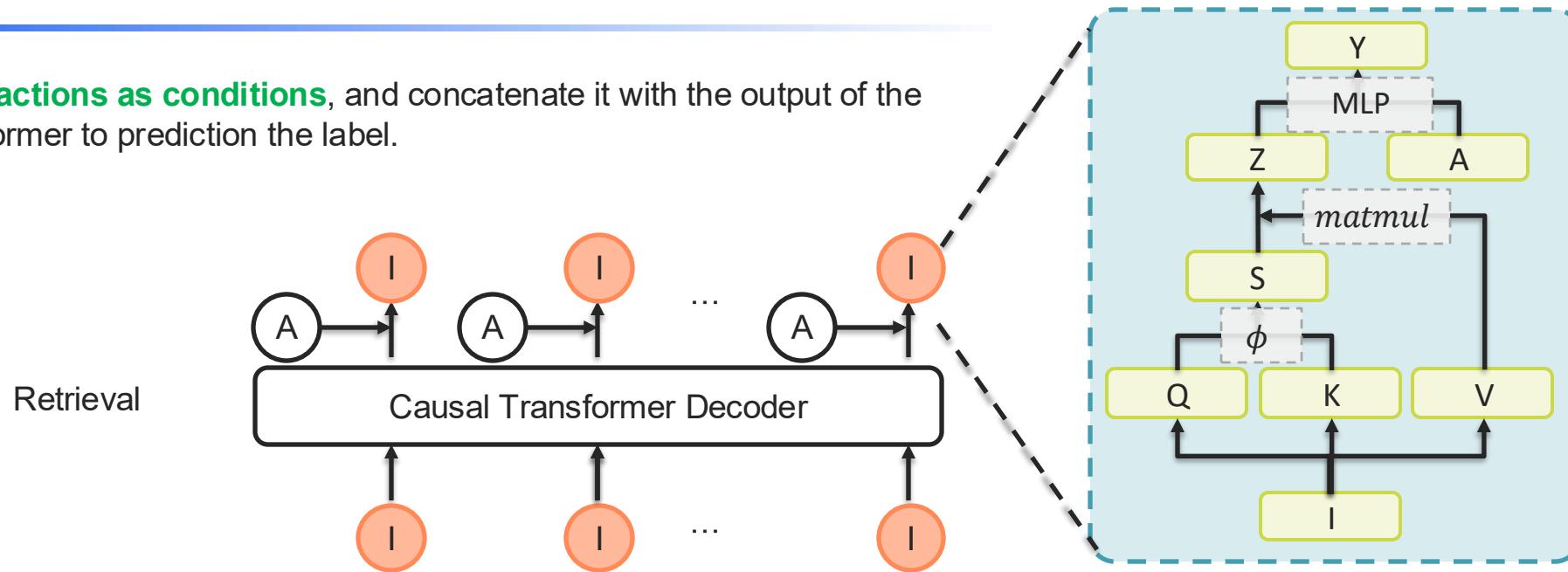
$$Q = \phi(W^Q X), K = \phi(W^K X), V = \phi(W^V X), U = \phi(W^U X)$$

$$Z = \phi(QK^T + rab)V$$

$$Y = W^Y(\text{Norm}(Z) \odot U)$$

# PinRec

- **Treat actions as conditions**, and concatenate it with the output of the transformer to prediction the label.



$$Q = \phi(W^Q I), K = \phi(W^K I), V = \phi(W^V I)$$

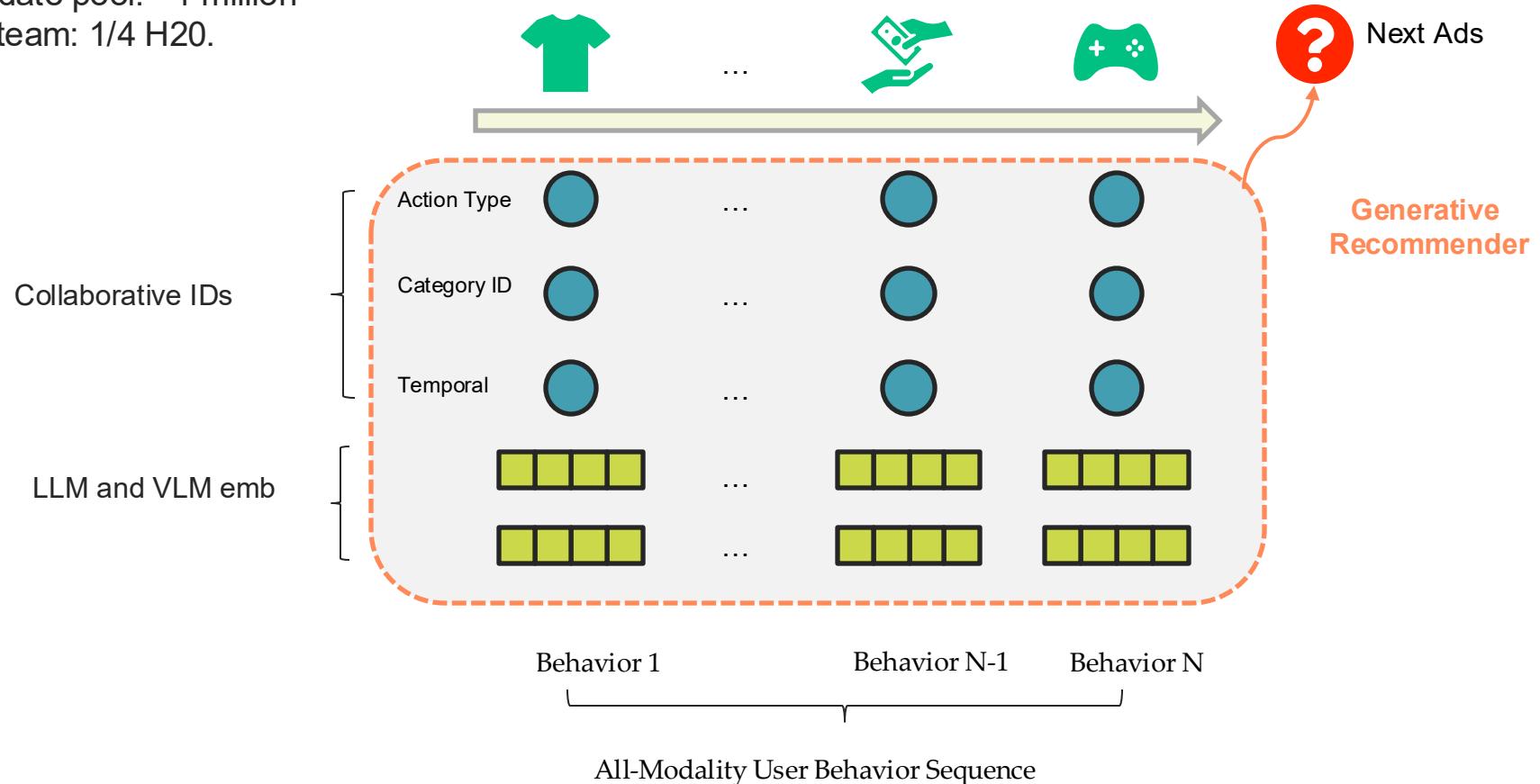
$$Z = \phi(QK^T)V \quad Y = \phi(W^Y([Z; A]))$$

# Tencent Advertising Algorithm Competition

## All-Modality Generative Recommendation

### Setting

- 1 millions of user sequences
- Candidate pool: ~1 million
- Each team: 1/4 H20.

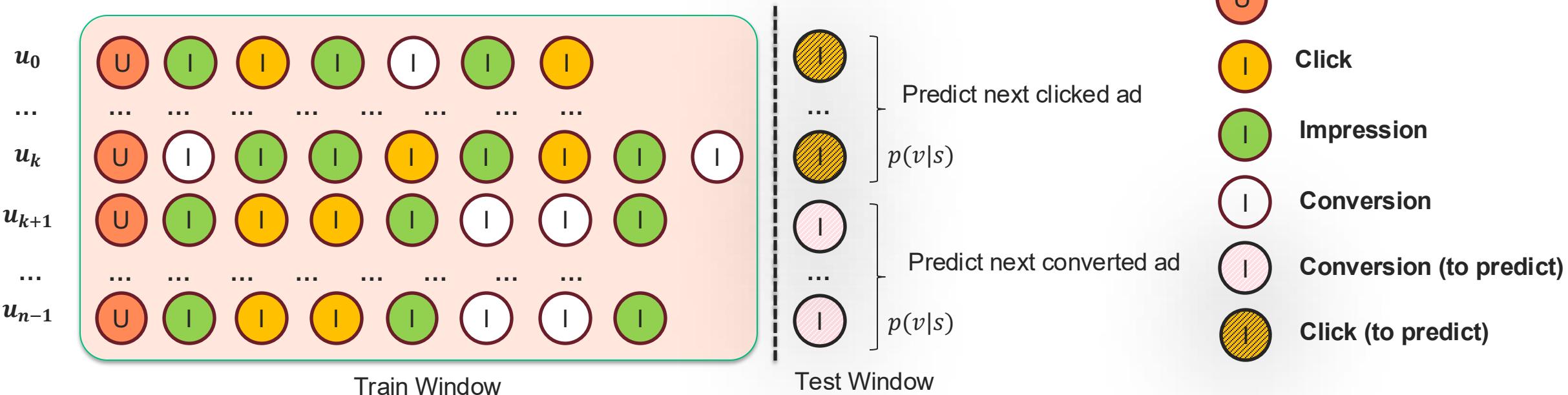


# Tencent Advertising Algorithm Competition

## All-Modality Generative Recommendation

### Setting

- **10 millions** of user sequences
- Include **conversions** in both features and target
- Each team: **7 H2O GPU**
- In evaluation, conversions have larger scores (2.5x) than the clicks



# TAAC

## AWARD

Total Prize Pool of 3.6 Million RMB Ready!

Note: Based on comprehensive evaluation by the competition, the award may go unassigned. Disbursement of the prize is subject to the rules established post-award.



Champion

1 team

¥ 2,000,000

RMB



Runner-Up

1 team

¥ 600,000

RMB



Second Runner-Up

1 team

¥ 300,000

RMB



4<sup>th</sup>-10<sup>th</sup> Places

per team

¥ 100,000

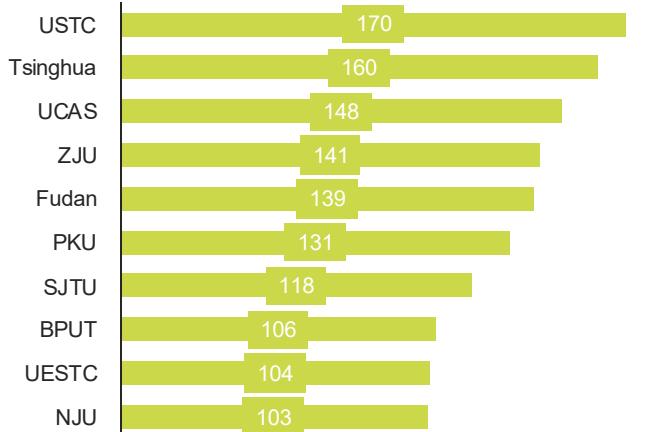
RMB

# TAAC

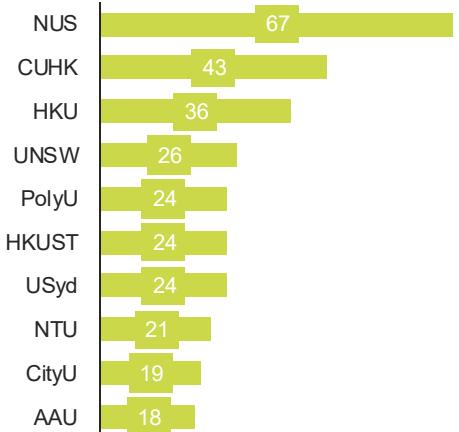
8400+      4600+      2800+

Registration      Enroll      #Teams

Mainland Universities Top10



Others



Score trend of top teams



# Overview

## Generative Recommendation

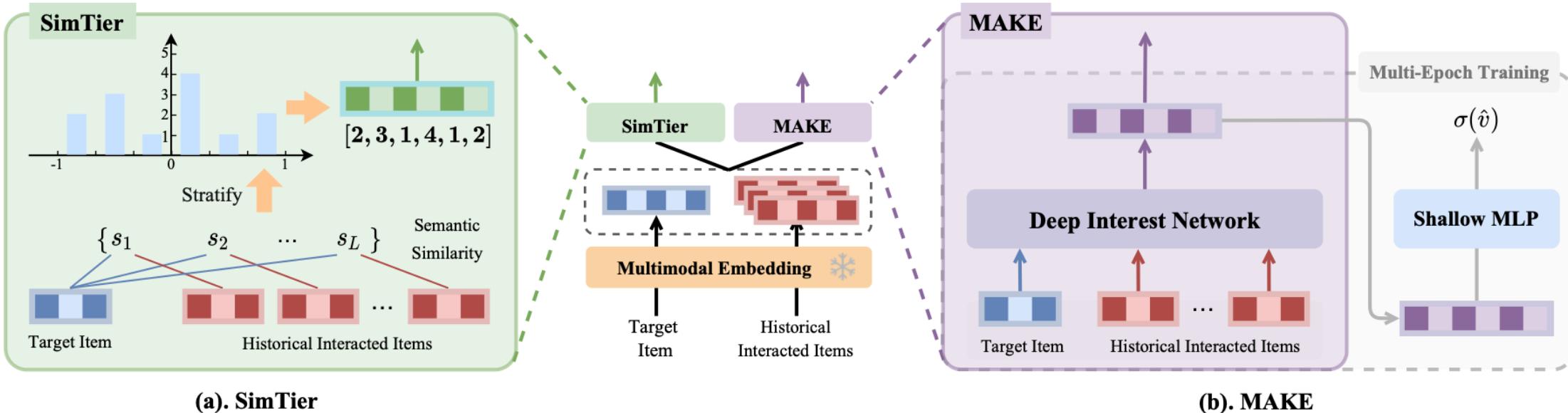
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# SimTier

- Calculate the **similarity score** between multi-modal representation of behaviors and the target
- Histogram** of the scores in a N pre-defined buckets
- Use the N-dimension vector as a new representation



# MNSE

- Calculate the **distance** between two features based on the source embedding
- Encoding the distance with **n-ary**
- Train the encoded embeddings in the target task, together with other embeddings in the target space

$$f_{\text{MNS}}(v) = [\sum_{k=1}^{K_2} \mathbf{X}_{2k+\mathbb{B}_k}^{(2)}, \sum_{k=1}^{K_3} \mathbf{X}_{3k+\mathbb{C}_k}^{(3)}, \dots, \sum_{k=1}^{K_n} \mathbf{X}_{nk+\mathbb{N}_k}^{(n)}]$$

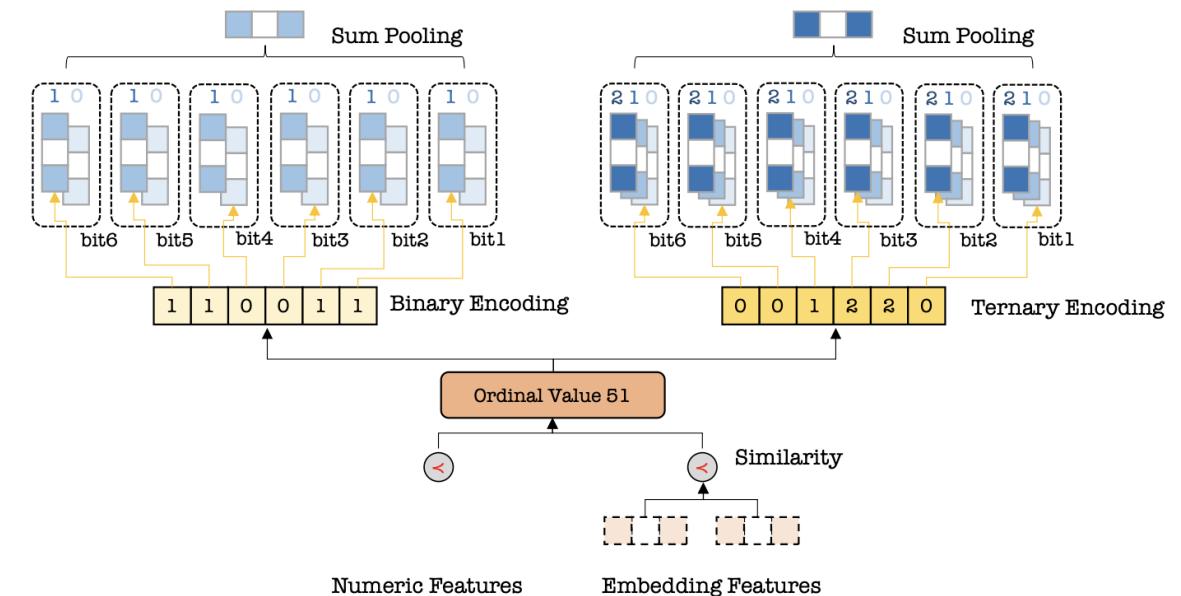
$\mathbb{B} = \text{func\_binary}(v), \mathbb{C} = \text{func\_ternary}(v), \dots$

Numerical Feature (Decimal)	Binary	Ternary
45	0000101101	
46	0000101110	
957	<u>1110111101</u>	

Numerical Feature (Decimal)	Binary	Ternary
63	0000111111	
64	000 <u>1000000</u>	
575	<u>1000111111</u>	000 <u>210022</u>

Key properties of n-ary: continuity, discriminability



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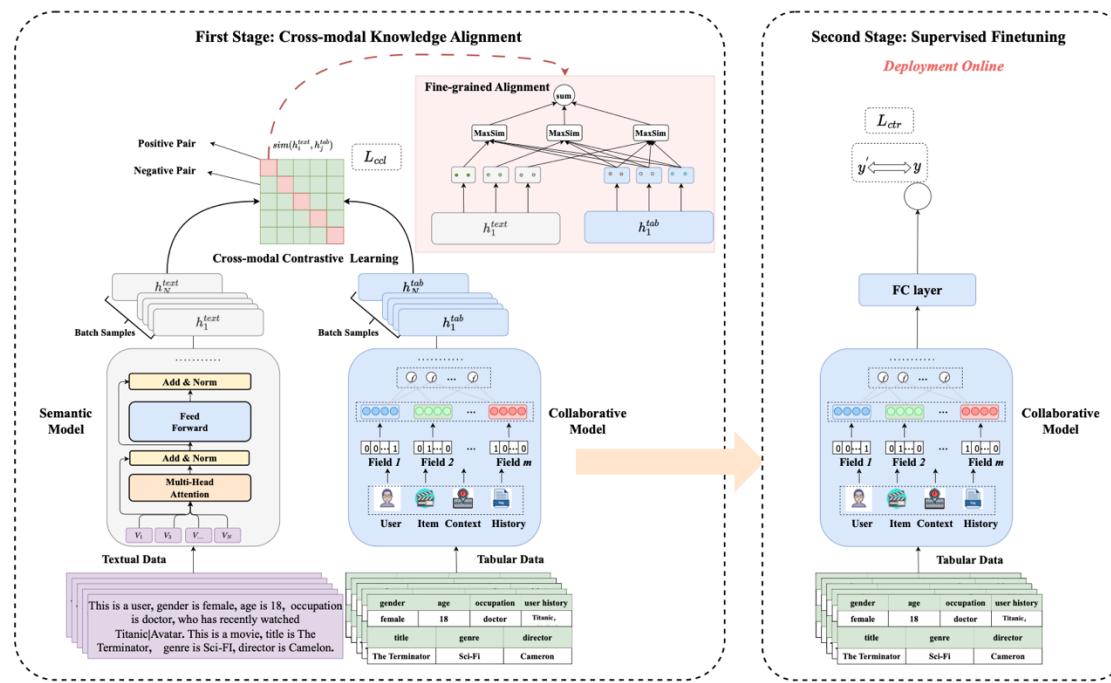
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# CTRL

- Clip-like Textual-to-Tabular **contrastive loss**

$$\mathcal{L}^{textual2tabular} = -\frac{1}{N} \sum_{k=1}^N \log \frac{\exp(\text{sim}(\mathbf{h}_k^{text}, \mathbf{h}_k^{tab})/\tau)}{\sum_{j=1}^N \exp(\text{sim}(\mathbf{h}_k^{text}, \mathbf{h}_j^{tab})/\tau)},$$



CTRL: Connect Collaborative and Language Model for CTR Prediction.

# PAD (Pre-train, Align and Disentangle)

- Adopt **MK-MMD** (multi-kernel maximum mean discrepancy) as the alignment loss to capture **all information about the distribution**
- Combine the alignment with the BCE loss to avoid **catastrophic forgetting**

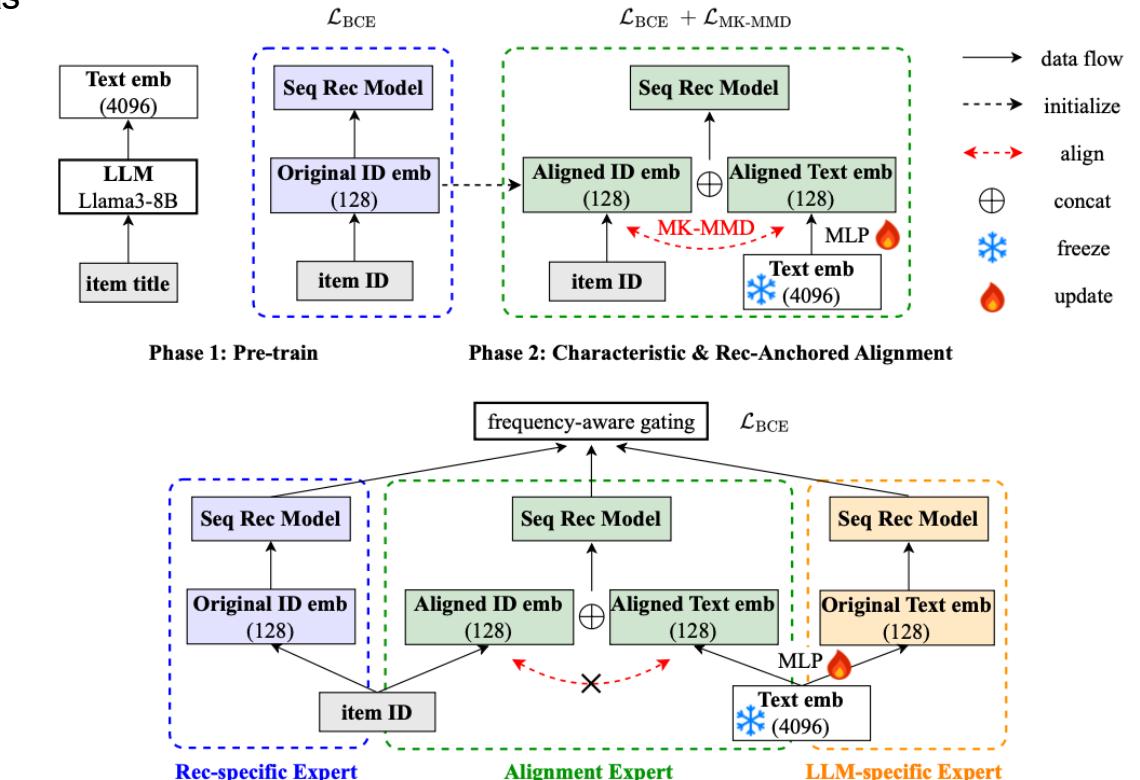
Avoid **catastrophic forgetting**

$$\mathcal{L} = \mathcal{L}_{\text{REC}} + \gamma \cdot \mathcal{L}_{\text{MK-MMD}}$$

$$\mathcal{L}_{\text{MK-MMD}} = D_k^2(f_{\text{MLP}}(\text{SG}(\mathcal{D}_{\text{text}}), \mathbf{w}), \mathcal{D}_{\text{rec}})$$

$$\mathcal{L}_{\text{REC}} = \frac{1}{n} \sum_{i=1}^n \text{BCE}\left(f_{\theta}\left(\{\mathbf{h}_i^s\}, \{\mathbf{h}_i^c\}, \mathbf{x}_i^s, \mathbf{x}_i^c\right), y_i\right)$$

$$\text{MK-MMD}^2(X_s, X_t) = \left\| \frac{1}{n} \sum_{i=1}^n \phi_k(x_s^i) - \frac{1}{m} \sum_{j=1}^m \phi_k(x_t^j) \right\|_{\mathcal{H}_k}^2$$



# Overview

## Generative Recommendation

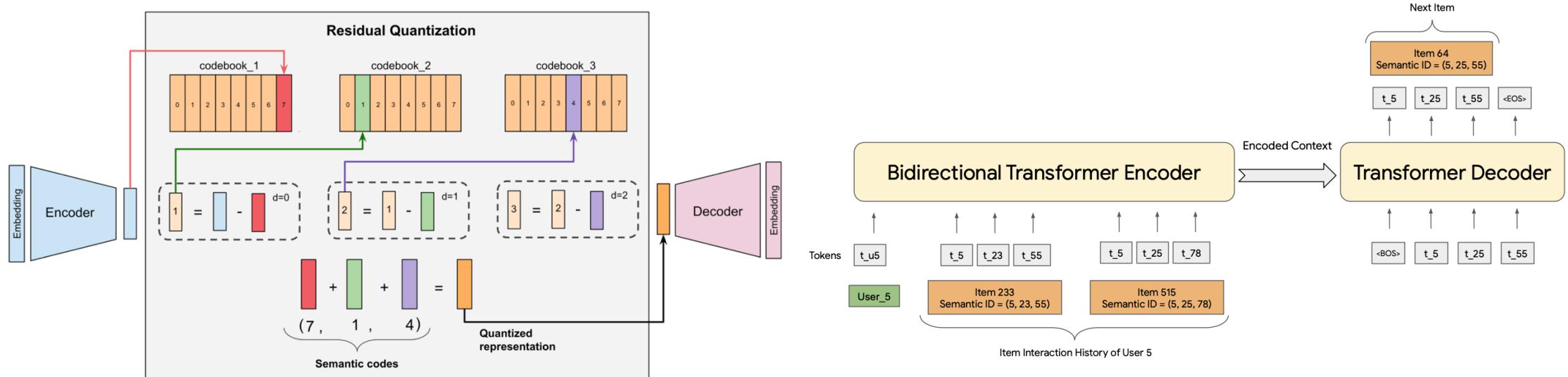
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# Tiger

- Use **RQ-VAE** on the LLM representation to get **semantic IDs**
- Use semantic IDs in the downstream recommendation models

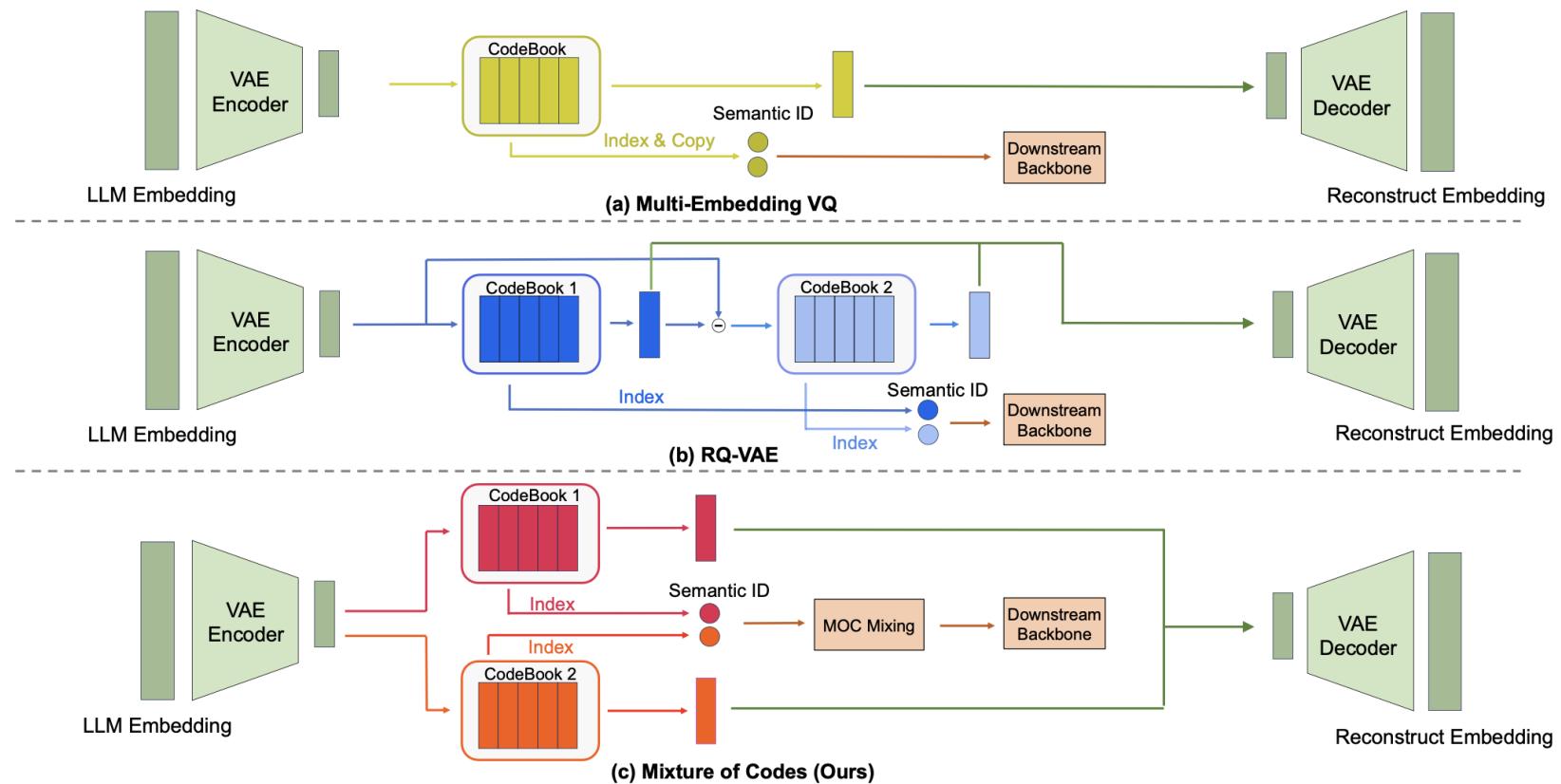


Why it works?

- **RQ-VAE** and **Semantic IDs** to capture the **source** space structures.
- **Semantic ID Embeddings** to align with the **target** space.

# Parallel Semantic IDs - MoC

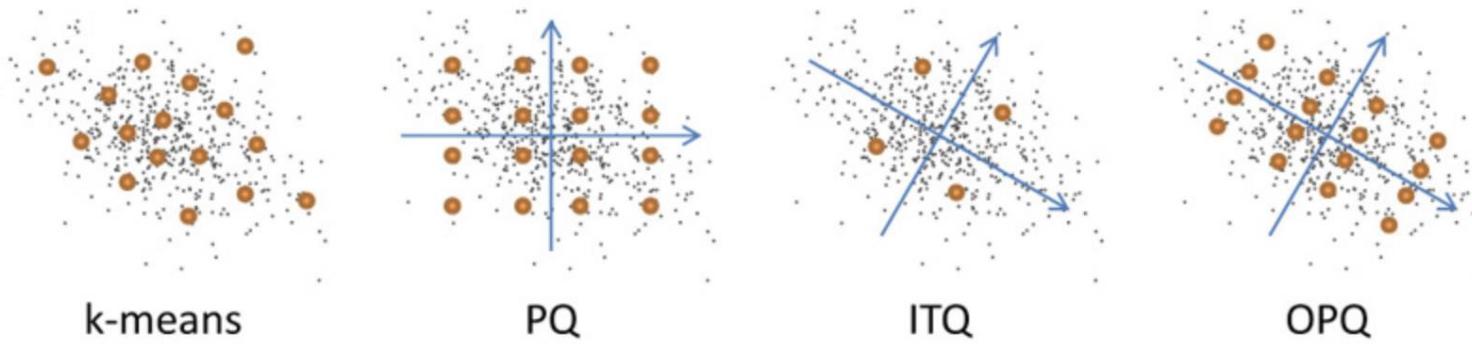
- RQ-VAE scales semantic IDs in a cascading way
- MoC scales semantic IDs in a parallel way



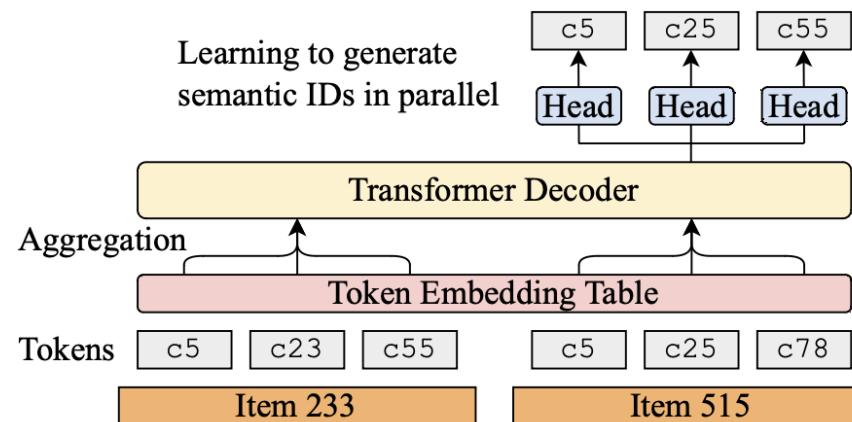
# Parallel Semantic IDs

- Generates parallel VQs with a **multi-token prediction loss**
- Employ **OPQ** to split sub-space

$$\mathcal{L} = - \sum_{j=1}^m \log \mathbb{P}^{(j)}(c_{t,j}|s) = - \sum_{j=1}^m \log \frac{\exp(\mathbf{e}_{c_{t,j}}^\top \cdot g_j(s)/\tau)}{\sum_{c \in C^{(j)}} \exp(\mathbf{e}_c^\top \cdot g_j(s)/\tau)},$$



## *Training w/ Multi-token Prediction*



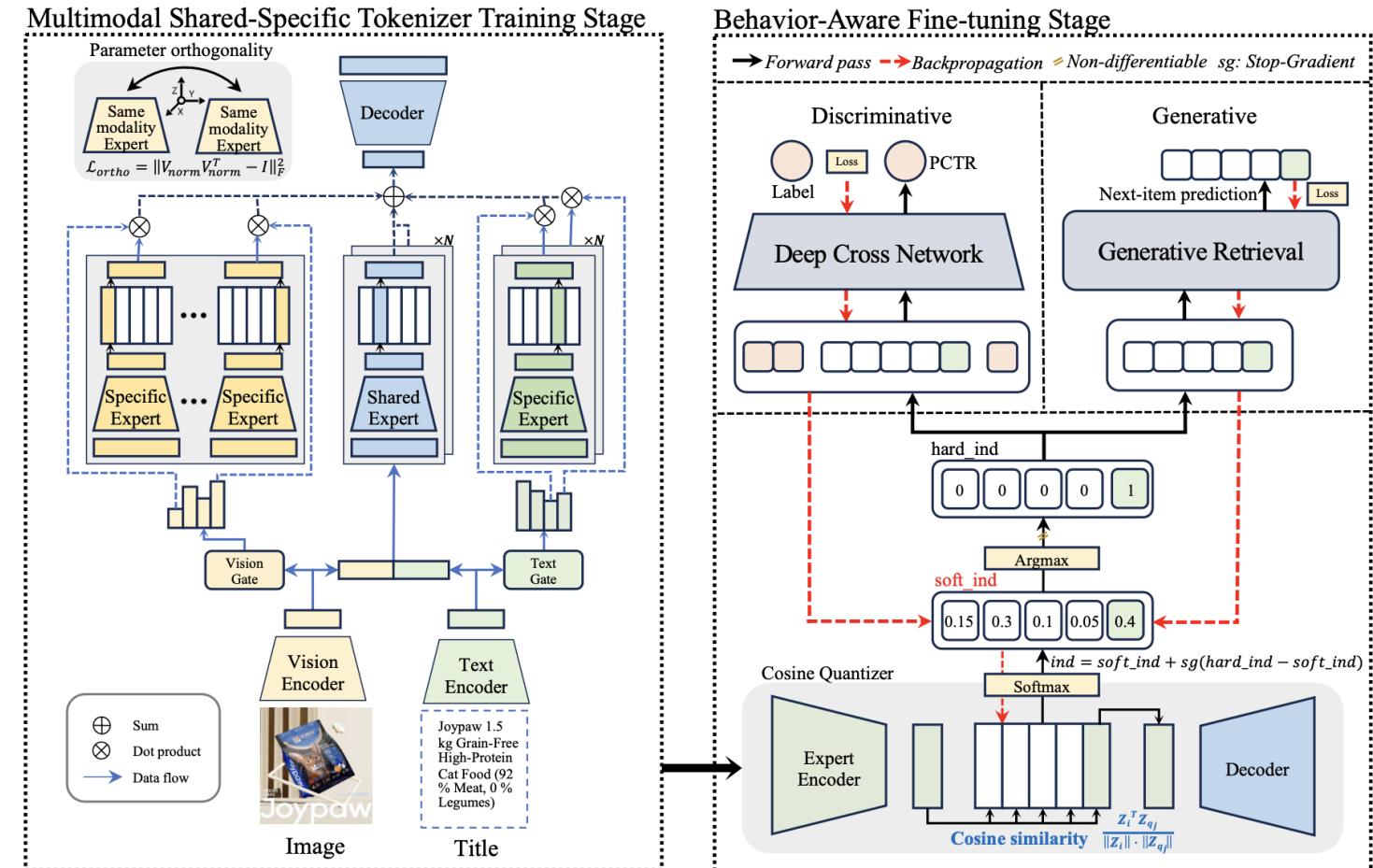
# Parallel Semantic IDs - MMQ

- Modality-shared and –specific experts
- **Orthogonality constraints** on experts

$$\mathcal{L}_{\text{ortho\_shared}} = \|\mathbf{V}_{\text{norm}} \mathbf{V}_{\text{norm}}^T - \mathbf{I}\|_F^2,$$

$$\mathcal{L}_{\text{ortho\_specific}} = \|\mathbf{V}'_{\text{norm}} \mathbf{V}'_{\text{norm}}^T - \mathbf{I}\|_F^2,$$

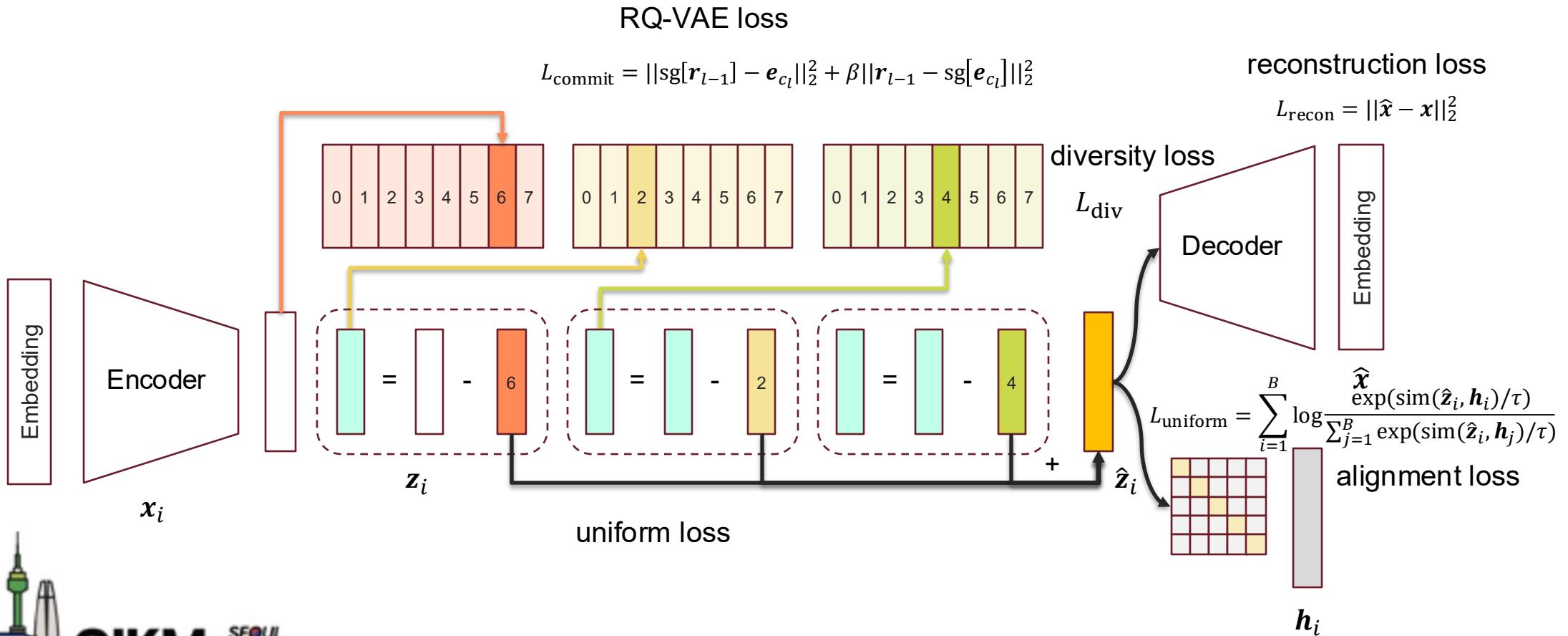
- Similar to Redundancy Reduction or Expert De-correlation Principle



# Collaborative-aware - LETTER

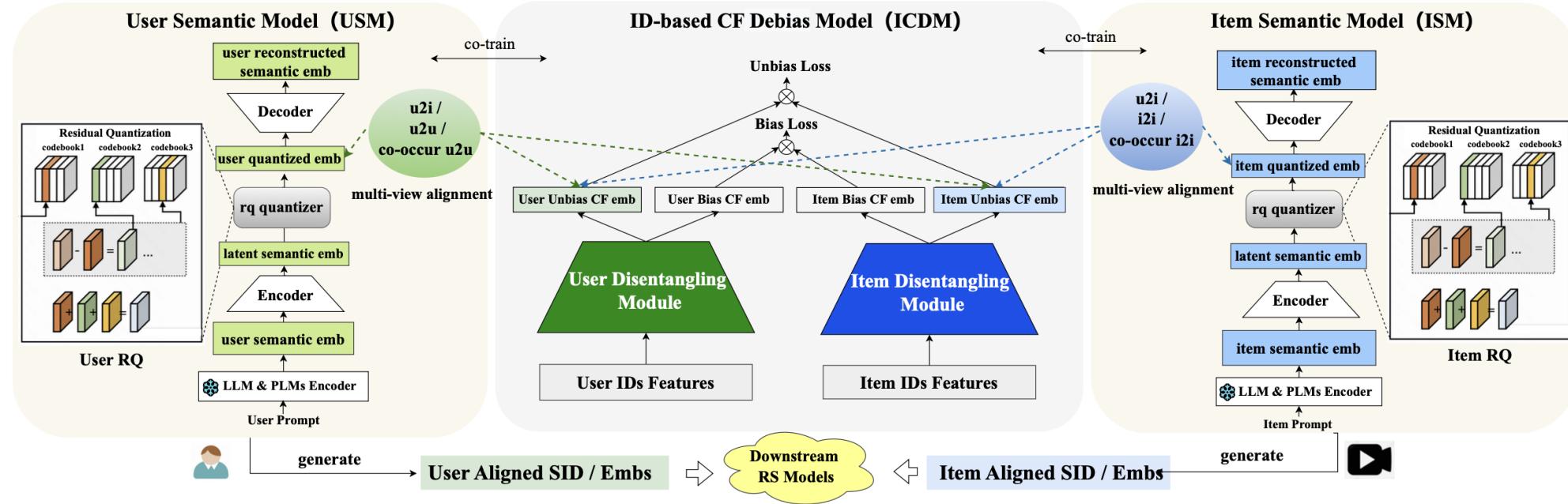
- LETTER **aligns** the semantic IDs with the **downstream recommendation models**
- Diversity loss** to promote the uniform distribution of the code embeddings

$$\mathcal{L}_{\text{CF}} = -\frac{1}{B} \sum_{i=1}^B \frac{\exp(\langle \hat{z}_i, \mathbf{h}_i \rangle)}{\sum_{j=1}^B \exp(\langle \hat{z}_i, \mathbf{h}_j \rangle)},$$



# Collaborative-aware - DAS

- Various contrastive/alignment losses between users and items across the semantic and collaborative embeddings



# Q & A

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