



## Bachelor Thesis

# Unified Path Following for Hybrid VTOLs

Autumn Term 2023



Eidgenössische Technische Hochschule Zürich Swiss Federal Institute of Technology Zurich

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## Abstract

With the advancement of low-cost electronics and UAV components, an interesting hybrid vehicle type so-called 'Hybrid VTOL' has been under the spotlight of academia and researchers' interest recently. Due to its versatility of utilizing both modes of operation from two distinct vehicle regimes: Multirotor and Fixed Wing, this breed of vehicle can fly further in an energy-efficient manner, meanwhile preserving the capability to launch and land in a small confined space when needed. However, Hybrid VTOLs usually have a decoupled Path Following a control strategy depending on its mode of operation. Although this approach works in a practical sense, both of the control strategies fail to capture the overall flexibility of the system.

In this thesis, a new Vector Field based Path Following formulation is derived and shown for supporting both fixed-wing and multirotor modes of operation.

# Acknowledgements

I would like to express my upmost gratitude for everyone who helped me throughout the journey of making this Bachelor Thesis project possible. As Thomas wrote in his PhD dissertation[1], ASL is truly like nowhere else, and has been, and will be a big part of my source of motivation in Robotics.

When I came across ASL's AtlantikSolar project in 2016 during my first year in Highschool, I was truly fascinated by the dedication and expertise ASL had to make such an incredible project possible. And undoubtedly, that led me to the field of Robotics, so having done this 4 Months long research at the foundation where my whole journey started was a mesmerizing experience. I will be missing the Coffee Kiosk at ASL, and hope to return in the near future!

Also, thank you Auterion for an incredible internship opportunity, and for helping me throughout the duration of the project!

# **Symbols**

#### Path Following parameters

 $\hat{t_p}$  Unit tangent vector of the path segment

 $\overline{e}$  Normalized track error

e Cross track error distance to the path

 $e_b$  Track error boundary

 $t_{const}$  Track error boundary time constant

 $V_{approach}$  Desired approaching speed orthogonal to the path

 $V_{path}$  Desired speed on path

 $V_{ref}^{\parallel}$  — Target velocity component parallel to path

 $V_{ref}^{\perp}$  —Target velocity component orthogonal (towards) to path

### Vehicle states

 $\lambda$  Course over ground

 $\xi$  Heading

#### Vehicle constraints

 $V_{max}$  Maximum Speed vehicle can achieve

 $V_{min}$  Minimum Speed Vehicle can achieve

 $V_{nom}$  Nominal Speed Vehicle can achieve (cruise speed for Fixed Wing)

### **Indices**

x x axis y y axis

## Acronyms and Abbreviations

ETH Eidgenössische Technische Hochschule

UAV Unmanned Aerial Vehicle

## Introduction

### 1.1 Motivation

Hybrid VTOLs are particularly interesting vehicle to study, which has an intermediate mode as either a Multirotor, or a Fixed Wing. However, conventional path following algorithms fail to incorporate both modes of operation.

### Limitations of Conventional Path Following Guidance

Currently, conventional path following algorithms suffer from the following two problems:

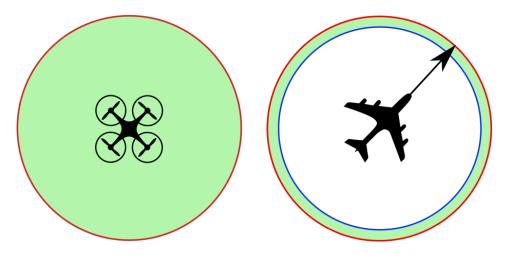


Figure 1.1: Permittable Velocity Range (in green) for the Multirotor and Fixed wing vehicles (Maximum shown in Red, Minimum in Blue)

- 1. **Constant nominal speed**: Both multirotor and fixed wings are expected to execute path following at a constant speed
- 2. **Definition of the nominal speed**: For a fixed wing vehicles, it makes sense to define the nominal speed as it's energy optimal cruise flight speed, but for multirotors there is no equivalent concept

In fact, when we try out the conventional fixed wing path following algorithm on an agile platform like multirotor, it exhibits a sub-optimal characteristics such as: 3 1.2. Definitions

1. Limited agility: Since fixed wing vehicles are very slow, the path following guidance didn't account for the agility multirotors can have. Thus, the guidance output is much less aggressive than we desire.

2. Limited velocity profile: As the guidance algorithm assumes a constant speed while following a path, we lose the ability to freely manipulate the magnitude of the velocity.

### 1.2 Definitions

Here the definitions for the terminologies are formulated alongside the graphic.

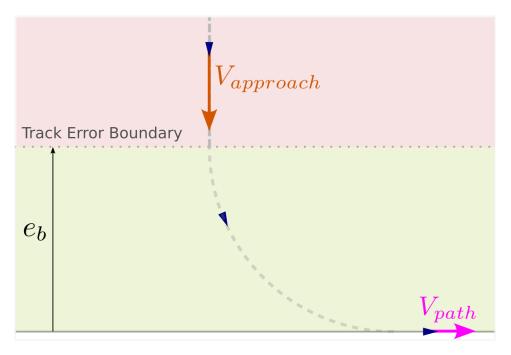


Figure 1.2: Path Following Terminology Definition

The path following is divided into three phases

- 1. **Approach**: When sufficiently far away from the path, vehicle approaches to the closest point on path in a direction orthogonal to path at an approach speed  $V_{approach}$
- 2. **Turning**: After vehicle crosses the track error boundary  $e_b$ , it starts to turn onto the path, diminishing the orthogonal velocity component
- 3. On-path: When on path (moderately close), vehicle follows the path at a specified on-path speed  $V_{path}$  in the direction of unit tangent vector  $\hat{t_p}$

In practice, most path-following algorithms focus on the second phase, 'Turning'. Since when on or far from path, vehicle has a relatively simple velocity setpoint to follow (follow or approach). Numerous solutions were suggested for the Turning phase in the past, which is summarized in chapter 2.

And the formulations for path-following algorithms is essentially coming up with how to control (velocity, acceleration, position, angular rate, etc) the vehicle to achieve a satisfactory Turning phase.

Furthermore, the terms are defined more rigorously.

$$\overline{e} = constrain(\frac{||e||}{e_b}, 0, 1) \tag{1.1}$$

### 1.3 Assumptions

Throughout the

### 1.4 Goal of this Project

The goal of this thesis is to construct a unified path following guidance that can be utilized both by Multirotor and Fixed Wing vehicles, thereby also allowing the usage on Hybrid VTOL vehicles.

#### 1.5 Contributions

This thesis, to our best knowledge, describes the first unified path following guidance that specifically accounts for the maneuverability envelope of a Hybrid VTOL.

### 1.6 Overview

This thesis is structured as following:

- 1. In 3Problem Definition, we examine the path following problem in detail, and come up with the terminologies as well as the constraints that needs to be respected
- 2. In Methods, we present 3 different formulations including the base method (from a past publication) and discuss the differences between them
- 3. In Evaluation, we evaluate the formulations against the constraints and desired characteristics defined before
- 4. In Conclusion, we determine which formulation is the best, and the use cases based on the evaluation

# Related Work

### 2.1 Path Following Methods

There are different methods in the field of path-following control. Such as Vector field, Virtual target pursuit, Nonlinear, Feedback linearization, Optimal control, Model predictive control to name a few.

#### 2.1.1 Vector Field Method

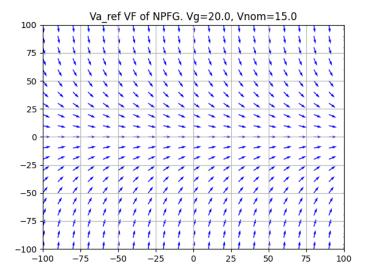


Figure 2.1: Visual representation of a Vector Field. Path is at Y=0 with the direction of +X

Vector Field method is based on generating a field of vectors around the path that when the vehicle follows the directions defined by the vectors, it will converge to the path[2][3].

Since the Vector Field approach is simple (geometry), and easily extendable (vector field can be interpreted by both multirotor and fixed wing), it was chosen as the basis for the formulation in this thesis.

### 2.1.2 Virtual Target Pursuit Method

One of the most intuitive way to achieve path following is to have a virtual target point on the path that the vehicle chases. Also known as 'carrot chasing' method (imagine placing a carrot, and a horse blindly follows it. If you can steer the carrot cleverly, you can have an accurately path-following horse!), this method includes for example widely used L1 guidance law[4], especially for fixed wing and multirotor applications in open-source autopilot software.

### 2.2 Path Following on Hybrid VTOL

Although almost no research on using a unified path following guidance for a Hybrid VTOL was conducted in the past, one study[5] showed an 'Incremental nonlinear dynamic inversion' based path following for both multirotor and fixed-wing modes operation of a hybrid quad-plane. This study utilized the same concept as this thesis of the Vector Field method to generate desired ground velocity vector.

However, it assumed an arbitrary constant speed of 2.0 m/s for a multirotor, which severely under-utilizes the wide speed range of a multirotor. Also, having a constant speed means the ground track of converging to the path will always form arcs, which is also not how an operator would assume the vehicle to move and is severely under-utilizing the omnidirectional acceleration capability of a multirotor.

## Problem Definition

The path-following problem is to generate a desired command to guide a vehicle at a given initial configuration (position, velocity, and heading) onto a path, then have it move towards the desired direction. Unlike a conventional multirotor or a fixed wing, Hybrid VTOL can utilize both modes of the vehicle.

We must come up with a vector field of desired ground velocities  $(V_{ref})$  on a 2D plane (vertical control isn't considered). The sections below define the set of requirements that an ideal Path Following guidance for Hybrid VTOL should incorporate.

### 3.1 Output of the Path Following Guidance

The output of a vector field based path following guidance is a desired velocity vector. And the low-level controller is expected to actuate the vehicle to achieve the desired velocity profile.

In some papers additional outputs like lateral acceleration, heading angle, etc are also formulated. However to simplify the application we do not constrain the guidance algorithm to also include any of those outputs.

## 3.2 Approaching & On-path Velocity Constraints

To abide by the user-specified path following behavior, which is  $V_{path}$  for the desired speed on the path, and  $V_{approach}$  for desired approaching speed orthogonal to the path outside the track error boundary  $(e_b)$ , the following conditions must be satisfied:

$$V_{ref}^{\perp}(e) = \begin{cases} V_{approach} & e > e_b \\ 0 & \text{if } e = 0 \end{cases}$$
 (3.1)

$$V_{ref}^{\parallel}(e) = \begin{cases} 0 & \text{if } e > e_b \\ V_{path} & \text{if } e = 0 \end{cases}$$
 (3.2)

#### 3.3 Multirotor Behavior Constraints

Since the multirotor mode of operation is capable of coming to a complete stop  $(V_{ref} = 0)$ , and has an acceleration control in any desired direction irrelevant to the heading, we can define the following constraints:

$$||V_{ref}|| < V_{max}$$

$$||\frac{d}{dt}V_{ref}|| < a_{max}^{mc}$$
(3.3)

### 3.4 Fixedwing Behavior Constraints

Since the fixed wing mode of operation has essentially almost no control over the forward velocity ( $V_{ref} = V_{nom}$ ), and only has a lateral acceleration control using roll angle manipulation, we can define the following constraints:

$$||V_{ref}|| = V_{nom}$$

$$||a_N|| < a_{l,max}^{fw}$$

$$(3.4)$$

### 3.5 Monotonicity Objective

Ideally, the magnitude of the desired ground velocity vector field should be monotonic, meaning while approaching the path, the norm of the desired velocity vector should not increase and decrease at any two points in time.

Practically speaking, this ensures a smooth (vehicle either only brakes or accelerates) path approaching behavior and intuitively would prevent excessive use of acceleration. Therefore, the velocity vector field should satisfy only one of the conditions below.

$$\frac{d}{dt}||V_{ref}(e)|| = \begin{cases} \geq 0 & \text{Monotonically increasing} \\ \leq 0 & \text{Monotonically decreasing} \end{cases}$$
(3.5)

## 3.6 Convergence Time Objective

Realistically, the path-following algorithm can't track a path with an absolute track error of zero. However, borrowing the concept of 'settling time' from control theory, we can classify the vehicle as converged to the path if the track error is within a certain threshold.

Therefore, the idealistic path following guidance should minimize the time of reaching track error of  $e_{end}$ , starting from a fixed starting track error  $e_{start}$  (these values must be fixed to a sane value, such as  $e_{end}$  as 5% of  $e_{start}$ , and  $e_{start}$  as the biggest track error boundary out of all algorithms in comparison).

And since we decouple a path parallel/orthogonal components of the desired ground velocity vector field, convergence time can be formulated like following.

$$T_{conv} = \int_{e_{start}}^{e_{end}} dt$$

$$= -\int_{e_{start}}^{e_{end}} \frac{1}{V_{ref}^{\perp}(e)} de$$
(3.6)

## Methods

### 4.1 Constant Velocity Path Following (Unicyclic)

As a base method to improve on, a Nonlinear Path Following Guidance[2] for a Fixed Wing vehicle was chosen because the Fixed Wing presents the most conservative and constrained platform, and thus can be extended easily to incorporate a more agile platform (e.g. Multirotor).

Since Fixed Wing has a relatively constant forward speed, the algorithm thus assumes a 'unicyclic' motion, as if the vehicle was a person riding a unicycle with a constant speed. This means that only the direction of travel may change (heading), and not its speed.

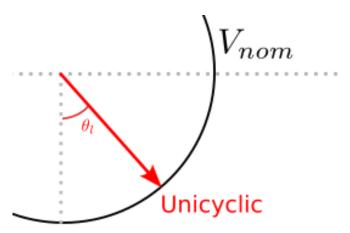


Figure 4.1: Unicyclic Formulation

Here first the track error boundary gets defined proportional to the nominal speed  $V_{nom}$ . This is to make the path following magnitude independent and thus have a fixed convergence time. And the time constant  $\tau_{const}$  can be set by the user, which in turn will define the acceleration that occurs when following the vector field.

$$e_b = V_{nom} \cdot \tau_{const} \tag{4.1}$$

Here the look ahead angle  $\theta_l$  is defined by the normalized track error  $\overline{e}$ , as in [1] defined in Equation 4.2.

$$\theta_l = \frac{\pi}{2} (1 - \overline{e})^2 \tag{4.2}$$

As shown in Figure 4.1, when a desired course angle  $(\theta_l$ , relative to  $\hat{t_p}$ ) is given, the desired ground velocity in the absence of wind essentially becomes  $V_r e f(e) = V_{nom} \cdot \hat{l}(e)$ , where  $\hat{l}$  is the unit vector pointing in the direction of a desired course angle.

This leads to the final reference velocity calculation like following

$$V_{ref}^{\perp} = V_{nom} \cdot cos(\theta_l)$$

$$V_{ref}^{\parallel} = V_{nom} \cdot sin(\theta_l)$$
(4.3)

Then (in the original formulation  $V_{nom}$  is ignored in the absence of wind, and only heading error gets calculated) the heading error proportionally commands a lateral acceleration, which brings the vehicle on its desired heading, achieving the desired course angle.

Therefore the estimation of the vehicle's heading is a crucial factor in this formulation, which is why it isn't ideal for multirotor use cases since it doesn't have an acceleration capability tied to the heading.

### 4.2 Variable Velocity Path Following (Hybrid)

To overcome the aforementioned limitation of the Unicyclic formulation, a new 'Hybrid' formulation is presented. It is essentially an adaptation of the Unicyclic formulation to account for a flexible velocity range of a Multirotor and a different meaning behind the vector field itself.

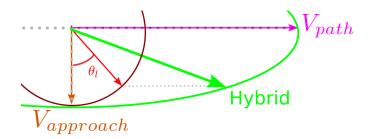


Figure 4.2: Hybrid Formulation

Unlike the unicyclic method, hybrid has a variable  $V_{path}$  component parallel to path, and  $V_{approach}$  component orthogonal to path. And it is essentially an ellipse that is stretched in each axis to match the approach & on-path constraints described in section 3.2.

Track error boundary gets defined very similarly to the Unicyclic case, proportional to the approach speed  $V_{approach}$ . This was done because the convergence time depends on the orthogonal velocity curve. And the time constant  $\tau_{const}$  can be set by the user, which in turn will define the acceleration that occurs when following the vector field.

$$e_b = V_{approach} \cdot \tau_{const} \tag{4.4}$$

Here the look ahead angle  $\theta_l$  is defined by the normalized track error  $\overline{e}$ , as in [1] defined in Equation 4.5.

$$\theta_l = \frac{\pi}{2} (1 - \overline{e})^2 \tag{4.5}$$

This leads to the final reference velocity calculation like following

$$V_{ref}^{\perp} = V_{approach} \cdot cos(\theta_l)$$

$$V_{ref}^{\parallel} = V_{path} \cdot sin(\theta_l)$$
(4.6)

Along with it, the interpretation of the Velocity vector field is not just in terms of the desired course angle, but actually incorporates the exact velocity vector vehicle would need to achieve as shown in Figure 4.3.

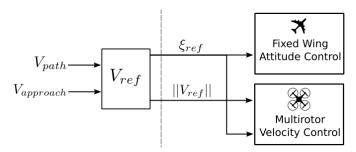


Figure 4.3: Multirotor and Fixedwing control pipeline from the Vector Field

This is possible because a multirotor can follow any velocity setpoint in any condition/heading. Furthermore, it doesn't get affected as much as in fixed wing case, so we can assume that any sane velocity setpoint within the vehicle's capability is feasible.

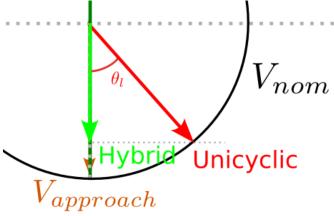
Notice how only the desired heading  $\xi_{ref}$  is taken for fixed wing attitude control, and for multirotor the full velocity vector  $V_{ref}$  is taken as an input.

## **Evaluation**

### 5.1 Approach & On-path Velocity Constraint

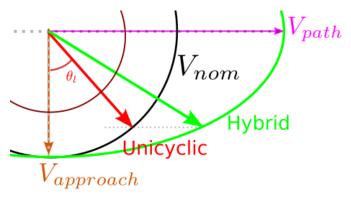
As expected, the  $V_{approach}$  and  $V_{path}$  is only expected in the Hybrid formulation.

### 5.1.1 Low Speed On Path



For a case where we desire to stop on the path, the hybrid formulation successfully demonstrates that it can approach the path orthogonally and come to a full stop.

### 5.1.2 High Speed On Path



For a high speed on path case, hybrid formulation shows a different approach geometry.

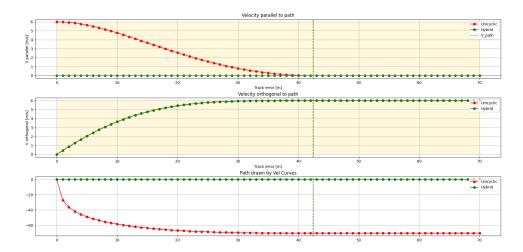


Figure 5.1: Velocity Curves with zero  $V_{path}$ 

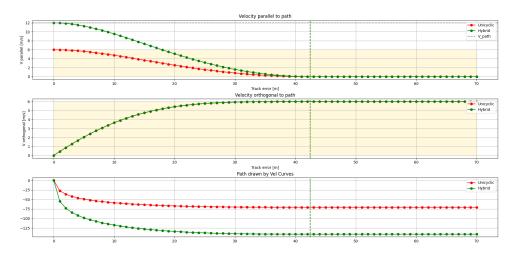


Figure 5.2: Velocity Curves with high  $V_{path}$ 

## 5.2 Acceleration Analysis

### 5.2.1 Path Orthogonal Acceleration

The acceleration in the direction orthogonal to the path depends solely on the  $V_{ref}^{\perp}(e)$  curve, and assuming vehicle perfectly following the reference velocity vector field, it can be calculated like following:

$$\frac{d}{dt}V_{ref}^{\perp}(e) = \frac{de}{dt}\frac{d}{de}V_{ref}^{\perp}(e)$$

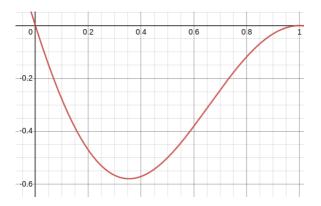
$$= -V_{ref}^{\perp}(e) \cdot \frac{d}{de}V_{ref}^{\perp}(e)$$

$$= -V_{ref}^{\perp}(e) \cdot \frac{d\overline{e}}{de} \cdot \frac{d}{d\overline{e}}V_{ref}^{\perp}(\overline{e})$$

$$= V_{ref}^{\perp}(e) \cdot \frac{1}{e_b} \cdot V_{approach} \cdot sin(\theta_l(\overline{e})) \cdot \pi(\overline{e} - 1)$$

$$= \frac{V_{approach}^2}{e_b} \cdot \frac{sin(2\theta_l)}{2} \cdot \pi(\overline{e} - 1)$$

$$= \frac{\pi \cdot V_{approach}}{2t_{const}} \cdot sin(\pi(1 - \overline{e})) \cdot (\overline{e} - 1)$$
(5.1)



Just take the right side of the final equation, this results in the following graph, which deterministically allows us to estimate the acceleration orthogonal to the path when following a track error boundary Equation 4.4 and look ahead angle Equation 4.5 as multiplication of the  $\frac{\pi \cdot V_{approach}}{2t_{const}}$  term and the graph.

And since by numerical analysis, the maximum negative acceleration occurs at  $0.3542 \cdot e_b$ , with a value of  $-0.5792 \cdot \frac{\pi \cdot V_{approach}}{2t_{const}}$ , the maximum magnitude of the orthogonal acceleration is determined as  $0.9099 \cdot \frac{V_{approach}}{t_{const}}$ .

Therefore, by picking a conservative value of  $t_{const} = \frac{a_{max}^{mc}}{\sqrt{2}V_{approach}}$ , the most aggressive approaching behavior can be chosen, which greatly improves the utilization of multirotor's agility compared to a unicyclic approach as demonstrated in the Table 5.1 below. Note, the  $t_{const}$  for Unicyclic formulation was retrieved from the original paper[2], which was adapted for the fixed wing use case (thus, larger  $t_{const}$ ).

	Unicyclic	Hybrid
$a_{max}^{mc}[m/s^2]$	10.0	10.0
$V_{approach/nom}[m/s]$	10.0	10.0
$t_{const}[s]$	7.071	0.7071
$a_{max}^{\perp}[m/s^2]$	0.643	6.434

Table 5.1: Maximum Acceleration Utilized by Unicyclic vs Hybrid Formulation

### 5.3 Monotonicity Analysis

Since the Velocity setpoint of the Hybrid Formulation 4.6 is always a point on an ellipse, it is **guaranteed to be monotonic** as the look-ahead angle  $\theta_l$  varies from 0 to  $\frac{\pi}{2}$ .

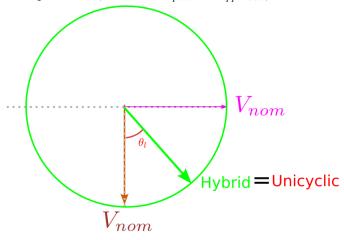
### 5.4 Convergence Time Analysis

Similarly to the acceleration analysis in Equation 5.1, convergence time also depends solely on the parameter  $t_{const}$ , since the absolute magnitude of the velocity was abstractified in Equation 4.4.

Therefore, since the hybrid formulation can utilize the acceleration constraint more flexibly (as shown in Table 5.1), convergence time to the path is also always faster using the Hybrid formulation.

### 5.5 Fixed Wing Case

As shown below, the Hybrid formulation still encompasses the unicyclic formulation as a special case, when the  $V_{path} = V_{approach}$ , which is designated as  $V_{nom}$ .



## Conclusion

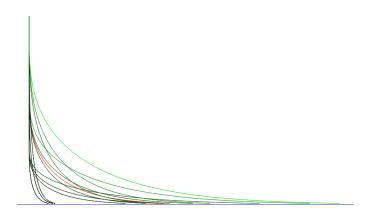


Figure 6.1: Artwork of different path drawn via Hybrid formulation

This thesis successfully demonstrated that unified guidance considering both Multirotor and Fixed Wing use cases for a Hybrid VTOL can be formulated. The Hybrid formulation 4.6, was designed to satisfy all the constraints 3 and hence is a better solution for the use in Hybrid VTOLs.

Furthermore, more analysis was given in chapter 5, where the superiority of the Hybrid formulation over original Unicyclic method was shown. This is in most part, thanks to the adjustability of the  $t_{const}$  appropriately by predicting the acceleration that will occur when following a path beforehand.

Note, however that in order to utilize this fully, the real-time adaptation of the  $t_{const}$  should be done carefully, to not violate acceleration constraints of different modes of operation.

### 6.1 Future Work

#### 6.1.1 Real Flight Testing

Unfortunately, the proposed methods were never tested on a real test flight. Implementation of this algorithm on open-source flight control software such as PX4 Autopilot[6] would certainly be possible in the future.

17 6.1. Future Work

### 6.1.2 Acceleration Limit in Body Frame

One of the biggest reason why the Maximum Acceleration Method

#### 6.1.3 Wind

Since the acceleration constraint of a Fixed Wing is tied to it's yaw angle, which in turn is tied to the wind vector, it wasn't trivial to come up with a generic solution that would cover all the different wind cases in terms of the acceleration required by the Vector Field.

Therefore, it would be nice to incorporate wind more thoroughly in the guidance algorithm in the future for both Multirotor and Fixed Wing cases to create an actually optimal path following commands, and not just the ground velocity vector field.

#### 6.1.4 Low Level Control

This thesis assumed that the low level controller would be capable of actuating the vehicle to track it's desired ground velocity. However, without the acceleration feed forward command directly computed from the spatial derivative of the Vector Field it would of course be challenging for the low level controller to tightly track the desired velocity.

Therefore, like done in the original unicyclic formulation, it is desirable to passthrough an actual acceleration command in body frame.

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## Appendix A

# Maximum Acceleration Limited Path Following Method

This is a relaxed maximum acceleration (in path parallel, and orthogonal directions) calculation-based vector field, where we assume that a vehicle approaching at  $V_{approach}$  accelerates at the highest possible acceleration to achieve  $V_{path}$  while converging to the path.

### A.1 Defining orthogonal velocity curve

The 'orthogonal' velocity is what actually ties the 'time' - 'track error' relationship (e(t)) since the cross track error is only affected by the orthogonal velocity. Theoretically, 'parallel' velocity can have an infinite number of plausible e(t) curves.

Let's take a close look at a typical orthogonal velocity curve in Figure A.1.

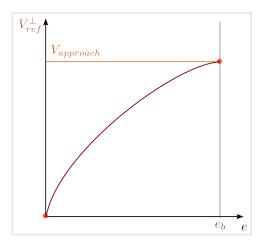


Figure A.1: Orthogonal Velocity Curve

Here, we define  $V_{ref}^{\perp}(e)$ , which represents the relationship between orthogonal velocity and cross-track error, as shown in Figure A.1.

## A.1.1 Constraints of $V_{ref}^{\perp}$

To satisfy the entry and on-path conditions, it is clear that the orthogonal velocity curve has a constraint of:

$$V_{ref}^{\perp}(e) = \begin{cases} V_{approach} & \text{if } e = e_b \\ 0 & \text{if } e = 0 \end{cases}$$
 (A.1)

Meaning that at the track error boundary, approaching velocity must be respected and on path orthogonal velocity must be 0 (to follow the path).

### A.1.2 Acceleration limited orthogonal velocity profile

#### Derivations of orthogonal velocity curve

Here is a more in-depth constraint formulation using derivation:

$$\frac{d}{dt}V_{ref}^{\perp}(e) = \frac{de}{dt}\frac{d}{de}V_{ref}^{\perp}(e)$$

$$= -V_{ref}^{\perp}(e) * \frac{d}{de}V_{ref}^{\perp}(e)$$
(A.2)

Therefore, if 
$$\frac{d}{dt}V_{ref}^{\perp}(e) \ge -a_{max}^{\perp}$$
   
  $\frac{d}{de}V_{ref}^{\perp}(e) \le \frac{a_{max}^{\perp}}{V_{ref}^{\perp}}$  (A.3)

This places an upper limit to the derivative of the  $V_{ref}^{\perp}(e)$  curve.

#### Simple maximum acceleration orthogonal velocity curve

Using the constraint Equation A.1, the 'maximum' acceleration (deceleration) profile of approaching the path can be defined like following:

With orthogonal acceleration limit: 
$$a_{max}^{\perp}$$
 (A.4)

$$S_{max-acc}^{\perp} = \{V_{ref}^{\perp}(e)|\ddot{e} = -a_{max}^{\perp}\}$$
 (A.5)

Where the  $S_{max-acc}^{\perp}$  defines the most aggressive curve that is feasible (with a hard deceleration of  $-a_{max}^{\perp}$  once the track error enters the track error boundary.

This curve is in fact easily deducible using a constant acceleration equation on a 1-dimensional axis:

With 
$$e_b = \frac{V_{approach}^2}{2a_{max}^{\perp}}$$
 (A.6)

$$S_{max-acc}^{\perp}(e) = \begin{cases} \sqrt{2a_{max}^{\perp}} * \sqrt{e} & \text{if } e < e_b \\ V_{approach} & \text{if } e \ge e_b \end{cases}$$
 (A.7)

Particularly, we define the  $e_b$  in this case as  $e_{approach}^{min}$ , which defines the **minimum** required track error to bring  $V_{approach}$  to 0 using maximum orthogonal acceleration.

$$e_{approach}^{min} = \frac{V_{approach}^{2}}{2a_{max}^{\perp}}$$

$$V_{ref}^{\perp} \qquad V_{approach}$$

$$S_{max-acc}^{\perp} \qquad S_{max-acc}^{\prime}$$

$$e_{approach}^{\prime} \qquad e_{b} \qquad e$$

$$(A.8)$$

Figure A.2: Maximum orthogonal acceleration curve

Note here that  $e^{min}_{approach}$  varies by acceleration limit and approach speed. And if we have a constant  $e_b$  that we must satisfy (as drawn in Figure A.2), it is simply physically impossible to brake in time without overshooting the path if  $e_b$  is smaller than  $e^{min}_{approach}$ . Therefore, another constraint applies:

$$e_b \ge e_{approach}^{min}$$
 (A.9)

And as long as the Equation A.9 and Equation A.3 satisfies, *any* curve can be a feasible curve that doesn't exceed maximum orthogonal acceleration limit.

#### Relaxed maximum acceleration orthogonal velocity curve

As noted in the previous section, as long as the Equation A.9 satisfies, the velocity curve can be drawn. However, how do we actually accommodate the specified  $e_b$  into the velocity curve?

To provide a simple solution, a 'relaxed' orthogonal velocity curve  $S'^{\perp}_{max-acc}$  can be formulated like the following:

$$S'_{max-acc}^{\perp}(e) = S_{max-acc}^{\perp} \left(\frac{e_{approach}^{min}}{e_b} * e\right)$$

$$= \begin{cases} \sqrt{\frac{2a_{max}^{\perp} e_{approach}^{min}}{e_b}} * \sqrt{e} & \text{if } e < e_b \\ V_{approach} & \text{if } e \ge e_b \end{cases}$$

$$= \begin{cases} V_{approach} * \sqrt{\frac{e}{e_b}} & \text{if } e < e_b \\ V_{approach} & \text{if } e \ge e_b \end{cases}$$

$$(A.10)$$

Which is basically a stretched form of  $S_{max-acc}^{\perp}$  to match  $e_{approach}^{min}$  to specified  $e_b$ . This satisfies the acceleration derivative constraint (Equation A.3), because the derivative will always be below the upper limit when stretched across the track error axis. This is also illustrated in Figure A.2.

Note that not every curve between  $S_{max-acc}^{\perp}$  and  $S'_{max-acc}^{\perp}$  is a feasible curve. Because the derivative can go over the upper limit in local sections. Introducing the relaxed maximum acceleration orthogonal velocity curve was in fact motivated by the fact that there are infinite solutions, to provide a simple feasible solution.

### A.2 Parallel Velocity Curve

Now that we have a theoretical maximum acceleration constrained  $V_{ref}^{\perp}(e)$  curve, the parallel Velocity curve can be defined.

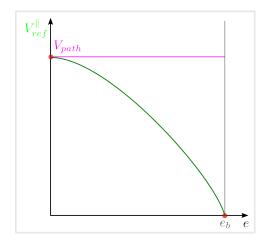


Figure A.3: Parallel Velocity Curve

## **A.2.1** Constraints of $V_{ref}^{\parallel}(e)$

To respect the boundary/on-path conditions, it is clear that the parallel velocity curve has a constraint of:

$$V_{ref}^{\parallel}(e) = \begin{cases} 0 & \text{if } e = e_b \\ V_{path} & \text{if } e = 0 \end{cases}$$
(A.11)

### A.2.2 Acceleration limited parallel velocity profile

First constraint that applies to  $V_{ref}^{\parallel}(e)$  is it's acceleration along the direction parallel to the path. This can be derived easily, but with a dependency to orthogonal velocity curve.

With parallel acceleration limit: 
$$a_{max}^{\parallel}$$
 (A.12)

$$S_{max-acc}^{\parallel} = \{V_{ref}^{\parallel}(e)|\ddot{V}_{ref}^{\parallel}(e) = a_{max}^{\parallel}\} \tag{A.13} \label{eq:A.13}$$

If we apply the derivation:

$$\begin{split} \frac{d}{dt}V_{ref}^{\parallel}(e) &= \frac{de}{dt}\frac{d}{de}V_{ref}^{\parallel}(e) \\ &= -V_{ref}^{\perp}(e) * \frac{d}{de}V_{ref}^{\parallel}(e) \end{split} \tag{A.14}$$

Therefore, if 
$$\frac{d}{dt}V_{ref}^{\parallel}(e) \leq a_{max}^{\parallel}$$
, 
$$\frac{d}{de}V_{ref}^{\parallel}(e) \geq -\frac{a_{max}^{\parallel}}{V_{ref}^{\perp}(e)}$$
 (A.15)

This puts a lower bound on the derivative of  $V_{ref}^{\parallel}(e)$ , defining how aggressively the parallel velocity can decrease along cross-track error (e).

## Maximum acceleration parallel velocity with relaxed max orthogonal velocity curve

It should be noted that by substituting the relaxed maximum acceleration orthogonal velocity curve (Equation A.10) to  $V_{ref}^{\perp}(e)$ , the constraint becomes:

$$\frac{d}{de}V_{ref}^{\parallel}(e) \ge -\frac{a_{max}^{\parallel}}{S'_{max-acc}^{\perp}(e)}$$

$$= \begin{cases}
-\frac{a_{max}^{\parallel}}{V_{approach}} * \sqrt{\frac{e_b}{e}} & \text{if } e < e_b \\
-\frac{a_{max}^{\parallel}}{V_{approach}} & \text{if } e \ge e_b
\end{cases}$$
(A.16)

Also, considering boundary constraints in Equation A.11, it should be noted that another constraint must be satisfied between  $V_{path}$  and  $e_b$ , since if the  $V_{path}$  is too large, it would be impossible to reach the desired speed on path with a given  $e_b$  distance to track. Therefore, we must compute the 'maximum'  $V_{path}$  achievable with a given constraints:

$$\begin{split} V_{path}^{max}|_{S_{max-acc}^{\prime\perp}} &= S_{max-acc}^{\parallel}(e=0) \\ &= \int_{e_b}^{0} -\frac{a_{max}^{\parallel}}{V_{approach}} \sqrt{\frac{e_b}{e}} de \\ &= -\frac{a_{max}^{\parallel} \sqrt{e_b}}{V_{approach}} * [2\sqrt{e}]_{e_b}^{0} \\ &= \frac{2a_{max}^{\parallel} e_b}{V_{approach}} \end{split} \tag{A.17}$$

Therefore, if  $V_{path}$  is greater than the calculated  $V_{path}^{max}|_{S_{max-acc}^{\prime\perp}}$ , the parallel velocity curve is simply infeasible with the parallel acceleration constraints. And it is in fact possible to calculate the minimum track error boundary required to achieve the speed on path.

Since 
$$V_{path} = \int_{e_{path}^{min}}^{0} -\frac{a_{max}^{\parallel}}{V_{approach}} \sqrt{\frac{e_b}{e}} de,$$

$$= -\frac{a_{max}^{\parallel} \sqrt{e_b}}{V_{approach}} * [2\sqrt{e}]_{e_{path}^{min}}^{0},$$
Therefore,  $e_{path}^{min}|_{S_{max-acc}^{\prime\perp}} = [\frac{V_{path} V_{approach}}{2a_{max}^{\parallel}}]^2 * \frac{1}{e_b}$ 
(A.18)

Which defines the minimum track error point where acceleration in parallel velocity should be applied, with the given relaxed maximum acceleration orthogonal velocity curve  $S'^{\perp}_{max-acc}$ .

Using this, we can formulate the maximum acceleration parallel velocity curve dependent on relaxed orthogonal velocity:

$$S_{max-acc}^{\parallel}(e) = \begin{cases} V_{path} - \frac{2a_{max}^{\parallel}\sqrt{e_b}}{V_{approach}} * \sqrt{e} & \text{if } e < e_{path}^{min}|_{S_{max-acc}^{\prime \perp}} \\ 0 & \text{if } e \ge e_{path}^{min}|_{S_{max-acc}^{\prime \perp}} \end{cases}$$
(A.19)

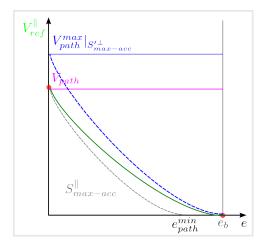


Figure A.4: Acceleration limited parallel velocity curve

#### Relaxed parallel velocity curve with relaxed orthogonal velocity curve

Similarly to orthogonal velocity curve's case, the  $e_{path}^{min}|_{S_{max-acc}^{\prime\perp}}$  doesn't equal to  $e_b$  all the time. Therefore, we introduce another relaxed parallel velocity curve that satisfies the acceleration limits:

$$S'_{max-acc}^{\parallel}(e) = S_{max-acc}^{\parallel}\left(\frac{e_{path}^{min}|_{S'_{max-acc}^{\perp}}}{e_{b}} * e\right)$$

$$= \begin{cases} V_{path} - \frac{2a_{max}^{\parallel}\sqrt{e_{b}}}{V_{approach}} * \sqrt{\frac{e_{path}^{min}|_{S'_{max-acc}}}{e_{b}}} * e} & \text{if } e < e_{b} \\ 0 & \text{if } e \ge e_{b} \end{cases}$$

$$= \begin{cases} V_{path} * (1 - \sqrt{\frac{e}{e_{b}}}) & \text{if } e < e_{b} \\ 0 & \text{if } e \ge e_{b} \end{cases}$$

$$= \begin{cases} V_{path} * (1 - \sqrt{\frac{e}{e_{b}}}) & \text{if } e < e_{b} \\ 0 & \text{if } e \ge e_{b} \end{cases}$$

This is a very similar form to Equation A.10, as the velocities get ramped in/out proportional to  $\sqrt{\frac{e}{e_b}}$ .

#### A.2.3 Velocity norm Monotonicity

Another constraint is to make sure vehicle doesn't brake and accelerate, but rather have a constantly decreasing velocity norm profile when approaching path. This is

desired since it gives a smooth speed curve, which can have higher efficiency (to be clarified), and more intuitive path following behavior.

$$|\dot{V_{ref}}| \le 0 \tag{A.21}$$

Since derivative of the velocity curves against track error (e), when multiplied by  $-V_{ref}^{\perp}$  gives the derivative against time, this can be formulated as:

$$\begin{aligned} |\dot{V_{ref}}| &= \sqrt{V_{ref}^{\parallel}}^2 + V_{ref}^{\perp}^2 \\ &= \frac{1}{|V_{ref}|} * (\dot{V}_{ref}^{\parallel} + \dot{V}_{ref}^{\perp}) \\ &= \frac{-V_{ref}^{\perp}}{|V_{ref}|} * (\frac{dV_{ref}^{\parallel}}{de} + \frac{dV_{ref}^{\perp}}{de}) \end{aligned} \tag{A.22}$$

$$\text{Therefore, } \frac{dV_{ref}^{\parallel}}{de} + \frac{dV_{ref}^{\perp}}{de} \geq 0$$

#### Monotonicity constraint on relaxed curves

Using Equation A.10 and Equation A.20:

$$\frac{dV_{ref}^{\parallel}}{de} + \frac{dV_{ref}^{\perp}}{de} = \frac{V_{approach} - V_{path}}{\sqrt{e_b}} * \frac{1}{2\sqrt{e}} \ge 0$$
 (A.23)

This indicates that for our relaxed curves to satisfy monotonically reducing velocity form as it approaches the path, the approach velocity must be bigger than velocity on path.