Topology II

Notes taken by Joonwoo Yang

Based on lectures by Prof. Youngsik Huh

Preface

These notes are based on the course MAT4004: Topology II taught by Professor Youngsik Huh at Hanyang University in fall 2021. The lectures mainly covered the second part of James Munkres' *Topology*.

December 22, 2021 Joonwoo Yang

Contents

Chapter 0

Introduction

Lecture 1
Wed, Sep 1

A fundamental problem in math: to classify objects in the given category.

- Sets: $|A| = |B|$ (cardinality)
- Groups, Rings, Fields: $G \cong G'$ (isomorphic)
- Topological spaces: $X \cong Y$ (homeomorphic)

When two topological spaces are homeomorphic, we may prove it by finding out a homeomorphism. But, in the case that they are not homeomorphic, how can we prove it?

Example. Let S be a 2-dimensional sphere and T be a torus. Then $S \ncong T$.

Proof. Suppose there exists a homeomorphism $h\colon T \to S$. Let c be a simple closed curve on T, as Figure 1. Then $h(c)$ should be a simple closed curve on S, and $h\colon T - c \to S - h(c)$ is a homeomorphism. But $T - c$ is connected and $S - h(c)$ is not connected, which is a contradiction. $\lightning$

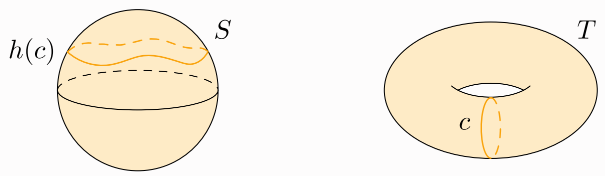


Figure 1: $S \ncong T$

In fact, on S, every loop can be continuously deformed to a point. But c cannot be on T. Such loops as c would be one of our interests in the lecture. From the family of loops on a topological space X, we will construct a group $\pi_1(X)$, called the **fundamental group** of X.

In fact, if $X \cong Y$, then $\pi_1(X) \cong \pi_1(Y)$. So we may use the fundamental group to distinguish topological spaces.

0.1 Construction of more topological spaces

Consider two topological spaces $(X_1, \mathcal{T}_1)$ and $(X_2, \mathcal{T}_2)$ where X_1 and X_2 are disjoint.

Union of spaces. Let $\mathcal{T} = \{U \subset X_1 \sqcup X_2 \mid U \cap X_1 \in \mathcal{T}_1, U \cap X_2 \in \mathcal{T}_2\}$. Then $(X_1 \sqcup X_2, \mathcal{T})$ is a topological space such that $(X_i, \mathcal{T}_i)$ is a subspace.

Product space. Let $\mathcal{B} = \{U_1 \times U_2 \subset X_1 \times X_2 \mid U_i \in \mathcal{T}_i\}$ and $\mathcal{T} = \{U \subset X_1 \times X_2 \mid U$ is a union of some elements of $\mathcal{B}\}$, i.e. $\mathcal{B}$ is a base for $\mathcal{T}$. Then $(X_1 \times X_2, \mathcal{T})$ is a topological space, called product space of X_1 and X_2. Note the projection function $\pi_i \colon X_1 \times X_2 \to X_i$ given by $(x_1, x_2) \mapsto x_i$ is continuous.

> **Example.** Consider $S^1 = \{x \in \mathbb{R}^2 \mid \|x\| = 1\}$ (subspace of the Euclidean space $\mathbb{R}^2$) and a torus $T \subset \mathbb{R}^3$. Then $S^1 \times S^1 \cong T$.

Quotient space. E.g., $\mathbb{Z}/2\mathbb{Z}$ $(a - b = 2n \Rightarrow a \sim b)$.

Lecture 2
Mon, Sep 6

0.2 Quotient spaces

Definition 1. Let X, Y be topological spaces and $p\colon X \to Y$ be a surjective map[a]. Then p is said to be a **quotient map** if

$$U \subset Y \text{ is open in } Y \iff p^{-1}(U) \text{ is open in } X \tag{1}$$

or equivalently,

$$V \subset Y \text{ is closed in } Y \iff p^{-1}(V) \text{ is closed in } X. \tag{2}$$

[a]The map usually means the function between topological spaces.

Proposition 1. (1) $\Leftrightarrow$ (2).

Proof. (1) $\Rightarrow$ (2) Suppose p is a quotient map by the first definition. For a closed subset V of Y, $p^{-1}(Y - V) = X - p^{-1}(V)$ is open in X. Thus, $p^{-1}(V)$ is closed in X. If $p^{-1}(V)$ is closed, $X - p^{-1}(V) = p^{-1}(Y - V)$ is open in X. Thus $Y - V$ is open, hence V is closed.

(2) $\Rightarrow$ (1) Similar.

$\square$

Remark. A quotient map is continuous.

Remark. A surjective continuous function $f\colon X \to Y$ is a quotient map if f is an open map.

Definition 2. Suppose X be a topological space and A be a set. Let $f\colon X \to A$ be a surjective function and

$$\mathcal{T}_f = \{U \subset A \mid f^{-1}(U) \text{ is open in } X\}.$$

Then $\mathcal{T}_f$ is a topology for A, called **quotient topology** induced by f.

Remark. $f\colon X \to (A, \mathcal{T}_f)$ is a quotient map by definition.

Let X be a topological space and $\sim$ be an equivalence relation on X. For $x \in X$, $[x] = \{x' \in X \mid x \sim x'\}$ is a equivalence class of x, and $X/{\sim} = \{[x] \mid x \in X\}$ is the set of all equivalence classes. Now consider $q\colon X \to X/{\sim}$ given by $x \mapsto [x]$. (q is clearly surjective by definition.) Then, $(X/{\sim}, \mathcal{T}_q)$ is called a **quotient space** of X.

Example. Let $X = [0,1] \times [0,1] \subset \mathbb{R}^2$. Define an equivalence relation $\sim$ on X by $(x, y) \sim (x', y')$ iff

- $x = x'$, $y = 0$, $y' = 1$
- $y = y'$, $x = 0$, $x' = 1$
- $x = x'$, $y = y'$

A quotient space is obtained by identifying a part with another part!

Theorem 1 (22.2)**.** Let X, Y, Z be topological spaces, $p\colon X \to Y$ a quotient map, and $g\colon X \to Z$ a map s.t. $p(x_1) = p(x_2)$ implies $g(x_1) = g(x_2)$. Then

(i) $\exists f\colon Y \to Z$ s.t. $f \circ p = g$.

(ii) f is continuous iff g is continuous.

(iii) f is a quotient map iff g is a quotient map.

Proof. (i) Define f by $f(y) = g(x)$ for $x \in p^{-1}(y)$. It is well defined.

(ii) If f is continuous, a composition of continuous functions, $g = f \circ p$, is also continuous. Conversely, for an open subset u of Z, $g^{-1}(u) = p^{-1}(f^{-1}(u))$ is open in X. Since p is a quotient map, $f^{-1}(u)$ is open. Thus f is continuous.

(iii) DIY. (not HW)

$\square$

Notation. For a function $g\colon X \to Z$, define an equivalence relation $\sim$ on X by $x_1 \sim x_2$ iff $g(x_1) = g(x_2)$. Then, $X/g \coloneqq X/{\sim}$.

Corollary 1 (22.3)**.** Let $g\colon X \to Z$ be a surjective continuous map. Then

(i) There exists a homeomorphism $f\colon X/g \to Z$ iff g is a quotient map.

(ii) If Z is Hausdorff, then so is X/g.

(iii) If X is compact and Z is Hausdorff, then f is a homeomorphism.

Lecture 3
Wed, Sep 8

Proof. By Theorem 1.(i), g induces a continuous function $f\colon X/g \to Z$ s.t. $f \circ p = g$. We can immediately see that f is injective and surjective.

$$\begin{tikzcd} X \arrow[d, "p"] \arrow[dr, "g"] & \\ X/g \arrow[r, dashed, "f"] & Z \end{tikzcd}$$

(i) If f is a homeomorphism, then f is a quotient map. Thus, $g = f \circ p$ is quotient map. Conversely, if g is a quotient map, then so is f by Theorem 1.(iii). Since f is a injective quotient map, f is a homeomorphism.

(ii) Let w_1, w_2 be two distinct points of X/g. Then $f(w_1) \neq f(w_2)$ and there are two disjoint open sets u_1, u_2 in Z s.t. $f(w_1) \in u_1$, $f(w_2) \in u_2$. $f^{-1}(u_1)$ and $f^{-1}(u_2)$ are disjoint open neighborhoods of w_1 and w_2, respectively.

(iii) Recall that f is injective, surjective and continuous. So, it's enough to show that f is an open map, which is equivalent to f^{-1} is continuous. Since X is compact, so is X/g by continuity. Note that the closed subset of a compact set is compact. Let U be an open subset of X/g. Then $X/g - U$ is compact, and so is $f(X/g - U) = f(X/g) - f(u) = Z - f(U)$ in the Hausdorff space Z. Since every compact subset of a Hausdorff space is closed, $Z - f(U)$ is closed in Z. Therefore, $f(U)$ is open.

□

Example. Let $g\colon [0,1] \to S^1 \subset \mathbb{R}^2$ (or $\mathbb{C}$) be given by $r \mapsto (\cos 2\pi r, \sin 2\pi r)$ $(= e^{2\pi i r} = \cos 2\pi r + i \sin 2\pi r)$. Note $[0,1]$ is compact and S^1 is Hausdorff. Then,

$$\underbrace{[0,1]\big/ g = [0,1]\big/\{0,1\}}_{\text{quotient spaces}} \underset{\text{Cor 1.(iii)}}{\cong} \underbrace{S^1 \subset \mathbb{R}^2}_{\text{Euclidean subspace}} .$$

Example. Let $X = [0,1] \times [0,1]$ and $g\colon X \to S^1 \times S^1 \subset \mathbb{R}^2 \times \mathbb{R}^2 = \mathbb{R}^4$ (or $\mathbb{C} \times \mathbb{C}$) be given by $(x,y) \mapsto (e^{2\pi i r}, e^{2\pi i r})$. Note that g is surjective and continuous. Then,

$$X\big/ g = X\Big/ {\scriptstyle (0,y)\sim(1,y) \atop (x,0)\sim(x,1)} = \text{Torus} \cong S^1 \times S^1.$$

Notation. Let X be a topological space and A be a subset of X. Define an equivalence relation $\sim$ on X by $x_1 \sim x_2$ iff $x_1, x_2 \in A$ or $x_1 = x_2$. Then $X/A \coloneqq X/\sim$.

Example. Let $D = \{re^{i\theta} \mid 0 \le r \le 1,\ 0 \le \theta < 2\pi\}$ and $g \colon D \to S^2 \subset \mathbb{R}^3$ be given by $re^{i\theta} \mapsto (\sqrt{4r - 4r^2}\cos\theta, \sqrt{4r-4r^2}\sin\theta, 2r-1)$. Then,

$$D/g = D\big/\partial D(= S^1) \cong S^2.$$

For $n \ge 0$,

- $S^0 = \{-1, 1\} \subset \mathbb{R}$
- $S^1 = \{(x_1, x_2) \subset \mathbb{R}^2 \mid \sum x_i^2 = 1\}$
- $S^2 = \{(x_1, x_2, x_3) \subset \mathbb{R}^3 \mid \sum x_i^2 = 1\}$
- $\cdots$
- $S^n = \{\mathbf{x} \subset \mathbb{R}^{n+1} \mid \|\mathbf{x}\| = 1\}$ (n-sphere)

Define an equivalence relation $\sim$ on S^n by $x \sim y$ iff $y = -x$ or $y = x$. Then, $\mathbb{R}\mathrm{P}^n \coloneqq S^n/\sim$ is called the **real n-dimensional projective space**.

- $\mathbb{R}\mathrm{P}^0 = \{\text{a point}\}$
- $\mathbb{R}\mathrm{P}^1 \cong [0,1]/\{0,1\} \cong S^1$
- $\mathbb{R}\mathrm{P}^2 \cong D^2 \cup \mathbb{R}\mathrm{P}^1$

In general, S^n can be decomposed depend upon last coordinate as

$$S^n = \underbrace{\text{upper half of } S^n \cup \text{lower half of } S^n}_{n\text{-dimensional disk } D^n} \cup \underbrace{S^{n-1}}_{\mathbb{R}\mathrm{P}^{n-1}},$$

and then,

$$\mathbb{R}\mathrm{P}^n \cong \text{attaching } D^n \text{ along } \mathbb{R}\mathrm{P}^{n-1}$$

where $D^n = \{\mathbf{x} \in \mathbb{R}^n \mid \|\mathbf{x}\| \le 1\}$, $\partial D^n \cong S^{n-1}$.

Notation. Let X, Y be topological spaces and A be a subspace of X. Let $f \colon A \to Y$ be continuous. Define $\sim$ on $X \sqcup Y$ by $a \sim f(a)$ for $a \in A$. Then $X \cup_f Y \coloneqq X \sqcup Y/\sim$ is the **adjunction space**. In that case, f is called the **attaching map**.

Now if we define attaching maps as

$$\begin{aligned} &f_0 \colon S^0 \to \mathbb{R}\mathrm{P}^0 \\ &f_1 \colon S^1 \to \mathbb{R}\mathrm{P}^1 \cong D^1 \cup_{f_0} \mathbb{R}\mathrm{P}^0 \end{aligned}$$

then,

$$\mathbb{R}\mathrm{P}^n \cong \underbrace{\underbrace{\underbrace{\underbrace{\{\text{a point}\} \cup_{f_0} D^1}_{\mathbb{R}\mathrm{P}^1} \cup_{f_1} D_2}_{\mathbb{R}\mathrm{P}^2} \cup_{f_2} \ldots}_{\cdots} \cup_{f_{n-2}} D^{n-1}}_{\mathbb{R}\mathrm{P}^{n-1}} \cup_{f_{n-1}} D^n.$$

Lecture 4
Mon, Sep 13

S^n represents all the directions in $\mathbb{R}^{n+1}$. x and $-x$ are on the same line passing through the origin point. Thus we can say that $\mathbb{R}\mathrm{P}^n$ is the space of lines passing through O in $\mathbb{R}^{n+1}$.

Example. $\mathbb{C}^n = \{(z_1, \ldots, z_n) \mid z_i \in \mathbb{C}\}$ is n-dimensional complex vector space. The **complex n-projective space** $\mathbb{C}\mathrm{P}^n$ is the space of complex lines passing through O in $\mathbb{C}^{n+1}$. Formally,

$$\begin{aligned}
\mathbb{C}\mathrm{P}^n &= \mathbb{C}^{n+1} - \{O\} \Big/ z \sim \lambda z \\
&= \{\text{unit vectors in } \mathbb{C}^{n+1}\} \Big/ z \sim \lambda z \\
&= \{z \in \mathbb{C}^{n+1} \mid \|z\| = 1\} \Big/ z \sim \lambda z \\
&= S^{2n+1} \Big/ z \sim \lambda z
\end{aligned}$$

where $\lambda \in \mathbb{C}$, $\|\lambda\| = 1$.

Chapter 12

Classification of surfaces

Definition 3. An ***n*-manifold** is a topological space X s.t.

(i) X is Hausdorff.

(ii) X has a countable basis for its topology.

(iii) Every point of X has an open neighborhood which is homeomorphic to $\mathbb{R}^n$ (or $\mathring{D}^n = \{x \in \mathbb{R}^n \mid \|x\| < 1\}$).

Especially, a 2-manifold is called a **surface**.

Shortly, an n-manifold is a second countable, Hausdorff topological space which is locally homeomorphic to $\mathbb{R}^n$.

Definition 4. An ***n*-manifold with boundary** is a top'al sp X s.t.

(i) X is Hausdorff.

(ii) X has a countable basis for its topology.

(iii) Every point of X has an open neighborhood homeomorphic to $\mathbb{R}^n$ or $H_+^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_n \geq 0\}$ (or $\mathring{D}_+^n = \{(x_1, \dots, x_n) \in \mathring{D}^n \mid x_n \geq 0\}$).

(iv) $\partial X = \{\text{pts whose nbd is homeomorphic to } H_+^n \text{ or } \mathring{D}_+^n\} \neq 0$

Note. From now on, the numbering on theorem, corollary, and lemma follows Munkres' book.

Theorem 2 (36.2, Embedding theorem)**.** A compact n-manifold X can be embedded into $\mathbb{R}^N$ for some $N \in \mathbb{N}$, that is, there exists a continuous map $f\colon X \to \mathbb{R}^N$ s.t. $f\colon X \to f(X)$ is a homeomorphism.

Proof. Not covered in this course. □

Lecture 5
Wed, Sep 15

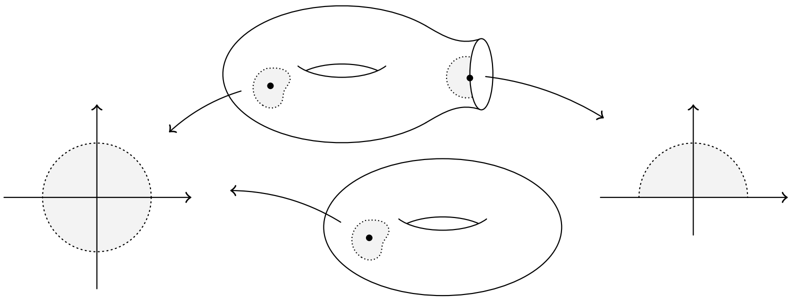


Figure 12.1: Surface with boundary

Definition 5. Let S_1, S_2 be surfaces and D_i be a 2-dimensional disk in S_i for $i = 1, 2$. Then, $\partial D_1, \partial D_2 \cong S^1$, and there exists a homeomorphism $f \colon \partial D_1 \to \partial D_2$. The **connect sum** of S_1 and S_2 is defined as

$$S_1 \# S_2 = (S_1 - \mathring{D}_1) \cup_f (S_2 - \mathring{D}_2).$$

Notation.

- $T_0 \coloneqq S^2$
- $T_1 \coloneqq$ Torus
- $T_n \coloneqq T \# \cdots \# T = T_{n-1} \# T_1$

Let $S \coloneqq S^2 - \{\text{two open disks}\}$ and $f \colon c_1 \to c_2$. Then $S/f \cong T_1$. Similarly, $T_{n-1} - \{\text{two open disks}\}/f \cong T_n$.

$\mathbb{R}\mathrm{P}^2 -$ open disk $\cong$ Möbius band

12.74 Labelling schemes

Assign labels and directions to each edge of polygonal region P:

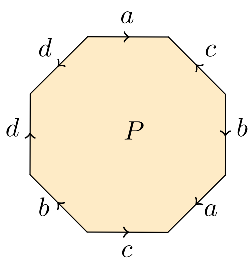


Figure 12.2: Labelling scheme: $a^{-1}dd^{-1}b^{-1}ca^{-1}b^{-1}c$ (read counterclockwise)

A labelling scheme gives a surface which is a quotient of P.

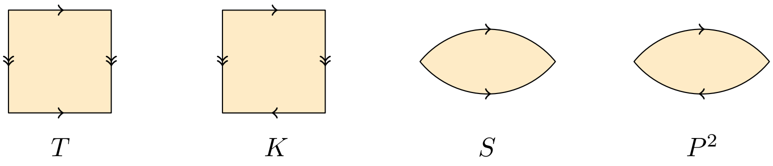


Figure 12.3: Examples of surfaces

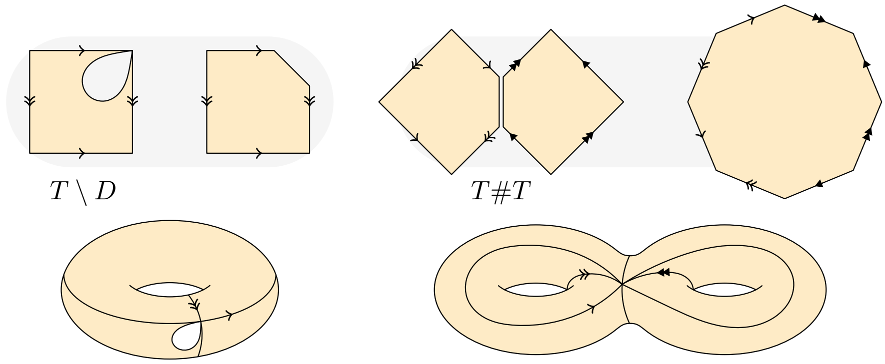


Figure 12.4: On the left: a torus with a disk removed. On the right: the connected sum of two tori.

12.76 Elementary operations on schemes

Lecture 6
Mon, Sep 20

Suppose $\{w_1, \ldots, w_n\}$ be a labelling scheme.

Cut $w_i = Y_0 Y_1 \to \{Y_0 c, c^{-1} Y_1\}$ (c does not appear elsewhere)

Paste Reverse of cut.

Relabel Change an alphabet by a new alphabet. Reverse the sign of an alphabet.

Permute Cyclically permute alphabets on a word w_i. E.g., $w_i = a_1 a_2 \ldots a_n \to w_i' = a_2 \ldots a_n a_1$

Flip $w_i = (a_{i1})^{\varepsilon_1} \ldots (a_{in})^{\varepsilon_n} \to w_i^{-1} = (a_{i1})^{-\varepsilon_1} \ldots (a_{in})^{-\varepsilon_n}$

Cancel $Y_0 a a^{-1} Y_1 \to Y_0 Y_1$

Uncancel Reverse of cancel.

Note. These operations do not change the topological type of the resulting surfaces.

Definition 6. Two labelling schemes are said to be **equivalent** if one can be obtained from the other by applying the elementary operations in finitely many times.

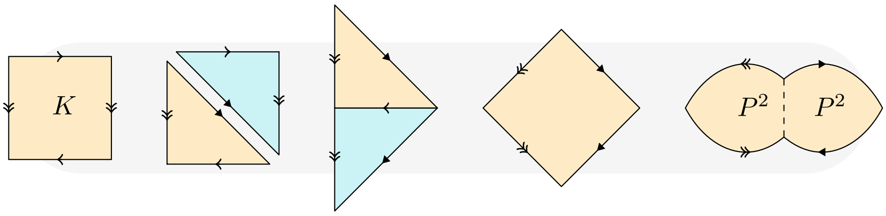


Figure 12.5: $K = P\#P$

Note. Two equivalent schemes give surfaces of the same homeomorphic type.

12.77 The classification theorem

Definition 7. A scheme is **proper** if each label appears twice in the scheme.

Note.
$$\text{proper scheme} \xrightarrow{\text{elem. oper.}} \text{still proper!}$$

Definition 8. Let w be a proper scheme for a single polygonal region P. w is of **torus type** if each label appears exactly once with exponent $+1$, and once with -1. Otherwise we say w is of **projective type**.

Lemma 1 (77.1). If w is a proper scheme of the form $w = Y_0 a Y_1 a Y_2$[a] where Y_i is a sequence of labels, then $w \sim aaY_0Y_1^{-1}Y_2$.

[a]that is to say w is of projective type

Proof. **Case 1.** Y_0 is empty.

- If Y_1 is also empty, then w is the desired form itself.
- If Y_2 is empty,

$$aY_1a \xrightarrow[\text{flip}]{} a^{-1}Y_1^{-1}a^{-1} \xrightarrow[\text{permute}]{} a^{-1}a^{-1}Y_1^{-1} \xrightarrow[\text{relabel}]{} aaY_1^{-1}.$$

- If neither is empty,

$$aY_1aY_2 \xrightarrow[\text{paste}]{\text{cut}} ccY_1^{-1}Y_2 \xrightarrow[\text{relabel}]{} aaY_1^{-1}Y_2.$$

Case 2. Y_0 is not empty.

- If both Y_1 and Y_2 are empty, a permutation is enough.
- In general,

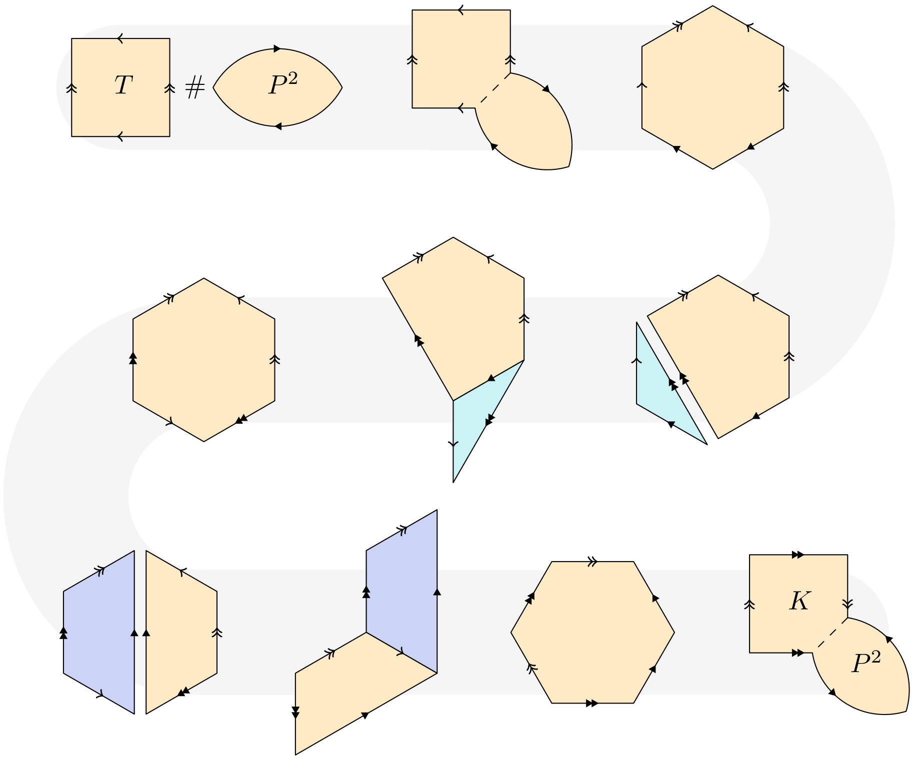


Figure 12.6: $T\#P = K\#P$

$$
\begin{tikzcd}
Y_0aY_1aY_2 \arrow[r, "\text{cut}", "\text{paste}"'] & bY_2bY_1Y_0^{-1} \arrow[r, "\text{Case 1}"'] & bbY_2^{-1}Y_1Y_0^{-1} \arrow[d, "\text{flip}"] \\
aaY_0Y_1^{-1}Y_2 & b^{-1}b^{-1}Y_0Y_1^{-1}Y_2 \arrow[l, "\text{relabel}"'] & Y_0Y_1^{-1}Y_2b^{-1}b^{-1} \arrow[l, "\text{permute}"']
\end{tikzcd}
$$

□

Corollary 2 (77.2)**.** If w is projective type, then w is equivalent to a scheme of the form $(a_1a_1)(a_2a_2)\dots(a_ka_k)w'$, where the length[a] is unchanged, $k \geq 1$, and w' is empty or of torus type.

[a]the number of alphabets

Proof. Since w is of projective type, it can be written to be $w = Y_0aY_1aY_2$. By Lemma 1, $w \sim aaw_1$ so that the length is unchanged. If w_1 is empty or of torus type, it's done. Otherwise, we can write w_1 so that $aaw_1 \sim aaZ_0bZ_1bZ_2$. Again by Lemma 1, $aaw_1 \sim bbaaZ_0Z_1^{-1}Z_2$, length of w_2. By repeating this process, we obtain the desired form. □

Lemma 2 (77.3). Let $w = w_0 w_1$ be a proper scheme, where w_1 is a scheme itself of torus type that does not contain any two adjacent terms having the same label. Then $w \sim w_0 w_2$ s.t. $w_2 = aba^{-1}b^{-1}w_3$ with same length as w_1, where w_3 is of torus type or is empty.

Proof. w can be written as $w = w_0 Y_1 a Y_2 b Y_3 a^{-1} Y_4 b^{-1} Y_5$. □

Lemma 3 (77.4). If w is a proper scheme of the form $w = w_0 ccaba^{-1}b^{-1}w_1$, then $w \sim w_0 aabbccw_1$

Proof. Proceed as follows:

$$\begin{aligned} w_0 ccaba^{-1}b^{-1}w_1 &\sim ccaba^{-1}b^{-1}w_1 w_0 && \text{(permute)} \\ &= cc(ab)(ba)^{-1}w_1 w_0 \\ &\sim (ab)c(ba)cw_1 w_0 && \text{(Lemma 1)} \\ &= abcb(acw_1 w_0) \\ &\sim bbac^{-1}acw_1 w_0 && \text{(Lemma 1)} \\ &\sim aabbccw_1 w_0 && \text{(Lemma 1)} \\ &\sim w_0 aabbccw_1 && \text{(permute)} \end{aligned}$$

□

Lecture 7
Wed, Sep 22

Theorem 3 (77.5, Classification theorem). Let X be a quotient space obtained from a polygonal region P by glueing its edges in pairs. Then X is homeomorphic to one of S^2, T_n, and $(\mathrm{P}^2)_n$[a] where $n \geq 1$.

[a] connect sum of $\mathbb{R}\mathrm{P}^2$

Proof. Let w be a proper scheme on P which results in X. If $|w| = 2$, $w = aa^{-1}$ (S^2) or $w = aa$ (P^2). We may assume that $|w| \geq 4$ ($|w|$ is even). In fact we will show that □

Note. HW: Exercise 77.1 and 77.4

12.78 Constructing compact surfaces

Definition 9. Let X be a compact Hausdorff space. A subspace A of X is a **curved triangle** if there exists a homeomorphism $h\colon \Delta \to A$, where Δ is a closed triangular region in $\mathbb{R}^2$.

Definition 10. A **triangulation** of X is a collection of curved triangles $\{A_\alpha\}$ s.t.

- $\bigcup A_\alpha = X$.
- For $\alpha \neq \beta$, $A_\alpha \cap A_\beta = \emptyset$, single vertex or single edge.
- When $A_\alpha \cap A_\beta =$ single edge, $h_\beta^{-1} \circ h_\alpha$ is a linear map.

X is said to be **triangulable** if it has a triangulation.

Theorem 4 (78.1)**.** If X is a compact triangulable surface (with or without boundary), then X is homeomorphic to a quotient space obtained from a collection of disjoint triangular regions by pasting their edges together in pairs.

Proof. Let $\{A_1, \ldots, A_n\}$ be a triangulation of X with homeomorphisms $\{h_i \colon \Delta_i \to A_i \mid i = 1, \ldots, n\}$. Then we have a quotient map $h \colon \Delta_1 \sqcup \cdots \sqcup \Delta_n \to X$ s.t. $h|_{\Delta_i} = h_i$. There are two things to be proved.

(i) If two triangles meet at a vertex, then there exists a sequence of triangles Thus, the quotient is obtained only by edge-pastings.

(ii) For each edge e of A_i s.t. $e \not\subset \partial X$, $\exists! j$ s.t. $A_i \cap A_j = e$. Thus, the quotient is obtained by pasting edges in pairs.

□

Theorem 5 (78.2)**.** Let X be a compact connected triangularble surface without boundary. Then X is homeomorphic to a quotient space obtained from a polygonal region by pasting all the edges together in pairs. That is, X is homeomorphic to a surface obtained from a proper scheme on a polygonal region.

Proof. From Theorem 4, $\Delta_1 \sqcup \cdots \sqcup \Delta_n \xrightarrow{h} X$. Assemble the triangles $\{\Delta_i\}$ on the plane as much as possible in the following way: □

Theorem 6 (A)**.** Every compact connected surface is triangulable.

Proof (Sketch of proof)**.**

- surface and compact $\Rightarrow \exists$ a finite collection $\{B_1 \ldots, B_n\}$ s.t. $B_i \cong D^2$, $\bigcup B_i = X$.
- We may assume that no proper subset satisfies $\bigcup B_i = X$.
- Let $C = \bigcup \partial B_i$ and D be thickening of C in X. Then $X - D \cong \bigsqcup \mathring{D}^2$.

□

Theorem 7 (Surface classification theorem)**.** Every compact connected surface without boundary is homeomorphic to one of S^2, T_n, and $(P^2)_n$.

Proof. Theorem 3 + Theorem 5 + Theorem 6. □

Chapter 9

Fundamental group

9.51 Homotopy of paths

Lecture 8
Mon, Sep 27

Definition 11. Let X, Y be topological spaces and $f, f' \colon X \to Y$ be continuous maps. We say, f is **homotopic** to f' ($f \simeq f'$) if there is a continuous function $F \colon X \times I \to Y$ s.t. $F(x, 0) = f(x)$, $F(x, 1) = f'(x)$ for all $x \in X$. The function F is called a **homotopy** from f to f' ($f \simeq^F f'$). Especially, if f' is a constant map, then we say, f is **null-homotopic**.

Definition 12. Let $f, f' \colon I \to X$ be two paths in X s.t. $f(0) = f'(0) = x_0$ and $f(1) = f'(1) = x_1$. We say, f is **path-homotopic** to f' ($f \simeq_p f'$) if there is a homotopy $F \colon I \times I \to X$ s.t.

- $F(x, 0) = f(x)$, $F(x, 1) = f'(x)$
- For each t, $F(0, t) = x_0$, $F(1, t) = x_1$

The homotopy F is called a **path-homotopy** from f to f' ($f \simeq_p^F f'$).

Notation.
- $\Omega(X, Y) \coloneqq \{f \colon X \to Y \mid f \text{ is continuous}\}$
- $\mathcal{P}(X) \coloneqq$ the set of all paths in X

Lemma 4 (51.1)**.** $\simeq$ and $\simeq_p$ are equivalence relations on $\Omega(X, Y)$ and $\mathcal{P}(X)$, respectively.

Proof. **Reflective** $F(x, t) = f(x)$

Symmetric Suppose $f \simeq f'$. Then there is a homotopy $F \colon X \times I \to Y$ s.t. $F(x, 0) = f(x)$, $F(x, 1) = f'(x)$. Define $F'(x, t) = F(x, 1 - t)$. Then, F' is conti. and $F'(x, 0) = F(x, 1) = f'(x)$, $F'(x, 1) = F(x, 0) = f(x)$.

Transitive Suppose $f \simeq^F f'$ and $f' \simeq^G f''$. Define $H\colon X \times I \to Y$ by

$$H(x,t) = \begin{cases} F(x,2t) & 0 \le t \le \frac{1}{2} \\ G(x,2t-1) & \frac{1}{2} \le t \le 1 \end{cases}.$$

Note that H is continuous by pasting lemma: For any closed subset U of Y, the preimages $H^{-1}(U) \cap (X \times [0, \frac{1}{2}])$ and $H^{-1}(U) \cap (X \times [\frac{1}{2}, 1])$ are closed since each is the preimage of H when restricted to $X \times [0, \frac{1}{2}]$ and $X \times [\frac{1}{2}, 1]$ respectively, which by continuity of F and G. Thus, their union $H^{-1}(U)$ is closed, hence H is continuous.

$\simeq_p$: skip. □

Denote the equivalence class of f by $[f] = \{f' \in \Omega(X,Y) \mid f' \simeq f\}$.

Example. Let $C \subset \mathbb{R}^n$ be a convex subset.

- Any two maps $f, g\colon X \to C$ are homotopic.
- Any two paths $f, g\colon I \to C$ with $f(0) = g(0)$ and $g(1) = f(1)$ are path-homotopic.

Choose $F\colon X \times I \to C$ defined by $(x,t) \mapsto F(x,t) = (1-t)f(x) + tg(x)$.

Example. Let $X = \mathbb{R}^2 - \{0\}$ (punctured plane). $f(x) = (\cos \pi x, \sin \pi x)$, $g(x) = (\cos \pi x, 2 \sin \pi x)$ and $h(x) = (\cos \pi x, -\sin \pi x)$ are paths in X. In fact, $f \simeq_p g \not\simeq_p h$.

Product of paths

Let $f, g\colon I \to X$ be paths, $f(1) = g(0)$. Define the product $f * g\colon I \to X$ by

$$f * g = \begin{cases} f(2s) & 0 \le s \le \frac{1}{2} \\ g(2s-1) & \frac{1}{2} \le s \le 1. \end{cases}$$

Define the product $*$ on path-homotopy classes of X by $[f] * [g] \coloneqq [f * g]$.

Well-definedness Suppose $f' \in [f]$ ($f \simeq_p^F f'$) and $g' \in [g]$ ($g \simeq_p^G g'$). Define

$$H(s,t) = \begin{cases} F(2s,t) & 0 \le s \le \frac{1}{2} \\ G(2s-1,t) & \frac{1}{2} \le t \le 1. \end{cases}$$

Then $H(s,0) = (f * g)(s)$, $H(s,1) = (f' * g')(s)$ and H is continuous by pasting lemma again. Thus, $f * g \simeq_p f' * g'$, $[f * g] = [f' * g']$.

Lecture 9
Wed, Sep 29

Theorem 8 (51.2)**.** The product $*$ has the following properties:

(i) Associative: $([f] * [g]) * [h] = [f] * ([g] * [h])$

(ii) Let e_x denote the constant path $e_x \colon I \to X$ given by $s \mapsto x$, $x \in X$. If $f(0) = x_0$ and $f(1) = x_1$ then $[e_{x_0}] * [f] = [f]$ and $[f] * [e_{x_1}] = [f]$.

(iii) Let $\overline{f} \colon I \to X$ given by $s \mapsto f(1-s)$. Then $[f] * [\overline{f}] = [e_{x_0}]$ and $[\overline{f}] * [f] = [e_{x_1}]$.

Proof. First two observations

- Suppose $f \simeq_p g$ via homotopy H, $f, g \colon I \to X$. Let $k \colon X \to Y$. Then $k \circ f \simeq_p k \circ g$ using $k \circ H$.
- If $f * g$ (not necessarily path homotopic). Then $k \circ (f * g) = (k \circ f) * (k \circ g)$.

Now, the proof

(ii) Take $e_0 \colon I \to I$ given by $s \mapsto 0$. Take $i \colon I \to I$ given by $s \mapsto s$. Then $e_0 * i$ is a path from 0 to $1 \in I$. The path i is also such a path. Because I is a convex subset, $e_0 * i$ and i are path homotopic, $e_0 * \simeq i$. Using one of our observations, we find that

$$\begin{aligned} f \circ (e_0 * i) &\simeq_p f \circ i \\ (f \circ e_0) * (f \circ i) &\simeq_p f \\ e_{x_0} * f &\simeq_p f \\ [e_{x_0}] * [f] &= [f]. \end{aligned}$$

(iii) Note that $i * \overline{i} \simeq_p e_0$. Now, applying the same rules, we get

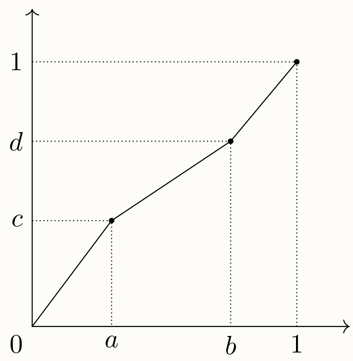
$$\begin{aligned} f \circ (i * \overline{i}) &\simeq_p f \circ e_0 \\ f * \overline{f} &\simeq_p e_{x_0} \\ [f] * [\overline{f}] &= [e_{x_0}]. \end{aligned}$$

(i) Remark: Only defined if $f(1) = g(0)$, $g(1) = h(0)$. Note that $f * (g * h) \neq (f * g) * h$. The trajectory is the same, but the speed is not.

Assume the product is defined. Suppose $[a, b]$, $[c, d]$ are intervals in $\mathbb{R}$. Then there is a unique positive (positive slope), linear map from $[a, b] \to [c, d]$. For any $a, b \in [0, 1)$ with $0 < a < b < 1$, we define a path

$$\begin{aligned} k_{a,b} \colon [0, 1] &\longrightarrow X \\ [0, a] &\xrightarrow{\text{lin.}} [0, 1] \xrightarrow{f} X \\ [a, b] &\xrightarrow{\text{lin.}} [0, 1] \xrightarrow{g} X \\ [b, 0] &\xrightarrow{\text{lin.}} [0, 1] \xrightarrow{h} X \end{aligned}$$

Then $f * (g * h) = k_{\frac{1}{2},\frac{3}{4}}$ and $(f * g) * h = k_{\frac{1}{4},\frac{1}{2}}$.
Let γ be that path $\gamma\colon I \to I$ with the following graphs:



Note that $\gamma \simeq_p i$. Now, using the fact that composition of positive linear maps is positive linear.

$$k_{c,d} \circ \gamma \simeq_p k_{c,d} \circ i$$
$$k_{a,b} \simeq_p k_{c,d},$$

which is what we wanted to show.

□

9.52 Fundamental group

Definition 13. Let X be a topological space and $x_0 \in X$. A **loop** based at x_0 in X is a path $\alpha\colon I \to X$ s.t. $\alpha(0) = \alpha(1) = x_0$. Then

$$\pi_1(X, x_0) = \{[\alpha] \mid \alpha\colon \text{loop in } X \text{ based at } x_0\}$$

is the **fundamental group** of X with base point x_0.[a]

[a] $\pi_1(X, x_0)$ is a group with the operation $*$ by Theorem 8. For $[\alpha], [\beta] \in \pi_1(X, x_0)$, $[\alpha] * [\beta]$ is always defined, $[e_{x_0}]$ is an identity element, $*$ is associative and $[\alpha]^{-1} = [\overline{\alpha}]$. This makes $(\pi_1(X, x_0), *)$ a group.

Example. $\pi_1(\mathbb{R}^n, x_0)$ is a trivial group. Any two loops in $\mathbb{R}^n$ based at x_0 are path-homotopic. Thus, $\pi_1(\mathbb{R}^n, x_0)$ has only one element.

Remark. All groups are a fundamental group of some space.

Definition 14. Let α be a path in X from x_0 to x_1. Define a function $\hat{\alpha}\colon \pi_1(X, x_0) \to \pi_1(X, x_1)$ by $\hat{\alpha}([f]) = [\overline{\alpha}] * [f] * [\alpha]$.

Theorem 9 (52.1)**.** $\hat{\alpha}\colon \pi_1(X, x_0) \to \pi_1(X, x_1)$ is a group isomorphism.

Proof. **Homomorphism** To show that $\hat{\alpha}$ is a group homomorphism, we compute

$$\begin{aligned}\hat{\alpha}([f]*[g]) &= [\overline{\alpha}]*([f]*[g])*[\alpha] \\ &= [\overline{\alpha}]*[f]*[e_{x_0}]*[g]*[\alpha] \\ &= [\overline{\alpha}]*[f]*[\alpha]*[\overline{\alpha}]*[g]*[\alpha] \\ &= \hat{\alpha}([f])*\hat{\alpha}([g]).\end{aligned}$$

Bijective To show that $\hat{\alpha}$ is one-to-one and onto function, we show existence of inverse of α.

$$\begin{aligned}(\hat{\alpha}\circ\hat{\overline{\alpha}})([h]) &= [\overline{\alpha}]*([\overline{\overline{\alpha}}]*[h]*[\overline{\alpha}])*[\alpha] \\ &= [e_{x_1}]*[h]*[e_{x_1}] = [h].\end{aligned}$$

Thus, $\hat{\alpha}\circ\hat{\overline{\alpha}}$ is the identity function. Similarly, we can show that $\hat{\overline{\alpha}}\circ\hat{\alpha}$ is the identity function.

□

Definition 15. A topological space X is said to **simply connected** if it is path-connected and $\pi_1(X,x_0)$ is a trivial group.

Example. Any convex subset of $\mathbb{R}^n$ is simply connected.

Lemma 5 (52.3)**.** Suppose X is simply connected and $\alpha,\beta\colon I\to X$ are paths from x_0 to x_1. Then $\alpha\simeq_p\beta$.

Proof. $\alpha*\overline{\beta}$ is a loop base at x_0. Since X is simply connected, $\alpha*\overline{\beta}\simeq_p e_{x_0}$. Thus, $[\alpha]=[\alpha]*[e_{x_1}]=[\alpha]*[\overline{\beta}]*[\beta]=[e_{x_0}]*[\beta]=[\beta]$. □

Lecture 10
Mon, Oct 4

Definition 16. Let $h\colon(X,x_0)\to(Y,y_0)$ be a continuous map ($h(x_0)=y_0$). Define $h_*\colon\pi_1(X,x_0)\to\pi_1(Y,y_0)$ by $h_*([f])=[h\circ f]$. Then h_* is a **group homomorphism induced from *h***.

Well-definedness Let $f'\in[f]$ and F be a path-homotopy from f to f'. Then $h\circ F\colon I\times I\to Y$ is a path-homotopy from $h\circ f$ to $h\circ f'$.

Homomorphism h_* is a homomorphism, because $(h\circ f)*(h\circ g)=h\circ(f*g)$. That is, $h_*([f])*h_*([g])=h_*([f*g])$.

Theorem 10 (52.4)**.** (i) For two continuous maps $h\colon(X,x_0)\to(Y,y_0)$ and $k\colon(Y,y_0)\to(Z,z_0)$, $(k\circ h)_*=k_*\circ h_*$.

(ii) For the identity map $i\colon(X,x_0)\to(X,x_0)$, i_* is the identity homomorphism.

Proof. (i) $(k \circ h)_*([f]) = [(k \circ h) \circ f] = [k \circ (h \circ f)] = k_*([h \circ f]) = k_*(h_*([f])) = (k_* \circ h_*)([f])$.

(ii) $i_*([f]) = [i \circ f] = [f]$.

$\square$

Corollary 3 (52.5). If $h\colon (X, x_0) \to (Y, y_0)$ is a homeomorphism, then h_* is an isomorphism.

Proof. Let $k\colon (Y, y_0) \to (X, x_0)$ be the inverse of h. Then,

$$\begin{aligned} k_* \circ h_* &= (k \circ h)_* = (\mathrm{id}_X)_* = \text{the identity on } \pi_1(X, x_0) \\ h_* \circ k_* &= (h \circ k)_* = (\mathrm{id}_Y)_* = \text{the identity on } \pi_1(Y, y_0) \end{aligned}$$

Thus, h_* is an isomorphism. $\square$

This corollary says π_1 is an topological invariant. We can use the fundamental group to detect that two spaces are not homeomorpic, i.e. $\pi_1(X, x_0) \ncong \pi_1(Y, y_0) \Rightarrow X \ncong Y$. Note that $X \ncong Y \nRightarrow \pi_1(X, x_0) \ncong \pi_1(Y, y_0)$ and $\pi_1(X, x_0) \cong \pi_1(Y, y_0) \nRightarrow X \cong Y$.

Exercise (52.6). Let X be path-connected and $h\colon X \to Y$ be continuous with $h(x_0) = y_0$ and $h(x_1) = y_1$. Let α be a path in X from x_0 to x_1 and $\beta = h \circ \alpha$. Then, $\hat{\beta} \circ h_* = h_* \circ \hat{\alpha}$, that is, the diagram of maps

$$\begin{tikzcd} \pi_1(X, x_0) \arrow[r, "h_*"] \arrow[d, "\hat{\alpha}"] & \pi_1(Y, y_0) \arrow[d, "\hat{\beta}"] \\ \pi_1(X, x_1) \arrow[r, "h_*"] & \pi_1(Y, y_1) \end{tikzcd}$$

commutes.

Proof. Let $[f] \in \pi_1(X, x_0)$.

$$\begin{aligned} (\hat{\beta} \circ h_*)([f]) = \hat{\beta}(h_*([f])) &= [\overline{\beta}] * h_*([f]) * [\beta] \\ &= h_*([\overline{\alpha}]) * h_*([f]) * h_*([\alpha]) \\ &= h_*([\overline{\alpha}] * [f] * [\alpha]) \\ &= h_*(\hat{\alpha}([f])) \\ &= (h_* \circ \hat{\alpha})([f]). \end{aligned}$$

Thus, if X is path-connected, the group homomorphism induced by a continuous map is independent of base point. $\diamond$

Note. HW3: Exercise §52 – #1, #2, #3, #4.

Lecture 11
Wed, Oct 6

9.53 Covering spaces

Definition 17. Let $p\colon E \to B$ be a continuous surjective map. An open subset U of B is said to be **evenly covered** by p if $p^{-1}(U)$ is an union of disjoint open subsets V_α of E s.t. each V_α is homeomorphic to U by p. That is, $p^{-1}(U) = \bigsqcup_\alpha V_\alpha$, $V_\alpha \cong U$ by p $\forall \alpha$.

Each V_α is called a slice. (The set $\{V_\alpha\}$ is a partition of $p^{-1}(U)$ into slices.)

If every point of B has an open nbh which is evenly covered by p, then p is called a **covering map**, E **covering space**, B **base space**.

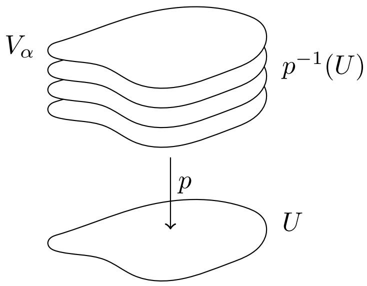


Figure 9.1: evenly covered

Remark. If $U' \subset U$, also open and U is evenly covered, then also U'.

Example. Let $S^1 = \{z \in \mathbb{C} : |z| = 1\}$. Take $p\colon \mathbb{R} \to S^1$ given by $t \mapsto e^{2\pi i t}$. Note that $\mathbb{R}$ is an easier space than S^1, and so will be π_1 (1 vs $\mathbb{Z}$).

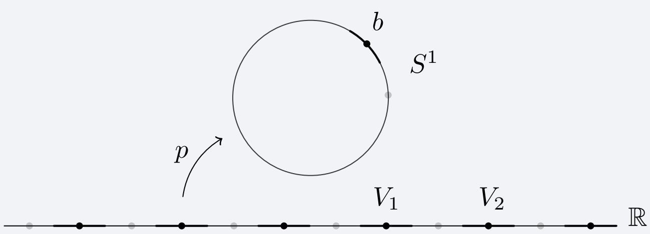


Figure 9.2: example of a covering space

There are also other covering spaces of p. For example, $p'\colon S^1 \to S^1$ given by $z \mapsto z^3$.

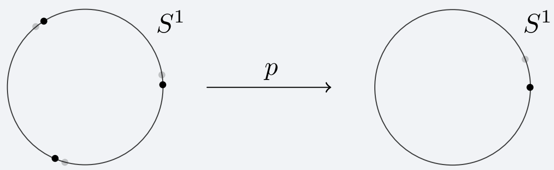


Figure 9.3: second example of a covering space

Here we have three copies for each point. We say that the covering has 3 sheets. Note that this is independent of which point we take. This is always the case! We can show that these are the only coverings of S^1: $\mathbb{R}$ and $z \mapsto z^n$.

Proposition 2. A covering map $p\colon E \to B$ is always an open map.

Proof. We want to show that for every $x \in E$ and any open subset $A \subset E$ containing x, there is an open subset of B contained in $p(A)$. Choose an evenly covered open subset U of $p(x)$. Let $\{V_\alpha\}$ be a partition of $p^{-1}(U)$ into slices, and V_β be a slice containing x. Since A and V_β are open, $A \cap V_\beta$ is open in E, hence open in V_β. Since $V_\beta \cong U$ by p, $p(A \cap V_\beta)$ is open in U, hence in B. Thus, $p(A \cap V_\beta)$ is open in B and contained in $p(A)$. □

Theorem 11 (53.2)**.** Let $p\colon E \to B$ be a covering map, B_0 a subspace of B, $E_0 = p^{-1}(B_0)$. Then, $p|_{E_0} : E_0 \to B_0$ is also a covering map.

Proof. For each $b \in B_0$, there is open nbh U of b in B which is evenly covered by p. Let $\{V_\alpha\}$ be a partition of $p^{-1}(U)$ into slices. Then,

- $U \cap B_0$ is an open nbh of b in B_0.
- $\{V_\alpha \cap E_0\}$ is a partition of $p^{-1}(U \cap B_0)$.
- $V_\alpha \cap E_0 \cong U \cap B_0$ by p.

□

Theorem 12 (53.3)**.** Let $p_1\colon E_1 \to B_1$ and $p_2\colon E_2 \to B_2$ be covering maps. Then, $p_1 \times p_2\colon E_1 \times E_2 \to B_1 \times B_2$ is a covering map.

Proof. Let $(b_1, b_2) \in B_1 \times B_2$ and U_1 be an evenly covered open nbh of b_1 in B_1 for p_1 (same for U_2). We claim that $U_1 \times U_2$ is an evenly covered open nbh of (b_1, b_2) in $B_1 \times B_2$ for $p_1 \times p_2$.

$$\begin{aligned}(p_1 \times p_2)^{-1}(U_1 \times U_2) &= p_1^{-1}(U_1) \times p_2^{-1}(U_2) \\ &= (\textstyle\bigsqcup_\alpha V_\alpha) \times (\bigsqcup_\beta W_\beta) = \bigsqcup_{\alpha,\beta}(V_\alpha \times W_\beta).\end{aligned}$$

$V_\alpha \times W_\beta \cong U_1 \times U_2$ by $p_1 \times p_2$, since $V_\alpha \cong U_1$ by p_1 and $W_\beta \cong U_2$ by p_2. □

Example. Let $p\colon \mathbb{R} \to S^1$ be the covering map in the previous example.

- $p \times p\colon \mathbb{R} \times \mathbb{R} \to S^1 \times S^1$ is a covering map by Theorem 12.
- $p \times p\colon (\mathbb{R} \times \mathbb{Z}) \cup (\mathbb{Z} \times \mathbb{R}) \to$ Bouguet with two leaves is a covering map by Theorem 11.

Exercise (53.3). Let $p\colon E \to B$ be a covering map; let B be connected. Show that if $p^{-1}(b_0)$ has k elements for some $b_0 \in B$, then $p^{-1}(b)$ has k elements for every $b \in B$. In such a case, E is called a ***k*-fold covering** of B.

Proof. Let $B_1 = \{b \in B \mid |p^{-1}(b)| = k\}$ and $B_2 = \{b \in B \mid |p^{-1}(b)| \neq k\}$. Then $b_0 \in B_1$, hence $B_1 \neq \emptyset$. Suppose $B_2 \neq \emptyset$. For $b \in B$, let U_b be an evenly covered open nbh of b. And let $U_1 = \bigcup_{b \in B_1} U_b$, $U_2 = \bigcup_{b \in B_2} U_b$. Then, both are open non-empty and $U_1 \cup U_2 = B$. Since B is connected, $U_1 \cap U_2 \neq \emptyset$. If $b_1 \in U_1 \cap U_2$, then we have a contradiction. ◇

Note. HW3: Exercise §53 – #4, #5, #6.

Remark. A covering is always local homeomorphism. But there are surjective local homeomorphism which are not covering maps. A covering map is more than a surjective local homeomorphism.

For example, $p\colon \mathbb{R}_0^+ \to S^1$ given by $t \mapsto e^{2\pi i t}$. Consider the inverse image of a neighborhood around 1. When we restrict p to the part around 0, it is no longer a homeomorphism (we don't get the whole neighborhood around one).

Creating new covering spaces out of old ones

- Suppose $p\colon E \to B$ is a covering and $B_0 \subset B$ is a subspace with the subspace topology. Let $E_0 = p^{-1}(B_0)$ and $p_0 = p|_{E_0}$. Then (E_0, p_0) is a covering of B_0.
- Suppose that (E, p) is a covering of B and (E', p') is a covering of B', then $(E \times E', p \times p')$ is a covering of $B \times B'$.

Example. Let $T^2 = S^1 \times S^1$.

- $p\colon \mathbb{R}^2 \to S^1 \times S^1$ given by $(t, s) \mapsto (e^{ait}, e^{bis})$.
- $p'\colon \mathbb{R} \times S^1 \to T^2$ given by $(t, z) \mapsto (e^{ait}, z^n)$.
- $p\colon S^1 \times S^1 \to T^2$ given by $(z_1, z_2) \mapsto (z_1^n, z_2^m)$.

These are the only types of coverings of the torus. We'll prove this later on.

9.54 $\pi_1(S^1)$

Definition 18. Let $p\colon E \to B$ and $f\colon X \to B$ be continuous maps. Then, a **lifting** of f is a map $\tilde{f}\colon X \to E$ s.t. $f = p \circ \tilde{f}$.

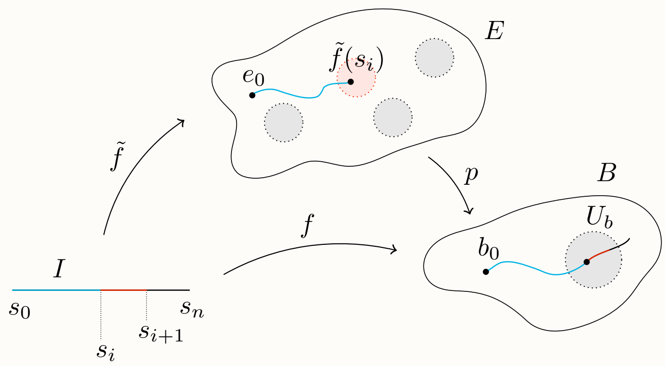
$$\begin{tikzcd} & E \arrow[d, "p"] \\ X \arrow[ur, dashed, "\tilde{f}"] \arrow[r, "f"'] & B \end{tikzcd}$$

Lemma 6 (54.1, Unique path-lifting lemma).

$$\begin{tikzcd} & (E, e_0) \arrow[d, "p\colon \text{c.m.}"] \\ (I, 0) \arrow[ur, dashed, "\exists!\tilde{\gamma}"] \arrow[r, "\gamma", "\text{path}"'] & (B, b_0) \end{tikzcd}$$

Proof. Existence Let $\{U_\alpha\}$ be an open covering of B consisting of evenly-covered open subsets. Then, $\{\gamma^{-1}(U_\alpha)\}$ is an open covering of the compact space I, and there exists a Lebesgue number ε (Any open interval of length less than ε is contained in some $\gamma^{-1}(U_\alpha)$). Then we have a subdivision $0 = s_0 < s_1 < \cdots < s_{n-1} < s_n = 1$ so that $\gamma[s_i, s_{i+1}] \subset U_\alpha$ for some α (by setting $s_i - s_{i-1} < \varepsilon$).

Define $\tilde{\gamma}(0) = e_0$. Suppose $\tilde{\gamma}(s)$ is defined for $0 \le s \le s_i$. Select α_0 so that $\gamma[s_i, s_{i+1}] \subset U_{\alpha_0}$. Let $\{V_\beta\}$ be the partition of $p^{-1}(U_\alpha)$ into slices. And let V_{β_0} be the slice s.t. $\tilde{\gamma}(s_i) \in V_{\beta_0}$. Since $V_{\beta_0} \cong U_{\alpha_0}$ by $p|_{V_{\beta_0}}$, we have an closed arc $(p|_{V_{\beta_0}})^{-1}(\gamma[s_i, s_{i+1}])$. For $s_i \le s \le s_{i+1}$, defined $\tilde{\gamma}(s) = (p|_{V_{\beta_0}})^{-1}(\gamma(s))$. Then $(p \circ \tilde{\gamma})(s) = \gamma(s)$.



Uniqueness Let $\tilde{\tilde{\gamma}}$ be another lift of γ s.t. $\tilde{\tilde{\gamma}}(0) = e_0$. Since $\tilde{\tilde{\gamma}}[s_i, s_{i+1}]$ is connected and $\{V_\beta\}$ are mutually disjoint, $\tilde{\tilde{\gamma}}[s_i, s_{i+1}] \subset V_{\beta_0}$. Note that, in V_{β_0}, $\tilde{\gamma}(s)$ is a unique point which projects $\gamma(s)$. Thus, $\tilde{\tilde{\gamma}}(s) = \tilde{\gamma}$ $\forall s$. $\square$

Lecture 12
Mon, Oct 11

Lemma 7 (54.2, Homotopy lifting lemma)**.**

$$\begin{tikzcd} & (E, e_0) \arrow[d, "p\colon \text{c.m.}"] \\ (I \times I, (0,0)) \arrow[ur, dashed, "\exists! \tilde{F}"] \arrow[r, "F"', "\text{conti.}"'] & (B, b_0) \end{tikzcd}$$

Furthermore, if F is a path-homotopy, then so is $\tilde{F}$.

Proof. (i)
- Define $\tilde{F}(0,0) = e_0$.
- Divide $I \times I$ into subrectangles so that $F(I_i \times J_j)$ is contained in an evenly-covered open subset of B.
- Define $\tilde{F}$ step by step: Assume that $\tilde{F}$ is defined on the red-part. Define $\tilde{F}(x) = (p|_V)^{-1}(F(x))$, $\forall x \in A$. (Then $p \circ \tilde{F}(x) = F(x)$).

(ii) Assume that F is a path-homotopy ($F(0,t) = b_0$, $F(1,t) = b_1$, $\forall t$). Then $\tilde{F}(\{0\} \times I) \subset p^{-1}(b_0)$ and $\tilde{F}(\{1\} \times I) \subset p^{-1}(b_1)$. Since $\{0\} \times I$ and $\{1\} \times I$ are connected, $\tilde{F}(\{0\} \times I) = e_0$, $\tilde{F}(\{1\} \times I) =$ a pt in $p^{-1}(b_1)$.

□

Theorem 13 (54.3)**.** Let $p\colon (E, e_0) \to (B, b_0)$ be a covering map. Let f, g be paths in B from b_0 to b_1 and $\tilde{f}, \tilde{g}$ be lifts of f and g starting e_0. Then, if $f \simeq_p g$, then $\tilde{f} \simeq_p \tilde{g}$.

Definition 19. Let $p\colon (E, e_0) \to (B, b_0)$ be a covering map. Then the **lifting correspondence** is the map

$$\begin{aligned} \phi\colon \pi_1(B, b_0) &\longrightarrow p^{-1}(b_0) \\ [f] &\longmapsto \tilde{f}(1) \end{aligned}$$

where $\tilde{f}$ is the unique lift of f starting at e_0.[a] This is well-defined because $[f] = [g] \Rightarrow \tilde{f} \simeq_p \tilde{g} \Rightarrow \tilde{f}(1) = \tilde{g}(1)$. This ϕ depends on the choice of e_0.

[a] There is no guarantee that $\tilde{f}$ is a loop.

Theorem 14 (54.4)**.** If E is path-connected, then ϕ is surjective. If E is simply-connected, then ϕ is bijective.

Proof. For $e \in p^{-1}(b_0)$, there is a path g in E from e_0 to e. Then, $p \circ g$ is a loop based at b_0 ($p(e_0) = p(e) = b_0$). By the uniqueness of path-lifting, $\widetilde{p \circ g} = g$. Then, $\phi([p \circ g]) = (\widetilde{p \circ g})(1) = g(1) = e$. For any point of $p^{-1}(b_0)$, there is a loop homotopy class which is sent to e by ϕ. Thus, ϕ is surjective.

For $[f], [g] \in \pi_1(B, b_0)$, suppose $\tilde{f}(1) = \tilde{g}(1)$, that is, $\phi([f]) = \phi([g])$. Since E is simply connected, $\tilde{f} \simeq_p \tilde{g}$ by Lemma 5. For a homotopy $\widetilde{F}$ between $\tilde{f}$ and $\tilde{g}$, $f \simeq_p g$ by $p \circ \widetilde{F}$. Thus $[f] = [g]$, hence ϕ is injective. □

Theorem 15 (54.5). $\pi_1(S^1) \cong \langle \mathbb{Z}, + \rangle$.

Proof. We use the covering map $p\colon (\mathbb{R}, 0) \to (S^1, 1)$ defined by $p(t) = e^{2\pi i t}$. The function $\phi\colon \pi_1(S^1, 0) \to p^{-1}(1) = \mathbb{Z}$ is bijective, because $\mathbb{R}$ is simply connected. It's enough to show that ϕ is a group homomorphism.

For $[f], [g] \in \pi_1(S^1, 1)$, let $\tilde{f}$ and $\tilde{g}$ be their lifts starting at 0. Define $\tilde{\tilde{g}}(s) = \tilde{f}(1) + \tilde{g}(s)$. Then $(p \circ \tilde{\tilde{g}})(s) = p(\tilde{f}(1) + \tilde{g}(s)) = p(\tilde{g}(s)) = g(s)$. Thus, $\tilde{\tilde{g}}$ is the lift of g starting at $\tilde{f}(1)$. $\tilde{f} * \tilde{\tilde{g}}$ is a path starting at $\tilde{f}(0) = 0$, and $p(\tilde{f} * \tilde{\tilde{g}}) = (p \circ \tilde{f}) * (p \circ \tilde{\tilde{g}}) = f * g$, hence $\tilde{f} * \tilde{\tilde{g}}$ is the lift of $f * g$ starting at 0. In conclusion,

$$\phi([f] * [g]) = (\tilde{f} * \tilde{\tilde{g}})(1) = \tilde{\tilde{g}}(1) = \tilde{f}(1) + \tilde{g}(1) = \phi([f]) + \phi([g]).$$

$\square$

Theorem 16 (54.6). Let $p\colon (E, e_0) \to (B, b_0)$ be a covering map.

(i) $p_*\colon \pi_1(E, e_0) \to \pi_1(B, b_0)$ is a monomorhpism[a].

(ii) Let $H = p_*(\pi_1(E, e_0))$. Then,

$$\begin{aligned} \Phi\colon \pi_1(B, b_0) \big/ H &\longrightarrow p^{-1}(b_0) \\ H * [f] &\longmapsto \phi([f]) \end{aligned}$$

is injective. If E is path-connected, then Φ is bijective.

(iii) For a loop based at b_0, $[f] \in H$ iff f lifts to a loop in E based at e_0.

[a] injective homomorphism. *epimorphism: surjective homomorphism.

Proof. (i) Let $\tilde{h}$ be a loop at e_0 s.t. $p_*([\tilde{h}]) = [c_{b_0}]$. Then, there is a path-homotopy F between $p \circ \tilde{h}$ and c_{b_0}, and its lift $\widetilde{F}$ is a homotopy between $\tilde{h}$ and $\widetilde{c_{b_0}} = c_{e_0}$. Thus, $[\tilde{h}] = [c_{e_0}]$.[a]

(ii) Let $[f] \in \pi_1(B, b_0)$ and $[h] \in H$. Then, $\phi([h * f]) = \widetilde{h * f}(1) = \tilde{f}(1)$. Thus, we can define $\Phi(H * [f]) = \tilde{f}(1) = \phi([f])$. Suppose $\phi([f]) = \phi([g])$, i.e. $\tilde{f}(1) = \tilde{g}(1)$. $\tilde{h} := \tilde{f} * \overline{\tilde{g}}$ is a loop at e_0, and $[\tilde{h} * \tilde{g}] = [\tilde{f}]$ Thus,

$\square$

[a] p_* is injective iff $\ker p_*$ is trivial.

9.55 Retractions and fixed points

Lecture 13
Wed, Oct 13

Definition 20. A subspace A of a topological space X is called a **retract** of X if there exists a continuous map $r\colon X \to A$ s.t. $r|_A = \mathrm{id}_A$. The map r is called a **retraction** of X onto A.

Example. S^1 is a retract of $\mathbb{R}^2 - \{0\}$.

Example. Let X be the figure 8 space, and denote the right circle by A. Then it's easy to see that there exists a retract from the whole space to A by mapping the left circle onto the right

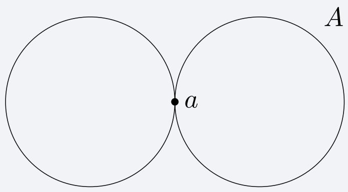


Figure 9.4: Figure 8 space

Lemma 8 (55.1). Let A be a retract of X and $i\colon A \hookrightarrow X$ be the inclusion map. Then $i_*\colon \pi_1(A, x_0) \to \pi_1(X, x_0)$ is injective.

Proof. $r \circ i\colon A \hookrightarrow X \to A$ is the identity map, hence $(r \circ i)_* = r_* \circ i_*$ is an isomorphism. Thus i_* is injective. $\square$

Theorem 17 (55.2). There is no retraction of B^2 onto S^1.

Proof. $i_*\colon \pi_1(S^1, 1) \to \pi_1(B^2, 1)$ can not be injective because $\pi_1(S^1, 1) \cong \mathbb{Z}$ has infinitely many elements and $\pi_1(B^2, 1)$ is a trivial group. $\square$

Lemma 9 (55.3). Let $h\colon S^1 \to X$ be a continuous map. Then TFAE:

(i) h is null-homotopic.

(ii) h extends to a continuous map $k\colon B^2 \to X$.

(iii) $h_*\colon \pi_1(S^1, b_0) \to \pi_1(X, x_0)$ is the trivial homomorphism.[a]

[a] h_* maps every element of $\pi_1(S^1, b_0)$ to the identity in $\pi_1(X, x_0)$.

Proof. **(i) ⇒ (ii)** Let H be a homotopy between h and a constant map, and $\pi\colon S^1 \times I \to B^2$ be the map $\pi(x, t) = (1 - t)x$. Then π is a quotient map. By Theorem 1.(i), there is a continuous map $k\colon B^2 \to X$ s.t. $H = k \circ \pi$. For $x \in S^1 \subset B^2$, $\pi(x, 0) = x$. $k(x) = k(\pi(x, 0)) = H(x, 0) = h(x)$.

(ii) ⇒ (iii) Let $j\colon S^1 \hookrightarrow B^2$ be the inclusion map. Then $h = k \circ j$, $h_* = k_* \circ j_*$. Since $\pi_1(B^2)$ is trivial, j_* is trivial. Thus h_* is trivial.

(iii) ⇒ (i) Let $p\colon [0, 1] \to S^1$ be the quotient map s.t. $p(0) = p(1) = b_0$. Then p is a loop based at b_0. Since h_* is trivial, $h_*([p]) = [h \circ p]$ is the identity element of $\pi_1(X, x_0)$. Let F be a path-homotopy from $h \circ p$ to the constant map c_{x_0}. Applying Theorem 1.(i) to F and $p \times \mathrm{id}$, there is a continuous map $H\colon S^1 \times I \to X$ s.t. $F = H \circ (p \times \mathrm{id})$.

- $H(x, 0) = H(p(y), 0) = F(y, 0) = (h \circ p)(y) = h(x)$

- $H(x,1) = H(p(y),1) = F(y,1) = c_{x_0}$

Thus H is a homotopy between h and c_{x_0}.

$\square$

Corollary 4 (55.4)**.** The inclusion map $j\colon S^1 \hookrightarrow \mathbb{R}^2 - \{0\}$ is not null-homotopic.

Proof. $r \circ j\colon S^1 \to \mathbb{R}^2 - \{0\} \to S^1$ is the identity map. Thus j_* is injective, $\pi_1(S^1) \cong \mathbb{Z}$, hence j_* is not trivial. Then by Lemma 9, j is not null-homotopic. $\square$

Definition 21. A **vector field** v on B^2 is a continuous map $v\colon B^2 \to \mathbb{R}^2$.

Theorem 18 (55.5)**.** Given a non-vanishing vector field v on B^2, there are two points x_0 and x_1 on S^1 s.t. $v(x_0)$ is inward and $v(x_1)$ is outward.

Proof. To say that v is non-vanishing, we can consider v as a map $v\colon B^2 \to \mathbb{R}^2 - \{0\}$. v is an extension of $v|_{S^1} : S^1 \to \mathbb{R}^2 - \{0\}$, so $v|_{S^1}$ is null-homotopic by Lemma 9. Now suppose that there is no such x_0. Then, $v|_{S^1}$ is path-homotopic to the inclusion $j\colon S^1 \to \mathbb{R}^2 - \{0\}$ by the homotopy $F\colon S^1 \times I \to \mathbb{R}^2 - \{0\}$ defined by $F(x,t) = tx + (1-t)\, v|_{S^1}(x)$. Indeed,

- $F(x,0) = v|_{S^1}(x)$, $F(x,1) = x = j(x)$
- If $F(x,t) = 0$, then $v|_{S^1}(x) = \frac{t}{t-1}x$; inward. $\lightning$ $\nexists x_0$.

Thus, $j \simeq v|_{S^1} \simeq$ constant map. $\lightning$ Corollary 4. $\square$

Theorem 19 (55.6, Brouwer fixed-point theorem for B^2)**.** If $f\colon B^2 \to B^2$ is a continuous map, then there exists $x \in B^2$ s.t. $f(x) = x$.

Proof. Suppose there is no fixed point. Then $v(x) = f(x) - x$ is a non-vanishing vector field on B^2. Hence there is an outward point x_0 on S^1, i.e. $v(x_0) = ax_0$ for $a > 0$. $f(x_0) - x_0 = ax_0$. $f(x_0) = (a+1)x_0 \notin B^2$. $\lightning$ $\square$

Corollary 5 (55.7, Application of FPT)**.** Let A be a 3×3 matrix of positive real numbers. Then A has a positive real eigenvalue.

Proof. Consider $A\colon \mathbb{R}^3 \to \mathbb{R}^3$ to be a linear map. Let $B = \{(x_1,x_2,x_3) \mid x_1^2 + x_2^2 + x_3^2 = 1,\ x_1,x_2,x_3 \geq 0\}$ (first octant of S^2). Note that $B \cong B^2$. For any $x \in B$, $A(x) \in \{(x_1,x_2,x_3) \mid x_1,x_2,x_3 \geq 0\}$, as A has positive entries. Then $F(x) = \frac{A(x)}{\|A(x)\|}$ is a map from B to B. By Theorem 19, there exists $x_0 \in B$ s.t. $F(x_0) = \frac{A(x_0)}{\|A(x_0)\|} = x_0$, hence $A(x_0) = \|A(x_0)\|x_0$. $\square$

Note. HW4:

- Read and understand the topological proof of fundamental theorem of algebra.

- Exercise §55 – #1, #2.

9.57 Borsuk–Ulam theorem

Lecture 14
Mon, Oct 18

Definition 22. For $x \in S^n$, the **antipode** x is $-x$. A map $h\colon S^n \to S^m$ is **antipode-preserving** if $h(-x) = -h(x)$ for all $x \in S^n$.

Theorem 20 (57.1)**.** If $h\colon S^1 \to S^1$ is continuous and antipode-preserving, then h is not null-homotopic.

Proof. Let $b_0 = (0, 1)$ and $\rho\colon S^1 \to S^1$ be the rotation of S^1 s.t. $\rho(h(b_0)) = b_0$. $(\rho \circ h)(-x) = \rho(-h(x)) = -\rho(h(x)) = -(\rho \circ h)(x)$ (antipode-preserving). Suppose there is a homotopy between h and a constant map. Then $\rho \circ H$ is a homotopy between $\rho \circ h$ and a constant map. Therefore we may prove the theorem under assumption $h(b_0) = b_0$.

Step 1. Let $q\colon S^1 \subset \mathbb{C} \to S^1$ be the map $q(z) = z^2$. Then q is a quotient map and $q(-z) = q(z)$. For $x \in S^1$, $q^{-1}(x)$ is two antipodal points. $h(-x) = -h(x)$. $q(h(-z)) = q(-h(z)) = q(h(z))$. Apply Theorem 1 to q and $q \circ h$. Then there exists $k\colon S^1 \to S^1$ s.t. $k \circ q = q \circ h$. $k(b_0) = k(b_0^2) = k(q(b_0)) = q(h(b_0)) = q(b_0) = b_0^2 = b_0$.

$$\begin{tikzcd} S^1 \arrow[r, "h"] \arrow[d, "q"] & S^1 \arrow[d, "q"] \\ S^1 \arrow[r, dashed, "k"] & S^1 \end{tikzcd}$$

Step 2. We claim $k_*\colon \pi_1(S^1, b_0) \to \pi_1(S^1, b_0)$ is non-trivial. We can check that q is a covering map. If $\tilde{f}$ is a path from b_0 to $-b_0$ in S^1, then $[f = q \circ \tilde{f}] \neq 1$ in $\pi_1(S^1, b_0)$. $k_*([f]) = [k \circ q \circ \tilde{f}] = [q \circ h \circ \tilde{f}] \neq 1$.

Step 3. h_* is nontrivial. We will prove h is not null-homotopic.

$$\begin{aligned} q_*\colon \pi_1(S^1, b_0) \cong \mathbb{Z} &\longrightarrow \pi_1(S^1, b_0) \cong \mathbb{Z} \\ n &\longmapsto 2n \end{aligned}$$

Thus q_* is injective, $k_* \circ q_*$ is injective, so is $q_* \circ h_*$. Hence h_* is injective.

□

Theorem 21 (57.2)**.** There is no continuous antipode-preserving map $g\colon S^2 \to S^1$.

Proof. Suppose g is such a map. Then $h = g|_{S^1}$ is continuous and antipode-preserving map $S^1 \to S^1$. Then, by Theorem 20, h is not null-homotopic. But $g|_{\text{upper-hemi-shpere}}$ is an extension of h. $\lightning$ to Lemma 9. $\square$

Theorem 22 (57.3, Borsuk–Ulam theorem for S^2)**.** Given a continuous map $f\colon S^2 \to \mathbb{R}^2$, there is a point $x \in S^2$ s.t. $f(x) = f(-x)$.

Proof. Suppose there is no such point. Then we can define $g\colon S^2 \to S^1$ by $g(x) = \frac{f(x)-f(-x)}{\|f(x)-f(-x)\|}$. $g(-x) = \frac{f(-x)-f(x)}{\|f(x)-f(-x)\|} = -g(x)$. $\lightning$ to Theorem 21. $\square$

Theorem 23 (57.4, Bisection theorem)**.** For two bounded polygonal regions in $\mathbb{R}^2$, there exists a line that bisects each of them.

Proof. Let A_1, A_2 be bounded polygonal regions in $\mathbb{R}^2 \times \{1\} \subset \mathbb{R}^3$. Given a point $u \in S^2$, let P be the plane s.t. $O \in P$, $u \perp P$. Let $f_i(u)$ equal the area of the portion of A_i that lies on the same side of P as does the vector u. If $u = (0,0,1)$, then $f_i(u) = \operatorname{area} A_i$, and if $u = (0,0,-1)$, then $f_i(u) = 0$. $f_i(u) + f_i(-u) = \operatorname{area} A_i$ for all $u \in S^2$. Define a map $F\colon S^2 \to \mathbb{R}^2$ by $F(u) = (f_1(u), f_2(u))$. By Theorem 22, there exists $u_0 \in S^2$ s.t. $F(u_0) = F(-u_0)$. Then $f_i(u_0) = f_i(-u_0) = \frac{1}{2} \operatorname{area} A_i$. Hence, $P_{u_0} \cap \mathbb{R}^2 \times \{1\}$ bisects A_1 and A_2. $\square$

Note. HW5: Exercise §57 – #1, #2, #3.

9.58 Deformation retracts and homotopy type

Lemma 10 (58.1)**.** Let $h, k\colon (X, x_0) \to (Y, y_0)$ be continuous maps. If there is a homotopy H between h and k s.t. $H(x_0, t) = y_0$ for all t, then $h_* = k_*$.

Proof. Let f be a loop in X based at x_0. Consider the map

$$\begin{aligned} F\colon I \times I &\xrightarrow{f \times \mathrm{id}} X \times I \xrightarrow{\quad H \quad} Y \\ (s,t) &\longmapsto (f(s), t) \mapsto H(f(s), t) \end{aligned} .$$

- Then F is continuous.
- $F(s, 0) = H(f(s), 0) = (h \circ f)(s)$
- $F(s, 1) = H(f(s), 1) = (k \circ f)(s)$
- $F(0, t) = H(f(0), t) = H(x_0, t) = y_0$
- $F(1, t) = H(f(1), t) = H(x_0, t) = y_0$

Thus F is a path-homotopy between $h \circ f$ and $k \circ f$ so that $h_*([f]) = [h \circ f] = [k \circ f] = k_*([f])$. $\square$

Theorem 24 (58.2)**.** The inclusion map $j\colon S^n \hookrightarrow \mathbb{R}^{n+1} - \{0\}$ induces an isomorphism between fundamental groups.

Proof. Let $X = \mathbb{R}^{n+1} - \{0\}$, $b_0 = (1, 0, \ldots, 0)$. There exists a retraction $r\colon X \to S^n$ defined by $r(x) = \frac{x}{\|x\|}$. Then $r \circ j\colon S^n \to X \to S^n$ is the identity map, hence $r_* \circ j_* = \mathrm{id}_*^{S^n}$. Now consider $j \circ r\colon X \to S^n \to X$ which maps X to itself. This map is not the identity map id_X, but it is homotopic to. Indeed, $H\colon X \times I \to X$ given by $H(x,t) = (1-t)x + t\frac{x}{\|x\|}$ is a homotopy between $H(x,0) = x = \mathrm{id}_X(x)$ and $H(x,1) = \frac{x}{\|x\|} = (j \circ r)(x)$. Note that $H(b_0, t) = b_0$. Then by Lemma 10, $(j \circ r)_* = j_* \circ r_* = \mathrm{id}_*^X$. Thus j_* has the right and left inverse homomorphism. □

Lecture 15
Wed, Oct 20

Definition 23. A subspace A of X is a **deformation retract** of X if there is a continuous map $H\colon X \times I \to X$ s.t. $H(x,0) = x$, $H(x,1) \in A$ $\forall x \in X$, and $H(a,t) = a$ $\forall a \in A$, $\forall t \in I$.[a] The homotopy H is called a **deformation retraction** of X onto A.

[a] $r(x) = H(x,1)$ is a retraction of X onto A. H is a homotopy between id_X and $j \circ r$.

H shows a continuous shrinking of X onto A. During the shrinking, points of A stay where they are.

Theorem 25 (58.3)**.** Let A be a deformation retract of X, and $j\colon A \hookrightarrow X$ the inclusion map. Then $j_*\colon \pi_1(A, x_0) \to \pi_1(X, x_0)$ is an isomorphism.

Proof. Similar with Theorem 24. □

Example. $(\mathbb{R}^2 - \{0\}) \times \{0\} \subset \mathbb{R}^3 - \{z\text{-axis}\}$. $H((x,y,z),t) = (x, y, (1-t)z)$. Thus, $\pi_1(\mathbb{R}^3 - \{z\text{-axis}\}) \cong \pi_1(\mathbb{R}^2 - \{0\}) \cong \pi_1(S^1) \cong \mathbb{Z}$.

Example. $\pi_1(\mathbb{R}^2 - \{\text{two points}\}) \cong \pi_1(\text{Bouquet with two leaves})$. Can you write down the deformation retractions concretely?

Example. $S^1 \cup (\{0\} \times [-1,1])$ (theta space) is deformation retract of $\mathbb{R}^2 - \{\text{two points}\}$.

Definition 24. Let $f\colon X \to Y$ and $g\colon Y \to X$ be continuous maps. f and g are called **homotopy equivalences (maps)** if $g \circ f \simeq \mathrm{id}_X$ and $f \circ g \simeq \mathrm{id}_Y$. ($f$ is a homotopy inverse of g). X is homotopically equivalent to Y.

Definition 25. Let $f\colon X \to Y$ and $g\colon Y \to X$ be continuous maps. X and Y are said to be of the same **homotopy type** if $g \circ f \simeq \mathrm{id}_X$ and $f \circ g \simeq \mathrm{id}_Y$. We say that f, g are **homotopy equivalences** and are **homotopy inverses** of each other.

Note. The relation of homotopy equivalence is an equivalence relation.

Remark. Suppose A is a deformation retract of X. Then for the retraction $r(x) = H(x, 1)$ and inclusion $j\colon A \hookrightarrow X$, $r \circ j = \mathrm{id}_A$, $j \circ r \simeq \mathrm{id}_X$ by H. Thus r and j are homotopy equivalence maps.

Example. Bouquet with two leaves and theta space are deformation retract of $\mathbb{R}^2 - \{\text{two points}\}$. Thus they are homotopically equivalent to each other. Can you find a homotopy equivalence map between them?

Lemma 11 (58.4)**.** Let $h, k\colon X \to Y$ be continuous maps. $h(x_0) = y_0$, $k(x_0) = y_1$. If $h \simeq k$, then there is a path α in Y from y_0 to y_1 s.t. $k_* = \hat{\alpha} \circ h_*$.

Proof. Let f be a loop based at x_0. Then we have to show:

$$k_*([f]) = \hat{\alpha}(h_*([f])),\ [k \circ f] = [\overline{\alpha}] * [h \circ f] * [\alpha],\ [\alpha] * [k \circ f] = [h \circ f] * [\alpha].$$

Let $f_0(s) = (f(s), 0) \subset X \times \{0\}$, $f_1(s) = (f(s), 1) \subset X \times \{1\}$, $c(t) = (x_0, t) \in X \times I$. If H is a homotopy between h and k, then $(H \circ f_0)(s) = H(f(s), 0) = (h \circ f)(s)$, $(H \circ f_1) = k \circ f$. Define $F\colon I \times I \to X \times I$ by $F(s, t) = (f(s), t)$. Label □

Example. Let $S^1 \subset \mathbb{R}^2 \setminus \{(0,0)\}$. Then S^1 is a deformation retract of $\mathbb{R}^2 \setminus \{(0,0)\}$. Using homotopy $H\colon \mathbb{R}^2_0 \times I \to \mathbb{R}^2_0$ given by $x \mapsto (1-t)x + t\frac{x}{\|x\|}$. (The same for S^n and $\mathbb{R}^n_0$)

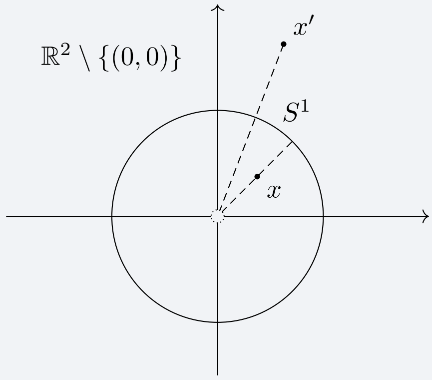


Figure 9.5: Example of a deformation retract

Example. Consider the figure 8 space. Claim: A is not a deformation retract of X. We'll prove this later on.

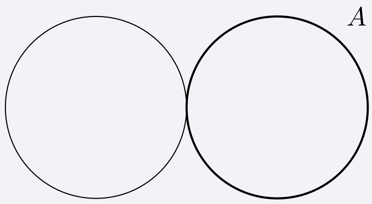


Figure 9.6: Example of a deformation retract

Example. Consider the torus and a circle on the torus. Then it is a retract, but not a deformation retract.

Theorem 26. If A is a deformation retract of X, then $i\colon A \to X$ induces an *isomorphism* i_*. I.e. if you have a deformation retract, it's not only injective but also surjective.

Proof. Let $i\colon A \to X$ be the inclusion and $r\colon X \to A$ be the deformation retraction using H. Then $r \circ i = 1_A$, which gives $r_* \circ i_* = 1_{\pi(A,a_0)}$.

Now, $i \circ r \simeq_p 1_X$ using the homotopy of the previous lemma, i.e. H with $H(a_0,t) = a_0$. Call $h = i \circ r$, $k = 1_X$, and using the previous lemma, $(i \circ r)_* = (1_X)_*\colon \pi(X,x_0) \to \pi(X,x_0)$, which shows that $i_* \circ r_* = 1_{\pi(X,x_0)}$.

We conclude that both i_* and r_* are isomorphisms. □

Remark. This means that the fundamental group of $\mathbb{R}^2_0$ is the same as the one of S^1, which is $\mathbb{Z}$.

Example. The fundamental group of the figure 8 space and the θ-space are isomorphic. These spaces are not deformations of each other, but we can show that they are deformation retracts of $\mathbb{R}^2 \setminus \{p, q\}$. We say that these spaces are of the same homotopy type.

Definition 26. Let X, Y be two spaces, then X and Y are said to be of the same ***homotopy type*** if there exists $f\colon X \to Y$ and $g\colon Y \to X$ such that $g \circ f \simeq 1_X$ and $f \circ g \simeq 1_Y$. We say that f, g are ***homotopy equivalences*** and are ***homotopy inverses*** of each other.

Remark. This is an equivalence relation.

We'll prove that spaces of the same homotopy type have the same fundamental group. For that, we'll prove the previous lemma in a more general form, not preserving the base point.

Lemma 12 (58.4)**.** Suppose $h, k\colon X \to Y$ with $h(x_0) = y_0$ and $k(x_0) = y_1$. Assume that $h \simeq k$ via a homotopy $H\colon X \times I \to X$, $(H(x,0) = h(x)$, $H(x,1) = k(x))$. Then $\alpha\colon I \to X$ given by $s \mapsto H(x_0, s)$ is a path starting in y_0 and ending in y_1 such that the following diagram commutes

$$\begin{tikzcd}
& \pi(X,x_0) \arrow[dl, "h_*"'] \arrow[dr, "k_*"] & \\
\pi(Y,y_0) \arrow[rr, "\hat{\alpha}"] & & \pi(Y,y_1) \\
{[g]} \arrow[rr, mapsto] & & {[\overline{\alpha}] * [g] * [\alpha]}
\end{tikzcd}.$$

Proof. We need to show that $\hat{\alpha}(h_*[f]) = k_*[f]$, or $[\overline{\alpha}] * [h \circ f] * [\alpha] = [k \circ f]$, or $[h \circ f] * [\alpha] = [\alpha] * [k \circ f]$. We'll prove that these paths are homotopic. Using the picture, we see that $\beta_0 * \gamma_2 \simeq_p \gamma_1 * \beta_1$, because they are loops in a path connected space, $I \times I$. Therefore, $F \circ (\beta_0 * \gamma_2) \simeq_p F \circ (\gamma_1 * \beta_1)$. This is $f_0 * c \simeq_p c * f_1$. Now, if we apply H, we get $H \circ (f_0 * c) \simeq_p H \circ (c * f_1)$, so $(h \circ f) * \alpha \simeq_p \alpha * (k \circ f)$, which implies that $[h \circ f] * [\alpha] = [\alpha] * [k \circ f]$. $\square$

Theorem 27. Let $f\colon X \to Y$ be a homotopy equivalence, with $f(x_0) = y_0$. Then $f_*\colon \pi(X, x_0) \to \pi(Y, y_0)$ is an isomorphism.

Proof. Let g be a homotopy inverse of f.

$$(X, x_0) \xrightarrow{f} (Y, y_0) \xrightarrow{g} (X, x_1) \xrightarrow{f} (Y, y_1) \cdots$$

$$\begin{tikzcd}
\pi(X, x_0) \arrow[r, "f_{*,x_0}"] \arrow[drr, "1_{\pi(X,x_0)=(1_X)_*}"'] & \pi(Y, y_0) \arrow[r, "g_{*,x_0}"] & \pi(X, x_1) \arrow[d, "\hat{\alpha}"] \\
& & \pi(X, x_0)
\end{tikzcd}.$$

$$\begin{tikzcd}
\pi(Y, y_0) \arrow[r, "g_{*,x_0}"] \arrow[drr, "1_{\pi(Y,y_0)=(1_Y)_*}"'] & \pi(X, x_1) \arrow[r, "f_{*,x_1}"] & \pi(Y, y_1) \arrow[d, "\hat{\beta}"] \\
& & \pi(Y, y_0)
\end{tikzcd}$$

From the first diagram, $g_{y_0,*} \circ f_{x_0,*}$ is an isomorphism, $g_{y_0,*}$ is surjective. The second diagram gives that $f_{x_1,*} \circ g_{y_0,*}$ is an isomorphism, so $g_{y_0,*}$ is injective, so $g_{y_0,*}$ is an isomorphism. Now composing, we find that $g_{y_0,*}^{-1} \circ (g_{y_0,*} \circ f_{x_0,*}) = f_{x_0,*}$ is an isomorphism. □

9.59 $\pi_1(S^n)$

Lecture 16
Mon, Oct 25

Theorem 28 (59.1, Special version of van Kampen theorem)**.** Let $X = U \cup V$, where U, V are open subsets of X, and $U \cap V$ is path connected. Let $i : U \hookrightarrow X$ and $j : V \hookrightarrow X$ denote the inclusions and consider $x_0 \in U \cap V$. Then the images of i_* and j_* generate the whole group $\pi(X, x_0)$.[a]

[a] In other words, every element of $\pi_1(X, x_0)$ is a product of the elements of the subgroups.

Proof. Let f be a loop in X based at x_0. Need to show that f is a product of loops in U or V.

(i) We can divide $[0, 1]$ into subintervals $0 = a_0 < a_1 < \cdots < a_n = 1$ so that $f(a_i) \in U \cap V$ and $f([a_{i-1}, a_i]) \subset U$ or V.

(ii) $U \cap V$ is path-connected, so we can choose a path α_i from x_0 to $f(a_i)$. Let f_i be a path s.t. $f(I) = f([a_{i-1}, a_i])$. Then,

$$\begin{aligned}
[f] &= [f_1] * \cdots * [f_n] \\
&= [f_1] * [\overline{\alpha}_1 * \alpha_1] * [f_2] * \cdots * [\overline{\alpha}_{n-1} * \alpha_{n-1}] * [f_n] \\
&= [f_1 * \overline{\alpha}_1] * [\alpha_1 * f_2 * \overline{\alpha}_2] * \cdots * [\alpha_{n-2} * f_{n-1} * \overline{\alpha}_{n-1}] * [\alpha_{n-1} * f_n]
\end{aligned}$$

Each factor in the product is a loop in U or V.

□

Proof. Let $[f] \in \pi(X, x_0)$ denote $f : I \to X$ is a loop based at x_0.
Claim: there exists a subdivision of $[0, 1]$ such that $f[a_i, a_{i+1}]$ lies entirely

inside U or V and $f(a_i) \in U \cap V$. Proof of the claim: Lebesgue number lemma says that such a subdivision b_i exists. Now assume b_j is such that $f(b_j) \notin U \cap V$, for $0 < j < m$. Then either $f(b_j) \in U \setminus V$, or $f(b_j) \in V \setminus U$. The first one would imply that $f([b_{j-1}, b_j]) \subset U$ and $f([b_j, b_{j+1}]) \subset U$. So $f[b_{j-1}, b_{j+1}] \subset U$, so we can discard b_j. Same for the second possibility.

Let α_i be a path from x_0 to $f(a_i)$ and α_0 the constant path $t \mapsto x_0$, inside $U \cap V$ (which is possible, as it is path connected). Now define

$$f_i \colon I \to X \text{ given by } I \xrightarrow{\text{p.l.m.}} [a_{i-1}, a_i] \xrightarrow{f} X.$$

Then $[f] = [f_1] * [f_2] * \cdots * [f_n]$. Note that all f_i have images inside U or V. Now,

$$\begin{aligned}[][f] &= [a_0] * [f_1] * [\overline{\alpha_1}] * [\alpha_1] * [f_2] * [\overline{\alpha_2}] * [\alpha_2] * [f_3] * \cdots * [\alpha_{n-1}] * [f_n] * [\overline{\alpha_n}] \\ &= [\alpha_0 * (f_1 * \overline{\alpha_1})] * [\alpha_1 * (f_2 * \overline{\alpha_2})] * \cdots .\end{aligned}$$

Every path of the form $\alpha_{i-1} * (f_i * \overline{\alpha_i})$ is a loop based at x_0 lying entirely inside U or V. This means that

$$[f] \in \operatorname{grp}\{i_*(\pi(U, x_0)), j_*(\pi(V, x_0))\}.$$

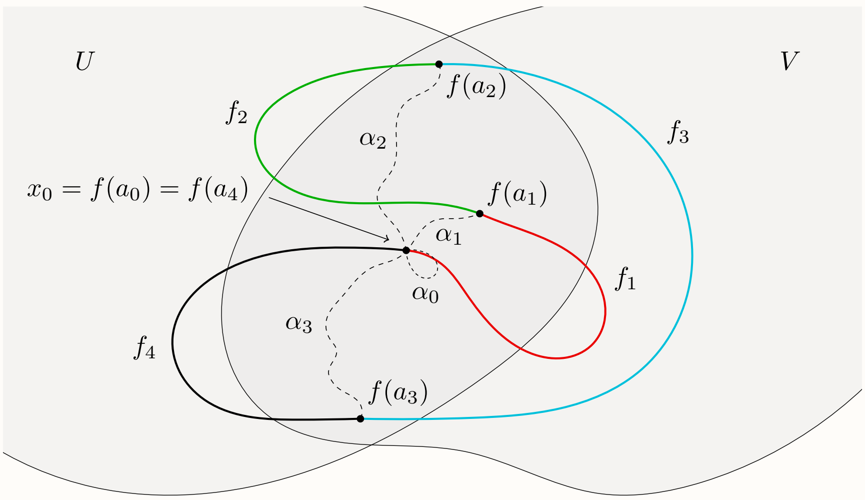


Figure 9.7: Proof of Theorem 59.1

□

Corollary 6 (59.2)**.** If U and V are simply connected, then so is X.

Theorem 29 (59.3)**.** For $n \geq 2$, S^n is simply connected.

Proof. Consider S^n and N, S the north and south pole. Let $U = S^n \setminus \{N\}$

and $V = S^n \setminus \{S\}$. Then $U, V \approx \mathbb{R}^n$ and $U \cap V$ is path connected, which is easy to prove as it is simply homeo to $\mathbb{R}^n$ with points removed. Then $\pi(S^n, x_0)$ is generated by $i_*(\pi(U, x_0))$ and $j_*(\pi(V, x_0))$, which both are trivial. This proof doesn't work for S^1 because then the intersection is not path connected anymore! $\square$

Note. HW6:

- Prove (i) of Proof of Theorem 28 in detail.
- Exercise §59 – #1, #3.

9.60 Fundamental groups of some surfaces

Definition 27. Given groups $(G, \cdot)$ and $(H, *)$, the **direct product** $G \times H$ is the set $\{(g, h) \mid g \in G,\ h \in H\}$ where $(g_1, h_1)(g_2, h_2) = (g_1 \cdot g_2, h_1 * h_2)$.

Theorem 30 (60.1)**.** $\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0)$.

Proof. Let p, q be projection mappings from $X \times Y$ to X and Y, respectively. With given base points, we have induced homomorphisms p_* and q_*.

Homomorphism Define a map $\Phi\colon \pi_1(X \times Y, (x_0, y_0)) \to \pi_1(X, x_0) \times \pi_1(Y, y_0)$ by $\Phi([f]) = (p_*([f]), q_*([f])) = ([p \circ f], [q \circ f])$. For two loops f, g in $X \times Y$ based at (x_0, y_0),

$$
\begin{aligned}
\Phi([f] * [g]) &= \Phi([f * g]) = (p_*([f * g]), q_*([f * g])) \\
&= (p_*([f]) * p_*[g]), q_*([f]) * q_*([g])) \\
&= (p_*([f]), q_*([f])) \cdot (p_*([g]), q_*([g])) \\
&= \Phi([f]) \cdot \Phi([g]).
\end{aligned}
$$

Thus Φ is a group homomorphism.

Surjective Let $\mathcal{L}(Z, z_0)$ denote the set of all loops in Z based at z_0. For $g \in \mathcal{L}(X, x_0)$ and $h \in \mathcal{L}(Y, y_0)$, let $f \in \mathcal{L}(X \times Y, (x_0, y_0))$ s.t. $f(s) = (g(s), h(s))$. Then $\Phi([f]) = ([p \circ f], [q \circ f]) = ([g], [h])$.

Injective Let $f \in \mathcal{L}(X \times Y, (x_0, y_0))$ such that $\Phi([f]) = ([c_{x_0}], [c_{y_0}])$. Then $p \circ f \simeq_p^G c_{x_0}$ and $g \circ f \simeq_p^H c_{y_0}$. Define a map $F\colon I \times I \to X \times Y$ by $F(s, t) = (G(s, t), H(s, t))$. Then,

- $F(s, 0) = (G(s, 0), H(s, 0)) = (p \circ f, q \circ f) = f$
- $F(s, 1) = (G(s, 1), H(s, 1)) = (c_{x_0}, c_{y_0}) = c_{(x_0, y_0)}$
- $F(0, t) = (G(0, t), H(0, t) = (x_0, y_0)$
- $F(1, t) = (G(1, t), H(1, t)) = (x_0, y_0)$

Thus, F is a path-homotopy between f and $c_{(x_0,y_0)}$, hence $[f]$ is the identity element of $\pi_1(X \times Y, (x_0, y_0))$.

□

Example. $\pi_1(T^2, x_0) = \pi_1(S^1) \times \pi_1(S^1) = \mathbb{Z}^2$. We know that $\pi(S^2, x_0) = 1$, so the torus and the two sphere are not homeomorphic to each other, they aren't even homotopically equivalent.

Example. $\mathbb{R}\mathrm{P}^2 = S^2/\sim$. Then $p\colon S^2 \to \mathbb{R}P^2$, which is continuous by definition of the topology on the projective plane. This means that (S, p) is a covering of the projective plane. The lifting correspondence says that

$$\Phi\colon \pi(\mathbb{R}\mathrm{P}^2, x_0) \to p^{-1}(x_0) = \{\tilde{x_0}, -\tilde{x_0}\}$$

is a isomorphism. Therefore, $\pi_1(\mathbb{R}\mathrm{P}^2, x_0)$ is a group with 2 elements, so $\mathbb{Z}_2$.

This means, there exists loops which we cannot deform to the trivial loop, but when going around twice, they do deform to the trivial loop. E.g. consider the loop a. This is not homotophic equivalent with the trivial loop, as $e_1 \neq e_0$. (Or also you can see it because $\alpha = \overline{\alpha}$.) But pasting the loop it twice, we see that is possible. This means that the fundamental group of the projective space is different from all the one we've seen before.

Example. T^2 is the torus. $T^2 \# T^2$ is the connected sum of two tori (Remove small disc of both tori and glue together), in Dutch: 'tweeling zwemband'. This space has yet another fundamental group.

Example. Figure eight space: fundamental group is not abelian. Indeed, $[b * a] \neq [a * b]$.

Example. Tweeling zwemband. The space retracts to the figure 8 situation, which shows that the gorup of the tweeling zwemband has a nonabelian component.

Chapter 10

Separation theorems in the plane

Review on connectedness

Lecture 17
Wed, Oct 27

Definition 28. A topological space X is **disconnected** if there are two non-empty open subsets U and V (called **separation** of X) s.t. $U \cap V = \emptyset$, $U \cup V = X$.[a] X is said to be **connected** if it is not disconnected.[b]

[a]Hence, U and V are open and closed.
[b]Iff $\emptyset, X$ are only sets which are both open and closed.

Theorem 31 (23.3)**.** Let $\{E_\alpha\}_{\alpha \in A}$ be a family of connected subsets of a topological space X s.t. $E_\alpha \cap E_\beta \neq \emptyset$ for every $\alpha, \beta \in A$. Then, $\bigcup_{\alpha \in A} E_\alpha$ is connected.

Proof. Let $\bigcup_{\alpha \in A} E_\alpha = A \cup B$ be a separation. For $x \in A$, $x \in E_{\alpha_0}$ for some α_0. $A \cap E_{\alpha_0}$ is a non-empty, open and closed subset of E_{α_0}. By connectedness of E_{α_0}, $A \cap E_{\alpha_0} = E_{\alpha_0}$, $A \supset E_{\alpha_0}$. For any β, $A \cap E_\beta$ is an open and closed subset of E_β. Note that $A \cap E_\beta \supset E_{\alpha_0} \cap E_\beta \neq \emptyset$. Thus, $A \cap E_\beta = E_\beta$, $A = \bigcup_{\alpha \in A} E_\beta$, $B = \emptyset$. □

Definition 29. A **connected component** of X is a maximal connected subset of X.[a]

[a]A is a connected component of X if there is no connected subset of X which contain A.

Assume that C is a connected component of X and U is a connected subset. If $C \cap U \neq \emptyset$, $C \cup U$ is a connected (by Theorem 31) subset which contains C. $\lightning$. Thus we have only two possible cases: $C \cap U = \emptyset$ or $C \supset U$. This implies, two connected components are disjoint and X can be partitioned into a disjoint union of connected components.

Definition 30. A space X is **path-connected** if for every $x, y \in X$, there is a path from x to y.

Note. The existence of a path between two points is an equivalence relation on the points of X. The equivalence classes of such a relation are called **path-components**.

A path-connected space is connected. Thus a connected component is split into path-components.

Theorem 32 (25.5)**.** If a space X is locally path-connected, then connected components and path-components of X are the same.

Proof. Let C be a connected component and P be a path-component s.t. $C \cap P \neq \emptyset$. Since P is connected, $C \supset P$. Suppose that $C \neq P$. Let Q be the union of path-components other than P. $C = P \sqcup Q$. Since X is locally path-connected, each path-component is open. Thus P, Q are open, hence $P \sqcup Q$ is a separation of C. $\lightning$ □

10.61 Jordan separation theorem

Definition 31. Let A be a subspace of a connected space X. We say A **separates** X if $X - A$ is not connected. A is an **arc** if $A \cong [0,1]$, that is, there is a continuous map $\alpha\colon [0,1] \to X$ s.t. α is injective, $\alpha(I) = A$. A is a **simple closed curve** if $A \cong S^1$, i.e. there is a continuous map $\alpha\colon [0,1] \to X$ s.t. $\alpha(I) = A$, $\alpha(0) = \alpha(1)$, α is injective on (0,1).

The main content of this section is the proof of the following theorem.

Theorem 33 (61.3, Jordan separation theorem)**.** Any simple closed curve in S^2 separates S^2.

Lemma 13 (61.1)**.** Let C be a compact subspace of S^2, $b \in S^2 - C$, $h\colon S^2 - \{b\} \to \mathbb{R}^2$ be a homeomorphism, and U be a component of $S^2 - C$. If $b \notin U$, then $h(U)$ is a bounded component of $\mathbb{R}^2 - h(C)$. If $b \in U$, then $h(U - \{b\})$ is an unbounded component of $\mathbb{R}^2 - h(C)$.

Proof.

(i) $U - \{b\}$ is connected. If $b \notin U$, $U - \{b\} = U$ is connected. Assume $b \in U$. Let $U - \{b\} = A \sqcup B$ be a separation. Choose an open nbh W of b in S^2 so that $W \cong$ open disk in $\mathbb{R}^2$, $W \cap C = \emptyset$. Then $W - \{b\}$ is connected, hence we may say $W - \{b\} \subset A$. Thus $(A \cup \{b\}) \sqcup B$ is a separation of U. $\lightning$

(ii) Let $\{U_\alpha\}$ be the collection of all components of $S^2 - C$. $S^2 - C$ ($\cong$ open subset of $\mathbb{R}^2$) is locally connected, hence each U_α is open in $S^2 - C$. By (i), $\{U_\alpha - \{b\}\}$ is the collection of open, disjoint, and connected subsets. The homeomorphism h preserves such properties.

Thus $\{h(U_\alpha - \{b\}\}$ is the collection of all components of $\mathbb{R}^2 - h(C)$.

(iii) If $b \in U_{\alpha_0}$, then $h(U_{\alpha_0} - \{b\})$ is an unbounded component of $\mathbb{R}^2 - h(C)$. $(S^2 - C) - U_{\alpha_0}$ is bounded and closed, hence compact in $S^2 - C$. $h((S^2 - C) - U_{\alpha_0}) = \bigsqcup_{\alpha \neq \alpha_0} h(U_\alpha - \{b\})$. Each $h(U_\alpha - \{b\})$ $(\alpha \neq \alpha_0)$ is bounded.

□

Lecture 18
Mon, Nov 1

Lemma 14 (61.2)**.** Let $a, b \in S^2$, A be a compact space, $f \colon A \to S^2 - \{a, b\}$ be a continuous map. If a, b are in the same component of $S^2 - f(A)$, then f is null-homotopic.

Proof. Note that there is a homeomorphism $h \colon S^2 - \{a, b\} \to \mathbb{R}^2 - \{O\}$. If $h \circ f \colon A \xrightarrow{f} S^2 - \{a, b\} \xrightarrow{h} \mathbb{R}^2 - \{O\}$ is null-homotopic, then $h \circ f \simeq$ constant map, hence $f \simeq h^{-1} \circ$ constant map.

Therefore, it's enough to show: For a continuous map $g \colon A \to \mathbb{R}^2 - \{O\}$, if O lies in the unbounded component of $\mathbb{R}^2 - g(A)$, then g is null-homotopic. (If a, b are in the same component of $S^2 - f(A)$, then O is in the unbounded component of $\mathbb{R}^2 - (h \circ f)(A)$ by Lemma 13.)

Choose a disk B centered at O in $\mathbb{R}^2$ so that $g(A) \subset B$. And choose a point $p \in \mathbb{R}^2$ lying outside B. Then O and p are in the unbounded component of $\mathbb{R}^2 - g(A)$. $\mathbb{R}^2$ is locally path-connected, hence so is the open subset $\mathbb{R}^2 - g(A)$. (Thus the components and the path-components of $\mathbb{R}^2 - g(A)$ are the same.) O and p are in the same path-component of $\mathbb{R}^2 - g(A)$. So, there is a path α in $\mathbb{R}^2 - g(A)$ from O to p.

Define a homotopy $G \colon A \times I \to \mathbb{R}^2 - \{O\}$ by $G(x, t) = g(x) - \alpha(t)$. $G(x, t) \neq O$ because of $g(A) \cap \alpha(I) = \emptyset$. $(G(x, 0) = g(x), G(x, 1) = g(x) - p)$ Also, define a homotopy $H \colon A \times I \to \mathbb{R}^2 - \{O\}$ by $H(x, t) = tg(x) - p$. $(H(x, 0) = -p, H(x, 1) = g(x) - p = G(x, 1))$ Therefore, by G and H, $g(x)$ is null-homotopic. □

Proof (of Theorem 33)**.** $S^2 - C$ is open, hence it is locally path-connected, $\{\text{path-components}\} = \{\text{connected components}\}$. Suppose that $S^2 - C$ is path-connected. Let $C = A_1 \cup A_2$ and $X = S^2 - \{a, b\}$. And let $U = S^2 - A_1$, $V = S^2 - A_2$, $x_0 \in U \cap V$, $i \colon U \hookrightarrow X$, and $j \colon V \hookrightarrow X$. (Then, $X = U \cup V$, $U \cap V = S^2 - (A_1 \cup A_2) = S^2 - C$ (path-connected).) By special van–Kampen theorem, $i_*(\pi_1(U, x_0))$ and $j_*(\pi_1(V, x_0))$ generate $\pi_1(X, x_0)$.

Claim: i_* and j_* is trivial homomorphisms. The claim implies, $\pi_1(X, x_0)$ should be trivial, but, $\pi_1(X) \cong \pi_1(\mathbb{R}^2 - \{\text{a point}\}) \cong \mathbb{Z}$ is not trivial. $\lightning$

Proof of claim: Let $p \colon I \to S^1$ be the loop $p(t) = e^{2\pi i t}$. Then $[p]$ generates $\pi_1(S^1, b_0)$. For a loop $f \in \mathcal{L}(U, x_0)$, Let $h \colon S^1 \to U$ be the loop s.t. $h \circ p = f$. Consider the map $i \circ h \colon S^1 \xrightarrow{h} U \overset{i}{\hookrightarrow} X = S^2 - \{a, b\}$. $i(h(S^1)) = h(S^1) \cap A_1 = \emptyset$, hence a and b are in the same path-component ($=$ conn. comp.) of $S^2 - i(h(S^1))$. Applying Lemma 14 to $i \circ h \colon S^1 \to X$, we know that $i \circ h$ is null-homotopic. By Lemma 9, $(i \circ h)_*$ is the trivial homomorphism. Thus,

$$(i \circ h)_*([p]) = [i \circ h \circ p] = [i \circ f] = i_*([f]) = i_*([e_{x_0}]),$$

hence, i_* is trivial. Similarly, so is j_*. □

Theorem 34 (61.4, A general separation theorem)**.** If A_1 and A_2 are closed connected subsets of S^2 s.t. $A_1 \cap A_2 = \{\text{two points}\}$, then $A_1 \cup A_2$ separates S^2.

Proof. $A_1 \cup A_2 \neq S^2$. Because $S^2 - \{a, b\}$ is connected. $(A_1 \cup A_2) - \{a, b\} = (A_1 - \{a, b\} \sqcup (A_2 - \{a, b\})$ (both are open). Thus $(A_1 \cup A_2) - \{a, b\}$ is disconnected. The remainder of proof is same with that of Theorem 33. □

Note. HW7: Exercise §61 − #1, #2.

10.62 Invariance of domain

Theorem 35 (62.3, Invariance of domain)**.** If U is an open subset of $\mathbb{R}^n$ and $f\colon U \to S^n$ is continuous and injective, then $f(U)$ is open in S^n and the inverse function $f^{-1}\colon f(U) \to U$ is continuous. ($\therefore U \cong f(U)$ by f)

In this section, we prove this theorem for $n = 2$.

Lemma 15 (62.1, Homotopy extension lemma)**.** Let X be a space s.t. $X \times I$ is normal, A be a closed subset of X, Y be an open subset of $\mathbb{R}^n$, and $f\colon A \to Y$ be a continuous map. If f is null-homotopic, then f can be extended to a continuous map $g\colon X \to Y$ that is null-homotopic.

Proof. Let $F\colon A \times I \to Y$ be a homotopy between f and c_{y_0}. Define $\overline{F}\colon (A \times I) \cup (X \times \{1\}) \to \mathbb{R}^n$ by $\overline{F}\big|_{A\times I} = F$ and $\overline{F}(x, 1) = y_0$. Applying the Tietze extension theorem n-times, $\overline{F}$ can be extended to a continuous map $G\colon X \times I \to \mathbb{R}^n$. The map $x \mapsto G(x, 0)$ is an extension of f, but it may maps X into $\mathbb{R}^n$, rather than Y. So, we need to do something more.

Let $U = G^{-1}(Y)$. Then $U \supset (A \times I) \cup (X \times \{1\})$. By the Tube lemma (26.8), there is an open subset W of X s.t. $W \times I \subset U$, $A \subset W$. Apply the Urysohn lemma to (X, A, W^c), we have a continuous map $\phi\colon X \to [0, 1]$ s.t. $\phi(x) = 0\ \forall x \in A$, $1\ \forall x \in X - W$. Then the map $x \mapsto (x, \phi(x))$ carries X into $(W \times I) \cup (X \times \{1\}) \subset U$. $g(x) = G(x, \phi(x))$ is a continuous map from X to Y. For $x \in A$, $g(x) = G(x, \phi(x)) = G(x, 0) = f(x)$ (extension of f). Define $H\colon X \times I \to Y$ by $H(x, t) = G(x, (1 - t)\phi(x) + t)$. Then,

- $H(x, 0) = G(x, \phi(x)) = g(x)$ (extension of f)
- $H(x, 1) = G(x, 1) = c_{y_0}$

Thus, H is a homotopy between g and c_{y_0}. □

Lecture 19
Wed, Nov 3

Lemma 16 (62.2, Borsuk lemma)**.** Let $a, b \in S^2$, A be a compact space, and $f\colon A \to S^2 - \{a, b\}$ be a continuous and injective map. If f is null-homotopic, then a and b are in the same component of $S^2 - f(A)$.

Proof. Because A is compact, $S^2 - \{a, b\}$ is Hausdorff, and f is injective, by Theorem 26.6[a], $A \cong f(A)$ by f. Let $h\colon S^2 - \{b\} \to \mathbb{R}^2$ be a homeomorphism s.t. $h(a) = O$ ($\because f^{-1}\colon f(A) \to A$ is continuous). By Lemma 14, if O is in unbounded component of $\mathbb{R}^2 - h(f(A))$, then a, b are in the same component of $S^2 - f(A)$.

Consider the map $A \xrightarrow{h\circ f} h(f(A)) \overset{j}{\hookrightarrow} \mathbb{R}^2 - \{O\}$. Let $H\colon A \times I \to S^2 - \{a, b\}$ be a homotopy between f and c_{y_0} ($y_0 \neq a, b$). Define $J\colon h(f(A)) \times I \to S^2 - \{O\}$ by $J(z, t) = (h \circ H)((h \circ f)^{-1}(z), t)$. Then,

- $J(z, 0) = h \circ f \circ (h \circ f)^{-1}(z) = z = j(z)$
- $J(z, 1) = (h \circ c_{y_0})((h \circ f^{-1})(z)) = h(y_0) = c_{h(y_0)}$

Thus, j is null-homotopic. Now, it's enough to show: If X is compact subspace of $\mathbb{R}^2 - \{O\}$ and $j\colon X \hookrightarrow \mathbb{R}^2 - \{O\}$ is null-homotopic, then O is in the unbounded component of $\mathbb{R}^2 - X$.

Let C be the component of $\mathbb{R}^2 - X$ containing O. Suppose C is bounded. Let D be the union of the other components ($\mathbb{R}^2 - X = C \sqcup D$). $\mathbb{R}^2 - X$ is open in X, and C, D are open in $\mathbb{R}^2 - X$, hence C, D are open in $\mathbb{R}^2$. Thus $X \cup C$ is closed and normal in $\mathbb{R}^2$.

Apply Lemma 15 to $(X \cup C, X, j\colon X \hookrightarrow \mathbb{R}^2 - \{O\})$. Then j can be extended to a map $k\colon X \cup C \to \mathbb{R}^2 - \{O\}$. And extend k to a map $g\colon \mathbb{R}^2 \to \mathbb{R}^2 - \{O\}$ by $g(x) = x$ for all $x \in D$. Let B be a closed ball in $\mathbb{R}^2$ centered at O s.t. $\operatorname{Int} B \supset X \cup C$. Note that $g(x) = x$ for all $x \in \partial B$. Define $g_1\colon B \to \partial B$ by $g_1(x) = (\text{Radius of } B) \times \frac{g(x)}{\|g(x)\|}$. Then g_1 is a retraction of B onto ∂B, which is a contradiction to Theorem 17. $\square$

[a] Let $f\colon X \to Y$ be a bijective continuous function. If X is compact and Y is Hausdorff, then f is a homeomorphism.

Proof (of Theorem 35 for $n = 2$)**.** Let $B \subset \mathbb{R}^2$ be a closed ball contained in U.

Step 1. WTS: $f(B)$ does not separate S^2. Let $a, b \in S^2 - f(B)$. The identity map $i\colon B \to B$ is null-homotopic ($i \simeq^H c_{x_0}$). Consider the map $f \circ H\colon B \times I \xrightarrow{H} B \xrightarrow{f} S^2 - \{a, b\}$. Then,

- $(f \circ H)(x, 0) = (f \circ i)(x) = f(x)$
- $(f \circ H)(x, 1) = f(x_0)$

for all $x \in B$. Thus, $f|_B : B \to S^2 - \{a, b\}$ is null-homotopic, hence by Lemma 16, a, b are in the same component of $S^2 - f(B)$.

Step 2. WTS: $f(\operatorname{Int} B)$ is open in S^2. Let $C = f(\partial B)$. Since f is continuous and injective, C is a simple closed curve on S^2, hence it separates

S^2. Let V be the component of $S^2 - C$ s.t. $V \supset f(\operatorname{Int} B)$ and W be the union of the other components. Because S^2 is locally connected, V and W are open in S^2. In fact, $V = f(\operatorname{Int} B)$ (open). Otherwise, select a point $a \in V$ s.t. $a \notin f(\operatorname{Int} B)$ and another point $b \in W$. By step 1, $S^2 - f(B)$ is a connected and contains both a and b. But $S^2 - f(B) \subset S^2 - C$, $S^2 - f(B)$ is contained in a component of $S^2 - C$. Both a and b are also in the component. $\lightning$

Step 3. Since U is open, for any $x \in U$, we can select a closed ball B_x so that $B_x \subset U$. By (ii), $f(\operatorname{Int} B_x)$ is open in S^2. $U = \bigcup_{x \in U} \operatorname{Int} B_x$. $f(U) = \bigcup_{x \in U} f(\operatorname{Int} B_x)$ is open.

□

Note. HW7: Exercise §62 – #6.

10.63 Jordan curve theorem

Theorem 36 (63.4, Jordan curve theorem)**.** Let C be a simple closed curve in S^2. Then,

(i) C separates S^2 into precisely two components W_1 and W_2.

(ii) $\partial W_1 = C = \partial W_2$.

Theorem 37 (Schöflies theorem)**.** $\overline{W}_1 \cong B^2 \cong \overline{W}_2$.

Theorem 38 (General version)**.** For a subspace C of S^n, if $C \cong S^{n-1}$, then C separates S^n into precisely two components W_1, W_2, and $\partial W_1 = C = \partial W_2$.

Remark. For S^3, the Schöflies theorem is true if C is a smooth manifold. Otherwise, there exist counterexamples.

Example. Alexander's horned sphere which is homeomorphic to S^2 separates S^3 into W_1 and W_2 s.t. $\overline{W}_1 \cong B^3$ but W_2 is not simply connected.

Lecture 20
Mon, Nov 8

In this section, we prove Theorem 36.

Theorem 39 (63.1). Assume that

- $X = U \cup V$ s.t. U, V are open, $U \cap V = A \sqcup B$, A, B are open.
- There is a path α in U from a point $a \in A$ to a point $b \in B$, and there is a path β in V from b to a.
- $f = \alpha * \beta$

Then,

(i) $[f]$ generates an infinite cyclic subgroup of $\pi_1(X, a)$.

(ii) If $\pi_1(X, a) \cong \mathbb{Z}$, then $[f]$ generates $\pi_1(X, a)$.

(iii) If there is a path γ in U from a to a point $a' \in A$, and there is a path δ in V from a' to a, then the subgroups of $\pi_1(X, a)$ generated by $[f]$ and $[\gamma * \delta]$ intersect in the identity element alone.

Theorem 40 (63.2, Non-separation theorem). A compact contractible subspace D of S^2 does not separate S^2.

Proof. D is contractible, that is, there is a homotopy $H\colon D \times I \to D$ between the identity map $i\colon D \to D$ and a constant map $c_{x_0}\colon D \to D$. For any $a, b \in S^2 - D$, the inclusion map $j\colon D \hookrightarrow S^2 - \{a, b\}$ is null-homotopic. (Consider the map $j \circ H\colon D \times I \to D \hookrightarrow S^2 - \{a, b\}$. $(j \circ H)(x, 0) = (j \circ i)(x) = x$, $(j \circ H)(x, 1) = j(x_0) = x_0$.) By Lemma 16, a and b are in the same component of $S^2 - D$. $\square$

Corollary 7. An arc in S^2 does not separate S^2.

Theorem 41 (63.3, General non-separation theorem). Let D_1, D_2 be closed subsets of S^2 s.t. $S^2 - (D_1 \cap D_2)$ is simply connected. If neither D_1 nor D_2 separates S^2, then $D = D_1 \cup D_2$ does not.

Proof. Since S^2 is locally path-connected, every open subset is also locally path-connected. Thus, for $S^2 - D_i$, $S^2 - (D_1 \cap D_2)$ and $S^2 - D$, $\{\text{conn. comps.}\} = \{\text{path-comps.}\}$. Suppose that $S^2 - D$ is not connected, equivalently, there are $a, b \in S^2 - D$ s.t. they are not joined by any path in $S^2 - D$. Let $U = S^2 - D_1$, $V = S^2 - D_2$ and $X = U \cup V$. Then $X = S^2 - (D_1 \cap D_2)$, $U \cap V = S^2 - D$. Let A be the path-component of $U \cap V$ s.t. $a \in A$, and B be the union of the other path-components. Since $U \cap V$ is locally path-connected, every path-component is open, hence A and B are open in X. Note that a and b can be joined by a path in U, also a path in V. By Theorem 39.(i), $\pi_1(X, a)$ is not trivial, which contradicts $X = S^2 - (D_1 \cap D_2)$ is simply connected. $\square$

Proof (of Theorem 36). (i) WTS: $S^2 - C$ has precisely two components.
Let $C = C_1 \cup C_2$ s.t. $C_1 \cap C_2$ is the set of two points p, q, $X =$

$S^2 - \{p, q\}$, $U = S^2 - C_1$, $V = S^2 - C_2$. Then, $X = U \cup V$ and $U \cap V = S^2 - C$. By the Jordan separation theorem, $U \cap V$ has at least two components. Let A_1, A_2 be components of $U \cap V$, and B be the union of the others. (They are open, because $S^2 - C$ is locally connected.) Choose three points $a \in A_1$, $a' \in A_2$ and $b \in B$. By Theorem 40, we know, there are paths α in U from a to b, γ in U from a to a', β in V from b to a, and δ in V from a' to a. Let $f = \alpha * \beta$, $g = \gamma * \delta$. Considering $U \cap V = (A_1 \cup A_2) \sqcup B$, by Theorem 39.(i), we know, $[f]$ is a nontrivial element of $\pi_1(X, a)$. Similarly, $U \cap V = A_1 \cup (A_2 \sqcup B)$, $[g]$ is a nontrivial element of $\pi_1(X, a)$. Since $\pi_1(X, a)$ is infinite cyclic, $[f]^m = [g]^k$ for some m, k, which contradicts Theorem 39.(iii).

(ii) WTS: $\partial W_1 = C = \partial W_2$. Because S^2 is locally connected, each W_i is open. Recall the definition of $\partial W_i = \overline{W}_i \cap \overline{(S^2 - W_i)} = \overline{W}_i \cap (S^2 - W_i) = \overline{W}_i - W_i$. $S^2 = W_1 \sqcup C \sqcup W_2$, hence $\partial W_i \subset C$. Now, we will show, if $x \in C$, then every nbh U of x intersects the closed set $\overline{W}_1 - W_1$. (Then $x \in \overline{W}_1 - W_1 = \partial W_1$. Also similarly $x \in \partial W_2$.) Take two arcs C_1, C_2 so that $C = C_1 \cup C_2$, $C_1 \cap C_2 = \{\text{two pts}\}$, $C_1 \subset U$ (use Lebesgue lemma). Let $\alpha(I) \cap \overline{W}_1 - W_1 \neq \emptyset$. (Otherwise, the connected set $\alpha(I) \subset W_1 \sqcup S^2 - \overline{W}_1$, that is, $\alpha(I)$ is a union of non-empty disjoint open subsets. $\lightning$) Let $y \in \alpha(I) \cap \overline{W}_1 - W_1$. Then $y \in \overline{W}_1 - W_1 \subset C$, $\alpha(I) \cap C_2 = \emptyset$. Thus $y \in C_1 \subset U$, $y \in U \cap (\overline{W}_1 - W_1)$.

□

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Wed, Nov 10

Now, let's prove Theorem 39.

Proof (of Theorem 39). (i)

□

Note. HW8:

- Prove Theorem 63.5.
- Exercise §63 – #3.

Chapter 11

Seifert–van Kampen theorem

Lecture 24
Mon, Nov 29

11.67 Direct sums

Definition 32. Let G be an abelian group[a] and $\{G_\alpha\}_{\alpha\in J}$ be a family of subgroups of G. We say, G is a **direct sum** of $\{G_\alpha\}_{\alpha\in J}$ and we write $G = \bigoplus_{\alpha\in J} G_\alpha$[b] if

- $\{G_\alpha\}_{\alpha\in J}$ generates G, that is, if $x \in G$, $x = \sum_{\alpha\in J} x_\alpha$ s.t. $x_\alpha \in G_\alpha$ for all α, and $x_\alpha = 0$ for all but finitely many α.
- $\sum_{\alpha\in J} x_\alpha = \sum_{\alpha\in J} x'_\alpha \Rightarrow x_\alpha = x'_\alpha$ for all $\alpha \in J$.

[a] operation: $+$, identity: 0, inverse: $a \leftrightarrow -a$

[b] If $|J| < \infty$, then $G = G_1 \oplus G_2 \oplus \cdots \oplus G_n$.

Lemma 17 (67.1, Extension condition)**.** Let G be an abelian group and $\{G_\alpha\}$ be a family of subgroups of G.

(i) $G = \bigoplus_\alpha G_\alpha$

(ii) For any abelian H and a family of homomorphisms $\{h_\alpha : G_\alpha \to H\}$, there exists a homomorphism $h: G \to H$ s.t. the diagram

$$\begin{tikzcd} G_\alpha \arrow[r, hook] \arrow[dr, "h_\alpha"'] & G \arrow[d, "h"] \\ & H \end{tikzcd}$$

commutes. In fact, h is unique.

(iii) $\{G_\alpha\}$ generates G.

Then, (i) $\Rightarrow$ (ii) and (ii) + (iii) $\Rightarrow$ (i).

Proof. Given $h_\alpha\colon G_\alpha \to H$, define $h\colon G \to H$ by $h(x) = \sum_\alpha h_\alpha(x_\alpha)$ for $x = \sum_\alpha x_\alpha$.

Conversely, $\{G_\alpha\}$ generates G. For $x \in G$, x can be written as a finite sum $x = \sum_\alpha x_\alpha$. Suppose $\sum_\alpha x_\alpha = \sum_\alpha x'_\alpha$. Fix $\beta \in J$. And for each α, define $h_\alpha\colon G_\alpha \to G_\beta$ by $h_\alpha(g) = g$ if $\alpha = \beta$, 0 if $\alpha \neq \beta$. By extension condition, there is a homomorphism $h\colon G \to G_\beta$ s.t. the diagram

$$\begin{tikzcd} G_\alpha \arrow[r, hook] \arrow[dr, "h_\alpha"'] & G \arrow[d, "h"] \\ & G_\beta \end{tikzcd}$$

commutes. For each β, $h(\sum_\alpha x_\alpha) = \sum_\alpha h_\alpha(x_\alpha) = x_\beta$ and $h(\sum_\alpha x'_\alpha) = x'_\beta$. Thus, $G = \bigoplus_\alpha G_\alpha$. □

Corollary 8 (67.2)**.** If $G = G_1 \oplus G_2$, $G_1 = \bigoplus_{\alpha\in J} H_\alpha$, $G_2 = \bigoplus_{\beta\in K} H_\beta$, $J \cap K = \emptyset$, then $G = \bigoplus_{r\in J\cup K} H_r$.

Corollary 9 (67.3)**.** If $G = G_1 \oplus G_2$, then $G/G_2 \cong G_1$.

Definition 33. Let $\{G_\alpha\}_{\alpha\in J}$ be a family of abelian groups. An abelian group G is an **external direct sum** of $\{G_\alpha\}$ if there is a family of monomorphisms $\{i_\alpha\colon G_\alpha \to G\}$ s.t. $G = \bigoplus_\alpha i_\alpha(G_\alpha)$.

11.68 Free products

Lecture 25
Wed, Dec 1

Note. From now on, groups may not be abelian.

Lemma 18 (68.3)**.** Let $\{G_\alpha\}$ be a family of groups; let G be a group; let $i_\alpha\colon G_\alpha \to G$ be a family of homomorphisms. If each i_α is a monomorphism and G is the free product of the groups $i_\alpha(G_\alpha)$, then G satisfies the following condition: Given a group H and a family of homomorphisms $h_\alpha\colon G_\alpha \to H$, there exists a homomorphism $h\colon G \to H$ such that $h \circ i_\alpha = h_\alpha$ for each α. Furthermore, h is unique.

Lecture 26
Mon, Dec 6

Group presentation

A group presentation is a method to represent a group. For a group G,

- generators: a set of alphabets s.t. every element of G except for the identity is written as a finite sequence of these alphabets
- relators: words corresponding to relations in G.

We then say G has presentation $G = \langle \text{generators} \mid \text{relators} \rangle$.

Rules

(i) the operation of group corresponds to the join of two words, i.e. $W_1, W_2 \to W_1W_2$

(ii) 1: identity element $a1 = 1a = a$.

(iii) a^{-1}: inverse of a

(iv) $aa^{-1} = a^{-1}a = 1$

(v) $a^n = \underbrace{a \dots a}_{n}$

(vi) $(ab)^{-1} = b^{-1}a^{-1}$

(vii) $a = b \Rightarrow ac = bc$

> **Example.**
> - $\mathbb{Z} \cong \langle a \rangle$ $(0 \to 1,\ 1 \to a,\ n \to a^n)$
> - $\mathbb{Z}_2 \cong \langle a \mid a^2 \rangle$ or $\langle a \mid a^2 = 1 \rangle$
> - $\mathbb{Z} \times \mathbb{Z} \cong \langle a, b \mid aba^{-1}b^{-1} = 1 \rangle$ or $\langle a, b \mid ab = ba \rangle$
> - $K_4 \cong \langle a, b \mid a^2 = 1,\ b^2 = 1,\ aba^{-1}b^{-1} = 1 \rangle$
> - Free group: a group with no relations
> $F_1 \cong \langle a \rangle \cong \mathbb{Z}$, $F_2 \cong \langle a_1, a_2 \rangle$, $F_3 \cong \langle a_1, a_2, a_3 \rangle$

(viii) $G \cong \langle a_1, \dots, a_n \mid R_1, \dots, R_m \rangle \Leftrightarrow G \cong \langle a_1, \dots, a_n, c \mid R_1, \dots, R_m, c = W \rangle$ where W is a word written in $a_1, \dots, a_n$. E.g.

$$\langle a, b \mid a^2 = 1,\ b^2 = 1,\ ab = ba \rangle \cong \langle a, b, c \mid a^2 = 1,\ b^2 = 1,\ ab = ba,\ c = ab \rangle.$$

(ix) Let $G_1 \cong \langle a_1, \dots, a_n \mid R_1, \dots, R_p \rangle$, $G_2 \cong \langle b_1, \dots, b_m \mid S_1, \dots, S_q \rangle$. Then, the free product $G_1 * G_2 \cong \langle a_1, \dots, a_n, b_1, \dots, b_m \mid R_1, \dots, R_p, S_1, \dots, S_q \rangle$. E.g. for $F_3 = \langle a_1, a_2, a_3 \rangle$ and $F_2 = \langle b_1, b_2 \rangle$,

$$F_3 * F_2 \cong \langle a_1, a_2, a_3, b_1, b_2 \rangle \cong \langle a_1, a_2, a_3, a_4, a_5 \rangle \cong F_5.$$

(x) Let $G \cong \langle a_1, \dots, a_n \mid R_1, \dots, R_p \rangle$ and N be the smallest normal subgroup which contains $W_1, \dots, W_q$, each of which is a word in $a_1, \dots, a_n$. Then, $G/N \cong \langle a_1, \dots, a_n \mid R_1, \dots, R_p, W_1, \dots, W_q \rangle$. E.g.

$$\mathbb{Z}/2\mathbb{Z} \cong \langle a \mid a^2 = 1 \rangle \cong \mathbb{Z}_2.$$

Van Kampen theorem

Theorem 42 (Van Kampen theorem (special version)). Suppose $X = X_1 \cup X_2$ is a topological space where X_1 and X_2 are open, path-connected subsets of X; $X_0 = X_1 \cap X_2$ is nonempty and path-connected.

$$\begin{tikzcd}
& X_0 \arrow[dl, hook, "i_1"'] \arrow[dr, hook, "i_2"] & \\
X_1 \arrow[dr, hook, "j_1"'] & & X_2 \arrow[dl, hook, "j_2"] \\
& X &
\end{tikzcd}$$

Given group presentations:

- $\pi_1(X_1) \cong \langle x_1, \ldots x_k \mid R_1, \ldots R_l \rangle$
- $\pi_1(X_2) \cong \langle y_1, \ldots y_m \mid S_1, \ldots S_n \rangle$
- $\pi_1(X_0) \cong \langle z_1, \ldots z_p \mid T_1, \ldots T_q \rangle$

$\pi_1(X)$ can be represented as

$$\langle x_1, \ldots x_k, y_1, \ldots y_m \mid R_1, \ldots, R_l, S_1, \ldots, S_n, \\ {i_1}_*(z_1) = {i_2}_*(z_1), \ldots, {i_1}_*(z_p) = {i_2}_*(z_p) \rangle.$$

Furthermore, if X_0 is simply connected, then $\pi_1(X) \cong \pi_1(X_1) * \pi_1(X_2)$.

All fundamental groups are based at $x_0 \in X_0$.

${i_1}_*$ and ${i_2}_*$ are group homomorphisms induced by inclusions $i_1 \colon X_0 \hookrightarrow X_1$ and $i_2 \colon X_0 \hookrightarrow X_2$, respectively.

Proof. We do not prove this theorem in class. cf. Theorem 28. □

Example. Let X be bouquet with two leaves. $\pi_1(X_1) = \langle a \rangle$, $\pi_1(X_2) = \langle b \rangle$, $\pi_1(X_0) = 1$. Thus, $\pi_1(X) \cong \langle a, b \rangle = F_2$. In general, $\pi_1(n\text{-bouquet}) \cong F_n$.

Example. Let X be a torus. Select a simple closed curve. Then X_1 has a deformation retraction onto bouquet with two leaves, hence $\pi_1(X_1) \cong \langle a, b \rangle$. X_2 is contractible, so $\pi_1(X_2)$ is trivial. $\pi_1(X_0) \cong \langle c \rangle$. $c \sim aba^{-1}b^{-1}$ in X_1 and $c \sim 1$ in X_2. Thus, $\pi_1(X) \cong \langle a, b \mid aba^{-1}b^{-1} = 1 \rangle \cong \mathbb{Z} \times \mathbb{Z}$ (or $\mathbb{Z} \oplus \mathbb{Z}$)

Lecture 27
Wed, Dec 8

Example. Let X be a connected sum of two tori. $\pi_1(X_1) \cong \langle a, b \rangle$, $\pi_1(X_2) \cong \langle c, d \rangle$, and $\pi_1(X_0) \cong \langle e \rangle$. Thus,

$$\begin{aligned}
\pi_1(X) &\cong \langle a, b, c, d \mid aba^{-1}b^{-1} = cdc^{-1}d^{-1} \rangle \\
&\cong \langle a, b, c, d \mid aba^{-1}b^{-1}dcd^{-1}c^{-1} = 1 \rangle \\
&\cong \langle a_1, b_1, a_2, b_2 \mid [a_1, b_1][a_2, b_2] = 1 \rangle.
\end{aligned}$$

In general, let S_n be the surface with genus n.[a] Then,

$$\pi_1(S_n) \cong \langle a_1, b_1, a_2, b_2, \ldots, a_n, b_n \mid [a_1, b_1][a_2, b_2] \ldots [a_n, b_n] = 1\rangle.$$

[a]Intuitively, the genus is the number of holes of a surface.

Example. Let $X = \mathbb{RP}^2 \cong S^2/\sim$. Then, X_1 is homeomorphic to Möbius band, so $\pi_1(X_1) \cong \langle a \rangle$. And $\pi_1(X_2)$ is trivial, $\pi_1(X_0) \cong \langle b \rangle$. Since $b = a^2$ in X_1 and $b = 1$ in X_2, $\pi_1(X) \cong \langle a \mid a^2 = 1\rangle \cong \mathbb{Z}_2$.

Example. Remove an open disk from S_2. $\pi_1(S_2 - \text{open disk}) \cong F_4$. In general, $\pi_1(S_n - \text{open disk}) \cong F_{2n}$. Thus,

$$n \neq m \Rightarrow F_{2n} \ncong F_{2m} \Rightarrow S_n \ncong S_m.$$

This implies that S_n in classification theorem are different each other. Note that isomorphism between free group is easy, but for general group, it is not easy. For that reason, we used $S_n -$ open disk, not S_n itself which produces non-free group presentation.

Note. HW9: Let $(\mathbb{RP}^2)_n = \underbrace{\mathbb{RP}^2 \# \cdots \# \mathbb{RP}^2}_{n}$

(i) Find $\pi_1((\mathbb{RP}^2)_n)$.

(ii) Show that $(\mathbb{RP}^2)_n \ncong (\mathbb{RP}^2)_m$ when $n \neq m$.

(iii) Show that $(\mathbb{RP}^2)_n \ncong S_m$ for all $n, m \in \mathbb{N}$.

(iv) Find $\pi_1(S_1 \# \mathbb{RP}^2)$.

Knot group (optional)

Lecture 28
Mon, Dec 13

Definition 34. A **knot** K is a simple closed curve in $\mathbb{R}^3$ (or S^3).

Definition 35. Two knots K_1 and K_2 are **equivalent** ($K_1 \sim K_2$) if there exists a homeomorphism $h\colon \mathbb{R}^3 \to \mathbb{R}^3$ s.t. $h(K_1) = K_2$.

Definition 36. The **exterior** of a knot K is $E(K) = \mathrm{cl}(\mathbb{R}^3 - K)$.

Definition 37. The **knot group** of K is $G(K) = \pi_1(E(K))$.

Theorem 43. If $K_1 \sim K_2$, then $G(K_1) \cong G(K_2)$.

Proof. If $K_1 \sim K_2$, there is a homeomorphism $h\colon \mathbb{R}^3 \to \mathbb{R}^3$ s.t. $h(K_1) = K_2$. Then, $\mathbb{R}^3 - K_1 \cong \mathbb{R}^3 - K_2$ by h, hence $E(K_1) \cong E(K_2)$. Thus,

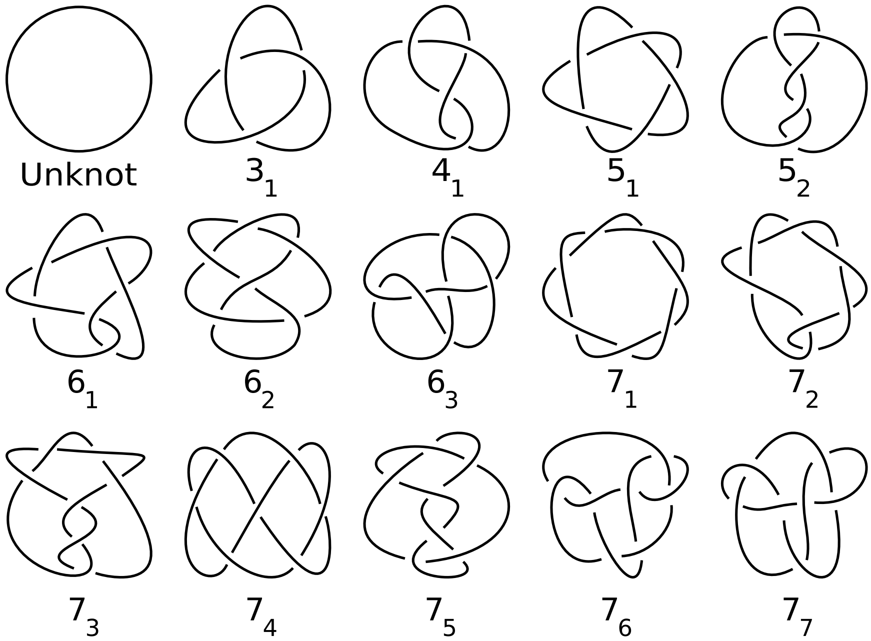

Figure 11.1: A table of prime knots up to seven crossings. The knots are labeled with Alexander–Briggs notation.

$G(K_1) \cong G(K_2)$. □

Wirtinger presentation

The Wirtinger presentation is a method to calculate $G(K)$ from a diagram of K. An over-passing of D is a subarc from an undercrossing to the next undercrossing. Given an orientation to K, fix a base point $b_0 \in \mathbb{R}^3$ in a high position w.r.t z. Let x_i be a loop based at b_0 which wraps around the i-th over-passing P_i positively. Then $\{x_1, \ldots, x_n\}$ generates $\pi_1(E(K))$. Relations come from near crossings:

$$x_j x_i = x_{i+1} x_j \ (x_{i+1} = x_j x_i x_j^{-1}) \quad \text{or} \quad x_i x_j = x_j x_{i+1} \ (x_{i+1} = x_j^{-1} x_i x_j).$$

For example, let K be a trefoil knot. Then,

$$\begin{aligned} G(K) &\cong \langle x_1, x_2, x_3 \mid x_1 = x_2^{-1} x_3 x_2,\ x_2 = x_3^{-1} x_1 x_3,\ x_3 = x_1^{-1} x_2 x_1 \rangle \\ &\cong \langle x_1, x_2 \mid x_1 x_2 x_1 = x_2 x_1 x_2 \rangle \\ &\cong \langle x_2 x_1^2, x_2 x_1 \mid (x_2 x_1^2)^2 = (x_2 x_1)^3 \rangle \\ &\cong \langle a, b \mid a^2 = b^3 \rangle. \end{aligned}$$

The trefoil knot has knot group isomorphic to the braid group B_3. The unknot (standard circle) has knot group isomorphic to $\mathbb{Z}$, i.e. $G(O) \cong \mathbb{Z} \cong \langle c \rangle$. How can we prove that unknot is not homeomorphic to trefoil knot?

Suppose : $G(K) \to \mathbb{Z}$ is an isomorphism s.t. $f(a) = n$ and $f(b) = m$. Since f is surjective, $\{n, m\}$ generates $\mathbb{Z}$, hence n and m are relatively prime. But $f(a^2) = f(b^3) \Rightarrow 2n = 3m \Rightarrow n = 3k$ where $m = 2k$. n and m are have common divisor k. $\lightning$. Thus, $G(O) \ncong G(K)$.

In knot theory, more easy-to-calculate and powerful invariants are developed.

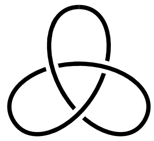

Figure 11.2: A trefoil knot