

# Topology II

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Based on lectures by Prof. Youngsik Huh

# Preface

These notes are based on the course MAT4004: Topology II taught by Professor Youngsik Huh at Hanyang University in fall 2021. The lectures mainly covered the second part of James Munkres' *Topology*.

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# Chapter -1

## Introduction

Lecture 1  
Wed, Sep 1

A fundamental problem in math: to classify objects in the given category.

- Sets:  $|A| = |B|$  (cardinality)
- Groups, Rings, Fields:  $G \cong G'$  (isomorphic)
- Topological spaces:  $X \cong Y$  (homeomorphic)

When two topological spaces are homeomorphic, we may prove it by finding out a homeomorphism. But, in the case that they are not homeomorphic, how can we prove it?

**Example.** Let  $S$  be a 2-dimensional sphere and  $T$  be a torus. Then  $S \not\cong T$ .

**Proof.** Suppose there exists a homeomorphism  $h: T \rightarrow S$ . Let  $c$  be a simple closed curve on  $T$ , as Figure 1. Then  $h(c)$  should be a simple closed curve on  $S$ , and  $h: T - c \rightarrow S - h(c)$  is a homeomorphism. But  $T - c$  is connected and  $S - h(c)$  is not connected, which is a contradiction.  $\nexists$

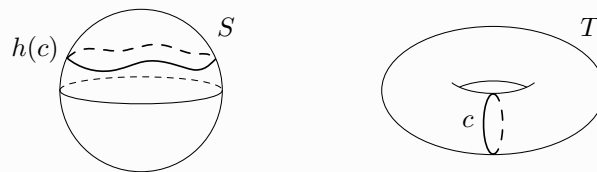


Figure 1:  $S \not\cong T$

In fact, on  $S$ , every loop can be continuously deformed to a point. But  $c$  cannot be on  $T$ . Such loops as  $c$  would be one of our interests in the lecture. From the family of loops on a topological space  $X$ , we will construct a group  $\pi_1(X)$ , called the **fundamental group** of  $X$ .

In fact, if  $X \cong Y$ , then  $\pi_1(X) \cong \pi_1(Y)$ . So we may use the fundamental group to distinguish topological spaces.

# Chapter 0

## Construction of more topological spaces

Consider two topological spaces  $(X_1, \mathcal{T}_1)$  and  $(X_2, \mathcal{T}_2)$  where  $X_1$  and  $X_2$  are disjoint.

**Union of spaces.** Let  $\mathcal{T} = \{U \subset X_1 \sqcup X_2 \mid U \cap X_1 \in \mathcal{T}_1, U \cap X_2 \in \mathcal{T}_2\}$ . Then  $(X_1 \sqcup X_2, \mathcal{T})$  is a topological space such that  $(X_i, \mathcal{T}_i)$  is a subspace.

**Product space.** Let  $\mathcal{B} = \{U_1 \times U_2 \subset X_1 \times X_2 \mid U_i \in \mathcal{T}_i\}$  and  $\mathcal{T} = \{U \subset X_1 \times X_2 \mid U \text{ is a union of some elements of } \mathcal{B}\}$ , i.e.  $\mathcal{B}$  is a base for  $\mathcal{T}$ . Then  $(X_1 \times X_2, \mathcal{T})$  is a topological space, called product space of  $X_1$  and  $X_2$ . Note the projection function  $\pi_i: X_1 \times X_2 \rightarrow X_i$  given by  $(x_1, x_2) \mapsto x_i$  is continuous.

**Example.** Consider  $S^1 = \{x \in \mathbb{R}^2 \mid \|x\| = 1\}$  (subspace of the Euclidean space  $\mathbb{R}^2$ ) and a torus  $T \subset \mathbb{R}^3$ . Then  $S^1 \times S^1 \cong T$ .

**Quotient space.** E.g.,  $\mathbb{Z}/2\mathbb{Z}$  ( $a - b = 2n \Rightarrow a \sim b$ ).

Lecture 2  
Mon, Sep 6

### 0.1 Quotient spaces

**Definition 1.** Let  $X, Y$  be topological spaces and  $p: X \rightarrow Y$  be a surjective map<sup>a</sup>. Then  $p$  is said to be a **quotient map** if

$$U \subset Y \text{ is open in } Y \iff p^{-1}(U) \text{ is open in } X \quad (1)$$

or equivalently,

$$V \subset Y \text{ is closed in } Y \iff p^{-1}(V) \text{ is closed in } X. \quad (2)$$

<sup>a</sup>The map usually means the function between topological spaces.

**Proposition 1.** (1)  $\Leftrightarrow$  (2).

**Proof.** (1)  $\Rightarrow$  (2) Suppose  $p$  is a quotient map by the first definition. For a closed subset  $V$  of  $Y$ ,  $p^{-1}(Y - V) = X - p^{-1}(V)$  is open in  $X$ . Thus,  $p^{-1}(V)$  is closed in  $X$ . If  $p^{-1}(V)$  is closed,  $X - p^{-1}(V) = p^{-1}(Y - V)$  is open in  $X$ . Thus  $Y - V$  is open, hence  $V$  is closed.

(2)  $\Rightarrow$  (1) Similar. □

**Remark.** A quotient map is continuous.

**Remark.** A surjective continuous function  $f: X \rightarrow Y$  is a quotient map if  $f$  is an open map.

**Definition 2.** Suppose  $X$  be a topological space and  $A$  be a set. Let  $f: X \rightarrow A$  be a surjective function and

$$\mathcal{T}_f = \{U \subset A \mid f^{-1}(U) \text{ is open in } X\}.$$

Then  $\mathcal{T}_f$  is a topology for  $A$ , called **quotient topology** induced by  $f$ .

**Remark.**  $f: X \rightarrow (A, \mathcal{T}_f)$  is a quotient map by definition.

Let  $X$  be a topological space and  $\sim$  be an equivalence relation on  $X$ . For  $x \in X$ ,  $[x] = \{x' \in X \mid x \sim x'\}$  is a equivalence class of  $x$ , and  $X/\sim = \{[x] \mid x \in X\}$  is the set of all equivalence classes. Now consider  $q: X \rightarrow X/\sim$  given by  $x \mapsto [x]$ . ( $q$  is clearly surjective by definition.) Then,  $(X/\sim, \mathcal{T}_q)$  is called a **quotient space** of  $X$ .

**Example.** Let  $X = [0, 1] \times [0, 1] \subset \mathbb{R}^2$ . Define an equivalence relation  $\sim$  on  $X$  by  $(x, y) \sim (x', y')$  iff

- $x = x', y = 0, y' = 1$
- $y = y', x = 0, x' = 1$
- $x = x', y = y'$

A quotient space is obtained by identifying a part with another part!

**Theorem 1 (22.2).** Let  $X, Y, Z$  be topological spaces,  $p: X \rightarrow Y$  a quotient map, and  $g: X \rightarrow Z$  a map s.t.  $p(x_1) = p(x_2)$  implies  $g(x_1) = g(x_2)$ . Then

- (i)  $\exists f: Y \rightarrow Z$  s.t.  $f \circ p = g$ .
- (ii)  $f$  is continuous iff  $g$  is continuous.
- (iii)  $f$  is a quotient map iff  $g$  is a quotient map.

**Proof.** (i) Define  $f$  by  $f(y) = g(x)$  for  $x \in p^{-1}(y)$ . It is well defined.

(ii) If  $f$  is continuous, a composition of continuous functions,  $g = f \circ p$ , is also continuous. Conversely, for an open subset  $u$  of  $Z$ ,  $g^{-1}(u) = p^{-1}(f^{-1}(u))$  is open in  $X$ . Since  $p$  is a quotient map,  $f^{-1}(u)$  is open. Thus  $f$  is continuous.

(iii) DIY. (not HW)

□

**Notation.** For a function  $g: X \rightarrow Z$ , define an equivalence relation  $\sim$  on  $X$  by  $x_1 \sim x_2$  iff  $g(x_1) = g(x_2)$ . Then,  $X/g := X/\sim$ .

**Corollary 1 (22.3).** Let  $g: X \rightarrow Z$  be a surjective continuous map. Then

- (i) There exists a homeomorphism  $f: X/g \rightarrow Z$  iff  $g$  is a quotient map.
- (ii) If  $Z$  is Hausdorff, then so is  $X/g$ .
- (iii) If  $X$  is compact and  $Z$  is Hausdorff, then  $f$  is a homeomorphism.

**Proof.** By Theorem 1.(i),  $g$  induces a continuous function  $f: X/g \rightarrow Z$  s.t.  $f \circ p = g$ . We can immediately see that  $f$  is injective and surjective.

$$\begin{array}{ccc} X & & \\ \downarrow p & \searrow g & \\ X/g & \xrightarrow{f} & Z \end{array}$$

- (i) If  $f$  is a homeomorphism, then  $f$  is a quotient map. Thus,  $g = f \circ p$  is quotient map. Conversely, if  $g$  is a quotient map, then so is  $f$  by Theorem 1.(iii). Since  $f$  is a injective quotient map,  $f$  is a homeomorphism.
- (ii) Let  $w_1, w_2$  be two distinct points of  $X/g$ . Then  $f(w_1) \neq f(w_2)$  and there are two disjoint open sets  $u_1, u_2$  in  $Z$  s.t.  $f(w_1) \in u_1, f(w_2) \in u_2$ .  $f^{-1}(u_1)$  and  $f^{-1}(u_2)$  are disjoint open neighborhoods of  $w_1$  and  $w_2$ , respectively.
- (iii) Recall that  $f$  is injective, surjective and continuous. So, it's enough to show that  $f$  is an open map, which is equivalent to  $f^{-1}$  is continuous. Since  $X$  is compact, so is  $X/g$  by continuity. Note that the closed subset of a compact set is compact. Let  $U$  be an open subset of  $X/g$ . Then  $X/g - U$  is compact, and so is  $f(X/g - U) = f(X/g) - f(U) = Z - f(U)$  in the Hausdorff space  $Z$ . Since every compact subset of a Hausdorff space is closed,  $Z - f(U)$  is closed in  $Z$ . Therefore,  $f(U)$  is open.

□

**Example.** Let  $g: [0, 1] \rightarrow S^1 \subset \mathbb{R}^2$  (or  $\mathbb{C}$ ) be given by  $r \mapsto (\cos 2\pi r, \sin 2\pi r)$  ( $= e^{2\pi i r} = \cos 2\pi r + i \sin 2\pi r$ ). Note  $[0, 1]$  is compact and  $S^1$  is Hausdorff.



Then,

$$\underbrace{[0, 1] / g = [0, 1] / \{0, 1\}}_{\text{quotient spaces}} \underset{\text{Cor 1.(iii)}}{\cong} \underbrace{S^1 \subset \mathbb{R}^2}_{\text{Euclidean subspace}}.$$

**Example.** Let  $X = [0, 1] \times [0, 1]$  and  $g: X \rightarrow S^1 \times S^1 \subset \mathbb{R}^2 \times \mathbb{R}^2 = \mathbb{R}^4$  (or  $\mathbb{C} \times \mathbb{C}$ ) be given by  $(x, y) \mapsto (e^{2\pi i r}, e^{2\pi i r})$ . Note that  $g$  is surjective and continuous. Then,

$$X/g = X / \left\langle \begin{smallmatrix} (0,y) \sim (1,y) \\ (x,0) \sim (x,1) \end{smallmatrix} \right\rangle = \text{Torus} \cong S^1 \times S^1.$$

**Notation.** Let  $X$  be a topological space and  $A$  be a subset of  $X$ . Define an equivalence relation  $\sim$  on  $X$  by  $x_1 \sim x_2$  iff  $x_1, x_2 \in A$  or  $x_1 = x_2$ . Then  $X/A := X/\sim$ .

**Example.** Let  $D = \{re^{i\theta} \mid 0 \leq r \leq 1, 0 \leq \theta < 2\pi\}$  and  $g: D \rightarrow S^2 \subset \mathbb{R}^3$  be given by  $re^{i\theta} \mapsto (\sqrt{4r - 4r^2} \cos \theta, \sqrt{4r - 4r^2} \sin \theta, 2r - 1)$ . Then,

$$D/g = D / \partial D (= S^1) \cong S^2.$$

For  $n \geq 0$ ,

- $S^0 = \{-1, 1\} \subset \mathbb{R}$
- $S^1 = \{(x_1, x_2) \in \mathbb{R}^2 \mid \sum x_i^2 = 1\}$
- $S^2 = \{(x_1, x_2, x_3) \in \mathbb{R}^3 \mid \sum x_i^2 = 1\}$
- ...
- $S^n = \{\mathbf{x} \in \mathbb{R}^{n+1} \mid \|\mathbf{x}\| = 1\}$  ( $n$ -sphere)

Define an equivalence relation  $\sim$  on  $S^n$  by  $x \sim y$  iff  $y = -x$  or  $y = x$ . Then,  $\mathbb{RP}^n := S^n/\sim$  is called the **real  $n$ -dimensional projective space**.

- $\mathbb{RP}^0 = \{\text{a point}\}$
- $\mathbb{RP}^1 \cong [0, 1] / \{0, 1\} \cong S^1$
- $\mathbb{RP}^2 \cong D^2 \cup \mathbb{RP}^1$

In general,  $S^n$  can be decomposed depend upon last coordinate as

$$S^n = \underbrace{\text{upper half of } S^n \cup \text{lower half of } S^n}_{n\text{-dimensional disk } D^n} \cup \underbrace{S^{n-1}}_{\mathbb{RP}^{n-1}},$$

and then,

$$\mathbb{RP}^n \cong \text{attaching } D^n \text{ along } \mathbb{RP}^{n-1}$$

where  $D^n = \{\mathbf{x} \in \mathbb{R}^n \mid \|\mathbf{x}\| \leq 1\}$ ,  $\partial D^n \cong S^{n-1}$ .

**Notation.** Let  $X, Y$  be topological spaces and  $A$  be a subspace of  $X$ . Let  $f: A \rightarrow Y$  be continuous. Define  $\sim$  on  $X \sqcup Y$  by  $a \sim f(a)$  for  $a \in A$ . Then  $X \cup_f Y := X \sqcup Y / \sim$  is the **adjunction space**. In that case,  $f$  is called the **attaching map**.

Now if we define attaching maps as

$$\begin{aligned} f_0: S^0 &\rightarrow \mathbb{RP}^0 \\ f_1: S^1 &\rightarrow \mathbb{RP}^1 \cong D^1 \cup_{f_0} \mathbb{RP}^0 \end{aligned}$$

then,

$$\mathbb{RP}^n \cong \underbrace{\{\text{a point}\} \cup_{f_0} D^1}_{\mathbb{RP}^1} \cup_{f_1} D^2 \cup_{f_2} \dots \cup_{f_{n-2}} D^{n-1} \cup_{f_{n-1}} D^n.$$

$\underbrace{\hspace{10em}}_{\mathbb{RP}^2}$   
 $\underbrace{\hspace{10em}}_{\dots}$   
 $\underbrace{\hspace{10em}}_{\mathbb{RP}^{n-1}}$

$S^n$  represents all the directions in  $\mathbb{R}^{n+1}$ .  $x$  and  $-x$  are on the same line passing through the origin point. Thus we can say that  $\mathbb{RP}^n$  is the space of lines passing through  $O$  in  $\mathbb{R}^{n+1}$ .

**Example.**  $\mathbb{C}^n = \{(z_1, \dots, z_n) \mid z_i \in \mathbb{C}\}$  is  $n$ -dimensional complex vector space. The **complex  $n$ -projective space**  $\mathbb{CP}^n$  is the space of complex lines passing through  $O$  in  $\mathbb{C}^{n+1}$ . Formally,

$$\begin{aligned} \mathbb{CP}^n &= \mathbb{C}^{n+1} - \{O\} / z \sim \lambda z \\ &= \{\text{unit vectors in } \mathbb{C}^{n+1}\} / z \sim \lambda z \\ &= \{z \in \mathbb{C}^{n+1} \mid \|z\| = 1\} / z \sim \lambda z \\ &= S^{2n+1} / z \sim \lambda z \end{aligned}$$

where  $\lambda \in \mathbb{C}$ ,  $\|\lambda\| = 1$ .

# Chapter 12

## Surfaces

### 12.1 Surfaces

**Definition 3.** An  **$n$ -manifold** is a topological space  $X$  s.t.

- (i)  $X$  is Hausdorff.
- (ii)  $X$  has a countable basis for its topology.
- (iii) Every point of  $X$  has an open neighborhood which is homeomorphic to  $\mathbb{R}^n$  (or  $\mathring{D}^n = \{x \in \mathbb{R}^n \mid \|x\| < 1\}$ ).

Especially, a 2-manifold is called a **surface**.

Shortly, an  $n$ -manifold is a second countable, Hausdorff topological space which is locally homeomorphic to  $\mathbb{R}^n$ .

**Definition 4.** An  **$n$ -manifold with boundary** is a top'al sp  $X$  s.t.

- (i)  $X$  is Hausdorff.
- (ii)  $X$  has a countable basis for its topology.
- (iii) Every point of  $X$  has an open neighborhood homeomorphic to  $\mathbb{R}^n$  or  $H_+^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_n \geq 0\}$  (or  $\mathring{D}_+^n = \{(x_1, \dots, x_n) \in \mathring{D}^n \mid x_n \geq 0\}$ ).
- (iv)  $\partial X = \{\text{pts whose nbd is homeomorphic to } H_+^n \text{ or } \mathring{D}_+^n\} \neq \emptyset$

**Note.** From now on, the numbering on theorem, corollary, and lemma follows Munkres' book.

**Theorem 2** (36.2, Embedding theorem). A compact  $n$ -manifold  $X$  can be embedded into  $\mathbb{R}^N$  for some  $N \in \mathbb{N}$ , that is, there exists a continuous map  $f: X \rightarrow \mathbb{R}^N$  s.t.  $f: X \rightarrow f(X)$  is a homeomorphism.

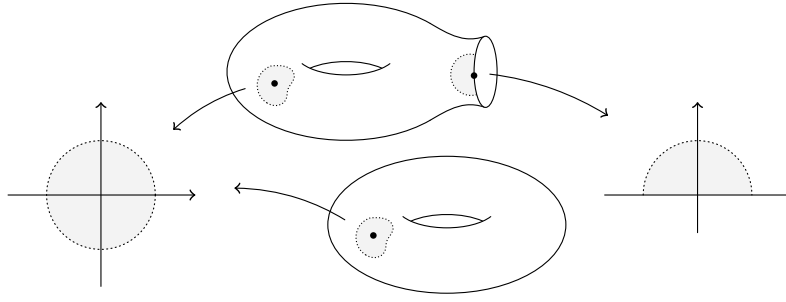


Figure 12.1: Surface with boundary

Lecture 5  
Wed, Sep 15

**Proof.** Not covered in this course.  $\square$

**Definition 5.** Let  $S_1, S_2$  be surfaces and  $D_i$  be a 2-dimensional disk in  $S_i$  for  $i = 1, 2$ . Then,  $\partial D_1, \partial D_2 \cong S^1$ , and there exists a homeomorphism  $f: \partial D_1 \rightarrow \partial D_2$ . The **connect sum** of  $S_1$  and  $S_2$  is defined as

$$S_1 \# S_2 = (S_1 - \mathring{D}_1) \cup_f (S_2 - \mathring{D}_2).$$

**Notation.** •  $T_0 := S^2$

- $T_1 := \text{Torus}$
- $T_n := T \# \cdots \# T = T_{n-1} \# T_1$

Let  $S := S^2 - \{\text{two open disks}\}$  and  $f: c_1 \rightarrow c_2$ . Then  $S/f \cong T_1$ . Similarly,  
 $T_{n-1} - \{\text{two open disks}\}/f \cong T_n$ .  
 $\mathbb{RP}^2 - \text{open disk} \cong \text{Möbius band}$

## 12.2 Labelling scheme

Assign labels and directions to each edge of polygonal region  $P$ :

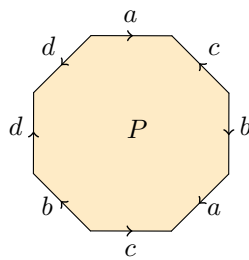


Figure 12.2: Labelling scheme:  $a^{-1}dd^{-1}b^{-1}ca^{-1}b^{-1}c$  (read counterclockwise)

A labelling scheme gives a surface which is a quotient of  $P$ .

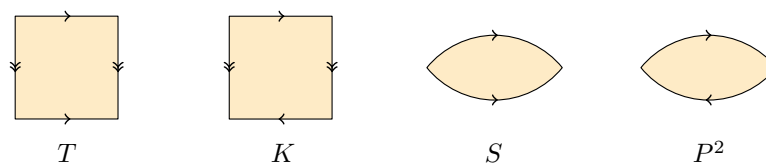


Figure 12.3: Examples of surfaces

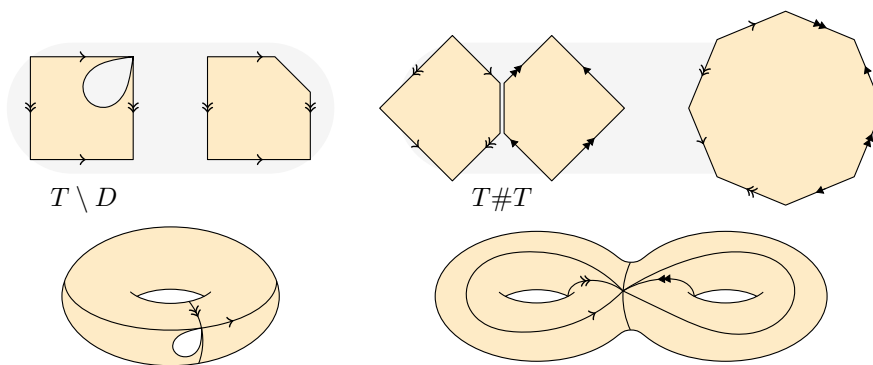


Figure 12.4: On the left: a torus with a disk removed. On the right: the connected sum of two tori.

## 12.3 Elementary operations on schemes

Suppose  $\{w_1, \dots, w_n\}$  be a labelling scheme.

Lecture 6  
Mon, Sep 20

**Cut**  $w_i = Y_0 Y_1 \rightarrow \{Y_0 c, c^{-1} Y_1\}$  ( $c$  does not appear elsewhere)

**Paste** Reverse of cut.

**Relabel** Change an alphabet by a new alphabet. Reverse the sign of an alphabet.

**Permute** Cyclically permute alphabets on a word  $w_i$ . E.g.,  $w_i = a_1 a_2 \dots a_n \rightarrow w'_i = a_2 \dots a_n a_1$

**Flip**  $w_i = (a_{i1})^{\varepsilon_1} \dots (a_{in})^{\varepsilon_n} \rightarrow w_i^{-1} = (a_{i1})^{-\varepsilon_1} \dots (a_{in})^{-\varepsilon_n}$

**Cancel**  $Y_0 a a^{-1} Y_1 \rightarrow Y_0 Y_1$

**Uncancel** Reverse of cancel.

**Note.** These operations do not change the topological type of the resulting surfaces.

**Definition 6.** Two labelling schemes are said to be **equivalent** if one can be obtained from the other by applying the elementary operations in finitely many times.

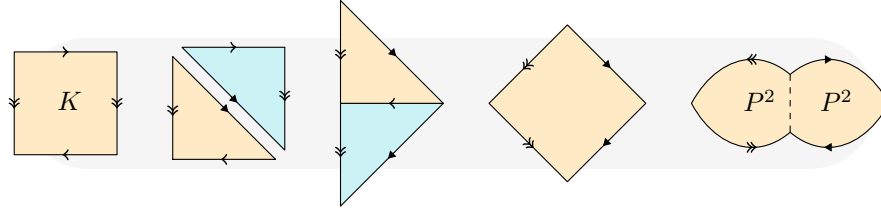


Figure 12.5:  $K = P \# P$

**Note.** Two equivalent schemes give surfaces of the same homeomorphic type.

## 12.4 Classification theorem I

**Definition 7.** A scheme is **proper** if each label appears twice in the scheme.

**Note.** proper scheme  $\xrightarrow{\text{elem. oper.}}$  still proper!

**Definition 8.** Let  $w$  be a proper scheme for a single polygonal region  $P$ .  $w$  is of **torus type** if each label appears exactly once with exponent  $+1$ , and once with  $-1$ . Otherwise we say  $w$  is of **projective type**.

**Lemma 1 (77.1).** If  $w$  is a proper scheme of the form  $w = Y_0 a Y_1 a Y_2^a$  where  $Y_i$  is a sequence of labels, then  $w \sim aaY_0Y_1^{-1}Y_2$ .

<sup>a</sup>that is to say  $w$  is of projective type

**Proof. Case 1.**  $Y_0$  is empty.

- If  $Y_1$  is also empty, then  $w$  is the desired form itself.
- If  $Y_2$  is empty,

$$aY_1a \xrightarrow{\text{flip}} a^{-1}Y_1^{-1}a^{-1} \xrightarrow{\text{permute}} a^{-1}a^{-1}Y_1^{-1} \xrightarrow{\text{relabel}} aaY_1^{-1}.$$

- If neither is empty,

$$aY_1aY_2 \xrightarrow[\text{paste}]{\text{cut}} ccY_1^{-1}Y_2 \xrightarrow{\text{relabel}} aaY_1^{-1}Y_2.$$

**Case 2.**  $Y_0$  is not empty.

- If both  $Y_1$  and  $Y_2$  are empty, a permutation is enough.
- In general,

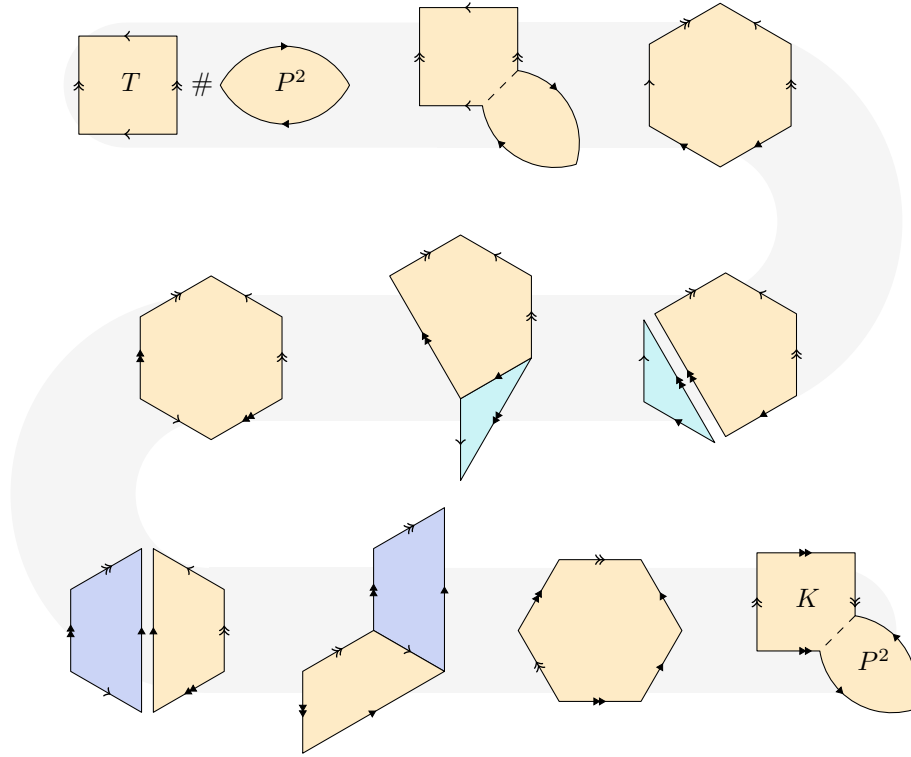


Figure 12.6:  $T \# P = K \# P$

$$\begin{array}{c}
 Y_0 a Y_1 a Y_2 \xrightarrow{\text{cut/paste}} b Y_2 b Y_1 Y_0^{-1} \xrightarrow{\text{Case 1}} b b Y_2^{-1} Y_1 Y_0^{-1} \\
 \downarrow \text{flip} \\
 a a Y_0 Y_1^{-1} Y_2 \xleftarrow{\text{relabel}} b^{-1} b^{-1} Y_0 Y_1^{-1} Y_2 \xleftarrow{\text{permute}} Y_0 Y_1^{-1} Y_2 b^{-1} b^{-1}
 \end{array}$$

□

**Corollary 2 (77.2).** If  $w$  is projective type, then  $w$  is equivalent to a scheme of the form  $(a_1 a_1)(a_2 a_2) \dots (a_k a_k) w'$ , where the length<sup>a</sup> is unchanged,  $k \geq 1$ , and  $w'$  is empty or of torus type.

<sup>a</sup>the number of alphabets

**Proof.** Since  $w$  is of projective type, it can be written to be  $w = Y_0 a Y_1 a Y_2$ . By Lemma 1,  $w \sim a a w_1$  so that the length is unchanged. If  $w_1$  is empty or of torus type, it's done. Otherwise, we can write  $w_1$  so that  $a a w_1 \sim a a Z_0 b Z_1 b Z_2$ . Again by Lemma 1,  $a a w_1 \sim b b a a Z_0 Z_1^{-1} Z_2$ , length of  $w_2$ . By repeating this process, we obtain the desired form. □

**Lemma 2 (77.3).** Let  $w = w_0w_1$  be a proper scheme, where  $w_1$  is a scheme itself of torus type that does not contain any two adjacent terms having the same label. Then  $w \sim w_0w_2$  s.t.  $w_2 = aba^{-1}b^{-1}w_3$  with same length as  $w_1$ , where  $w_3$  is of torus type or is empty.

**Proof.**  $w$  can be written as  $w = w_0Y_1aY_2bY_3a^{-1}Y_4b^{-1}Y_5$ . □

**Lemma 3 (77.4).** If  $w$  is a proper scheme of the form  $w = w_0ccaba^{-1}b^{-1}w_1$ , then  $w \sim w_0aabbccw_1$

**Proof.** Proceed as follows:

$$\begin{aligned}
 w_0ccaba^{-1}b^{-1}w_1 &\sim ccaba^{-1}b^{-1}w_1w_0 && \text{(permute)} \\
 &= cc(ab)(ba)^{-1}w_1w_0 \\
 &\sim (ab)c(ba)cw_1w_0 && \text{(Lemma 1)} \\
 &= abcb(acw_1w_0) \\
 &\sim bbac^{-1}acw_1w_0 && \text{(Lemma 1)} \\
 &\sim aabbccw_1w_0 && \text{(Lemma 1)} \\
 &\sim w_0aabbccw_1 && \text{(permute)}
 \end{aligned}$$

□

**Theorem 3 (77.5, Classification theorem).** Let  $X$  be a quotient space obtained from a polygonal region  $P$  by glueing its edges in pairs. Then  $X$  is homeomorphic to one of  $S^2$ ,  $T_n$ , and  $(P^2)_n^a$  where  $n \geq 1$ .

<sup>a</sup>connect sum of  $\mathbb{RP}^2$

**Proof.** Let  $w$  be a proper scheme on  $P$  which results in  $X$ . If  $|w| = 2$ ,  $w = aa^{-1}$  ( $S^2$ ) or  $w = aa$  ( $P^2$ ). We may assume that  $|w| \geq 4$  ( $|w|$  is even). In fact we will show that □

**Note.** HW: Exercise 77.1 and 77.4

## 12.5 Constructing compact surfaces

**Definition 9.** Let  $X$  be a compact Hausdorff space. A subspace  $A$  of  $X$  is a **curved triangle** if there exists a homeomorphism  $h: \Delta \rightarrow A$ , where  $\Delta$  is a closed triangular region in  $\mathbb{R}^2$ .



**Definition 10.** A **triangulation** of  $X$  is a collection of curved triangles  $\{A_\alpha\}$  s.t.

- $\bigcup A_\alpha = X$ .
- For  $\alpha \neq \beta$ ,  $A_\alpha \cap A_\beta = \emptyset$ , single vertex or single edge.
- When  $A_\alpha \cap A_\beta = \text{single edge}$ ,  $h_\beta^{-1} \circ h_\alpha$  is a linear map.

$X$  is said to be **triangulable** if it has a triangulation.

**Theorem 4 (78.1).** If  $X$  is a compact triangulable surface (with or without boundary), then  $X$  is homeomorphic to a quotient space obtained from a collection of disjoint triangular regions by pasting their edges together in pairs.

**Proof.** Let  $\{A_1, \dots, A_n\}$  be a triangulation of  $X$  with homeomorphisms  $\{h_i: \Delta_i \rightarrow A_i \mid i = 1, \dots, n\}$ . Then we have a quotient map  $h: \Delta_1 \sqcup \dots \sqcup \Delta_n \rightarrow X$  s.t.  $h|_{\Delta_i} = h_i$ . There are two things to be proved.

- If two triangles meet at a vertex, then there exists a sequence of triangles. Thus, the quotient is obtained only by edge-pastings.
- For each edge  $e$  of  $A_i$  s.t.  $e \not\subset \partial X$ ,  $\exists! j$  s.t.  $A_i \cap A_j = e$ . Thus, the quotient is obtained by pasting edges in pairs.

□

**Theorem 5 (78.2).** Let  $X$  be a compact connected triangulable surface without boundary. Then  $X$  is homeomorphic to a quotient space obtained from a polygonal region by pasting all the edges together in pairs. That is,  $X$  is homeomorphic to a surface obtained from a proper scheme on a polygonal region.

**Proof.** From Theorem 4,  $\Delta_1 \sqcup \dots \sqcup \Delta_n \xrightarrow{h} X$ . Assemble the triangles  $\{\Delta_i\}$  on the plane as much as possible in the following way: □

**Theorem 6 (A).** Every compact connected surface is triangulable.

**Proof (Sketch of proof).** • surface and compact  $\Rightarrow \exists$  a finite collection  $\{B_1, \dots, B_n\}$  s.t.  $B_i \cong D^2$ ,  $\bigcup B_i = X$ .

- We may assume that no proper subset satisfies  $\bigcup B_i = X$ .
- Let  $C = \bigcup \partial B_i$  and  $D$  be thickening of  $C$  in  $X$ . Then  $X - D \cong \bigcup \mathring{D}^2$ . □

**Theorem 7 (Surface classification theorem).** Every compact connected surface without boundary is homeomorphic to one of  $S^2$ ,  $T_n$ , and  $(P^2)_n$ .

**Proof.** Theorem 3 + Theorem 5 + Theorem 6. □

# Chapter 9

## Fundamental group

Lecture 8  
Mon, Sep 27

### 9.51 Homotopy of paths

**Definition 11.** Let  $X, Y$  be topological spaces and  $f, f': X \rightarrow Y$  be continuous maps. We say,  $f$  is **homotopic** to  $f'$  ( $f \simeq f'$ ) if there is a continuous function  $F: X \times I \rightarrow Y$  s.t.  $F(x, 0) = f(x)$ ,  $F(x, 1) = f'(x)$  for all  $x \in X$ . The function  $F$  is called a **homotopy** from  $f$  to  $f'$  ( $f \simeq^F f'$ ). Especially, if  $f'$  is a constant map, then we say,  $f$  is **null-homotopic**.

**Definition 12.** Let  $f, f': I \rightarrow X$  be two paths in  $X$  s.t.  $f(0) = f'(0) = x_0$  and  $f(1) = f'(1) = x_1$ . We say,  $f$  is **path-homotopic** to  $f'$  ( $f \simeq_p f'$ ) if there is a homotopy  $F: I \times I \rightarrow X$  s.t.

- $F(x, 0) = f(x)$ ,  $F(x, 1) = f'(x)$
- For each  $t$ ,  $F(0, t) = x_0$ ,  $F(1, t) = x_1$

The homotopy  $F$  is called a **path-homotopy** from  $f$  to  $f'$  ( $f \simeq_p^F f'$ ).

**Notation.**

- $\Omega(X, Y) := \{f: X \rightarrow Y \mid f \text{ is continuous}\}$
- $\mathcal{P}(X) := \text{the set of all paths in } X$

**Lemma 4 (51.1).**  $\simeq$  and  $\simeq_p$  are equivalence relations on  $\Omega(X, Y)$  and  $\mathcal{P}(X)$ , respectively.

**Proof. Reflective**  $F(x, t) = f(x)$

**Symmetric** Suppose  $f \simeq f'$ . Then there is a homotopy  $F: X \times I \rightarrow Y$  s.t.  $F(x, 0) = f(x)$ ,  $F(x, 1) = f'(x)$ . Define  $F'(x, t) = F(x, 1 - t)$ . Then,  $F'$  is conti. and  $F'(x, 0) = F(x, 1) = f'(x)$ ,  $F'(x, 1) = F(x, 0) = f(x)$ .

**Transitive** Suppose  $f \simeq^F f'$  and  $f' \simeq^G f''$ . Define  $H: X \times I \rightarrow Y$  by

$$H(x, t) = \begin{cases} F(x, 2t) & 0 \leq t \leq \frac{1}{2} \\ G(x, 2t - 1) & \frac{1}{2} \leq t \leq 1 \end{cases}.$$

Note that  $H$  is continuous by pasting lemma: For any closed subset  $U$  of  $Y$ , the preimages  $H^{-1}(U) \cap (X \times [0, \frac{1}{2}])$  and  $H^{-1}(U) \cap (X \times [\frac{1}{2}, 1])$  are closed since each is the preimage of  $H$  when restricted to  $X \times [0, \frac{1}{2}]$  and  $X \times [\frac{1}{2}, 1]$  respectively, which by continuity of  $F$  and  $G$ . Thus, their union  $H^{-1}(U)$  is closed, hence  $H$  is continuous.

$\simeq_p$ : skip.  $\square$

Denote the equivalence class of  $f$  by  $[f] = \{f' \in \Omega(X, Y) \mid f' \simeq f\}$ .

**Example.** Let  $C \subset \mathbb{R}^n$  be a convex subset.

- Any two maps  $f, g: X \rightarrow C$  are homotopic.
- Any two paths  $f, g: I \rightarrow C$  with  $f(0) = g(0)$  and  $f(1) = g(1)$  are path-homotopic.

Choose  $F: X \times I \rightarrow C$  defined by  $(x, t) \mapsto F(x, t) = (1 - t)f(x) + tg(x)$ .

**Example.** Let  $X = \mathbb{R}^2 - \{0\}$  (punctured plane).  $f(x) = (\cos \pi x, \sin \pi x)$ ,  $g(x) = (\cos \pi x, 2 \sin \pi x)$  and  $h(x) = (\cos \pi x, -\sin \pi x)$  are paths in  $X$ . In fact,  $f \simeq_p g \not\simeq_p h$ .

## Product of paths

Let  $f, g: I \rightarrow X$  be paths,  $f(1) = g(0)$ . Define the product  $f * g: I \rightarrow X$  by

$$f * g = \begin{cases} f(2s) & 0 \leq s \leq \frac{1}{2} \\ g(2s - 1) & \frac{1}{2} \leq s \leq 1. \end{cases}$$

Define the product  $*$  on path-homotopy classes of  $X$  by  $[f] * [g] := [f * g]$ .

**Well-definedness** Suppose  $f' \in [f]$  ( $f \simeq_p^F f'$ ) and  $g' \in [g]$  ( $g \simeq_p^G g'$ ). Define

$$H(s, t) = \begin{cases} F(2s, t) & 0 \leq s \leq \frac{1}{2} \\ G(2s - 1, t) & \frac{1}{2} \leq s \leq 1. \end{cases}$$

Then  $H(s, 0) = (f * g)(s)$ ,  $H(s, 1) = (f' * g')(s)$  and  $H$  is continuous by pasting lemma again. Thus,  $f * g \simeq_p f' * g'$ ,  $[f * g] = [f' * g']$ .

Lecture 9  
Wed, Sep 29

**Theorem 8 (51.2).** The product  $*$  has the following properties:

- (i) Associative:  $([f] * [g]) * [h] = [f] * ([g] * [h])$
- (ii) Let  $e_x$  denote the constant path  $e_x: I \rightarrow X$  given by  $s \mapsto x$ ,  $x \in X$ . If  $f(0) = x_0$  and  $f(1) = x_1$  then  $[e_{x_0}] * [f] = [f]$  and  $[f] * [e_{x_1}] = [f]$ .
- (iii) Let  $\bar{f}: I \rightarrow X$  given by  $s \mapsto f(1-s)$ . Then  $[f] * [\bar{f}] = [e_{x_0}]$  and  $[\bar{f}] * [f] = [e_{x_1}]$ .

**Proof.** First two observations

- Suppose  $f \simeq_p g$  via homotopy  $H$ ,  $f, g: I \rightarrow X$ . Let  $k: X \rightarrow Y$ . Then  $k \circ f \simeq_p k \circ g$  using  $k \circ H$ .
- If  $f * g$  (not necessarily path homotopic). Then  $k \circ (f * g) = (k \circ f) * (k \circ g)$ .

Now, the proof

- (ii) Take  $e_0: I \rightarrow I$  given by  $s \mapsto 0$ . Take  $i: I \rightarrow I$  given by  $s \mapsto s$ . Then  $e_0 * i$  is a path from 0 to 1 in  $I$ . The path  $i$  is also such a path. Because  $I$  is a convex subset,  $e_0 * i$  and  $i$  are path homotopic,  $e_0 * i \simeq i$ . Using one of our observations, we find that

$$\begin{aligned} f \circ (e_0 * i) &\simeq_p f \circ i \\ (f \circ e_0) * (f \circ i) &\simeq_p f \\ e_{x_0} * f &\simeq_p f \\ [e_{x_0}] * [f] &= [f]. \end{aligned}$$

- (iii) Note that  $i * \bar{i} \simeq_p e_0$ . Now, applying the same rules, we get

$$\begin{aligned} f \circ (i * \bar{i}) &\simeq_p f \circ e_0 \\ f * \bar{f} &\simeq_p e_{x_0} \\ [f] * [\bar{f}] &= [e_{x_0}]. \end{aligned}$$

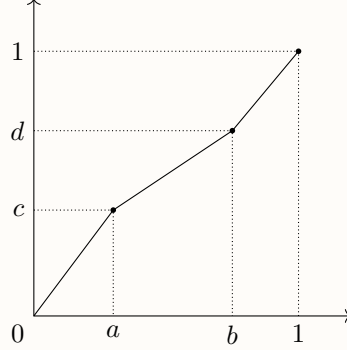
- (i) Remark: Only defined if  $f(1) = g(0)$ ,  $g(1) = h(0)$ . Note that  $f * (g * h) \neq (f * g) * h$ . The trajectory is the same, but the speed is not.

Assume the product is defined. Suppose  $[a, b]$ ,  $[c, d]$  are intervals in  $\mathbb{R}$ . Then there is a unique positive (positive slope), linear map from  $[a, b] \rightarrow [c, d]$ . For any  $a, b \in [0, 1]$  with  $0 < a < b < 1$ , we define a path

$$\begin{aligned} k_{a,b}: [0, 1] &\longrightarrow X \\ [0, a] &\xrightarrow{\text{lin.}} [0, 1] \xrightarrow{f} X \\ [a, b] &\xrightarrow{\text{lin.}} [0, 1] \xrightarrow{g} X \\ [b, 1] &\xrightarrow{\text{lin.}} [0, 1] \xrightarrow{h} X \end{aligned}$$

Then  $f * (g * h) = k_{\frac{1}{2}, \frac{3}{4}}$  and  $(f * g) * h = k_{\frac{1}{4}, \frac{1}{2}}$ .

Let  $\gamma$  be that path  $\gamma: I \rightarrow I$  with the following graphs:



Note that  $\gamma \simeq_p i$ . Now, using the fact that composition of positive linear maps is positive linear.

$$\begin{aligned} k_{c,d} \circ \gamma &\simeq_p k_{c,d} \circ i \\ k_{a,b} &\simeq_p k_{c,d}, \end{aligned}$$

which is what we wanted to show. □

## 9.52 Fundamental group

**Definition 13.** Let  $X$  be a topological space and  $x_0 \in X$ . A **loop** based at  $x_0$  in  $X$  is a path  $\alpha: I \rightarrow X$  s.t.  $\alpha(0) = \alpha(1) = x_0$ . Then

$$\pi_1(X, x_0) = \{[\alpha] \mid \alpha: \text{loop in } X \text{ based at } x_0\}$$

is the **fundamental group** of  $X$  with base point  $x_0$ .<sup>a</sup>

<sup>a</sup> $\pi_1(X, x_0)$  is a group with the operation  $*$  by Theorem 8. For  $[\alpha], [\beta] \in \pi_1(X, x_0)$ ,  $[\alpha] * [\beta]$  is always defined,  $[e_{x_0}]$  is an identity element,  $*$  is associative and  $[\alpha]^{-1} = [\bar{\alpha}]$ . This makes  $(\pi_1(X, x_0), *)$  a group.

**Example.**  $\pi_1(\mathbb{R}^n, x_0)$  is a trivial group. Any two loops in  $\mathbb{R}^n$  based at  $x_0$  are path-homotopic. Thus,  $\pi_1(\mathbb{R}^n, x_0)$  has only one element.

**Remark.** All groups are a fundamental group of some space.

**Definition 14.** Let  $\alpha$  be a path in  $X$  from  $x_0$  to  $x_1$ . Define a function  $\hat{\alpha}: \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$  by  $\hat{\alpha}([f]) = [\bar{\alpha}] * [f] * [\alpha]$ .

**Theorem 9 (52.1).**  $\hat{\alpha}: \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$  is a group isomorphism.

**Proof. Homomorphism** To show that  $\hat{\alpha}$  is a group homomorphism, we compute

$$\begin{aligned}\hat{\alpha}([f] * [g]) &= [\bar{\alpha}] * ([f] * [g]) * [\alpha] \\ &= [\bar{\alpha}] * [f] * [e_{x_0}] * [g] * [\alpha] \\ &= [\bar{\alpha}] * [f] * [\alpha] * [\bar{\alpha}] * [g] * [\alpha] \\ &= \hat{\alpha}([f]) * \hat{\alpha}([g]).\end{aligned}$$

**Bijjective** To show that  $\hat{\alpha}$  is one-to-one and onto function, we show existence of inverse of  $\alpha$ .

$$\begin{aligned}(\hat{\alpha} \circ \hat{\alpha})([h]) &= [\bar{\alpha}] * ([\bar{\alpha}] * [h] * [\bar{\alpha}]) * [\alpha] \\ &= [e_{x_1}] * [h] * [e_{x_1}] = [h].\end{aligned}$$

Thus,  $\hat{\alpha} \circ \hat{\alpha}$  is the identity function. Similarly, we can show that  $\hat{\alpha} \circ \hat{\alpha}$  is the identity function.  $\square$

**Definition 15.** A topological space  $X$  is said to **simply connected** if it is path-connected and  $\pi_1(X, x_0)$  is a trivial group.

**Example.** Any convex subset of  $\mathbb{R}^n$  is simply connected.

**Lemma 5 (52.3).** Suppose  $X$  is simply connected and  $\alpha, \beta: I \rightarrow X$  are paths from  $x_0$  to  $x_1$ . Then  $\alpha \simeq_p \beta$ .

**Proof.**  $\alpha * \bar{\beta}$  is a loop base at  $x_0$ . Since  $X$  is simply connected,  $\alpha * \bar{\beta} \simeq_p e_{x_0}$ . Thus,  $[\alpha] = [\alpha] * [e_{x_1}] = [\alpha] * [\bar{\beta}] * [\beta] = [e_{x_0}] * [\beta] = [\beta]$ .  $\square$

**Definition 16.** Let  $h: (X, x_0) \rightarrow (Y, y_0)$  be a continuous map ( $h(x_0) = y_0$ ). Define  $h_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$  by  $h_*([f]) = [h \circ f]$ . Then  $h_*$  is a **group homomorphism induced from  $h$** .

**Well-definedness** Let  $f' \in [f]$  and  $F$  be a path-homotopy from  $f$  to  $f'$ . Then  $h \circ F: I \times I \rightarrow Y$  is a path-homotopy from  $h \circ f$  to  $h \circ f'$ .

**Homomorphism**  $h_*$  is a homomorphism, because  $(h \circ f) * (h \circ g) = h \circ (f * g)$ . That is,  $h_*([f]) * h_*([g]) = h_*([f * g])$ .

**Theorem 10 (52.4).** (i) For two continuous maps  $h: (X, x_0) \rightarrow (Y, y_0)$  and  $k: (Y, y_0) \rightarrow (Z, z_0)$ ,  $(k \circ h)_* = k_* \circ h_*$ .

(ii) For the identity map  $i: (X, x_0) \rightarrow (X, x_0)$ ,  $i_*$  is the identity homomorphism.

**Proof.** (i)  $(k \circ h)_*([f]) = [(k \circ h) \circ f] = [k \circ (h \circ f)] = k_*([h \circ f]) = k_*(h_*([f])) = (k_* \circ h_*)([f]).$

(ii)  $i_*([f]) = [i \circ f] = [f].$

□

**Corollary 3 (52.5).** If  $h: (X, x_0) \rightarrow (Y, y_0)$  is a homeomorphism, then  $h_*$  is an isomorphism.

**Proof.** Let  $k: (Y, y_0) \rightarrow (X, x_0)$  be the inverse of  $h$ . Then,

$$k_* \circ h_* = (k \circ h)_* = (\text{id}_X)_* = \text{the identity on } \pi_1(X, x_0)$$

$$h_* \circ k_* = (h \circ k)_* = (\text{id}_Y)_* = \text{the identity on } \pi_1(Y, y_0)$$

Thus,  $h_*$  is an isomorphism. □

This corollary says  $\pi_1$  is an topological invariant. We can use the fundamental group to detect that two spaces are not homeomorphic, i.e.  $\pi_1(X, x_0) \not\cong \pi_1(Y, y_0) \Rightarrow X \not\cong Y$ . Note that  $X \not\cong Y \not\Rightarrow \pi_1(X, x_0) \not\cong \pi_1(Y, y_0)$  and  $\pi_1(X, x_0) \cong \pi_1(Y, y_0) \not\Rightarrow X \cong Y$ .

**Exercise (52.6).** Let  $X$  be path-connected and  $h: X \rightarrow Y$  be continuous with  $h(x_0) = y_0$  and  $h(x_1) = y_1$ . Let  $\alpha$  be a path in  $X$  from  $x_0$  to  $x_1$  and  $\beta = h \circ \alpha$ . Then,  $\hat{\beta} \circ h_* = h_* \circ \hat{\alpha}$ , that is, the diagram of maps

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{h_*} & \pi_1(Y, y_0) \\ \downarrow \hat{\alpha} & & \downarrow \hat{\beta} \\ \pi_1(X, x_1) & \xrightarrow{h_*} & \pi_1(Y, y_1) \end{array}$$

commutes.

**Proof.** Let  $[f] \in \pi_1(X, x_0)$ .

$$\begin{aligned} (\hat{\beta} \circ h_*)([f]) &= \hat{\beta}(h_*([f])) = [\bar{\beta}] * h_*([f]) * [\beta] \\ &= h_*([\bar{\alpha}]) * h_*([f]) * h_*([\alpha]) \\ &= h_*([\bar{\alpha}] * [f] * [\alpha]) \\ &= h_*(\hat{\alpha}([f])) \\ &= (h_* \circ \hat{\alpha})([f]). \end{aligned}$$

Thus, if  $X$  is path-connected, the group homomorphism induced by a continuous map is independent of base point. ◇

**Note.** HW3: Exercise §52 – #1, #2, #3, #4.

Lecture 11  
Wed, Oct 6

## 9.53 Covering spaces

**Definition 17.** Let  $p: E \rightarrow B$  be a continuous surjective map. An open subset  $U$  of  $B$  is said to be **evenly covered** by  $p$  if  $p^{-1}(U)$  is a union of disjoint open subsets  $V_\alpha$  of  $E$  s.t. each  $V_\alpha$  is homeomorphic to  $U$  by  $p$ . That is,  $p^{-1}(U) = \bigsqcup_\alpha V_\alpha$ ,  $V_\alpha \cong U$  by  $p \forall \alpha$ .

Each  $V_\alpha$  is called a *slice*. (The set  $\{V_\alpha\}$  is a partition of  $p^{-1}(U)$  into slices.)

If every point of  $B$  has an open nbh which is evenly covered by  $p$ , then  $p$  is called a **covering map**,  $E$  **covering space**,  $B$  **base space**.

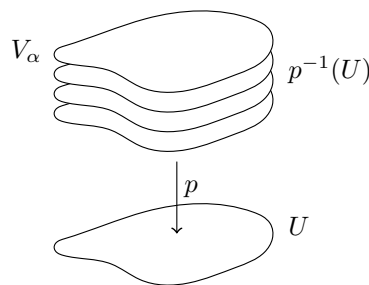


Figure 9.1: evenly covered

**Remark.** If  $U' \subset U$ , also open and  $U$  is evenly covered, then also  $U'$ .

**Example.** Let  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$ . Take  $p: \mathbb{R} \rightarrow S^1$  given by  $t \mapsto e^{2\pi it}$ . Note that  $\mathbb{R}$  is an easier space than  $S^1$ , and so will be  $\pi_1$  (1 vs  $\mathbb{Z}$ ).

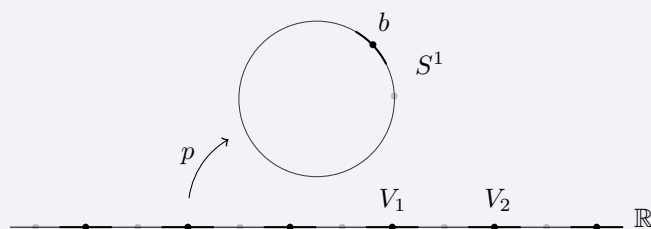


Figure 9.2: example of a covering space

There are also other covering spaces of  $p$ . For example,  $p': S^1 \rightarrow S^1$  given by  $z \mapsto z^3$ .



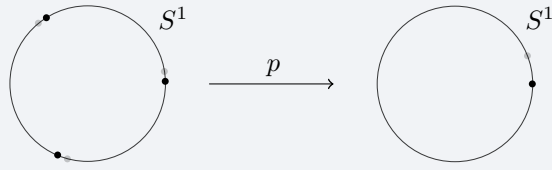


Figure 9.3: second example of a covering space

Here we have three copies for each point. We say that the covering has 3 sheets. Note that this is independent of which point we take. This is always the case! We can show that these are the only coverings of  $S^1$ :  $\mathbb{R}$  and  $z \mapsto z^n$ .

**Proposition 2.** A covering map  $p: E \rightarrow B$  is always an open map.

**Proof.** We want to show that for every  $x \in E$  and any open subset  $A \subset E$  containing  $x$ , there is an open subset of  $B$  contained in  $p(A)$ . Choose an evenly covered open subset  $U$  of  $p(x)$ . Let  $\{V_\alpha\}$  be a partition of  $p^{-1}(U)$  into slices, and  $V_\beta$  be a slice containing  $x$ . Since  $A$  and  $V_\beta$  are open,  $A \cap V_\beta$  is open in  $E$ , hence open in  $V_\beta$ . Since  $V_\beta \cong U$  by  $p$ ,  $p(A \cap V_\beta)$  is open in  $U$ , hence in  $B$ . Thus,  $p(A \cap V_\beta)$  is open in  $B$  and contained in  $p(A)$ .  $\square$

**Theorem 11** (53.2). Let  $p: E \rightarrow B$  be a covering map,  $B_0$  a subspace of  $B$ ,  $E_0 = p^{-1}(B_0)$ . Then,  $p|_{E_0}: E_0 \rightarrow B_0$  is also a covering map.

**Proof.** For each  $b \in B_0$ , there is open nbh  $U$  of  $b$  in  $B$  which is evenly covered by  $p$ . Let  $\{V_\alpha\}$  be a partition of  $p^{-1}(U)$  into slices. Then,

- $U \cap B_0$  is an open nbh of  $b$  in  $B_0$ .
- $\{V_\alpha \cap E_0\}$  is a partition of  $p^{-1}(U \cap B_0)$ .
- $V_\alpha \cap E_0 \cong U \cap B_0$  by  $p$ .

$\square$

**Theorem 12** (53.3). Let  $p_1: E_1 \rightarrow B_1$  and  $p_2: E_2 \rightarrow B_2$  be covering maps. Then,  $p_1 \times p_2: E_1 \times E_2 \rightarrow B_1 \times B_2$  is a covering map.

**Proof.** Let  $(b_1, b_2) \in B_1 \times B_2$  and  $U_1$  be an evenly covered open nbh of  $b_1$  in  $B_1$  for  $p_1$  (same for  $U_2$ ). We claim that  $U_1 \times U_2$  is an evenly covered open nbh of  $(b_1, b_2)$  in  $B_1 \times B_2$  for  $p_1 \times p_2$ .

$$\begin{aligned} (p_1 \times p_2)^{-1}(U_1 \times U_2) &= p_1^{-1}(U_1) \times p_2^{-1}(U_2) \\ &= (\bigsqcup_\alpha V_\alpha) \times (\bigsqcup_\beta W_\beta) = \bigsqcup_{\alpha, \beta} (V_\alpha \times W_\beta). \end{aligned}$$

$V_\alpha \times W_\beta \cong U_1 \times U_2$  by  $p_1 \times p_2$ , since  $V_\alpha \cong U_1$  by  $p_1$  and  $W_\beta \cong U_2$  by  $p_2$ .  $\square$

**Example.** Let  $p: \mathbb{R} \rightarrow S^1$  be the covering map in the previous example.

- $p \times p: \mathbb{R} \times \mathbb{R} \rightarrow S^1 \times S^1$  is a covering map by Theorem 12.
- $p \times p: (\mathbb{R} \times \mathbb{Z}) \cup (\mathbb{Z} \times \mathbb{R}) \rightarrow \text{Bouguet with two leaves}$  is a covering map by Theorem 11.

**Exercise (53.3).** Let  $p: E \rightarrow B$  be a covering map; let  $B$  be connected. Show that if  $p^{-1}(b_0)$  has  $k$  elements for some  $b_0 \in B$ , then  $p^{-1}(b)$  has  $k$  elements for every  $b \in B$ . In such a case,  $E$  is called a  **$k$ -fold covering** of  $B$ .

**Proof.** Let  $B_1 = \{b \in B \mid |p^{-1}(b)| = k\}$  and  $B_2 = \{b \in B \mid |p^{-1}(b)| \neq k\}$ . Then  $b_0 \in B_1$ , hence  $B_1 \neq \emptyset$ . Suppose  $B_2 \neq \emptyset$ . For  $b \in B$ , let  $U_b$  be an evenly covered open nbh of  $b$ . And let  $U_1 = \bigcup_{b \in B_1} U_b$ ,  $U_2 = \bigcup_{b \in B_2} U_b$ . Then, both are open non-empty and  $U_1 \cup U_2 = B$ . Since  $B$  is connected,  $U_1 \cap U_2 \neq \emptyset$ . If  $b_1 \in U_1 \cap U_2$ , then we have a contradiction.  $\diamond$

**Note.** HW3: Exercise §53 – #4, #5, #6.

**Remark.** A covering is always local homeomorphism. But there are surjective local homeomorphism which are not covering maps. A covering map is more than a surjective local homeomorphism.

For example,  $p: \mathbb{R}_0^+ \rightarrow S^1$  given by  $t \mapsto e^{2\pi it}$ . Consider the inverse image of a neighborhood around 1. When we restrict  $p$  to the part around 0, it is no longer a homeomorphism (we don't get the whole neighborhood around one).

## Creating new covering spaces out of old ones

- Suppose  $p: E \rightarrow B$  is a covering and  $B_0 \subset B$  is a subspace with the subspace topology. Let  $E_0 = p^{-1}(B_0)$  and  $p_0 = p|_{E_0}$ . Then  $(E_0, p_0)$  is a covering of  $B_0$ .
- Suppose that  $(E, p)$  is a covering of  $B$  and  $(E', p')$  is a covering of  $B'$ , then  $(E \times E', p \times p')$  is a covering of  $B \times B'$ .

**Example.** Let  $T^2 = S^1 \times S^1$ .

- $p: \mathbb{R}^2 \rightarrow S^1 \times S^1$  given by  $(t, s) \mapsto (e^{ait}, e^{bis})$ .
- $p': \mathbb{R} \times S^1 \rightarrow T^2$  given by  $(t, z) \mapsto (e^{ait}, z^n)$ .
- $p: S^1 \times S^1 \rightarrow T^2$  given by  $(z_1, z_2) \mapsto (z_1^n, z_2^m)$ .

These are the only types of coverings of the torus. We'll prove this later on.

## 9.54 $\pi_1(S^1)$

**Definition 18.** Let  $p: E \rightarrow B$  and  $f: X \rightarrow B$  be continuous maps. Then, a **lifting** of  $f$  is a map  $\tilde{f}: X \rightarrow E$  s.t.  $f = p \circ \tilde{f}$ .

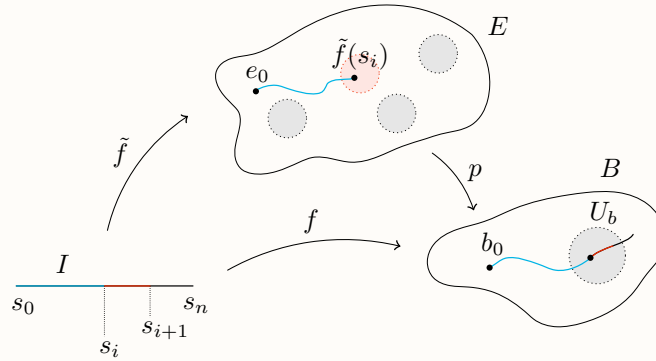
$$\begin{array}{ccc} & & E \\ & \nearrow \tilde{f} & \downarrow p \\ X & \xrightarrow{f} & B \end{array}$$

**Lemma 6** (54.1, Unique path-lifting lemma).

$$\begin{array}{ccc} & & (E, e_0) \\ & \nearrow \exists! \tilde{\gamma} & \downarrow p: \text{c.m.} \\ (I, 0) & \xrightarrow[\text{path}]{\gamma} & (B, b_0) \end{array}$$

**Proof. Existence** Let  $\{U_\alpha\}$  be an open covering of  $B$  consisting of evenly-covered open subsets. Then,  $\{\gamma^{-1}(U_\alpha)\}$  is an open covering of the compact space  $I$ , and there exists a Lebesgue number  $\varepsilon$  (Any open interval of length less than  $\varepsilon$  is contained in some  $\gamma^{-1}(U_\alpha)$ ). Then we have a subdivision  $0 = s_0 < s_1 < \dots < s_{n-1} < s_n = 1$  so that  $\gamma[s_i, s_{i+1}] \subset U_\alpha$  for some  $\alpha$  (by setting  $s_i - s_{i-1} < \varepsilon$ ).

Define  $\tilde{\gamma}(0) = e_0$ . Suppose  $\tilde{\gamma}(s)$  is defined for  $0 \leq s \leq s_i$ . Select  $\alpha_0$  so that  $\gamma[s_i, s_{i+1}] \subset U_{\alpha_0}$ . Let  $\{V_\beta\}$  be the partition of  $p^{-1}(U_{\alpha_0})$  into slices. And let  $V_{\beta_0}$  be the slice s.t.  $\tilde{\gamma}(s_i) \in V_{\beta_0}$ . Since  $V_{\beta_0} \cong U_{\alpha_0}$  by  $p|_{V_{\beta_0}}$ , we have an closed arc  $(p|_{V_{\beta_0}})^{-1}(\gamma[s_i, s_{i+1}])$ . For  $s_i \leq s \leq s_{i+1}$ , defined  $\tilde{\gamma}(s) = (p|_{V_{\beta_0}})^{-1}(\gamma(s))$ . Then  $(p \circ \tilde{\gamma})(s) = \gamma(s)$ .



**Uniqueness** Let  $\tilde{\tilde{\gamma}}$  be another lift of  $\gamma$  s.t.  $\tilde{\tilde{\gamma}}(0) = e_0$ . Since  $\tilde{\tilde{\gamma}}[s_i, s_{i+1}]$  is connected and  $\{V_\beta\}$  are mutually disjoint,  $\tilde{\tilde{\gamma}}[s_i, s_{i+1}] \subset V_{\beta_0}$ . Note that, in  $V_{\beta_0}$ ,  $\tilde{\gamma}(s)$  is a unique point which projects  $\gamma(s)$ . Thus,  $\tilde{\tilde{\gamma}}(s) = \tilde{\gamma}(s) \forall s$ .  $\square$

Lecture 12  
Mon, Oct 11

**Lemma 7** (54.2, Homotopy lifting lemma).

$$\begin{array}{ccc} & & (E, e_0) \\ & \nearrow \exists! \tilde{F} & \downarrow p: \text{c.m.} \\ (I \times I, (0, 0)) & \xrightarrow[\text{conti.}]{F} & (B, b_0) \end{array}$$

Furthermore, if  $F$  is a path-homotopy, then so is  $\tilde{F}$ .

**Proof.** (i) • Define  $\tilde{F}(0, 0) = e_0$ .

- Divide  $I \times I$  into subrectangles so that  $F(I_i \times J_j)$  is contained in an evenly-covered open subset of  $B$ .
- Define  $\tilde{F}$  step by step: Assume that  $\tilde{F}$  is defined on the red-part. Define  $\tilde{F}(x) = (p|_V)^{-1}(F(x))$ ,  $\forall x \in A$ . (Then  $p \circ \tilde{F}(x) = F(x)$ ).

- (ii) Assume that  $F$  is a path-homotopy ( $F(0, t) = b_0$ ,  $F(1, t) = b_1$ ,  $\forall t$ ). Then  $\tilde{F}(\{0\} \times I) \subset p^{-1}(b_0)$  and  $\tilde{F}(\{1\} \times I) \subset p^{-1}(b_1)$ . Since  $\{0\} \times I$  and  $\{1\} \times I$  are connected,  $\tilde{F}(\{0\} \times I) = e_0$ ,  $\tilde{F}(\{1\} \times I) =$  a pt in  $p^{-1}(b_1)$ . □

**Theorem 13** (54.3). Let  $p: (E, e_0) \rightarrow (B, b_0)$  be a covering map. Let  $f, g$  be paths in  $B$  from  $b_0$  to  $b_1$  and  $\tilde{f}, \tilde{g}$  be lifts of  $f$  and  $g$  starting  $e_0$ . Then, if  $f \simeq_p g$ , then  $\tilde{f} \simeq_p \tilde{g}$ .

**Definition 19.** Let  $p: (E, e_0) \rightarrow (B, b_0)$  be a covering map. Then the **lifting correspondence** is the map

$$\begin{aligned} \phi: \pi_1(B, b_0) &\longrightarrow p^{-1}(b_0) \\ [f] &\longmapsto \tilde{f}(1) \end{aligned}$$

where  $\tilde{f}$  is the unique lift of  $f$  starting at  $e_0$ .<sup>a</sup> This is well-defined because  $[f] = [g] \Rightarrow \tilde{f} \simeq_p \tilde{g} \Rightarrow \tilde{f}(1) = \tilde{g}(1)$ . This  $\phi$  depends on the choice of  $e_0$ .

<sup>a</sup>There is no guarantee that  $\tilde{f}$  is a loop.

**Theorem 14** (54.4). If  $E$  is path-connected, then  $\phi$  is surjective. If  $E$  is simply-connected, then  $\phi$  is bijective.

**Proof.** For  $e \in p^{-1}(b_0)$ , there is a path  $g$  in  $E$  from  $e_0$  to  $e$ . Then,  $p \circ g$  is a loop based at  $b_0$  ( $p(e_0) = p(e) = b_0$ ). By the uniqueness of path-lifting,  $\tilde{p} \circ g = g$ . Then,  $\phi([p \circ g]) = (\tilde{p} \circ g)(1) = g(1) = e$ . For any point of  $p^{-1}(b_0)$ , there is a loop homotopy class which is sent to  $e$  by  $\phi$ . Thus,  $\phi$  is surjective.

For  $[f], [g] \in \pi_1(B, b_0)$ , suppose  $\tilde{f}(1) = \tilde{g}(1)$ , that is,  $\phi([f]) = \phi([g])$ . Since  $E$  is simply connected,  $\tilde{f} \simeq_p \tilde{g}$  by Lemma 5. For a homotopy  $\tilde{F}$  between  $\tilde{f}$  and  $\tilde{g}$ ,  $f \simeq_p g$  by  $p \circ \tilde{F}$ . Thus  $[f] = [g]$ , hence  $\phi$  is injective. □

**Theorem 15** (54.5).  $\pi_1(S^1) \cong \langle \mathbb{Z}, + \rangle$ .

**Proof.** We use the covering map  $p: (\mathbb{R}, 0) \rightarrow (S^1, 1)$  defined by  $p(t) = e^{2\pi it}$ . The function  $\phi: \pi_1(S^1, 0) \rightarrow p^{-1}(1) = \mathbb{Z}$  is bijective, because  $\mathbb{R}$  is simply connected. It's enough to show that  $\phi$  is a group homomorphism.

For  $[f], [g] \in \pi_1(S^1, 1)$ , let  $\tilde{f}$  and  $\tilde{g}$  be their lifts starting at 0. Define  $\tilde{g}(s) = \tilde{f}(1) + \tilde{g}(s)$ . Then  $(p \circ \tilde{g})(s) = p(\tilde{f}(1) + \tilde{g}(s)) = p(\tilde{g}(s)) = g(s)$ . Thus,  $\tilde{g}$  is the lift of  $g$  starting at  $\tilde{f}(1)$ .  $\tilde{f} * \tilde{g}$  is a path starting at  $\tilde{f}(0) = 0$ , and  $p(\tilde{f} * \tilde{g}) = (p \circ \tilde{f}) * (p \circ \tilde{g}) = f * g$ , hence  $\tilde{f} * \tilde{g}$  is the lift of  $f * g$  starting at 0. In conclusion,

$$\phi([f] * [g]) = (\tilde{f} * \tilde{g})(1) = \tilde{g}(1) = \tilde{f}(1) + \tilde{g}(1) = \phi([f]) + \phi([g]).$$

□

**Theorem 16 (54.6).** Let  $p: (E, e_0) \rightarrow (B, b_0)$  be a covering map.

- (i)  $p_*: \pi_1(E, e_0) \rightarrow \pi_1(B, b_0)$  is a monomorphism<sup>a</sup>.
- (ii) Let  $H = p_*(\pi_1(E, e_0))$ . Then,

$$\begin{aligned} \Phi: \pi_1(B, b_0) / H &\longrightarrow p^{-1}(b_0) \\ H * [f] &\longmapsto \phi([f]) \end{aligned}$$

is injective. If  $E$  is path-connected, then  $\Phi$  is bijective.

- (iii) For a loop based at  $b_0$ ,  $[f] \in H$  iff  $f$  lifts to a loop in  $E$  based at  $e_0$ .

<sup>a</sup>injective homomorphism. \*epimorphism: surjective homomorphism.

**Proof.** (i) Let  $\tilde{h}$  be a loop at  $e_0$  s.t.  $p_*([\tilde{h}]) = [c_{b_0}]$ . Then, there is a path-homotopy  $F$  between  $p \circ \tilde{h}$  and  $c_{b_0}$ , and its lift  $\tilde{F}$  is a homotopy between  $\tilde{h}$  and  $\tilde{c}_{b_0} = c_{e_0}$ . Thus,  $[\tilde{h}] = [c_{e_0}]$ .<sup>a</sup>

- (ii) Let  $[f] \in \pi_1(B, b_0)$  and  $[h] \in H$ . Then,  $\phi([h * f]) = \widetilde{h * f}(1) = \tilde{f}(1)$ . Thus, we can define  $\Phi(H * [f]) = \tilde{f}(1) = \phi([f])$ . Suppose  $\phi([f]) = \phi([g])$ , i.e.  $\tilde{f}(1) = \tilde{g}(1)$ .  $\tilde{h} := \tilde{f} * \tilde{g}$  is a loop at  $e_0$ , and  $[\tilde{h} * \tilde{g}] = [\tilde{f}]$ . Thus,

□

<sup>a</sup> $p_*$  is injective iff  $\ker p_*$  is trivial.

## 9.55 Retractions and fixed points

**Definition 20.** A subspace  $A$  of a topological space  $X$  is called a **retract** of  $X$  if there exists a continuous map  $r: X \rightarrow A$  s.t.  $r|_A = \text{id}_A$ . The map  $r$  is called a **retraction** of  $X$  onto  $A$ .

**Example.**  $S^1$  is a retract of  $\mathbb{R}^2 - \{0\}$ .

Lecture 13  
Wed, Oct 13

**Example.** Let  $X$  be the figure 8 space, and denote the right circle by  $A$ . Then it's easy to see that there exists a retract from the whole space to  $A$  by mapping the left circle onto the right

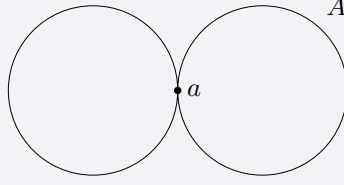


Figure 9.4: Figure 8 space

**Lemma 8** (55.1). Let  $A$  be a retract of  $X$  and  $i: A \hookrightarrow X$  be the inclusion map. Then  $i_*: \pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$  is injective.

**Proof.**  $r \circ i: A \hookrightarrow X \rightarrow A$  is the identity map, hence  $(r \circ i)_* = r_* \circ i_*$  is an isomorphism. Thus  $i_*$  is injective.  $\square$

**Theorem 17** (55.2). There is no retraction of  $B^2$  onto  $S^1$ .

**Proof.**  $i_*: \pi_1(S^1, 1) \rightarrow \pi_1(B^2, 1)$  can not be injective because  $\pi_1(S^1, 1) \cong \mathbb{Z}$  has infinitely many elements and  $\pi_1(B^2, 1)$  is a trivial group.  $\square$

**Lemma 9** (55.3). Let  $h: S^1 \rightarrow X$  be a continuous map. Then TFAE:

- (i)  $h$  is null-homotopic.
- (ii)  $h$  extends to a continuous map  $k: B^2 \rightarrow X$ .
- (iii)  $h_*: \pi_1(S^1, b_0) \rightarrow \pi_1(X, x_0)$  is the trivial homomorphism.<sup>a</sup>

<sup>a</sup> $h_*$  maps every element of  $\pi_1(S^1, b_0)$  to the identity in  $\pi_1(X, x_0)$ .

**Proof.** (i)  $\Rightarrow$  (ii) Let  $H$  be a homotopy between  $h$  and a constant map, and  $\pi: S^1 \times I \rightarrow B^2$  be the map  $\pi(x, t) = (1 - t)x$ . Then  $\pi$  is a quotient map. By Theorem 1.(i), there is a continuous map  $k: B^2 \rightarrow X$  s.t.  $H = k \circ \pi$ . For  $x \in S^1 \subset B^2$ ,  $\pi(x, 0) = x$ .  $k(x) = k(\pi(x, 0)) = H(x, 0) = h(x)$ .

(ii)  $\Rightarrow$  (iii) Let  $j: S^1 \hookrightarrow B^2$  be the inclusion map. Then  $h = k \circ j$ ,  $h_* = k_* \circ j_*$ . Since  $\pi_1(B^2)$  is trivial,  $j_*$  is trivial. Thus  $h_*$  is trivial.

(iii)  $\Rightarrow$  (i) Let  $p: [0, 1] \rightarrow S^1$  be the quotient map s.t.  $p(0) = p(1) = b_0$ . Then  $p$  is a loop based at  $b_0$ . Since  $h_*$  is trivial,  $h_*([p]) = [h \circ p]$  is the identity element of  $\pi_1(X, x_0)$ . Let  $F$  be a path-homotopy from  $h \circ p$  to the constant map  $c_{x_0}$ . Applying Theorem 1.(i) to  $F$  and  $p \times \text{id}$ , there is a continuous map  $H: S^1 \times I \rightarrow X$  s.t.  $F = H \circ (p \times \text{id})$ .

$$\bullet H(x, 0) = H(p(y), 0) = F(y, 0) = (h \circ p)(y) = h(x)$$

- $H(x, 1) = H(p(y), 1) = F(y, 1) = c_{x_0}$

Thus  $H$  is a homotopy between  $h$  and  $c_{x_0}$ .  $\square$

**Corollary 4** (55.4). The inclusion map  $j: S^1 \hookrightarrow \mathbb{R}^2 - \{0\}$  is not null-homotopic.

**Proof.**  $r \circ j: S^1 \rightarrow \mathbb{R}^2 - \{0\} \rightarrow S^1$  is the identity map. Thus  $j_*$  is injective,  $\pi_1(S^1) \cong \mathbb{Z}$ , hence  $j_*$  is not trivial. Then by Lemma 9,  $j$  is not null-homotopic.  $\square$

**Definition 21.** A **vector field**  $v$  on  $B^2$  is a continuous map  $v: B^2 \rightarrow \mathbb{R}^2$ .

**Theorem 18** (55.5). Given a non-vanishing vector field  $v$  on  $B^2$ , there are two points  $x_0$  and  $x_1$  on  $S^1$  s.t.  $v(x_0)$  is inward and  $v(x_1)$  is outward.

**Proof.** To say that  $v$  is non-vanishing, we can consider  $v$  as a map  $v: B^2 \rightarrow \mathbb{R}^2 - \{0\}$ .  $v$  is an extension of  $v|_{S^1}: S^1 \rightarrow \mathbb{R}^2 - \{0\}$ , so  $v|_{S^1}$  is null-homotopic by Lemma 9. Now suppose that there is no such  $x_0$ . Then,  $v|_{S^1}$  is path-homotopic to the inclusion  $j: S^1 \rightarrow \mathbb{R}^2 - \{0\}$  by the homotopy  $F: S^1 \times I \rightarrow \mathbb{R}^2 - \{0\}$  defined by  $F(x, t) = tx + (1 - t)v|_{S^1}(x)$ . Indeed,

- $F(x, 0) = v|_{S^1}(x)$ ,  $F(x, 1) = x = j(x)$
- If  $F(x, t) = 0$ , then  $v|_{S^1}(x) = \frac{t}{t-1}x$ ; inward.  $\nmid \nexists x_0$ .

Thus,  $j \simeq v|_{S^1} \simeq \text{constant map}$ .  $\nmid$  Corollary 4.  $\square$

**Theorem 19** (55.6, Brouwer fixed-point theorem for  $B^2$ ). If  $f: B^2 \rightarrow B^2$  is a continuous map, then there exists  $x \in B^2$  s.t.  $f(x) = x$ .

**Proof.** Suppose there is no fixed point. Then  $v(x) = f(x) - x$  is a non-vanishing vector field on  $B^2$ . Hence there is an outward point  $x_0$  on  $S^1$ , i.e.  $v(x_0) = ax_0$  for  $a > 0$ .  $f(x_0) - x_0 = ax_0$ .  $f(x_0) = (a + 1)x_0 \notin B^2$ .  $\nmid \square$

**Corollary 5** (55.7, Application of FPT). Let  $A$  be a  $3 \times 3$  matrix of positive real numbers. Then  $A$  has a positive real eigenvalue.

**Proof.** Consider  $A: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  to be a linear map. Let  $B = \{(x_1, x_2, x_3) \mid x_1^2 + x_2^2 + x_3^2 = 1, x_1, x_2, x_3 \geq 0\}$  (first octant of  $S^2$ ). Note that  $B \cong B^2$ . For any  $x \in B$ ,  $A(x) \in \{(x_1, x_2, x_3) \mid x_1, x_2, x_3 \geq 0\}$ , as  $A$  has positive entries. Then  $F(x) = \frac{A(x)}{\|A(x)\|}$  is a map from  $B$  to  $B$ . By Theorem 19, there exists  $x_0 \in B$  s.t.  $F(x_0) = \frac{A(x_0)}{\|A(x_0)\|} = x_0$ , hence  $A(x_0) = \|A(x_0)\|x_0$ .  $\square$

**Note.** HW4:

- Read and understand the topological proof of fundamental theorem

of algebra.

- Exercise §55 – #1, #2.

## 9.57 Borsuk–Ulam theorem

Lecture 14  
Mon, Oct 18

**Definition 22.** For  $x \in S^n$ , the **antipode**  $x$  is  $-x$ . A map  $h: S^n \rightarrow S^m$  is **antipode-preserving** if  $h(-x) = -h(x)$  for all  $x \in S^n$ .

**Theorem 20** (57.1). If  $h: S^1 \rightarrow S^1$  is continuous and antipode-preserving, then  $h$  is not null-homotopic.

**Proof.** Let  $b_0 = (0, 1)$  and  $\rho: S^1 \rightarrow S^1$  be the rotation of  $S^1$  s.t.  $\rho(h(b_0)) = b_0$ .  $(\rho \circ h)(-x) = \rho(-h(x)) = -\rho(h(x)) = -(\rho \circ h)(x)$  (antipode-preserving). Suppose there is a homotopy between  $h$  and a constant map. Then  $\rho \circ h$  is a homotopy between  $\rho \circ h$  and a constant map. Therefore we may prove the theorem under assumption  $h(b_0) = b_0$ .

**Step 1.** Let  $q: S^1 \subset \mathbb{C} \rightarrow S^1$  be the map  $q(z) = z^2$ . Then  $q$  is a quotient map and  $q(-z) = q(z)$ . For  $x \in S^1$ ,  $q^{-1}(x)$  is two antipodal points.  $h(-x) = -h(x)$ .  $q(h(-z)) = q(-h(z)) = q(h(z))$ . Apply Theorem 1 to  $q$  and  $q \circ h$ . Then there exists  $k: S^1 \rightarrow S^1$  s.t.  $k \circ q = q \circ h$ .  $k(b_0) = k(b_0^2) = k(q(b_0)) = q(h(b_0)) = q(b_0) = b_0^2 = b_0$ .

$$\begin{array}{ccc} S^1 & \xrightarrow{h} & S^1 \\ \downarrow q & & \downarrow q \\ S^1 & \xrightarrow{k} & S^1 \end{array}$$

**Step 2.** We claim  $k_*: \pi_1(S^1, b_0) \rightarrow \pi_1(S^1, b_0)$  is non-trivial. We can check that  $q$  is a covering map. If  $\tilde{f}$  is a path from  $b_0$  to  $-b_0$  in  $S^1$ , then  $[f = q \circ \tilde{f}] \neq 1$  in  $\pi_1(S^1, b_0)$ .  $k_*([f]) = [k \circ q \circ \tilde{f}] = [q \circ h \circ \tilde{f}] \neq 1$ .

**Step 3.**  $h_*$  is nontrivial. We will prove  $h$  is not null-homotopic.

$$\begin{aligned} q_*: \pi_1(S^1, b_0) \cong \mathbb{Z} &\longrightarrow \pi_1(S^1, b_0) \cong \mathbb{Z} \\ n &\longmapsto 2n \end{aligned}$$

Thus  $q_*$  is injective,  $k_* \circ q_*$  is injective, so is  $q_* \circ h_*$ . Hence  $h_*$  is injective.

□

**Theorem 21** (57.2). There is no continuous antipode-preserving map  $g: S^2 \rightarrow S^1$ .

**Proof.** Suppose  $g$  is such a map. Then  $h = g|_{S^1}$  is continuous and antipode-preserving map  $S^1 \rightarrow S^1$ . Then, by Theorem 20,  $h$  is not null-homotopic.



But  $g|_{\text{upper-hemi-sphere}}$  is an extension of  $h$ .  $\nrightarrow$  to Lemma 9.  $\square$

**Theorem 22** (57.3, Borsuk–Ulam theorem for  $S^2$ ). Given a continuous map  $f: S^2 \rightarrow \mathbb{R}^2$ , there is a point  $x \in S^2$  s.t.  $f(x) = f(-x)$ .

**Proof.** Suppose there is no such point. Then we can define  $g: S^2 \rightarrow S^1$  by  $g(x) = \frac{f(x)-f(-x)}{\|f(x)-f(-x)\|}$ .  $g(-x) = \frac{f(-x)-f(x)}{\|f(-x)-f(x)\|} = -g(x)$ .  $\nrightarrow$  to Theorem 21.  $\square$

**Theorem 23** (57.4, Bisection theorem). For two bounded polygonal regions in  $\mathbb{R}^2$ , there exists a line that bisects each of them.

**Proof.** Let  $A_1, A_2$  be bounded polygonal regions in  $\mathbb{R}^2 \times \{1\} \subset \mathbb{R}^3$ . Given a point  $u \in S^2$ , let  $P$  be the plane s.t.  $O \in P$ ,  $u \perp P$ . Let  $f_i(u)$  equal the area of the portion of  $A_i$  that lies on the same side of  $P$  as does the vector  $u$ . If  $u = (0, 0, 1)$ , then  $f_i(u) = \text{area } A_i$ , and if  $u = (0, 0, -1)$ , then  $f_i(u) = 0$ .  $f_i(u) + f_i(-u) = \text{area } A_i$  for all  $u \in S^2$ . Define a map  $F: S^2 \rightarrow \mathbb{R}^2$  by  $F(u) = (f_1(u), f_2(u))$ . By Theorem 22, there exists  $u_0 \in S^2$  s.t.  $F(u_0) = F(-u_0)$ . Then  $f_i(u_0) = f_i(-u_0) = \frac{1}{2} \text{area } A_i$ . Hence,  $P_{u_0} \cap \mathbb{R}^2 \times \{1\}$  bisects  $A_1$  and  $A_2$ .  $\square$

**Note.** HW5: Exercise §57 – #1, #2, #3.

## 9.58 Deformation retracts and homotopy type

**Lemma 10** (58.1). Let  $h, k: (X, x_0) \rightarrow (Y, y_0)$  be continuous maps. If there is a homotopy  $H$  between  $h$  and  $k$  s.t.  $H(x_0, t) = y_0$  for all  $t$ , then  $h_* = k_*$ .

**Proof.** Let  $f$  be a loop in  $X$  based at  $x_0$ . Consider the map

$$\begin{aligned} F: I \times I &\xrightarrow{f \times \text{id}} X \times I \xrightarrow{H} Y \\ (s, t) &\longmapsto (f(s), t) \mapsto H(f(s), t) \end{aligned} .$$

- Then  $F$  is continuous.
- $F(s, 0) = H(f(s), 0) = (h \circ f)(s)$
- $F(s, 1) = H(f(s), 1) = (k \circ f)(s)$
- $F(0, t) = H(f(0), t) = H(x_0, t) = y_0$
- $F(1, t) = H(f(1), t) = H(x_0, t) = y_0$

Thus  $F$  is a path-homotopy between  $h \circ f$  and  $k \circ f$  so that  $h_*([f]) = [h \circ f] = [k \circ f] = k_*([f])$ .  $\square$

**Theorem 24** (58.2). The inclusion map  $j: S^n \hookrightarrow \mathbb{R}^{n+1} - \{0\}$  induces an isomorphism between fundamental groups.

**Proof.** Let  $X = \mathbb{R}^{n+1} - \{0\}$ ,  $b_0 = (1, 0, \dots, 0)$ . There exists a retraction  $r: X \rightarrow S^n$  defined by  $r(x) = \frac{x}{\|x\|}$ . Then  $r \circ j: S^n \rightarrow X \rightarrow S^n$  is the identity map, hence  $r_* \circ j_* = \text{id}_{S^n}^*$ . Now consider  $j \circ r: X \rightarrow S^n \rightarrow X$  which maps  $X$  to itself. This map is not the identity map  $\text{id}_X$ , but it is homotopic to. Indeed,  $H: X \times I \rightarrow X$  given by  $H(x, t) = (1-t)x + t\frac{x}{\|x\|}$  is a homotopy between  $H(x, 0) = x = \text{id}_X(x)$  and  $H(x, 1) = \frac{x}{\|x\|} = (j \circ r)(x)$ . Note that  $H(b_0, t) = b_0$ . Then by Lemma 10,  $(j \circ r)_* = j_* \circ r_* = \text{id}_*^X$ . Thus  $j_*$  has the right and left inverse homomorphism.  $\square$

**Definition 23.** A subspace  $A$  of  $X$  is a **deformation retract** of  $X$  if there is a continuous map  $H: X \times I \rightarrow X$  s.t.  $H(x, 0) = x$ ,  $H(x, 1) \in A$   $\forall x \in X$ , and  $H(a, t) = a$   $\forall a \in A, \forall t \in I$ .<sup>a</sup> The homotopy  $H$  is called a **deformation retraction** of  $X$  onto  $A$ .

<sup>a</sup> $r(x) = H(x, 1)$  is a retraction of  $X$  onto  $A$ .  $H$  is a homotopy between  $\text{id}_X$  and  $j \circ r$ .

$H$  shows a continuous shrinking of  $X$  onto  $A$ . During the shrinking, points of  $A$  stay where they are.

**Theorem 25** (58.3). Let  $A$  be a deformation retract of  $X$ , and  $j: A \hookrightarrow X$  the inclusion map. Then  $j_*: \pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$  is an isomorphism.

**Proof.** Similar with Theorem 24.  $\square$

**Example.**  $(\mathbb{R}^2 - \{0\}) \times \{0\} \subset \mathbb{R}^3 - \{z\text{-axis}\}$ .  $H((x, y, z), t) = (x, y, (1-t)z)$ . Thus,  $\pi_1(\mathbb{R}^3 - \{z\text{-axis}\}) \cong \pi_1(\mathbb{R}^2 - \{0\}) \cong \pi_1(S^1) \cong \mathbb{Z}$ .

**Example.**  $\pi_1(\mathbb{R}^2 - \{\text{two points}\}) \cong \pi_1(\text{Bouquet with two leaves})$ . Can you write down the deformation retractions concretely?

**Example.**  $S^1 \cup (\{0\} \times [-1, 1])$  (theta space) is deformation retract of  $\mathbb{R}^2 - \{\text{two points}\}$ .

**Definition 24.** Let  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$  be continuous maps.  $f$  and  $g$  are called **homotopy equivalences (maps)** if  $g \circ f \simeq \text{id}_X$  and  $f \circ g \simeq \text{id}_Y$ . ( $f$  is a homotopy inverse of  $g$ ).  $X$  is homotopically equivalent to  $Y$ .

**Definition 25.** Let  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$  be continuous maps.  $X$  and  $Y$  are said to be of the same **homotopy type** if  $g \circ f \simeq \text{id}_X$  and  $f \circ g \simeq \text{id}_Y$ . We say that  $f, g$  are **homotopy equivalences** and are **homotopy inverses** of each other.

**Note.** The relation of homotopy equivalence is an equivalence relation.

**Remark.** Suppose  $A$  is a deformation retract of  $X$ . Then for the retraction  $r(x) = H(x, 1)$  and inclusion  $j: A \hookrightarrow X$ ,  $r \circ j = \text{id}_A$ ,  $j \circ r \simeq \text{id}_X$  by  $H$ . Thus  $r$  and  $j$  are homotopy equivalence maps.

**Example.** Bouquet with two leaves and theta space are deformation retract of  $\mathbb{R}^2 - \{\text{two points}\}$ . Thus they are homotopically equivalent to each other. Can you find a homotopy equivalence map between them?

**Lemma 11 (58.4).** Let  $h, k: X \rightarrow Y$  be continuous maps.  $h(x_0) = y_0$ ,  $k(x_0) = y_1$ . If  $h \simeq k$ , then there is a path  $\alpha$  in  $Y$  from  $y_0$  to  $y_1$  s.t.  $k_* = \hat{\alpha} \circ h_*$ .

**Proof.** Let  $f$  be a loop based at  $x_0$ . Then we have to show:

$$k_*([f]) = \hat{\alpha}(h_*([f])), [k \circ f] = [\bar{\alpha}] * [h \circ f] * [\alpha], [\alpha] * [k \circ f] = [h \circ f] * [\alpha].$$

Let  $f_0(s) = (f(s), 0) \subset X \times \{0\}$ ,  $f_1(s) = (f(s), 1) \subset X \times \{1\}$ ,  $c(t) = (x_0, t) \in X \times I$ . If  $H$  is a homotopy between  $h$  and  $k$ , then  $(H \circ f_0)(s) = H(f(s), 0) = (h \circ f)(s)$ ,  $(H \circ f_1) = k \circ f$ . Define  $F: I \times I \rightarrow X \times I$  by  $F(s, t) = (f(s), t)$ . Label  $\square$

**Example.** Let  $S^1 \subset \mathbb{R}^2 \setminus \{(0,0)\}$ . Then  $S^1$  is a deformation retract of  $\mathbb{R}^2 \setminus \{(0,0)\}$ . Using homotopy  $H: \mathbb{R}_0^2 \times I \rightarrow \mathbb{R}_0^2$  given by  $x \mapsto (1-t)x + t\frac{x}{\|x\|}$ . (The same for  $S^n$  and  $\mathbb{R}_0^n$ )

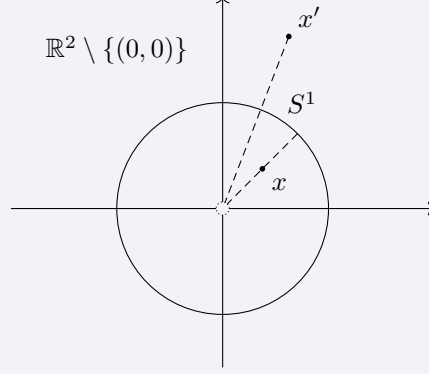


Figure 9.5: Example of a deformation retract

**Example.** Consider the figure 8 space. Claim:  $A$  is not a deformation retract of  $X$ . We'll prove this later on.

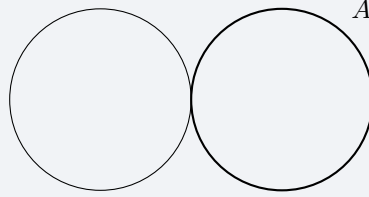


Figure 9.6: Example of a deformation retract

**Example.** Consider the torus and a circle on the torus. Then it is a retract, but not a deformation retract.

**Theorem 26.** If  $A$  is a deformation retract of  $X$ , then  $i: A \rightarrow X$  induces an *isomorphism*  $i_*$ . I.e. if you have a deformation retract, it's not only injective but also surjective.

**Proof.** Let  $i: A \rightarrow X$  be the inclusion and  $r: X \rightarrow A$  be the deformation retraction using  $H$ . Then  $r \circ i = 1_A$ , which gives  $r_* \circ i_* = 1_{\pi(A, a_0)}$ .

Now,  $i \circ r \simeq_p 1_X$  using the homotopy of the previous lemma, i.e.  $H$  with  $H(a_0, t) = a_0$ . Call  $h = i \circ r$ ,  $k = 1_X$ , and using the previous lemma,  $(i \circ r)_* = (1_X)_*: \pi(X, x_0) \rightarrow \pi(X, x_0)$ , which shows that  $i_* \circ r_* = 1_{\pi(X, x_0)}$ .

We conclude that both  $i_*$  and  $r_*$  are isomorphisms.  $\square$

**Remark.** This means that the fundamental group of  $\mathbb{R}_0^2$  is the same as the one of  $S^1$ , which is  $\mathbb{Z}$ .

**Example.** The fundamental group of the figure 8 space and the  $\theta$ -space are isomorphic. These spaces are not deformations of each other, but we can show that they are deformation retracts of  $\mathbb{R}^2 \setminus \{p, q\}$ . We say that these spaces are of the same homotopy type.

**Definition 26.** Let  $X, Y$  be two spaces, then  $X$  and  $Y$  are said to be of the same **homotopy type** if there exists  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$  such that  $g \circ f \simeq 1_X$  and  $f \circ g \simeq 1_Y$ . We say that  $f, g$  are **homotopy equivalences** and are **homotopy inverses** of each other.

**Remark.** This is an equivalence relation.

We'll prove that spaces of the same homotopy type have the same fundamental group. For that, we'll prove the previous lemma in a more general form, not preserving the base point.

**Lemma 12 (58.4).** Suppose  $h, k: X \rightarrow Y$  with  $h(x_0) = y_0$  and  $k(x_0) = y_1$ . Assume that  $h \simeq k$  via a homotopy  $H: X \times I \rightarrow Y$ , ( $H(x, 0) = h(x)$ ,  $H(x, 1) = k(x)$ ). Then  $\alpha: I \rightarrow Y$  given by  $s \mapsto H(x_0, s)$  is a path starting in  $y_0$  and ending in  $y_1$  such that the following diagram commutes

$$\begin{array}{ccc} & \pi(X, x_0) & \\ h_* \swarrow & & \searrow k_* \\ \pi(Y, y_0) & \xrightarrow{\hat{\alpha}} & \pi(Y, y_1) \\ [g] \longmapsto & & [\bar{\alpha}] * [g] * [\alpha] \end{array} .$$

**Proof.** We need to show that  $\hat{\alpha}(h_*[f]) = k_*[f]$ , or  $[\bar{\alpha}] * [h \circ f] * [\alpha] = [k \circ f]$ , or  $[h \circ f] * [\alpha] = [\alpha] * [k \circ f]$ . We'll prove that these paths are homotopic. Using the picture, we see that  $\beta_0 * \gamma_2 \simeq_p \gamma_1 * \beta_1$ , because they are loops in a path connected space,  $I \times I$ . Therefore,  $F \circ (\beta_0 * \gamma_2) \simeq_p F \circ (\gamma_1 * \beta_1)$ . This is  $f_0 * c \simeq_p c * f_1$ . Now, if we apply  $H$ , we get  $H \circ (f_0 * c) \simeq_p H \circ (c * f_1)$ , so  $(h \circ f) * \alpha \simeq_p \alpha * (k \circ f)$ , which implies that  $[h \circ f] * [\alpha] = [\alpha] * [k \circ f]$ .  $\square$

**Theorem 27.** Let  $f: X \rightarrow Y$  be a homotopy equivalence, with  $f(x_0) = y_0$ . Then  $f_*: \pi(X, x_0) \rightarrow \pi(Y, y_0)$  is an isomorphism.

**Proof.** Let  $g$  be a homotopy inverse of  $f$ .

$$(X, x_0) \xrightarrow{f} (Y, y_0) \xrightarrow{g} (X, x_1) \xrightarrow{f} (Y, y_1) \cdots$$

$$\begin{array}{ccccc} \pi(X, x_0) & \xrightarrow{f_*, x_0} & \pi(Y, y_0) & \xrightarrow{g_*, x_0} & \pi(X, x_1) \\ & \searrow 1_{\pi(X, x_0) = (1_X)_*} & & \downarrow \hat{\alpha} & \\ & & & \pi(X, x_0) & \end{array}$$

$$\begin{array}{ccccc} \pi(Y, y_0) & \xrightarrow{g_*, x_0} & \pi(X, x_1) & \xrightarrow{f_*, x_1} & \pi(Y, y_1) \\ & \searrow 1_{\pi(Y, y_0) = (1_Y)_*} & & \downarrow \hat{\beta} & \\ & & & \pi(Y, y_0) & \end{array}$$

From the first diagram,  $g_{y_0,*} \circ f_{x_0,*}$  is an isomorphism,  $g_{y_0,*}$  is surjective. The second diagram gives that  $f_{x_1,*} \circ g_{y_0,*}$  is an isomorphism, so  $g_{y_0,*}$  is injective, so  $g_{y_0,*}$  is an isomorphism. Now composing, we find that  $g_{y_0,*}^{-1} \circ (g_{y_0,*} \circ f_{x_0,*}) = f_{x_0,*}$  is an isomorphism.  $\square$

## 9.59 $\pi_1(S^n)$

Lecture 16  
Mon, Oct 25

**Theorem 28** (59.1, Special version of van Kampen theorem). Let  $X = U \cup V$ , where  $U, V$  are open subsets of  $X$ , and  $U \cap V$  is path connected. Let  $i: U \hookrightarrow X$  and  $j: V \hookrightarrow X$  denote the inclusions and consider  $x_0 \in U \cap V$ . Then the images of  $i_*$  and  $j_*$  generate the whole group  $\pi(X, x_0)$ .<sup>a</sup>

<sup>a</sup>In other words, every element of  $\pi_1(X, x_0)$  is a product of the elements of the subgroups.

**Proof.** Let  $f$  be a loop in  $X$  based at  $x_0$ . Need to show that  $f$  is a product of loops in  $U$  or  $V$ .

- (i) We can divide  $[0, 1]$  into subintervals  $0 = a_0 < a_1 < \cdots < a_n = 1$  so that  $f(a_i) \in U \cap V$  and  $f([a_{i-1}, a_i]) \subset U$  or  $V$ .
- (ii)  $U \cap V$  is path-connected, so we can choose a path  $\alpha_i$  from  $x_0$  to  $f(a_i)$ . Let  $f_i$  be a path s.t.  $f(I) = f([a_{i-1}, a_i])$ . Then,

$$\begin{aligned} [f] &= [f_1] * \cdots * [f_n] \\ &= [f_1] * [\bar{\alpha}_1 * \alpha_1] * [f_2] * \cdots * [\bar{\alpha}_{n-1} * \alpha_{n-1}] * [f_n] \\ &= [f_1 * \bar{\alpha}_1] * [\alpha_1 * f_2 * \bar{\alpha}_2] * \cdots * [\alpha_{n-2} * f_{n-1} * \bar{\alpha}_{n-1}] * [\alpha_{n-1} * f_n] \end{aligned}$$

Each factor in the product is a loop in  $U$  or  $V$ .  $\square$

**Proof.** Let  $[f] \in \pi(X, x_0)$  denote  $f: I \rightarrow X$  is a loop based at  $x_0$ .

Claim: there exists a subdivision of  $[0, 1]$  such that  $f[a_i, a_{i+1}]$  lies entirely inside  $U$  or  $V$  and  $f(a_i) \in U \cap V$ . Proof of the claim: Lebesgue number

lemma says that such a subdivision  $b_i$  exists. Now assume  $b_j$  is such that  $f(b_j) \notin U \cap V$ , for  $0 < j < m$ . Then either  $f(b_j) \in U \setminus V$ , or  $f(b_j) \in V \setminus U$ . The first one would imply that  $f([b_{j-1}, b_j]) \subset U$  and  $f([b_j, b_{j+1}]) \subset U$ . So  $f[b_{j-1}, b_{j+1}] \subset U$ , so we can discard  $b_j$ . Same for the second possibility.

Let  $\alpha_i$  be a path from  $x_0$  to  $f(a_i)$  and  $\alpha_0$  the constant path  $t \mapsto x_0$ , inside  $U \cap V$  (which is possible, as it is path connected). Now define

$$f_i: I \rightarrow X \text{ given by } I \xrightarrow{\text{p.l.m.}} [a_{i-1}, a_i] \xrightarrow{f} X.$$

Then  $[f] = [f_1] * [f_2] * \cdots * [f_n]$ . Note that all  $f_i$  have images inside  $U$  or  $V$ . Now,

$$\begin{aligned} [f] &= [a_0] * [f_1] * [\overline{\alpha_1}] * [\alpha_1] * [f_2] * [\overline{\alpha_2}] * [\alpha_2] * [f_3] * \cdots * [\alpha_{n-1}] * [f_n] * [\overline{\alpha_n}] \\ &= [\alpha_0 * (f_1 * \overline{\alpha_1})] * [\alpha_1 * (f_2 * \overline{\alpha_2})] * \cdots. \end{aligned}$$

Every path of the form  $\alpha_{i-1} * (f_i * \overline{\alpha_i})$  is a loop based at  $x_0$  lying entirely inside  $U$  or  $V$ . This means that

$$[f] \in \text{grp}\{i_*(\pi(U, x_0)), j_*(\pi(V, x_0))\}.$$

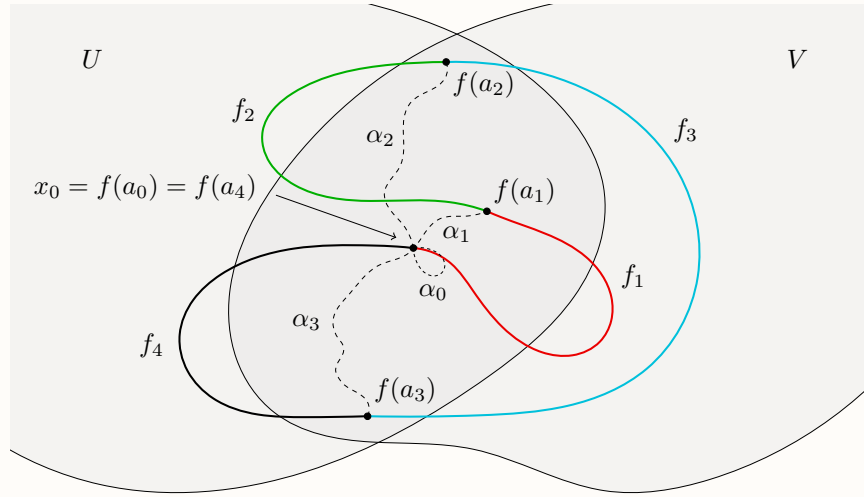


Figure 9.7: Proof of Theorem 59.1

□

**Corollary 6** (59.2). If  $U$  and  $V$  are simply connected, then so is  $X$ .

**Theorem 29** (59.3). For  $n \geq 2$ ,  $S^n$  is simply connected.

**Proof.** Consider  $S^n$  and  $N, S$  the north and south pole. Let  $U = S^n \setminus \{N\}$  and  $V = S^n \setminus \{S\}$ . Then  $U, V \approx \mathbb{R}^n$  and  $U \cap V$  is path connected, which

is easy to prove as it is simply homeo to  $\mathbb{R}^n$  with points removed. Then  $\pi(S^n, x_0)$  is generated by  $i_*(\pi(U, x_0))$  and  $j_*(\pi(V, x_0))$ , which both are trivial. This proof doesn't work for  $S^1$  because then the intersection is not path connected anymore!  $\square$

**Note.** HW6:

- Prove (i) of Proof of Theorem 28 in detail.
- Exercise §59 – #1, #3.

## 9.60 Fundamental groups of some surfaces

**Definition 27.** Given groups  $(G, \cdot)$  and  $(H, *)$ , the **direct product**  $G \times H$  is the set  $\{(g, h) \mid g \in G, h \in H\}$  where  $(g_1, h_1)(g_2, h_2) = (g_1 \cdot g_2, h_1 * h_2)$ .

**Theorem 30 (60.1).**  $\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0)$ .

**Proof.** Let  $p, q$  be projection mappings from  $X \times Y$  to  $X$  and  $Y$ , respectively. With given base points, we have induced homomorphisms  $p_*$  and  $q_*$ .

**Homomorphism** Define a map  $\Phi: \pi_1(X \times Y, (x_0, y_0)) \rightarrow \pi_1(X, x_0) \times \pi_1(Y, y_0)$  by  $\Phi([f]) = (p_*([f]), q_*([f])) = ([p \circ f], [q \circ f])$ . For two loops  $f, g$  in  $X \times Y$  based at  $(x_0, y_0)$ ,

$$\begin{aligned} \Phi([f] * [g]) &= \Phi([f * g]) = (p_*([f * g]), q_*([f * g])) \\ &= (p_*([f]) * p_*([g]), q_*([f]) * q_*([g])) \\ &= (p_*([f]), q_*([f])) \cdot (p_*([g]), q_*([g])) \\ &= \Phi([f]) \cdot \Phi([g]). \end{aligned}$$

Thus  $\Phi$  is a group homomorphism.

**Surjective** Let  $\mathcal{L}(Z, z_0)$  denote the set of all loops in  $Z$  based at  $z_0$ . For  $g \in \mathcal{L}(X, x_0)$  and  $h \in \mathcal{L}(Y, y_0)$ , let  $f \in \mathcal{L}(X \times Y, (x_0, y_0))$  s.t.  $f(s) = (g(s), h(s))$ . Then  $\Phi([f]) = ([p \circ f], [q \circ f]) = ([g], [h])$ .

**Injective** Let  $f \in \mathcal{L}(X \times Y, (x_0, y_0))$  such that  $\Phi([f]) = ([c_{x_0}], [c_{y_0}])$ . Then  $p \circ f \simeq_p^G c_{x_0}$  and  $q \circ f \simeq_p^H c_{y_0}$ . Define a map  $F: I \times I \rightarrow X \times Y$  by  $F(s, t) = (G(s, t), H(s, t))$ . Then,

- $F(s, 0) = (G(s, 0), H(s, 0)) = (p \circ f, q \circ f) = f$
- $F(s, 1) = (G(s, 1), H(s, 1)) = (c_{x_0}, c_{y_0}) = c_{(x_0, y_0)}$
- $F(0, t) = (G(0, t), H(0, t)) = (x_0, y_0)$
- $F(1, t) = (G(1, t), H(1, t)) = (x_0, y_0)$

Thus,  $F$  is a path-homotopy between  $f$  and  $c_{(x_0, y_0)}$ , hence  $[f]$  is the identity element of  $\pi_1(X \times Y, (x_0, y_0))$ .



□

**Example.**  $\pi_1(T^2, x_0) = \pi_1(S^1) \times \pi_1(S^1) = \mathbb{Z}^2$ . We know that  $\pi(S^2, x_0) = 1$ , so the torus and the two sphere are not homeomorphic to each other, they aren't even homotopically equivalent.

**Example.**  $\mathbb{RP}^2 = S^2/\sim$ . Then  $p: S^2 \rightarrow \mathbb{RP}^2$ , which is continuous by definition of the topology on the projective plane. This means that  $(S, p)$  is a covering of the projective plane. The lifting correspondence says that

$$\Phi: \pi(\mathbb{RP}^2, x_0) \rightarrow p^{-1}(x_0) = \{\tilde{x}_0, -\tilde{x}_0\}$$

is a isomorphism. Therefore,  $\pi_1(\mathbb{RP}^2, x_0)$  is a group with 2 elements, so  $\mathbb{Z}_2$ .

This means, there exists loops which we cannot deform to the trivial loop, but when going around twice, they do deform to the trivial loop. E.g. consider the loop  $a$ . This is not homotopic equivalent with the trivial loop, as  $e_1 \neq e_0$ . (Or also you can see it because  $\alpha = \bar{\alpha}$ .) But pasting the loop it twice, we see that is possible. This means that the fundamental group of the projective space is different from all the one we've seen before.

**Example.**  $T^2$  is the torus.  $T^2 \# T^2$  is the connected sum of two tori (Remove small disc of both tori and glue together), in Dutch: 'tweeling zwemband'. This space has yet another fundamental group.

**Example.** Figure eight space: fundamental group is not abelian. Indeed,  $[b * a] \neq [a * b]$ .

**Example.** Tweeling zwemband. The space retracts to the figure 8 situation, which shows that the group of the tweeling zwemband has a nonabelian component.

## Chapter 10

# Separation theorems in the plane

Lecture 17  
Wed, Oct 27

### Review on connectedness

**Definition 28.** A topological space  $X$  is **disconnected** if there are two non-empty open subsets  $U$  and  $V$  (called **separation** of  $X$ ) s.t.  $U \cap V = \emptyset$ ,  $U \cup V = X$ .<sup>a</sup>  $X$  is said to be **connected** if it is not disconnected.<sup>b</sup>

<sup>a</sup>Hence,  $U$  and  $V$  are open and closed.

<sup>b</sup>Iff  $\emptyset, X$  are only sets which are both open and closed.

**Theorem 31 (23.3).** Let  $\{E_\alpha\}_{\alpha \in A}$  be a family of connected subsets of a topological space  $X$  s.t.  $E_\alpha \cap E_\beta \neq \emptyset$  for every  $\alpha, \beta \in A$ . Then,  $\bigcup_{\alpha \in A} E_\alpha$  is connected.

**Proof.** Let  $\bigcup_{\alpha \in A} E_\alpha = A \cup B$  be a separation. For  $x \in A$ ,  $x \in E_{\alpha_0}$  for some  $\alpha_0$ .  $A \cap E_{\alpha_0}$  is a non-empty, open and closed subset of  $E_{\alpha_0}$ . By connectedness of  $E_{\alpha_0}$ ,  $A \cap E_{\alpha_0} = E_{\alpha_0}$ ,  $A \supset E_{\alpha_0}$ . For any  $\beta$ ,  $A \cap E_\beta$  is an open and closed subset of  $E_\beta$ . Note that  $A \cap E_\beta \supset E_{\alpha_0} \cap E_\beta \neq \emptyset$ . Thus,  $A \cap E_\beta = E_\beta$ ,  $A = \bigcup_{\alpha \in A} E_\alpha$ ,  $B = \emptyset$ .  $\square$

**Definition 29.** A **connected component** of  $X$  is a maximal connected subset of  $X$ .<sup>a</sup>

<sup>a</sup> $A$  is a connected component of  $X$  if there is no connected subset of  $X$  which contain  $A$ .

Assume that  $C$  is a connected component of  $X$  and  $U$  is a connected subset. If  $C \cap U \neq \emptyset$ ,  $C \cup U$  is a connected (by Theorem 31) subset which contains  $C$ .  
 $\nmid$ . Thus we have only two possible cases:  $C \cap U = \emptyset$  or  $C \supset U$ . This implies, two connected components are disjoint and  $X$  can be partitioned into a disjoint union of connected components.

**Definition 30.** A space  $X$  is **path-connected** if for every  $x, y \in X$ , there is a path from  $x$  to  $y$ .

**Note.** The existence of a path between two points is an equivalence relation on the points of  $X$ . The equivalence classes of such a relation are called **path-components**.

A path-connected space is connected. Thus a connected component is split into path-components.

**Theorem 32 (25.5).** If a space  $X$  is locally path-connected, then connected components and path-components of  $X$  are the same.

**Proof.** Let  $C$  be a connected component and  $P$  be a path-component s.t.  $C \cap P \neq \emptyset$ . Since  $P$  is connected,  $C \supset P$ . Suppose that  $C \neq P$ . Let  $Q$  be the union of path-components other than  $P$ .  $C = P \sqcup Q$ . Since  $X$  is locally path-connected, each path-component is open. Thus  $P, Q$  are open, hence  $P \sqcup Q$  is a separation of  $C$ .  $\nmid$   $\square$

## 10.61 Jordan separation theorem

**Definition 31.** Let  $A$  be a subspace of a connected space  $X$ . We say  $A$  **separates**  $X$  if  $X - A$  is not connected.  $A$  is an **arc** if  $A \cong [0, 1]$ , that is, there is a continuous map  $\alpha: [0, 1] \rightarrow X$  s.t.  $\alpha$  is injective,  $\alpha(I) = A$ .  $A$  is a **simple closed curve** if  $A \cong S^1$ , i.e. there is a continuous map  $\alpha: [0, 1] \rightarrow X$  s.t.  $\alpha(I) = A$ ,  $\alpha(0) = \alpha(1)$ ,  $\alpha$  is injective on  $(0, 1)$ .

The main content of this section is the proof of the following theorem.

**Theorem 33 (61.3, Jordan separation theorem).** Any simple closed curve in  $S^2$  separates  $S^2$ .

**Lemma 13 (61.1).** Let  $C$  be a compact subspace of  $S^2$ ,  $b \in S^2 - C$ ,  $h: S^2 - \{b\} \rightarrow \mathbb{R}^2$  be a homeomorphism, and  $U$  be a component of  $S^2 - C$ . If  $b \notin U$ , then  $h(U)$  is a bounded component of  $\mathbb{R}^2 - h(C)$ . If  $b \in U$ , then  $h(U - \{b\})$  is an unbounded component of  $\mathbb{R}^2 - h(C)$ .

**Proof.** (i)  $U - \{b\}$  is connected. If  $b \notin U$ ,  $U - \{b\} = U$  is connected. Assume  $b \in U$ . Let  $U - \{b\} = A \sqcup B$  be a separation. Choose an open nbh  $W$  of  $b$  in  $S^2$  so that  $W \cong$  open disk in  $\mathbb{R}^2$ ,  $W \cap C = \emptyset$ . Then  $W - \{b\}$  is connected, hence we may say  $W - \{b\} \subset A$ . Thus  $(A \cup \{b\}) \sqcup B$  is a separation of  $U$ .  $\nmid$

(ii) Let  $\{U_\alpha\}$  be the collection of all components of  $S^2 - C$ .  $S^2 - C$  ( $\cong$  open subset of  $\mathbb{R}^2$ ) is locally connected, hence each  $U_\alpha$  is open in  $S^2 - C$ . By (i),  $\{U_\alpha - \{b\}\}$  is the collection of open, disjoint, and connected subsets. The homeomorphism  $h$  preserves such properties.

Thus  $\{h(U_\alpha - \{b\})\}$  is the collection of all components of  $\mathbb{R}^2 - h(C)$ .

- (iii) If  $b \in U_{\alpha_0}$ , then  $h(U_{\alpha_0} - \{b\})$  is an unbounded component of  $\mathbb{R}^2 - h(C)$ .  $(S^2 - C) - U_{\alpha_0}$  is bounded and closed, hence compact in  $S^2 - C$ .  $h((S^2 - C) - U_{\alpha_0}) = \bigsqcup_{\alpha \neq \alpha_0} h(U_\alpha - \{b\})$ . Each  $h(U_\alpha - \{b\})$  ( $\alpha \neq \alpha_0$ ) is bounded. □

**Lemma 14** (61.2). Let  $a, b \in S^2$ ,  $A$  be a compact space,  $f: A \rightarrow S^2 - \{a, b\}$  be a continuous map. If  $a, b$  are in the same component of  $S^2 - f(A)$ , then  $f$  is null-homotopic.

**Proof.** Note that there is a homeomorphism  $h: S^2 - \{a, b\} \rightarrow \mathbb{R}^2 - \{O\}$ . If  $h \circ f: A \xrightarrow{f} S^2 - \{a, b\} \xrightarrow{h} \mathbb{R}^2 - \{O\}$  is null-homotopic, then  $h \circ f \simeq$  constant map, hence  $f \simeq h^{-1} \circ$  constant map.

Therefore, it's enough to show: For a continuous map  $g: A \rightarrow \mathbb{R}^2 - \{O\}$ , if  $O$  lies in the unbounded component of  $\mathbb{R}^2 - g(A)$ , then  $g$  is null-homotopic. (If  $a, b$  are in the same component of  $S^2 - f(A)$ , then  $O$  is in the unbounded component of  $\mathbb{R}^2 - (h \circ f)(A)$  by Lemma 13.)

Choose a disk  $B$  centered at  $O$  in  $\mathbb{R}^2$  so that  $g(A) \subset B$ . And choose a point  $p \in B$ . Then  $O$  and  $p$  are in the unbounded component of  $\mathbb{R}^2 - g(A)$ .  $\mathbb{R}^2$  is locally path-connected, hence so is the open subset  $\mathbb{R}^2 - g(A)$ . (Thus the components and the path-components of  $\mathbb{R}^2 - g(A)$  are the same.)  $O$  and  $p$  are in the same path-component of  $\mathbb{R}^2 - g(A)$ . So, there is a path  $\alpha$  in  $\mathbb{R}^2 - g(A)$  from  $O$  to  $p$ .

Define a homotopy  $G: A \times I \rightarrow \mathbb{R}^2 - \{O\}$  by  $G(x, t) = g(x) - \alpha(t)$ .  $G(x, t) \neq O$  because of  $g(A) \cap \alpha(I) = \emptyset$ . ( $G(x, 0) = g(x)$ ,  $G(x, 1) = g(x) - p$ ) Also, define a homotopy  $H: A \times I \rightarrow \mathbb{R}^2 - \{O\}$  by  $H(x, t) = tg(x) - p$ . ( $H(x, 0) = -p$ ,  $H(x, 1) = g(x) - p = G(x, 1)$ ) Therefore, by  $G$  and  $H$ ,  $g(x)$  is null-homotopic. □

**Proof** (of Theorem 33).  $S^2 - C$  is open, hence it is locally path-connected,  $\{\text{path-components}\} = \{\text{connected components}\}$ . Suppose that  $S^2 - C$  is path-connected. Let  $C = A_1 \cup A_2$  and  $X = S^2 - \{a, b\}$ . And let  $U = S^2 - A_1$ ,  $V = S^2 - A_2$ ,  $x_0 \in U \cap V$ ,  $i: U \hookrightarrow X$ , and  $j: V \hookrightarrow X$ . (Then,  $X = U \cup V$ ,  $U \cap V = S^2 - (A_1 \cup A_2) = S^2 - C$  (path-connected).) By special van-Kampen theorem,  $i_*(\pi_1(U, x_0))$  and  $j_*(\pi_1(V, x_0))$  generate  $\pi_1(X, x_0)$ .

Claim:  $i_*$  and  $j_*$  is trivial homomorphisms. The claim implies,  $\pi_1(X, x_0)$  should be trivial, but,  $\pi_1(X) \cong \pi_1(\mathbb{R}^2 - \{\text{a point}\}) \cong \mathbb{Z}$  is not trivial.  $\nmid$

Proof of claim: Let  $p: I \rightarrow S^1$  be the loop  $p(t) = e^{2\pi it}$ . Then  $[p]$  generates  $\pi_1(S^1, b_0)$ . For a loop  $f \in \mathcal{L}(U, x_0)$ , Let  $h: S^1 \rightarrow U$  be the loop s.t.  $h \circ p = f$ . Consider the map  $i \circ h: S^1 \xrightarrow{h} U \xrightarrow{i} X = S^2 - \{a, b\}$ .  $i(h(S^1)) = h(S^1) \cap A_1 = \emptyset$ , hence  $a$  and  $b$  are in the same path-component (= conn. comp.) of  $S^2 - i(h(S^1))$ . Applying Lemma 14 to  $i \circ h: S^1 \rightarrow X$ , we know that  $i \circ h$  is null-homotopic. By Lemma 9,  $(i \circ h)_*$  is the trivial

homomorphism. Thus,

$$(i \circ h)_*([p]) = [i \circ h \circ p] = [i \circ f] = i_*([f]) = i_*([e_{x_0}]),$$

hence,  $i_*$  is trivial. Similarly, so is  $j_*$ .  $\square$

**Theorem 34** (61.4, A general separation theorem). If  $A_1$  and  $A_2$  are closed connected subsets of  $S^2$  s.t.  $A_1 \cap A_2 = \{\text{two points}\}$ , then  $A_1 \cap A_2$  separates  $S^2$ .

**Proof.**  $A_1 \cup A_2 \neq S^2$ . Because  $S^2 - \{a, b\}$  is connected.  $(A_1 \cup A_2) - \{a, b\} = (A_1 - \{a, b\}) \sqcup (A_2 - \{a, b\})$  (both are open). Thus  $(A_1 \cup A_2) - \{a, b\}$  is disconnected. The remainder of proof is same with that of Theorem 33.  $\square$

**Note.** HW7: Exercise §61 – #1, #2.

## 10.62 Invariance of domain

**Theorem 35** (62.3). If  $U$  is an open subset of  $\mathbb{R}^n$  and  $f: U \rightarrow S^n$  is continuous and injective, then  $f(U)$  is open in  $S^n$  and the inverse function  $f^{-1}: f(U) \rightarrow U$  is continuous. ( $\therefore U \cong f(U)$  by  $f$ )

In this section, we prove this theorem for  $n = 2$ .

**Lemma 15** (62.1, Homotopy extension lemma). Let  $X$  be a space s.t.  $X \times I$  is normal,  $A$  be a closed subset of  $X$ ,  $Y$  be an open subset of  $\mathbb{R}^n$ , and  $f: A \rightarrow Y$  be a continuous map. If  $f$  is null-homotopic, then  $f$  can be extended to a continuous map  $g: X \rightarrow Y$  that is null-homotopic.

**Proof.** Let  $F: A \times I \rightarrow Y$  be a homotopy between  $f$  and  $c_{y_0}$ . Define  $\bar{F}: (A \times I) \cup (X \times \{1\}) \rightarrow \mathbb{R}^n$  by  $\bar{F}|_{A \times I} = F$  and  $\bar{F}(x, 1) = y_0$ . Applying the Tietze extension theorem  $n$ -times,  $\bar{F}$  can be extended to a continuous map  $G: X \times I \rightarrow \mathbb{R}^n$ . The map  $x \mapsto G(x, 0)$  is an extension of  $f$ , but it may map  $X$  into  $\mathbb{R}^n$ , rather than  $Y$ . So, we need to do something more.

Let  $U = G^{-1}(Y)$ . Then  $U \supset (A \times I) \cup (X \times \{1\})$ . By the Tube lemma (26.8), there is an open subset  $W$  of  $X$  s.t.  $W \times I \subset U$ ,  $A \subset W$ . Apply the Urysohn lemma to  $(X, A, W^c)$ , we have a continuous map  $\phi: X \rightarrow [0, 1]$  s.t.  $\phi(x) = 0 \ \forall x \in A$ ,  $1 \ \forall x \in X - W$ . Then the map  $x \mapsto (x, \phi(x))$  carries  $X$  into  $(W \times I) \cup (X \times \{1\}) \subset U$ .  $g(x) = G(x, \phi(x))$  is a continuous map from  $X$  to  $Y$ . For  $x \in A$ ,  $g(x) = G(x, \phi(x)) = G(x, 0) = f(x)$  (extension of  $f$ ). Define  $H: X \times I \rightarrow Y$  by  $H(x, t) = G(x, (1 - t)\phi(x) + t)$ . Then,

- $H(x, 0) = G(x, \phi(x)) = g(x)$  (extension of  $f$ )
- $H(x, 1) = G(x, 1) = c_{y_0}$

Thus,  $H$  is a homotopy between  $g$  and  $c_{y_0}$ .  $\square$

Lecture 19  
Wed, Nov 3

**Lemma 16** (62.2, Borsuk lemma). Let  $a, b \in S^2$ ,  $A$  be a compact space, and  $f: A \rightarrow S^2 - \{a, b\}$  be a continuous and injective map. If  $f$  is null-homotopic, then  $a$  and  $b$  are in the same component of  $S^2 - f(A)$ .

**Proof.** Because  $A$  is compact,  $S^2 - \{a, b\}$  is Hausdorff, and  $f$  is injective, by Theorem 26.6,  $A \cong f(A)$  by  $f$ . Let  $h: S^2 - \{b\} \rightarrow \mathbb{R}^2$  be a homeomorphism s.t.  $h(a) = O$  ( $\because f^{-1}: f(A) \rightarrow A$  is continuous). By Lemma 14, if  $O$  is in unbounded component of  $\mathbb{R}^2 - h(f(A))$ , then  $a, b$  are in the same component of  $S^2 - f(A)$ .

Consider the map  $A \xrightarrow{h \circ f} h(f(A)) \xrightarrow{j} \mathbb{R}^2 - \{O\}$ . Let  $H: A \times I \rightarrow S^2 - \{a, b\}$  be a homotopy between  $f$  and  $c_{y_0}$  ( $y_0 \neq a, b$ ). Define  $J: h(f(A)) \times I \rightarrow S^2 - \{O\}$  by  $J(z, t) = (h \circ H)((h \circ f)^{-1}(z), t)$ . Then,

- $J(z, 0) = h \circ f \circ (h \circ f)^{-1}(z) = z = j(z)$
- $J(z, 1) = (h \circ c_{y_0})((h \circ f)^{-1}(z)) = h(y_0) = c_{h(y_0)}$

Thus,  $j$  is null-homotopic. Now, it's enough to show: If  $X$  is compact subspace of  $\mathbb{R}^2 - \{O\}$  and  $j: X \hookrightarrow \mathbb{R}^2 - \{O\}$  is null-homotopic, then  $O$  is in the unbounded component of  $\mathbb{R}^2 - X$ .

Let  $C$  be the component of  $\mathbb{R}^2 - X$  containing  $O$ . Suppose  $C$  is bounded. Let  $D$  be the union of the other components ( $\mathbb{R}^2 - X = C \sqcup D$ ).  $\mathbb{R}^2 - X$  is open in  $X$ , and  $C, D$  are open in  $\mathbb{R}^2 - X$ , hence  $C, D$  are open in  $\mathbb{R}^2$ . Thus  $X \cup C$  is closed and normal in  $\mathbb{R}^2$ .

Apply Lemma 15 to  $(X \cup C, X, j: X \hookrightarrow \mathbb{R}^2 - \{O\})$ . Then  $j$  can be extended to a map  $k: X \cup C \rightarrow \mathbb{R}^2 - \{O\}$ . And extend  $k$  to a map  $g: \mathbb{R}^2 \rightarrow \mathbb{R}^2 - \{O\}$  by  $g(x) = x$  for all  $x \in D$ . Let  $B$  be a closed ball in  $\mathbb{R}^2$  centered at  $O$  s.t.  $\text{Int } B \supset X \cup C$ . Note that  $g(x) = x$  for all  $x \in \partial B$ . Define  $g_1: B \rightarrow \partial B$  by  $g_1(x) = (\text{Radius of } B) \times \frac{g(x)}{\|g(x)\|}$ . Then  $g_1$  is a retraction of  $B$  onto  $\partial B$ , which is a contradiction to Theorem 17.  $\square$

**Proof** (of Theorem 35 for  $n = 2$ ). (i) For a closed ball  $B$  in  $\mathbb{R}^2$  containing  $U$ ,  $f(B)$  does not separate  $S^2$ . Let  $a, b \in S^2 - f(B)$ . The identity map  $i: B \rightarrow B$  is null-homotopic ( $i \simeq^H c_{x_0}$ ). Consider the map  $f \circ H: B \times I \xrightarrow{H} B \xrightarrow{f} S^2 - \{a, b\}$ . Then,

- $(f \circ H)(x, 0) = (f \circ i)(x) = f(x)$
- $(f \circ H)(x, 1) = f(x_0)$

for all  $x \in B$ . Thus,  $f|_B: B \rightarrow S^2 - \{a, b\}$  is null-homotopic, hence by Lemma 16,  $a, b$  are in the same component of  $S^2 - f(B)$ .

(ii) If  $B$  is a closed disk of  $\mathbb{R}^2$  s.t.  $B \subset U$ , then  $f(\text{Int } B)$  is open in  $S^2$ . Let  $C = f(\partial B)$ . Since  $f$  is continuous and injective,  $C$  is a simple closed curve on  $S^2$ , hence it separates  $S^2$ . Let  $V$  be the component of  $S^2 - C$  s.t.  $V \supset f(\text{Int } B)$  and  $W$  be the union of the other components. Because  $S^2$  is locally connected,  $V$  and  $W$  are open in  $S^2$ . In fact,  $V = f(\text{Int } B)$  (open). Otherwise, select a point

$a \in V$  s.t.  $a \notin f(\text{Int } B)$  and another point  $b \in W$ . By (i),  $S^2 - f(B)$  is a connected and contains both  $a$  and  $b$ . But  $S^2 - f(B) \subset S^2 - C$ ,  $S^2 - f(B)$  is contained in a component of  $S^2 - C$ . Both  $a$  and  $b$  are also in the component.  $\nmid$

(iii) Since  $U$  is open, for any  $x \in U$ , we can select a closed ball  $B_x$  so that  $B_x \subset U$ . By (ii),  $f(\text{Int } B_x)$  is open in  $S^2$ .  $U = \bigcup_{x \in U} \text{Int } B_x$ .  $f(U) = \bigcup_{x \in U} f(\text{Int } B_x)$  is open.  $\square$

**Note.** HW7: Exercise §62 – #6.

## 10.63 Jordan curve theorem

**Theorem 36** (63.4, Jordan curve theorem). Let  $C$  be a simple closed curve in  $S^2$ . Then,

- (i)  $C$  separates  $S^2$  into precisely two components  $W_1$  and  $W_2$ .
- (ii)  $\partial W_1 = C = \partial W_2$ .

**Theorem 37** (Schöflies theorem).  $\overline{W}_1 \cong B^2 \cong \overline{W}_2$ .

**Theorem 38** (General version). For a subspace  $C$  of  $S^n$ , if  $C \cong S^{n-1}$ , then  $C$  separates  $S^n$  into precisely two components  $W_1, W_2$ , and  $\partial W_1 = C = \partial W_2$ .

**Remark.** For  $S^3$ , the Schöflies theorem is true if  $C$  is a smooth manifold. Otherwise, there exist counterexamples.

**Example.** Alexander's horned sphere which is homeomorphic to  $S^2$  separates  $S^3$  into  $W_1$  and  $W_2$  s.t.  $\overline{W}_1 \cong B^3$  but  $W_2$  is not simply connected.

In this section, we prove Theorem 36.

Lecture 20  
Mon, Nov 8

**Theorem 39** (63.1). Assume that

- $X = U \cup V$  s.t.  $U, V$  are open,  $U \cap V = A \sqcup B$ ,  $A, B$  are open.
- There is a path  $\alpha$  in  $U$  from a point  $a \in A$  to a point  $b \in B$ , and there is a path  $\beta$  in  $V$  from  $b$  to  $a$ .
- $f = \alpha * \beta$

Then,

- (i)  $[f]$  generates an infinite cyclic subgroup of  $\pi_1(X, a)$ .
- (ii) If  $\pi_1(X, a) \cong \mathbb{Z}$ , then  $[f]$  generates  $\pi_1(X, a)$ .
- (iii) If there is a path  $\gamma$  in  $U$  from  $a$  to a point  $a' \in A$ , and there is a path  $\delta$  in  $V$  from  $a'$  to  $a$ , then the subgroups of  $\pi_1(X, a)$  generated by  $[f]$  and  $[\gamma * \delta]$  intersect in the identity element alone.

**Theorem 40** (63.2, Non-separation theorem). A compact contractible subspace  $D$  of  $S^2$  does not separate  $S^2$ .

**Proof.**  $D$  is contractible, that is, there is a homotopy  $H: D \times I \rightarrow D$  between the identity map  $i: D \rightarrow D$  and a constant map  $c_{x_0}: D \rightarrow D$ . For any  $a, b \in S^2 - D$ , the inclusion map  $j: D \hookrightarrow S^2 - \{a, b\}$  is null-homotopic. (Consider the map  $j \circ H: D \times I \rightarrow D \hookrightarrow S^2 - \{a, b\}$ .  $(j \circ H)(x, 0) = (j \circ i)(x) = x$ ,  $(j \circ H)(x, 1) = j(x_0) = x_0$ .) By Lemma 16,  $a$  and  $b$  are in the same component of  $S^2 - D$ .  $\square$

**Corollary 7.** An arc in  $S^2$  does not separate  $S^2$ .

**Theorem 41** (63.3, General non-separation theorem). Let  $D_1, D_2$  be closed subsets of  $S^2$  s.t.  $S^2 - (D_1 \cap D_2)$  is simply connected. If neither  $D_1$  nor  $D_2$  separates  $S^2$ , then  $D = D_1 \cup D_2$  does not.

**Proof.** Since  $S^2$  is locally path-connected, every open subset is also locally path-connected. Thus, for  $S^2 - D_i$ ,  $S^2 - (D_1 \cap D_2)$  and  $S^2 - D$ ,  $\{\text{conn. comps.}\} = \{\text{path-comps.}\}$ . Suppose that  $S^2 - D$  is not connected, equivalently, there are  $a, b \in S^2 - D$  s.t. they are not joined by any path in  $S^2 - D$ . Let  $U = S^2 - D_1$ ,  $V = S^2 - D_2$  and  $X = U \cup V$ . Then  $X = S^2 - (D_1 \cap D_2)$ ,  $U \cap V = S^2 - D$ . Let  $A$  be the path-component of  $U \cap V$  s.t.  $a \in A$ , and  $B$  be the union of the other path-components. Since  $U \cap V$  is locally path-connected, every path-component is open, hence  $A$  and  $B$  are open in  $X$ . Note that  $a$  and  $b$  can be joined by a path in  $U$ , also a path in  $V$ . By Theorem 39.(i),  $\pi_1(X, a)$  is not trivial, which contradicts  $X = S^2 - (D_1 \cap D_2)$  is simply connected.  $\square$

**Proof (of Theorem 36).** (i) WTS:  $S^2 - C$  has precisely two components. Let  $C = C_1 \cup C_2$  s.t.  $C_1 \cap C_2$  is the set of two points  $p, q$ ,  $X =$



$S^2 - \{p, q\}$ ,  $U = S^2 - C_1$ ,  $V = S^2 - C_2$ . Then,  $X = U \cup V$  and  $U \cap V = S^2 - C$ . By the Jordan separation theorem,  $U \cap V$  has at least two components. Let  $A_1, A_2$  be components of  $U \cap V$ , and  $B$  be the union of the others. (They are open, because  $S^2 - C$  is locally connected.) Choose three points  $a \in A_1$ ,  $a' \in A_2$  and  $b \in B$ . By Theorem 40, we know, there are paths  $\alpha$  in  $U$  from  $a$  to  $b$ ,  $\gamma$  in  $U$  from  $a$  to  $a'$ ,  $\beta$  in  $V$  from  $b$  to  $a$ , and  $\delta$  in  $V$  from  $a'$  to  $a$ . Let  $f = \alpha * \beta$ ,  $g = \gamma * \delta$ . Considering  $U \cap V = (A_1 \cup A_2) \sqcup B$ , by Theorem 39.(i), we know,  $[f]$  is a nontrivial element of  $\pi_1(X, a)$ . Similarly,  $U \cap V = A_1 \cup (A_2 \sqcup B)$ ,  $[g]$  is a nontrivial element of  $\pi_1(X, a)$ . Since  $\pi_1(X, a)$  is infinite cyclic,  $[f]^m = [g]^k$  for some  $m, k$ , which contradicts Theorem 39.(iii).

- (ii) WTS:  $\partial W_1 = C = \partial W_2$ . Because  $S^2$  is locally connected, each  $W_i$  is open. Recall the definition of  $\partial W_i = \overline{W_i} \cap (\overline{S^2 - W_i}) = \overline{W_i} \cap (S^2 - W_i) = \overline{W_i} - W_i$ .  $S^2 = W_1 \sqcup C \sqcup W_2$ , hence  $\partial W_i \subset C$ . Now, we will show, if  $x \in C$ , then every nbh  $U$  of  $x$  intersects the closed set  $\overline{W_1} - W_1$ . (Then  $x \in \overline{W_1} - W_1 = \partial W_1$ . Also similarly  $x \in \partial W_2$ .) Take two arcs  $C_1, C_2$  so that  $C = C_1 \cup C_2$ ,  $C_1 \cap C_2 = \{\text{two pts}\}$ ,  $C_1 \subset U$  (use Lebesgue lemma). Let  $\alpha(I) \cap \overline{W_1} - W_1 \neq \emptyset$ . (Otherwise, the connected set  $\alpha(I) \subset W_1 \sqcup S^2 - \overline{W_1}$ , that is,  $\alpha(I)$  is a union of non-empty disjoint open subsets.  $\nmid$ ) Let  $y \in \alpha(I) \cap \overline{W_1} - W_1$ . Then  $y \in \overline{W_1} - W_1 \subset C$ ,  $\alpha(I) \cap C_2 = \emptyset$ . Thus  $y \in C_1 \subset U$ ,  $y \in U \cap (\overline{W_1} - W_1)$ . □

Now, let's prove Theorem 39.

Lecture 21  
Wed, Nov 10

**Proof** (of Theorem 39). (i)

□

**Note.** HW8:

- Prove Theorem 63.5.
- Exercise §63 – #3.

# Review of groups

## 10.67 Direct sum

**Definition 32.** Let  $G$  be an abelian group<sup>a</sup> and  $\{G_\alpha\}_{\alpha \in J}$  be a family of subgroups of  $G$ . We say,  $G$  is a **direct sum** of  $\{G_\alpha\}_{\alpha \in J}$  and we write  $G = \bigoplus_{\alpha \in J} G_\alpha$ <sup>b</sup> if

- $\{G_\alpha\}_{\alpha \in J}$  generates  $G$ , that is, if  $x \in G$ ,  $x = \sum_{\alpha \in J} x_\alpha$  s.t.  $x_\alpha \in G_\alpha$  for all  $\alpha$ , and  $x_\alpha = 0$  for all but finitely many  $\alpha$ .
- $\sum_{\alpha \in J} x_\alpha = \sum_{\alpha \in J} x'_\alpha \Rightarrow x_\alpha = x'_\alpha$  for all  $\alpha \in J$ .

<sup>a</sup>operation:  $+$ , identity:  $0$ , inverse:  $a \leftrightarrow -a$

<sup>b</sup>If  $|J| < \infty$ , then  $G = G_1 \oplus G_2 \oplus \cdots \oplus G_n$ .

**Lemma 17** (67.1, Extension condition). Let  $G$  be an abelian group and  $\{G_\alpha\}$  be a family of subgroups of  $G$ .

- (i)  $G = \bigoplus_{\alpha} G_\alpha$
- (ii) For any abelian  $H$  and a family of homomorphisms  $\{h_\alpha: G_\alpha \rightarrow H\}$ , there exists a homomorphism  $h: G \rightarrow H$  s.t.

$$\begin{array}{ccc} G_\alpha & \hookrightarrow & G \\ & \searrow h_\alpha & \downarrow h \\ & & H \end{array}$$

In fact,  $h$  is unique.

- (iii)  $\{G_\alpha\}$  generates  $G$ .

Then, (i)  $\Rightarrow$  (ii) and (ii) + (iii)  $\Rightarrow$  (i).

**Corollary 8** (67.2). If  $G = G_1 \oplus G_2$ ,  $G_1 = \bigoplus_{\alpha \in J} H_\alpha$ ,  $G_2 = \bigoplus_{\beta \in K} H_\beta$ ,  $J \cap K = \emptyset$ , then  $G = \bigoplus_{r \in J \cup K} H_r$ .

**Corollary 9** (67.3). If  $G = G_1 \oplus G_2$ , then  $G/G_2 \cong G_1$ .

**Definition 33.** Let  $\{G_\alpha\}_{\alpha \in J}$  be a family of abelian groups. An abelian group  $G$  is an **external direct sum** of  $\{G_\alpha\}$  if there is a family of monomorphisms  $\{i_\alpha: G_\alpha \rightarrow G\}$  s.t.  $G = \bigoplus_\alpha i_\alpha(G_\alpha)$ .

# Chapter 11

## Seifert–van Kampen theorem

**Note.** This doesn't follow the book very well.

**Definition 34.** A **free group** on a set  $X$  consists of a group  $F_X$  and a map  $i: X \rightarrow F_X$  such that the following holds: For any group  $G$  and any map  $f: X \rightarrow G$ , there exists a unique morphism of groups  $\phi: F_X \rightarrow G$  such that

$$\begin{array}{ccc} X & \xrightarrow{i} & F_X \\ & \searrow f & \downarrow \exists! \phi \\ & & G \end{array}$$

**Note.** The free group of a set is unique. Suppose  $i: X \rightarrow F_X$  and  $j: X \rightarrow F'_X$  are free groups.

$$\begin{array}{ccc} X & \xrightarrow{i} & F_X \\ & \searrow j & \downarrow \exists \phi \\ & & F'_X \end{array} \quad \begin{array}{ccc} X & \xrightarrow{j} & F'_X \\ & \searrow i & \downarrow \exists \psi \\ & & F_X \end{array}$$

Then

$$\begin{array}{ccc} X & \xrightarrow{i} & F_X \\ & \searrow i & \downarrow \psi \circ \phi \\ & & F_X \end{array}$$

Then by uniqueness,  $\psi \circ \phi$  is  $1_{F_X}$ , and likewise for  $\phi \circ \psi$ .

**Note.** The free group on a set always exists. You can construct it using “irreducible words”.

**Example.** Consider  $X = \{a, b\}$ . An example of a word is  $aaba^{-1}baa^{-1}bbb^{-1}a$ . This is not a irreducible word. The reduced form is  $aaba^{-1}bba = a^2ba^{-1}b^2a$ . Then  $F_X$  is the set of irreducible words.

**Example.** If  $X = \{a\}$ , then  $F_x = \{a^z \mid z \in \mathbb{Z}\} \cong (\mathbb{Z}, +)$ . Exercise: check that  $\mathbb{Z}$  satisfies the universal property.

**Example.** If  $X = \emptyset$ , then  $F_X = 1$ .

**Definition 35.** Let  $G_i$  with  $i \in I$ , be a set of groups. Then the **free product** of these groups denoted by  $*_{i \in I} G_i$  is a group  $G$  together with morphisms  $j_i: G_i \rightarrow G$  such that the following universal property holds: Given any group  $H$  and a collection of morphisms  $f_i: G_i \rightarrow H$ , then there exists a unique morphism  $f: G \rightarrow H$ , such that for all  $i \in I$ , the following diagram commutes:

$$\begin{array}{ccc} G_i & \xrightarrow{j_i} & G \\ & \searrow f_i & \downarrow \exists! f \\ & & H \end{array}$$

**Note.** Again,  $*_{i \in I} G_i$  is unique.

**Example.** Construction is similar to the construction of a free group. Let  $I = \{1, 2\}$  and  $G_1 = G$ ,  $G_2 = H$ . Then  $G * H$ . Elements of  $G * H$  are “words” of the form  $g_1 h_1 g_2 h_2 g_3$ ,  $g_1 h_1 g_2 h_2$ , or  $h_1 g_1 h_2 g_2 h_3 g_3$  or  $h_1 g_1 h_2$ , ... with  $g_j \in G$ ,  $h_j \in H$ .

**Note.**  $G * H$  is always infinite and nonabelian if  $G \neq 1 \neq H$ . Even if  $G, H$  are very small, for example  $\mathbb{Z}_2 * \mathbb{Z}_2 = \{1, t\} * \{1, s\}$ . Then  $ts \neq st$  and the order of  $ts$  is infinite.

**Note.**  $\mathbb{Z} * \mathbb{Z} = F_{a,b}$ . In general:  $F_X = *_{x \in X} \mathbb{Z}$ .

## 11.70 The Seifert–van Kampen theorem

**Theorem 42** (70.1, Seifert–van Kampen theorem). Let  $X = U \cup V$  where  $U, V, U \cap V$  are open and path connected.<sup>a</sup> Let  $x_0 \in U \cap V$ . For any group  $H$  and 2 morphisms  $\Phi_1: \pi(U, x_0) \rightarrow H$  and  $\Phi_2: \pi(V, x_0) \rightarrow H$  such that  $\Phi_1 \circ i_1$  and  $\Phi_2 \circ i_2$ , there exists exactly one  $\Phi: \pi(X, x_0) \rightarrow H$  making the diagram commute

$$\begin{array}{ccccc}
 & & \pi(U, x_0) & & \\
 & \nearrow i_1 & \downarrow j_1 & \searrow \Phi_1 & \\
 \pi(U \cap V, x_0) & \xrightarrow{i} & \pi(x, x_0) & \dashrightarrow \Phi & H \\
 & \searrow i_2 & \uparrow j_2 & \nearrow \Phi_2 & \\
 & & \pi(V, x_0) & &
 \end{array}$$

$i_1, i_2, i, j_1, j_2$  are induced by inclusions.

<sup>a</sup>Note that  $U, V$  should also be path connected!

**Theorem 43** (70.2, Seifert–van Kampen theorem (classical version)). Assume the hypotheses of the Theorem 42. Let  $j: \pi(U, x_0) * \pi(V, x_0) \rightarrow \pi(X, x_0)$  (induced by  $j_1$  and  $j_2$ ). On elements of  $\pi(U, x_0)$  it acts like  $j_1$ , on elements of  $\pi(V, x_0)$  it acts like  $j_2$ .

$$\begin{array}{ccc}
 G_1 & & \\
 \downarrow & \searrow f_1 & \\
 G_1 * G_2 & \dashrightarrow f & H \\
 \uparrow & \nearrow f_2 & \\
 G_2 & &
 \end{array}$$

Then  $j$  is surjective<sup>a</sup> and the kernel of  $j$  is the normal subgroup of  $\pi(U, x_0) * \pi(V, x_0)$  generated by all elements of the form  $i_1(g)^{-1}i_2(g)$ , where  $g \in \pi(U \cap V, x_0)$ .

<sup>a</sup>This is the only place where algebraic topology is used. We've proved this last week. The groups  $U$  and  $V$  generate the whole group. The rest of this theorem follows from the previous theorem.

**Proof.** •  $j$  is surjective. (later)

- Let  $N$  be the normal subgroup generated by  $i_1(g)^{-1}i_2(g)$ . Then we claim that  $N \subset \ker(j)$ . This means we have to show that  $i_1(g)^{-1}i_2(g) \in \ker(j)$ .  $j(i_1(g)) = j_1(i_1(g))$  by definition of  $j$ . Looking at the diagram, we find that  $j_1(i_1(g)) = j_2(i_2(g)) = i(g) = j(i_2(g))$ . Therefore  $j(i_1(g)^{-1}i_2(g)) = 1$ , which proves that elements of the form  $i_1(g)^{-1}i_2(g)$  are in the kernel.

- Since  $N \subset \ker j$ , there is an induced morphism

$$\begin{aligned} k: (\pi_1(U, x_0) * \pi_1((V, x_0)))/N &\longrightarrow \pi_1(X, x_0) \\ qN &\longmapsto j(q). \end{aligned}$$

To prove that  $N = \ker j$ , we have to show that  $k$  is injective. Because this would mean that we've divided out the whole kernel of  $j$ .

Now we're ready to use the previous theorem. Let  $H = (\pi(U) * \pi(V))/N$ . Repeating the diagram:

$$\begin{array}{ccccc}
& & \pi(U, x_0) & & \\
& \nearrow i_1 & \downarrow j_1 & \searrow \Phi_1 & \\
\pi(U \cap V, x_0) & \xrightarrow{i} & \pi(x, x_0) & \xleftarrow[\Phi]{\quad} & H \\
& \searrow i_2 & \uparrow j_2 & \nearrow \Phi_2 & \\
& & \pi(V, x_0) & & 
\end{array}$$

Now, we define  $\Phi_1: \pi(U, x_0) \rightarrow H$  which is given by  $g \mapsto gN$ , and  $\Phi_2: \pi(V, x_0) \rightarrow H$  given by  $g \mapsto gN$ . For the theorem to work, we needed that  $\Phi_1 \circ i_1 = \Phi_2 \circ i_2$ . This is indeed the case: let  $g \in \pi(U \cap V)$ . Then  $\Phi_1(i_1(g)) = i_1(g)N$  and  $\Phi_2(i_2(g)) = i_2(g)N$  and  $i_1(g)N = i_2(g)N$  because  $i_1(g)^{-1}i_2(g) \in N$ .

The conditions of the previous theorem are satisfied, so there exists a  $\Phi$  such that the diagram commutes.

Note that we also have  $k: H \rightarrow \pi(X)$ . We claim that  $\Phi \circ k = 1_H$ , which would mean that  $k$  is injective, concluding the proof. It's enough to prove that  $\Phi \circ k(gN) = gN$  for all  $g \in \pi(U)$  and  $\forall g \in \pi(V)$ , as these  $g$ 's generate the product of the groups. If a map is the identity on the generators, it is the identity on the whole group.

Let  $g \in \pi(U)$ . Then  $(\Phi \circ k)(gN) = \Phi(k(gN)) = \Phi(j(g))$ , per definition of  $k$ . On elements of  $\pi(U)$ ,  $j \equiv j_1$ , so  $\Phi(j(g)) = \Phi(j_1(g)) = \Phi_1(g)$  by looking at the diagram, and per definition of  $\Phi_1$ , we find that  $\Phi(g) = gN$ . So we've proven that  $(\Phi \circ k)(gN) = gN$ . This means that  $N$  is the kernel, so we've proved that  $k$  is an isomorphism.

1

**Corollary 10.** Suppose  $U \cap V$  is simply connected, so  $\pi_1(U \cap V, x_0)$  is the trivial group. In this case  $N = \ker j = 1$ , hence  $\pi(U, x_0) * \pi(V, x_0) \rightarrow \pi(X, x_0)$  is an isomorphism.

**Corollary 11.** Suppose  $U$  is simply connected. Then  $\pi(X, x_0) \cong \pi(V, x_0)/N$  where  $N$  is the normal subgroup generated by the image of  $i_2: \pi(U \cap V) \rightarrow \pi(V, x_0)$ .

## Chapter 13

# Classification of covering spaces

### 13.79 Equivalence of covering spaces

**Definition 36.** Let  $(E, p)$  and  $(E', p')$  be two coverings of a space  $B$ . An *equivalence* between  $(E, p)$  and  $(E', p')$  is a homeomorphism  $h: E \rightarrow E'$  such that

$$\begin{array}{ccc} E & \xrightarrow{h} & E' \\ & \searrow p & \swarrow p' \\ & B & \end{array}$$

is commutative.  $p' \circ h = p$ .

**Lemma 18** (79.1, General lifting lemma). Let  $p: E \rightarrow B$  be a covering,  $Y$  a space. Assume  $B, E, Y$  are path connected, and locally path connected.<sup>a</sup> Let  $f: Y \rightarrow B$ ,  $y_0 \in Y$ ,  $b_0 = f(y_0)$ . Let  $e_0 \in E$  such that  $p(e_0) = b_0$ . Then  $\exists \tilde{f}: Y \rightarrow E$  with  $\tilde{f}(y_0) = e_0$  and  $p \circ \tilde{f} = f$

$$\begin{array}{ccc} & & (E, e_0) \\ & \nearrow \tilde{f} & \downarrow p \\ (Y, y_0) & \xrightarrow{f} & (B, b_0) \end{array}$$

iff  $f_*(\pi(Y, y_0)) \subset p_*\pi(E, e_0)$ . If  $\tilde{f}$  exists then it is unique.

<sup>a</sup>From now on, all spaces are locally path connected: Every neighborhood contains an open that is path connected.

**Proof.** Suppose  $\tilde{f}$  exists. Then  $p \circ \tilde{f} = f$ , so  $(p \circ \tilde{f})_*\pi(Y, y_0) = \pi(Y, y_0)$ . The left hand side is of course  $p_*(\tilde{f}_*(\pi(Y, y_0))) \subset p_*(\pi(E, e_0))$ , so  $p_*(\pi(E, e_0)) \subset f_*(\pi(Y, y_0))$ .



Conversely, we'll show the uniqueness first. Suppose  $\tilde{f}$  exists.  
 $p \circ (\tilde{f} \circ \alpha) = f \circ \alpha$ , so  $\tilde{f} \circ \alpha$  is the unique lift of  $f \circ \alpha$  starting at  $e_0$ .  
Hence  $\tilde{f}(y)$  the endpoint of the unique lift of  $f \circ \alpha$  to  $E$  starting at  $e_0$ .  
This also shows how you can define  $\tilde{f}$ : choose a path  $\alpha$  from  $y_0$  to  $y$ .  
Lift  $f \circ \alpha$  to a path starting at  $e_0$ . Define  $\tilde{f}(y)$  = the end point of this lift.  
Is this well defined? Is  $\tilde{f}$  continuous?

**Well defined** As  $[\alpha] * [\bar{\beta}] \in \pi(Y, y_0)$ ,

$$f_*([\alpha] * [\bar{\beta}]) = ([f \circ \alpha] * [f \circ \bar{\beta}]) \in f_*(\pi_1(Y, y_0))$$

which is by assumption a subgroup of  $p_*(\pi(E, e_0)) = H$ .

And now, by Lemma ??, a loop in the base space lifts to a loop in  $E$  if the loop is in  $H$ . This lift is of course just  $\gamma * \delta$ , so the end points in the drawing should be connected! this means that  $\bar{\delta}$  is the lift of  $f \circ \beta$  starting at  $e_0$ , so the endpoint of the lift of  $f \circ \beta$  is the endpoint of the lift of  $f \circ \alpha$ . Therefore  $\tilde{f}(y)$  is well defined.

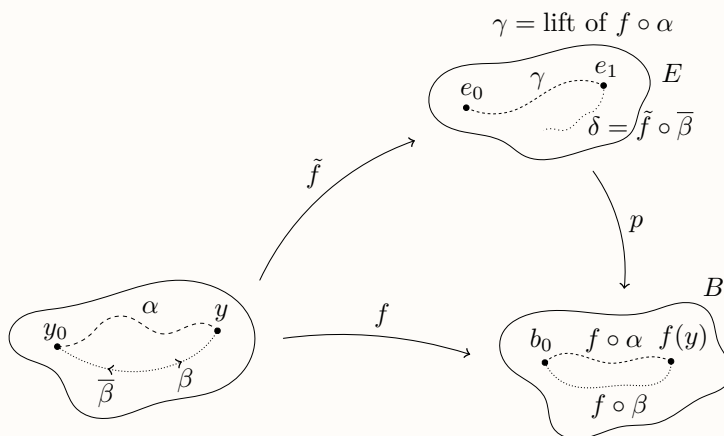


Figure 13.1: well defined general lifting lemma

**Continuity** We prove that  $\tilde{f}$  is continuous.

- Choose a neighborhood of  $\tilde{f}(y_1)$ , say  $N$ .
- Take  $U$ , a path connected open neighborhood of  $f(y_1)$  which is evenly covered, such that the slice  $p^{-1}(U)$  containing  $\tilde{f}(y_1)$  is completely contained in  $N$ .

Can we do this? The inverse image of  $U$  is a pile of pancakes. One of these pancakes contains  $\tilde{f}(y_1)$ . Then, because  $N$  is a neighborhood of  $\tilde{f}(y_1)$ , we can shrink the pancake such that it is contained in  $N$ .

- Choose a path connected open which contains  $y_1$  such that  $f(W) \subset U$ . We can do this because of continuity of  $f$ .

- Take  $y \in W$ . Take a path  $\beta$  in  $W$  from  $y_1$  to  $y$ . (Here we use that  $W$  is path connected.) Now consider  $p|_V$  and defined Then  $\alpha * \beta$  is path fro  $y_0$  to  $y$ ,  $f \circ (\alpha * \beta) = (f \circ \alpha) * (f \circ \beta)$ . Then  $\tilde{f} \circ \alpha * (p^{-1}|_V \circ f \circ \beta)$  is the lift of  $f \circ (\alpha * \beta)$  starting at  $y_0$ . So by definition of  $\tilde{f}$ , we have that  $\tilde{f}(y)$  is the endpoint of that lift, which belongs to  $V \subset N$ . This means that  $\tilde{f}(W) \subset N$ , which proves continuity.

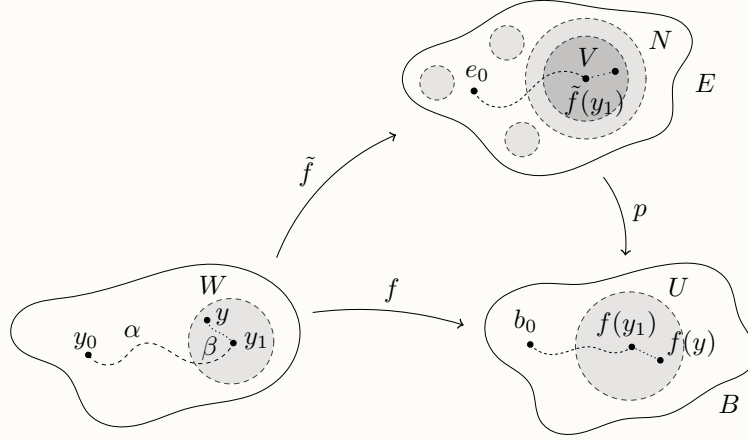


Figure 13.2: Proof of the continuity of the general lifting lemma

□

**Example.** Take  $Y = [0, 1]$ . Then  $f$  is a path, then we showed that every map can be lifted. And indeed, the condition holds:  $f_*(\pi(Y, y_0)) = 1$ , the trivial group, which is a subgroup of all groups.

**Lemma 19** (General lifting lemma, short statement). Short statement:

$$\begin{array}{ccc} & (E, e_0) & \\ \tilde{f} \nearrow & \downarrow p & \\ (Y, y_0) & \xrightarrow{f} & (B, b_0) \end{array}$$

$$\exists! \tilde{f} \iff f_*(\pi(Y, y_0)) \subset p_*\pi(E, e_0).$$

**Theorem 44** (79.2). Let  $p: (E, e_0) \rightarrow (B, b_0)$  and  $p': (E', e'_0) \rightarrow (B, b_0)$  be coverings, and  $H_0 = p_*\pi(E, e_0)$  and  $H'_0 = p'_*\pi(E', e'_0) \leq \pi(B, b_0)$ . Then there exists an equivalence  $h: (E, p) \rightarrow (E', p')$  with  $h(e_0) = e'_0$  iff  $H_0 = H'_0$ . Not isomorphic, but really the same as a subgroup of  $\pi(B, b_0)$ . In that case,  $h$  is unique.

**Proof.**  $\Rightarrow$  Suppose  $h$  exists. Then

$$\begin{array}{ccc} (E, e_0) & \xrightarrow{h} & (E', e'_0) \\ & \searrow p & \downarrow p' \\ & & (B, b_0) \end{array} \quad .$$

Therefore  $p_*\pi(E, e_0) = p'_*(h_*\pi(E, e_0))$ . Since  $h$  is a homeomorphism, it induces an isomorphism, so  $p'_*(h_*\pi(E, e_0)) = p'_*(\pi(E', e'_0))$ .

$\Leftarrow$

$$\begin{array}{ccc} & & (E', e'_0) \\ & \nearrow k & \downarrow p' \\ (E, e_0) & \xrightarrow{p} & (B, b_0) \end{array} \quad .$$

By the previous lemma, there exists a unique  $k$  iff  $p_*\pi(E, e_0) \subset p'_*\pi(E', e'_0)$  or equivalently  $H_0 \subset H'_0$ , which is the case. Reversing the roles, we get

$$\begin{array}{ccc} & & (E, e_0) \\ & \nearrow l & \downarrow p \\ (E', e'_0) & \xrightarrow{p'} & (B, b_0) \end{array}$$

for the same reasoning,  $l$  exists. Now, composing the diagrams

$$\begin{array}{ccc} & & (E, e_0) \\ & \nearrow l \circ k & \downarrow p \\ (E, e_0) & \xrightarrow{p} & (B, b_0) \end{array} \quad \begin{array}{ccc} & & (E', e'_0) \\ & \nearrow k \circ l & \downarrow p' \\ (E', e'_0) & \xrightarrow{p'} & (B, b_0) \end{array} \quad .$$

But placing the identity in place of  $l \circ k$  or  $k \circ l$ , this diagram also commutes! By unicity, we have that  $l \circ k = 1_E$  and  $k \circ l = 1_{E'}$ . Therefore,  $k$  and  $l$  are homeomorphism  $k(e_0) = e'_0$ .

Uniqueness is trivial, because of the general lifting theorem.  $\square$

Note that this doesn't answer the question 'is there a equivalence between two coverings', it only answers the question 'is there an equivalence between two coverings mapping  $e_0 \rightarrow e'_0$ '. So now, we seek to understand the dependence of  $H_0$  on the base point.

**Lemma 20 (79.3).** Let  $(E, p)$  be a covering of  $B$ . Let  $e_0, e_1 \in p^{-1}(b_0)$ . Let  $H_0 = p_*\pi(E, e_0)$ ,  $H_1 = p_*\pi(E, e_1)$ .

- Let  $\gamma$  be a path from  $e_0$  to  $e_1$  and let  $p \circ \gamma = \alpha$  be the induced loop at  $b_0$ . Then  $H_0 = [\alpha] * H_1 * [\alpha]^{-1}$ , so  $H_0$  and  $H_1$  are conjugate inside  $\pi(B, b_0)$ .
- Let  $H$  be a subgroup of  $\pi(B, b_0)$  which is conjugate to  $H_0$ , then there is a point  $e \in p^{-1}(b_0)$  such that  $H = p_*\pi(E, e)$ .

So a covering space induces a conjugacy class of a subgroup of  $\pi(B, b_0)$ .

**Proof.** • Let  $[h] \in H_1$ , so this means that  $h = p \circ \tilde{h}$ , where  $\tilde{h}$  is a loop based at  $e_1$ . Then  $(\gamma * \tilde{h}) * \bar{\gamma}$  is a loop based at  $e_0$ . This means that the path class  $[p((\gamma * \tilde{h}) * \bar{\gamma})] \in H_0$ . This means that  $[p \circ \gamma] * [h] * [p \circ \bar{\gamma}] \in H_0$ , or  $[\alpha] * [h] * [\alpha]^{-1} \in H_0$ . So we showed that if we take any element of  $H_1$  and we conjugate it with  $\alpha$ , we end up in  $H_0$ , so  $[\alpha] * H_1 * [\alpha]^{-1} \subset H_0$ .

For the other inclusion, consider  $\bar{\gamma}$  going from  $e_1 \rightarrow e_0$ . The same argument shows that  $[\alpha]^{-1} * H_0 * [\alpha] \subset H_1$ , or  $H_0 \subset [\alpha] * H_1 * [\alpha]^{-1}$ . This proves that  $H_0 = [\alpha] * H_1 * [\alpha]^{-1}$ .

- Take  $H = [\beta] * H_0 * [\beta]^{-1}$  for some  $[\beta] \in \pi(B, b_0)$ . So  $H_0 = [\beta]^{-1} * H * [\beta]$ . Take  $\alpha = \bar{\beta}$ . Then  $H_0 = [\alpha] * H * [\alpha]^{-1}$ , where  $\alpha, \beta$  are loops based at  $b_0$ . Let  $\gamma$  be the unique lift of  $\alpha$  starting at  $e_0$ . Take  $e = \gamma(1)$ , the end point of  $\gamma$ . (So  $p(e) = b_0$ ) From the first bullet point, it follows that  $p_*\pi(E, e_0) = H'$  satisfies  $H_0 = [\alpha] * H' * [\alpha]^{-1}$ . So we have both  $H_0 = [\alpha] * H * [\alpha]^{-1} = H_0 = [\alpha] * H' * [\alpha]^{-1}$ . This implies that  $H' = H$ .

□

This completely answers the question: when are two covering spaces equivalent?

**Theorem 45 (79.4).** Let  $(E, p)$  and  $(E', p')$  be two coverings,  $e_0 \in E$ ,  $e'_0 \in E'$  with  $p(e_0) = p'(e'_0) = b_0$ . Let  $H_0 = p_*\pi(E, e_0)$ ,  $H'_0 = p'_*\pi(E', e'_0)$ . Then  $(E, p)$  and  $(E', p')$  are equivalent iff  $H_0$  and  $H'_0$  are conjugate inside  $\pi(B, b_0)$ .

Question: can we reach every possible subgroup? Answer: yes, in some conditions.

## 13.80 The universal covering space

**Definition 37.** Let  $B$  be a path connected and locally path connected space. A covering space  $(E, p)$  of  $B$  is called a **universal covering space** if  $E$  is simply connected, so  $\pi(E, e_0) = 1$ .

**Remark.** Any two universal coverings are equivalent. Even more, we can choose any base point we want.

$$\begin{array}{ccc} (E, e_0) & \xrightarrow{h(e_0)=e'_0} & (E', e'_0) \\ & \searrow p & \downarrow p' \\ & & (B, b_0) \end{array}$$

$h$  exists because the groups of  $(E, e_0)$  and  $(E', e'_0)$  are trivial.

**Lemma 21 (80.2).** Suppose

$$\begin{array}{ccc} X & & \\ \downarrow p & \searrow q & \\ & Y & \\ & \swarrow r & \\ Z & & \end{array}$$

If  $p$  and  $r$  are covering maps, then also  $q$  is a covering map. (Also: if  $q$  and  $p$  are covering maps, then so is  $r$ . Not the case for  $q, r \Rightarrow p$ !)

**Proof.** •  $q$  is a surjective map. Choose a base point in  $x_0$ , and call  $y_0 = q(x_0)$ ,  $z_0 = r(y_0)$ . Certainly,  $y_0$  lies in the image of  $q$ . Now, take  $y \in Y$ , and choose a path  $\tilde{\alpha}$  from  $y_0$  to  $y$ . Now, denote by  $\alpha$  the projection of  $\tilde{\alpha}$ , a path from  $z_0$  to  $r(y)$ . Let  $\tilde{\alpha}$  be the unique lift of  $\alpha$  to  $X$  starting at  $x_0$ . This is defined as we assume that  $p$  is a covering map. Then  $q \circ \tilde{\alpha}$  is a path starting at  $q(\tilde{\alpha}(0)) = q(x_0) = y_0$ . Moreover,  $q \circ \tilde{\alpha}$  is a lift of  $\alpha = r \circ \tilde{\alpha}$  to  $Y$ . Indeed consider the projection,  $r \circ q \circ \tilde{\alpha} = p \circ \tilde{\alpha} = \alpha$ . Of course,  $\tilde{\alpha}$  is also a lift from  $\alpha$  starting at  $y_0$ . Since  $r$  is assumed to be a covering, and lifts of paths are unique, we get that  $q \circ \tilde{\alpha} = \tilde{\alpha}$ , so the end points are the same:  $q(\tilde{\alpha}(1)) = \tilde{\alpha}(1) = y$ , so  $y$  lies in the image of  $q$ .

The only fact we've used is that  $q$  is a continuous map, so that  $q \circ \tilde{\alpha}$  is again a path.

- Now we show that every point of  $y$  has a neighborhood that is evenly covered. Choose  $y \in Y$  and project it down to  $Z$ .  $r(y)$  has a neighbourhood  $U$  that is evenly covered by  $p$ , and also by  $r$ . Now we can shrink it so that is evenly covered by both covering maps. We can also choose it to be path connected.

So  $p^{-1}(U) = \bigcup_{\alpha \in I} U_\alpha$ , and  $r^{-1}(U) = \bigcup_{\beta \in J} V_\beta$ . Let  $V$  be the slice containing  $Y$ . Then we claim that  $V$  will be evenly covered by  $U$ .

Consider a  $U_\alpha$ . Then  $q(U_\alpha)$  is connected and contained in  $\bigcup_{\beta \in J} V_\beta$ , but all these  $V_\beta$  are disjoint, so there is exactly one  $V_\beta$  such that  $q(U_\alpha) \subset V_\beta$ .

Now, let  $I' = \{\alpha \mid q(U_\alpha) \subset V\}$ . For any  $\alpha \in I'$ , we have the diagram

$$\begin{array}{ccc}
 U_\alpha & & \\
 \downarrow p & \searrow q & \\
 & & V \\
 & \swarrow r & \\
 U & & 
 \end{array}$$

As  $r$  and  $p$  is a homeomorphism,  $q$  is also a homeomorphism. Hence  $q^{-1}(V) = \bigcup_{\alpha \in I'} U_\alpha$ , and  $q|_{U_\alpha}: U_\alpha \rightarrow V$  is a homeomorphism.

This means that  $q$  is a covering projection.  $\square$

Why is this useful? Because now we can say why the universal covering space is a universal covering space.

**Theorem 46** (80.3). Let  $(E, p)$  be a universal covering of  $B$ . Let  $(X, r)$  be a another covering of  $B$ . Then there exists a map  $q: E \rightarrow X$  such that  $r \circ q = p$  and  $q$  is a covering map.

$$\begin{array}{ccc}
 E & & \\
 \downarrow p & \searrow q & \\
 & & X \\
 & \swarrow r & \\
 B & & 
 \end{array}$$

Every covering space is itself covered by the universal covering space, if it exists.

**Proof.** Drawing the diagram differently,

$$\begin{array}{ccc}
 & & X \\
 & \nearrow q & \downarrow r \\
 E & \xrightarrow{p} & B
 \end{array}$$

Choose  $e_0, x_0$  mapped to  $b_0 \in B$ . Then  $\pi(E, e_0) = 1 \subset r_*\pi(X, x_0)$ . Then there exists a map  $q$  by the general lifting lemma. So  $q$  makes the diagram commutative. By the previous result,  $q$  is a covering map.  $\square$

## 13.81 Covering transformations

**Definition 38.** Let  $(E, p)$  be a covering of  $B$ . We define

$$C(E, p, B) = \{h: E \rightarrow E \mid h \text{ is an equivalence of covering spaces}\}.$$

Elements of this set are homeomorphism  $h$  such that  $p \circ h = p$ . The composition of two such elements is again such an elements, same for inverse. This means that  $C$  is a group, the **group of covering transformations**, also called Deck-transformations.

**Example.** Consider the covering space  $\mathbb{R} \rightarrow S^1$  defined by  $t \mapsto e^{2\pi i t}$ . For

any  $z \in \mathbb{Z}$ , there is a map  $h_z: \mathbb{R} \rightarrow \mathbb{R}$  given by  $r \mapsto r + z$ , which is a covering transformation. Indeed  $e^{2\pi i t} = e^{2\pi i(t+z)}$ . Claim: these are the only covering transformations. Conclusion:  $C(\mathbb{R}, p, S^1) = (\mathbb{Z}, +)$ .

**Proof.** Suppose  $h: \mathbb{R} \rightarrow \mathbb{R}$  is another covering transformation. We certainly have  $h(0) = z$  for some  $z \in \mathbb{Z}$ . Therefore,  $h(0) = h_z(0)$ , from this follows immediately that  $h \equiv h_z$ .

Why? ‘If two covering transformations agree in one point, they agree everywhere.’ Indeed,  $h_1, h_2 \in C(E, p, B)$  and  $h_1(e) = h_2(e) \Rightarrow h_1 \equiv h_2$ , because

$$\begin{array}{ccc} & & E \\ & \nearrow h_1 \text{ and } h_2 & \downarrow \\ E & \xrightarrow{p} & B \end{array}$$

and,  $h_1$  and  $h_2$  are both lifts of  $p$  and there is a unique lift when fixing the base point, so  $h_1$  and  $h_2$  agree.  $\diamond$

Goal: what is the structure of the group  $C(E, p, B)$  in terms of fundamental groups? Let  $(E, p)$  be a covering of  $B$ .  $p(e_0) = b_0$ ,  $H_0 = p_*\pi(E, e_0)$ . Remember:

$$\begin{aligned} \Phi: \pi(B, b_0)/H_0 &\longrightarrow p^{-1}(b_0) \\ H_0 * [\alpha] &\longmapsto \tilde{\alpha}(t) \end{aligned}$$

is a bijection, where  $\tilde{\alpha}$  is the unique lift of  $\alpha$  starting at  $e_0$ .

Now, consider  $\psi: C(E, p, B) \rightarrow p^{-1}(b_0)$  given by  $h \mapsto h(e_0)$ .  $\psi$  is injective. Reason: same as before, if they agree on one point, these are the same. In general  $\psi$  will not be surjective.

**Lemma 22 (81.1).**  $\text{Im } \Phi(N_{\pi(B, b_0)}(H_0)/H_0)$ , where

$$N_{\pi(B, b_0)}(H_0) = \{[\alpha] \in \pi(B, b_0) \mid [\alpha] * H_0 * [\alpha]^{-1} = H_0\},$$

which is the largest subgroup of  $\pi(B, b_0)$  in which  $H_0$  is normal.

**Proof.** Consider  $H_0 * [\alpha]$ .<sup>a</sup> Then  $\Phi(H_0 * [\alpha]) = \tilde{\alpha}(1)$ , where  $\tilde{\alpha}$  is the lift of  $\alpha$  starting at  $e_0$ . Let's denote  $\tilde{\alpha}(1) = e_1$ . Question: which of these elements lie in the image of  $\psi$ .

$e_1$  lies in the image of  $\psi$  iff there exists a covering transformation  $h$  sending  $e_0$  to  $e_1$ , which is equivalent to  $H_0 = H_1 = p_*\pi(E, e_1)$ . On the other hand, we also know that  $H_0 = [\alpha] * H_1 * [\alpha]^{-1}$ . Conclusion:  $e_1$  lies in the image of  $\psi$  iff  $H_0 = [\alpha] * H_0 * [\alpha]^{-1}$ , iff  $[\alpha] \in N_{\pi(B, b_0)}(H_0)$ .  $\square$

<sup>a</sup>In the book, they use  $[\alpha] * H_0$ . This is not wrong, as for elements in the normalizer, left and right cosets are the same, so writing  $[\alpha] * H_0$  is allowed. But in general, we write  $H_0 * [\alpha]$ .

This means we have the following situation:

$$\begin{aligned}
C(E, p, B) &\xrightarrow{\psi} \text{Im } \psi \subset p^{-1}(b_0) \xrightarrow{\Psi^{-1}} \frac{N_{\pi(B, b_0)}(H_0)}{H_0} \\
h &\longmapsto h(e_0) = e_1 \longmapsto H_0 * [\alpha], \alpha = p \circ \gamma
\end{aligned}$$

**Theorem 47 (81.2).** The map  $\Phi^{-1} \circ \psi: C(E, p, B) \rightarrow N_{\pi(B, b_0)}(H_0)/H_0$  is an isomorphism of groups.

**Proof.** Let  $h, k \in C(E, p, B)$  with  $h(e_0) = e_1$  and  $k(e_0) = e_2$ . Then

$$\begin{aligned}
(\Phi^{-1} \circ \psi)(h) &= H_0 * [\alpha] \quad \alpha = p \circ \gamma \\
(\Phi^{-1} \circ \psi)(k) &= H_0 * [\beta] \quad \beta = p \circ \delta.
\end{aligned}$$

Then call  $h(k(e_0)) = h(e_2) = e_3$ . Claim:  $(h \circ \delta)(0) = h(e_1) = e_1$  and  $(h \circ \delta)(1) = h(e_2) = e_3$ . Then  $\varepsilon := \gamma * (h \circ \delta)$  is a path from  $e_0$  to  $e_3$ . This implies that  $(\Phi^{-1} \circ \psi)(h \circ k) = H_0 * [p \circ \varepsilon]$ . Then  $p \circ \varepsilon = (p \circ \gamma) * (p \circ h \circ \delta) = \alpha * (p \circ \delta) = \alpha * \beta$ . This means that  $(\Phi^{-1} \circ \psi)(h \circ k) = H_0 * [\alpha * \beta]$ .

This shows that this is indeed a morphism.  $\square$

What can we do with this? Some covering spaces are nice: e.g. when the normalizer is the entire group. (Which is the case when Abelian)

**Definition 39.** A covering space  $(E, p)$  of  $B$  is called **regular** if  $H_0$  is normal in  $\pi(B, b_0)$ , where  $b_0 \in B$ ,  $p(e_0) = b_0$ ,  $H_0 = p_*\pi(E, e_0)$ .

This is the case iff for every  $e_1, e_2 \in p^{-1}(b_0)$ , there exists an  $h \in C(E, p, B)$  such that  $h(e_1) = e_2$ .

$$\begin{array}{ccc}
(E, e_1) & \xrightarrow{h} & (E, e_2) \\
& \searrow p & \downarrow p \\
& & B
\end{array}$$

This  $h$  exists iff  $H_1 = H_2$ .  $H_1 = p_*\pi(E, e_1)$  and  $H_2 = p_*\pi(E, e_2)$ . If  $H_0$  is normal, then  $H_1$  and  $H_2$  are the same as  $H_0$ , because they are conjugate to  $H_0$ . So for  $H_1, H_2$  the  $h$  exists. Conversely: exercise.

In this case,  $(\Phi^{-1} \circ \psi): C(E, p, B) \rightarrow \pi(B, b_0)/H_0$  is an isomorphism. Special case: Let  $(E, p)$  be the universal covering space, so  $\pi(E, e_0) = 1$ , or  $H_0 = 1$ . In this case,  $\Phi^{-1} \circ \psi: C(E, p, B) \rightarrow \pi(B, b_0)$  is an isomorphism.

**Example.**  $C(\mathbb{R}, p, S^1) \cong \mathbb{Z} \cong \pi(S^1, b_0)$ .



## Chapter 14

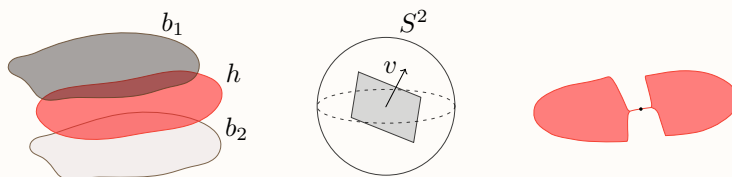
# Singular homology

**Theorem 48** (Ham sandwich theorem). Suppose you give me two pieces of bread and 1 slice of ham. Then it is possible to divide both the pieces of bread and the slice of ham in equal pieces by 1 straight cut of knife.

**Proof.** Consider for each  $v \in S^2$  a plane  $P_v \subset \mathbb{R}^3$ .  $P_v \perp v$  and  $P_v$  cuts the slice of ham exactly in two. We defined the *upper side* of the plane to be the half to which  $v$  is pointing to.

If you have some weird ham which you can cut in multiple places in half, then you take the middle of the line segment. This makes it unique.

Note that  $P_v = P_{-v}$ .



Now, consider

$$f: S^2 \rightarrow \mathbb{R}^2 \text{ given by } v \mapsto (f_1(v), f_2(v)).$$

Then  $f_1(v)$  is the volume of bread  $b_1$  above  $P_v$ . Then  $f_2(v)$  is the volume of bread  $b_2$  above  $P_v$ .

Now, you should believe that  $f_1$  and  $f_2$  are continuous. (Proving this precisely needs measure theory etc.) So, now, we can use the Borsak Ulam theorem. So there exists a  $v \in S^2$  such that  $f(v) = f(-v)$ . So  $f_1(v) = f_1(-v)$ , so volume of bread  $b_1$  above  $P_v$  is the volume of bread  $b_1$  below  $P_v$ , and similar for  $f_2$ . This proves the Ham sandwich theorem.  $\square$