# Topology 2

Notes taken by Junwoo Yang

Based on lectures by Youngsik Huh in fall  $2021\,$ 

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# Introduction

### 0.1 Topological spaces

**Definition 1.** A *topological space* is  $(X, \mathcal{T})$  where X is a set and  $\mathcal{T}$  a family of subsets of X, called open sets, such that

- ∅, X ∈ T
- $\bigcup_{i \in I} U_i \in \mathcal{T}$  whenever  $U_i \in \mathcal{T}$  for all i
- $\bigcap_{i < n} U_i \in \mathcal{T}$  whenever  $U_i \in \mathcal{T}$  for all i.

Let  $(X, \sigma)$  be a topological space.

**Definition 2.** An open subset that contains  $p \in X$  is called a *(open)* neighborhood of p.

**Definition 3.** If  $Y \subset X$  then  $(Y, \mathcal{T}_Y)$  is a topological space, where

$$\mathcal{T}_Y = \{ U \cap Y \mid U \in \mathcal{T} \}.$$

We call  $\mathcal{T}_Y$  the *subspace topology*.

**Example.** Endowing  $\mathbb{R}^2$  with the Euclidean topology, the subspace topology on  $\mathbb{R} \times \{0\} \subset \mathbb{R}^2$  is also Euclidean topology.

**Definition 4.** An *equivalence relation* is a relation  $x \sim y$  so that  $x \sim x$ ; if  $x \sim y$  then  $y \sim x$ ; and if  $x \sim y$  and  $y \sim z$ , then  $x \sim z$ . Given an equivalence relation defined on X,  $X/_{\sim}$  is the set of *equivalence classes*.

**Definition 5.** Let  $\sim$  be an equivalence relation on X. Consider a surjective map  $\pi\colon X\to X/_{\sim}$  given by  $x\mapsto [x]$ . Then  $X/_{\sim}$  equipped with *quotient topology* is a topological space, where the open sets are the subsets  $U\subset X/_{\sim}$  such that  $\pi^{-1}(U)$  is open in X.

**Example.** Let X be the closed unit ball,  $\{(x,y): x^2+y^2 \leq 1\}$ , in  $\mathbb{R}^2$  and  $X^*$  be the partition of X consisting of all the one-point sets  $\{(x,y)\}$  for which  $x^2+y^2<1$ , along with the set  $S^1=\{(x,y): x^2+y^2=1\}$ . Then  $X^*$  is homeomorphic to  $S^2(r)$ .

**Definition 6.** A function  $f: X_1 \to X_2$  is **continuous** if  $f^{-1}(U)$  is open in  $X_1$  for every open set  $U \subset X_2$ .

**Definition 7.** A topological space X is **Hausdorff** if  $\forall x, y \in X$ , there exists neighborhoods U of x, V of y such that  $U \cap V = \emptyset$ .

**Definition 8.** Let  $(X, \mathcal{T})$  be a topological space. A **basis** for  $\mathcal{T}$  is a subset  $\mathcal{B} \subset \mathcal{T}$  such that every open set of X is a union of elements of  $\mathcal{B}$ .

**Definition 9.** A topological space  $(X, \mathcal{T})$  is **second countable** if there exists a countable basis.

**Example.**  $\mathbb{R}^n$  is second countable. Indeed  $\{B_{\frac{1}{m}}(x) \mid x \in \mathbb{Q}^n, m \in \mathbb{N}\}$  is a countable basis for the topology. Here  $B_r(x)$  is the open ball with radius r around x.

**Definition 10.** A *topological manifold* M of dimension of m is a second countable, Hausdorff topological space which is locally homeomorphic to  $\mathbb{R}^m$ 

**Remark.** 'Locally homeomorphic to  $\mathbb{R}^m$ ' means that  $\forall p \in M$ , there exists a neighborhood U of p and a homeomorphism  $\phi \colon U \to V \subset \mathbb{R}^m$ . Recall that homeomorphism means: bijective map that is continuous in both directions.

### 0.2 What is algebraic topology?

Functor from category of topological spaces to the category of groups.

- Category: set of spaces and morphisms.
- Functor:  $X \leadsto G_X$  and  $f: X \to Y \leadsto f_*: G_X \to G_Y$  such that

$$- (f \circ g)_* = f_* \circ g_*$$
$$- (1_X)_* = 1_{G_X}$$

Two systems we'll discuss:

- fundamental groups
- homology groups

**Example.** Suppose we have a functor. If  $G_X \ncong G_Y$ , then X and Y are not homeomorphic. If 'shadows' are different, then objects themselves are different too.

**Proof.** Suppose X and Y are homeomorphic. Then  $\exists f \colon X \to Y$  and  $g \colon Y \to X$ , maps (maps are always continuous in this course), such that  $g \circ f = 1_X$  and  $f \circ g = 1_Y$ . Then  $f_* \colon G_X \to G_Y$  and  $g_* \colon G_Y \to G_X$  such that  $(g \circ f)_* = (1_X)_*$  and  $(f \circ g)_* = (1_Y)_*$ . Using the rules discussed previously, we get

$$g_* \circ f_* = 1_{G_X} \quad f_* \circ g_* = 1_{G_Y},$$

which means that  $f_*: G_X \to G_Y$  is an isomorphism.

### 0.3 Fundamental group

Pick a base point  $x_0$  and consider it fixed. (The fundamental group will not depend on it. We assume all spaces are path connected)  $X \leadsto \pi(X)$ .

- A loop based at  $x_0 \in X$  is a map  $f: I = [0,1] \to X$ ,  $f(0) = f(1) = x_0$ .
- Loops are equivalent if one can be deformed in the other in a continuous way, with the base point fixed.
- The fundamental group consists of equivalent classes of loops.

**Example.** Let  $X = B^2$  (2 dimensional disk). Then  $\pi(B^2) = 1$ , because every loop is equivalent to the 'constant' loop.

**Example.** Let  $X = S^1$  and pick  $x_0$  on the circle. Two options:

- The loop is trivial equivalent to the constant loop
- The loop goes around the circle.
- The loop goes around the circle, twice.
- The loop goes around the circle, clockwise, once
- . . .

 $\pi(S^1) \cong \mathbb{Z}$  (proof will follow)

The composition of loops is simply pasting them. In the case of the circle, the loop  $-1 \circ$  the loop 2 is the loop 1.

Suppose  $\alpha: I \to X$  and  $f: X \to Y$ . Then we define

$$f_*[\alpha] = [f \circ \alpha].$$

**Theorem 1** (Fixed point theorem of Brouwer). Any continuous map from a rectangle to itself has at least one fixed point.

**Proof.** Suppose there is no fixed point, so  $f(x) \neq x$  for all  $x \in B^2$ . Then we can construct map  $r \colon B^2 \to S_1$  as follows: take the intersection of the boundary and half ray between f(x) and x. If x lies on the boundary, we have the identity map. This map is continuous. Then we have  $S^1 \to B^2 \to S^1$ , via the inclusion and r. Looking at the fundamental groups:

$$\pi(S^1) = \mathbb{Z} \to \pi(B^2) = 1 \to \pi(S^1) = \mathbb{Z}.$$

The map from  $\pi(S^1) \to \pi(S^1)$  is the identity map, but the first map maps everything on 1.

# Fundamental group

### 9.51 Homotopy of paths

**Definition 11.** Let  $f, g: X \to Y$  be continuous maps. Then a **homotopy** between f and g is a continuous map  $H: X \times I \to Y$  such that

- H(x,0) = f(x), H(x,1) = g(x)
- For all  $t \in I$ , define  $f_t : X \to Y$  given by  $x \mapsto H(x,t)$

We say that f is **homotopic** to g and write  $f \simeq g$ . If g is a constant map, we say that f is **null homotopic**.

**Definition 12.** Let  $f, g: I \to X$  be two paths such that  $f(0) = g(0) = x_0$  and  $f(1) = g(1) = x_1$ . Then  $H: I \times I \to X$  is a **path homotopy** between f and g if

- H(s,0) = f(s) and H(s,1) = g(s) (homotopy between maps)
- $H(0,t)=x_0$  and  $H(1,t)=x_1$  (start and end points fixed)

We say that f is **path homotopic** to g and write  $f \simeq_p g$ .

**Lemma 1.**  $\simeq$  and  $\simeq_p$  are equivalence relations.

**Proof.** • Reflective: F(x,t) = f(x)

- Symmetric: G(x,t) = H(x,1-t)
- Transitive: Suppose  $f \simeq g$  and  $g \simeq h$ , with  $H_1, H_2$  resp.

$$H(x,t) = \begin{cases} H_1(x,2t) & 0 \le t \le \frac{1}{2} \\ H_2(x,2t-1) & \frac{1}{2} \le t \le 1 \end{cases}.$$

**Example** (Trivial, but important). Let  $C \subset \mathbb{R}^n$  be a convex subset.

- Any two maps  $f, g: X \to C$  are homotopic.
- Any two paths  $f, g: I \to C$  with f(0) = g(0) and g(1) = f(1) are path homopotic.

Choose  $H: X \times I \to C$  defined by  $(x,t) \mapsto H(x,t) = (1-t)f(x) + tg(x)$ .

#### Product of paths

Let  $f: I \to X$ ,  $g: I \to X$  be paths, f(1) = g(0). Define

$$f * g \colon I \to X$$
 given by  $s \mapsto \begin{cases} f(2s) & 0 \le s \le \frac{1}{2} \\ g(2s-1) & \frac{1}{2} \le s \le 1. \end{cases}$ 

**Remark.** If f is path homotopic to f' and g path homotopic to g' (which means that f(1) = f'(1) = g(0) = g'(0)), then  $f * g \simeq_p f' * g'$ .

So we can define [f] \* [g] := [f \* g] with  $[f] := \{g : I \to X | g \simeq_p f\}$ .

**Theorem 2.** 1. [f] \* ([g] \* [h]) is defined iff ([f] \* [g]) \* [h] is defined and in that case, they are equal.

- 2. Let  $e_x$  denote the constant path  $e_x : I \to X$  given by  $s \mapsto x$ ,  $x \in X$ . If  $f(0) = x_0$  and  $f(1) = x_1$  then  $[e_{x_0}] * [f] = [f]$  and  $[f] * [e_{x_1}] = [f]$ .
- 3. Let  $\overline{f}: I \to X$  given by  $s \mapsto f(1-s)$ . Then  $[f] * [\overline{f}] = [e_{x_0}]$  and  $[\overline{f}] * [f] = [e_{x_1}]$ .

**Proof.** First two observations

- Suppose  $f \simeq_p g$  via homotopy  $H, f, g: I \to X$ . Let  $k: X \to Y$ . Then  $k \circ f \simeq_p k \circ g$  using  $k \circ H$ .
- If f \* g (not necessarily path homotopic). Then  $k \circ (f * g) = (k \circ f) * (k \circ g)$ .

Now, the proof

2. Take  $e_0: I \to I$  given by  $s \mapsto 0$ . Take  $i: I \to I$  given by  $s \mapsto s$ . Then  $e_0 * i$  is a path from 0 to  $1 \in I$ . The path i is also such a path. Because I is a convex subset,  $e_0 * i$  and i are path homotopic,  $e_0 * \simeq i$ . Using one of our observations, we find that

$$f \circ (e_0 * i) \simeq_p f \circ i$$
$$(f \circ e_0) * (f \circ i) \simeq_p f$$
$$e_{x_0} * f \simeq_p f$$
$$[e_{x_0}] * [f] = [f].$$

3. Note that  $i * \bar{i} \simeq_p e_0$ . Now, applying the same rules, we get

$$f \circ (i * \overline{i}) \simeq_p f \circ e_0$$
$$f * \overline{f} \simeq_p e_{x_0}$$
$$[f] * [\overline{f}] = [e_{x_0}].$$

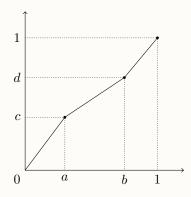
1. Remark: Only defined if f(1) = g(0), g(1) = h(0). Note that  $f * (g * h) \neq (f * g) * h$ . The trajectory is the same, but the speed is not.

Assume the product is defined. Suppose [a,b], [c,d] are intervals in  $\mathbb{R}$ . Then there is a unique positive (positive slope), linear map from  $[a,b] \to [c,d]$ . For any  $a,b \in [0,1)$  with 0 < a < b < 1, we define a path

$$\begin{array}{c} k_{a,b} \colon [0,1] \longrightarrow X \\ \\ [0,a] \xrightarrow{\lim} [0,1] \xrightarrow{f} X \\ \\ [a,b] \xrightarrow{\lim} [0,1] \xrightarrow{g} X \\ \\ [b,0] \xrightarrow{\lim} [0,1] \xrightarrow{h} X \end{array}$$

Then  $f*(g*h) = k_{\frac{1}{2},\frac{3}{4}}$  and  $(f*g)*h = k_{\frac{1}{4},\frac{1}{2}}.$ 

Let  $\gamma$  be that path  $\gamma \colon I \to I$  with the following graphs:



Note that  $\gamma \simeq_p i$ . Now, using the fact that composition of positive linear maps is positive linear.

$$k_{c,d} \circ \gamma \simeq_p k_{c,d} \circ i$$
  
 $k_{a,b} \simeq_p k_{c,d},$ 

which is what we wanted to show.

### 9.52 Fundamental group

**Definition 13.** Let X be a space and  $x_0 \in X$ , then the **fundamental group** of X based at  $x_0$  is

$$\pi(X, x_0) = \{ [f] \mid f \colon I \to X, f(0) = f(1) = x_0 \}.$$

(Also  $\pi_1(X, x_0)$  is used, first homotopy group of X based at  $x_0$ )

For  $[f], [g] \in \pi(X, x_0)$ , [f] \* [g] is always defined,  $[e_{x_0}]$  is an identity element, \* is associative and  $[f]^{-1} = [\overline{f}]$ . This makes  $(\pi(X, x_0), *)$  a group.

**Example.** If  $C \subset \mathbb{R}^n$ , convex then  $\pi(X, x_0) = 1$ . E.g.  $\pi(B^2, x_0) = 1$ .

Remark. All groups are a fundamental group of some space.

Question: how does the group depend on the base point?

**Theorem 3** (52.1). Let X be a space,  $x_0, x_1 \in X$  and  $\alpha: I \to X$  a path from  $x_0$  to  $x_1$ . Then

$$\hat{\alpha} \colon \pi(X, x_0) \longrightarrow \pi(x, x_1)$$

$$[f] \longmapsto [\overline{\alpha}] * [f] * [\alpha].$$

is an isomorphisms of groups. Note however that this isomorphism depends on  $\alpha$ .

**Proof.** Let  $[f], [g] \in \pi_1(X, x_0)$ . Then

$$\begin{split} \widehat{\alpha}([f]*[g]) &= [\overline{\alpha}]*[f]*[g]*[\alpha] \\ &= [\overline{\alpha}]*[f]*[\alpha]*[\overline{\alpha}]*[g]*[\alpha] \\ &= \widehat{\alpha}[f]*\widehat{\alpha}[g]. \end{split}$$

We can also construct the inverse, proving that these groups are isomorphic.  $\Box$ 

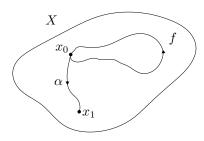


Figure 9.1: construction of the group homeomorphism

**Remark.** If :  $(x, x_0) \to (Y, y_0)$  is a map of pointed topology spaces  $(f: X \to X)$ 

Y continuous and  $f(x_0) = y_0$ ). Then

$$f_* \colon \pi(X, x_0) \to \pi(Y, y_0)$$
 given by  $[\gamma] \mapsto [f \circ \gamma]$ 

is a morphism of groups, because of the two 'rules' discussed previously, with

$$(f \circ g)_* = f_* \circ g_* \quad (1_X)_* = 1_{\pi(X,x_0)}.$$

**Definition 14.** Let X be a topological space, then X is *simply connected* if X is path connected and  $\pi_1(X, x_0) = 0$  for some  $x_0 \in X$ .

**Remark.** If trivial for one base point, it's trivial for all base points.

**Example.** Any convex subset  $C \subset \mathbb{R}^n$  is simply connected.

**Example** (Wrong proof of  $\pi(S^2)$  being trivial). Let f be a path from  $[0,1] \to \mathbb{R}$  $S^2$ . Then pick  $y_0 \in \text{Im}(f)$ . Then  $S^2 \setminus \{y_0\} \approx \mathbb{R}^2$ . Then use  $\mathbb{R}^2$ .

This is wrong because we cannot always find  $y_0 \in \text{Im}(f)$ . Space filling loops! We'll see the correct proof later on.

**Lemma 2** (52.3). Suppose X is simply connected and  $\alpha, \beta: I \to X$  two paths with same start and end points. Then  $\alpha \simeq_p \beta$ .

**Proof.** Simply connected implies loops are homotopic? Consider  $\alpha * \overline{\beta} \simeq_p$  $e_{x_0}$ , since the space is imply connected.

$$([\alpha] * [\overline{\beta}]) * [\beta] = [e_{x_0}] * [\beta] = [\beta]$$
$$[\alpha] * ([\overline{\beta}] * [\beta]) = [\alpha] * [e_{x_0}] = [\alpha].$$

And therefore  $\alpha \simeq_p \beta$ . (Note: make sure end and start point matchs when using \*)

#### 9.53 Covering spaces

**Definition 15.** Let  $p: E \to B$  be continuous surjective map. The open set  $U \subset B$  is **evenly covered** if  $p^{-1}(U) = \bigcup_{\alpha \in I} V_{\alpha}$  with

- $V_{\alpha}$  open in E•  $V_{\alpha} \cap V_{\beta} = \emptyset$  if  $\alpha \neq \beta$
- $p|_{V_{\alpha}}: V_{\alpha} \to U$  is a homeomorphism.

**Remark.** If  $U' \subset U$ , also open and U is evenly covered, then also U'.

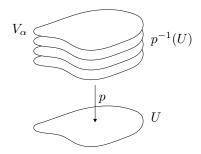


Figure 9.2: evenly covered

**Definition 16.** Let  $p: E \to B$  be continuous and surjective. Then p is a **covering map** if  $\forall b \in B, \exists U \subset B$  open, containing b such that U is evenly covered by p. Then (E, p) is called a **covering space**.

**Example.** Let  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$ . Take  $p: \mathbb{R} \to S^1$  given by  $t \mapsto e^{2\pi i t}$ . Note that  $\mathbb{R}$  is an easier space than  $S^1$ , and so will be  $\pi_1$  (1 vs  $\mathbb{Z}$ ).

**Proposition 1.** A covering map is always a open map.

**Proof.** Exercise.

**Proposition 2.** For any  $b \in B$ ,  $p^{-1}(b)$  is a discrete subset of E. (No accumulation point)

**Proof.** Indeed for any  $\alpha \in I$ ,  $V_{\alpha} \cap p^{-1}(b)$  is exactly one point.

**Remark.** A covering is always local homeomorphism. But there are surjective local homeomorphism which are not covering maps. A covering map is more than a surjective local homeomorphism.

For example,  $p \colon \mathbb{R}_0^+ \to S^1$  given by  $t \mapsto e^{2\pi i t}$ . Consider the inverse image of a neighborhood around 1. When we restrict p to the part around 0, it is no longer a homeomorphism (we don't get the whole neighborhood around one).

#### Creating new covering spaces out of old ones

- Suppose  $p: E \to B$  is a covering and  $B_0 \subset B$  is a subspace with the subspace topology. Let  $E_0 = p^{-1}(B_0)$  and  $p_0 = p|_{E_0}$ . Then  $(E_0, p_0)$  is a covering of  $B_0$ .
- Suppose that (E, p) is a covering of B and (E', p') is a covering of B', then  $(E \times E', p \times p')$  is a covering of  $B \times B'$ .

**Example.** Let  $T^2 = S^1 \times S^1$ .

- $p: \mathbb{R}^2 \to S^1 \times S^1$  given by  $(t, s) \mapsto (e^{ait}, e^{bis})$ .
- $p' : \mathbb{R} \times S^1 \to T^2$  given by  $(t, z) \mapsto (e^{ait}, z^n)$ .  $p : S^1 \times S^1 \to T^2$  given by  $(z_1, z_2) \mapsto (z_1^n, z_2^m)$ .

These are the only types of coverings of the torus. We'll prove this later on.

#### 9.54 Fundamental group of the circle

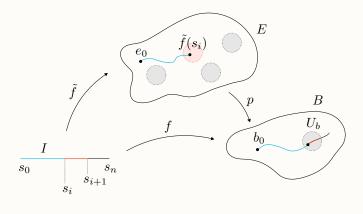
**Definition 17.** Let  $p: E \to B$  be a map. If f is a continuous mapping of some space X into B, a *lifting* of f is a map  $\hat{f}: X \to E$  such that  $p \circ \hat{f} = f$ .



Given f, when can f be lifted to E? In this section, we'll only consider  $X = [0, 1], X = [0, 1]^2.$ 

**Lemma 3** (54.1, Important result). Suppose (E, p) is a covering of  $B, b_0 \in B$ ,  $e_0 \in p^{-1}(b_0)$ . Suppose that  $f: I \to B$  is a path starting at  $b_0$ . Then there exists a unique lift  $\tilde{f}: I \to E$  of f with  $\tilde{f}(0) = e_0$ .

**Proof.** For any b of B, we choose an open  $U_b$  such that  $U_b$  is evenly covered by p. Then  $\{f^{-1}(U_b) \mid b \in B\}$  is an open cover of I, which is compact. There is a number  $\delta > 0$  such that any subset of I of diameter  $\leq \delta$  is contained entirely in one of these opens  $f^{-1}(U_b)$ . (Lebesgue number lemma). Now, we divide the interval into pieces  $0 = s_0 < s_1 < \cdots < s_n = 1$ such that  $|s_{i+1} - s_i| \leq \delta$ . For any i, we have that  $f([s_i, s_{i+1}]) \subset U_b$  for



We now construct  $\tilde{f}$  by induction on  $[0, s_i]$ .

- $\bullet \ \tilde{f}(0) = e_0$
- Assume  $\tilde{f}$  has been defined on  $[0, s_i]$ . Let U be an open such that  $f[s_i, s_{i+1}] \subset U_b$ .

There is exactly one slice  $V_{\alpha}$  in  $p^{-1}(U_b)$  containing  $\tilde{f}(s_i)$ . We define  $\forall s \in [s_i, s_{i+1}] : \tilde{f}(s) = (p|_{V_{\alpha}})^{-1} \circ f(s)$ . By the pasting lemma,  $\tilde{f}$  is continuous.

• In this way, we can construct  $\tilde{f}$  on the whole of I.

Uniqueness works in exactly the same way, by induction.

**Lemma 4** (54.2). (E, p) is a covering of  $B, b_0 \in B, e_0 \in E$ , with  $p(e_0) = b_0$ . Suppose  $F: I \times I \to B$  is a continuous map with  $f(0, 0) = b_0$ , then there is a unique  $\tilde{F}: I \times I \to E$ . Moreover, if F is a path homotopy, then also  $\tilde{F}$  is a path homotopy.

**Proof.** Same as in the one dimensional case.

**Theorem 4** (54.3). Let (E, p) be a covering of B,  $b_0 \in B$ ,  $e_0 \in E$  with  $p(e_0) = b_0$ . Let f, g be two paths in B starting in  $b_0$  s.t.  $f \simeq_p g$  (so f and g end at the same point). Let  $\tilde{f}, \tilde{g}$  be the unique lifts of f, g starting at  $e_0$ . Then  $\tilde{f} \simeq_p \tilde{g}$ , and so  $\tilde{f}(1) = \tilde{g}(1)$ .

**Proof.**  $F: I \times I \to B$  is a path homotopy between f and g. Then  $\tilde{F}: I \times I \to E$  with  $\tilde{F}(0,0) = e_0$ . Then  $\tilde{F}$  is a path homotopy, by the previous result, between  $\tilde{F}(\cdot,0)$  and  $\tilde{F}(\cdot,1)$ . Note that  $p \circ \tilde{F}(t,0) = F(t,0) = f(t)$  and  $p \circ \tilde{F}(t,1) = F(t,1) = g(t)$ . By uniqueness  $\tilde{F}(\cdot,0) = \tilde{f}, \tilde{F}(\cdot,1) = \tilde{g}$ .

We've shown that homotopy from below lifts to above. The converse is easy. Now we're ready to discuss the relation between groups and covering spaces.

**Definition 18.** Let (E, p) be a covering of B.  $b_0 \in B$ ,  $e_0 \in E$  and  $p(e_0) = b_0$ . Then the *lifting correspondence* is the map

$$\phi \colon \pi(B, b_0) \longrightarrow p^{-1}(b_0)$$

$$[f] \longmapsto \tilde{f}(1)$$

where  $\tilde{f}$  is the unique lift of f, starting at  $e_0$ . This is well-defined because  $[f] = [g] \Rightarrow \tilde{f} \simeq_p \tilde{g} \Rightarrow \tilde{f}(1) = \tilde{g}(1)$ . This  $\phi$  depends on the choice of  $e_0$ .

**Theorem 5** (54.4). It E is path connected, then  $\phi$  is a surjective map. If E is simply connected, then  $\phi$  is a bijective map.

**Proof.** Suppose E is path connected, and let  $e_0, e_1 \in p^{-1}(b_0)$ . Consider a path  $\tilde{f}: I \to E$  with  $\tilde{f}(0) = e_0$  and  $\tilde{f}(1) = e_1$ . This is possible because E is path connected. Let  $f = p \circ \tilde{f}: I \to B$  with  $f(0) = p(e_0) = b_0$  and

 $f(1) = p(e_1) = b_0$ , so f is a loop based at  $b_0$ . So f is a loop at  $b_0$  and its unique lift to E starting at  $e_0$  is  $\tilde{f}$ . Hence  $\phi[f] = \tilde{f}(1) = e_1$ , which shows that  $\phi$  is surjective.

Now assume that E is simply connected (group is trivial). Consider  $[f], [g] \in \pi(B_0)$  with  $\phi[f] = \phi[g]$ . This implies  $\tilde{f}(1) = \tilde{g}(1)$ . These start at  $e_0$ . It follows from Lemma 2 that  $\tilde{f} \simeq_p \tilde{g}$ .

**Example.** Take the circle and the real line as covering space. Then  $p^{-1}(1) = \mathbb{Z}$ . So we know that as a set  $\pi(S^1)$  is countable. Therefore,  $p \circ \tilde{f} \simeq_p p \circ \tilde{g}$ . This implies that  $f \simeq_p g$ , and therefore [f] = [g].

**Theorem 6** (54.5).  $\pi_1(S^1, 1) \cong (\mathbb{Z}, +)$ .

**Proof.** Take  $b_0 = 1$  and  $e_0 = 0$  and  $p: \mathbb{R} \to S^1$  given by  $t \mapsto e^{2\pi i t}$ . Then  $p^{-1}(b_0) = \mathbb{Z}$ . And since,  $\mathbb{R}$  is simply connected, we have that  $\pi: \pi(S, 1) \to \mathbb{Z}$  given by  $[f] \mapsto \tilde{f}(1)$  is a bijection.

Now we'll show that it's a morphism. Let [f] and [g] elements of the fundamental group of  $S^1$  and assume that  $\phi[f] = \tilde{f}(1) = m$  and  $\phi[g] = \tilde{g}(1) = n$ .

We're going to prove that  $\phi([f]*[g]) = \phi([f]) + \phi([g]) = n+n$ . Define  $\tilde{g}: I \to \mathbb{R}$  given by  $t \mapsto \tilde{g}(t) + m$ . Then  $p \circ \tilde{g} = g$ , as p(s+m) = p(s) for all m. Now, look at  $\tilde{f}*\tilde{g}$ . This is a lift of  $p \circ (\tilde{f}*\tilde{g}) = (p \circ \tilde{f})*(p \circ \tilde{g}) = f*g$ , which starts at 0. Hence,  $\phi([f]*[g]) = \phi([f*g]) = \text{the end point of } \tilde{f}*\tilde{g}$ , so  $\tilde{g}(1) = \tilde{g}(1) + m = n + m$ .

The following lema makes the fact that the covering space is simpler than the space itself exact.

**Lemma 5** (54.6). Let (E,p) be a covering of  $B, b_0 \in B, e_0 \in E$  and  $p(e_0) = b_0$ . Then

- 1.  $p_*: \pi(E, e_0) \to \pi(B, b_0)$  is a monomorphism (injective).
- 2. Let  $H = p_*(\pi_1(E, e_0))$ . The lifting correspondence induces a well defined map

$$\Phi \colon \pi_1(B, b_0)/H \longrightarrow p^{-1}(b_0)$$
$$H * [f] \longmapsto \phi[f],$$

so  $\phi$  is constant on right cosets. Dividing by H makes  $\phi$  always bijective, even when E is not simply connected.

- 3. Let f be a loop based at  $b_0$ , then  $\tilde{f}$  is a loop at  $e_0$  iff  $[f] \in H$ .
- **Proof.** 1. Let  $\tilde{f}: I \to E$  be a loop at  $e_0$  and assume that  $p_*[\tilde{f}] = 1$ . (Then we'd like to show that f itself is trivial.) This implies  $p \circ \tilde{f} \simeq_p e_{b_0}$ . This implies that  $\tilde{f} \simeq_p \tilde{e}_{b_0} = e_{e_0}$ , or  $[\tilde{f}] = 1$ .
  - 2. We have to prove two things:

Well defined  $H * [f] = H[g] \Rightarrow \phi(f) = \phi(g)$ .

Assume  $[f] \in H * [g]$ , or H \* [f] = H \* [g]. This means that [f] = [h] \* [g], were  $h = p \circ \tilde{h}$  for some loop  $\tilde{h}$  at  $e_0$ . In other words [f] = [h \* g], or  $f \simeq_p h * g$ . Let  $\tilde{f}$  be the unique lift of f starting at  $e_0$ . Let  $\tilde{g}$  be the unique lift of g starting at  $e_0$ . Then  $\tilde{h} * \tilde{g}$  (which is allowed,  $\tilde{h}$  is a loop) the unique lift of h \* g starting at  $e_0$ .

 $\tilde{f}(1) = \phi(f) = \phi(h * g) = (\tilde{h} * \tilde{g})(1) = \tilde{g}(1) = \phi(g)$ . If the cosets are the same, then the end points of the lifts are also the same.

**Injective**  $H * [f] = H * [g] \Leftarrow \phi(f) = \phi(g)$ .

The end points of f and g are the same. Now consider  $\tilde{h} = \tilde{f} * \overline{\tilde{g}}$ . Then  $[\tilde{h}] * [\tilde{g}] = [\tilde{f}] * [\tilde{g}] * [\tilde{g}] = [\tilde{f}]$ . By applying  $p_*$ , [h] \* [g] = [f].

3. Trivial. Exercise. Apply 2 with the constant path.

**Remark.**  $k: X \to Y$  induces a morphism  $k_*$ , we've proved that earlier. Here we only showed injectiveness.

### 9.55 Retractions and fixed points

**Definition 19.** Let  $A \subset X$ , then A is a **retract** of X iff there exists a map  $r: X \to A$  such that  $r|_A = 1|_A$ , i.e. r(a) = a for all  $a \in A$ . The map r is called a **retraction**.

# Separation theorems in the plane

10.63 Jordan curve theorem

https://en.wikipedia.org/wiki/Jordan\_curve\_theorem

# Seifert-Van Kampen theorem

https://en.wikipedia.org/wiki/Seifert%E2%80%93Van\_Kampen\_theorem

Note. This doesn't follow the book very well.

**Definition 20.** A *free group* on a set X consists of a group  $F_X$  and a map  $i: X \to F_X$  such that the following holds: For any group G and any map  $f: X \to G$ , there exists a unique morphism of groups  $\phi: F_X \to G$  such that

$$X \xrightarrow{i} F_X \\ \downarrow f \\ \downarrow \exists ! \phi \\ G$$

**Note.** The free group of a set is unique. Suppose  $i: X \to F_X$  and  $j: X \to F_X'$  are free groups.

$$X \xrightarrow{i} F_X \qquad X \xrightarrow{j} F'_X$$

$$\downarrow^j \qquad \downarrow^{\exists \phi} \qquad \downarrow^i \qquad \downarrow^{\exists \psi}$$

$$F'_X \qquad \qquad F_X$$

Then

$$X \xrightarrow{i} F_X \downarrow^{\psi \circ \phi} F_X$$

Then by uniqueness,  $\psi \circ \phi$  is  $1_{F_X}$ , and likewise for  $\phi \circ \psi$ .

**Note.** The free group on a set always exists. You can construct it using "irreducible words".

**Example.** Consider  $X = \{a, b\}$ . An example of a word is  $aaba^{-1}baa^{-1}bbb^{-1}a$ . This is not a irreducible word. The reduced form is  $aaba^{-1}bba = a^2ba^{-1}b^2a$ . Then  $F_X$  is the set of irreducible words.

**Example.** If  $X = \{a\}$ , then  $F_x = \{a^z \mid z \in \mathbb{Z}\} \cong (\mathbb{Z}, +)$ . Exercise: check that  $\mathbb{Z}$  satisfies the universal property.

**Example.** If  $X = \emptyset$ , then  $F_X = 1$ .

**Definition 21.** Let  $G_i$  with  $i \in I$ , be a set of groups. Then the **free product** of these groups denoted by  $*_{i \in I}G_i$  is a group G together with morphisms  $j_i : G_i \to G$  such that the following universal property holds: Given any group H and a collection of morphisms  $f_i : G_i \to H$ , then there exists a unique morphism  $f : G \to H$ , such that for all  $i \in I$ , the following diagram commutes:



**Note.** Again,  $*_{i \in I}G_i$  is unique.

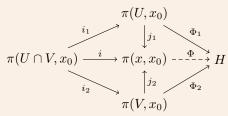
**Example.** Construction is similar to the construction of a free group. Let  $I=\{1,2\}$  and  $G_1=G,\ G_2=H.$  Then G\*H. Elements of G\*H are "words" of the form  $g_1h_1g_2h_2g_3,\ g_1h_1g_2h_2$ , or  $h_1g_1h_2g_2h_3g_3$  or  $h_1g_1h_2,\cdots$  with  $g_j\in G,\ h_j\in H.$ 

**Note.** G \* H is always infinite and nonabelian if  $G \neq 1 \neq H$ . Even if G, H are very small, for example  $\mathbb{Z}_2 * \mathbb{Z}_2 = \{1, t\} * \{1, s\}$ . Then  $ts \neq st$  and the order of ts is infinite.

**Note.**  $\mathbb{Z} * \mathbb{Z} = F_{a,b}$ . In general:  $F_X = *_{x \in X} \mathbb{Z}$ .

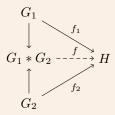
### 11.70 The Seifert-Van Kampen theorem

**Theorem 7** (70.1, Seifert-Van Kampen theorem). Let  $X = U \cup V$  where  $U, V, U \cap V$  are open and path connected. Let  $x_0 \in U \cap V$ . For any group H and 2 morphisms  $\Phi_1 \colon \pi(U, x_0) \to H$  and  $\Phi_2 \colon \pi(V, x_0) \to H$  such that  $\Phi_1 \circ i_1$  and  $\Phi_2 \circ i_2$ , there exists exactly one  $\Phi \colon \pi(X, x_0) \to H$  making the diagram commute



 $i_1, i_2, i, j_1, j_2$  are induced by inclusions.

**Theorem 8** (70.2, Seifert–Van Kampen theorem (classical version)). Assume the hypotheses of the Theorem 7. Let  $j: \pi(U, x_0) * \pi(V, x_0) \to \pi(X, x_0)$  (induced by  $j_1$  and  $j_2$ ). On elements of  $\pi(U, x_0)$  it acts like  $j_1$ , on elements of  $\pi(V, x_0)$  it acts like  $j_2$ .



Then j is surjective<sup>a</sup> and the kernel of j is the normal subgroup of  $\pi(U, x_0) * \pi(U, x_0)$  generated by all elements of the form  $i_1(g)^{-1}i_2(g)$ , were  $g \in \pi(U \cap V, x_0)$ .

#### **Proof.** • j is surjective. (later)

- Let N be the normal subgroup generated by  $i_1(g)^{-1}i_2(g)$ . Then we claim that  $N \subset \ker(j)$ . This means we have to show that  $i_1(g)^{-1}i_2(g) \in \ker j$ .  $j(i_1(g)) = j_1(i_1(g))$  by definition of j. Looking at the diagram, we find that  $j_1(i_1(g)) = j_2(i_2(g)) = i(g) = j(i_2(g))$ . Therefore  $j(i_1(g)^{-1}i_2(g)) = 1$ , which proves that elements of the form  $i_1(g)^{-1}i_2(g)$  are in the kernel.
- Since  $N \subset \ker j$ , there is an induced morphism

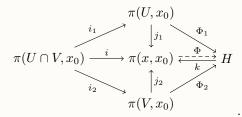
$$k: (\pi_1(U, x_0) * \pi_1((V, x_0))/N \longrightarrow \pi_1(X, x_0)$$
  
 $gN \longmapsto j(g).$ 

aNote that U, V should also be path connected!

 $<sup>^</sup>a\mathrm{This}$  is the only place where algebraic topology is used. We've proved this last week. The groups U and V generate the whole group. The rest of this theorem follows from the previous theorem.

To prove that  $N = \ker j$ , we have to show that k is injective. Because this would mean that we've divided out the whole kernel of j.

Now we're ready to use the previous theorem. Let  $H = (\pi(U) * \pi(V))/N$ . Repeating the diagram:



Now, we define  $\Phi_1: \pi(U, x_0) \to H$  given by  $g \mapsto gN$ , and  $\Phi_2: \pi(V, x_0) \to H$  given by  $g \mapsto gN$ . For the theorem to work, we needed that  $\Phi_1 \circ i_1 = \Phi_2 \circ i_2$ . This is indeed the case: let  $g \in \pi(U \cap V)$ . Then  $\Phi_1(i_1(g)) = i_1(g)N$  and  $\Phi_2(i_2(g)) = i_2(g)N$  and  $i_1(g)N = i_2(g)N$  because  $i_1(g)^{-1}i_2(g) \in N$ .

The conditions of the previous theorem are satisfied, so there exists a  $\Phi$  such that the diagram commutes.

Note that we also have  $k: H \to \pi(X)$ . We claim that  $\Phi \circ k = 1_H$ , which would mean that k is injective, concluding the proof. It's enough to prove that

**Corollary 8.1.** Suppose  $U \cap V$  is simply connected, so  $\pi_1(U \cap V, x_0)$  is the trivial group. In this case  $N = \ker j = 1$ , hence  $\pi(U, x_0) * \pi(V, x_0) \to \pi(X, x_0)$  is an isomorphism.

**Corollary 8.2.** Suppose U is simply connected. Then  $\pi(X, x_0) \cong \pi(V, x_0)/N$  where N is the normal subgroup generated by the image of  $i_2 \colon \pi(U \cap V) \to \pi(V, x_0)$ .

**Example.** Let X be the figure 8 space.

# Classification of surfaces

# Classification of covering spaces

**Lemma 6** (79.1, General lifting lemma). Let  $p: E \to B$  be a covering, Y a space. Assume B, E, Y are path connected, and locally path connected.  $^a$  Let  $f: Y \to B$ ,  $y_0 \in Y$ ,  $b_0 = f(y_0)$ . Let  $e_0 \in E$  such that  $p(e_0) = b_0$ . Then  $\exists \tilde{f}: Y \to E$  with  $\tilde{f}(y_0) = e_0$  and  $p \circ \tilde{f} = f$ 

$$(E, e_0) \xrightarrow{\tilde{f}} \downarrow^p \\ (Y, y_0) \xrightarrow{f} (B, b_0)$$

iff  $f_*(\pi(Y, y_0)) \subset p_*\pi(E, e_0)$ . If  $\tilde{f}$  exists then it is unique.

 $^a$ From now on, all spaces are locally path connected: Every neighborhood contains an open that is path connected.

**Proof.** Suppose  $\tilde{f}$  exists. Then  $p \circ \tilde{f} = f$ , so  $(p \circ \tilde{f})_*\pi(Y, y_0) = \pi(Y, y_0)$ . The left hand side is of course  $p_*(\tilde{f}_*(\pi(Y, y_0)) \subset p_*(\pi(E, e_0))$ , so  $p_*(\pi(E, e_0)) \subset f_*(\pi(Y, y_0))$ .

Conversely, we'll show the uniqueness first. Suppose  $\tilde{f}$  exists.

 $p \circ (\tilde{f} \circ \alpha) = f \circ \alpha$ , so  $\tilde{f} \circ \alpha$  is the unique lift of  $f \circ \alpha$  starting at  $e_0$ . Hence f(y) the endpoint of the unique lift of  $f \circ \alpha$  to E starting at  $e_0$ .

This also shows how you can define  $\tilde{f}$ : choose a path  $\alpha$  from  $y_0$  to y. Lift  $f \circ \alpha$  to a path starting at  $e_0$ . Define  $\tilde{f}(y) =$  the end point of this lift. Is this well defined? Is  $\tilde{f}$  continuous?

Well defined As  $[\alpha] * [\overline{\beta}] \in \pi(Y, y_0)$ ,

$$f_*([\alpha] * [\overline{\beta}]) = ([f \circ \alpha] * [f \circ \overline{\beta}) \in f_*(\pi_1(Y, y_0))$$

which is by assumption a subgroup of  $p_*(\pi(E, e_0)) = H$ .

And now, by Lemma 3, a loop in the base space lifts to a loop in E if the loop is in H. This lift is of course just  $\gamma * \delta$ , so the end points in

the drawing should be connected! this means that  $\bar{\delta}$  is the lift of  $f \circ \beta$  starting at  $e_0$ , so the endpoint of the lift of  $f \circ \beta$  is the endpoint of the lift of  $f \circ \alpha$ . Therefore  $\tilde{f}(y)$  is well defined.

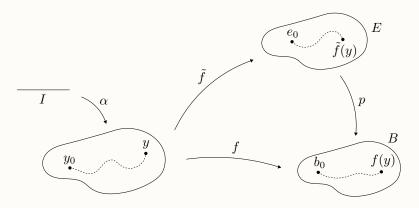


Figure 13.1: General lifting lemma

Continuity We prove that  $\tilde{f}$  is continuous.

- Choose a neighborhood of  $\tilde{f}(y_1)$ , say N.
- Take U, a path connected open neighborhood of  $f(y_1)$  which is evenly covered, such that the slice  $p^{-1}(U)$  containing  $\tilde{f}(y_1)$  is completely contained in N.

Can we do this? The inverse image of U is a pile of pancakes. One of these pancakes contains  $\tilde{f}(y_1)$ . Then, because N is a neighborhood of  $\tilde{f}(y_1)$ , we can shrink the pancake such that it is contained in N.

- Choose a path connected open which contains  $y_1$  such that  $f(W) \subset U$ . We can do this because of continuity of f.
- Take  $y \in W$ . Take a path  $\beta$  in W from  $y_1$  to y. (Here we use that W is path connected.) Now consider  $p|_V$  and defined

**Example.** Take Y = [0, 1]. Then f is a path, then we showed that every map can be lifted. And indeed, the condition holds:  $f_*(\pi(Y, y_0)) = 1$ , the trivial group, which is a subgroup of all groups.

**Lemma 7** (General lifting lemma, short statement). Short statement:

$$(E, e_0)$$

$$\downarrow^{\tilde{f}} \qquad \downarrow^{p}$$

$$(Y, y_0) \xrightarrow{f} (B, b_0)$$

 $\exists ! \tilde{f} \Longleftrightarrow f_*(\pi(Y, y_0)) \subset p_*\pi(E, e_0).$ 

CHAPTER 13. CLASSIFICATION OF COVERING SPACES

**Definition 22.** Let (E,p) and (E',p') be two coverings of a space B. An **equivalence** between (E,p) and (E',p') is a homeomorphism  $h \colon E \to E'$  such that



is commutative.  $p' \circ h = p$ .

**Theorem 9** (79.2). Let  $p: (E, e_0) \to (B, b_0)$  and  $p': (E', e'_0) \to (B, b_0)$  be coverings, and  $H_0 = p_*\pi(E, e_0)$  and  $H'_0 = p'_*\pi(E', e'_0) \le \pi(B, b_0)$ . Then there exists and equivalence  $h: (E, p) \to (E', p')$  with  $h(e_0) = e'_0$  iff  $H_0 - H'_0$ . Not isomorphic, but really the same as a subgroup of  $\pi(B, b_0)$ . In that case, h is unique.

**Proof.**  $\implies$  Suppose h exists. Then

$$(E, e_0) \xrightarrow{h} (E', e'_0)$$

$$\downarrow^p \qquad \downarrow^{p'}$$

$$(B, b_0)$$

Therefore  $p_*\pi(E,e_0)=p'_*(h_*\pi(E,e_0))$ . Since h is a homeomorphism, it induces an isomorphism, so  $p'_*(h_*\pi(E,e_0))=p'_*(\pi(E',e'_0))$ .

 $\Leftarrow$ 

$$(E', e'_0) \xrightarrow{k} \sqrt{p'} (E, e_0) \xrightarrow{p} (B, b_0)$$

By the previous lemma, there exists a unique k iff  $p_*\pi(E, e_0) \subset p'_*\pi(E', e'_0)$  or equivalently  $H_0 \subset H'_0$ , which is the case. Reversing the roles, we get

$$(E, e_0)$$

$$\downarrow^p$$

$$(E', e'_0) \xrightarrow{p'} (B, b_0)$$

for the same reasoning, l exists. Now, composing the diagrams

$$(E, e_0) \qquad (E', e'_0)$$

$$\downarrow^{l \circ k} \qquad \downarrow^{p} \qquad \downarrow^{k \circ l} \qquad \downarrow^{p'}$$

$$(E, e_0) \xrightarrow{p} (B, b_0) \qquad (E', e'_0) \xrightarrow{p'} (B, b_0)$$

But placing the identity in place of  $l \circ k$  or  $k \circ l$ , this diagram also commutes! By unicity, we have that  $l \circ k = 1_E$  and  $k \circ l = 1_{E'}$ . Therefore, k and l are homeomorphism  $k(e_0) = e'_0$ .

Uniqueness is trivial, because of the general lifting theorem.

Note that this doesn't answer the question 'is there a equivalence between two coverings', it only answers the question 'is there an equivalence between two coverings mapping  $e_0 \to e_0'$ '. So now, we seek to understand the dependence of  $H_0$  on the base point.

**Lemma 8** (79.3). Let (E, p) be a covering of B. Let  $e_0, e_1 \in p^{-1}(b_0)$ . Let  $H_0 = p_*\pi(E, e_0), H_1 = p_*\pi(E, e_1)$ .

- Let  $\gamma$  be a path from  $e_0$  to  $e_1$  and let  $p \circ \gamma = \alpha$  be the induced *loop* at  $b_0$ . Then  $H_0 = [\alpha] * H_1 * [\alpha]^{-1}$ , so  $H_0$  and  $H_1$  are conjugate inside  $\pi(B, b_0)$ .
- Let H be a subgroup of  $\pi(B, b_0)$  which is conjugate to  $H_0$ , then there is a point  $e \in p^{-1}(b_0)$  such that  $H = p_*\pi(E, e)$ .

So a covering space induces a conjugacy class of a subgroup of  $\pi(B, b_0)$ .

This completely answers the question: when are two covering spaces equivalent?

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Corollary 9.1. Let (E, p) and (E', p') be two coverings, e_0 \in E, e'_0 \in E' with p(e_0) = p(e'_0) = b_0. Let H_0 = p_*\pi(E, e_0), H'_0 = p'_*\pi(E', e'_0). Then (E, p) and (E', p') are equivalent iff H_0 and H'_0 are conjugate inside \pi(B, b_0).
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Question: can we reach every possible subgroup? Answer: yes, in some conditions.

### 13.80 Universal covering space

**Definition 23.** Let B be a path connected and locally path connected space. A covering space (E, p) of B is called a **universal covering space** if E is simply connected, so  $\pi(E, e_0) = 1$ .