## Topology I – Homework for Chapter 5, 6

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June 18, 2020

**Problem 1.** Find a compact topological space which does not satisfy the first countability axiom and justify your answer.

*Proof.* Let topological space X be  $\mathbb{R}$  with finite complement topology. We want to show that X is compact but does not satisfy the first countability axiom.

First, let's check compactness. Let  $\{U_i|i\in I\}$  be open cover of X. Take any  $U_0\in\{U_i\}$ . Then  $X\setminus U_0$  contains only finite number of points  $\{x_1,\cdots,x_n\}$ . For any  $1\leq k\leq n$ , choose  $U_k\in\{U_i\}$  containing k. Such elements exist since  $\{U_i\}$  covers X. Then  $\{u_0,u_1,\cdots,u_n\}$  is a finite subcover of X. Thus, X is compact.

Now, if we show X does not satisfy first countability axiom, we are done. Pick arbitrarily  $x \in \mathbb{R}$ , and suppose  $\mathcal{B} = \{B_n : n \in \mathbb{N}\}$  is a countable family of open neighborhoods of x. For each  $n \in \mathbb{N}$ , there is by definition a finite  $F_n \subset \mathbb{R}$  such that  $B_n = \mathbb{R} \setminus F_n$ . Let  $C := \{x\} \cup \bigcup_{n \in \mathbb{N}} F_n$ . Since C is the union of countably many finite sets, so C is countable. Now pick  $y \in \mathbb{R} \setminus C$ . Note that  $y \in B_n$  for any n. Let  $U := \mathbb{R} \setminus \{y\}$ . By definition, U is open, and clearly  $x \in U$ . However, for any  $n \in \mathbb{N}$ ,  $y \in B_n \setminus U$ , so  $B_n \not\subset U$ . This implies that  $\mathcal{B}$  is not a local base at x. Since  $x \in \mathbb{R}$  and  $\mathcal{B}$  was arbitrary, X is not first countable.

**Problem 2.** Show that the real line equipped with the lower limit topology  $\mathbb{R}_{ll}$  is not metrizable.

*Proof.* We first check  $\mathbb{R}_{ll}$  is separable. Recall that X is separable if there exists countable subset whose closure is X. Construct subset  $\{[z,z+1):z\in\mathbb{Z}\}$ . Then their closure  $\overline{\{[z,z+1)\}}=\mathbb{R}$ . Thus,  $\mathbb{R}_{ll}$  is separable.

Now, we claim that  $\mathbb{R}_{ll}$  is not second countable. Let B be basis of  $\mathbb{R}_{ll}$ . By definition of basis, for any open set U and  $x \in U$ , there exists  $B_{\alpha} \in B$  such that  $x \in B_{\alpha} \subset U$ . For all  $x \in \mathbb{R}$ , pick  $U = [x, x + \varepsilon) \in \mathbb{R}_{ll}$  for  $\varepsilon > 0$ . Then, for each  $x \in \mathbb{R}$ , there exists  $B_x \in B$  such that  $x \in B_x \subset [x, x + \varepsilon)$ . This  $B_x$  has an infimum equal to x, so for different x, the corresponding  $B_x$  is different. Thus, the cardinality of B is at least  $|\mathbb{R}|$ , which is uncountable. So, our claim is proved.

**Proposition 5.3** A separable metric space satisfies the second axiom of countability.

If  $\mathbb{R}_{ll}$  is metric space (or metrizable),  $\mathbb{R}_{ll}$  is second countable from above proposition. This is a contradiction. Hence,  $\mathbb{R}_{ll}$  is not metrizable.

**Problem 3.** Let  $f: X \to Y$  be a closed, continuous surjective map between topological spaces. Suppose further that inverse image of any singleton set in Y is compact in X.

(a) Show that if X is Hausdorff, then so is Y.

Proof. Suppose Y is not Hausdorff. There exists distinct  $y_1$ ,  $y_2$  such that there doesn't exist open  $V_1$ ,  $V_2$  with  $V_1 \ni y_1$ ,  $V_2 \ni y_2$ ,  $V_1 \cap V_2 = \emptyset$ . Namely, every open sets containg  $y_1$ ,  $y_2$  respectively have non-empty intersection and so are their inverse images, i.e.  $f^{-1}(V_1) \cap f^{-1}(V_2) = f^{-1}(V_1 \cap V_2) \neq \emptyset$ . Let  $C_1 := f^{-1}(y_1)$ ,  $C_2 := f^{-1}(y_2)$ . Since  $C_1$  and  $C_2$  are compact and disjoint, by Proposition 5.5, there exists disjoint open sets  $U_1 \supset C_1$ ,  $U_2 \supset C_2$ . Now if there exists open sets  $W_1 \ni y_1$ ,  $W_2 \ni y_2$  such that  $f^{-1}(W_1) \subset U_1$ ,  $f^{-1}(W_2) \subset U_2$ , we are done. Because if it is, there is contradiction between  $W_1 \cap W_2 \neq \emptyset$  and  $U_1 \cap U_2 = \emptyset$ . Let  $W_1 := Y \setminus f(X \setminus U_1)$ . Note that  $X \setminus U_1$  is closed,  $f(X \setminus U_1)$  is also closed, and  $Y \setminus f(X \setminus U_1)$  is open in Y. Then, the inverse image of  $W_1$ ,

$$f^{-1}(W_1) = f^{-1}(Y \setminus f(X \setminus U_1)) = f^{-1}(Y) \setminus f^{-1}(f(X \setminus U_1)) = X \setminus f^{-1}(f(X \setminus U_1))$$

where the last equality is due to surjection. Since  $f^{-1}(f(X \setminus U_1)) \supset X \setminus U_1$ , taking complements gives that  $X \setminus f^{-1}(f(X \setminus U_1)) \subset U_1$ . We proved  $f^{-1}(W_1) \subset U_1$ . It is exactly the same with  $W_2$  as well.

(b) Show that if X is normal, then so is Y.

Proof. Since X is Hausdorff, so is Y by (a). What we need to show is that for any disjoint closed sets  $V_1 \ni y_1, V_2 \ni y_2$ , there exists open  $U_1 \supset V_1, U_2 \supset V_2$  with  $U_1 \cap U_2 = \emptyset$ . Since f is continuous,  $f^{-1}(V_1), f^{-1}(V_2)$  are disjoint closed sets in X. By normality of X, there are open  $O_1, O_2$  with  $f^{-1}(V_1) \subset O_1, f^{-1}(V_2) \subset O_2, O_1 \cap O_2 = \emptyset$ . Note that  $f^{-1}(y_1) \subset f^{-1}(V_1) \subset O_1$ . By (a), there are open sets  $W_{y_1}^1, W_{y_2}^2 \subset Y$  with  $f^{-1}(W_{y_1}^1) \subset O_1, f^{-1}(W_{y_2}^2) \subset O_2$ . Let  $W^1 := \bigcup_{y_1 \in V_1} W_{y_1}^1, W^2 := \bigcup_{y_2 \in V_2} W_{y_2}^2$ . Note that  $W^1 \supset V_1, W^2 \supset V_2$ , and they are open. Now we claim that  $W^1 \cap W^2 = \emptyset$ . Suppose that there is  $z \in W^1 \cap W^2$ . Since  $z \in W_{y_1}^1$  for some  $y_1 \in V_1, f^{-1}(z) \subset f^{-1}(W_{y_1}^1) \subset O_1$ . In same way, since  $z \in W_{y_2}^1$  for some  $y_2 \in V_2, f^{-1}(z) \subset f^{-1}(W_{y_2}^2) \subset O_2$ . This is a contradiction to  $O_1 \cap O_2 = \emptyset$  and f is onto map, so  $f^{-1}(z) \neq \emptyset$ .

(c) Show that if X is locally compact, then so is Y.

Proof. What we need to show is that for any  $y \in Y$ , there is open set  $O_y \ni y$  whose closure  $O_y$  is compact. For arbitrary  $y \in Y$ , consider  $f^{-1}(y)$  which is compact. Since X is locally compact, for  $x \in f^{-1}(y)$ , there is open  $U_x$  such that  $\overline{U_x}$  is compact.  $\{U_x : x \in f^{-1}(y)\}$  is open covering of  $f^{-1}(y)$ . Since  $f^{-1}(y)$  is compact, there exists finite subcovering  $U = U_{x_1} \cup \cdots \cup U_{x_n}$ . Note U is open. Let  $\overline{U} := \bigcup_{i=1}^n \overline{U_{x_i}}$ .  $\overline{U}$  is finite union of compact sets, so  $\overline{U}$  is compact. By (a) there is  $V \subset Y$  such that  $y \in V$ ,  $f^{-1}(V) \subset U$ . That is,  $y \in V \subset f(U) \subset f(\overline{U})$ . Since  $\overline{U}$  is compact and f is continuous,  $f(\overline{U})$  is compact. Hence, for any  $y \in Y$ , there is compact subspace  $f(\overline{U})$  that contains a neighborhood f(U) of y.

(d) Show that if X satisfy the second countability, then so is Y.

Proof. Let  $V \subset Y$  open,  $y \in V$ . Then  $f^{-1}(y) \subset f^{-1}(V)$ ,  $f^{-1}(y)$  is compact, and  $f^{-1}(V)$  is open. Since X is second countable, there exists countable basis B of X. For every  $x \in f^{-1}(y)$ , there is  $B_x \in B$  with  $x \in B_x \subset f^{-1}(V)$ .  $\{B_x : x \in f^{-1}(y)\}$  is open covering of  $f^{-1}(y)$ . Since  $f^{-1}(y)$  is compact, there is finite subcovering  $B_y = \bigcup_{i=1}^n B_i$ . By (a), there is open set  $W \subset Y$  such that  $y \in W$ ,  $f^{-1}(W) \subset B_i \subset f^{-1}(V)$ . Thus,  $y \in W \subset V$ .

**Lemma** Let C be collection of open sets of X. For any open set U of X,  $x \in U$ , if there is  $x \in C_{\alpha} \subset U$  where  $C_{\alpha} \in C$ , then C is basis.

Let  $W^J$  be union of all open sets  $W \subset Y$  such that  $f^{-1}(W) \subset \bigcup B_i$ .  $\{W_I : I \text{ finite}\}$  is basis of Y. This is finite. Hence, Y is second countable.