



# Deep Learning Methods for Medical Image Analysis

Junyu Chen

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# About Me

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- Ph.D. candidate at Johns Hopkins University (5<sup>th</sup> year + M.Sc.)
- Research assistant in the Radiological Physics Division
- Research interest:
  - Image analysis and deep learning applied to nuclear medicine imaging
- Personal website:
  - <https://junyuchen245.github.io/>

# Outline

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- Image registration
  - Current methods
  - Instance-specific optimization
  - Transformers
- Image segmentation
  - Current methods
  - Supervised, unsupervised, and semi-supervised learning
- Image denoising
  - Network fine-tuning and incremental learning

# Outline

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- **Image registration**
  - Current methods
  - Instance-specific optimization
  - Transformers
- Image segmentation
  - Current methods
  - Supervised, unsupervised, and semi-supervised methods
- Image denoising
  - Network fine-tuning and incremental learning

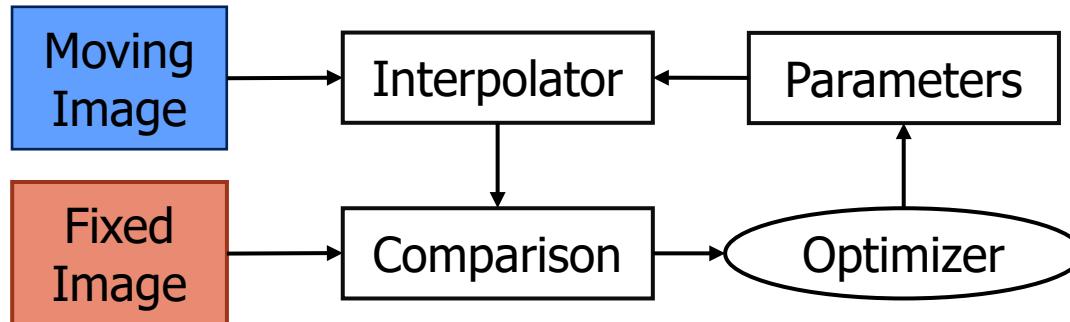
# Image Registration

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- Affine transformation or rigid registration
  - Estimates an optimal geometric transformation that aligns the coordinates of two images
- Deformable image registration
  - Establishes spatial correspondence to minimize the differences between a pair of images

# Image Registration

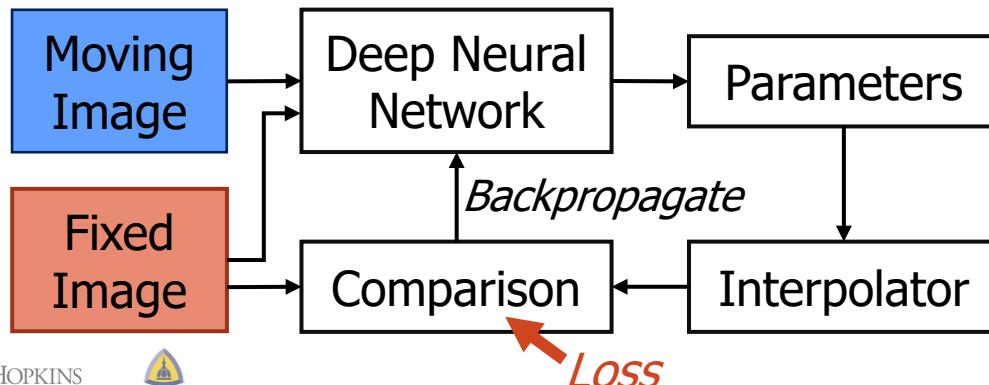
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**Classical Methods**

# Image Registration

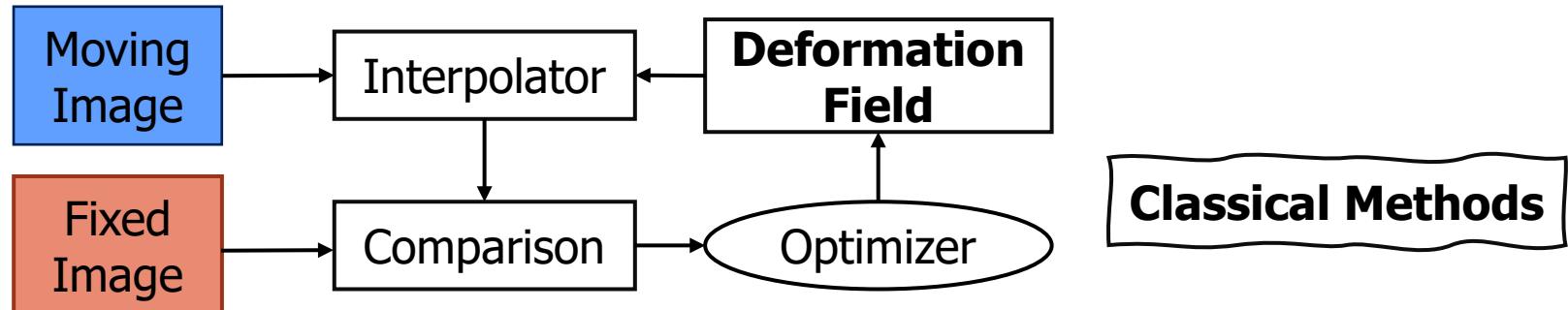
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**Deep Learning**

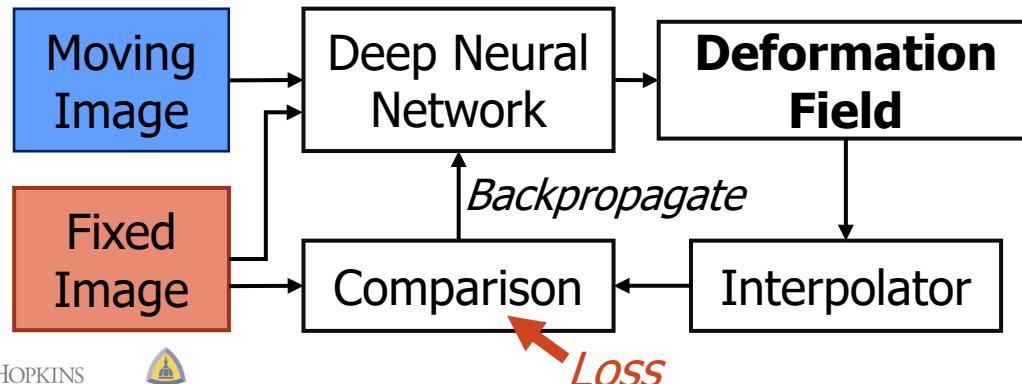
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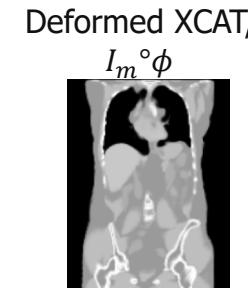
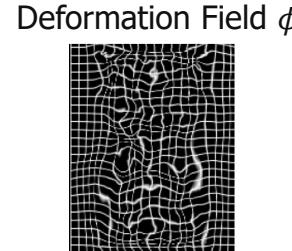
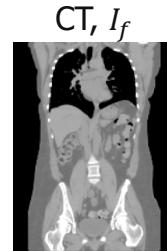


**Deep Learning**

# Deformable Image Registration

- DIR energy function (or loss function):

- $E(I_f, I_m, \phi) = E_{sim}(I_f, I_m \circ \phi) + \lambda R(\phi)$
- $I_f$  – Fixed Image
- $I_m$  – Moving Image
- $\phi$  – Deformation field
- $E_{sim}(I_f, I_m \circ \phi)$  – Image similarity measurement
- $R(\phi)$  – Deformation field regularization



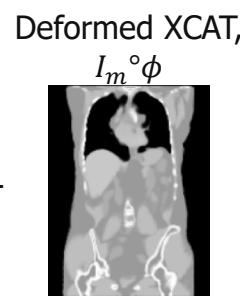
# Deformable Image Registration

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- $E_{sim}(I_f, I_m \circ \phi)$  – **Image similarity measurement**
- $R(\phi)$  – Deformation field regularization

Determines the visual difference between the fixed image and the deformed moving image

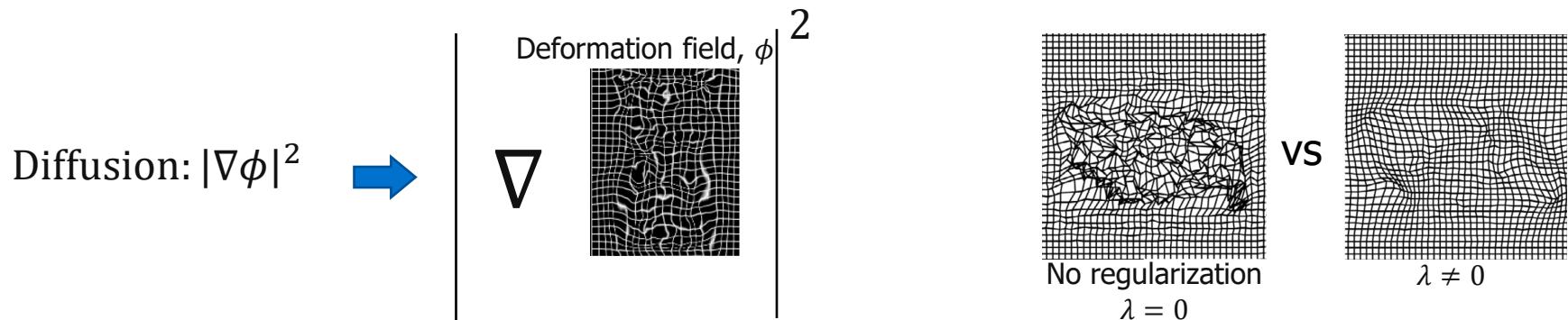
$$MSE: |I_f - I_m \circ \phi|^2 \rightarrow$$



2

# Deformable Image Registration

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  - $E_{sim}(I_f, I_m \circ \phi)$  – Image similarity measurement
  - $R(\phi)$  – **Deformation field regularization**
    - Controlled by  $\lambda$



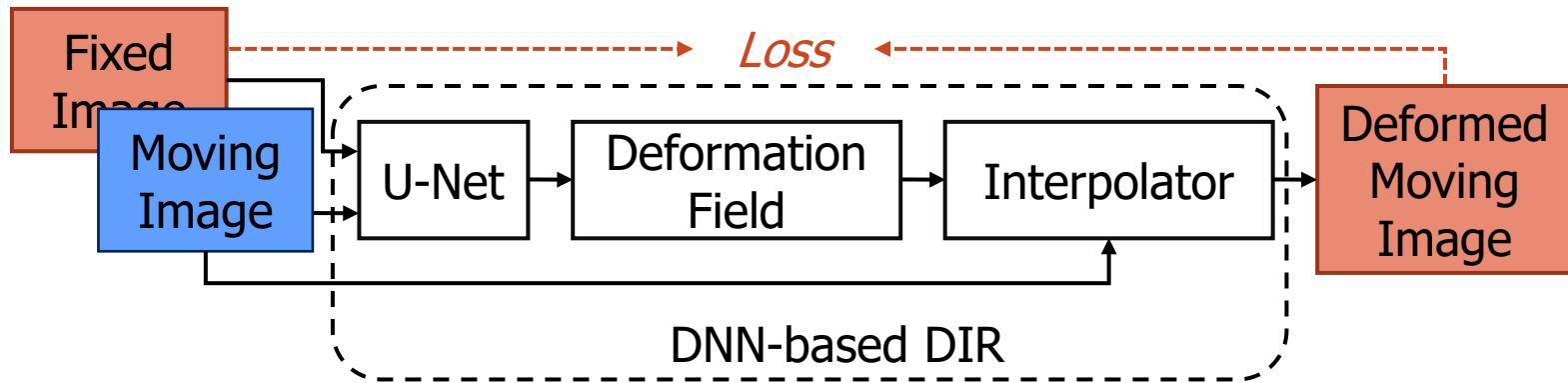
# Deformable Image Registration

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- Traditional DIR algorithms optimize the energy function  $E(I_f, I_m, \phi)$  iteratively
  - Requires an optimization for each given image pair
    - Slow in practice, especially for 3D images
  - Operates in a small parameter space
- DNN-based models
  - Minimize a global loss function for a training dataset
  - Fast during testing
  - Accurate
  - Operate in a large parameter space (typically  $> 1$  million parameters)

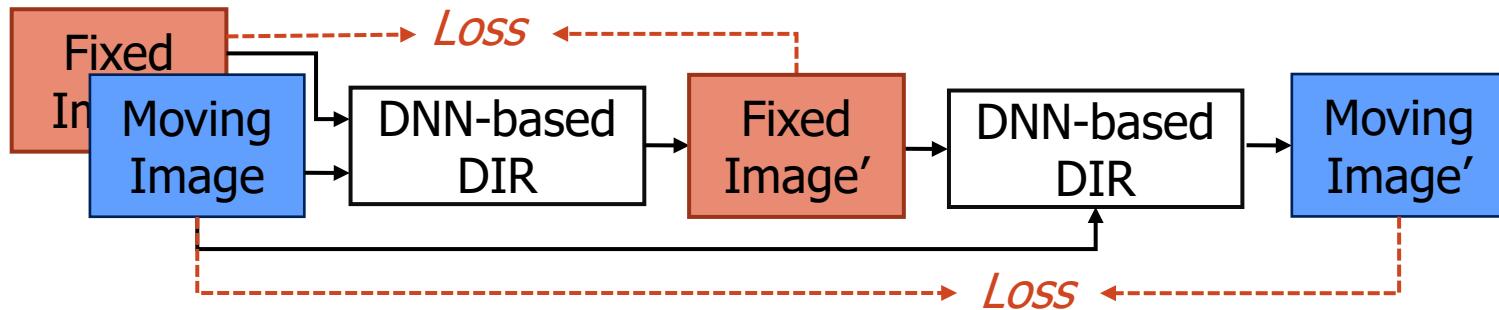
# Current Learning-Based DIR Methods

- VoxelMorph [1]:
  - U-Net
  - End-to-end unsupervised training



# Current Learning-Based DIR Methods

- CycleMorph [1]:
  - Two U-Nets
  - Cycle-consistent training
  - End-to-end unsupervised



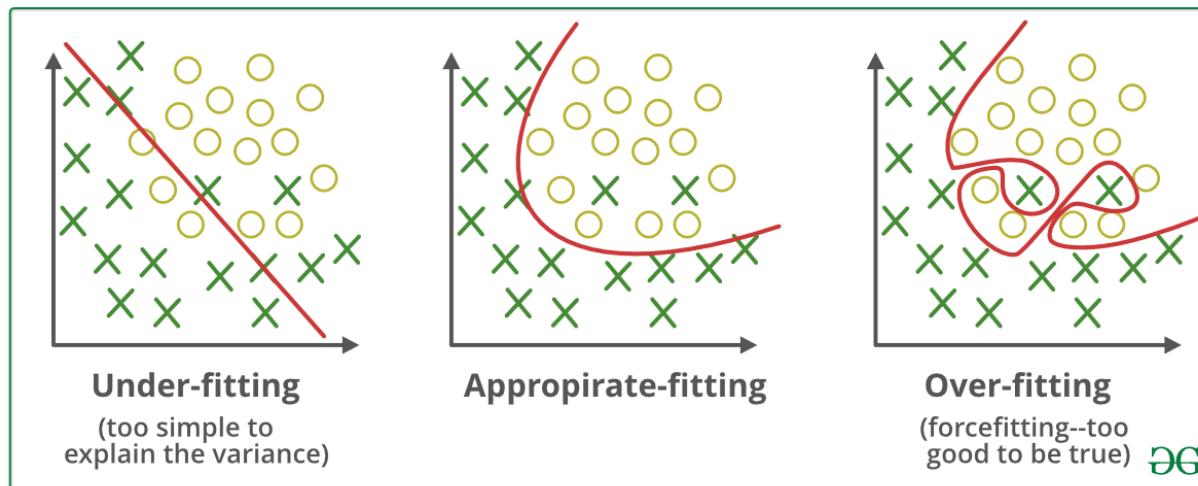
# Proposed Methods

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- Instance-specific optimization
  - **Chen, Junyu**, et al. "Generating anthropomorphic phantoms using fully unsupervised deformable image registration with convolutional neural networks." *Medical physics* 47.12 (2020): 6366-6380.
- Self-supervised learning via Transformers
  - **Chen, Junyu**, et al. "ViT-V-Net: Vision Transformer for Unsupervised Volumetric Medical Image Registration." *Medical Imaging with Deep Learning*. 2021.
  - **Chen, Junyu**, et al. "TransMorph: Transformer for unsupervised medical image registration." arXiv preprint arXiv:2111.10480 (2021).

# Instance-specific Optimization via DNN

- Training a DNN requires a large training dataset
  - Vast number of trainable parameters
  - Easily overfits a small dataset



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- However, in image registration
  - Training is unsupervised (we know the ground truth – fixed image!)
  - Overfitting may aid in improving registration performance



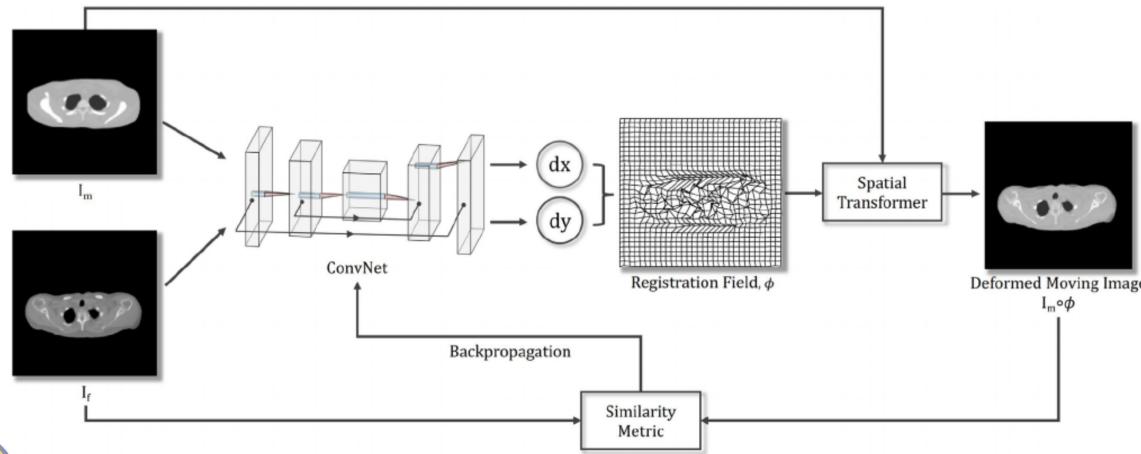
# Instance-specific Optimization via DNN

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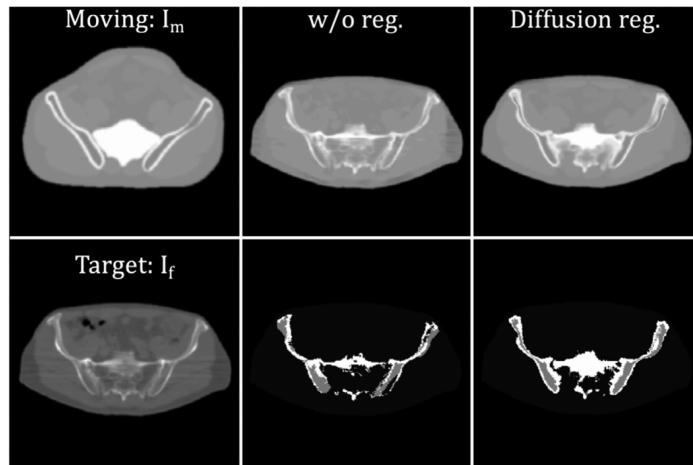
- Training a DNN requires a large training dataset
  - Vast number of trainable parameters
  - Easily overfits a small dataset
- However, in image registration
  - Training is unsupervised (we know the ground truth – fixed image!)
  - Overfitting may aid in improving registration performance
- We propose to “train” a DNN on a single image pair
  - Minimize a pair-wise loss function
  - **Instance-specific optimization**

# Instance-specific Optimization via DNN

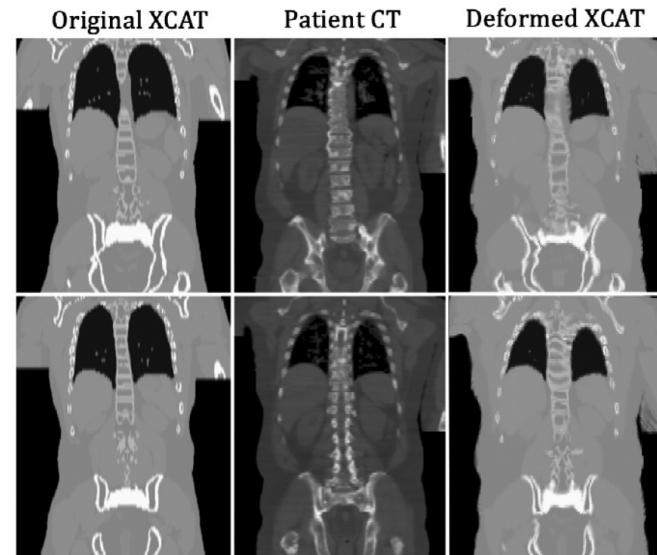
- We treat a convolutional neural net (ConvNet) as an optimization tool:
  - Initialize a new ConvNet for each image pair
  - Minimize a pair-wise loss function  $E(I_f, I_m, \phi) = E_{sim}(I_f, I_m \circ \phi) + \lambda R(\phi)$
  - Update ConvNet's parameters in each iteration



# Instance-specific Optimization via DNN



Regularization vs without regularization



Two example coronal slices of the original XCAT, clinical CT, and the deformed XCAT

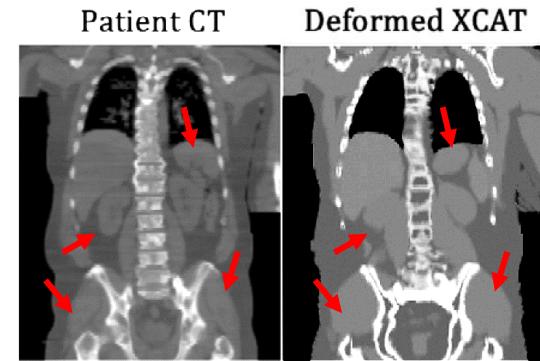
# Instance-specific Optimization via DNN

- **Advantage:**

- Can be used when dataset is small
- No training is needed
- Good registration accuracy

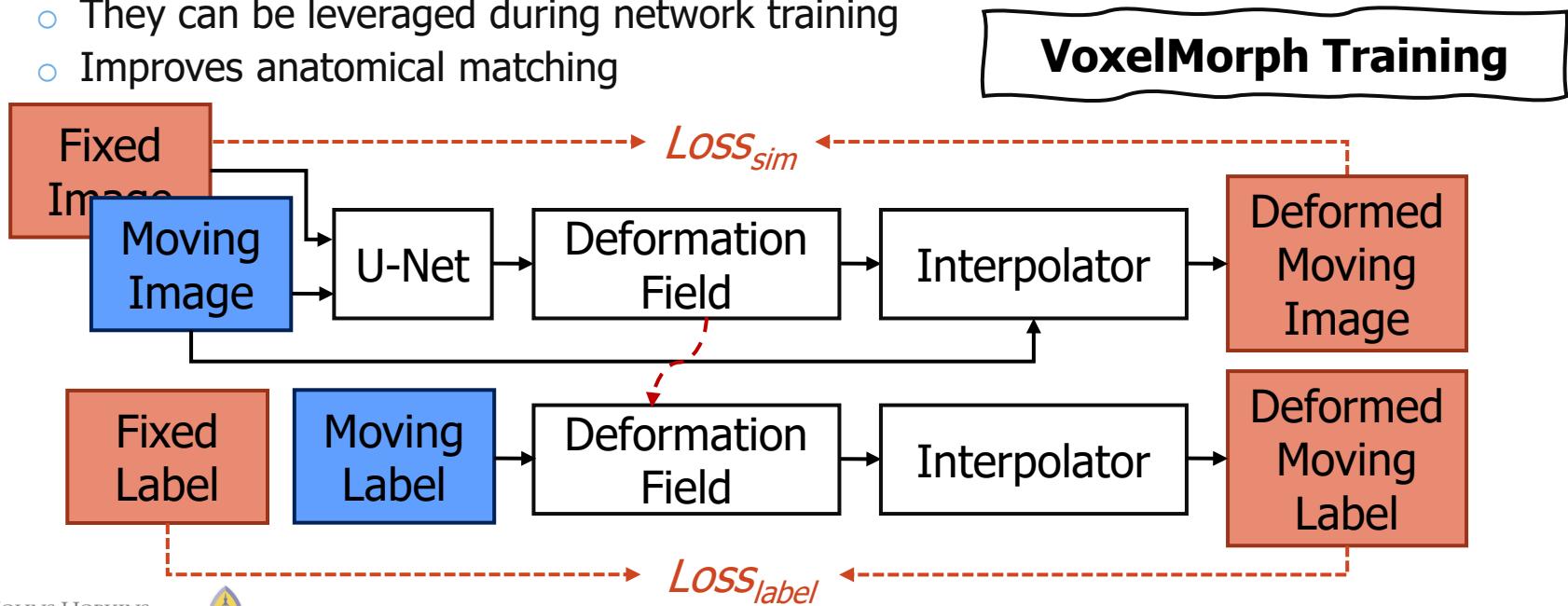
- **Disadvantage:**

- Slow in practice
  - An optimization is needed for each pair
- Cannot ensure a precise anatomical matching
  - Especially, in regions with low contrast



# Self-supervised learning via DNN

- If the anatomical labels are available
  - They can be leveraged during network training
  - Improves anatomical matching



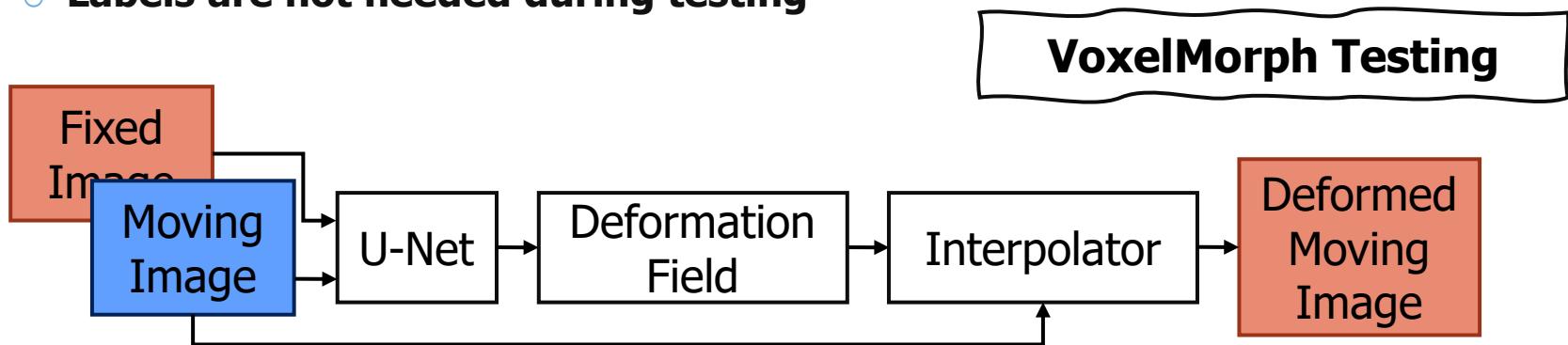
# Self-supervised learning via DNN

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- If the anatomical labels are available
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  - Improves anatomical matching
- Overall loss function:
  - $\mathcal{L}(I_f, I_m, \phi) = \mathcal{L}_{sim}(I_f, I_m \circ \phi) + \mathcal{L}_{seg}(S_f, S_m \circ \phi) + \lambda R(\phi)$
  - $S_f, S_m$ : Anatomical labels of fixed and moving images
  - $\mathcal{L}_{seg}$ : Dice loss

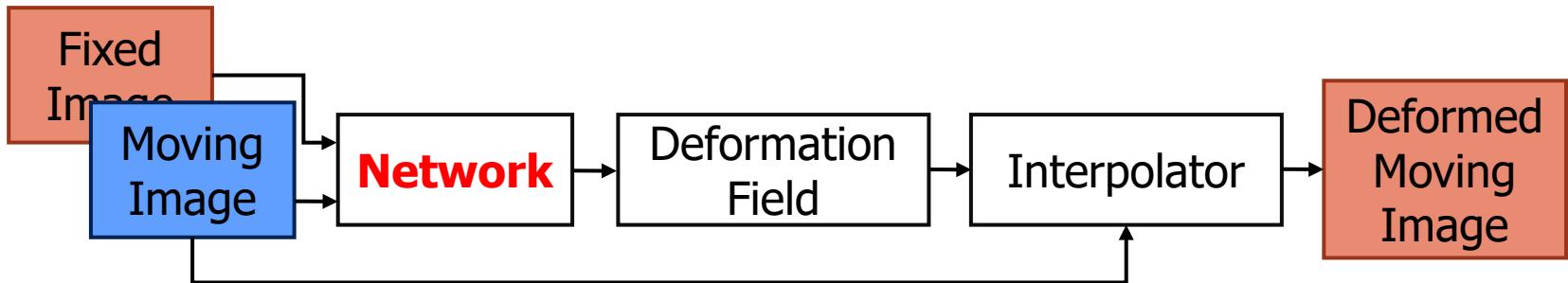
# Self-supervised learning via DNN

- If the anatomical labels are available
  - They can be leveraged during network training
  - Improves anatomical matching
  - **Labels are not needed during testing**



# ConvNet vs Transformers

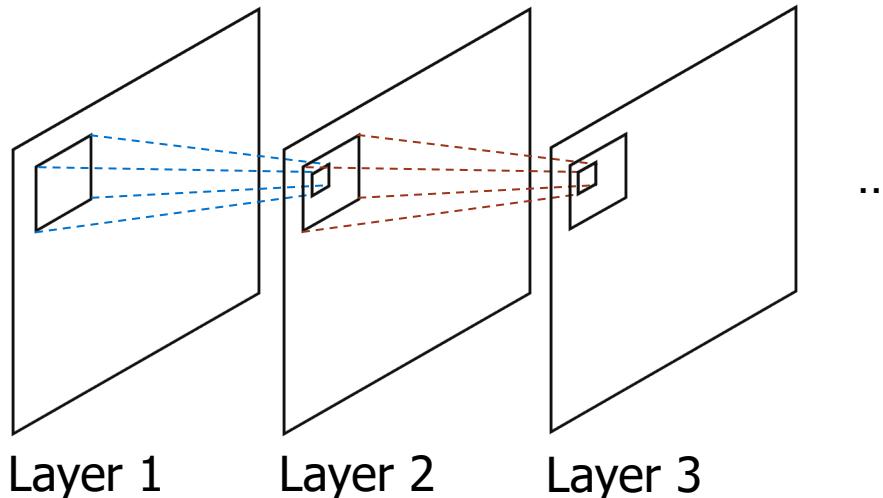
- For details, please refer to:
  - Chen, Junyu, et al. "ViT-V-Net: Vision Transformer for Unsupervised Volumetric Medical Image Registration." *Medical Imaging with Deep Learning*. 2021.
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# ConvNet vs Transformers

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- Most of the existing DNN-based registration methods use U-Net
- Problems with U-Net (or ConvNets in general)
  - Limited receptive field
  - The sizes of the receptive fields increase with the number of layers



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- Problems with U-Net (or ConvNets in general)
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  - The sizes of the receptive fields increase with the number of layers

Image credit: <https://www.baeldung.com/cs/cnn-receptive-field-size>

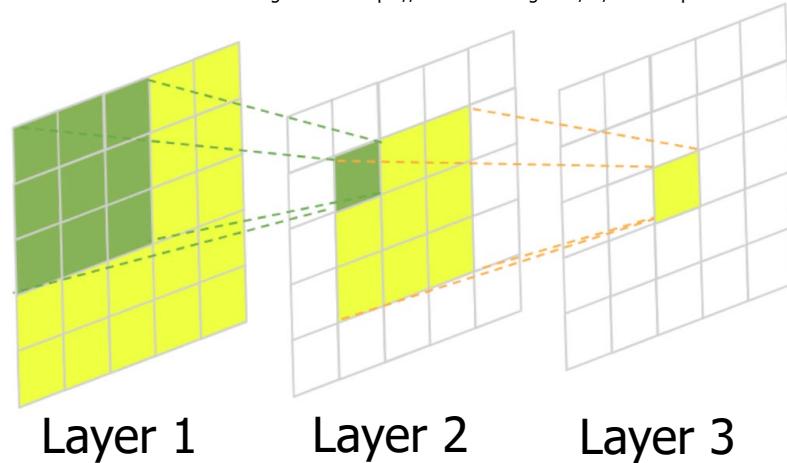
## Theoretical Receptive Field:

$$\sum_{i=1}^L ((k_i - 1) \prod_{j=1}^{l-1} s_j) + 1$$

Layer 1: 3

Layer 2:  $(3-1)+(3-1)+1=5$

Layer 3:  $(3-1)+(3-1)+(3-1)+1=7$



# ConvNet vs Transformers

## ■ U-Net

Small receptive fields

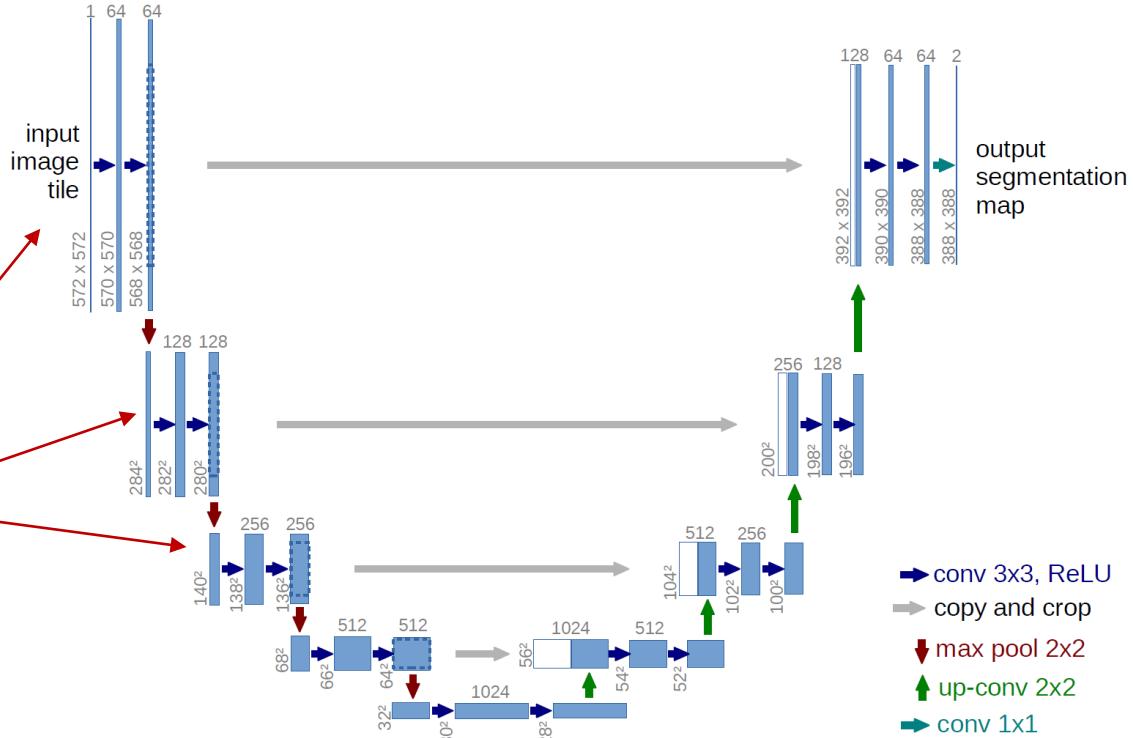
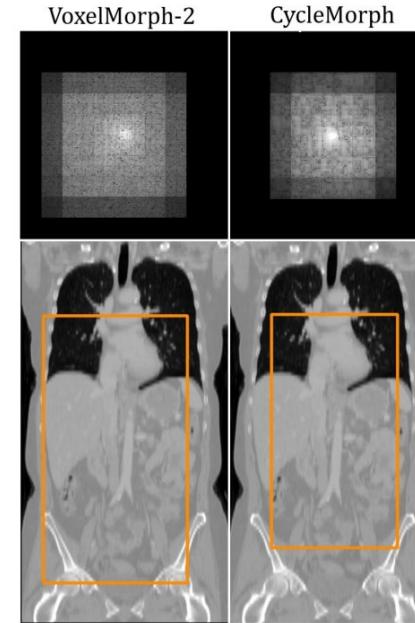


Image obtained from [1]

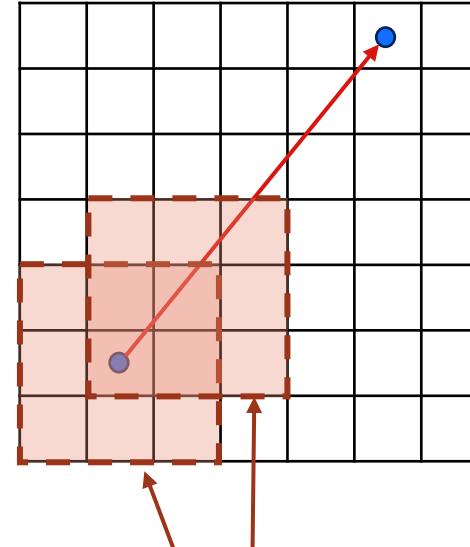
# ConvNet vs Transformers

- U-Net
  - Effective receptive field of the entire network is still smaller than the image



# ConvNet vs Transformers

- U-Net
  - Difficult to model long-range spatial relationship (at least in the first several layers)



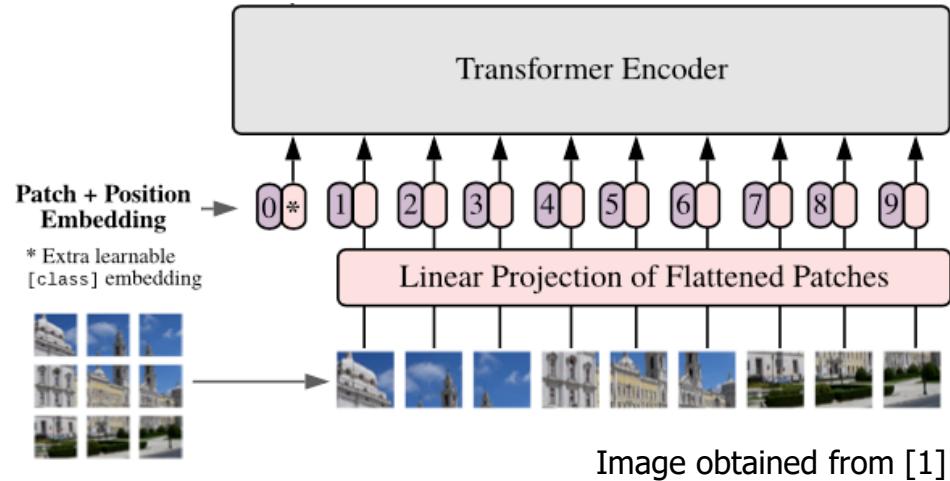
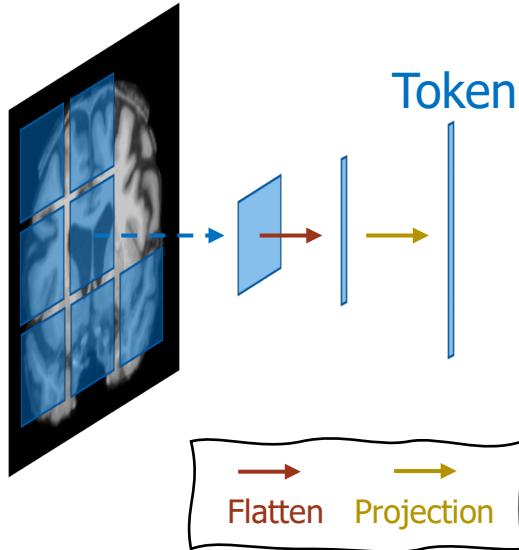
# ConvNet vs Transformers

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- Transformers
  - Originated from NLP
  - Adapted to computer vision tasks by Google:
    - Vision Transformer [1]
  - Have shown promising performances for medical imaging tasks:
    - [https://github.com/junyuchen245/Transformer\\_for\\_medical\\_image\\_analysis](https://github.com/junyuchen245/Transformer_for_medical_image_analysis)
  - Self-attention mechanism
    - Large receptive field (spans over the entire image) for each layer

# ConvNet vs Transformers

- Transformers



# ConvNet vs Transformers

- Transformers

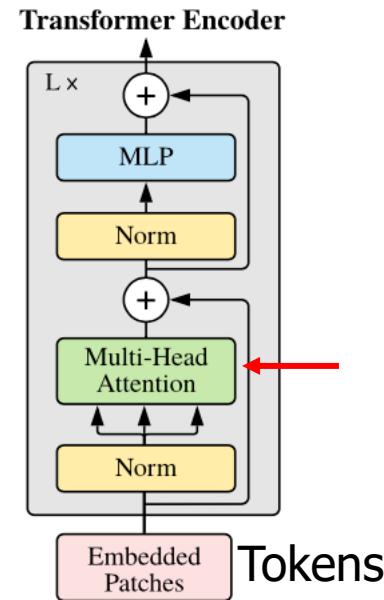
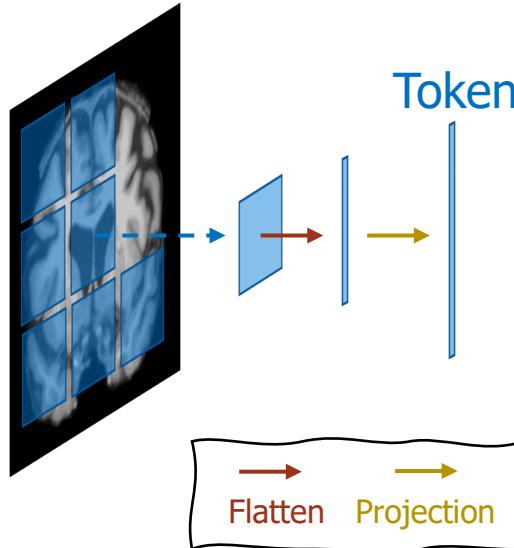


Image obtained from [1]

# ConvNet vs Transformers

- Transformers

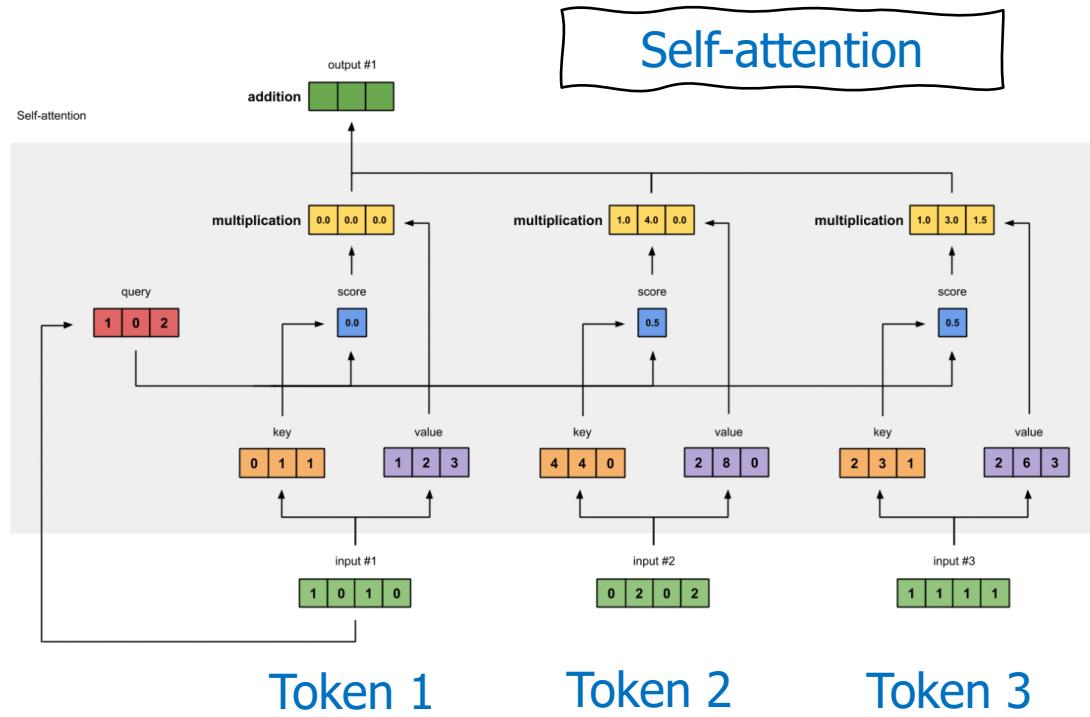
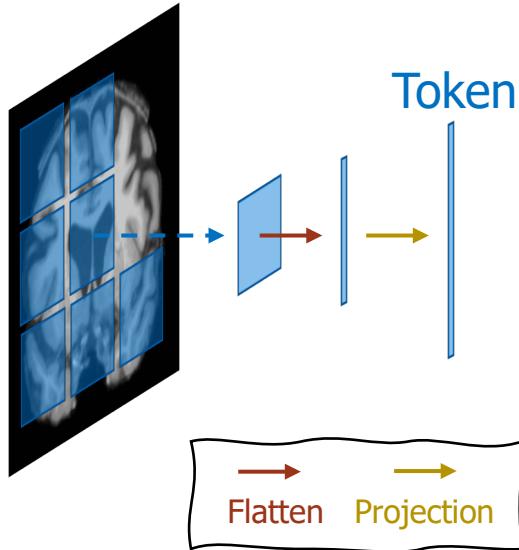
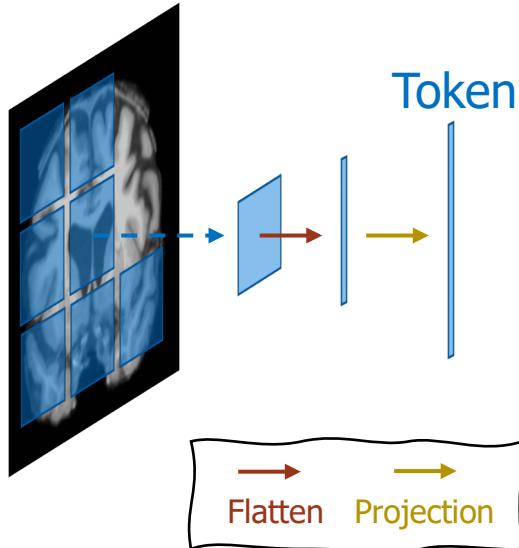


Image credit: <https://towardsdatascience.com/illustrated-self-attention-2d627e33b20a>

# ConvNet vs Transformers

- Transformers



Self-attention

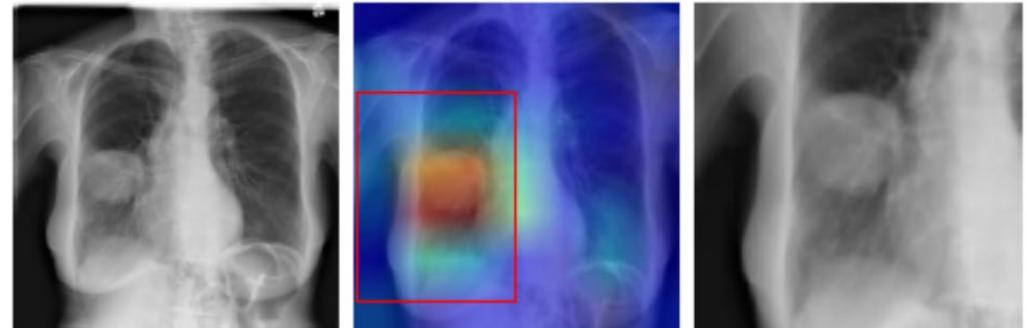
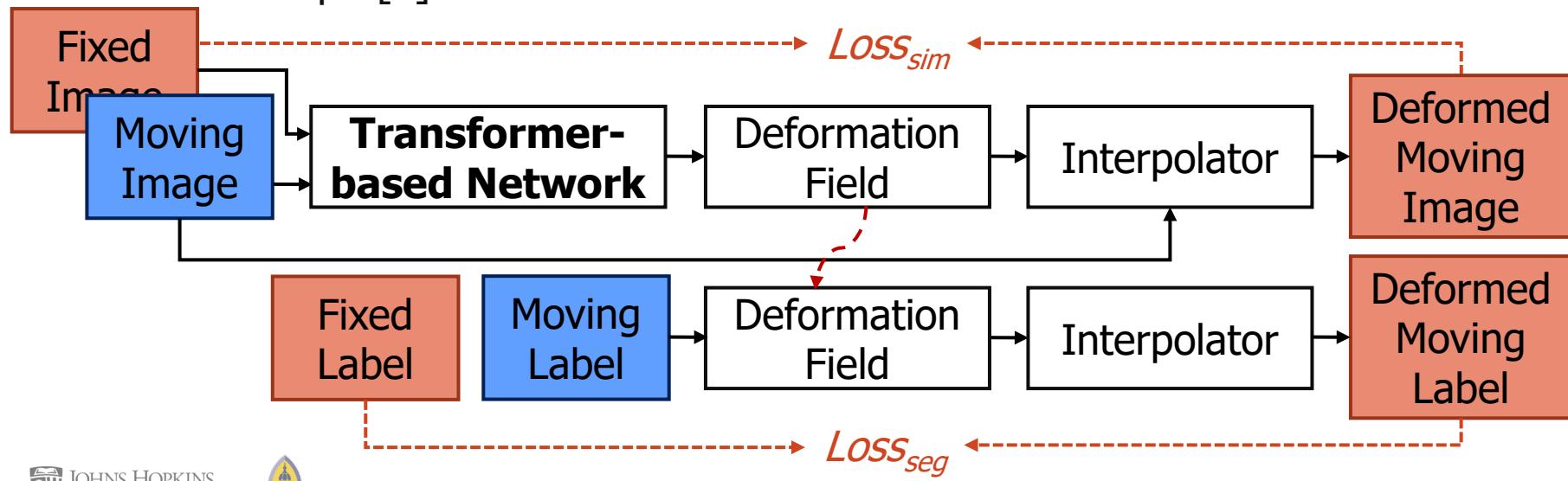


Image credit: <https://towardsdatascience.com/self-attention-in-computer-vision-2782727021f6>

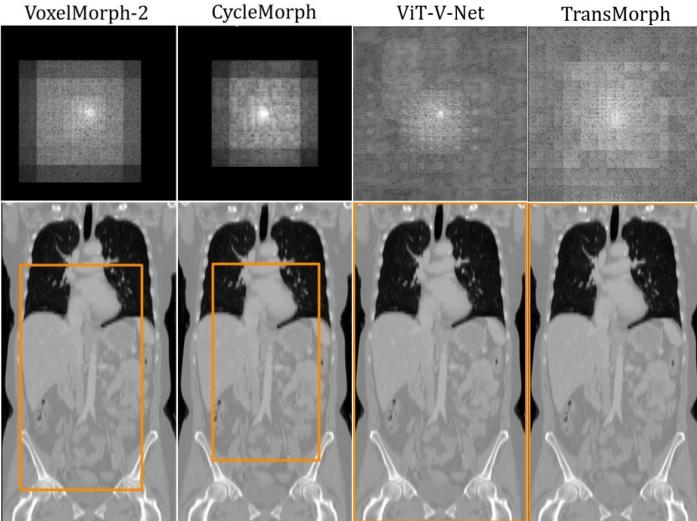
# Transformer for medical image registration

- We proposed two network architectures for image registration:
  - ViT-V-Net [1]
  - TransMorph [2]



# Transformer for medical image registration

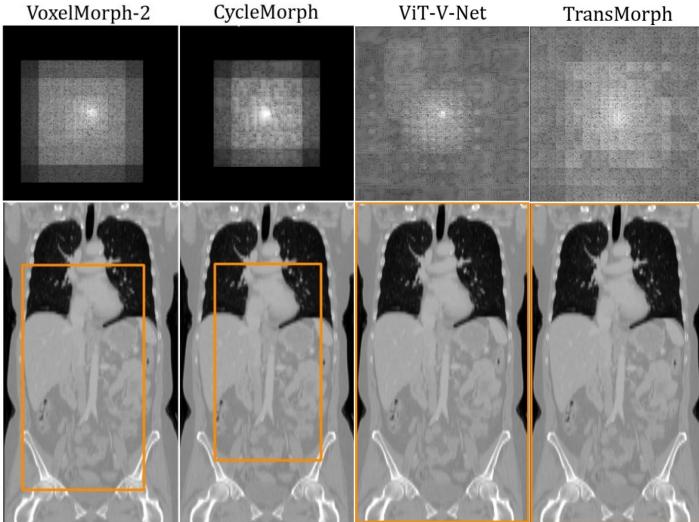
- We proposed two network architectures for image registration:
  - Large receptive field
  - State-of-the-art performance



Model	DSC	% of $ J_\phi  \leq 0$
Affine	$0.386 \pm 0.195$	-
SyN	$0.639 \pm 0.151$	$<0.0001$
NiftyReg	$0.640 \pm 0.166$	$<0.0001$
LDDMM	$0.675 \pm 0.135$	$<0.0001$
deedsBCV	$0.733 \pm 0.126$	$0.147 \pm 0.050$
VoxelMoprh-1	$0.723 \pm 0.130$	$1.590 \pm 0.339$
VoxelMoprh-2	$0.726 \pm 0.123$	$1.522 \pm 0.336$
VoxelMorph-diff	$0.577 \pm 0.165$	$<0.0001$
CycleMorph	$0.730 \pm 0.124$	$1.719 \pm 0.382$
MIDIR	$0.736 \pm 0.129$	$<0.0001$
ViT-V-Net	$0.728 \pm 0.124$	$1.609 \pm 0.319$
PVT	$0.721 \pm 0.128$	$1.858 \pm 0.314$
CoTr	$0.729 \pm 0.135$	$1.292 \pm 0.342$
nnFormer	$0.740 \pm 0.134$	$1.595 \pm 0.358$
TransMorph-Bayes	$0.746 \pm 0.123$	$1.560 \pm 0.333$
TransMorph-diff	$0.599 \pm 0.156$	$<0.0001$
TransMorph-bspl	<b><math>0.752 \pm 0.128</math></b>	$<0.0001$
TransMorph	$0.746 \pm 0.128$	$1.579 \pm 0.328$

# Transformer for medical image registration

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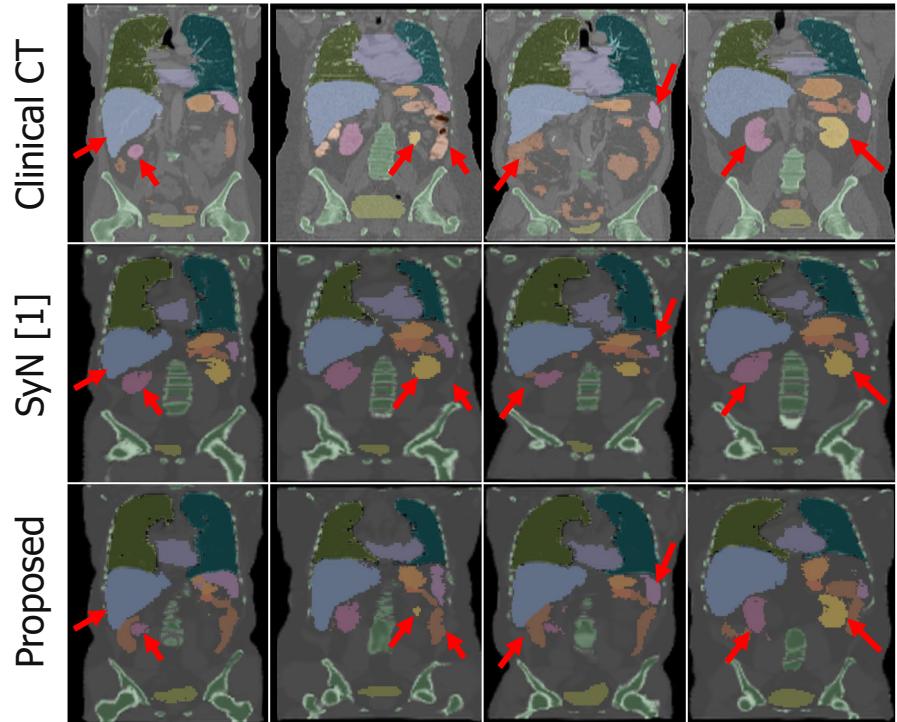


Task 3 Validation Leaderboard

#	User (Team)	Created	-Task 3---	DICE	Comment	Publication	Algorithm Description
1st	jchen245	18 Feb. 2022	0.8623 ± 0.0144	TransMorph-Large			
2nd	cwmokab	19 Sept. 2021	0.8610 ± 0.0148	Docker_submission			
3rd	han-sie	13 Nov. 2021	0.8464 ± 0.0159	task03 convexAdam nnUNet3_01			
4th	hanluyi4869	12 Sept. 2021	0.8410 ± 0.0139	final simple submission			
5th	ericchan000	3 Feb. 2022	0.8381 ± 0.0127	test_5			
6th	mattiaspaul	12 Nov. 2021	0.8368 ± 0.0167	ConvexAdam nnUNet			
7th	IWM	13 Sept. 2021	0.8282 ± 0.0141	Exp6			
8th	neighbor_wang	20 Aug. 2021	0.8271 ± 0.0131	PCNet_v2			
9th	VIDAR	21 Aug. 2021	0.8133 ± 0.0203	I am so vegetable			
10th	svesal	6 Sept. 2021	0.8102 ± 0.0157	PD_Baseline			

# Transformer for medical image registration

- Qualitative results:
  - Anatomical segmentation superimposed over the input CT and the registration results
  - Input XCAT:



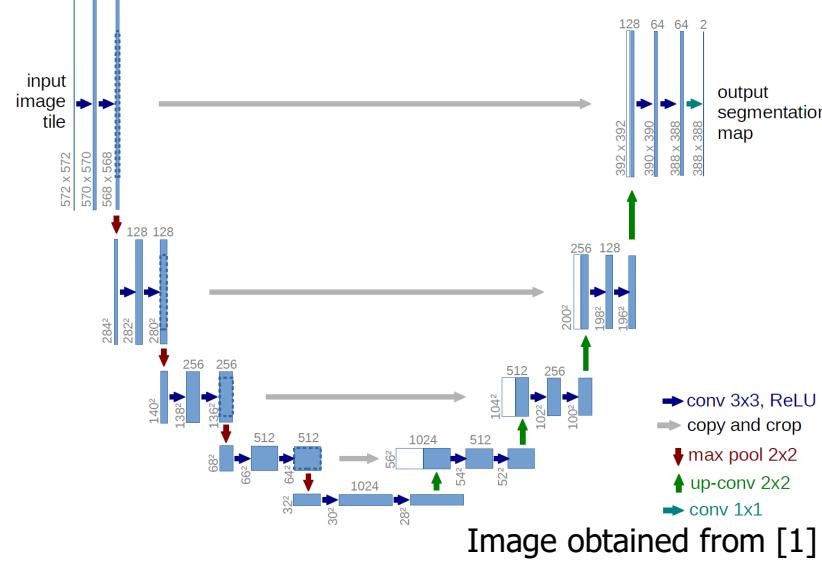
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  - Transformers
- **Image segmentation**
  - Current methods
  - Supervised, unsupervised, and semi-supervised methods
- Image denoising
  - Network fine-tuning and incremental learning

# Current DNN-based Methods

- U-Net [1]
  - Hour-glass shaped encoder and decoder network
- U-Net++ [2]
- nnU-Net [3]



# Current DNN-based Methods

- U-Net [1]
- **U-Net++ [2]**
  - Introduced more skip connections
  - Deep supervision
- nnU-Net [3]

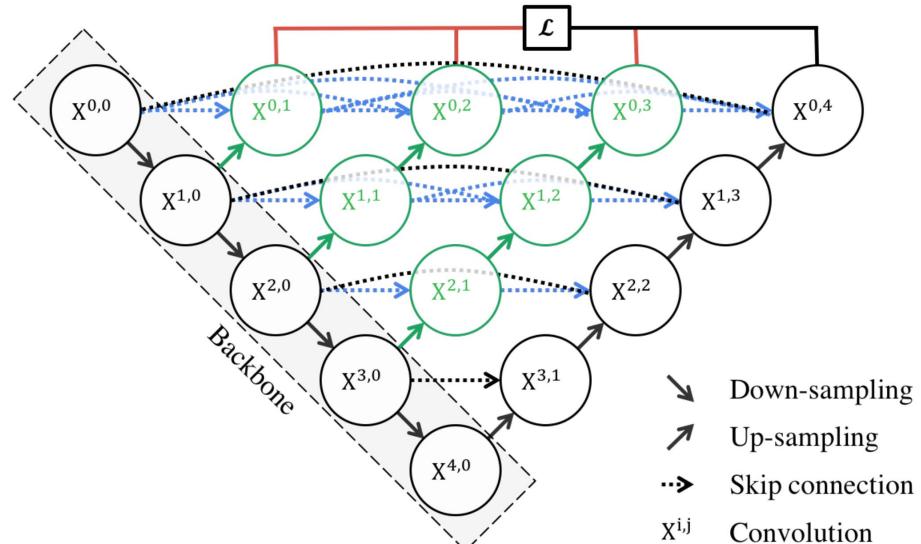


Image obtained from [2]

# Current DNN-based Methods

- U-Net
- U-Net++
- **nnU-Net [3]**
  - “no new” U-Net
  - Refine preprocessing steps and training hyper-parameters
  - State-of-the-art performances on several publicly available datasets

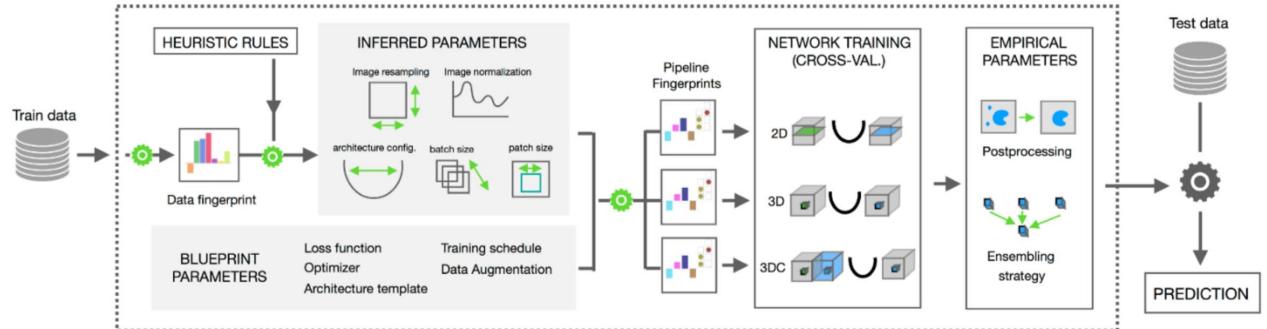


Image obtained from [3]

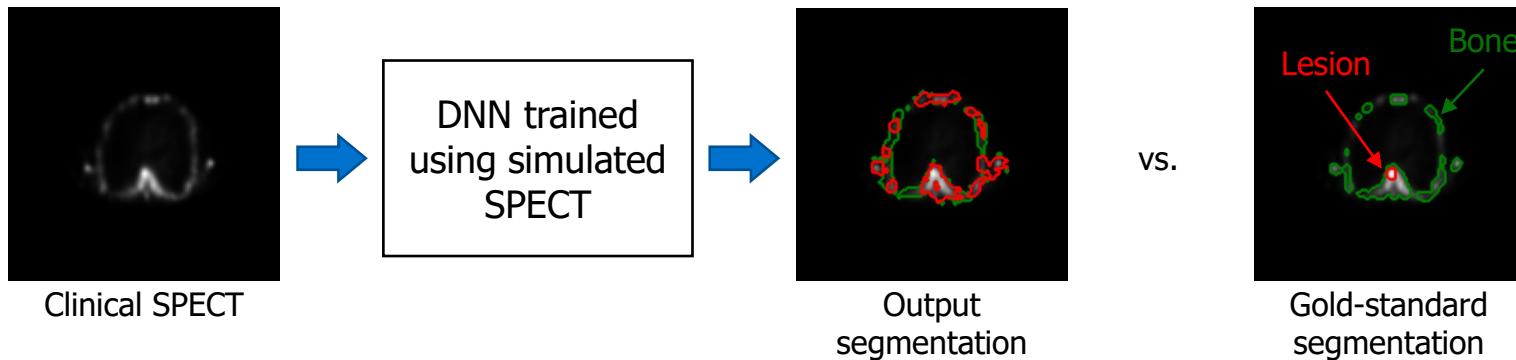
# Insufficient Training Data

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- Existing supervised DNN-methods
  - Focus on how to improve performance when the amount of training data is large
- There are a lot of publicly available manually annotated datasets for other modalities
  - Brain MRI (BraTS [1])
  - Liver CT, Kinney CT... (LiTS [2], KiTS [3])
- In our application, the training data we have is very limited
  - No public dataset available for NMI

# Insufficient Training Data

- Realistic simulations based on computerized phantoms
  - DNNs trained using simulations did not generalize well on clinical images
  - Known as “domain-shift”: clinical and simulated SPECT came from two different (but related) distributions



# Domain Adaptation Methods

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- Domain-shift problem could be alleviated using:
  - Fine-tuning
  - Adversarial domain adaptation
  - ...
- These methods usually require a small dataset of images from the new domain (i.e., clinical SPECT)
  - Quantitative bone SPECT is not the standard of care image
  - The clinical images was just enough for evaluation purposes
- **How can we make DNNs more robust?**

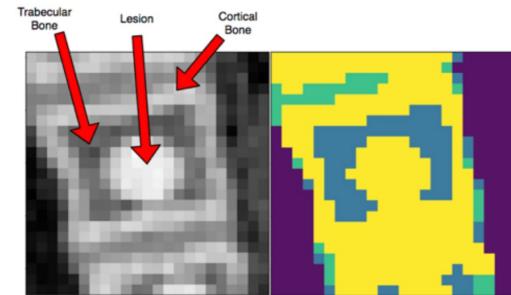
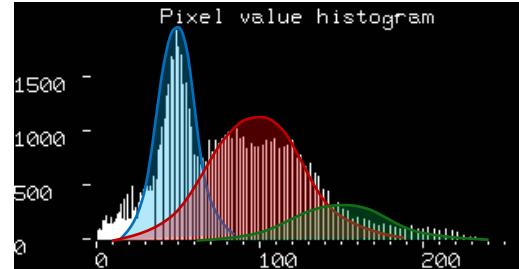
# Making DNNs More Robust

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- For details, please refer to:
  - **Chen, Junyu**, and Eric C. Frey. "Medical Image Segmentation via Unsupervised Convolutional Neural Network." *Medical Imaging with Deep Learning*. 2020.
  - **Chen, Junyu**, et al. "Learning fuzzy clustering for SPECT/CT segmentation via convolutional neural networks." *Medical physics* 48.7 (2021): 3860-3877.

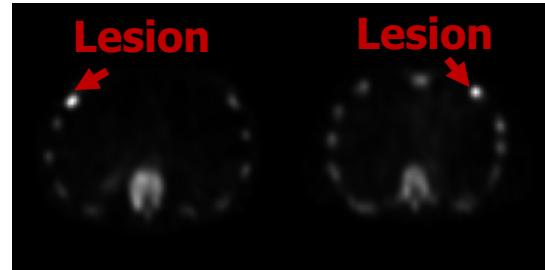
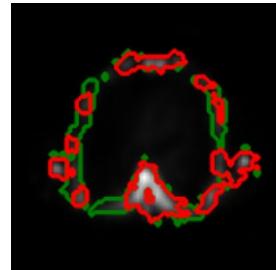
# Rethinking Unsupervised Segmentation

- Clustering methods (**domain insensitive**):
  - They model statistical distributions in intensity values of each given image
  - Difficult to incorporate supervised information (shapes, patterns, etc.)
- Conventional DNN training scheme:
  - Learns shapes and patterns from training dataset
  - Does not carefully consider intensity distributions in images
- Bridging unsupervised methods with deep learning



# Rethinking Unsupervised Segmentation

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# Traditional Unsupervised Methods

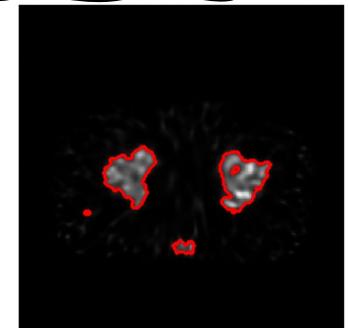
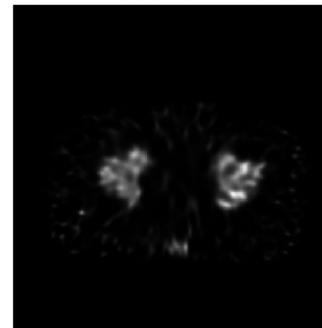
- **Active Contour without Edges [1]:**

- $$J_{ACWE} = \mu \text{Length}(C) + \nu \text{Area}(\text{inside}(C)) + \sum_{j \in \text{inside}(C)} |y_j - c_1| + \sum_{j \in \text{outside}(C)} |y_j - c_2|$$

- $\mu, \nu$ : Hyper-parameters
- $C$ : Segmentation contour
- $c_1, c_2$ : Mean intensity inside and outside the contour

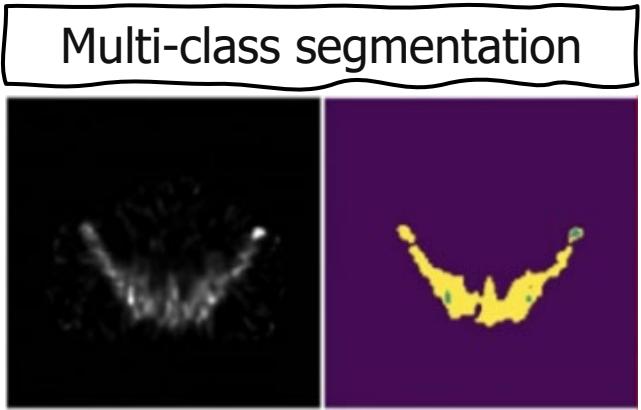
- Classical FCM [2]

Binary segmentation



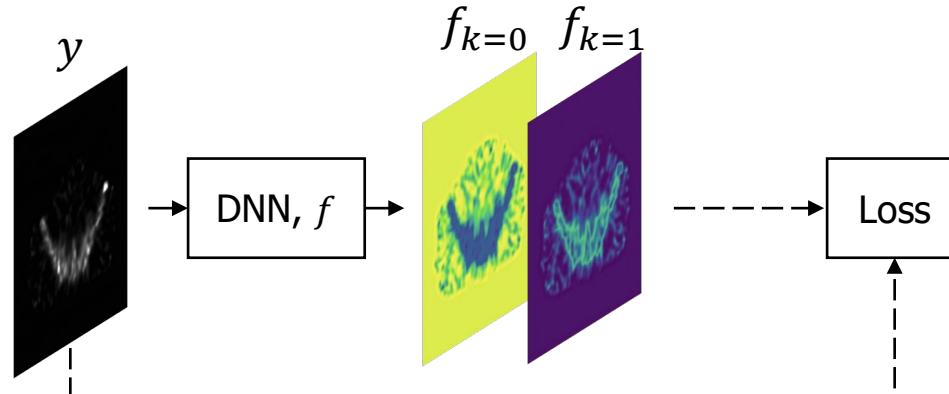
# Traditional Unsupervised Methods

- Active Contour without Edges [1]
- **Classical FCM [2]:**
  - $J_{FCM} = \sum_{j \in \Omega} \sum_{k=1}^C u_{jk}^q \|y_j - v_k\|^2$ 
    - $u$ : membership function
    - $q$ : parameter for controlling fuzzy overlap
    - $v_k$ : class mean
  - Assigning voxels with intensity values close to the class mean  $v_k$  with a greater membership value  $u$



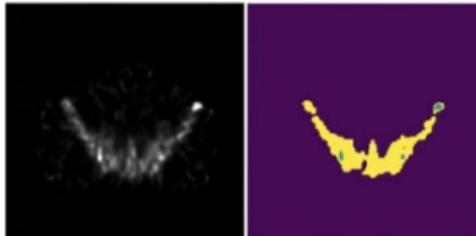
# Unsupervised DNN Training

- Apply deep neural networks to solve the objective functions
  - $\mathcal{L}_{ACWE} = v\text{Area}(f(\mathbf{y}; \boldsymbol{\theta}) > 0) + \sum_{j \in f(\mathbf{y}; \boldsymbol{\theta}) > 0} |y_j - c_1| + \sum_{j \in f(\mathbf{y}; \boldsymbol{\theta}) < 0} |y_j - c_2|$
  - $\mathcal{L}_{FCM} = \sum_{j \in \Omega} \sum_{k=1}^C f_{jk}^q(\mathbf{y}; \boldsymbol{\theta}) \|y_j - v_k\|^2$
  - $f(\mathbf{y}; \boldsymbol{\theta})$ : the output of a network  $f$  with parameters  $\boldsymbol{\theta}$  and input images  $\mathbf{y}$

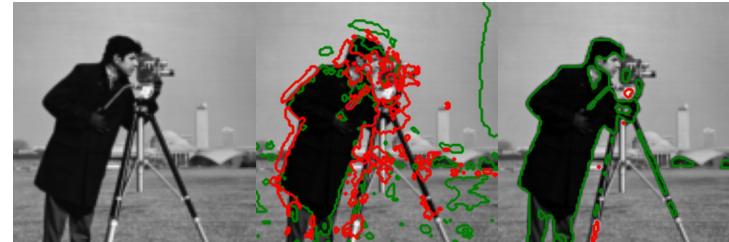


# Unsupervised DNN Training

- The unsupervised loss functions are not dependent on ground truth labels (i.e., gold-standard delineations from physicians)
  - Enforces DNNs to learn intensity distributions within the training images
- A simple proof of concept:
  - We trained a DNN with the proposed loss function using the SPECT simulations



The trained DNN applied to a SPECT simulation



The trained DNN applied to a natural image – a domain that is entirely different from the training dataset

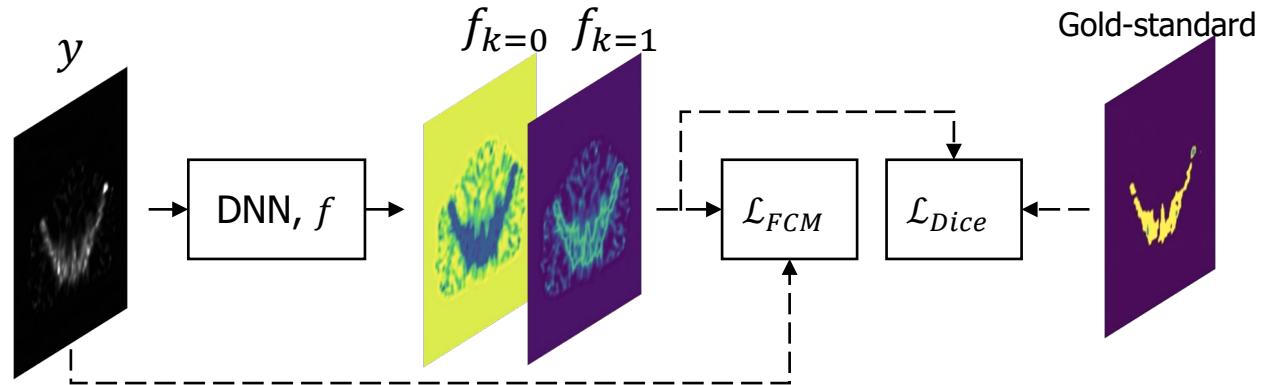
$$\mathcal{L}_{DSC}$$

$$\mathcal{L}_{FCM}$$

Different domain  
Still works!!

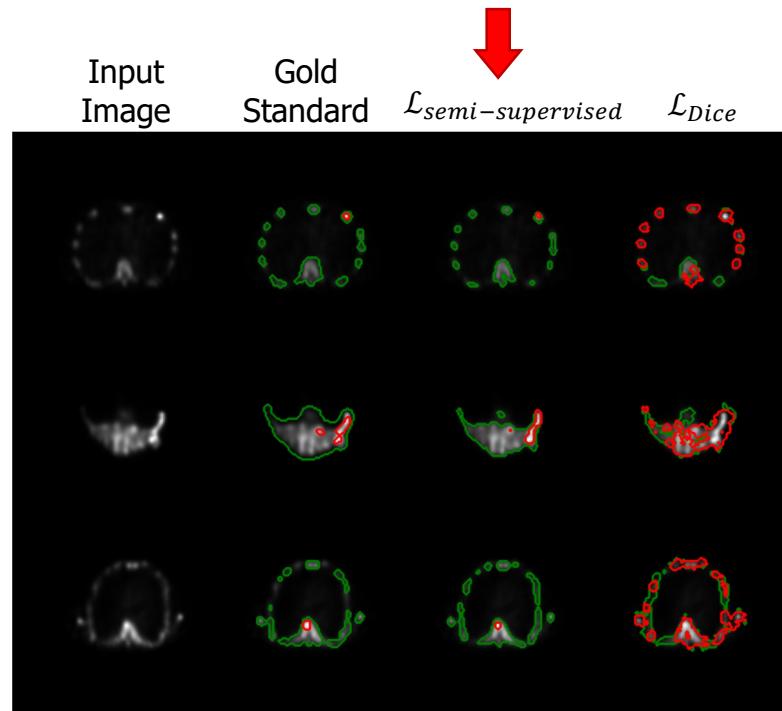
# Semi-supervised DNN Training

- One step further:
  - Combine the unsupervised loss with a supervised loss to embrace the supervised information from the anatomical labels
  - $\mathcal{L}_{semi-supervised}(\mathbf{y}; \boldsymbol{\theta}) = \mathcal{L}_{unsupervised}(\mathbf{y}; \boldsymbol{\theta}) + \alpha \mathcal{L}_{supervised}(\mathbf{y}; \boldsymbol{\theta})$
  - $\mathcal{L}_{supervised}$  can be any supervised loss functions:
    - Dice, cross-entropy, etc.
  - $\alpha$ : a parameter that controls the degree of supervision



# Semi-supervised DNN Training

- Qualitative results:



# Outline

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- Image registration
  - Current methods
  - Instance-specific optimization
  - Transformers
- Image segmentation
  - Current methods
  - Supervised, unsupervised, and semi-supervised methods
- **Image denoising**
  - Network fine-tuning and incremental learning

# Image Denoising

- Traditional PET image denoising methods:
  - Post-filtering: Gaussian filters, non-local means with anatomical prior [1]
  - Iterative reconstruction with prior [2]
  - Good performance but computational expensive
    - Energy optimization for each given image

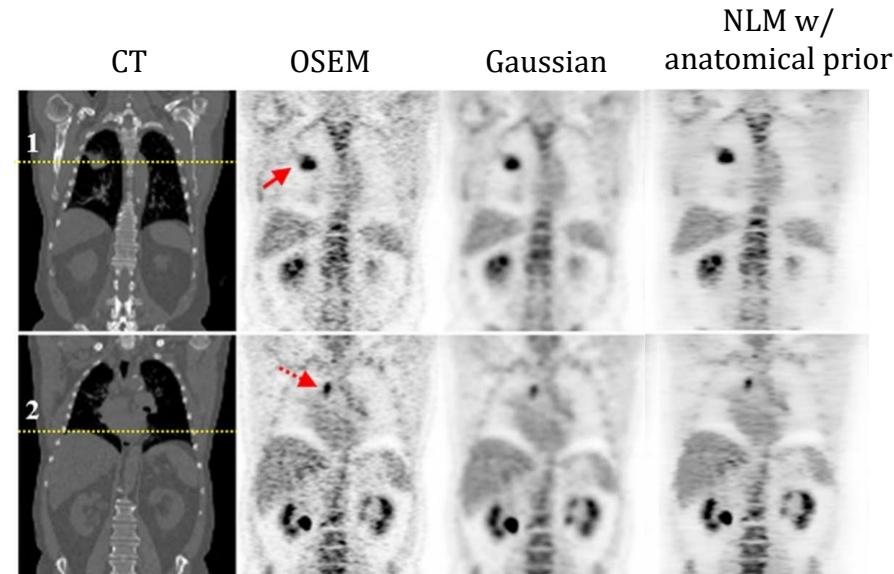


Image obtained from [1]

# DNN-based Image Denoising

- Deep image prior [1,2]:
  - Unsupervised reconstruction of low-noise image
  - Similar to instance-specific optimization, but early stopping to prevent overfitting
- Denoising convolutional neural networks (DnCNN) [3,4]

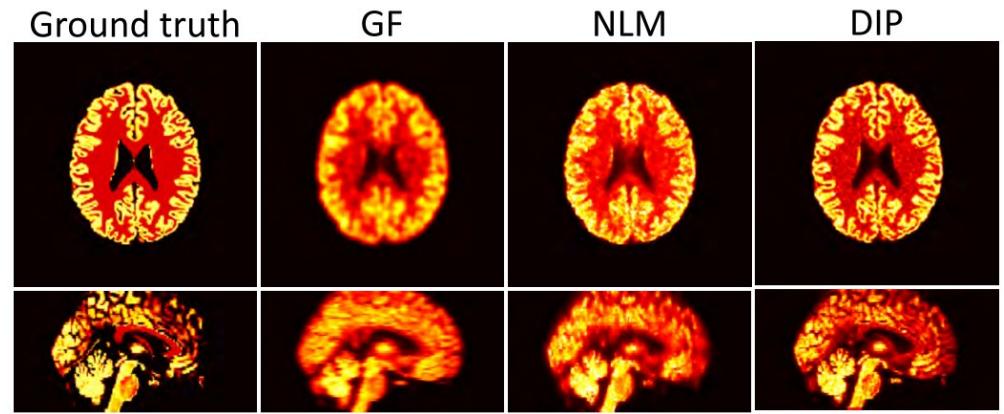
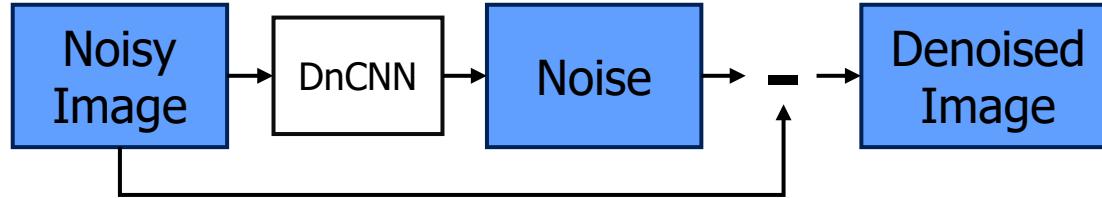


Image obtained from [2]

# DNN-based Image Denoising

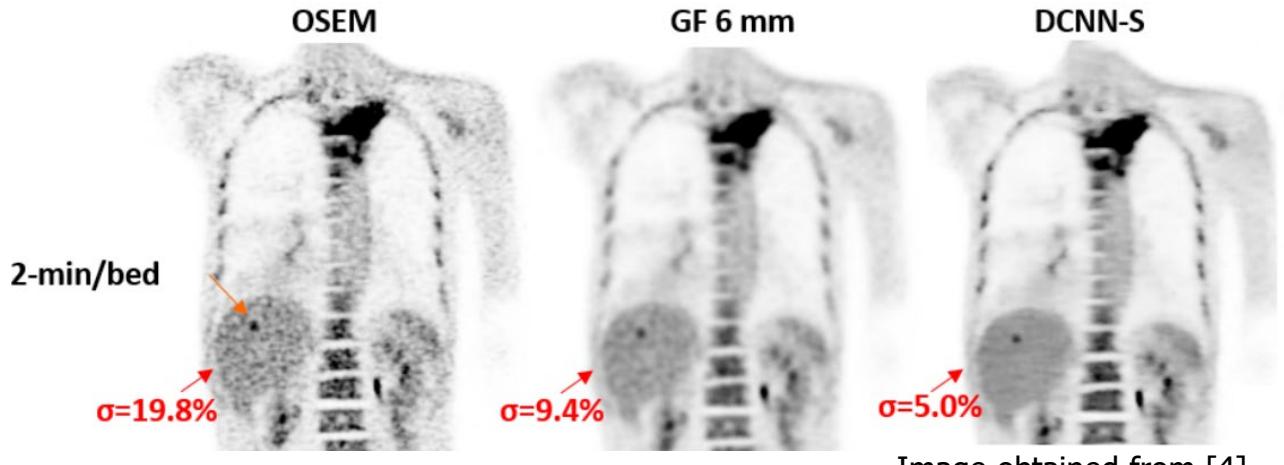
Image obtained from [2]

- Deep image prior [1,2]:
- Denoising convolutional neural networks (DnCNN) [3,4]
  - Predicts noise instead of reconstructing a low-noise image



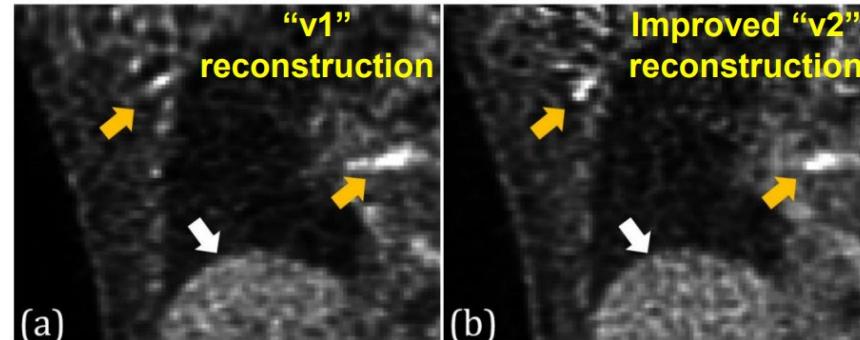
# DNN-based Image Denoising

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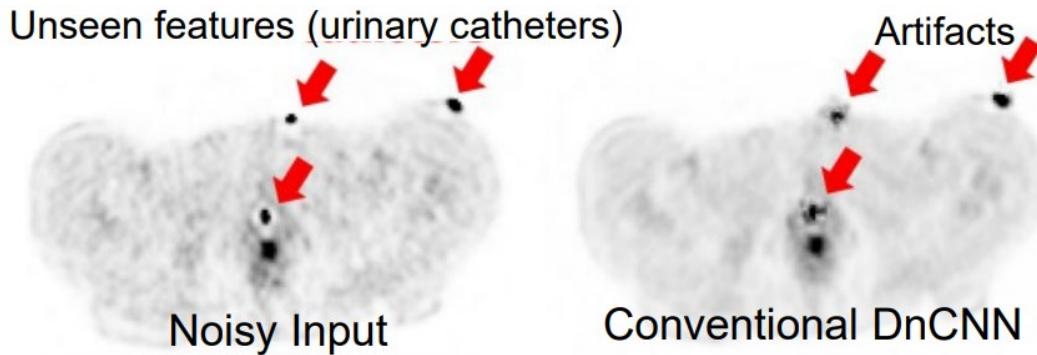
# Challenges in Medical Image Denoising

- Change in imaging protocols
  - In product development, there is often a need to improve the imaging protocols (i.e., scan or reconstruction protocol) during a product development phase
  - This requires regenerating the training datasets with the updated protocol followed by **retraining the denoising network**
- A trained DNN often produces suboptimal predictions on unseen features



# Challenges in Medical Image Denoising

- Change in imaging protocols
- A trained DNN often produces suboptimal predictions on unseen features



# Challenges in Medical Image Denoising

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- Existing methods that can be used to avoid retraining a pretrained DNN from scratch
  - Fine-tuning, joint training, and continual learning
  - They either suffer from catastrophic forgetting or they require revisiting data from the previous tasks

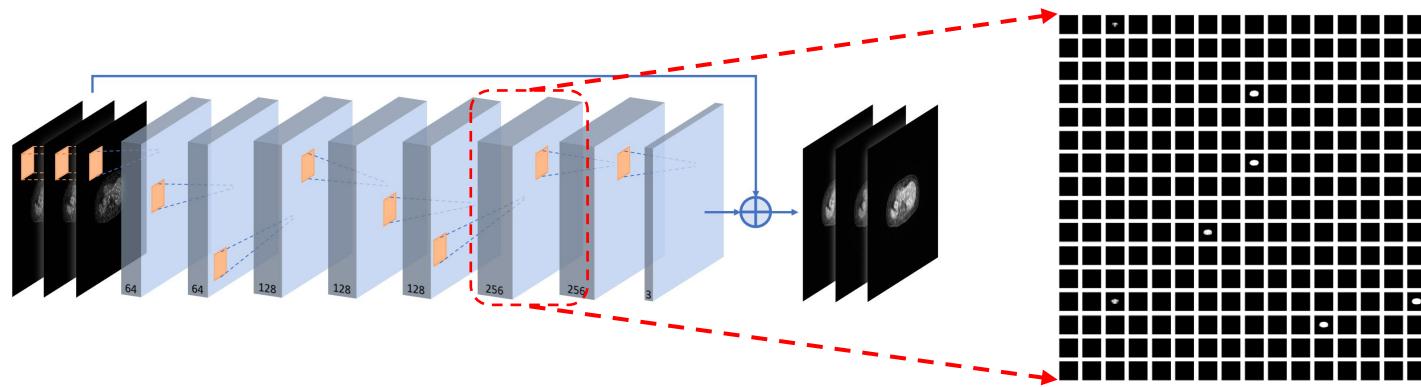
# Targeted Gradient Descent

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- We proposed a network fine-tuning and online-learning scheme that
  - Adapts a pretrained DCNN to new imaging protocols with the minimum need for additional training data
  - Adapts a pretrained DCNN to individual testing image to avoid producing artifacts on unseen features
- This work was done during my internship at Canon Medical Research USA
- For details, please refer to:
  - **Chen, Junyu**, Evren Asma, and Chung Chan. "Targeted Gradient Descent: A Novel Method for Convolutional Neural Networks Fine-tuning and Online-learning." *International Conference on Medical Image Computing and Computer-Assisted Intervention (MICCAI)*. Springer, Cham, 2021.

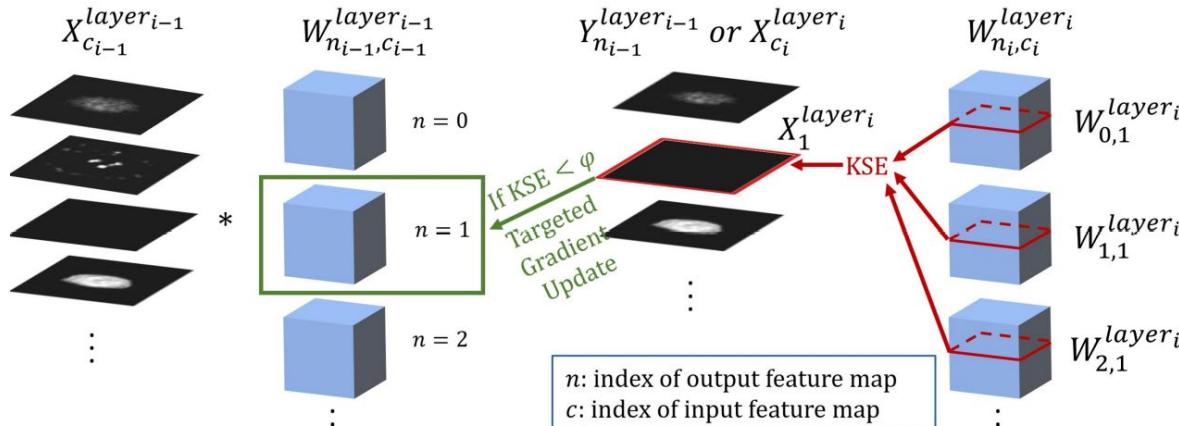
# Targeted Gradient Descent

- “Useless/redundant” feature maps exist in a pretrained ConvNet:
  - ConvNet did not effectively use all of its kernels
  - Some of the kernels generates useless/redundant feature maps



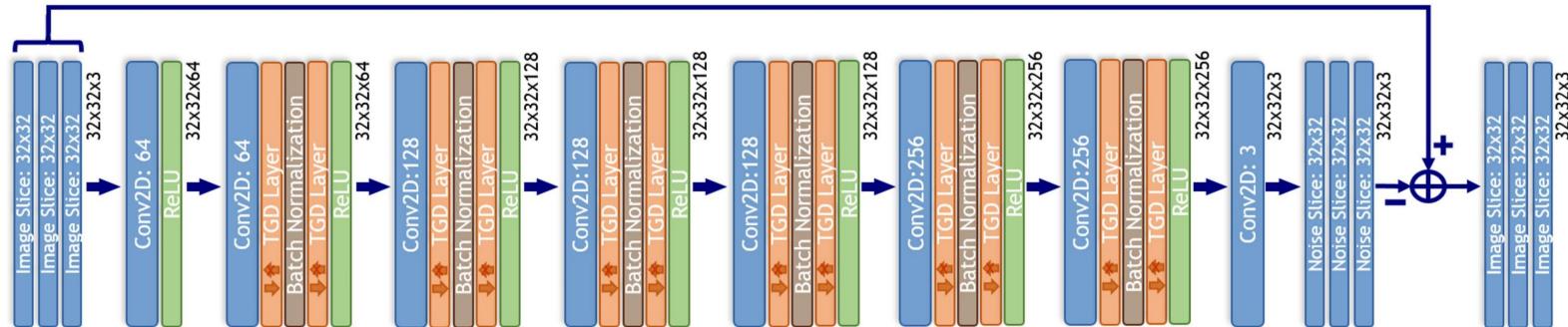
# Targeted Gradient Descent

- We specifically retrain the kernels that generates these feature maps using a small dataset
- Realized via a “Targeted Gradient Descent (TGD)” layer



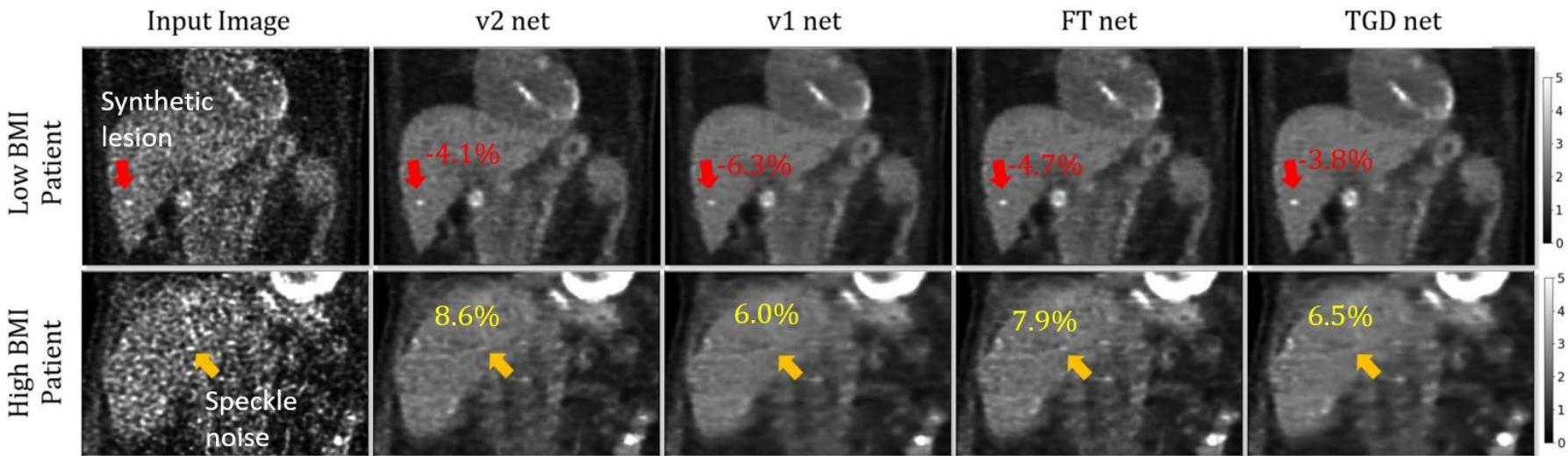
# Targeted Gradient Descent

- We specifically retrain the kernels that generates these feature maps using a small dataset from a new imaging protocol
  - Realized via a “Targeted Gradient Descent (TGD)” layer



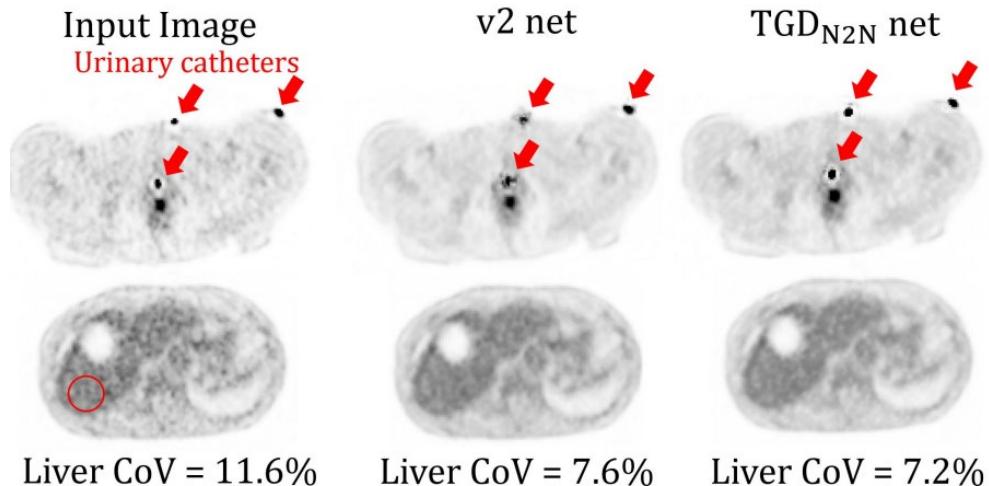
# Targeted Gradient Descent

- The red numbers indicate the ensemble bias (%) comparing to the ground truth
- The yellow numbers denote the liver CoV (%)



# Targeted Gradient Descent

- The red arrows indicate the urinary catheters
- TGD training successfully removed those artifacts



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# JOHNS HOPKINS

## WHITING SCHOOL *of* ENGINEERING