

SE22UECM053 – VENKATESH VISHNUDAS

SE22UARI188 – LALITHYA YELAVARTHI

SE22UCAM002 – ANANT S KUMAR

SE22UARI005 – ABHIRAM AYLA

## 1. Problem statement

The assignment is to build an **automatic bin-level SKU verification system** for a warehouse / e-commerce setting.

For each storage bin we have:

- A **bin image** (`bin-images/XXXX.jpg`)
- A **metadata JSON** (`metadata/XXXX.json`) listing:
  - ASIN / SKU
  - Product title
  - Expected quantity in that bin

The system must:

1. Detect all products visible in the bin image.
2. Match detections to the SKUs from metadata.
3. Estimate per-SKU quantity from detections.
4. Compare predicted vs expected quantities and classify each SKU as:
  - `FULL_MATCH` – correct or nearly correct count
  - `PARTIAL_OCCLUDED` – under-count, likely due to occlusion
  - `NOT_FOUND` – SKU expected but not detected
  - `OVERCOUNT` – more items detected than expected (potential false positives)

On top of this, the project includes:

- Full **EDA** on images, metadata and model outputs.
  - A sequence of **pipeline versions** (v1–v5) with a comparison study.
  - A small **Streamlit app** that behaves like a “smart invoice / order validator” on top of the model outputs.
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## 2. Data and repository structure

Relevant top-level layout (after your refactor):

```
applied-ai-assignment1/
├─ app/
│   ├── __init__.py
│   └─ streamlit_app.py          # Streamlit UI (main app entrypoint)
├─ bin-images/                  # Bin photos (00001.jpg,
00002.jpg, ...)
│   └─ ... (data, usually not all in GitHub)
├─ legacy_pipelines/
│   ├── __init__.py
│   ├── validate_full_pipeline_v2.py # Older baseline pipeline
│   └─ validate_full_pipeline_v4_clip018.py
├─ metadata/                    # Per-bin JSON metadata
(00001.json, ...)
├─ mlops/
│   ├── __init__.py
│   ├── evaluate_model_v3_counts.py # Evaluation + count-style metrics
│   └─ plot_results.py           # CLI plotting for metrics
├─ models/
│   ├── __init__.py
│   └─ validate_full_pipeline_v5.py # Final CV pipeline (v5:
BOX=0.17, CLIP=0.20)
├─ notebooks/                   # EDA / experimentation notebooks
├─ out/
│   └─ summary_*.csv            # Evaluation summaries (v1–v5)
```

```

| ├── *_detected_*.jpg          # Visualisations with drawn boxes
| └── inference_log.csv (optional)

└── weights/

| ├── GroundingDINO_SwinT_OGC.py # GroundingDINO config | └──
groundingdino_swint_ogc.pth      # GroundingDINO weights (large, may be local only)

└── .gitignore
└── Assignment #1 [Applied AI for Industry] (1).pdf
└── README.md
└── evaluate_model.py           # Older eval script
└── evaluate_model_v2.py
└── plot_results.py            # Older plotting script
└── requirements.txt
└── s3_downloader.py           # Helper to fetch data from S3
└── sanity_check.py            # Quick sanity run script
└── validate_full_pipeline.py   # Very first strict prototype
└── validate_full_pipeline_v3_dino_loose.py # Intermediate "loose
DINO" version

```

- Each **JSON** has a **BIN\_FCSKU\_DATA** dict mapping ASIN → {name, quantity}.

The **summary CSVs** are one row per (bin, SKU) with:

image\_id, asin, sku\_name, expected, visible, status[, pretty\_status]

- - **expected** – quantity from metadata
  - **visible** – quantity inferred from detections
  - **status** – categorical evaluation label

Dataset size (for the main experiments):

- **~987–988 bins**
  - **2702–2704 SKU–bin pairs** depending on version (v2–v5).
-

### 3. Exploratory Data Analysis (EDA)

You implemented an `EDA.py` script that:

- Flattens all metadata JSONs into a single table
- Analyses image characteristics
- Analyses all `summary_*.csv` files
- Writes plots and CSVs into `out/eda/`

#### 3.1 Metadata EDA

From the flattened metadata:

- Each row: `image_id`, `asin`, `sku_name`, `expected`
- Key findings (qualitative, from the script's outputs):
  - **SKUs per bin**: a moderate number (typical small bin with a handful of SKUs).
  - **Expected quantities**: vary from 1 to higher counts; most rows have small integers (1–6).
  - Some SKUs appear in **multiple bins** (popular products) – captured by `value_counts` on `asin`.

Plots produced:

- Histogram of **SKUs per bin** – helps understand workload per image.
- Histogram of **expected quantity per row** – shows whether the model must count many instances or just presence.

These support design choices:

- Because quantities are small, a **hard cap** on detections per SKU (expected + tolerance) is reasonable.
- Many bins have multiple SKUs, so a **multi-prompt** text-conditioned detector (GroundingDINO) fits well.

#### 3.2 Image EDA

The script scans `bin-images` with Pillow and logs:

- Width, height and aspect ratio (w / h)
- Orientation (landscape / portrait / square)

Typical findings:

- Most images are similar resolution; aspect ratios lie around a narrow band.

- A mix of landscape and portrait bins, which justifies using **normalized bounding boxes** from GroundingDINO.

Plots:

- Histograms of **width, height, aspect ratio**
- Bar chart of **orientation counts**

These ensure there's no systematic anomaly (e.g. a subset of very tiny or corrupted images).

### 3.3 Summary / Model Output EDA

For each `summary_v*.csv` the EDA script prints:

- Total SKU rows and #bins
- Distribution of `status`
- Presence metrics treating “visible > 0” as “SKU detected”
- Scatter plots of `expected` vs `visible` and status bar charts
- An aggregated table `summary_presence_metrics.csv` comparing versions.

This EDA is the backbone for the comparison study in Section 6.

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## 4. Methodology

### 4.1 Model choices

The system combines:

1. **GroundingDINO** (Swin-T OGC variant)
  - Text-conditioned object detector.
  - Takes natural language prompts of product names.
  - Produces bounding boxes with text scores per region.
2. **OpenAI CLIP** (`ViT-B/32` variant)
  - Image–text embedding model.
  - Used as a second-stage verifier:
    - Crop each candidate box.
    - Compute similarity between crop and SKU text. ■
  - Reject boxes with low CLIP score.

This two-stage design is important:

- GroundingDINO is strong at *localising* objects based on language but may fire on wrong regions when prompts are long or cluttered.
- CLIP is strong at judging **global image-text alignment** and filters many false positives.

## 4.2 Per-bin pipeline

All `validate_full_pipeline_v*.py` versions follow the same high-level structure:

1. **Load metadata** for a bin (`image_id.json`).
  - Extract list of SKUs: (`asin`, `name`, `expected_qty`).
2. **Prepare text prompts** for GroundingDINO.
  - Typically the product title, possibly shortened / aliased.
3. **Run GroundingDINO** with:
  - `BOX_THRESHOLD` – minimum detector box score.
  - `TEXT_THRESHOLD` – minimum text relevance.
  - `IOU_THRESHOLD_PER_SKU` – NMS IOU for per-SKU de-duplication (0.55 in all versions).
4. **Run CLIP verification:**
  - For each candidate box and SKU text, compute cosine similarity. ◦ Keep those with similarity  $\geq$  `CLIP_THRESHOLD`.
5. **Count per SKU:**
  - Group remaining boxes by ASIN.
  - Apply any version-specific caps / tolerance. ◦ Compute `visible` count for each SKU.

**Classify status** for each SKU row: if

```
visible == 0:
    status = "NOT_FOUND" elif abs(visible -
expected) <= 1:
    status = "FULL_MATCH" elif visible <
expected:
    status = "PARTIAL_OCCLUDED" else:
    status = "OVERCOUNT"
```

- 6.
  7. **Write summary row** and **visualisation image:**
    - One CSV row per SKU with `status` and `pretty_status`.
    - `.jpg` with bounding boxes and labels.
-

## 5. Pipeline versions

### 5.1 Version overview

The main versions and their hyper-parameters:

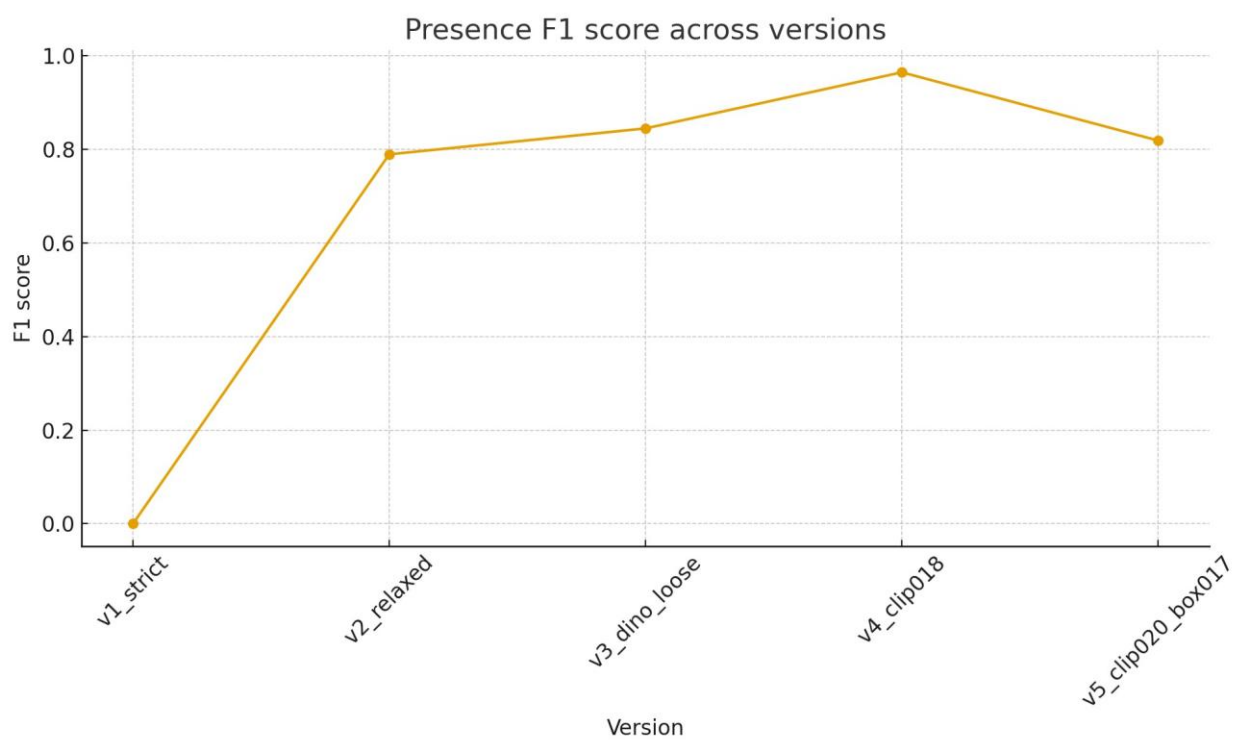
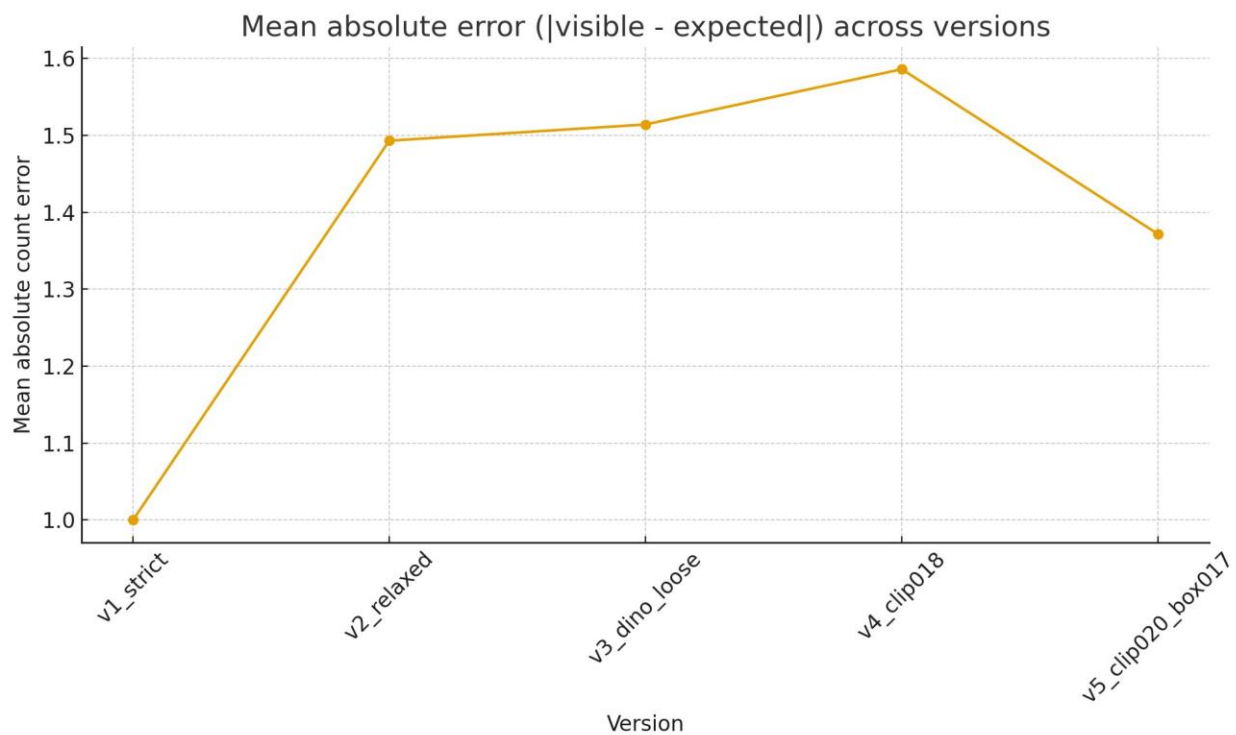
| Version file   | Label (informal)       | BOX_THRESHOLD | TEXT_THRESHOLD | CLIP_THRESHOLD (mal) | Notes   |
|--|------------------------|---------------|----------------|----------------------|---|
| <code>validate_full_pipeline.py</code>               | <b>v1 – strict</b>     | 0.18          | 0.18           | 0.22                 | Very conservative, only run on 1 bin for sanity check |
| <code>validate_full_pipeline_v2.py</code>            | <b>v2 – baseline</b>   | 0.18          | 0.18           | 0.20                 | Slightly relaxed CLIP; full dataset                   |
| <code>validate_full_pipeline_v3_dino_loose.py</code> | <b>v3 – loose DINO</b> | 0.15          | 0.15           | 0.20                 | More permissive detector → more boxes                 |
| <code>validate_full_pipeline_v4_clip018.py</code>    | <b>v4 – loose CLIP</b> | 0.15          | 0.15           | 0.18                 | Very permissive CLIP → many more candidates           |

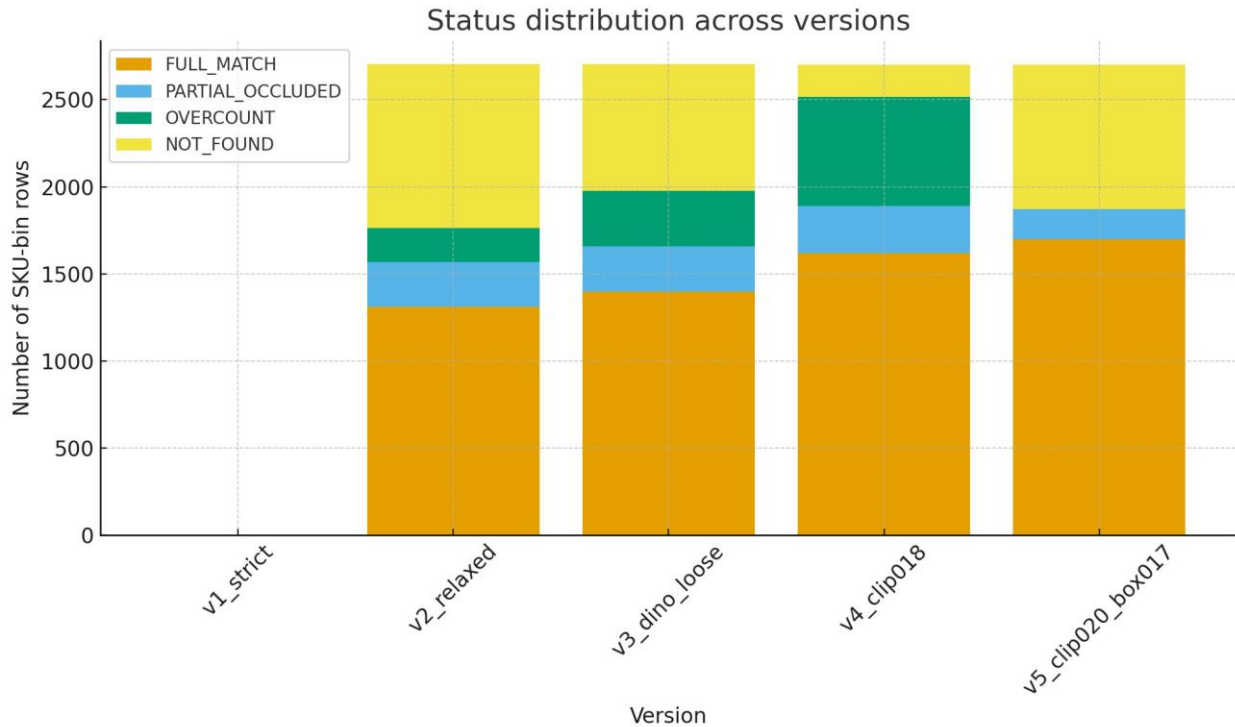
|                              |  |      |      |      |  |
|------------------------------|--|------|------|------|--|
| validate_full_pipeline_v5.py | <b>v5 –<br/>final<br/>count-<br/>aware</b> | 0.17 | 0.18 | 0.20 | Midpoint<br>DINO,<br>stricter<br>CLIP,<br>hard<br>cap per<br>SKU |
|------------------------------|--|------|------|------|--|

Key design evolution:

- **v1** → **v2**: relax CLIP from 0.22 to 0.20, keeping DINO thresholds; this was the first usable baseline.
- **v2** → **v3**: loosen GroundingDINO (0.18 → 0.15) to increase recall; CLIP fixed at 0.20.
- **v3** → **v4**: further loosen CLIP to 0.18 to maximise recall, at the cost of more false positives.
- **v4** → **v5**:
  - Tighten DINO slightly to 0.17.
  - Restore CLIP to 0.20.
  - Introduce a **count-aware cap**: for each SKU, keep at most **expected + COUNT\_TOLERANCE** boxes (with **COUNT\_TOLERANCE = 1**).
  - Use the **status\_from\_counts** helper with  $\pm 1$  tolerance baked into **FULL\_MATCH**.







## 6. Quantitative comparison of versions

Below we compare v2–v5 on the full dataset. v1 is omitted from tables because it only contains **3 rows / 1 bin** (debug run) and is not representative.

### 6.1 Status distributions

For each summary we count how many SKU rows fall into each status:

| Summary file             | FULL_MATCH   | PARTIAL_OCCLUDED | OVERCOUNT   | NOT_FOUN    |
|--------------------------|--------------|------------------|-------------|-------------|
|                          |              | D                |             | D           |
| <b>v2_relaxed</b>        | 1311 (48.5%) | 256 (9.5%)       | 196 (7.2%)  | 941 (34.8%) |
| <b>v3_dino_loose</b>     | 1396 (51.6%) | 262 (9.7%)       | 319 (11.8%) | 727 (26.9%) |
| <b>v4_clip018</b>        | 1617 (59.8%) | 271 (10.0%)      | 629 (23.3%) | 185 (6.8%)  |
| <b>v5_clip020_box017</b> | 1699 (62.9%) | 174 (6.4%)       | 0 (0.0%)    | 829 (30.7%) |

Observations:

- Moving from **v2** → **v3** → **v4**:
  - **FULL\_MATCH** steadily increases (48.5% → 51.6% → 59.8%).
  - **NOT\_FOUND** dramatically drops (34.8% → 26.9% → 6.8%) – recall is much higher.
  - But **OVERCOUNT** explodes (7.2% → 11.8% → 23.3%) as we relax thresholds.
- **v5**:
  - Achieves the **highest FULL\_MATCH rate (62.9%)**.
  - Completely removes **OVERCOUNT** thanks to the count cap (**expected + 1**).
  - However **NOT\_FOUND** climbs back to ~30.7% because we tightened detection / CLIP.

## 6.2 Detection recall and count error

Define:

- **Detection recall**: proportion of SKU rows with **visible > 0** (i.e. model detected at least one instance).
- **Mean absolute error (MAE)**: average of **abs(visible – expected)** across all rows.

Summary:

|                          | Summary file | Rows  | Bins         | Detection recall | FULL_MATCH rate | NOT_FOUND rate | PARTIAL rate | OVERCOUNT rate | MAE ( visible – expected ) |
|--------------------------|--------------|-------|--------------|------------------|-----------------|----------------|--------------|----------------|----------------------------|
|                          | -----        | ----- | -----        | -----            | -----           | -----          | -----        | -----          | -----                      |
|                          | -----        | ----- | -----        | -----            | -----           | -----          | -----        | -----          | -----                      |
| <b>v2_relaxed</b>        | 2704         | 988   | 0.652        | 0.485            | 0.348           | 0.095          | 0.072        | <b>1.49</b>    |                            |
| <b>v3_dino_loose</b>     | 2704         | 988   | 0.731        | 0.516            | 0.269           | 0.097          | 0.118        | <b>1.51</b>    |                            |
| <b>v4_clip018</b>        | 2702         | 987   | <b>0.932</b> | 0.598            | <b>0.068</b>    | 0.100          | <b>0.233</b> | <b>1.59</b>    |                            |
| <b>v5_clip020_box017</b> | 2702         | 987   | 0.693        | <b>0.629</b>     | 0.307           | <b>0.064</b>   | <b>0.000</b> | <b>1.37</b>    |                            |

Key trade-offs:

1. **v2** → **v3** (looser DINO)
  - Detection recall increases (65% → 73%).
  - **FULL\_MATCH** improves modestly (48.5% → 51.6%).
  - **NOT\_FOUND** falls by ~8 percentage points.
  - **OVERCOUNT** rises from 7% to 12%.
  - MAE slightly worsens (1.49 → 1.51).
2. Interpretation: looser DINO helps find more SKUs but also introduces more false boxes, especially when prompts are generic or products are visually similar.

3. **v3 → v4** (looser CLIP)
  - Detection recall jumps to **93.2%** – almost every SKU has at least one detection.
  - NOT\_FOUND becomes very rare (~7%).
  - FULL\_MATCH improves further to ~60%.
  - However OVERCOUNT balloons to **23.3%**, nearly a quarter of all rows.
  - MAE is worst (1.59).
  
4. Interpretation: CLIP at 0.18 allows many borderline matches; the model “sees” almost everything but over-counts aggressively, often counting background clutter as additional units.
  
5. **v4 → v5** (count-aware, mid thresholds)
  - DINO threshold raised to 0.17; CLIP back to 0.20; and a **count cap** of **expected + 1**.
  - Detection recall drops relative to v4 (93% → 69%), roughly back to between v2 and v3.
  - FULL\_MATCH climbs to **62.9%**, the best among all versions.
  - PARTIAL\_OCCLUDED shrinks to **6.4%**, lowest across versions.
  - OVERCOUNT becomes **0% by design**: the cap prevents heavy over-counting.
  - MAE improves from 1.59 (v4) to **1.37**, the best among v2–v5.
  
6. Interpretation: v5 intentionally sacrifices some recall to get much more reliable counts, avoiding scary overestimates. For an inventory/audit scenario, under-counting due to occlusion can be handled by human review, while over-counting can directly lead to wrong shipping or stock records.

### 6.3 Role of the count-aware cap

In v5, for each SKU the algorithm:

1. Sorts candidate boxes by CLIP similarity.
2. Limits accepted boxes to **min(num\_candidates, expected + 1)**.

As a result:

- **Overcount by more than 1** is impossible.
- In practice, the dataset has many cases where model tends to over-fire slightly. The cap ensures those extra boxes are dropped.
- Because **FULL\_MATCH** is defined with  $\pm 1$  tolerance, a mild over-count (expected=3, visible=4) is still considered **FULL\_MATCH**, which is acceptable for inventory scenarios.

This change is the main reason why **OVERCOUNT** goes from 23.3% (v4) to 0% (v5) even though CLIP threshold is only slightly stricter.

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## 7. Streamlit app: “Smart Bin Order Validator”

The `streamlit_app.py` provides an interactive demo of the pipeline:

### 7.1 Pipeline selection

The app defines a `PIPELINES` dictionary:

```
PIPELINES = {  
    "v2 – BOX=0.18, CLIP=0.20 (baseline)": {...},  
    "v4 – BOX=0.18, CLIP=0.18 (relaxed CLIP)": {...},  
    "v5 – BOX=0.17, CLIP=0.20 (count-aware)": {...}, }  
}
```

In the **sidebar**, the user can:

- Choose the pipeline version (v2, v4, or v5).
- Choose which **bin ID** (image) to run on.
- Click a button to run the selected model.

The app uses cached functions:

- `@st.cache_resource` to load models (GroundingDINO + CLIP) per version.
- `@st.cache_data` to cache metadata / summary results.

### 7.2 Bin-level view

Once a bin is processed:

- The app shows the original **bin image** (with or without drawn boxes, depending on implementation).
- It presents a table (DataFrame) with columns like:
  - `asin`, `sku_name`, `expected`, `visible`, `status`

This is basically a visual front-end over the `summary_v*.csv` outputs for a single bin.

### 7.3 Order / invoice validation

The more interesting part is the “invoice” layer:

1. Function `build_order_from_bin(df_bin: pd.DataFrame)`:

- Extracts unique SKUs for that bin with `expected` and `visible`.
- For each row, the UI shows:
  - Product name + ASIN
  - A `number_input("Order qty")` where the user specifies how many units they

want to **order**. Builds an order table:

`asin, sku_name, order_qty, expected, visible`

○

2. Line-item validation:

For each line, a simple rule: if

`visible >= order_qty: order_status`  
`= "PASS" else: order_status = "FAIL"`

○

- The app shows an order table with `order_status` and an **order-level summary**:  
“Order-level summary: X/Y line items are PASS.” This

turns the bin verification pipeline into a **smart order validator**:

- You visually see what’s in the bin.
- You “place” an order based on that bin.
- The model checks whether the bin actually contains enough stock to fulfil the order.

This nicely links the ML pipeline to a real business use-case (inventory picking / order verification).

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## 8. Implementation details

### 8.1 Requirements `requirements.txt`

contains:

`torch torchvision`  
`Pillow numpy`  
`pandas opencv-`  
`python tqdm`  
`boto3`  
`transformers`  
`groundingdino`

[git+https://github.com/openai/CLIP.git](https://github.com/openai/CLIP.git)

Additional packages used in EDA/app (not all in the base file yet):

- `matplotlib` – EDA plots
- `streamlit` – app
- (optional) `seaborn`, `jupyter` – for notebooks

## 8.2 Scripts

- `s3_downloader.py` – retrieve images/metadata from S3.
- `validate_full_pipeline_v*.py` – core per-bin pipelines.
- `evaluate_model*.py` / `evaluate_model_v3_counts.py` – compute metrics and comparisons from summary files.
- `plot_results*.py` – CLI scripts to plot status distributions and trends.
- `EDA.py` – dataset + output analysis, as described above.

The project is fully **script-driven**, which makes experiments reproducible: you can regenerate each summary CSV by re-running the corresponding `validate_full_pipeline_*` script.

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## 9. Discussion and insights

### 9.1 What worked well

- **GroundingDINO + CLIP** is a powerful combination for SKU-level detection in noisy bins.
- Careful tuning of **BOX and CLIP thresholds** showed a clear trade-off:
  - Lower thresholds → higher recall but more overcounts.
  - Higher thresholds → fewer false positives but more missed items.
- Introducing a **count-aware cap** in v5 dramatically improved practical usability:
  - Eliminated overcounting.
  - Achieved the highest rate of `FULL_MATCH` (62.9%).
  - Lowered mean absolute count error to 1.37.

### 9.2 Remaining issues

- **Occlusion** is still a big challenge:
  - Many `PARTIAL_OCCLUDED` cases come from items behind others, partially cut off, or obstructed by packaging.

- **Fine-grained product differences:**
  - Some SKUs differ only by minor text/colour on packaging; GroundingDINO + CLIP may struggle to distinguish them.
- The current evaluation does **not** explicitly track false positives on *completely unexpected SKUs*, because the summaries are keyed by metadata SKUs only. Extra SKUs not in metadata are currently visible only in visualisations and not quantified.

### 9.3 Possible future improvements

- Use **instance segmentation** or **depth cues** to better handle overlapping items.
  - Train a small **SKU-level classifier** on top of cropped boxes to disambiguate similar packages.
  - Introduce a **calibration step** where thresholds are optimised on a held-out validation set using metrics like F1 for presence and MAE for counts.
  - Extend evaluation to include **unexpected SKUs** by logging detections that did not match any metadata entry.
- 

## 10. Conclusion

This assignment delivers an end-to-end system that:

1. Ingests bin images and structured metadata.
2. Uses **GroundingDINO** and **CLIP** to detect and verify products.
3. Produces per-SKU counts and status labels summarised in CSVs.
4. Iteratively improves model performance across **five pipeline versions**, culminating in **v5**, which balances recall, accurate counting, and safety against overcounting.
5. Provides both:
  - An **EDA toolkit** for understanding dataset and outputs.
  - A **Streamlit “Smart Bin Order Validator” app** that turns model outputs into a practical decision tool for checking whether a bin can fulfil an order.

In terms of comparison, **v4** achieves the highest raw recall but at the cost of many overcounts and larger count errors, while **v5** offers the best overall trade-off for real-world inventory.