

# **CZ4031: Database System Principles**

## Group 8

Project 2 Report

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# **Table of Content**

Introduction	4
Project Methodology	4
TPC-H Dataset	4
Prerequisites	5
Explain Implementation	6
Explain High Level Concept	6
QEP Difference & Explanation	7
SQL Difference & Explanation	10
Interface Implementation	
Choice of Interface Framework	
Query and Differences Displays	12
"Creative Feature": Text-to-Speech	13
Visual Plan	14
Schema	
Instructions	15
Error Checking	16
Application Walkthrough	17
Appendix A	23
Appendix B	25
Appendix C	30
Appendix D	39
Annendix F.	42

## Introduction

## **Project Methodology**

Develop an application that automatically generates user-friendly explanations (e.g., natural and visual language description) of the changes to the SQL statements and query execution plans that take place during data exploration.

#### **TPC-H Dataset**

The TPC-H dataset inserted into PostgreSQL is designed for measuring the performance of complex decision-support databases and includes schemas with varying amounts of data for developing and testing SQL queries.

Below is a summary of the relations found in the generated PostgreSQL database:

Table 1: TPC-H Dataset

Table	Description	# of Tuples
CUSTOMER	Contains the list of all customers and their details	150000
LINEITEM	Contains the list of all transport lines and their details	6001215
NATION	Contains the list of available nations	25
ORDERS	Contains the list of all orders and their details	1500000
PART	Contains the list of all parts and their details	200000
PARTSUPP	Contains the list of all the suppliers of different parts	800000
REGION	Contains the list of all the region	5
SUPPLIER	Contain the list of all the suppliers and their details	10000
		Total: 8661245

We will be using PostgreSQL to optimize queries on the large and complex TPC-H dataset, which can be time-consuming and costly without a query optimizer.

## **Prerequisites**

Do follow the steps below to be able to run our application.

1. Edit the config variable in explain.py based on your own configurations

dbname: Database's name that is created in local PostgreSQL
(Please change to your dbname according to the database you created)
pwd: Password that is set for the PostgreSQL's server
(Please change the password according to the password you set)

2. Install libraries entering the code in the terminal

```
pip install -r requirements.txt
```

3. Execute the program

```
python project.py
```

## **Explain Implementation**

## **Explain High Level Concept**

The overarching idea behind Explain.py is to serve as a backend tool that can be utilized by the interface to execute the required functions and provide the corresponding outcomes.

In essence, Explain.py can be divided into three distinct sections, each serving a specific purpose in this process.

Table 2: Explain Section Descriptions

Sections	Description
Querying postgre for QEP	SQL query is pass into explain and a function
Store the QEP in a define structure	String representing the type of operation performed at this node
Analyze and the SQL and QEP given	String representing additional details about the operation performed at this node

The Explain class essentially serves as a wrapper for all QEP related functions. In addition it holds the CursorManager which is responsible for the connection with the postgreSQL server as well as fetching the results for each query provided.

## **QEP Difference & Explanation**

#### **Overview**

We define two classes, QEP\_Node and QEP\_Tree, that are used to build and represent the Query Execution Plan (QEP).

The QEP Node class represents a single node in the QEP. It has the following attributes:

Table 3: QEP\_Node Attributes List

Attribute Name	Attribute Description
indent_size	Number of indents in explanation to determine the depth of which the node is at
operation	String representing the type of operation performed at this node
details	String representing additional details such as the cost about the operation performed at this node
parent	Reference to the parent node of this node in the tree
children	List of references to the child nodes of this node in the tree
explanation	List of strings representing any additional explanations associated with this node

The QEP Tree class represents the entire QEP tree. It has the following attributes:

Table 4: QEP\_Tree Attributes List

Attribute Name	Attribute Description
root	Reference to the root node of the tree
prev_indent_size	Integer representing the indent size of the previous node processed in the tree

The QEP\_Tree class defines the tree structure of the QEP. Its role is to maintain the structure of the QEP and the references to each node. This way, we can easily traverse the QEP tree using different tree traversal methods to achieve our desired results.

Code and examples for key functions and algorithms such as QEP\_tree().build(), QEP\_tree().get\_explanation() and QEP\_tree().compareQEP() are shown in Appendices A, B and C respectively.

#### **Description of Key Algorithms**

#### **Tree Building**

The QEP\_Tree class has a build() method which takes the given plan consisting of a list of strings, returned by postgreSQL as an argument. It iterates through the plan and constructs a QEP\_Node for each operation. The QEP\_Node contains all the relevant information regarding each operation which includes the parent node, associated children, operation name, details regarding the cost of the operation, indent size to determine the level of the node, as well as the explanation for the given operation. The result of the QEP\_Tree is a binary tree representation of operations in the QEP.

For each row in the plan, regular expressions (regex) are used to split the string into 3 different parts (indent\_size, operation, details). Based on the size of the indentation in the string, we can determine the level of the node and therefore identify which parent it belongs to. For example, if 2 rows have the same level of indentation, they are both on the same level (i.e, sibling nodes). This enables us to find and attach them to the parent node easily. To determine if a row satisfies an operation, it should include "->" in the row. Otherwise it would be treated as an explanation.

In addition, we have to handle child nodes that appear further down the plan since they will not have the same indent size as the previous row. To handle this, when we encounter a row with its indent size greater than the previous row, it would suggest that this row is a child of a parent that was created further up in the tree. Hence, we have to find its sibling with the same indent size and return its parent. To find its parent, we traverse the tree iteratively using an iterative version of the depth-first search algorithm, starting with the root node. Once we encounter its sibling node (i.e, same indent size) we return its parent node. Then, we can attach the current node to the parent that we have found

Finally, the build() method will return the root node of the QEP once it is done constructing the tree. The figure below shows an example of a plan returned by postgreSQL which will be parsed by the build() method.

```
('Finalize GroupAggregate (cost=46011.93..46021.45 rows=65 width=40)',)
(" Group Key: (date_part('YEAR'::text, (orders.o_orderdate)::timestamp without time zone))",)
(' -> Gather Merge (cost=46011.93..46019.31 rows=54 width=72)',)
(' -> Parallel Seq Scan on customer (cost=0.00..4210.00 rows=62500 width=8)',)
(' -> Hash (cost=14.45..14.45 rows=1 width=4)',)
(' -> Hash (cost=12.14..14.45 rows=1 width=4)',)
(' -> Hash Cond: (n1.n_regionkey = region.r_regionkey)',)
(' -> Seq Scan on nation n1 (cost=0.00..2.25 rows=25 width=8)',)
(' -> Hash (cost=12.13..12.13 rows=1 width=4)',)
(' -> Seq Scan on region (cost=0.00..12.13 rows=1 width=4)',)
(' -> Seq Scan on region (cost=0.00..12.13 rows=1 width=4)',)
(' -> Index Scan using lineitem_pkey on lineitem (cost=0.43..1.94 rows=16 width=24)',)
(' -> Index Cond: (1_orderkey = orders.o_orderkey)',)
(' -> Index Scan using part_pkey on part (cost=0.42..0.44 rows=1 width=4)',)
(' -> Index Cond: (p_partkey = lineitem.l_partkey)',)
(' -> Index Scan using supplier_pkey on supplier (cost=0.42..0.44 rows=1 width=4)',)
(' -> Index Scan using supplier_pkey on supplier (cost=0.29..0.31 rows=1 width=8)',)
(' -> Hash (cost=2.25..2.25 rows=25 width=30)',)
(' -> Hash (cost=2.25..2.25 rows=25 width=30)',)
(' -> Seq Scan on nation n2 (cost=0.00..2.25 rows=25 width=30)',)
```

#### **QEP Explanation**

The QEP\_Tree has a get\_explanation() method which parses the explanation of each QEP\_Node in the respective QEP\_Tree. Since postgreSQL uses Left Deep trees to do query planning by default, the get\_explanation() method takes in the root node of the QEP tree that we want to get the explanation for, as well as an empty array to store the results. According to the Left Deep tree specifications, operations on the left side of the tree gets executed first. Hence, this method traverses the QEP tree in a post order fashion, to correspond to the Left Deep tree execution order.

The <code>get\_explanation()</code> method transforms the explanations of each node into a more refined natural language version by parsing each explanation by adding new words depending on the operation and explanation to form a sentence.

Finally, a list of explanations is returned.

#### **Comparing QEPs**

The QEP\_Tree has a compareQEP() function which takes both root nodes of the 2 QEP trees we want to compare as arguments. It first generates a queue of nodes for each tree by traversing through the tree in a post order fashion. Again, following the Left Deep tree execution order. Once these queues are generated, the queues are iterated simultaneously and each node is compared with the other from opposite queues. The comparison is then parsed based on whether the operations and explanations differ from each other. When one of the queues is "empty", we continue iterating the queue that still has nodes as it has additional operations not found in the latter query plan due to changes in the SQL query.

Finally, a list of comparisons is returned.

## **SQL Difference & Explanation**

#### **Overview**

We developed a feature that facilitates the analysis of SQL query differences through a systematic and comprehensive process.

Firstly, we applied a flagging mechanism to identify any changes made between the "Old SQL" and "New SQL" versions, thus providing a clear and structured representation of the differences. Subsequently, we conducted an in-depth analysis of the output, extracting valuable insights that can aid users in identifying potential typo errors or areas of change. Finally, we developed a concise and user-friendly explanation of the differences, enabling users to comprehend the modifications made to the SQL queries effectively.

This approach significantly enhances the users' ability to identify and analyze any differences between SQL queries. By providing meaningful insights and clear explanations, our feature aids users in the process of enhancing the quality of their SQL query development and optimizing the query performance.

Code and examples for key functions and algorithms such as <code>compare\_sql()</code> and <code>explainSQL()</code> are shown in Appendices D & E respectively.

#### **Descriptions of Key Algorithms**

#### **Comparing SQL Queries**

The compare\_sql function takes two SQL query strings as input and compares them line by line using the Differ class from the difflib module. It then stores the differences between the two queries in a list of tuples, where the first element of each tuple is a string indicating the type of difference (e.g. "SQL old removed" or "SQL new added"), and the second element is the text of the changed line. The function returns a list of lists, where each sublist contains the tuples representing the differences between two consecutive lines.

#### **SQL Explanation**

The explainSQL function takes the output of compare\_sql as input and uses it to generate a list of strings explaining the differences between the two queries. It does this by iterating through the list of differences and comparing the words in the changed lines. Depending on the type of change (e.g. a change in value, a change from a value to a variable, etc.), it generates an appropriate explanation string and appends it to the output list.

# **Interface Implementation**

### **Choice of Interface Framework**

For our project's interface, we opted for the PyQt library, built on Qt, which allows us to use the graphical editor Qt Designer for easy implementation of components. We began by designing our interface on Qt Designer and later exported it as Python code, where we applied additional styling and functionality to make the visual appearance and experience better for the user.

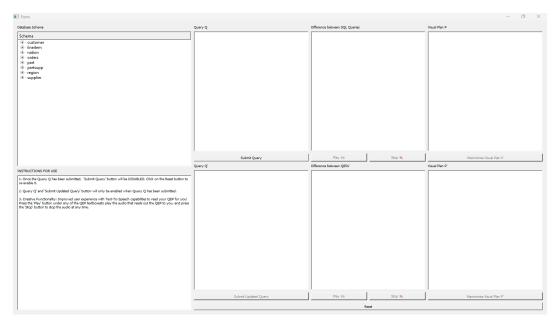


Figure 1: Initial Design of Application

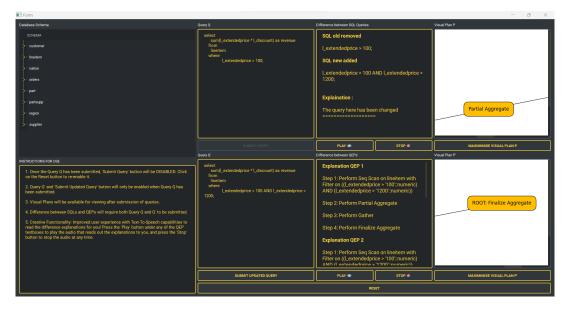


Figure 2: Final Design of Application

# **Query and Differences Displays**

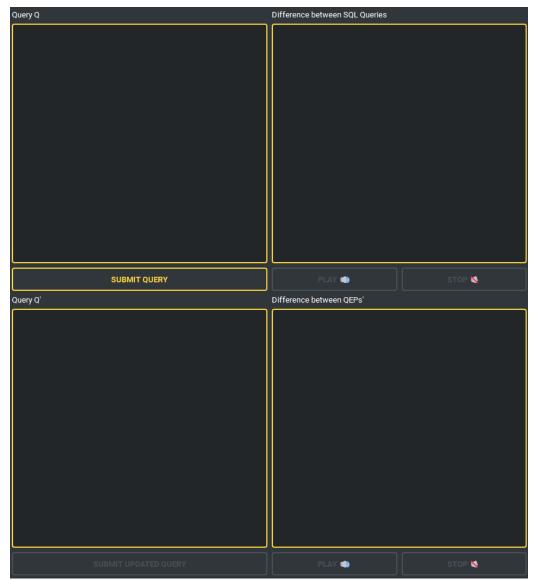
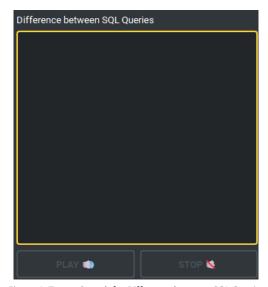


Figure 3: TextBrowsers for Queries and Difference Explanations

We have incorporated editable text browsers to facilitate user input for the queries and non-editable text browsers to display the differences between the SQL queries and QEPs.

## "Creative Feature": Text-to-Speech

One of the unique features we added to the project is the integration of text-to-speech functionality into the QEP output, which enhances the overall user experience.





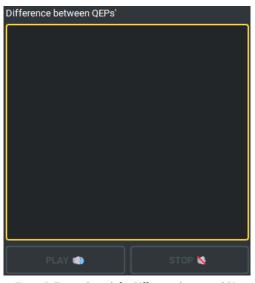


Figure 5: Text to Speech for Difference between QEPs

Implementing text to speech capabilities can enhance the user experience by providing an additional way for users to access information. Users can choose to listen to the output instead of reading it, which can be helpful for those with visual impairments or those who prefer auditory learning.

#### **Visual Plan**

Our application also provides visual plans of the queries in the form of graphs, which can be expanded by clicking on a button for better visualization.

We used NetworkX with Matplotlib to create the visual plans as it allows us to represent the query execution plan as a directed acyclic graph, where nodes represent the operations and edges represent the data flow between them, which can make it easier to understand the relationships between the various steps in the plan. Additionally, the ability to customize the visualizations with Matplotlib allows us to add additional information to the graph, such as colors or labels, to further aid in visualizing the execution plan.

In addition to their flexibility and ease of use, an advantage of using NetworkX with Matplotlib for creating the visual plans is their speed. They are optimized for fast graph rendering, allowing for quick and efficient visualization of even complex and large queries, making sure the user does not have to wait long before they can see the output of their query.

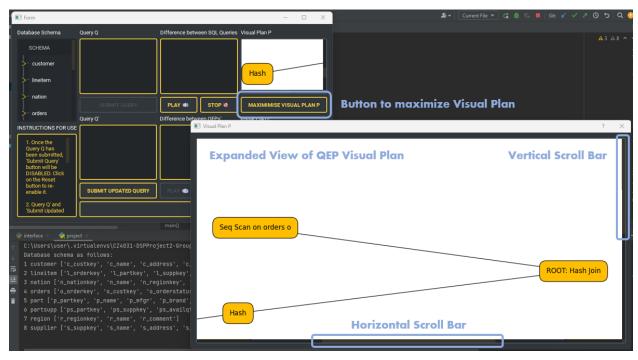


Figure 6: Visual Plan Functionality

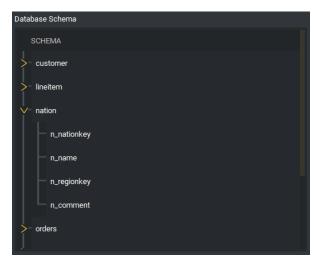
Note: The starting point of the QEP is labeled with the word "ROOT" in the graph.

#### **Reason behind Visual Plan**

It enables users to visualise QEPs in a graph format. QEPs may be too complicated to be described in the text to the users. With the aid of a visual plan, users are able to understand the QEPs better.

It is built in a form of graph structure to allow for more flexible and intuitive representations of the relationships between different parts of a query. While a tree structure can represent the logical flow of a query in a hierarchical manner, it does not capture the complexity of the relationships between different parts of the query.

### **Schema**



schema of the PostgreSQL database, providing an organized display of the various tables and their corresponding columns.

Our application offers the ability to view the

Figure 7: Schema Display

### **Instructions**

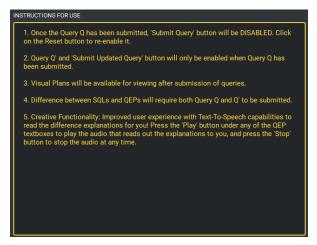


Figure 8: Instructions Display

Our application provides comprehensive instructions to guide users on the functionality of our application and its usage.

## **Error Checking**

Our application ensures that the SQL Queries are valid before generating differences between SQL Queries and QEPs. The SQL queries given by the users are checked against 2 requirements which are as follows:

#### 1) Users are not allowed to submit a blank query

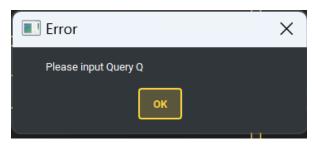


Figure 9: Error Pop Up for empty inputs

Empty strings submitted by the users in the inputs will be rejected. An error message in pop up will be shown if the user submits an empty string as the SQL query.

This prevents the users from sending an empty string to PostgreSQL which will raise an error and crash the application.

#### 2) Users are only allowed to input valid SQL queries

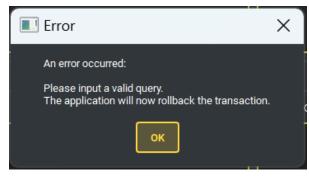


Figure 10: Error Pop Up for invalid SQL queries

The best method to ensure that the SQL queries are valid is to verify if the queries are accepted by PostgreSQL. The application will expect an input from the user and will then submit the query to PostgreSQL. If the input is an invalid query, PostgreSQL will raise an error and the application will display an error pop up message.

The pop up states that "The application will now rollback the transaction", as the application is required to rollback the transaction in PostgreSQL to enable the user to input a new SQL query and to make sure that the data remains accurate and consistent.

#### **Reason behind Error Checking**

Error checking functionalities that our application offers ensures that the system will not crash as the user navigates through the app. This ensures a user-friendly experience for the users.

## **Application Walkthrough**

This section will demonstrate the recommended process of inputting queries, generating the differences in QEPs & SQLs explanations and their respective visual plans.

## **Step 1: Database Verification**

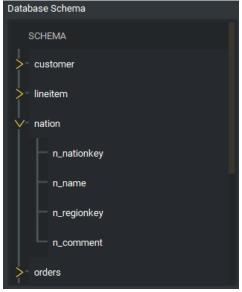


Figure 10: Verify Database Connection with Schema

Before proceeding, it is important to confirm that your connection to the intended database server, and that the required relations are stored in it. To do so, you can inspect the database schema.

The database schema shown is the TPCH dataset you should have imported into our server named "TPC-H". Ensure that the database is correctly loaded before proceeding to the next step.

## Step 2: Familiarise yourself with the application's functionalities

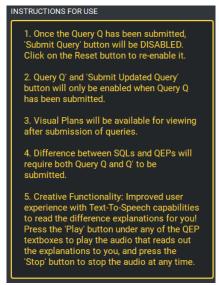


Figure 10: Application Instructions

We recommend going through the "Instructions for Use" to familiarise yourself with the application's functionalities for a smooth user experience. Feel free to refer back to it in the subsequent steps as well.

## **Step 3: Input Query Q**

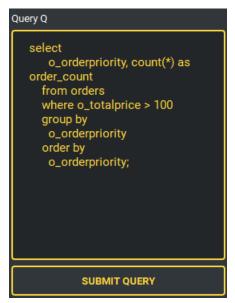


Figure 12: Input Query Q

Input your chosen query to be analyzed into the QTextBrowser labeled "Query Q", and click on the "Submit Query" button.

## **Step 4: Observe the Visual Plans of Query Q**

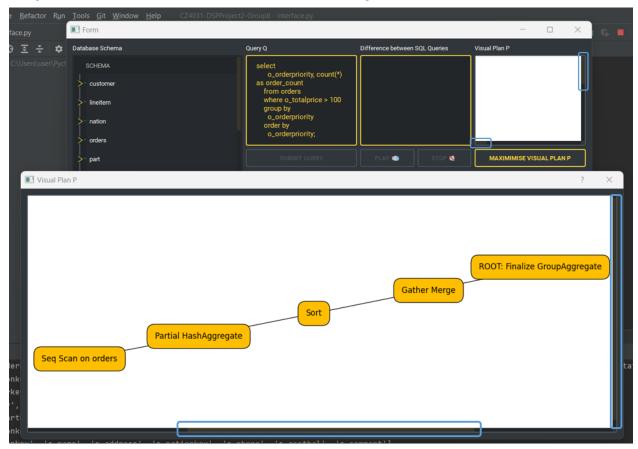


Figure 13: Visual Plan of Query Q

After clicking on the "Submit Query" button, the visual plan for Query Q will be made available . We recommended clicking on the "Maximise Visual Plan P" button to view the visual plan in a bigger window for a clearer view. Use the scroll bars highlighted in blue rounded rectangles if needed.

## Step 5: Input Query Q'

```
select
o_orderpriority, count(*) as order_count
from orders
where
o_totalprice > 100
and exists (
select * from lineitem
where
l_orderkey = o_orderkey
and l_extendedprice > 100
)
group by
o_orderpriority
order by
o_orderpriority;

SUBMIT UPDATED QUERY
```

Figure 14: Input Query Q'

Input your next chosen query to be analyzed into the QTextBrowser labeled "Query Q'", and click on the "Submit Updated Query" button.

Do note it will take some time to generate the explanations for both the difference in SQL queries and QEP.

## Step 6: Observe the Visual Plans of Query Q'

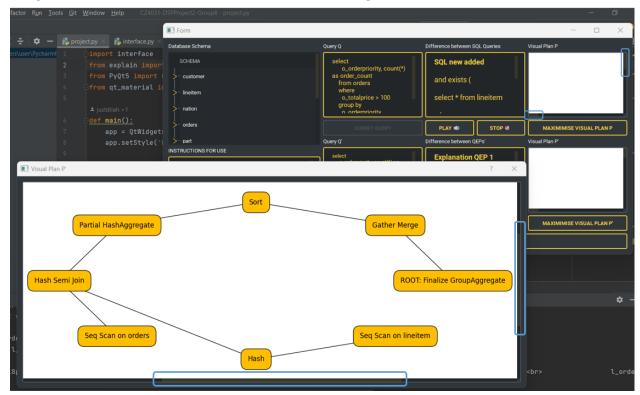


Figure 15: Visual Plan of Query Q'

After clicking on the "Submit Updated Query" button, the visual plan for Query Q' will be made available. We recommended clicking on the "Maximise Visual Plan P'" button to view the visual plan in a bigger window for a clearer view. Use the scroll bars highlighted in blue rounded rectangles if needed.

## **Step 7: Observe the differences between the SQL Queries**

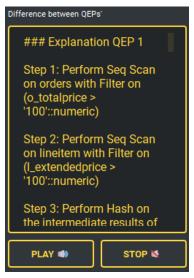


Figure 15: Differences in SQL Queries

After clicking on the "Submit Updated Query" button, it will also generate the "Difference between SQL Queries" explanation in its assigned QTextBrowser.

Comparing Query Q (Figure 12) and Query Q' (Figure 14), the output difference is correct, as shown in Figure 15.

You can also make use of our application's text-to-speech capabilities to play the audio to read out the text for your convenience.

## Step 8: Observe the differences between the QEPs

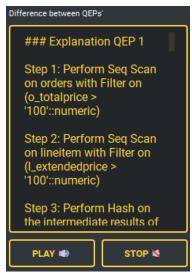


Figure 15: Differences in QEPs

After clicking on the "Submit Updated Query" button, it will also generate the "Difference between QEPs" explanation in its assigned QTextBrowser.

You can also make use of our application's text-to-speech capabilities to play the audio to read out the text for your convenience.

## **Appendix A**

Code for QEP\_Tree() .build()

```
Builds the QEP tree and returns the root node
   def build(self, plan) -> QEP_Node:
       cur node = None
       node = None
       indent size = 0
       operation = ""
       details = None
       i = 0
       for row in plan:
            cur_row = row[0]
            # if this condition satisfies then this is the root node
            if "->" not in cur_row and "cost=" in cur_row:
               if self.root == None:
                    match = re.match(r"^(.+)\s\s(.+)$", cur_row)
                    node = QEP_Node(0, match.group(1).strip(), match.group(2))
                    self.root = node
                    self.root.parent = self.root
                    cur node = self.root
                    self.prev indent size = 0
            # If the row has '->' in it, then it is considered an operation in the
0EP
            # Process the rows with '->' and extract relevant information such as
the
            # operation, depth, and details (explanation)
            # Indent size is used to determine if 2 nodes are on the same Level
            if "->" in cur_row:
                match = re.match(r"(\s*->)?\s*(\w.*)\s+\((.*)\)$", cur_row)
                indent_size = len(match.group(1))
                operation = match.group(2).replace("Parallel", "")
                details = match.group(3)
                node = QEP_Node(indent_size, operation.strip(), details)
                if self.root == None:
                    self.root = node
                    self.root.parent = self.root
                    cur node = self.root
                    self.prev indent size = indent size
```

```
i += 1
                    continue
                # node is on the same level
                # get the parent node and attach it as its child
                if self.prev indent size == indent size:
                    parent = cur_node.parent
                    node.parent = parent
                    parent.children.append(node)
                # further down the tree there are child nodes
                # so have to find its parent and
                # attach the child (this node) to it
                elif self.prev_indent_size > indent_size:
                    p = self.findParent(self.root, indent size)
                    if p:
                        if len(p.children) < 2:</pre>
                            p.children.append(node)
                            node.parent = p
                else:
                    node.parent = cur node
                    if len(cur_node.children) < 2:</pre>
                        cur_node.children.append(node)
            # Row is an explanation row (without '->')
            # Attach the explanations to the explanation list in the node
                if "Workers Planned" not in cur_row:
                    explain_results =
self.transformRawExplainToNaturalLanguage(cur_row)
                else:
                    explain results = ""
                if explain results == None or explain results == "":
                    i += 1
                    continue
                node.explanation.append(explain results)
            # Update the current node pointer
            self.prev_indent_size = indent_size
            cur_node = node
            i += 1
       return self.root
```

## **Appendix B**

Code for QEP\_Tree().get\_explanation()

```
Traverses the QEP tree by specifying the root node of the particular tree
    recursively using post order traversal to get the steps and explanation
   for the tree
    Returns a list of explanations of each step
    def get_explanation(self, node: QEP_Node, resultList: List[str]) -> List[str]:
        if node == None:
            return []
        for child in node.children:
            self.get_explanation(child, resultList)
        operation = node.operation
        explanation = (
            node.explanation
            if node.explanation != [] and node.explanation != None
            else ""
        )
        step = len(resultList) + 1
        if explanation == "" and (
            "join" not in operation.lower()
            and "hash" not in operation.lower()
            and "nested loop" not in operation.lower()
        ):
            result = f"Step {step}: Perform {operation}"
        elif "join" in operation.lower() or "nested loop" in operation.lower():
            result = f"Step {step}: Perform {operation} on the intermediate results
of step {node.children[0].step} and {node.children[1].step}"
        elif "hash" in operation.lower() and "join" not in operation.lower():
            result = f"Step {step}: Perform {operation} on the intermediate results
of step {node.children[0].step}"
        else:
            result = f"Step {step}: Perform {operation} with
{self.joinExplanationList(explanation)}"
        node.step = step
        resultList.append(result)
        return resultList
```

#### **Example Query Plan from postgreSQL**

```
Finalize GroupAggregate
   - Gather Merge
        - Partial GroupAggregate
            - Sort
                Hash Join

    Nested Loop

    Nested Loop

    Nested Loop

                                 - Hash Join
                                   —— Seq Scan on orders
—— Hash
                                         — Hash Join

    Seq Scan on customer

                                              - Hash
                                                  - Hash Join
                                                      Seq Scan on nation n1
                                                    — Hash
                                                         — Seq Scan on region
                                                        └── Filter
                                                   - Hash
                                                     — Seq Scan on nation n1
                                        — Filter

    Index Scan using lineitem_pkey on lineitem

                     └── Index Scan using part_pkey on part
                     Index Scan using supplier_pkey on supplier
                     └─ Hash
                            — Seq Scan on nation n2
```

#### **Example Query**

```
select
     o_year,
      sum(case
        when nation = 'BRAZIL' then volume
      end) / sum(volume) as mkt share
   from
      (
        select
          DATE_PART('YEAR',o_orderdate) as o_year,
          l_extendedprice * (1 - l_discount) as volume,
          n2.n name as nation
        from
          part,
          supplier,
          lineitem,
          orders,
          customer,
          nation n1,
          nation n2,
          region
        where
          p_partkey = l_partkey
          and s_suppkey = 1_suppkey
          and l_orderkey = o_orderkey
          and o_custkey = c_custkey
          and c nationkey = n1.n nationkey
          and n1.n_regionkey = r_regionkey
          and r_name = 'AMERICA'
          and s_nationkey = n2.n_nationkey
          and o orderdate between '1995-01-01' and '1996-12-31'
          and p_type = 'ECONOMY ANODIZED STEEL'
          and s acctbal > 10
          and 1 extendedprice > 100
      ) as all_nations
   group by
      o_year
   order by
      o_year;
```

## **Example Result**

Step	Explanation
Step 1	Perform Seq Scan on orders with Filter on ((o_orderdate >= '1995-01-01'::date) AND (o_orderdate <= '1996-12-31'::date))
Step 2	Perform Seq Scan on customer
Step 3	Perform Seq Scan on nation n1
Step 4	Perform Seq Scan on region with Filter on (r_name = 'AMERICA'::bpchar)
Step 5	Perform Hash on the intermediate results of step 4
Step 6	Perform Hash Join on the intermediate results of step 3 and 5
Step 7	Perform Hash on the intermediate results of step 6
Step 8	Perform Hash Join on the intermediate results of step 2 and 7
Step 9	Perform Hash on the intermediate results of step 8
Step 10	Perform Hash Join on the intermediate results of step 1 and 9
Step 11	Perform Index Scan using lineitem_pkey on lineitem with Index Cond on (I_orderkey = orders.o_orderkey) and Filter on (I_extendedprice > '100'::numeric)
Step 12	Perform Nested Loop on the intermediate results of step 10 and 11
Step 13	Perform Index Scan using part_pkey on part with Index Cond on (p_partkey = lineitem.l_partkey) and Filter on ((p_type)::text = 'ECONOMY ANODIZED STEEL'::text)
Step 14	Perform Nested Loop on the intermediate results of step 12 and 13
Step 15	Perform Index Scan using supplier_pkey on supplier with Index Cond on (s_suppkey = lineitem.l_suppkey) and Filter on (s_acctbal > '10'::numeric)
Step 16	Perform Nested Loop on the intermediate results of step 14 and 15

Step 17	Perform Seq Scan on nation n2
Step 18	Perform Hash on the intermediate results of step 17
Step 19	Perform Hash Join on the intermediate results of step 16 and 18
Step 20	Perform Sort with Sort Key on (date_part('YEAR'::text, (orders.o_orderdate)::timestamp without time zone))
Step 21	Perform Partial GroupAggregate with Group Key on (date_part('YEAR'::text, (orders.o_orderdate)::timestamp without time zone))
Step 22	Perform Gather Merge
Step 23	Perform Finalize GroupAggregate with Group Key on (date_part('YEAR'::text, (orders.o_orderdate)::timestamp without time zone))

## **Appendix C**

Code for QEP\_Tree().compareQEP()

```
0.00
   Compares 2 QEP trees by taking each tree's root node as argument
   Traverses both trees to generate a queue of steps for each tree
   Uses these queues to compare the actions taken by each tree
   Returns a list of comparisons
   def compareQEP(self, node1: QEP_Node, node2: QEP_Node) -> List[str]:
        def get node queue(node: QEP Node, queue: List[QEP Node]) -> List[QEP Node]:
           if node == None:
                return
           for child in node.children:
                get_node_queue(child, queue)
           queue.append(node)
           return queue
        q1Queue = get_node_queue(node1, [])
        q2Queue = get_node_queue(node2, [])
        compareList = []
        q1Pointer = q2Pointer = 0
        while q1Pointer < len(q1Queue) and q2Pointer < len(q2Queue):
           operationQ1 = q1Queue[q1Pointer].operation
            explanationQ1 = q1Queue[q1Pointer].explanation
           operationQ2 = q2Queue[q2Pointer].operation
           explanationQ2 = q2Queue[q2Pointer].explanation
           step = min(q1Pointer + 1, q2Pointer + 1)
           if operationQ1 == operationQ2 and explanationQ1 == explanationQ2:
                if not explanationQ1:
                    compareExplanation = f"Step {step}: Both queries perform the same
operations at this step executing a {operationQ1}."
                else:
                    compareExplanation = f"Step {step}: Both queries perform the same
operations at this step executing a {operationO1} with
{self.joinExplanationList(explanationQ1)}."
           elif operationQ1 == operationQ2 and explanationQ1 != explanationQ2:
                if explanationQ1 and explanationQ2:
                    compareExplanation = f"Step {step}: Both queries perform the same
operations at this step executing a {operationQ1}. However, Q1 and Q2 have different
conditions on the operations with Q1 to {self.joinExplanationList(explanationQ1)} and
Q2 to {self.joinExplanationList(explanationQ2)}."
                elif explanationQ1 and not explanationQ2:
```

```
compareExplanation = f"Step {step}: Both queries perform the same
operations at this step executing a {operationQ1}. However, Q2 has an additional
condition to {self.joinExplanationList(explanationQ2)} while Q1 is just performing a
basic {operationQ1}."
                else:
                    compareExplanation = f"Step {step}: Both queries perform the same
operations at this step executing a {operationQ1}. However, Q1 has an additional
condition to {self.joinExplanationList(explanationQ1)} while Q2 is just performing a
basic {operationQ2}."
           elif operationQ1 != operationQ2:
                if explanationQ1 and explanationQ2:
                    compareExplanation = f"Step {step}: Both queries are performing
different operations at this step, with Q1 executing a {operationQ1} with
{self.joinExplanationList(explanationQ1)} and Q2 executing a {operationQ2} with
{self.joinExplanationList(explanationQ2)}."
                elif explanationQ1 and not explanationQ2:
                    compareExplanation = f"Step {step}: Both queries are performing
different operations at this step, with Q1 executing a {operationQ1} with
{self.joinExplanationList(explanationQ1)} and Q2 executing a {operationQ2}."
                elif not explanationQ1 and explanationQ2:
                    compareExplanation = f"Step {step}: Both queries are performing
different operations at this step, with Q1 executing a {operationQ1} and Q2 executing a
{operationQ2} with {self.joinExplanationList(explanationQ2)}."
                    compareExplanation = f"Step {step}: Both queries are performing
different operations at this step, with Q1 executing a {operationQ1} and Q2 executing a
{operationQ2}."
            compareList.append(compareExplanation)
            compareExplanation = ""
            q1Pointer += 1
           q2Pointer += 1
        line = (
            "Q1 has additional steps taken due to the change in query.\nAdditional
steps taken by 01:"
            if q1Pointer < len(q1Queue)</pre>
           else "Q2 has additional steps taken due to the change in query.\nAdditional
steps taken by Q2:"
        compareList.append(line)
        while q1Pointer < len(q1Queue):</pre>
            operation = q1Queue[q1Pointer].operation
            explanation = q1Queue[q1Pointer].explanation
           step = q1Pointer + 1
           if explanation:
                compareExplanation = f"Step {step}: Q1 executes {operation} due to
{self.joinExplanationList(explanation)} which doesn't exist in Q2"
```

```
else:
                compareExplanation = f"Step {step}: Q1 executes {operation}"
            compareList.append(compareExplanation)
            q1Pointer += 1
       while q2Pointer < len(q2Queue):</pre>
            operation = q2Queue[q2Pointer].operation
            explanation = q2Queue[q2Pointer].explanation
            step = q2Pointer + 1
            if explanation:
                compareExplanation = f"Step {step}: Q2 executes {operation} due to
{self.joinExplanationList(explanation)} which doesn't exist in Q1"
           else:
                compareExplanation = f"Step {step}: Q2 executes {operation}"
            compareList.append(compareExplanation)
            q2Pointer += 1
        return compareList
```

#### **Example Query 1**

```
select
     o_year,
      sum(case
        when nation = 'BRAZIL' then volume
      end) / sum(volume) as mkt share
   from
      (
        select
          DATE_PART('YEAR',o_orderdate) as o_year,
          l_extendedprice * (1 - l_discount) as volume,
          n2.n name as nation
        from
          part,
          supplier,
          lineitem,
          orders,
          customer,
          nation n1,
          nation n2,
          region
        where
          p_partkey = l_partkey
          and s_suppkey = 1_suppkey
          and l_orderkey = o_orderkey
          and o_custkey = c_custkey
          and c nationkey = n1.n nationkey
          and n1.n_regionkey = r_regionkey
          and r_name = 'AMERICA'
          and s_nationkey = n2.n_nationkey
          and o orderdate between '1995-01-01' and '1996-12-31'
          and p_type = 'ECONOMY ANODIZED STEEL'
          and s acctbal > 10
          and 1 extendedprice > 100
      ) as all_nations
   group by
      o_year
   order by
      o_year;
```

#### **Example Query 2**

```
select
     n_name,
      o_year,
      sum(amount) as sum_profit
   from
      (
        select
          n_name,
          DATE_PART('YEAR',o_orderdate) as o_year,
          l_extendedprice * (1 - l_discount) - ps_supplycost * l_quantity as
amount
        from
          part,
          supplier,
          lineitem,
          partsupp,
          orders,
          nation
       where
          s_suppkey = l_suppkey
          and ps_suppkey = 1_suppkey
          and ps_partkey = 1_partkey
          and p_partkey = l_partkey
          and o_orderkey = 1_orderkey
          and s_nationkey = n_nationkey
          and p_name like '%green%'
          and s acctbal > 10
          and ps_supplycost > 100
      ) as profit
   group by
      n_name,
      o_year
   order by
      n name,
      o_year desc;
```

#### **Example Query Plan for Example Query 1 from postgreSQL**

```
Finalize GroupAggregate
   - Gather Merge
        Partial GroupAggregate
            - Sort
                Hash Join

    Nested Loop

    Nested Loop

    Nested Loop

                                 - Hash Join
                                   — Seq Scan on orders
— Hash
                                         — Hash Join

    Seq Scan on customer

                                              - Hash
                                                  - Hash Join
                                                      Seq Scan on nation n1
                                                    — Hash
                                                         — Seq Scan on region
                                                        └── Filter
                                                   - Hash
                                                     — Seq Scan on nation n1
                                        — Filter

    Index Scan using lineitem_pkey on lineitem

                     └── Index Scan using part_pkey on part
                     Index Scan using supplier_pkey on supplier
                     └─ Hash
                            — Seq Scan on nation n2
```

## **Example Query Plan for Example Query 2 from postgreSQL**

```
Finalize GroupAggregate

Gather Merge

Partial GroupAggregate

Hash

Hash

Seq Scan on partsupp

Hash

Hash

Hash

Hash

Index Scan on supplier using supplier_pkey
```

## **Example Result for Comparison:**

Step	Explanation
1	Both queries perform different operations. Q1 executes a Seq Scan on orders with Filter on ((o_orderdate >= '1995-01-01'::date) AND (o_orderdate <= '1996-12-31'::date)) and Q2 executes a Seq Scan on partsupp with Filter on (ps_supplycost > '100'::numeric).
2	Both queries perform different operations. Q1 executes a Seq Scan on customer and Q2 executes a Seq Scan on lineitem.
3	Both queries perform different operations. Q1 executes a Seq Scan on nation n1 and Q2 executes a Seq Scan on part with Filter on ((p_name)::text ~~ '%green%'::text).
4	Both queries perform different operations. Q1 executes a Seq Scan on region with Filter on (r_name = 'AMERICA'::bpchar) and Q2 executes a Hash.
5	Both queries perform different operations. Q1 executes a Hash and Q2 executes a Hash Join with Hash Cond on (lineitem.l_partkey = part.p_partkey).
6	Both queries perform different operations. Q1 executes a Hash Join with Hash Cond on (n1.n_regionkey = region.r_regionkey) and Q2 executes a Hash.
7	Both queries perform different operations. Q1 executes a Hash and Q2 executes a Hash Join with Hash Cond on ((partsupp.ps_suppkey = lineitem.l_suppkey) AND (partsupp.ps_partkey = lineitem.l_partkey)).
8	Both queries perform different operations. Q1 executes a Hash Join with Hash Cond on (customer.c_nationkey = n1.n_nationkey) and Q2 executes a Index Scan using supplier_pkey on supplier with Index Cond on (s_suppkey = lineitem.l_suppkey) and Filter on (s_acctbal > '10'::numeric).
9	Both queries perform different operations. Q1 executes a Hash and Q2 executes a Nested Loop.
10	Both queries perform different operations. Q1 executes a Hash Join with Hash Cond on (orders.o_custkey = customer.c_custkey) and Q2 executes a Index Scan using orders_pkey on orders with Index Cond on (o_orderkey = lineitem.l_orderkey).

11	Both queries perform different operations. Q1 executes a Index Scan using lineitem_pkey on lineitem with Index Cond on (I_orderkey = orders.o_orderkey) and Filter on (I_extendedprice > '100'::numeric) and Q2 executes a Nested Loop.
12	Both queries perform different operations. Q1 executes a Nested Loop and Q2 executes a Seq Scan on nation.
13	Both queries perform different operations. Q1 executes a Index Scan using part_pkey on part with Index Cond on (p_partkey = lineitem.l_partkey) and Filter on ((p_type)::text = 'ECONOMY ANODIZED STEEL'::text) and Q2 executes a Hash.
	ADDITIONAL STEPS TAKEN BY Q1:
14	Both queries perform different operations. Q1 executes a Nested Loop and Q2 executes a Hash Join with Hash Cond on (supplier.s_nationkey = nation.n_nationkey).
15	Q1: Index Scan using supplier_pkey on supplier with Index Cond on (s_suppkey = lineitem.l_suppkey) and Filter on (s_acctbal > '10'::numeric) 
16	Q1: Nested Loop d2: Partial GroupAggregate with Group Key on nation.n_name
17	Q1: Seq Scan on nation n2 Q2: Gather Merge
18	Q1: Hash Value on nation.n_name
19	Q1: Hash Join due to Hash Cond on (supplier.s_nationkey = n2.n_nationkey) which doesn't exist in Q2
20	Q1: Sort due to Sort Key on (date_part('YEAR'::text, (orders.o_orderdate)::timestamp without time zone)) which doesn't exist in Q2
21	Q1: Partial GroupAggregate due to Group Key on (date_part('YEAR'::text, (orders.o_orderdate)::timestamp without time zone)) which doesn't exist in Q2
22	Q1: Gather Merge
23	Q1: Finalize GroupAggregate due to Group Key on (date_part('YEAR'::text, (orders.o_orderdate)::timestamp without time zone)) which doesn't exist in Q2

## **Appendix D**

Code for compare\_sql()

```
def compare_sql(self, string1, string2):
    lines1 = Explain.stripString(string1)
    lines2 = Explain.stripString(string2)
    # Compare the lines using the Differ class
    differ = difflib.Differ()
    diff = list(differ.compare(lines1, lines2))
    # Store the differences in a list
    differences = []
    temp = []
    sign = ""
    pre = ""
    for line in diff:
       text = line[2:]
        if (sign.startswith("-") and line.startswith("+")) or (
            sign.startswith("+") and line.startswith("-")
        ):
            differences.append(temp)
            temp = []
        if len(temp) == 0 and line.startswith("-"):
            temp.append(("#### SQL old removed #### \n", text))
            sign = "-"
        elif len(temp) == 0 and line.startswith("+"):
            temp.append(("#### SQL new removed #### \n", text))
            sign = "+"
        elif line.startswith("+") and pre.startswith("+"):
            temp.append(("", text)) # SQL 2
        elif line.startswith("-") and pre.startswith("-"):
            temp.append(("", text)) # SQL 1
        elif line.startswith("?") :
            continue
        pre = line
    if len(temp) != 0:
        differences.append(temp)
    if differences[-1][0][0] == "SQL old removed\n":
        temp = []
        temp.append(("SQL new added\n", " "))
        differences.append(temp)
    return differences
```

#### Code for explainSQL()

```
def explainSQL(self,diffArray):
   line1 = ""
    line2 = ""
    pretype = ""
   change = []
    explanation = []
   for i in diffArray:
        for diff_type, line in i:
            if diff_type == "#### SQL new removed #### \n" or diff_type == "####
SQL old removed #### \n":
                pretype = diff_type
            if pretype == "#### SQL old removed #### \n":
                if line1 and line2:
                    string1_words = re.split(r"[ _-]+", line1)
                    string2_words = re.split(r"[ _-]+", line2)
                    if len(string1 words) == len(string2 words):
                        for i in range(min(len(string1_words),
len(string2 words))):
                            if string1_words[i] != string2_words[i]:
                                change.append([string1 words[i], string2 words[i]])
                    else :
                        change.append([' '.join(string1_words),'
'.join(string2 words)])
                    line1 = ""
                    line2 = ""
            if line1 == "" or pretype == "[[[ SQL old removed #### \n":
                line1 += line
                continue
            elif line2 == "" or pretype == "#### SQL old removed #### \n":
                line2 += line
                continue
   if line1 and line2:
        string1_words = re.split(r"[ _-]+", line1)
        string2_words = re.split(r"[ _-]+", line2)
        if len(string1_words) == len(string2_words):
            for i in range(min(len(string1 words), len(string2 words))):
                if string1_words[i] != string2_words[i]:
                       change.append([string1_words[i], string2_words[i]])
        else :
```

```
change.append([' '.join(string1_words),' '.join(string2_words)])
for i in change:
    If i[1] == " ":
        explanation.append("The old query is removed")
    elif i[0].isnumeric() and i[1].isnumeric():
        explanation.append("There is a change in value")
    elif i[0].isnumeric() and (i[1].isalnum() or i[1].isalpha()):
        explanation.append("There is a change from a value to a variable")
    elif i[1].isnumeric() and (i[0].isalnum() or i[0].isalpha()):
        explanation.append("There is a change from a variable to a value")
    elif (i[0].isalnum() or i[0].isalpha()) and (
        i[1].isalnum() or i[1].isalpha()
    ):
        explanation.append("There is a change in variable")
    else:
        explanation.append("The query here has been changed")
return explanation
```

# **Appendix E**

# Short Query: Example Query Q, Query Q' and difference between SQL Queries output Query Q

```
Select sum(l_extendedprice * l_discount) as revenue from lineitem
    where
        l_extendedprice > 100;
```

#### Query Q'

```
Select sum(l_extendedprice * l_discount) as revenue from lineitem where

l_extendedprice > 100 AND l_extendedprice < 1200;
```

#### Difference between SQL Queries

# Medium Query: Example Query Q, Query Q' and difference between SQL Queries output Query Q

```
Select
  o_orderpriority, count(*) as order_count from orders
  where
    o_totalprice > 100
  group by
    o_orderpriority
  order by
    o_orderpriority;
```

#### Query Q'

```
Select
   o_orderpriority, count(*) as order_count from orders
   where
       o_totalprice > 100
       and exists (select * from lineitem
            where
            l_orderkey = o_orderkey and l_extendedprice > 100
       )
       group by
       o_orderpriority
   order by
       o_orderpriority;
```

#### Difference between SQL Queries

### Large Query: Example Query Q, Query Q' and difference between SQL Queries output Query Q

#### Query Q'

```
Select supp_nation, cust_nation, l_year, sum(volume) as revenue from
    (
        select n1.n_name as supp_nation, n2.n_name as cust_nation,
DATE_PART('YEAR',l_shipdate) as l_year, l_extendedprice * (1 - l_discount) as
volume
    from supplier, lineitem, orders, customer, nation n1, nation n2
    where
        s_suppkey = l_suppkey and o_orderkey = l_orderkey and c_custkey =
o_custkey and s_nationkey = n1.n_nationkey and c_nationkey = n2.n_nationkey
    and (
        (n1.n_name = 'FRANCE' and n2.n_name = 'GERMANY')
        or (n1.n_name = 'GERMANY' and n2.n_name = 'FRANCE')
    )
        and l_shipdate between '1995-01-01' and '1996-12-31'
        and o_totalprice > 100 and c_acctbal > 10
    ) as shipping
    group by supp_nation, cust_nation, l_year
    order by supp_nation, cust_nation, l_year;
```

#### Difference between SQL Queries

```
#### SQL old removed ####
orders, customer, nation n1, nation n2 where s suppkey = 1 suppkey and o orderkey =
l_orderkey and c_custkey = o_custkey and s_nationkey = n1.n_nationkey and
c nationkey = n2.n nationkey) as shipping
#### SQL new added ####
orders, customer, nation n1, nation n2 where s_suppkey = l_suppkey and o_orderkey =
1 orderkey and c custkey = o custkey and s nationkey = n1.n nationkey and
c nationkey = n2.n nationkey and ( (n1.n name = 'france' and n2.n name = 'germany')
or (n1.n_name = 'germany' and n2.n_name = 'france') ) and l_shipdate between
'1995-01-01' and '1996-12-31' and o_totalprice > 100 and c_acctbal > 10 ) as
shipping
Explanation:
The query here has been changed
==============
#### SQL old removed ####
group by supp nation, cust nation, 1 year;
#### SQL new added ####
group by supp nation, cust nation, 1 year
order by supp_nation, cust_nation, l_year;
Explanation :
There is a change in variable
============
```