# Investigations in Exact Inference for Hierarchical Translation

Wilker Aziz<sup>1</sup> Marc Dymetman<sup>2</sup> Sriram Venkatapathy<sup>2</sup>

<sup>1</sup>University of Wolverhampton Wolverhampton, UK w.aziz@wlv.ac.uk

2Xerox Research Centre Europe Grenoble, France {first.last}@xrce.xerox.com

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#### Table of Contents I

- Motivation
- 2 Approach
- Results
  - Optimisation
  - Sampling
- Remarks



Results

### Optimisation in hierarchical translation

#### Hierarchical phrase-based translation

- SCFG compactly encodes the translation equivalences
- incorporate the language model requires intersecting a wFSA
  - while this is guaranteed polynomial in time and space
  - it is **prohibitive** even for low order LMs
  - "requires" approximation [Chiang, 2007]



1/17

#### Proposal

Avoid performing the full intersection, but without losing exactness

- 1 start from an optimistic unigram LM
- ② incorporate higher-order *n*-grams on the basis of evidence of the need to do so

Exact inference over a tractable proxy representation of the target distribution (dynamic programming)

Using a technique that is also directly applicable to sampling



Motivation

Sampling

During **decoding**, when a single output is required, **optimisation** is a natural choice However.

- Minimum Bayes Risk decoding is based on samples
- samples are also useful for exploring different modes of the distribution

During **learning**, samples are necessary for training the parameters

- however, often *n*-best lists are used as a proxy e.g. MERT, minimum risk training
  - [Blunsom and Osborne, 2008, Arun et al., 2009]



3 / 17

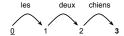
#### Exact optimisation and sampling with OS\*

#### A unified view on optimisation and sampling

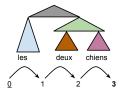
- A cross between Adaptive Rejection Sampling and A\* optimisation
- An exact alternative to the usual approximate MCMC sampling techniques (e.g. Gibbs)

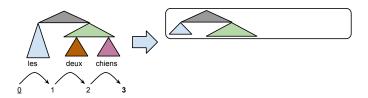
OS\* [Dymetman et al., 2012]

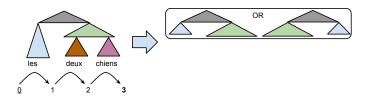




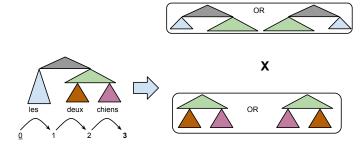




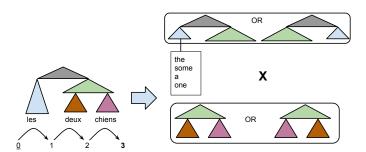


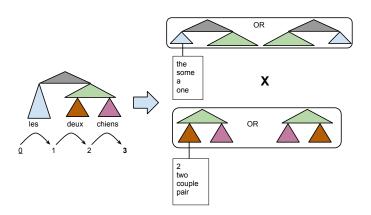


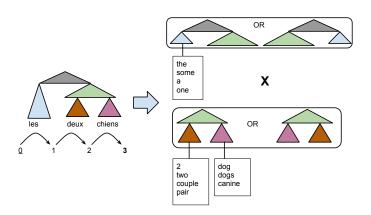


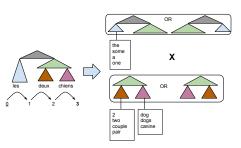


5 / 17









- translation hypergraph without the LM: G(f) wCFG
  - language model: A wFSA

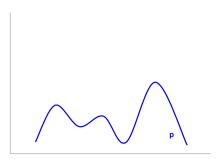
 $G(f) \cap A$  intractable space of weighted translations of the input [Dyer, 2010]

- defines an unnormalised probability distribution over target derivations
- number of rules in the intersected grammar grows exponentially with the order of A



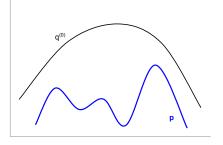
5 / 17

Remarks



$$p = G(f) \cap A$$
  
intractable  $\rightarrow$  dynamic programming

#### OS\* for hierarchical SMT



Simpler and optimistic proposal

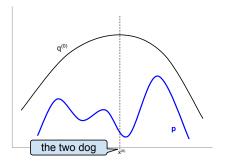
$$q^{(0)} = G(f) \cap A^{(0)}$$
  
tractable  $o$  dynamic programming

- ullet  $A^{(0)}$  is an optimistic unigram version of the full LM
- Progress by lowering the upper-bound based on observed samples
- Efficient "Earley intersection" [Dyer, 2010]



6/17

#### OS\* for hierarchical SMT



Sample from  $q^{(0)}$ 

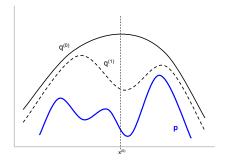
- Accept sample with probability  $r = p(x)/q^{(0)}(x)$
- Poor acceptance rate
- Rejected samples are used to refine the proxy



6 / 17

Motivation

#### OS\* for hierarchical SMT



Obtain a better proxy by intersecting with a small "refinement" automaton  $A^{(1)}$ 

$$q^{(1)} = q^{(0)} \cap A^{(1)}$$

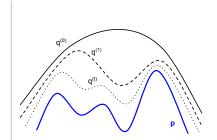
ullet  $A^{(1)}$  accounts for a more precise context

$$w(two)w(dog) \rightarrow w(two)w(dog|two)$$

- $m{q}^{(1)}$  is only slightly more complex than  $m{q}^{(0)}$  thus dynamic programming remains feasible
- leads to a better acceptance rate



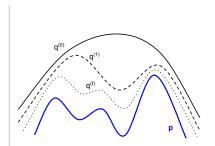
Results



Repeat the process (sample + refine) until:

• Sampling: a pre-defined acceptance rate is reached

#### OS\* for hierarchical SMT



Repeat the process (sample + refine) until:

- Sampling: a pre-defined acceptance rate is reached
- ullet Optimisation: maximum from  $q^{(t)}$  is sufficiently close to p



#### An upper-bound on the LM distribution

Maximise away the history of an *n*-gram [Carter et al., 2012]

$$w_1(a) \equiv \max_h p_{lm}(a|h)$$
 $w_2(a|a_{-1}) \equiv \max_h p_{lm}(a|h, a_{-1})$ 
 $w_3(a|a_{-2}a_{-1}) \equiv \max_h p_{lm}(a|h, a_{-2}a_{-1})$ 

Pre-computed



#### Initial proposal

The initial proposal  $q^{(0)}$  incorporates only unigrams

- $A^{(0)}$  is a very simple automaton
- $q^{(0)} = G(f) \cap A^{(0)}$  has the same size of G(f)

the/ $\alpha_1$ two/ $\alpha_2$ dog/ $\alpha_3$ 



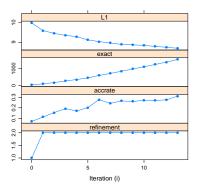
#### Incremental updates

Down-weight occurrences of dog in the context of two



Affects derivations yielding strings that contain occurrences of "two dog" Each such occurrence is now scaled by  $\alpha$ 

# Illustration (sampling)



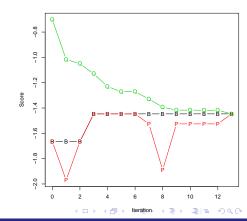
#### Due to refinements

better acceptance rate

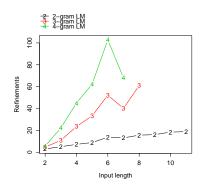
# Illustration (optimisation)

Motivation

i	Rules	Optimum	
0	311	<s> one last observation . </s>	
1	454	<s> one <u>last</u> observation . </s>	
2	628	<s> one last observation . </s>	
3	839	<s> one final observation <u>.</u> </s>	
4	1212	<s> one final <u>observation</u> . </s>	
12	3000	<s> a final observation . </s>	
13	3128	<s> one final observation </s>	



### **Optimisation**

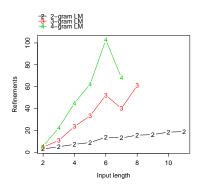


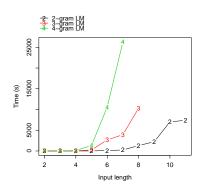
Length	ctxt	count	$\frac{ R_f }{ R_0 }$
4	1	20.3	74.6 ± 53.9
	2	19.2	
	3	5.4	
5	1	21.9	145.4 ± 162.6
	2	32.9	
	3	7.5	
6	1	34.7/75	535.8 ± 480.0
	2	54.9/2000	
	3	13.2	
		4-gram LM	•

Needs to account for very few contexts



### **Optimisation**



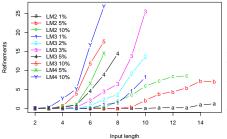


Needs to account for very few contexts

Sampling

Motivation

## Sampling



Input	ctxt	count	$\frac{ R_f }{ R_0 }$
5	1	1.0	$1.9 \pm 1.0$
6	1	6.3	17.6 ± 13.6
	2	0.3	
7	1	12.9/90	93.8 ± 68.9
	2	1.5/3000	
	3	0.1	
		4-gram LM	

Needs to account for very few contexts (mostly lower-order)

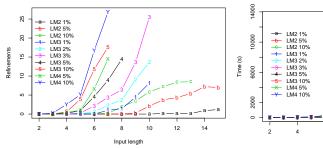
14 / 17

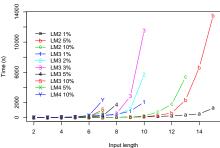
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Sampling

Motivation

# Sampling





Needs to account for very few contexts (mostly lower-order)

#### Summary

Motivation

#### Contributions

- common framework for optimisation and sampling
- exactness
- anytime guarantees: acceptance rate / distance to optimum
- explore only a sub-space of the possible n-grams

Challenge: control the time  $\rightarrow$  complexity of the intersection



#### Thanks!

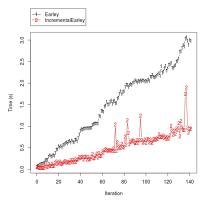
Motivation

Questions/comments?



#### Incremental intersection

Reuse chart items compatible with the new automaton Motivating example

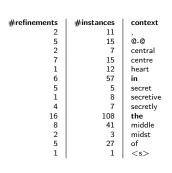


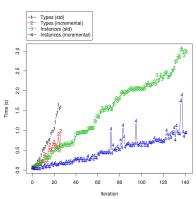
about a third of the time



#### Making refinements more local

Distinguish instances of terminals Motivating example: 47 types [506 instances]





#### 1-word context:

- types: 314 (62%) instances are affected
- instances: 65 (7%) instances are affected



Ongoing work

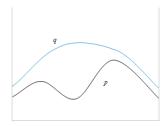
Exact **O**ptimisation an **S**ampling with Connections to  $A^*$  (OS\*) [Dymetman et al., 2012]

- Coarse-to-fine strategy
- Tractable form of adaptive rejection sampling

# OS\* (sampling)

Ongoing work

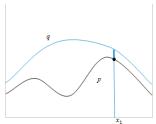
We upper-bound the target distribution p by a simpler proposal q and proceed in a adaptive rejection sampling fashion



• we can optimise/sample from q directly

# OS\* (sampling)

In sampling, we sample from q



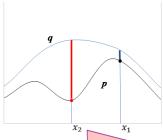
•  $x_1$  is accepted with probability  $r = p(x_1)/q(x_1)$ 



# OS\* (sampling)

Ongoing work

In sampling, we sample from q



Evidence that we are being too optimistic! However, not everywhere, rather at around  $x = x_2$ 

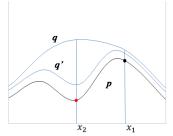
- $x_1$  is accepted with probability  $r = p(x_1)/q(x_1)$
- x<sub>2</sub> is rejected



# OS\* (sampling)

Ongoing work

Rejected samples are used to motivate an increase in the complexity of q

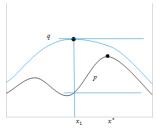


- accounts for some underspecified context
- brings the proxy closer to the target
- increases the rate of acceptance



# OS\* (optimisation)

In optimisation, we find the maximum of q



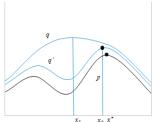
•  $x_1$  is rejected due to low ratio  $r = p(x_1)/q(x_1)$ 



# OS\* (optimisation)

Ongoing work

Rejected maxima are used to motivate an increase in the complexity of q



- accounts for some underspecified context
- brings q's maximum closer to the true maximum



# OS\* (convergence)

#### In sampling

 longer contexts are incorporate till a pre-defined acceptance rate is achieved

# OS\* (convergence)

#### In sampling

 longer contexts are incorporate till a pre-defined acceptance rate is achieved

#### In optimisation

• contexts are incorporated while q(x) differs sufficiently from p(x) for  $x = \operatorname{argmax}_x q(x)$  i.e.

$$r(x) = p(x)/q(x) < \epsilon$$



23 / 17

In 
$$G' \equiv G(f) \cap A$$

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• rules have same length, structure and terminals of those in G(f)

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- but nonterminals are indexed versions of those in G(f)[Bar-Hillel et al., 1961] e.g. (i, N, j) where
  - i and j are states in A
  - N is a nonterminal in the original grammar



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- rules have same length, structure and terminals of those in G(f)
- but nonterminals are indexed versions of those in G(f)[Bar-Hillel et al., 1961] e.g. (i, N, j) where
  - i and j are states in A
  - N is a nonterminal in the original grammar
- number of states in A grows exponentially with the order of the LM



#### Related work

 Rush and Collins [2011] address exact decoding in HPB-SMT using Dual Decomposition

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   they sample derivations from a cube pruned space

S\* Related work Approach Results References

#### Related work

- Rush and Collins [2011] address exact decoding in HPB-SMT using Dual Decomposition
- Blunsom and Osborne [2008] address probabilistic inference (at both decoding and training)
   they sample derivations from a cube pruned space
- Arun et al. [2009] introduce a Gibbs sampler for PB-SMT MBR training/decoding and approximate "max-translation"





• 
$$q^{(0)} = G(f) \cap A^{(0)}$$

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- $q^{(1)} = q^{(0)} \cap A^{(1)}$

Produce a sequence of "proposal" grammars which all upper-bound p

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- . .
- $q^{(t)} = q^{(t-1)} \cap A^{(t)}$

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 $A^{(0)}$  is an optimistic unigram version of the full LM



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- . .
- $q^{(t)} = q^{(t-1)} \cap A^{(t)}$
- $A^{(0)}$  is an optimistic unigram version of the full LM
- $A^{(t)}$  is a small automaton that refines  $q^{(t-1)}$  relative to some k-gram context not yet made explicit

Produce a sequence of "proposal" grammars which all upper-bound p

- $q^{(0)} = G(f) \cap A^{(0)}$
- $a^{(1)} = a^{(0)} \cap A^{(1)}$
- $a^{(t)} = a^{(t-1)} \cap A^{(t)}$

 $A^{(0)}$  is an optimistic unigram version of the full LM

 $A^{(t)}$  is a small automaton that refines  $q^{(t-1)}$  relative to some k-gram context not yet made explicit

Note that for some large M

$$\bigcap_{t=0}^{M} A^{(t)} = A$$



# Refining automata

Ongoing work

Substring searching construction [Cormen et al., 2001] Makes a specific **context** explicit

 lower all possible continuations of the history e.g. "**b** a", "**b** c", "**b** d"



 note that this does not increase the computational cost of the intersection



27 / 17

## Algorithm

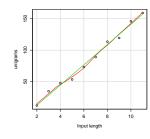
#### Algorithm 1 OS\* for Hierarchical Translation: Optimisation (left) and Sampling (right).

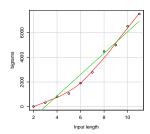
```
1: t ← 0
                                                                              1: t \leftarrow 0, AR \leftarrow 0
 2: q<sup>(0)</sup> ← G(f) ∩ A<sup>(0)</sup>
                                                                              2: q^{(0)} \leftarrow G(f) \cap A^{(0)}
 3: while not an x has been accepted do
                                                                              3: while not AR > threshold do
        Find maximum x in q^{(t)}
                                                                                      Sample x \sim q^{(t)}
        r \leftarrow p(x)/q^{(t)}(x)
                                                                                    r \leftarrow p(x)/q^{(t)}(x)
 5:
        Accept-or-Reject x according to r
                                                                                     Accept-or-Reject x according to r
 6:
 7.
        if Rejected(x) then
                                                                                     if Rejected(x) then
            define A^{(t+1)} based on x and q^{(t)}
                                                                                         define A^{(t+1)} based on x and q^{(t)}
 8:
            a^{(t+1)} \leftarrow a^{(t)} \cap A^{(t+1)}
                                                                                         a^{(t+1)} \leftarrow a^{(t)} \cap A^{(t+1)}
9:
10:
            t \leftarrow t + 1
                                                                             10:
                                                                                         t \leftarrow t + 1
                                                                             11: return already accepted x's along with q^{(t)}
11: return x
```

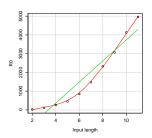


## Experiment

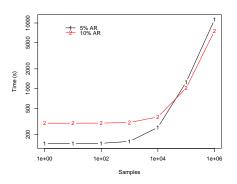
# Small scale experiment: short sentences Properties of G





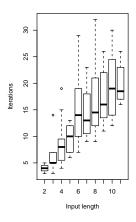


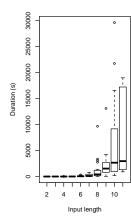
## Sampling performance: 4-gram LM

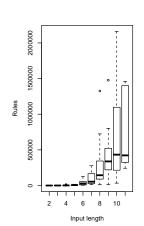


- 20 sentences of length 6
- time to draw 1M samples
- including the time to produce the sampler

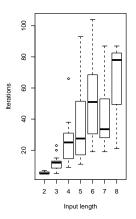
# Optimisation (closer look): 2-gram LM

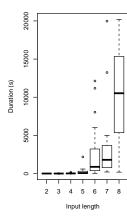


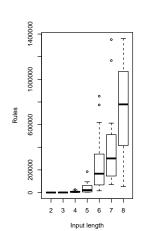




# Optimisation (closer look): 3-gram LM

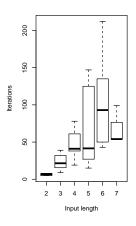


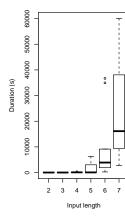


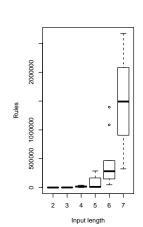




# Optimisation (closer look): 4-gram LM







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OS\* Related work Approach Results References

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OS\* Related work Approach Results References

#### References IV

Ongoing work

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