

# More on Divide and Conquer

# The divide-and-conquer design paradigm

- 1. Divide*** the problem (instance) into subproblems.
- 2. Conquer*** the subproblems by solving them recursively.
- 3. Combine*** subproblem solutions.

# Example: merge sort

**1. Divide:** Trivial.

**2. Conquer:** Recursively sort 2 subarrays.

**3. Combine:** Linear-time merge.

$$T(n) = 2T(n/2) + O(n)$$

The diagram illustrates the recurrence relation  $T(n) = 2T(n/2) + O(n)$  for merge sort. The components are highlighted with yellow circles and labeled with arrows:

- The coefficient **2** is labeled "# subproblems".
- The term  $T(n/2)$  is labeled "subproblem size".
- The term  $O(n)$  is labeled "work dividing and combining".

# Master theorem:

$$T(n) = a T(n/b) + f(n)$$

**CASE 1:** 若  $f(n) = O(n^{\log_b a - \epsilon})$  , 則  $T(n) = \Theta(n^{\log_b a})$

**CASE 2:** 若  $f(n) = \Theta(n^{\log_b a})$  , 則  $T(n) = \Theta(n^{\log_b a} \lg n)$

**CASE 3:** 若  $f(n) = \Omega(n^{\log_b a + \epsilon})$  , 且  $af(n/b) \leq cf(n)$  , 則  
 $T(n) = \Theta(f(n))$

**Merge sort:**  $a = 2, b = 2 \Rightarrow n^{\log_b a} = n$

$\Rightarrow$  **CASE 2**  $T(n) = \Theta(n \lg n)$  .

# Binary search

Find an element in a sorted array:

- 1. Divide:** Check middle element.
- 2. Conquer:** Recursively search 1 subarray.
- 3. Combine:** Trivial.

**Example:** Find 9

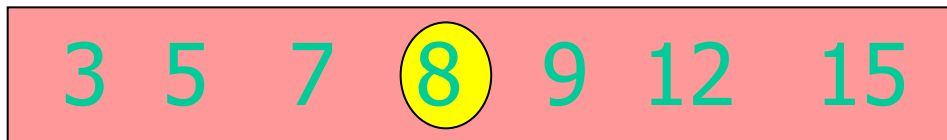
3	5	7	8	9	12	15
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# Recurrence for binary search

$$T(n) = 1 T(n/2) + \Theta(1)$$

*# subproblems*

*subproblem size*

*work dividing  
and combining*

$a=1, b=2, n^{\log_b a} = n^0 \Rightarrow \text{CASE 2}$

$\Rightarrow T(n) = \Theta(\lg n) .$

# Powering a number

**Problem:** Compute  $a^n$ , where  $n \in \mathbb{N}$ .

**Naive algorithm:**  $\Theta(n)$ .

**Divide-and-conquer algorithm:**

$$a^n = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n \text{ is even;} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

$$T(n) = T(n/2) + \Theta(1) \Rightarrow T(n) = \Theta(\lg n) .$$

# Fibonacci numbers

## Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

0 1 1 2 3 5 8 13 21 34 ...

**Naive recursive algorithm:**  $\Omega(\phi^n)$   
(exponential time), where  $\phi = (1 + \sqrt{5})/2$   
is the *golden ratio*.

# Computing Fibonacci numbers

## Naive recursive squaring:

$F_n = \phi^n / \sqrt{5}$  rounded to the nearest integer. 5

- Recursive squaring:  $\Theta(\lg n)$  time.
- This method is unreliable, since floating-point arithmetic is prone to round-off errors.

## Bottom-up:

- Compute  $F_0, F_1, F_2, \dots, F_n$  in order, forming each number by summing the two previous.
- Running time:  $\Theta(n)$ .

# Recursive squaring

**Theorem:** 
$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n .$$

**Algorithm:** Recursive squaring.


Time =  $\Theta(\lg n)$  .

*Proof of theorem.* (Induction on  $n$ .)

Base ( $n = 1$ ): 
$$\begin{bmatrix} F_2 & F_1 \\ F_1 & F_0 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^1 .$$

# Recursive squaring

Inductive step ( $n \geq 2$ ):

$$\begin{aligned}\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} &= \begin{bmatrix} F_n & F_{n-1} \\ F_{n-1} & F_{n-2} \end{bmatrix} \cdot \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^{n-1} \cdot \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n\end{aligned}$$




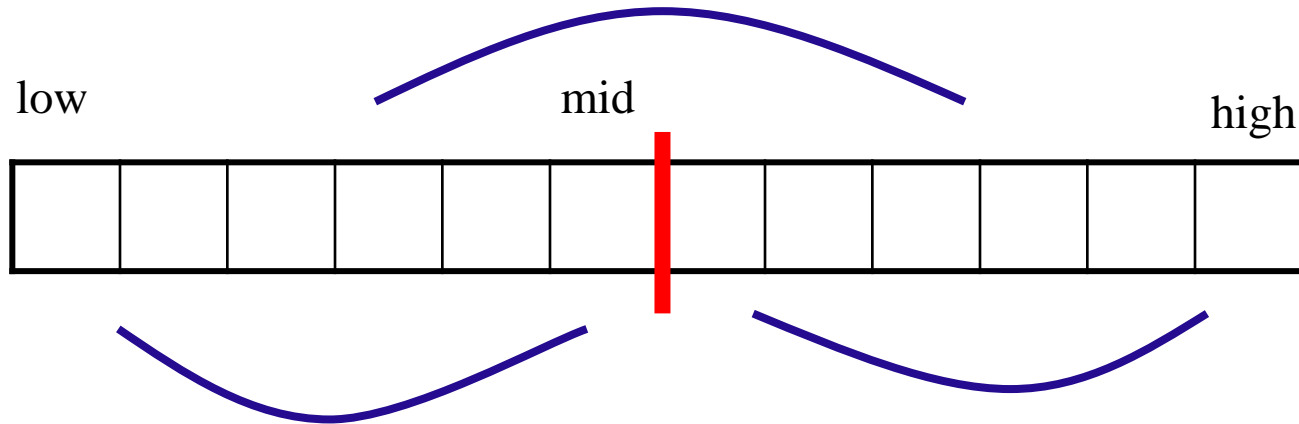
# Maximum subarray problem

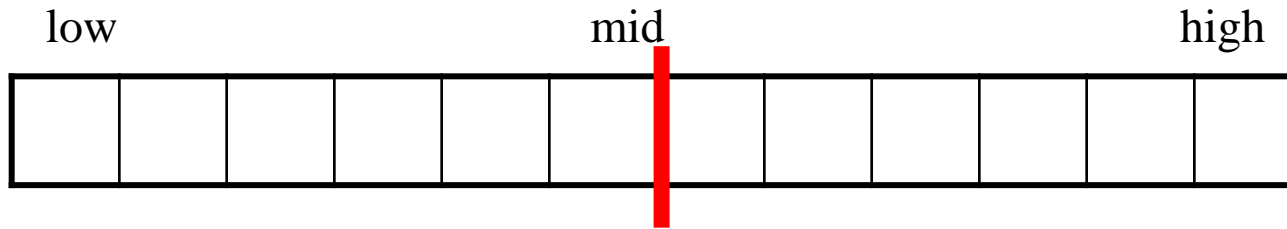
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
13	-3	-25	20	-3	-16	-23	18	20	-7	12	-5	-22	15	-4	7

**Input:** An Array of numbers

**Output:** A subarray with the maximum sum

**Observation:**





- **Subproblem:** Find a maximum subarray of  $A[\text{low} .. \text{high}]$ . In original call,  $\text{low} = 1$ ,  $\text{high} = n$ .
- **Divide** the subarray into two subarrays. Find the midpoint  $\text{mid}$  of the subarrays, and consider the subarrays  $A[\text{low} .. \text{mid}]$  And  $A[\text{mid} + 1 .. \text{high}]$
- **Conquer** by finding a maximum subarrays of  $A[\text{low} .. \text{mid}]$  and  $A[\text{mid} + 1 .. \text{high}]$ .
- **Combine** by finding a maximum subarray that crosses the midpoint, and using the best solution out of the three.

```

FIND-MAX-CROSSING-SUBARRAY(A, low, mid, high)
// Find a maximum subarray of the form A[i..mid].
leftsum =  $-\infty$ ; sum = 0;
for i = mid downto low
    sum = sum + A[i] ;
    if sum > leftsum
        leftsum = sum
        maxleft = i
// Find a maximum subarray of the form A[mid + 1.. j].
rightsum =  $-\infty$ ; sum = 0;
for j = mid + 1 to high
    sum = sum + A[j]
    if sum > rightsum
        rightsum = sum
        maxright = j
// Return the indices and the sum of the two subarrays.
return (maxleft, maxright, leftsum + rightsum)

```

**FIND-MAXIMUM-SUBARRAY**(*A*, *low*, *high*)

**if** *high* == *low*

**return** (*low*, *high*, *A*[*low*]) // base case: only one element

**else** *mid* =  $\lceil (low + high)/2 \rceil$

    (*leftlow*, *lefthigh*, *leftsum*) =

**FIND-MAXIMUM-SUBARRAY**(*A*, *low*, *mid*)

    (*rightlow*, *righthigh*, *rightsum*) =

**FIND-MAXIMUM-SUBARRAY**(*A*, *mid* + 1, *high*)

    (*crosslow*, *crosshigh*, *crosssum*) =

**FIND-MAX-CROSSING-SUBARRAY**(*A*, *low*, *mid*, *high*)

**if** *leftsum* >= *rightsum* and *leftsum* >= *crosssum*

**return** (*leftlow*, *lefthigh*, *leftsum*)

**elseif** *rightsum* >= *leftsum* and *rightsum* >= *crosssum*

**return** (*rightlow*, *righthigh*, *rightsum*)

**else return** (*crosslow*, *crosshigh*, *crosssum*)

$$T(n) = 2T(n/2) + \Theta(n)$$

$$T(n) = \Theta(n \log n)$$

**Initial call:** **FIND-MAXIMUM-SUBARRAY**(*A*, 1, *n*)

# Matrix multiplication

**Input:**  $A = [a_{ij}], B = [b_{ij}].$   
**Output:**  $C = [c_{ij}] = A \cdot B.$   $\left. \vphantom{\begin{matrix} A \\ B \\ C \end{matrix}} \right\} i, j = 1, 2, \dots, n.$

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

# Standard algorithm

```
for  $i \leftarrow 1$  to  $n$ 
  do for  $j \leftarrow 1$  to  $n$ 
    do  $c_{ij} \leftarrow 0$ 
      for  $k \leftarrow 1$  to  $n$ 
        do  $c_{ij} \leftarrow c_{ij} + a_{ik} \cdot b_{kj}$ 
Running time =  $\Theta(n^3)$ 
```

# Divide-and-conquer algorithm

## IDEA:

$n \times n$  matrix =  $2 \times 2$  matrix of  $(n/2) \times (n/2)$  submatrices:

$$\begin{bmatrix} r & s \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$
$$C = A \cdot B$$

$$\begin{cases} r = ae + bg \\ s = af + bh \\ t = ce + dg \\ u = cf + dh \end{cases}$$

**8** mults of  $(n/2) \times (n/2)$  submatrices

**4** adds of  $(n/2) \times (n/2)$  submatrices

# Analysis of D&C algorithm

$$T(n) = 8 T(n/2) + \Theta(n^2)$$

*# subproblems*

*subproblem size*

*work dividing  
and combining*

$a=8, b=2, n^{\log_b a} = n^3 \Rightarrow \text{CASE 1} \Rightarrow T(n) = \Theta(n^3)$ .

***No better than the ordinary algorithm.***



# Strassen's idea

- Multiply  $2 \times 2$  matrices with only 7 recursive

$$P1 = a \cdot (f - h) \quad r = P5 + P4 - P2 + P6$$

$$P2 = (a + b) \cdot h \quad s = P1 + P2$$

$$P3 = (c + d) \cdot e \quad t = P3 + P4$$

$$P4 = d \cdot (g - e) \quad u = P5 + P1 - P3 - P7$$

$$P5 = (a + d) \cdot (e + h)$$

$$P6 = (b - d) \cdot (g + h)$$

$$P7 = (a - c) \cdot (e + f)$$

7 mults, 18 adds/subs.

**Note:** No reliance on commutativity of mult!

# Strassen's idea

- Multiply  $2 \times 2$  matrices with only 7 recursive

$$P1 = a \cdot (f - h)$$

$$P2 = (a + b) \cdot h$$

$$P3 = (c + d) \cdot e$$

$$P4 = d \cdot (g - e)$$

$$P5 = (a + d) \cdot (e + h)$$

$$P6 = (b - d) \cdot (g + h)$$

$$P7 = (a - c) \cdot (e + f)$$

$$r = P5 + P4 - P2 + P6$$

$$= (a+d)(e+h)$$

$$+ d(g-e) - (a+b)h$$

$$+ (b-d)(g+h)$$

$$= ae + ah + de + dh$$

$$+ dg - de - ah - bh$$

$$+ bg + bh - dg - dh$$

$$= ae + bg$$

$$\begin{cases} r = ae + bg \\ s = af + bh \\ t = ce + dg \\ u = cf + dh \end{cases}$$

# Strassen's algorithm

- 1. Divide:** Partition  $A$  and  $B$  into  $(n/2) \times (n/2)$  submatrices. Form terms to be multiplied using  $+$  and  $-$ .
- 2. Conquer:** Perform 7 multiplications of  $(n/2) \times (n/2)$  submatrices recursively.
- 3. Combine:** Form  $C$  using  $+$  and  $-$  on  $(n/2) \times (n/2)$  submatrices.

$$T(n) = 7 T(n/2) + \Theta(n^2)$$

# Analysis of Strassen

$$T(n) = 7 T(n/2) + \Theta(n^2)$$

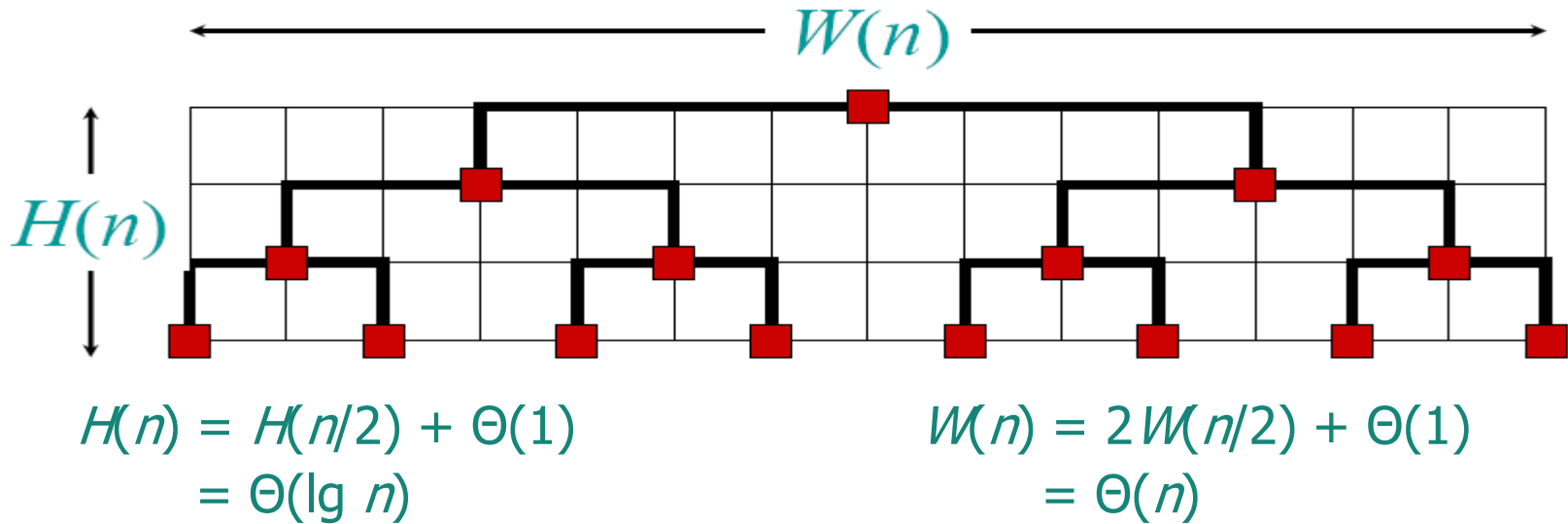
$$a=7, b=2, n^{\log_b a} = n^{\lg 7} \approx n^{2.81} \Rightarrow \text{CASE 1} \Rightarrow T(n) = \Theta(n^{\lg 7}).$$

The number 2.81 may not seem much smaller than 3, but because the difference is in the exponent, the impact on running time is significant. In fact, Strassen's algorithm beats the ordinary algorithm on today's machines for  $n \geq 30$  or so.

**Best to date** (of theoretical interest only):  $\Theta(n^{2.376\dots})$ .

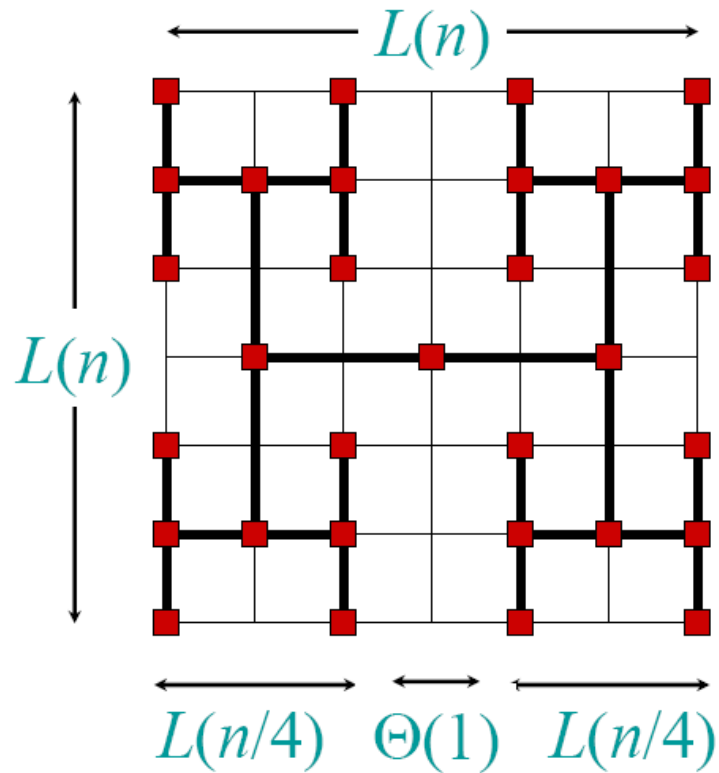
# VLSI layout

**Problem:** Embed a complete binary tree with  $n$  leaves in a grid using minimal area.



$$\text{Area} = \Theta(n \lg n)$$

# H-tree embedding



$$\begin{aligned} L(n) &= 2L(n/4) + \Theta(1) \\ &= \Theta(\sqrt{n}) \end{aligned}$$

$$\text{Area} = \Theta(n)$$

# Conclusion

- Divide and conquer is just one of several powerful techniques for algorithm design.
- Divide-and-conquer algorithms can be analyzed using recurrences and the master method (so practice this math).
- Can lead to more efficient algorithms