

Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, TX 75201



Ally Invest Securities

P.O. Box 30248, Charlotte, NC 28230
Phone: 855.880.2559 | Fax: 866.699.0563
Member FINRA and SIPC - Ally.com/invest

Account Number: 629-75844

OFFICE SERVING YOU
ALLY INVEST - HOUSE REP
855-880-2559

JUSTIN BOGGS
APEX C/F ROLLOVER IRA
8501 BROOKSBY DRIVE
PLANO TX 75024-7327

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

1. All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.
2. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
3. If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
4. Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
5. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
6. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
7. Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
8. Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex, your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.
9. Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
10. Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
11. The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
12. Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
13. Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.
14. Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
15. Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".
16. Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
17. Backup Withholding – If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
18. Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
19. If the official statement/prospectus is available, you can view them at emma.msrb.org. In the event the official statement/prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
20. Payment of Interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

MKT = Market in which transaction was Executed/Cleared	Buy/Sell Codes:	Account Types	Currency Codes:	CAP=Capacity in which your Introducing Broker or Apex has acted:
1-New York Stock Exchange	B = Buy	1-Cash	AUD: Australian \$	1-2, 4-5, 8 – As AGENT
2-NYSE American	S = Sell	2-Margin	CAD: Canadian \$	3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
3-Other US Exchanges	N#B = Cancel Buy	3-Income	EUR: Euros	6-As AGENT for both buyer and seller.
4-Mutual Funds	N#S = Cancel Sell	4-Non-Negotiable	GBP: British Pounds	7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction.
5-Options		5-Short	HKD: Hong Kong \$	9-Other
6-Over-the-Counter/NASDAQ		6-Special Subscription	JPY: Japan Yen	0-Multiple cap (two or more of agent, principal, & agent for another)
ACCOUNTS CARRIED BY APEX CLEARING CORPORATION				
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453				
		7-Description Available on Req.	MXN: Mexican Peso	67 – Riskless Principal.
		8-Description Available on Req.	NZD: New Zealand \$	A – As Agency
		9-RVP/DVP	Add'l Currencies	B – As Principal
			Contact your broker	



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Account cleared by
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350 N. St. Paul Street 1300
Dallas, Texas 75201

Account Number: 629-75844

Account Name: JUSTIN BOGGS

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	COMM	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
1	S	01/29/21	02/02/21	100	DKNG	53.5000000	5,350.00	0.00	0.12	0.00	P0878	5,349.88	A340202	3 1
Desc: DRAFTKINGS INC CLASS A COMMON STOCK											Interest/STTax: 0.00	CUSIP: 26142R104		
Currency: USD											ReportedPX: MarkUp/Down:			
Trailer: A/E 9PLCYG6 1 ASSIGNED														
1	S	01/29/21	02/02/21	100	PLTR	32.0000000	3,200.00	0.00	0.08	0.00	73519	3,199.92	A340202	3 1
Desc: PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK											Interest/STTax: 0.00	CUSIP: 69608A108		
Currency: USD											ReportedPX: MarkUp/Down:			
Trailer: A/E 9PLJCL7 1 ASSIGNED														
1	S	01/28/21	01/29/21	1		1.8100000	181.00	0.00	0.05	0.00	X2536	180.95	A340129	5 1
Desc: CALL CLOV 02/19/21 15 CLOVER HEALTH INVESTMENTS CORP											Interest/STTax: 0.00	CUSIP: 8PLTWZ9		
Currency: USD											ReportedPX: MarkUp/Down:			
Trailer: CLOSING CONTRACT														
1	S	01/29/21	02/01/21	1		1.0600000	106.00	0.50	0.05	0.00	A0659	105.45	A340201	5 1
Desc: CALL DHI 02/05/21 78 D R HORTON INC											Interest/STTax: 0.00	CUSIP: 9KFVLP5		
Currency: USD											ReportedPX: MarkUp/Down:			
Trailer: OPEN CONTRACT														
1	B	01/29/21	02/01/21	1		0.0100000	1.00	0.50	0.04	0.00	Z9931	1.54	A340201	5 1
Desc: CALL DHI 01/29/21 80 D R HORTON INC											Interest/STTax: 0.00	CUSIP: 9PLWTR5		
Currency: USD											ReportedPX: MarkUp/Down:			
Trailer: CLOSING CONTRACT														
1	S	01/29/21	02/02/21	60	AGQ	53.3631000	3,201.79	0.00	0.08	0.00	H4268	3,201.71	A340202	6 1
Desc: PROSHARES ULTRA SILVER											Interest/STTax: 0.00	CUSIP: 74347W353		
Currency: USD											ReportedPX: MarkUp/Down:			
Trailer:														

SUMMARY FOR CURRENT TRADE DATE:
TOTAL SHARES BOUGHT:
TOTAL SHARES SOLD:

01/29/21

1.00

-262.00

TOTAL DOLLARS BOUGHT:

TOTAL DOLLARS SOLD:

-1.54

12,037.91