

Instructions for ACL 2023 Proceedings

Anonymous ACL submission

Abstract

TBD

1 Introduction

In many customer-facing dialogue applications, customer service interactions must follow a set of guidelines for safety, which have a natural sequential order. If a customer is locked out of their account and requests a password reset, the agent must first verify that the customer is indeed the owner of the account. This if-then structure is common to flows in guidelines.

Both human and robot agent must follow safety guidelines. As a result, safety guidelines are often written in natural language.

Our goal is to train dialogue agents that not only follow a set of guidelines, but justify their actions by pointing to the guidelines. This allows others to verify their actions, and whether the guidelines have been followed.

We propose a generative model of dialogue, that justifies decisions by aligning to a guidelines, utilizes the sequential structure of guidelines, and does not require supervision.

Experiments show that our model is accurate, interpretable, and works at a range of supervision levels.

Datasets include a variety of guidelines. In ABCD, the guidelines are given to us [Chen et al. \(2021\)](#). In SGD, we write the guidelines ourselves, using the generative model to aid development. In doc2dial, we show that our method works for alignment to general document-guided dialogue as well.

2 Related work

The adaptation of large language models to task-oriented dialogue has allowed for impressive results in zero-shot generalization, where models are tested in scenarios that they have not previously seen (). The key idea behind this success is the use

of a natural language interface: specify scenario-specific details using natural language, and take advantage of the generalization abilities of large language models.

3 Problem setup

We are interested in a generative model of dialogue that justifies its actions by aligning to a document. The model first chooses its alignments $z \sim p(z)$,
$$p(x, z) = p(x | z)p(z)$$

References

Derek Chen, Howard Chen, Yi Yang, Alex Lin, and Zhou Yu. 2021. [Action-based conversations dataset: A corpus for building more in-depth task-oriented dialogue systems](#). *CoRR*, abs/2104.00783.

A Example Appendix

This is a section in the appendix.