iConnect 125234 Design Approach

Allow Reviewer to fill dashboard/reviewer forms during review process.

Workflow Changes

None for agents and clients.

For reviewers see below.

Admin Changes

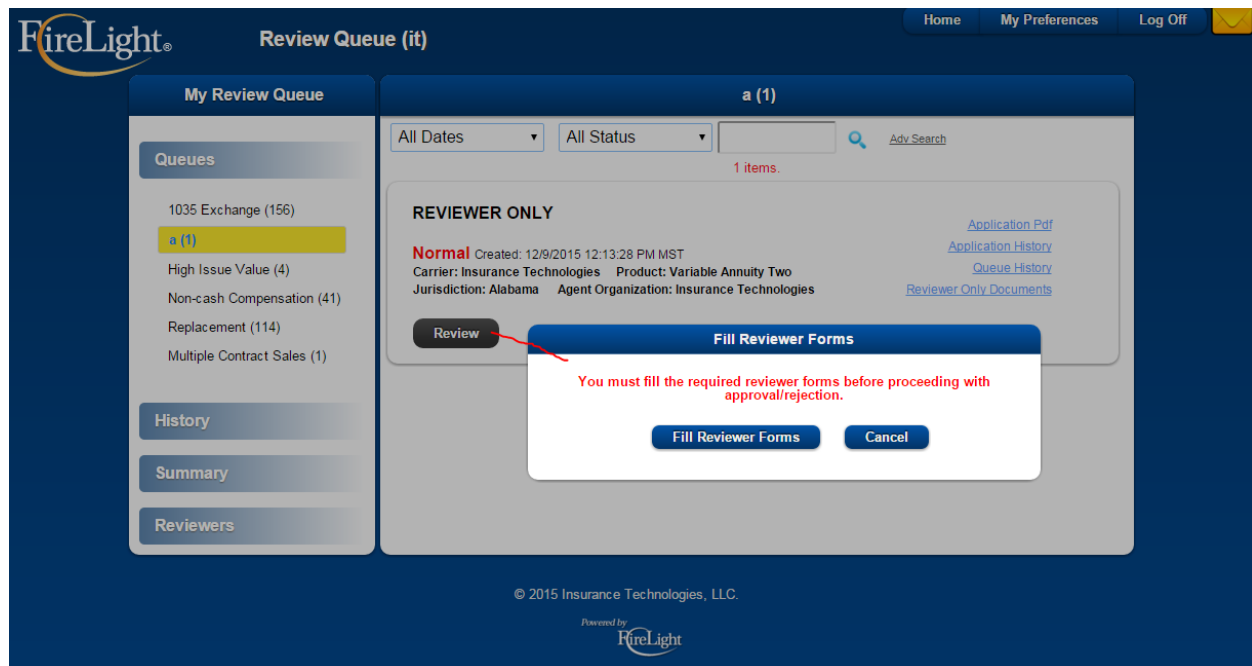A new package type is added – "Reviewer Package".   ← Other than this, no change in Admin.

- Reviewer "Dashboard" forms should be added into this package. Should be set as "Required".
- Reviewer "Dashboard" forms should NOT be included in normal application package or broker package.

App UI Mock Ups

1. **If there are required reviewer forms to be filled* for this application, click on "Review" will bring up a confirmation as below:**

* If no daskboard forms to be filled, "Review" button will behave as today.

2. **Click on "Fill Reviewer Forms": A fillable Reviewer Only form. Many values will be carried over from the original application (as Read-Only in this case). These fields are designed in Admin.**

- The input data will not be automatically saved. Reviewer must click on "Save".
- Reviewer can close the dashboard ("Back to Reviewer Queue") and re-open the form (by clicking on "Review") to continue where it was left off.
- Multiple reviewers can update/modify the same Dashboard form. Click on "History" can view each reviewer's update activities.
- When the form is 100%, a button "Complete" will be enabled. Click on "Complete" will lock the forms, no more input can be entered.

Click on "History":

After clicking on "Complete", the dialog will close. The "Approve" and "Reject" buttons will appear. A new button "View Dashboard" allows reviewer to view the completed form pdf.

Copy of WEIHONG 2

☑

Normal Created: 12/28/2015 3:46:32 PM EST
Carrier: ForeThought   Product: ForeRetirement III B-Share
Jurisdiction: Arkansas   Agent Organization: Advisor Group

Application Pdf
Application History
Queue History
Reviewer Only Documents

[Unlock]   [Approve] [Reject] [More Info] [View Dashboard]

"View Dashboard" allows reviewer open the completed form Pdf:

GENERAL INFORMATION

BD name	_____	IGO/NIGO Date	_____
Rep Name AGENT	_____U_____SMITH	Rep ID	929_____
Top Producer	_____	OSJ Name	John Smith____
Name of Reviewer	_____	Transaction ID	15-000422___

CLIENT FINANCIAL INFORMATION

NB Account	11010011112	Client Name	NANCY____H____REAGAN
Client Age	44	Client Income	_____
Client NW	_____	Client LNW	_____
Employment Status	_____	Occupation	_____
Risk Tolerance	_____	Time Horizon	_____
Liquidity Needs	_____	Client Known Since	_____
Total VA Assets	3,211,112	Total Investable Assets	3,934,565
VA Concentration	82	Federal Tax Bracket	_____

Investment Objectives:

Capital Preservation __   Growth __   Income __   Capital Appreciation __   Speculation __   Tax Advantage __

Investment Experience	Number of Years	Value ($) of Assets Held At Another BD
Annuities		
Bonds		
Managed Accounts		
Alternative Investment		
Options		
Stocks		

Queue History: (new link "View Dashboard" will show the dashboard Pdf)

Areas Impacted

System Area	Yes	Comment
Admin Tool		
- **Form Library**		
- **Design Forms**	**X**	
- **Profile Administration**		
- **Reports**		
- **Deployment**		
FireLight App		
- **New Application**		
- **Edit Application**	**X**	Agent and client form entry should not see changes. Code will change to accommodate the reviewer forms.
- **Signature Process**		
- **Review Queue**	**X**	
- **Manual Review**	**X ?**	
- **User Preferences**		
- **Inbound Integration**		
- **Outbound Integration**	**X**	
- **PDF Generation**	**X**	
-		
FireLight Console		
- **Windows O/S**		
- **iOS**		
- **Android**		
-		
Other Systems		
- **DTCC Integration**		
- **Commission netting**		
- **Activity Reporting**		