

PSO 14

Huffman, LZW Compression, KD Trees

Question 1

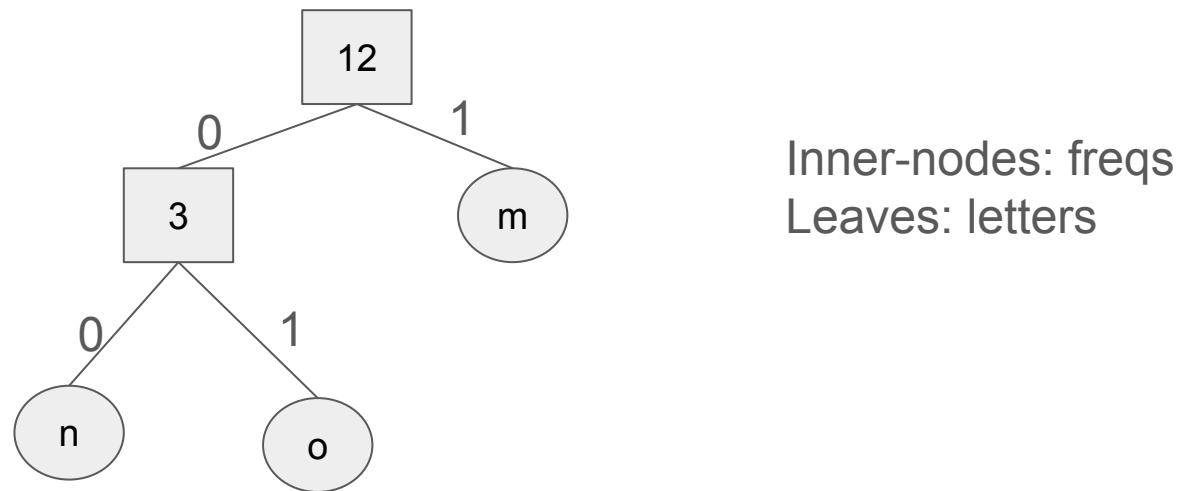
(Huffman codes)

Recall that Huffman coding encodes high-frequency character with short codewords such that no code-word is a prefix for some other codeword.

- (1) What is an Huffman codes for the following set of frequencies, based on the first 8 Fibonacci numbers?

$a : 1 \ b : 1 \ c : 2 \ d : 3 \ e : 5 \ f : 8 \ g : 13 \ h : 21$

Huffman Idea: Compress the most frequent letters to be shortest, an example..



What is the most freq. letter? What's the encoding of 'o'? 'n'? 'm'?

Question 1

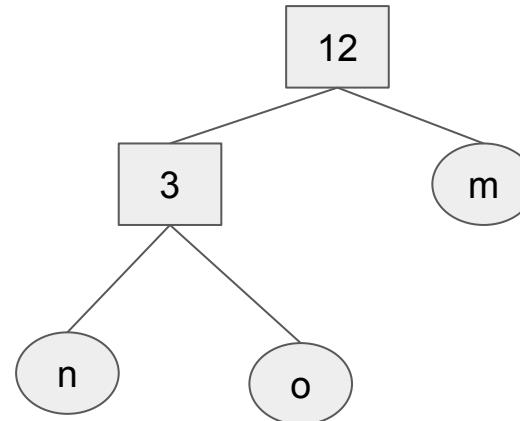
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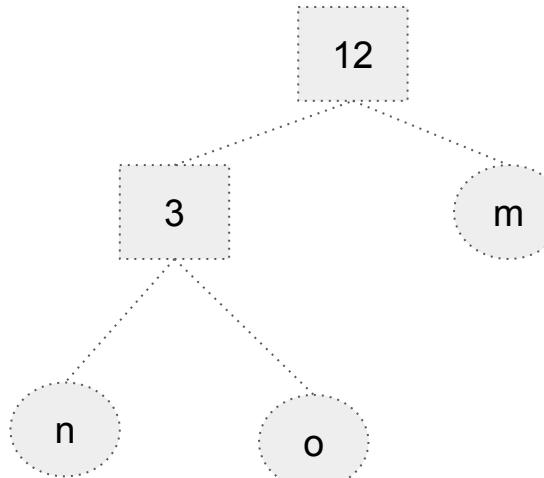
Huffman Idea: Compress the most frequent letters to be shortest



Steps:

1. Add all letters to minHeap by their frequencies
2. Pop off min, add to the tree *Bottom-up*
3. Put the current tree into minHeap with freq = tree size, repeat 2-3

Quick example: start off with freqs

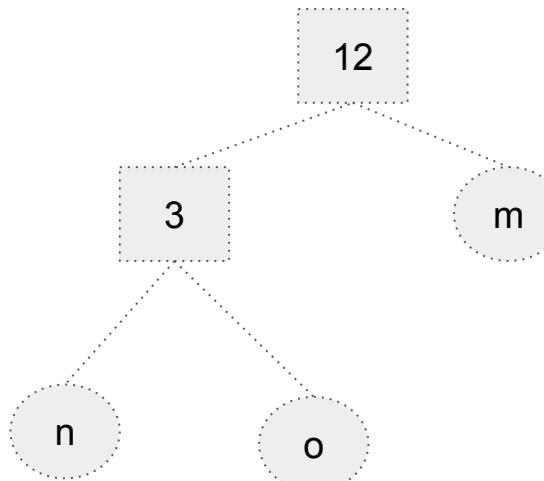


Steps:

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m	n	o
9	1	2

Quick example

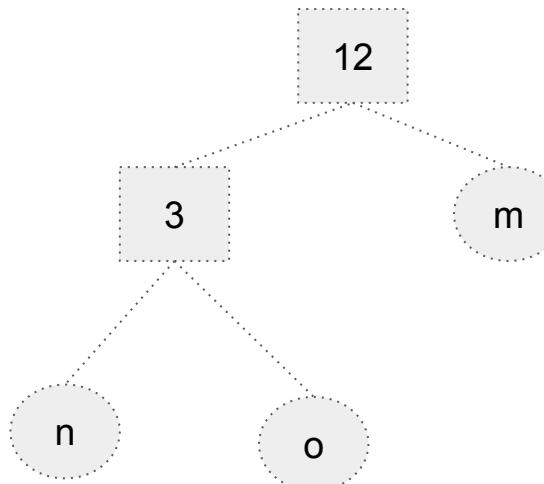


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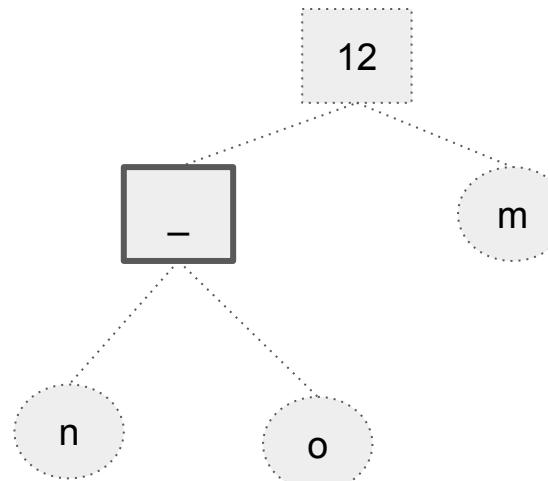
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Q
(1,n)
(2,n)
(9,m)

Quick example: Step 2



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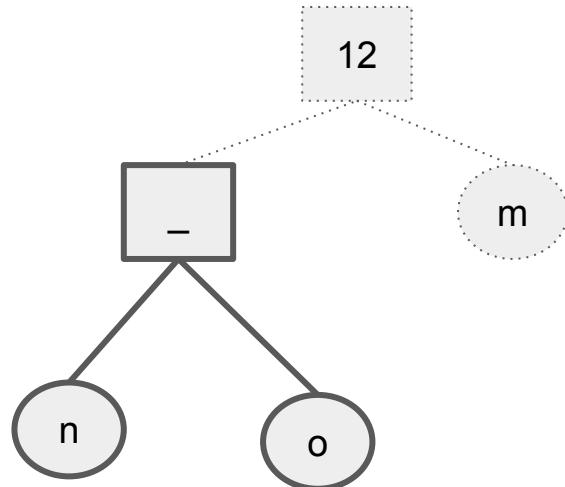
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Step 2 in-depth:

- 2a. Initialize node curr

Q
(1,n)
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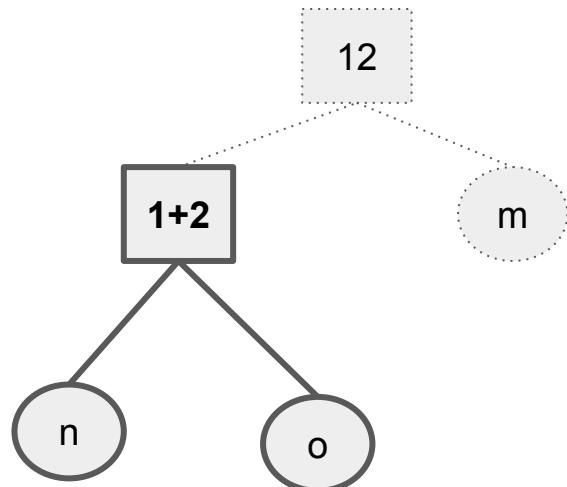
m	n	o
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Step 2 in-depth:

- 2a. Initialize node curr
- 2b. Set children to be next two minHeap elts

Q
~~(1,n)~~
~~(2,n)~~
(9,m)

Quick example: Step 2



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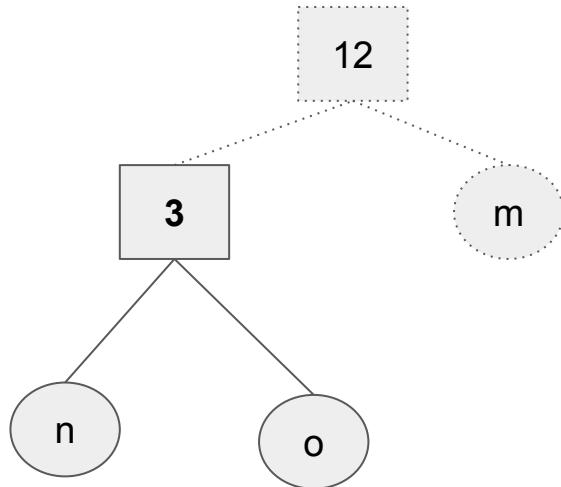
m	n	o
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Step 2 in-depth:

- Initialize node curr
- Set children to be next two minHeap elts
- curr.freq = Add up freq of children

Q
~~(1,n)~~
~~(2,n)~~
(9,m)

Quick example: Step 2



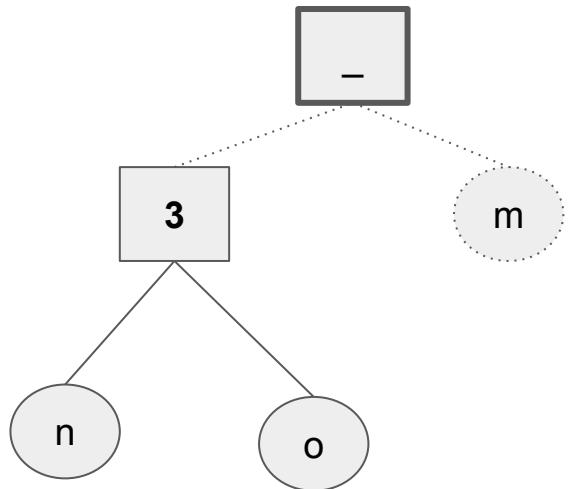
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9	1	2

Q
(3,no)
(9,m)

Quick example: Step 2



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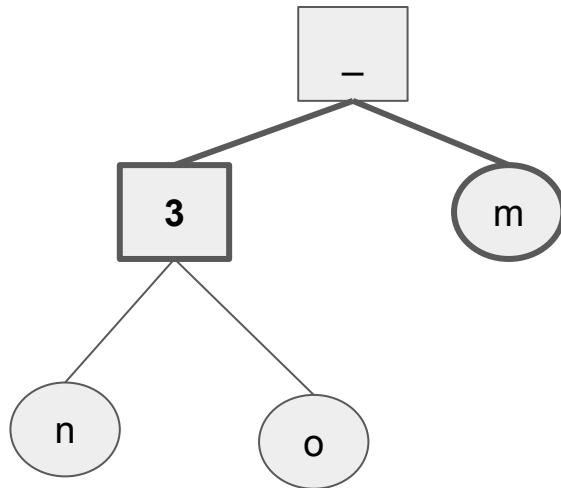
m	n	o
9	1	2

Step 2 in-depth:

- a. Initialize node curr
- b. Set children to be next two minHeap elts
- c. curr.freq = Add up freq of children

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(3,no)
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Quick example: Step 2



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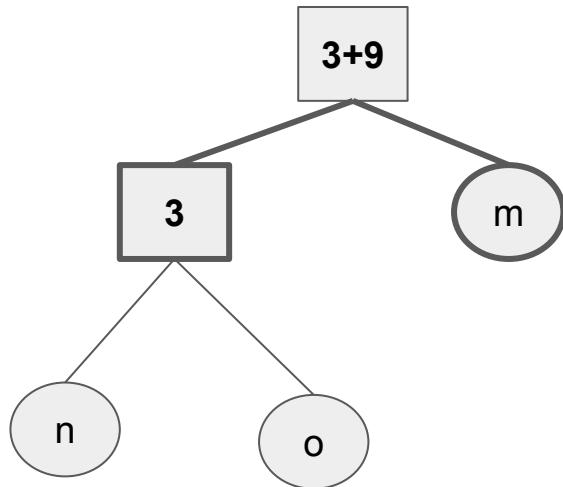
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(Huffman codes)

Recall that Huffman coding encodes high-frequency character with short codewords such that no code-word is a prefix for some other codeword.

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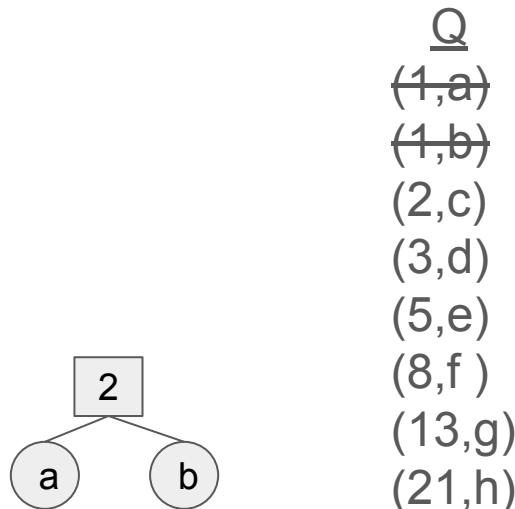
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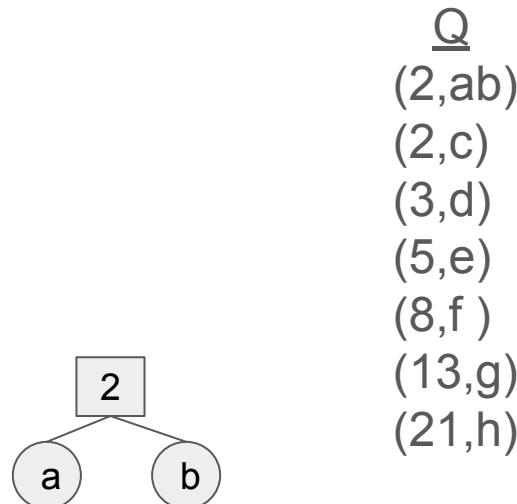
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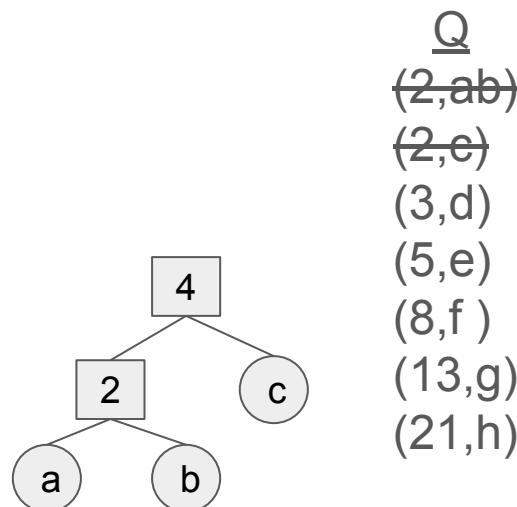
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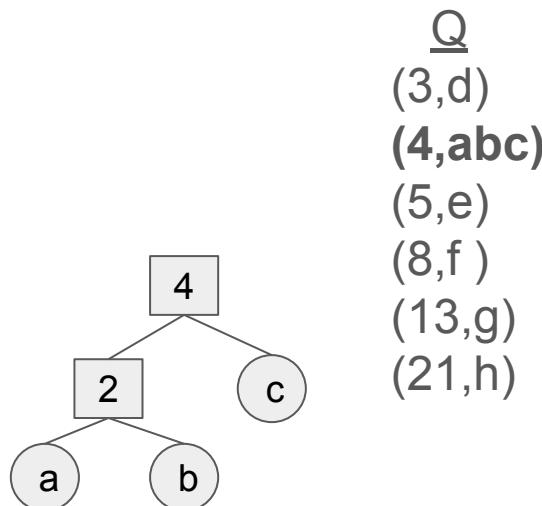
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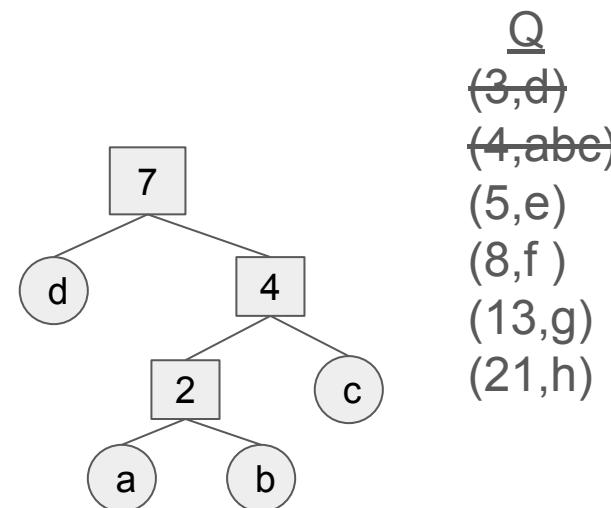
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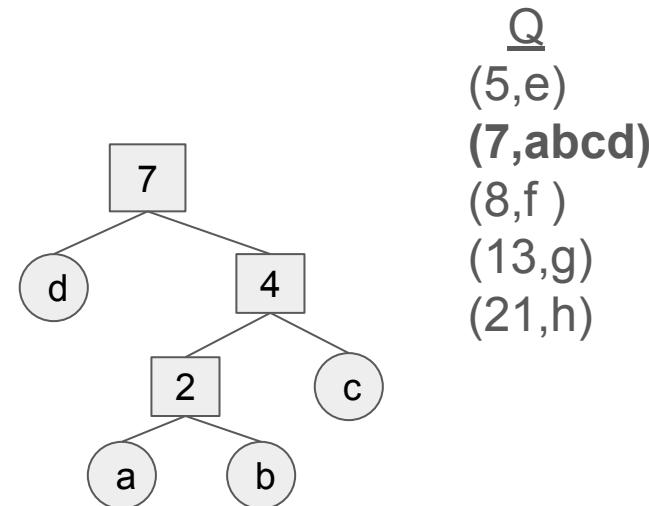
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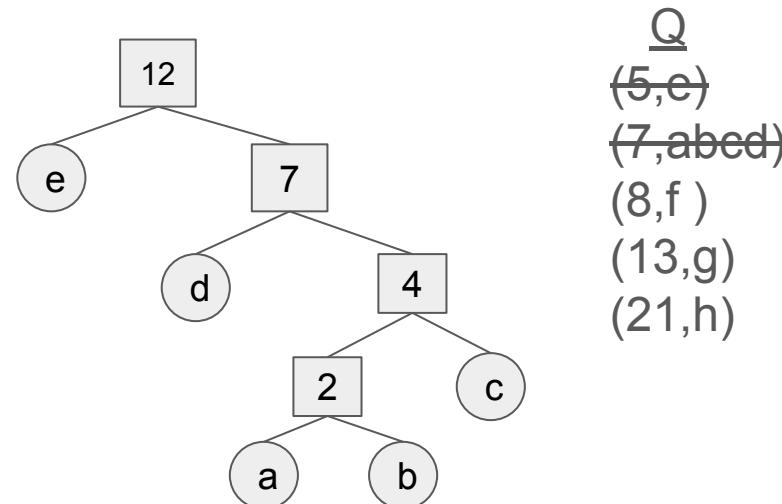
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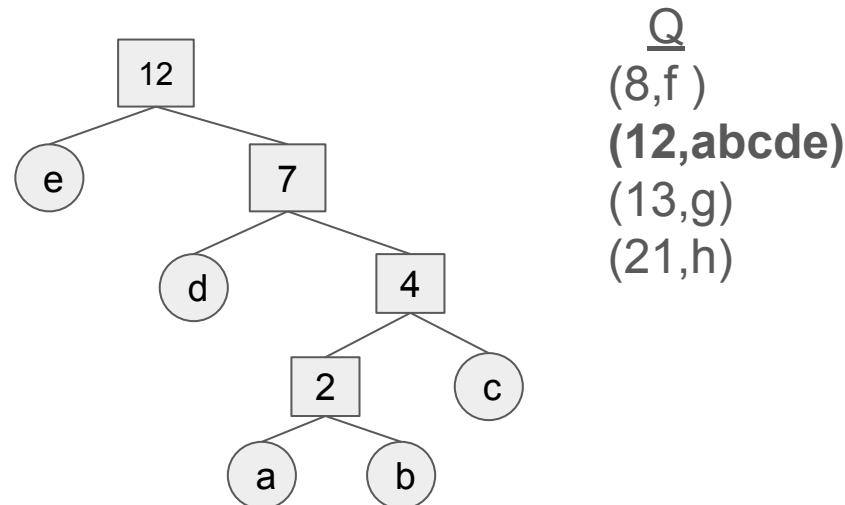
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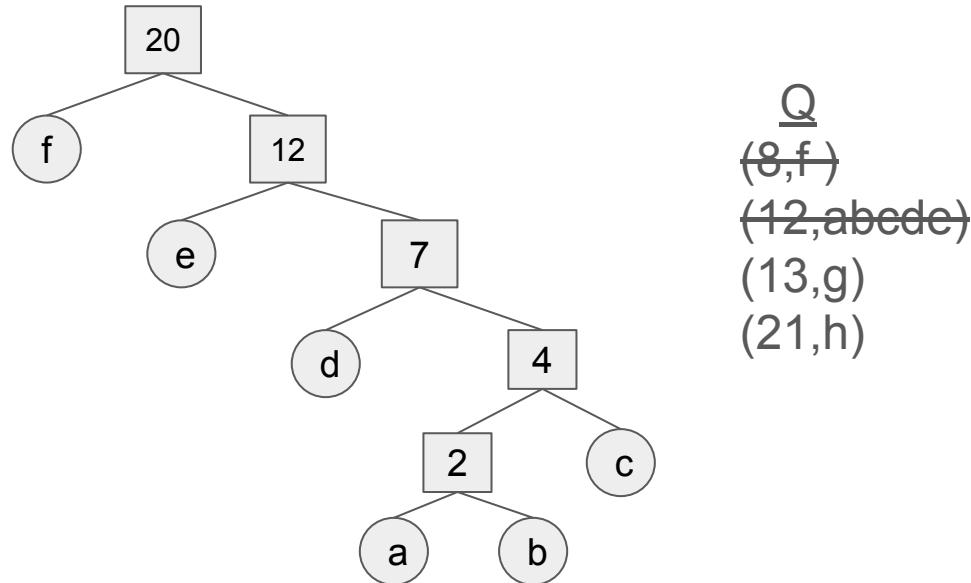
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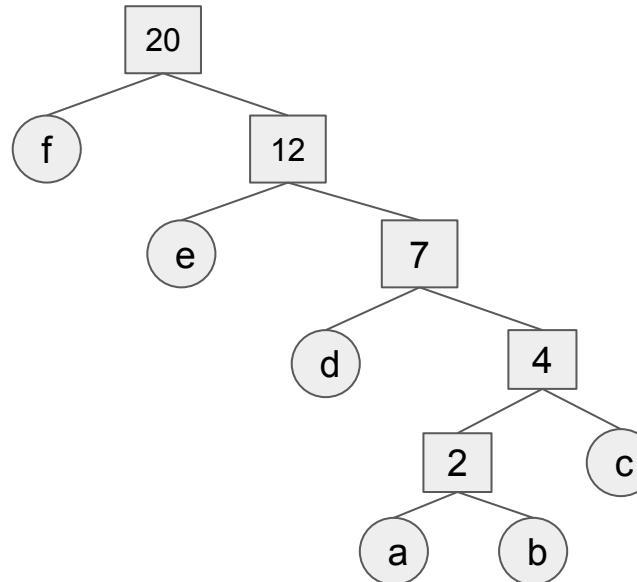
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Q
(13,g)
(20,abcdef)
(21,h)

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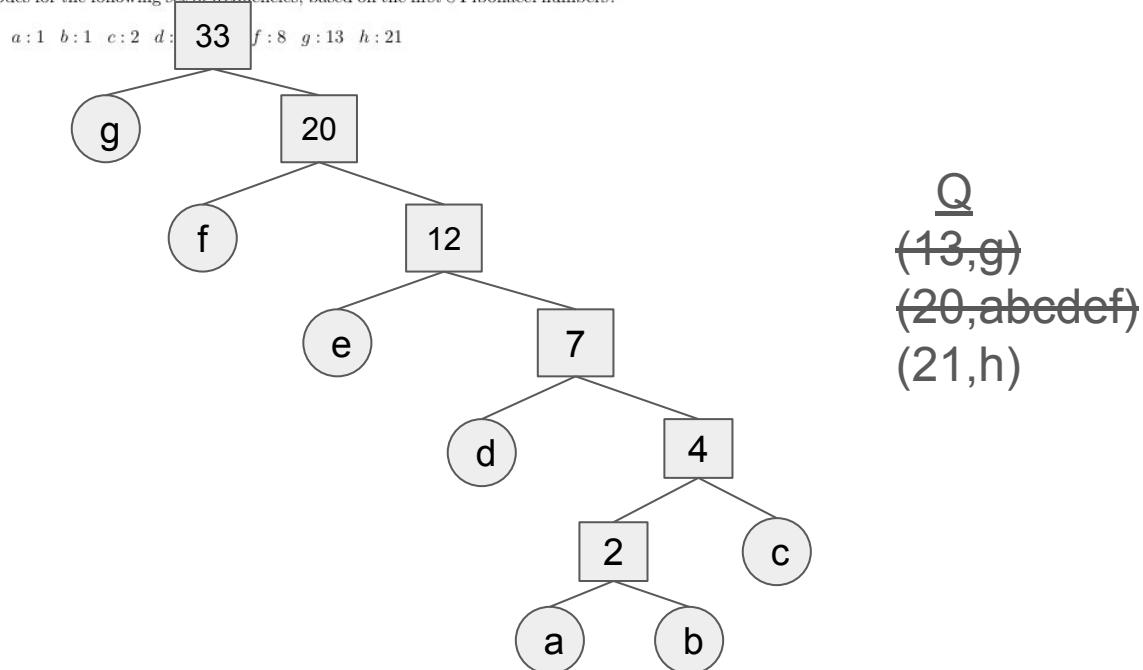
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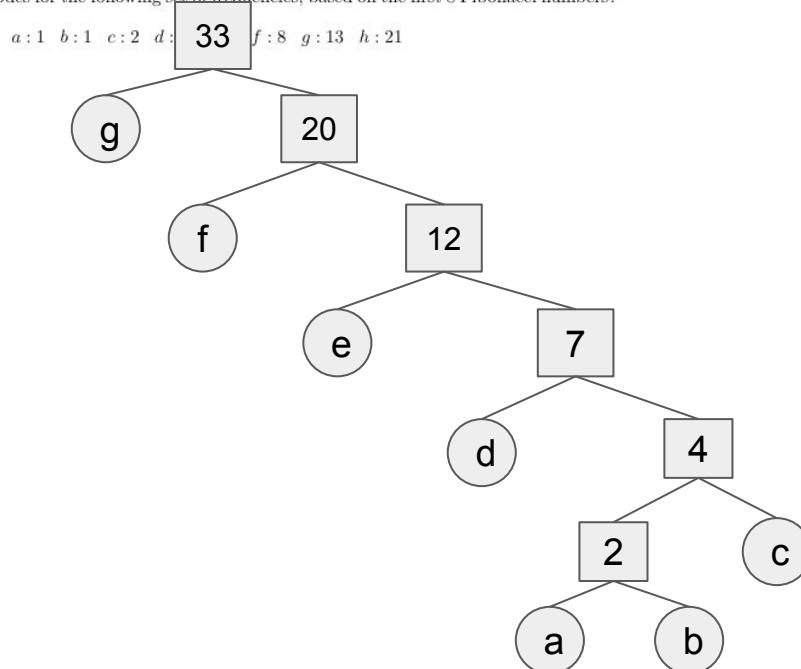
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(21,h)
(33,abcdefg)

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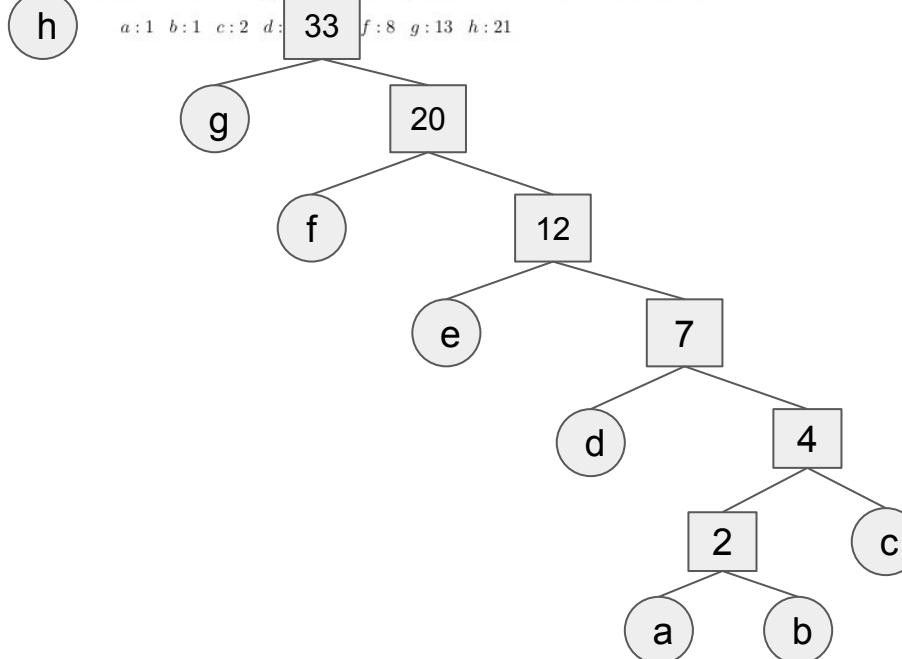
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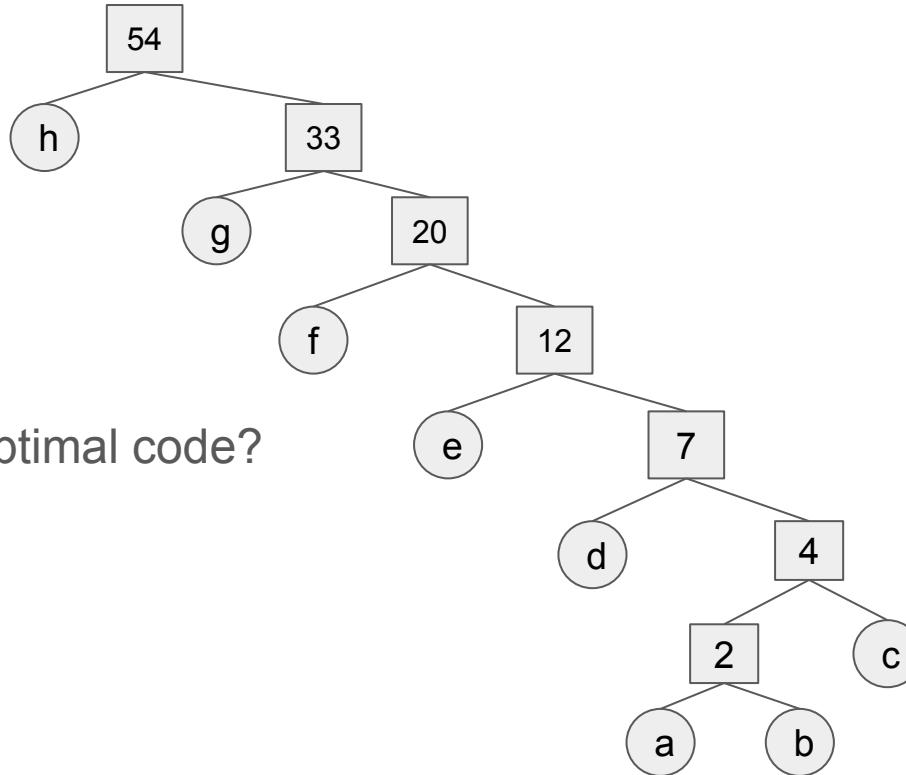
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Steps:

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(2) A code is called **optimal** if it can be represented by a full binary tree, in which all of the nodes have either 0 or 2 children. Is the optimal code unique?

Can I get another optimal code?



Question 2

(LZW Compression)

Consider the alphabet $\{a, b, c\}$ and the input string

$$S = aabcaaccc.$$

1. Apply LZW with the initial dictionary

$$1 \mapsto a, \quad 2 \mapsto b, \quad 3 \mapsto c.$$

Write down:

- the sequence of output codes;
- all new dictionary entries created during encoding.

LZW - Encoding

- Initialize $i=0$
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
 - Suppose that $T[i, i+j]$ is k th item in the dictionary
 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

LZW- Encoding

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$\{ \begin{matrix} 1 \\ a, b, c \end{matrix}, \begin{matrix} 2 \\ \end{matrix}, \begin{matrix} 3 \\ \end{matrix} \}$

$S = \underbrace{aabcaaccc.}_{i=0}$

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{¹_a, ²_b, ³_c}

$S = \underline{aabcaaccc.}$

$j=1$ since $S[0, 1] = a$ in dict
but $S[0, 2] = aa$ not in dict.

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$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c} \}$$

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Codes: (1, a)

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$$D = \{ \begin{matrix} 1 & 2 & 3 & 4 \\ a, & b, & c, & aa \end{matrix}$$

$S = \underline{\quad}_{i=0} \quad aabcaaccc.$

$j=1$ since $S[0, 1] = a$ in dict
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Codes: (1, a)

LZW- Encoding

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$$D = \{ \begin{matrix} 1 \\ a, \end{matrix} \begin{matrix} 2 \\ b, \end{matrix} \begin{matrix} 3 \\ c, \end{matrix} \begin{matrix} 4 \\ aa \end{matrix}$$

$S = aabcaaccc.$

$j=1$ since $S[0, 1] = a$ in dict
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$$P[1]+a = aa$$

Codes: $(1, a)$

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$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa} \}$$

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$\overbrace{i=2}$

Codes: $(1, a)$

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$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa} \}$$

$S = aabcaaccc.$

$\overbrace{i=2}$

$j=1$ since $S[2, 3] = b \in D$
but $S[2, 4] = bc \notin D$

$$P[1]+a = aa$$

Codes: $(1, a)$

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$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa} \}$$

$S = aabcaaccc.$

$\overrightarrow{i=2}$

$j=1$ since $S[2, 3] = b \in D$

but $S[2, 4] = bc \notin D$

$$P[1]+a=aa$$

Codes: $(1, a)$, $(2, c)$

LZW- Encoding

- Initialize i=0
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
 - Suppose that $T[i, i+j]$ is kth item in the dictionary
 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc} \}$$

$S = a \underset{i=2}{\overbrace{ab}} c a a c c c .$

$j=1$ since $S[2, 3] = b \in D$

$D[2]+c = bc$ but $S[2, 4] = bc \notin D$

Codes: $(1, a)$, $(2, c)$

LZW- Encoding

- Initialize i=0
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
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 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i = i + j + 2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc} \}$$

$S = aabcaaccc.$

$P[1] + a = aa$
 $D[2] + c = bc$

Codes: $(1, a)$, $(2, c)$

LZW- Encoding

- Initialize i=0
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 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc} \}$$

$S = aabc\underset{i=4}{\bar{c}}aacc.$

$$P[1] + a = aa$$

$$D[2] + c = bc$$

Codes: $(1, a)$, $(2, c)$

LZW- Encoding

- Initialize i=0
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
 - Suppose that $T[i, i+j]$ is kth item in the dictionary
 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc} \}$$

$S = aabc\underset{i=4}{\bar{a}}acc.$

$j = 2$ since $s[4, 6] = aa \in D$

Codes: $(1, a)$, $(2, c)$

$$P[1]+a=aa$$

$$D[2]+c=bc$$

$$b \notin s[4, 7] = acc \notin D$$

LZW- Encoding

- Initialize $i=0$
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
 - Suppose that $T[i, i+j]$ is k th item in the dictionary
 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac} \}$$

$S = aabc\underset{i=4}{\bar{c}}aacc.$

$J = 2$ since $S[4, 6] = aa \in D$

Codes: $(1, a)$, $(2, c)$, $(4, \bar{c})$

Skipping steps...

LZW- Encoding

- Initialize $i=0$
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
 - Suppose that $T[i, i+j]$ is k th item in the dictionary
 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac} \}$$

$S = aabcaaccc.$
 $\underset{i=4}{\overbrace{aab}}$

$J = 2$ since $S[4, 6] = aa \in D$

Codes: $(1, a)$, $(2, c)$, $(4, aa)$, $(5, bc)$, $(6, aac)$

Skipping steps...

LZW- Encoding

- Initialize $i=0$
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
 - Suppose that $T[i, i+j]$ is k th item in the dictionary
 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac} \}$$

$S = aabcaaccc.$

Codes: $(1, a)$, $(2, c)$, $(4, c)$

$P[1]+a = aa$ $D[2]+c = bc$ $D[4]+c = aac$

In this last step, what do we add?

LZW- Encoding

- Initialize $i=0$
- Repeat while $i < n$
 - Find largest j such that $T[i, i+j]$ is in dictionary, but $T[i, i+j+1]$ is not
 - Suppose that $T[i, i+j]$ is k th item in the dictionary
 - Append $(k, T[i+j+1])$ to the output file
 - If $(i+j+1 < n)$ then Add $T[i, i+j+1]$ to D
 - Set $i=i+j+2$

$$D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$$

$S = aabcaaccc.$

Codes: $(1, a)$, $(2, c)$, $(4, cc)$, $\underline{(3, c)}$

In this last step, what do we add?

Output: $(1, a), (2, c), (4, c), (3, c)$

Goal: Decode for $S = aabcaaccc.$

IDFA: Recreate the final dictionary $P = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$
from initial $P_0 = \{\overset{1}{a}, \overset{2}{b}, \overset{3}{c}\}$

Goal: Decode to $S = \text{aabcaaccc.}$

IDFA: Recreate the final dictionary $D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$
from initial $P_0 = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c} \}$

$$\begin{array}{llll} P[1] + a = aa & D[2] + c = bc & D[4] + c = aac & D[3] + c = cc \\ (1, a) , (2, c) , (4, c) , & & & (3, c) \end{array}$$

Start left to right..

Goal: Decode to $S = \underline{aabcaaccc}$.

IDFA: Recreate the final dictionary $D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$
from initial $P_0 = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c} \}$

$$\begin{array}{llll} P[1] + a = aa & D[2] + c = bc & D[4] + c = aac & D[3] + c = cc \\ \text{(1,a)}, \text{(2,c)}, \text{(4,c)}, & & & \text{(3,c)} \\ \hline \end{array}$$

Start left to right..

We Know (1,a) gives us \underline{aa} .

Goal: Decode to $S = \underline{aabcaaccc}$.

IDFA: Recreate the final dictionary $D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$

from initial $D_0 = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa} \}$

$$\begin{array}{llll} D[1]+a=aa & D[2]+c=bc & D[4]+c=aac & D[3]+c=cc \\ \text{(1,a)}, \text{(2,c)}, \text{(4,c)}, & & & \text{(3,c)} \\ \hline \end{array}$$

Start left to right..

We know (1,a) gives us aa.

+ we added aa to the dictionary after

Goal: Decode to $S = \underline{aab} \underline{c} aaccc.$

IDFA: Recreate the final dictionary $D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$
from initial $D_0 = \{ \overset{7}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc} \}$

$D[1]+a=aa$ $D[2]+c=bc$ $D[4]+c=aac$ $D[3]+c=cc$ |
(1, a), (2, c), (4, c), (3, c)

Next up,

We know $(2, c)$ gives us bc
+ we added bc to the dictionary after

Goal: Decode to $S = \underline{aab} \underline{c} \underline{aa} ccc.$

IDFA: Recreate the final dictionary $D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$
from initial $D_0 = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc} \}$

$D[1]+a=aa$ $D[2]+c=bc$ $D[4]+c=aac$ $D[3]+c=cc$
 $(1, a)$, $(2, c)$, $\underset{\text{---}}{(4, c)}$, $\underset{\text{---}}{(3, c)}$

next up,

(why?)

We know $(4, c)$ gives us aac
+ we added aac to the dictionary after

Goal: Decode for $S = \underline{\text{aabca}}\underline{\text{accc}}$.

IDFA: Recreate the final dictionary $D = \{a^1, b^2, c^3, aa^4, bc^5, aac^6, cc^7\}$
 from initial $D_0 = \{a^7, b^2, c^3, aa^4, bc^5, aac^6\}$

$$\begin{array}{l} P[1]+a=aa \\ D[2]+c=bc \\ \underline{D[4]+c=aac} \\ D[3]+c=cc \end{array}$$

$(1, a)$, $(2, c)$, $(4, c)$, $(3, c)$

next up,

We know $(4, c)$ gives us aac

We added **aac** to the dictionary after

(Why?)

we recreated

$$P_0[4] = 99$$

Goal: Decode to $S = \underline{aab} \underline{c} \underline{a} \underline{a} \underline{cc} \underline{c} \underline{c}$.

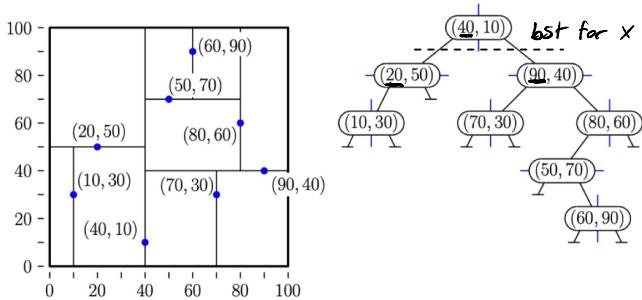
IDFA: Recreate the final dictionary $D = \{ \overset{1}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$
from initial $D_0 = \{ \overset{7}{a}, \overset{2}{b}, \overset{3}{c}, \overset{4}{aa}, \overset{5}{bc}, \overset{6}{aac}, \overset{7}{cc} \}$

$D[1]+a=aa$ $D[2]+c=bc$ $\cancel{D[4]+c=aac}$ $D[3]+c=cc$
 $(1, a)$, $(2, c)$, $(4, c)$, $\cancel{(3, c)}$

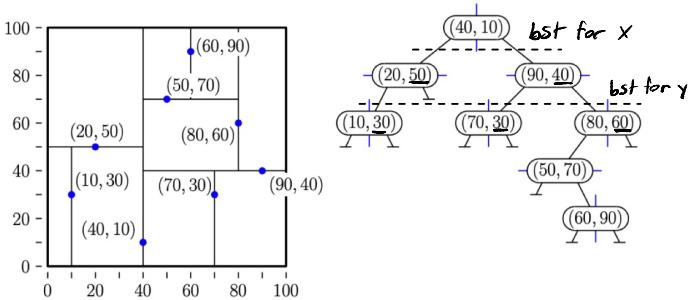
Next up,

We know $(3, c)$ gives us cc
+ we added cc to the dictionary after

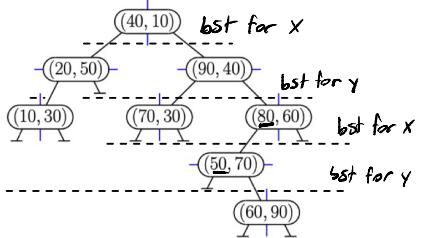
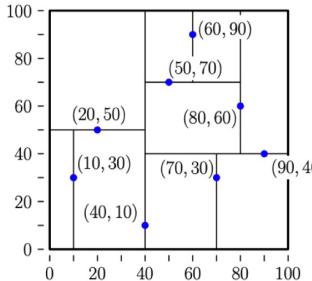
(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation $\text{insert}((70,50))$.



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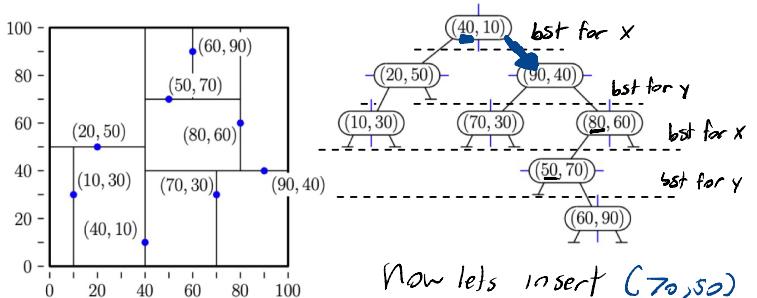


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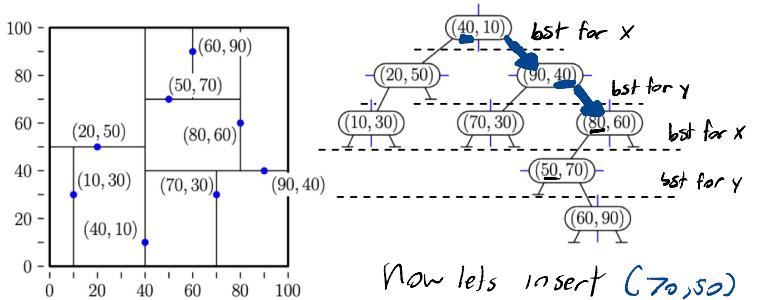


Now lets insert (70,50)

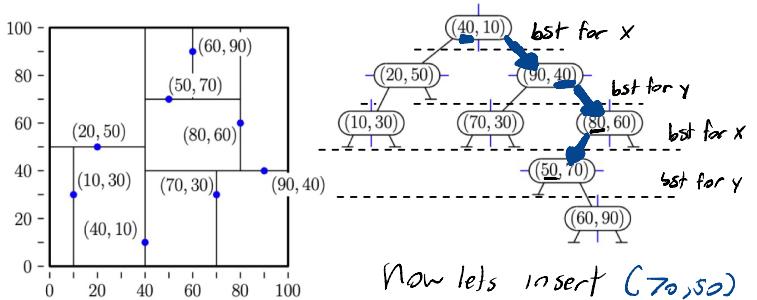
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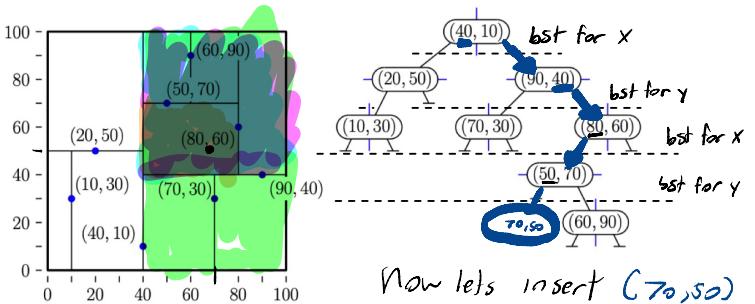
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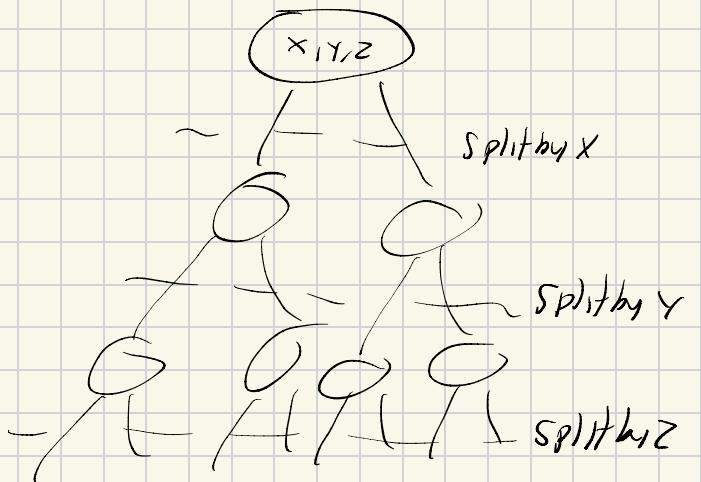


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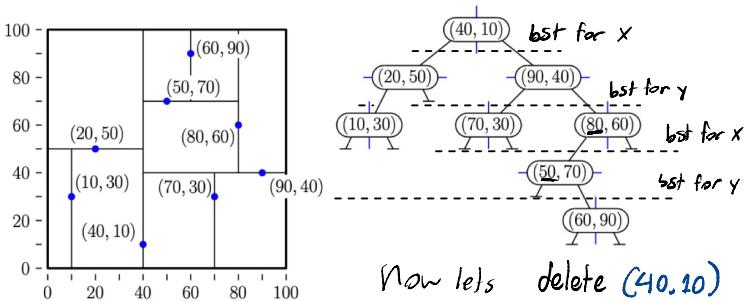


2d tree

3d tree

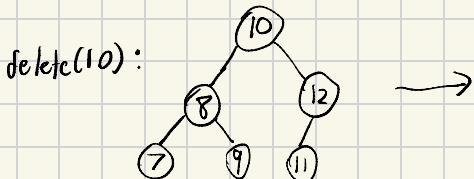


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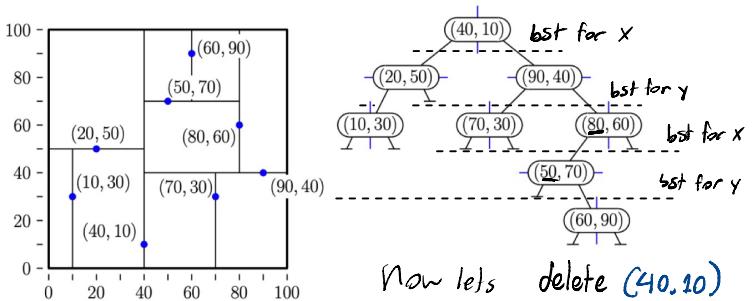


Recall deletion in normal BST

- Find Predecessor/Successor leaf to replace
ex:

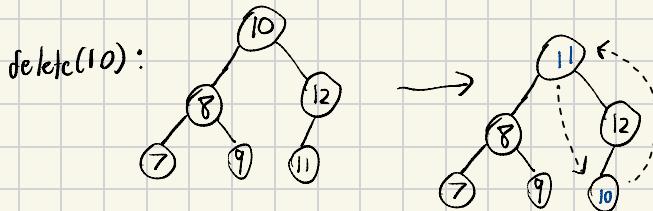


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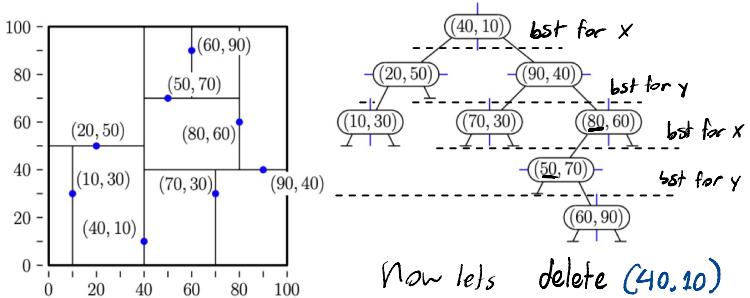


Recall deletion in normal BST

- Find Predecessor/Successor leaf to replace
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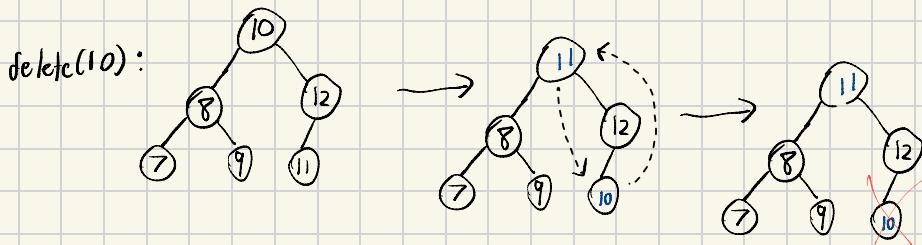


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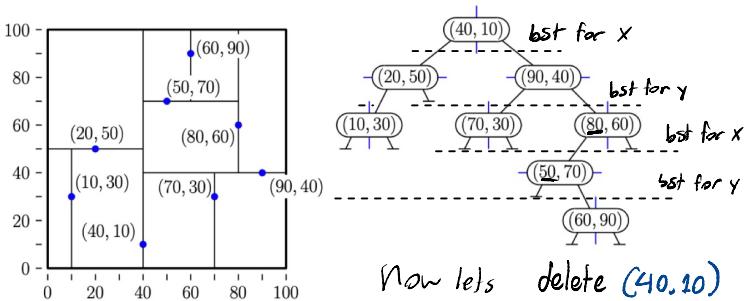
Recall deletion in normal BST

- Find Predecessor/Successor leaf to replace
ex:



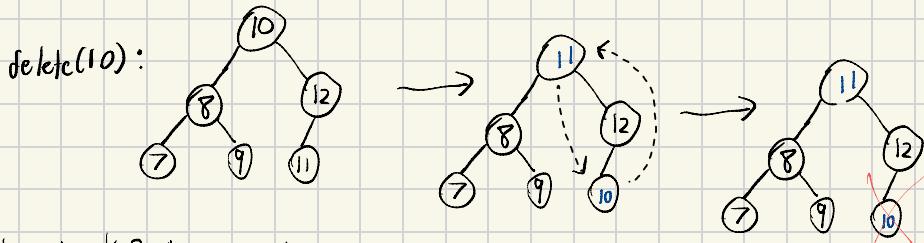
(If not a leaf continue recursively)

(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation $\text{insert}((70,50))$.



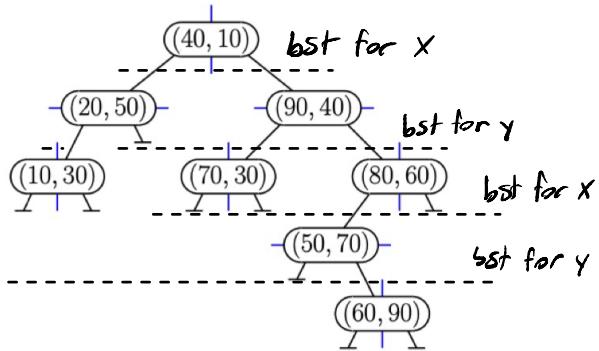
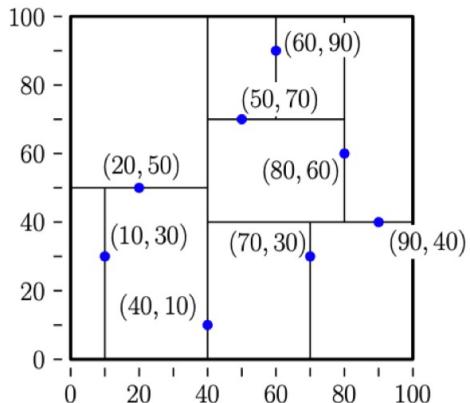
Recall deletion in normal BST

- Find Predecessor/Successor leaf to replace
ex:



Deletion in KD-trees is the same,
except you choose successor/predecessor based on dimension

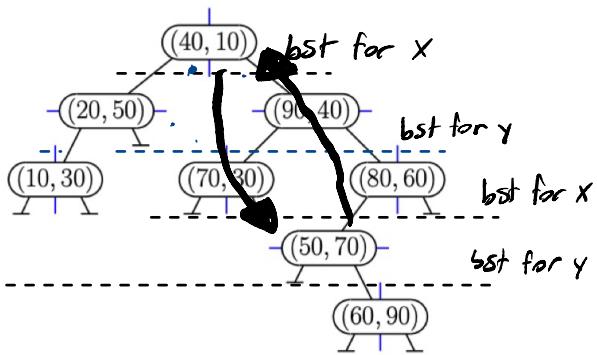
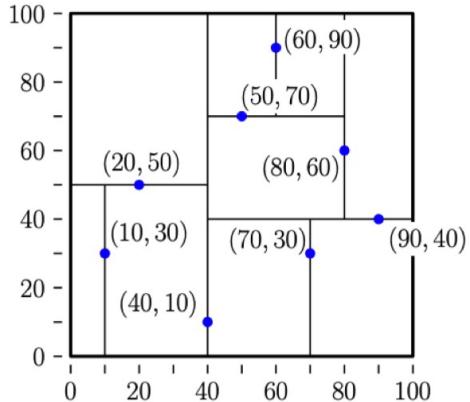
(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation `insert((70,50))`.



Now lets delete (40, 10)

1) Find successor for $X=40$

(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation `insert((70,50))`.

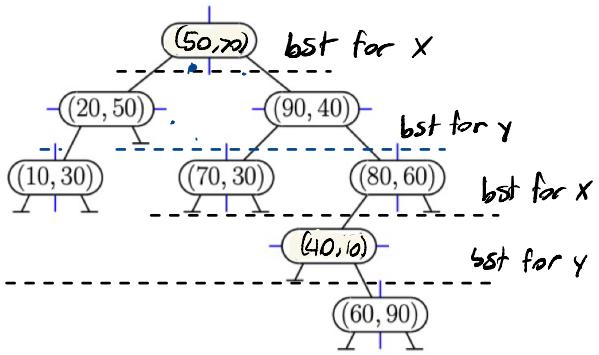
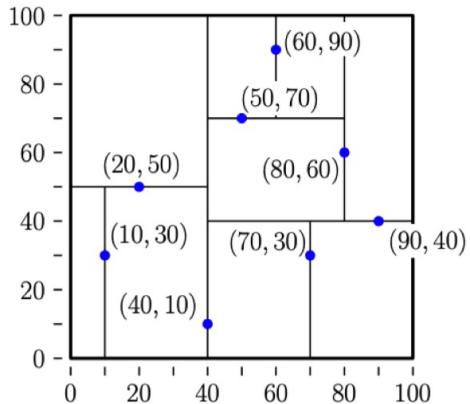


Now lets delete (40,10)

2) Swap with (40,10)

[Think about why this preserves k-d order]

(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation `insert((70,50))`.

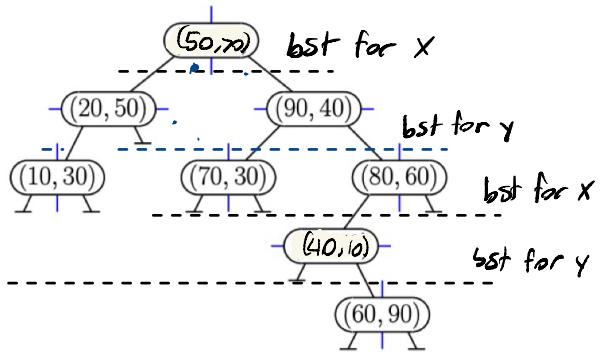
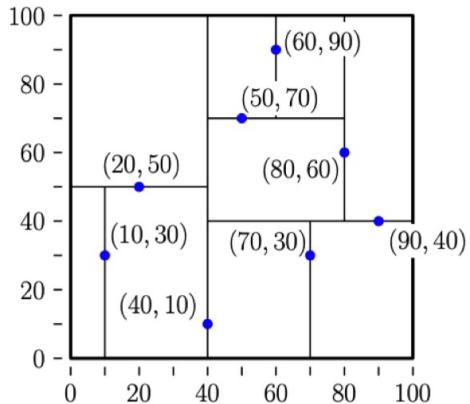


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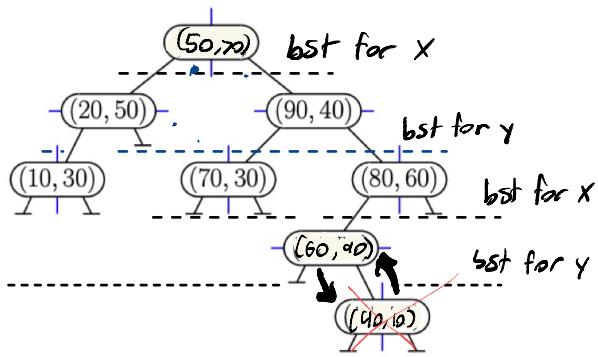
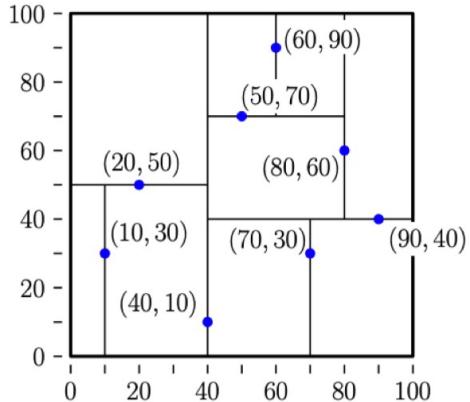
(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation `insert((70,50))`.



Now lets delete (40,10)

2.2) Not at leaf yet, find successor in $y=10$ and swap.

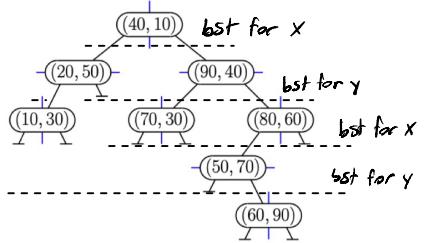
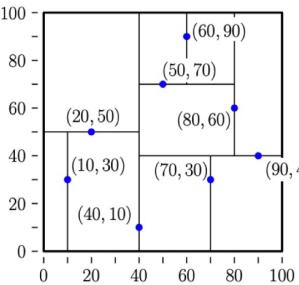
(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation `insert((70,50))`.



Now lets delete (40,10)

2.2) Not at leaf yet, find successor in $y=10$ and swap.

(2) (kd-trees) Consider the kd-tree shown below. We assume it's a standard kd-tree where the cutting dimensions alternates between x and y with each level. Show the final tree after the operation $\text{insert}((70,50))$.



Now lets delete (80,60).

Left as
Exercise

NOTE: If no successors find a predecessor instead and vice versa.