



PSO 13

(Suffix) Tries, Forward Pattern Matching

(Trie & lexicographic sort)

Given two bit strings $a = a_0a_1\dots a_p$ and $b = b_0b_1\dots b_q$, we assume WLOG that $p \leq q$. Recall that a is said to be **lexicographically less** than b if one of the following happens:

- there exists an integer $j \leq p$ such that $a_i = b_i$ for all $0 \leq i < j$ and $a_j < b_j$.
- $p < q$ and $a_i = b_i$ for all $0 \leq i \leq p$.

Given a set S of distinct bit strings whose lengths sum to n , show how to use a radix tree (a.k.a. trie for bit strings) to sort S lexicographically in $O(n)$ time. For example, if $S = \{1011, 10, 011, 100, 0\}$, then the output should be the sequence 0, 011, 10, 100, 1011.

Question 2

(Suffix Tries)

- (1) Draw the suffix tree for the string $s = \text{abracadabra}$.
- (2) What is the longest substring that appears more than once in the string $s = \text{abracadabra}$?
- (3) How can we generally find the longest substring appearing more than once given a suffix trie?

Question 3

(Forward pattern matching)

Another efficient pattern matching algorithm, named the Knuth-Morris-Pratt (KMP) algorithm, is based upon forward pattern matching, in which a failure function (also named as “suffix function”) is calculated to determine the most distance we can shift the pattern to avoid redundant comparisons. Specifically, for a pattern P , its corresponding failure function $F_P(j)$, or $F(j)$ for short, is defined as

$$F(j) := \max_k \{k \leq j - 1 : P[0:k] = P[j-k:j]\}.$$

In other words, $F(j)$ represents the size of the largest prefix of $P[0:j]$ that is also a suffix of $P[1:j]$.

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j-1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i+1]$.

Answer the following questions:

- (1) Apply the KMP algorithm to the Boyer-Moore pattern matching problem in previous PSO, where

$$T := \underbrace{aaaaaaaa}_{9} \quad \text{and} \quad P := baaaaa.$$

1. Does it perform much better than Boyer-Moore?
- (2) What is the failure function for the pattern $P := \text{"mamagama"}$?
- (3) Let $T := \text{"rahrahahahahromaramamagagaoohlala"}$, run the KMP pattern matching algorithm for the pattern P in (2).

(Trie & lexicographic sort)

Given two bit strings $a = a_0 a_1 \dots a_p$ and $b = b_0 b_1 \dots b_q$, we assume WLOG that $p \leq q$. Recall that a is said to be **lexicographically less** than b if one of the following happens:

- there exists an integer $j \leq p$ such that $a_i = b_i$ for all $0 \leq i < j$ and $a_j < b_j$.
- $p < q$ and $a_i = b_i$ for all $0 \leq i \leq p$.

Given a set S of distinct bit strings whose lengths sum to n , show how to use a radix tree (a.k.a. trie for bit strings) to sort S lexicographically in $O(n)$ time. For example, if $S = \{1011, 10, 011, 100, 0\}$, then the output should be the sequence 0, 011, 10, 100, 1011.

$$n=13$$

Lexigraphic Ordering Practice:

- 'c' vs 'ab' >
- 'abc' vs 'abca' <
- 'abbbbb' vs 'baaaaa' <

trie.insert

↑ BST insert but with letters
11
80, 13

(Trie & lexicographic sort)

Given two bit strings $a = a_0 a_1 \dots a_p$ and $b = b_0 b_1 \dots b_q$, we assume WLOG that $p \leq q$. Recall that a is said to be **lexicographically less** than b if one of the following happens:

- there exists an integer $j \leq p$ such that $a_i = b_i$ for all $0 \leq i < j$ and $a_j < b_j$.
- $p < q$ and $a_i = b_i$ for all $0 \leq i \leq p$.

Given a set S of distinct bit strings whose lengths sum to n , show how to use a radix tree (a.k.a. trie for bit strings) to sort S lexicographically in $O(n)$ time. For example, if $S = \{1011, 10, 011, 100, 0\}$, then the output should be the sequence 0, 011, 10, 100, 1011.

$$\text{Sort}(S) = \{0, 011, 10, 100, 1011\}$$

Form the trie for S

first trie starts out as a null root

■: not in trie.



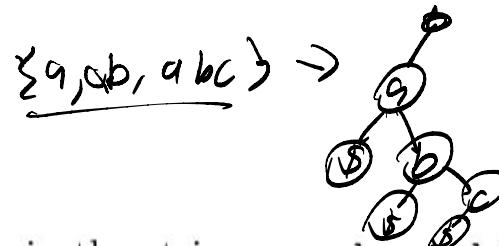
Question 2

(Suffix Tries)

- (1) Draw the suffix tree for the string $s = \underline{\underline{abracadabra}}$.

(2) What is the longest substring that appears more than once in the string $s = abracadabra$?

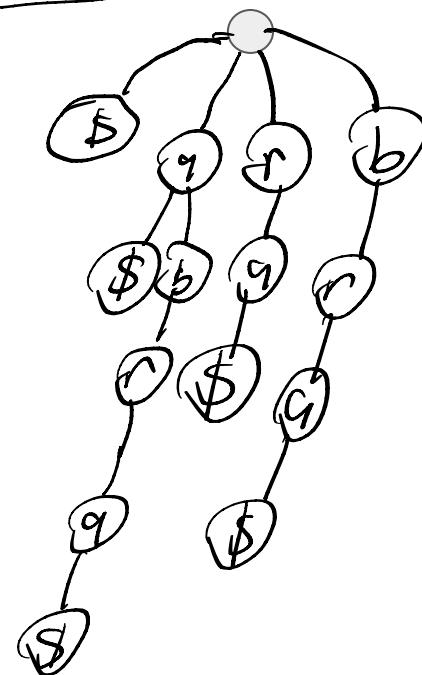
(3) How can we generally find the longest substring appearing more than once given a suffix trie?



Drawing a suffix tree:

1. List out the suffixes, $S = \{a, ra, bra, abra, \dots\}$
 2. Use S to populate the trie

$S = \{\$, a, ra, bra, abra, dabra, adabra, adabra, cadabra, acadabra, racadabra, bracadabra, abracadabra\}$



abracadabra

forall $s \in [n]$,
forall $e \in [s, n]$
{all prefixes (s, e)
of all suffixes}

{Substrings}

$(s, e) \in s \in [s, n]$



(2) What is the longest substring that appears more than once in the string $s = abracadabra$?

(3) How can we generally find the longest substring appearing more than once given a suffix trie?

Question 3

(Forward pattern matching)

Another efficient pattern matching algorithm, named the Knuth-Morris-Pratt (KMP) algorithm, is based upon forward pattern matching, in which a failure function (also named as “suffix function”) is calculated to determine the most distance we can shift the pattern to avoid redundant comparisons. Specifically, for a pattern P , its corresponding failure function $F_P(j)$, or $F(j)$ for short, is defined as

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

In other words, $F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Answer the following questions:

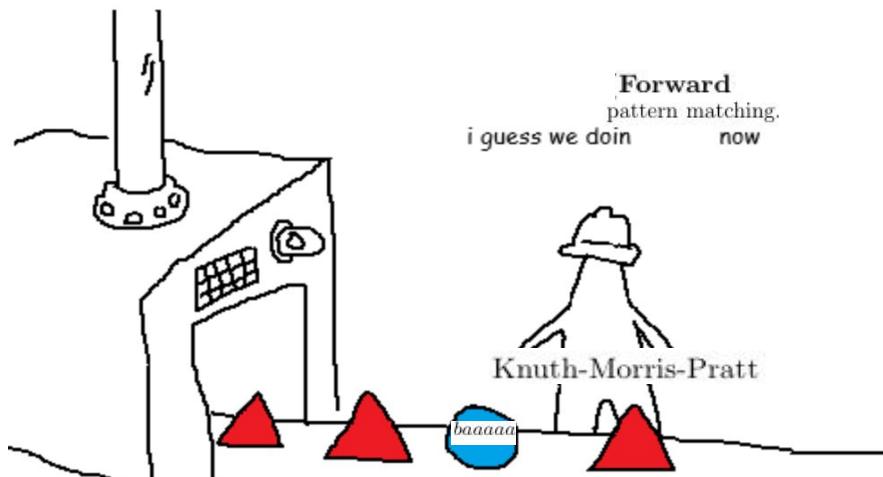
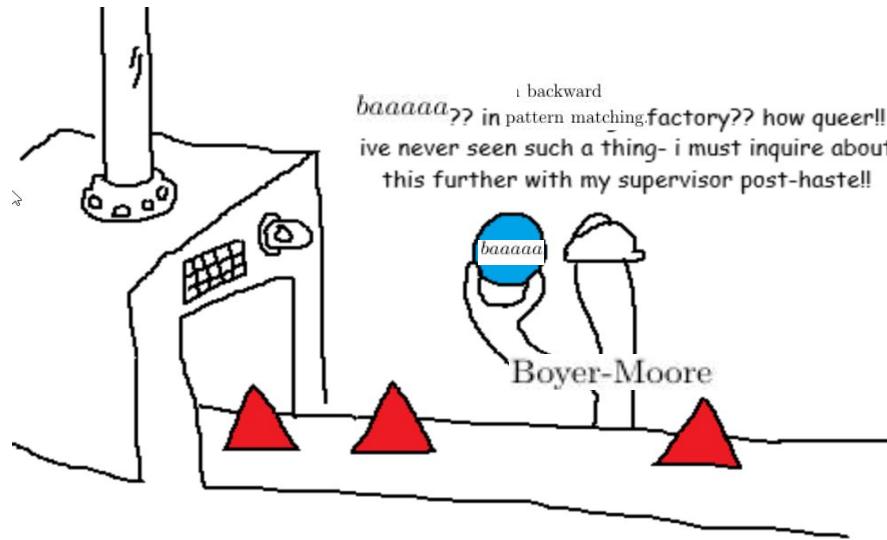
- (1) Apply the KMP algorithm to the Boyer-Moore pattern matching problem in previous PSO, where

T	a	a	a	a	a	a	a	a	a
P	b	a	a	a	a	a			

a *a* *a* *a* *a* *a* *b*

Boyer Moore did 24 comparisons (worse than forward brute force)!

forward! 6 comparisons



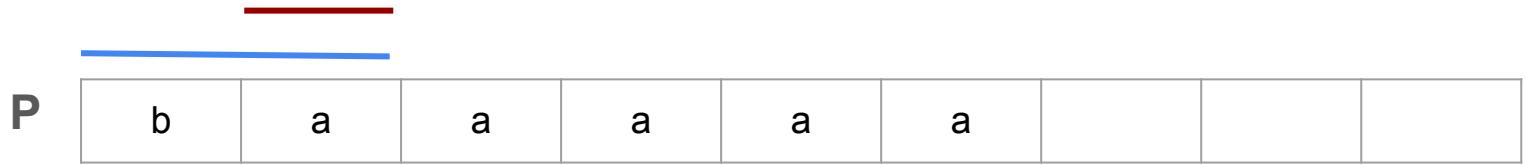
P	b	a	a	a	a			
---	---	---	---	---	---	--	--	--

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

Failure function

j	1	2	3	4	5	6	7
f(j)							



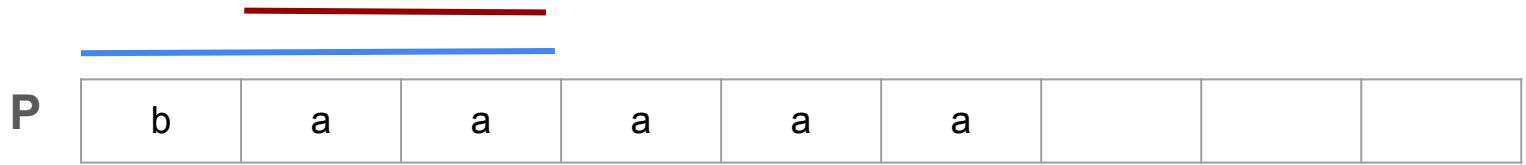
P	b	a	a	a	a			
---	---	---	---	---	---	--	--	--

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

Failure function

j	1	2	3	4	5	6	7
f(j)							



P	b	a	a	a	a			
---	---	---	---	---	---	--	--	--

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

Failure function

j	1	2	3	4	5	6	7
f(j)	0						



P	b	a	a	a	a			
---	---	---	---	---	---	--	--	--

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

Failure function

j	1	2	3	4	5	6	7
f(j)	0	0					

P

b	a	a	a	a	a			
---	---	---	---	---	---	--	--	--

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

Failure function

j	1	2	3	4	5	6	7
f(j)	0	0	0	0	0	0	0

Why all 0s?

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

$P[0 : 1]$ $P[1 : 1]$
m a m a g a m a

($j=1$)

j	1	2	3	4	5	6	7
f(j)	1						

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

$P[0:2] \rightarrow P[1:2]$
m a m a g a m a

j	1	2	3	4	5	6	7
f(j)	0	1					

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

m a m a g a m a

j	1	2	3	4	5	6	7
f(j)	0	1	2				

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

m a m a g a m a

j	1	2	3	4	5	6	7
f(j)	0	1	2	0			

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

m a m a g a m a

j	1	2	3	4	5	6	7
f(j)	0	1	2	0			

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

m a m a g a m a

j	1	2	3	4	5	6	7
f(j)	0	1	2	0	0	1	

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

m a m a g a m a

j	1	2	3	4	5	6	7
f(j)	0	1	2	0	0	1	2

(2) What is the failure function for the pattern $P := \text{"mamagama"}$?

$F(j)$ represents the size of the largest prefix of $P[0 : j]$ that is also a suffix of $P[1 : j]$.

m a m a g a m a

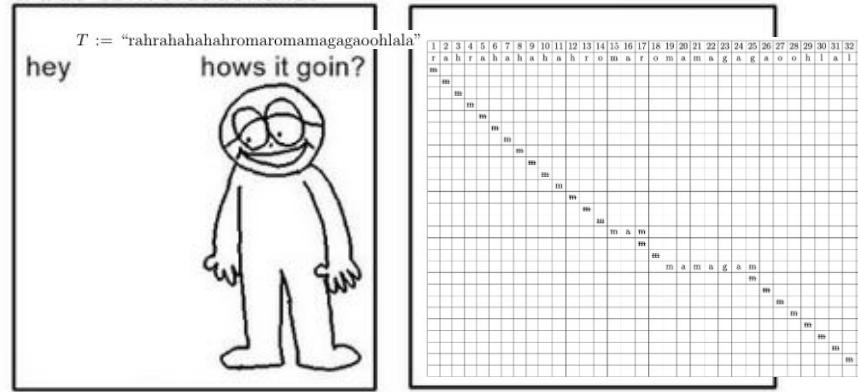
j	1	2	3	4	5	6	7
f(j)	0	1	2	0	0	1	2

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

- (3) Let $T := \text{"rahrahahahahromaromamagagaoohlala"}$, run the KMP pattern matching algorithm for the pattern P in (2).

This example is a bit long..



In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

$T[r]$

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

($i = 0$)

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P	m	a	m	a	g	a	m	a					

$Q=9$

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

($i = 1$)

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P		m	a	m	a	g	a	m	a				

($j = 0$)

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

($i = 2$)

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P			m	a	m	a	g	a	m	a			

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	(2)	0	0	1	2

$$P[f(3)] = P[2]$$

T	r	a	h	<u>m</u>	a	<u>m</u>	a	<u>m</u>	a	m	a	m	a	a
P				m	a	<u>m</u>	a	g	a	m	a			

($i = 3$) ($j = 7$) ($\delta = 4$)

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

($i = 7$)

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P				m	a	m	a	g	a	m	a		

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

($i = 7$)

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P				m	a	m	a	g	a	m	a		

($j = 4$)

Mismatch at $P[4]$

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P				m	a	m	a	g	a	m	a		

Mismatch at $P[4]$, align $P[2]$ with $T[7]$

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P				m	a	m	a	g	a	m	a		
	m	a	m	a	g	a	m	a					

Mismatch at $P[4]$, align $P[2]$ with $T[7]$ Why?

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j
 $f(j)$

1	2	3	4	5	6	7
0	1	2	0	0	1	2

Ma ma g a m a

T	r	a	h	m	a	m	a	m	a	m	a	m	a	m	a
P				m	a	m	a	g	a	m	a				

Mismatch at $P[4]$, align $P[2]$ with $T[7]$ Why? **f(3) says these are equal**

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P				m	a	m	a	g	a	m	a		

Mismatch at $P[4]$, align $P[2]$ with $T[7]$ Why?

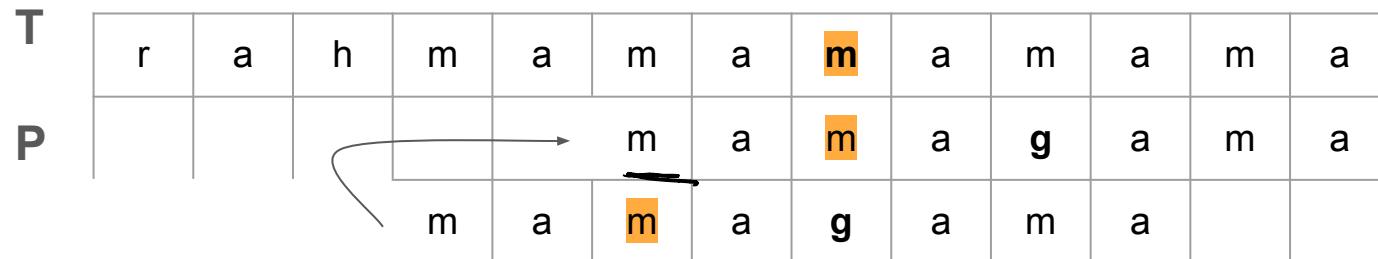
Mismatch at $P[4] \rightarrow$ No mismatch before $P[4]$

In brief, the KMP algorithm can be described as: When a mismatch occurs at $T[i]$, if you are

- currently at $P[j]$ with some $j > 0$, then shift P to align $P[F(j - 1)]$ with $T[i]$.
- currently at $P[0]$, then shift $P[0]$ to align with $T[i + 1]$.

Let $T = \text{"rahmamamamagama"}$

j	1	2	3	4	5	6	7
$f(j)$	0	1	2	0	0	1	2



Mismatch at $P[4]$, align $P[2]$ with $T[7]$ Why?

No mismatch before $P[4] \rightarrow$ I can move pattern two spaces