

# PSO 12

Minimum Spanning Trees, Prim's vs. Kruskal's, Topos == DAG

Slides @ [justin-zhang.com/teaching/CS251](http://justin-zhang.com/teaching/CS251)



### Question 1

(Minimum spanning trees)

1. An edge is called a **light-edge** crossing a cut  $\mathcal{C} := (S, V - S)$ , if its weight is the minimum of any edge crossing the cut. Show that:

- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.
  - the converse is not true, and give a simple counter-example of a connected graph such that there exists a cut  $\mathcal{C} := (S, V - S)$ , in which  $(u, v)$  is a light-edge crossing the cut  $\mathcal{C}$  but does not form a MST of the graph.
2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.
3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

## Question 2

(Prim's & Kruskal's algorithm)

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.
2. Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Kruskal's algorithm run?

### Question 3

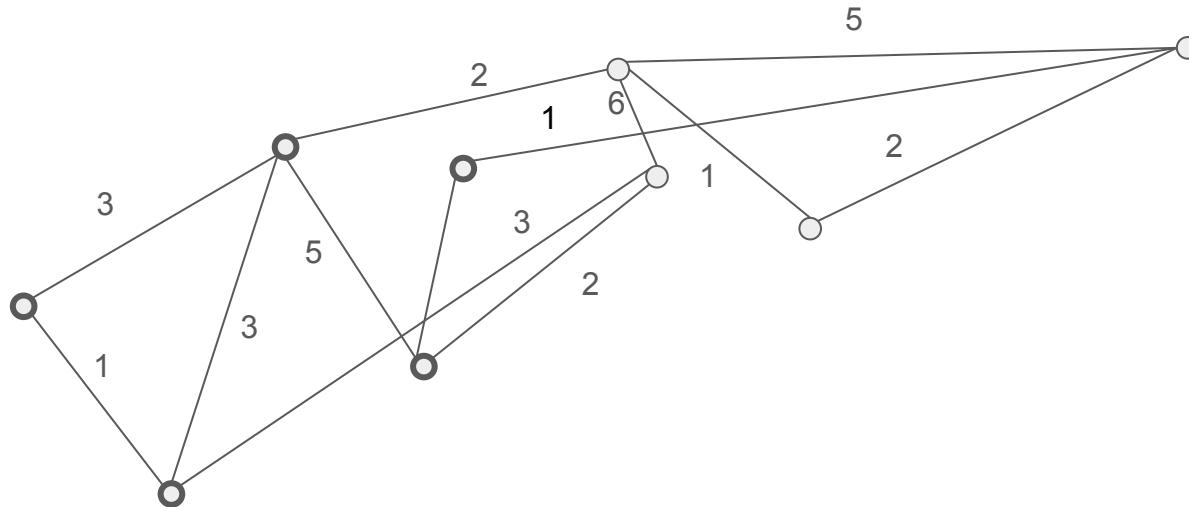
(Topological Ordering)

1. Draw a directed acyclic graph  $G = (V, E)$  with  $|V| = 5$  nodes that has exactly two topological orderings.
2. Prove that  $G$  has a topological ordering if and only if  $G$  is a DAG.

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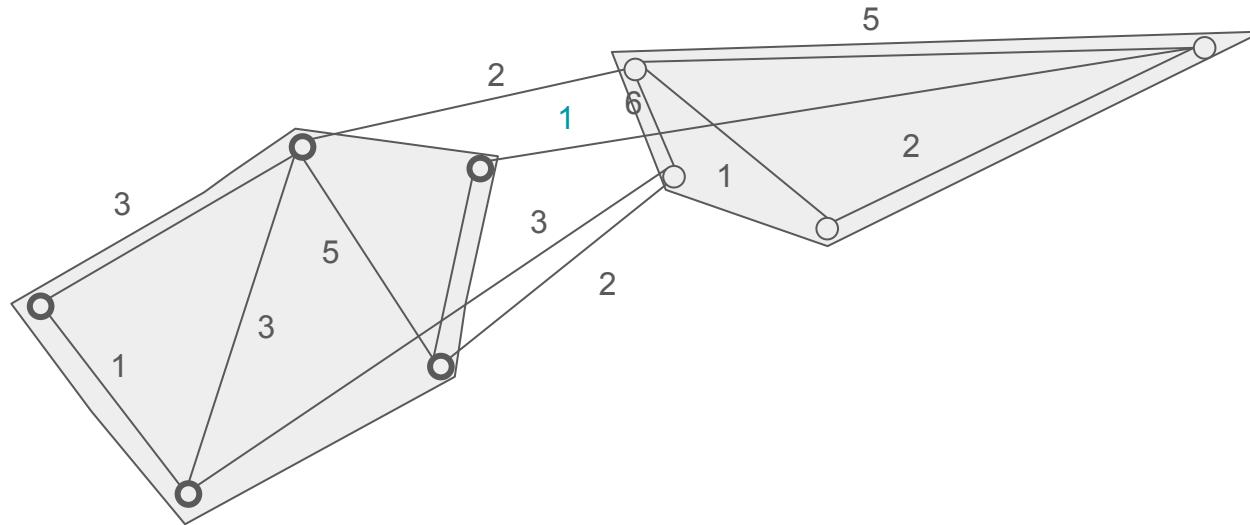


Say I define **C** as

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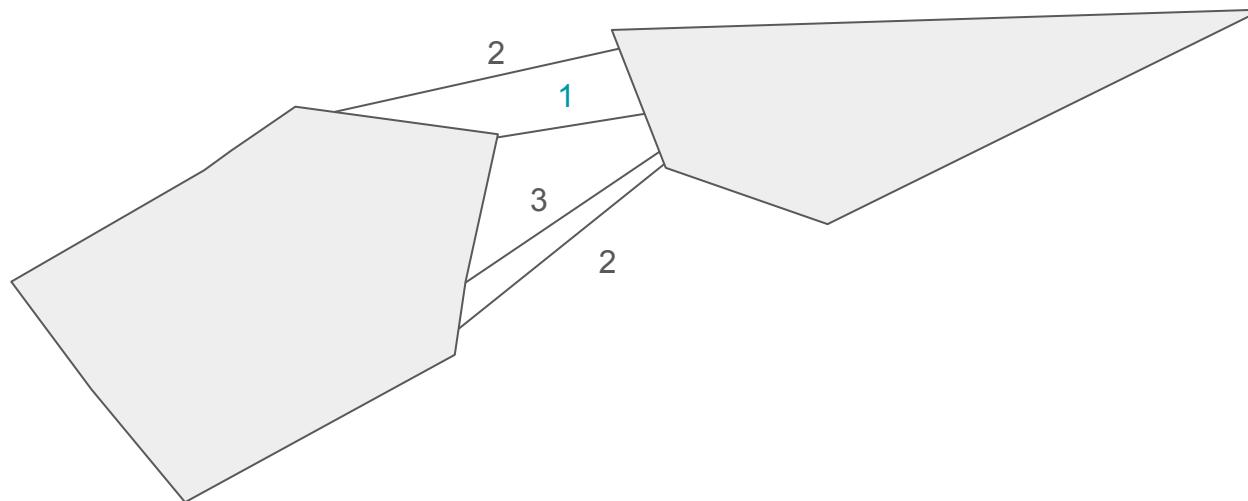


This forms a ‘cut’

### Question 1

(Minimum spanning trees)

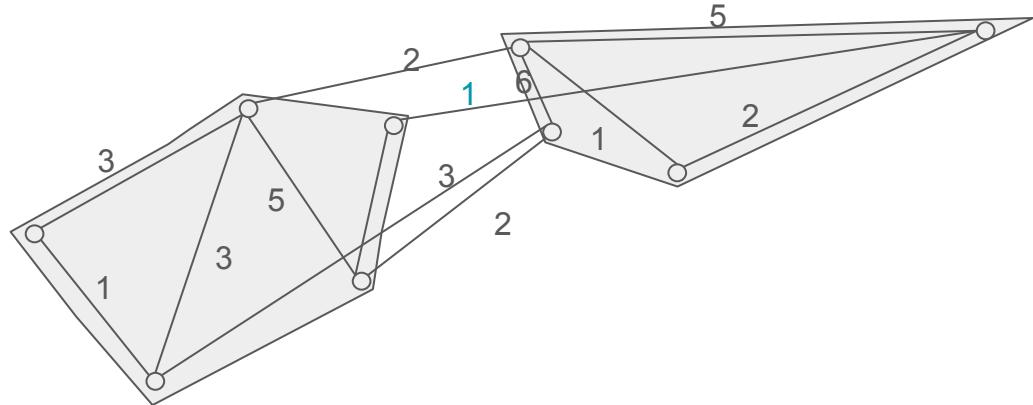
1. An edge is called a **light-edge** crossing a cut  $\mathcal{C} := (S, V - S)$ , if its weight is the minimum of any edge crossing the cut. Show that:



The **light edge** of this cut has weight 1

- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

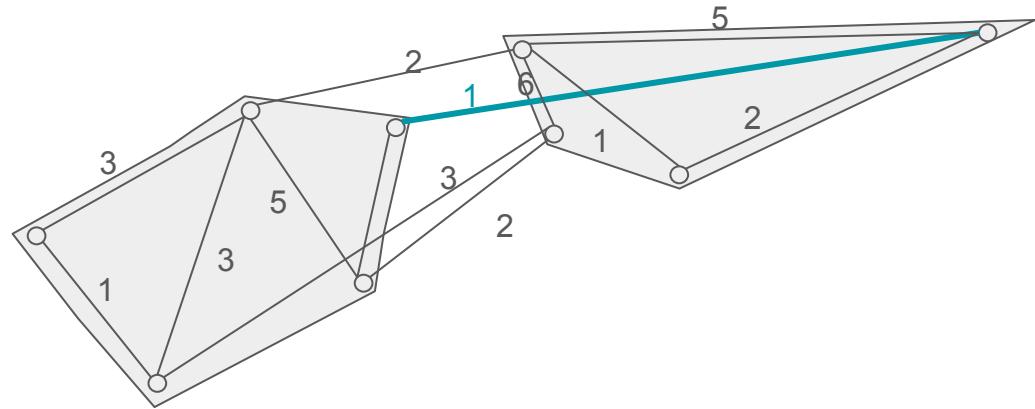
Pf:



- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

Pf: AFtSoC e is not in a MST

## [What happens in the picture?]

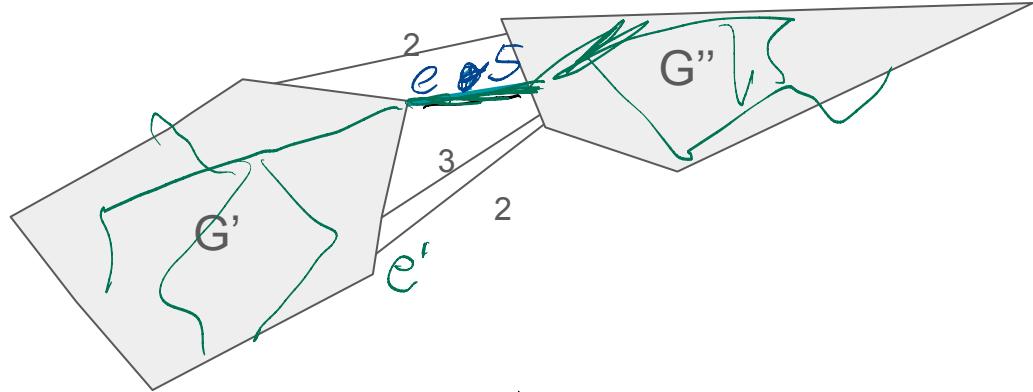


- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

Suppose  $e$  is in the mst

Pf: AFtSoC  $e$  is ~~not a light~~  
~~is not in a MST~~

[What happens in the picture?]



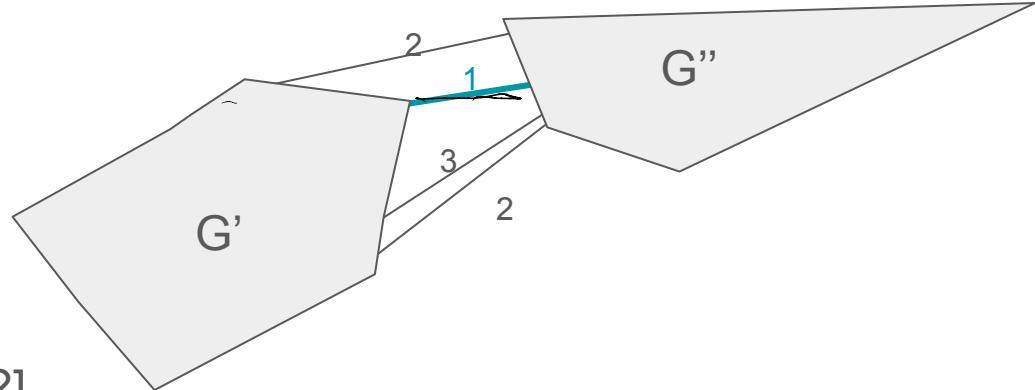
I can set a lighter mst by  
 instead taking edge  $e'$  ↗

- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

*is not a light edge*  
Pf: AFtSoC  $e$  is not in a MST

In an MST,  $G'$  and  $G''$  must be connected.

[How can we get our contradiction?]



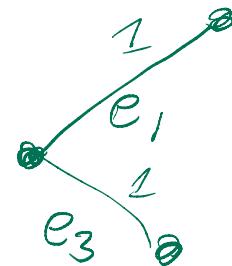
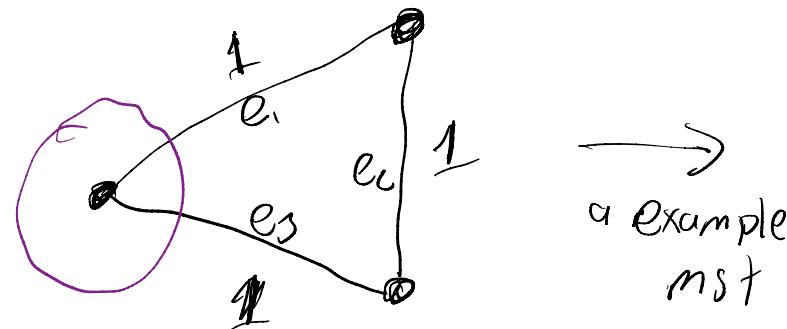
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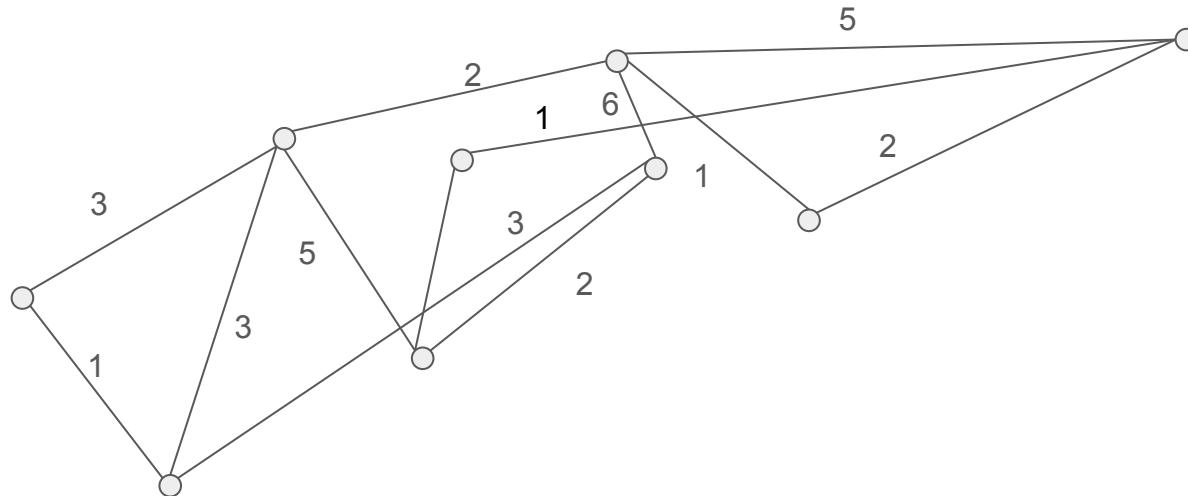
“If  $e$  is the light edge of some cut, then it is in every MST.”

Show that this is false.



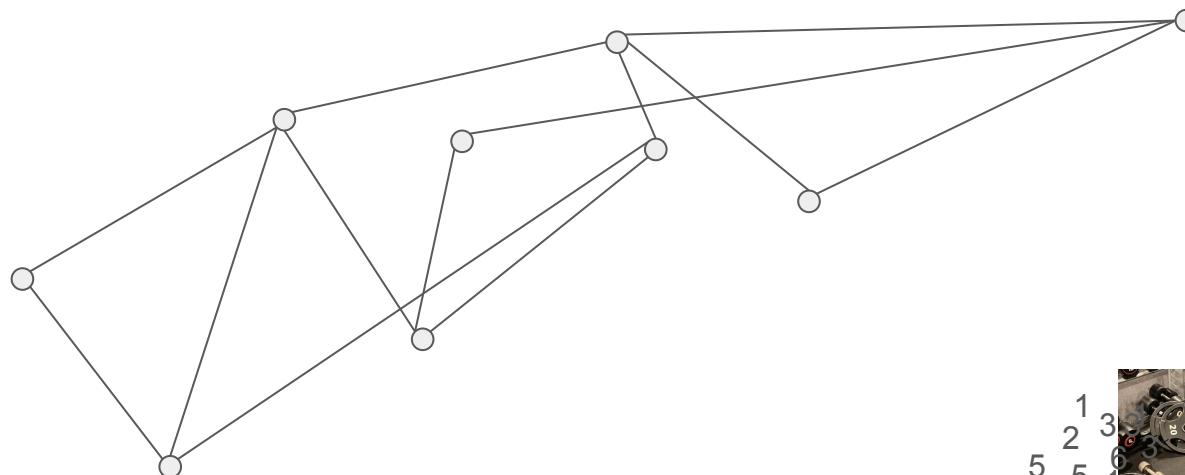
2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST  
Proof by picture!



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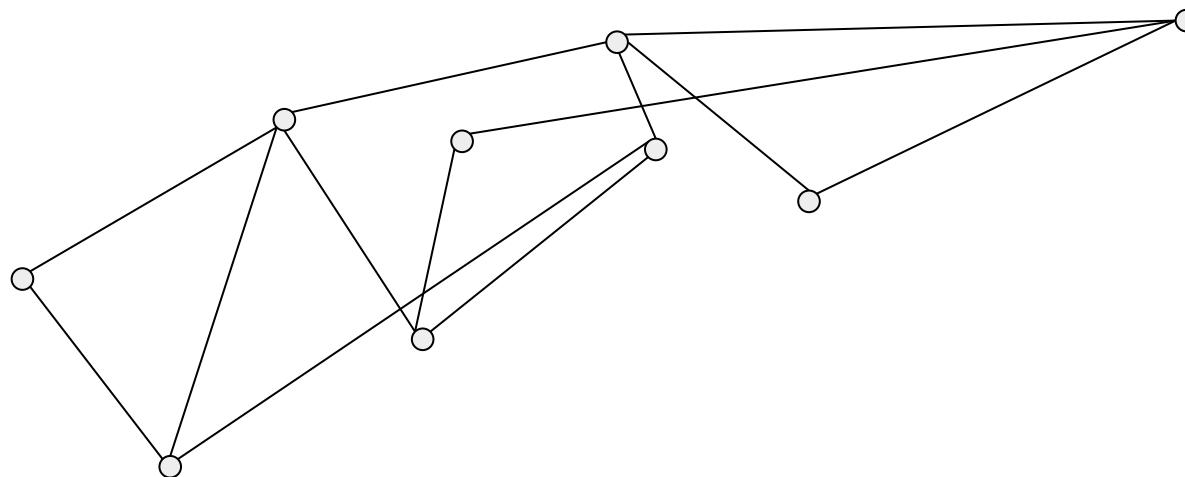
5  
2  
5  
2



(Me and my bois have taken all the weights off the graph (we need them for our super set))

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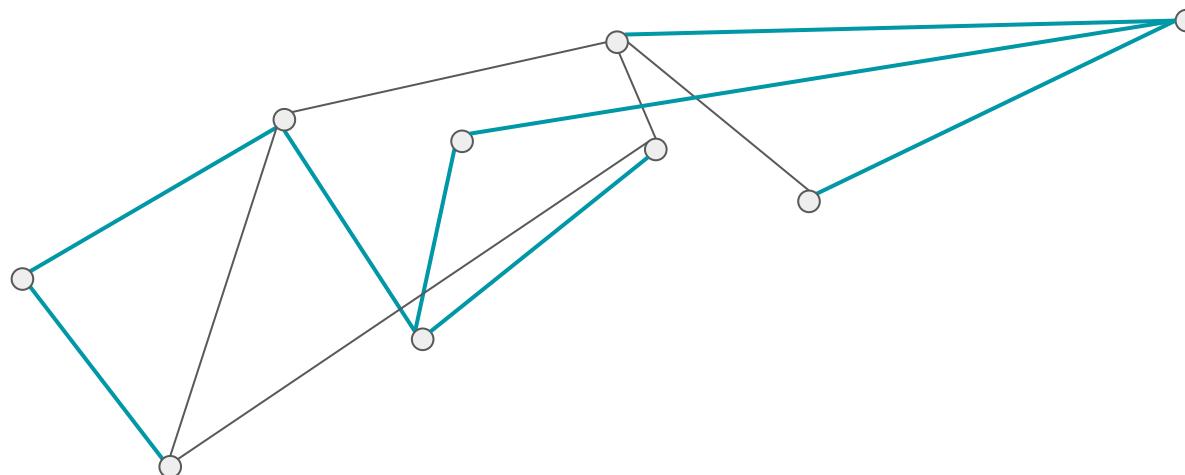
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AFtSoC there are two different MSTs  $T_1$  and  $T_2$

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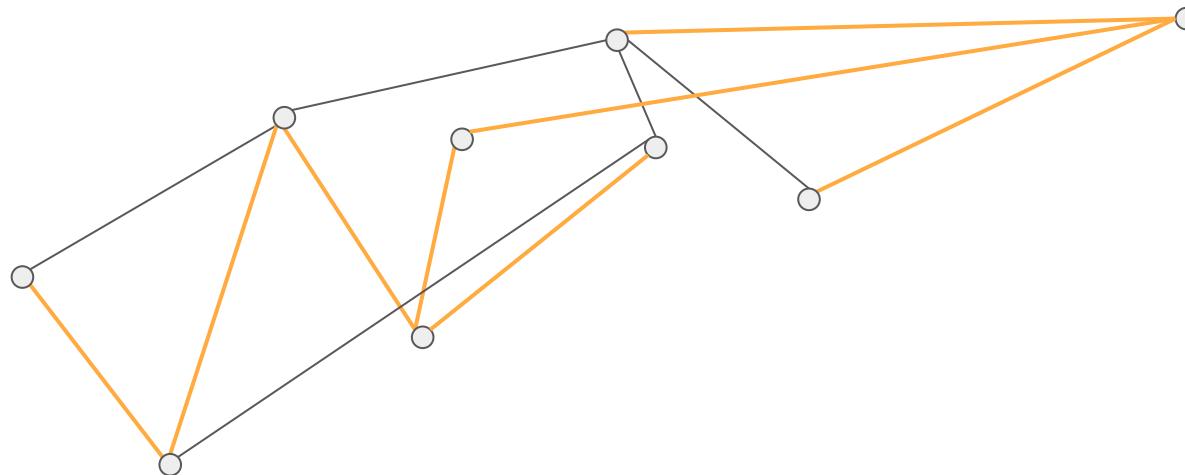
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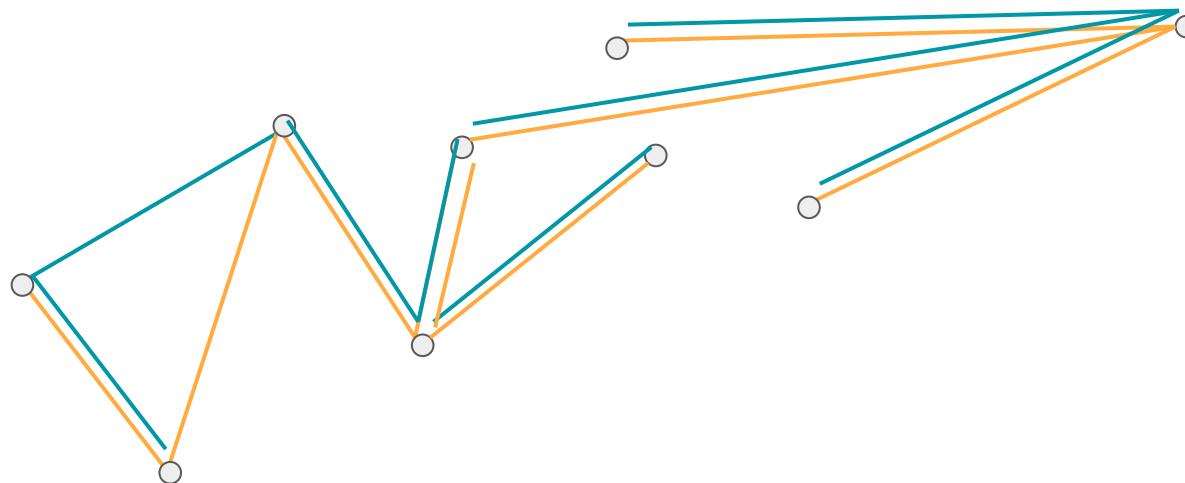
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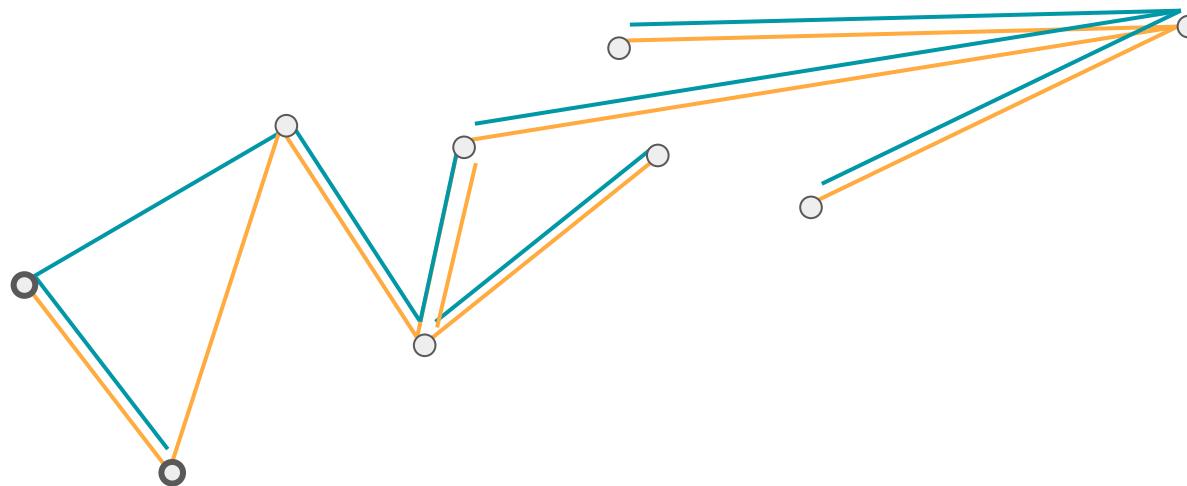
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$T_1$  and  $T_2$  differ on some edges  $e_1, e_2$

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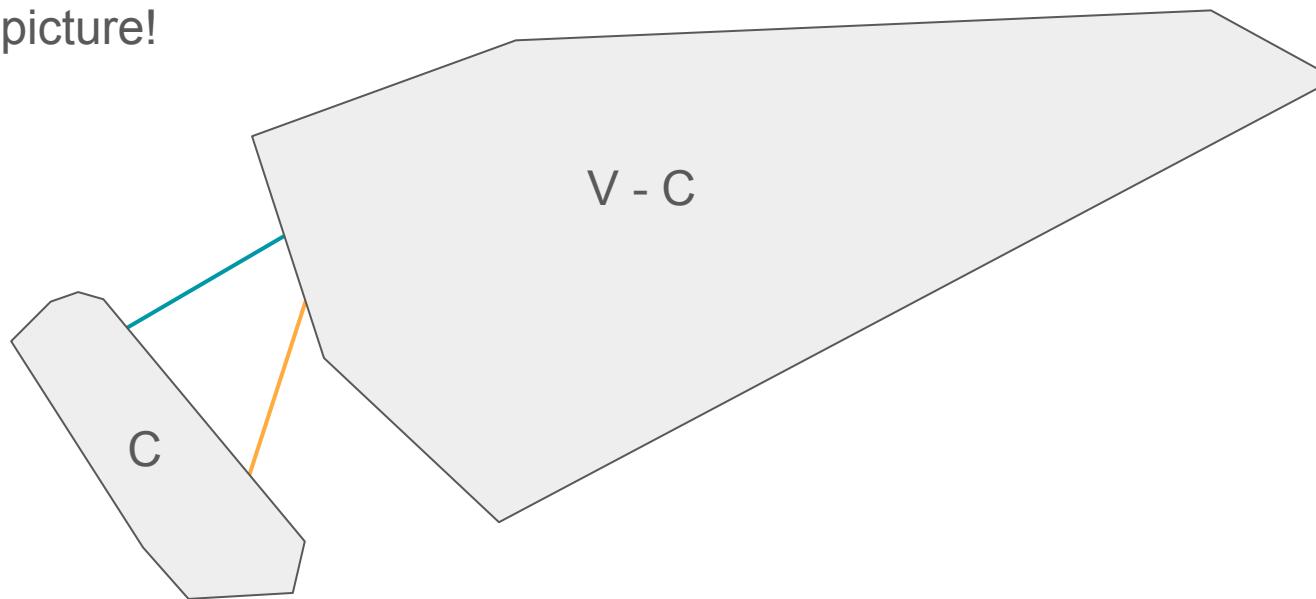
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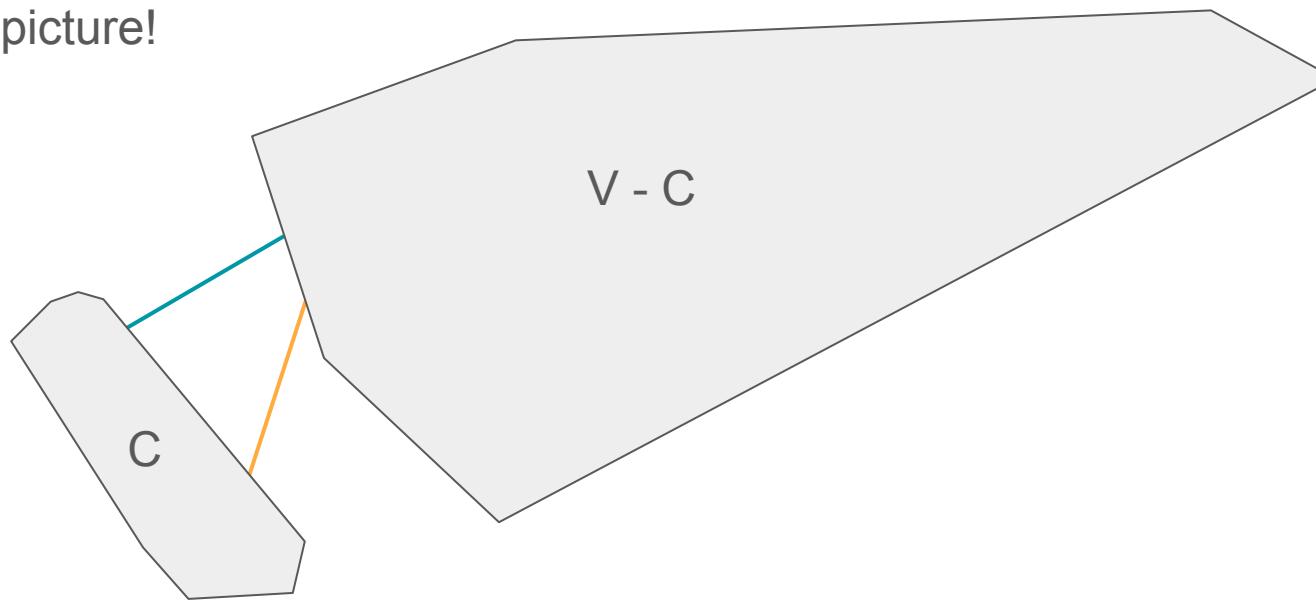
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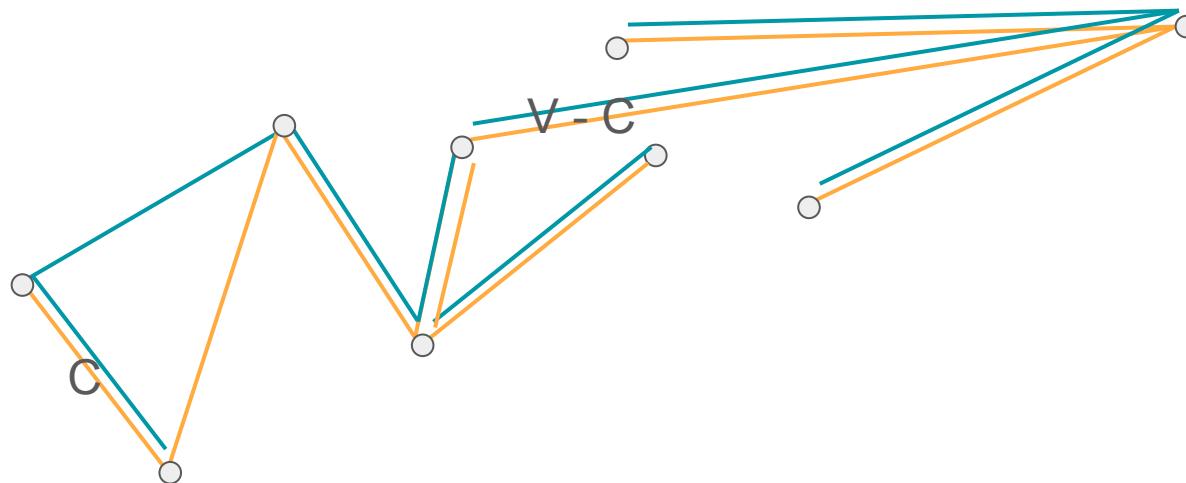
Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST  
Proof by picture!



By our assumption, say  $e_1$  is our unique light edge in cut C i.e.,  $\text{wt}(e_1) < \text{wt}(e_2)$

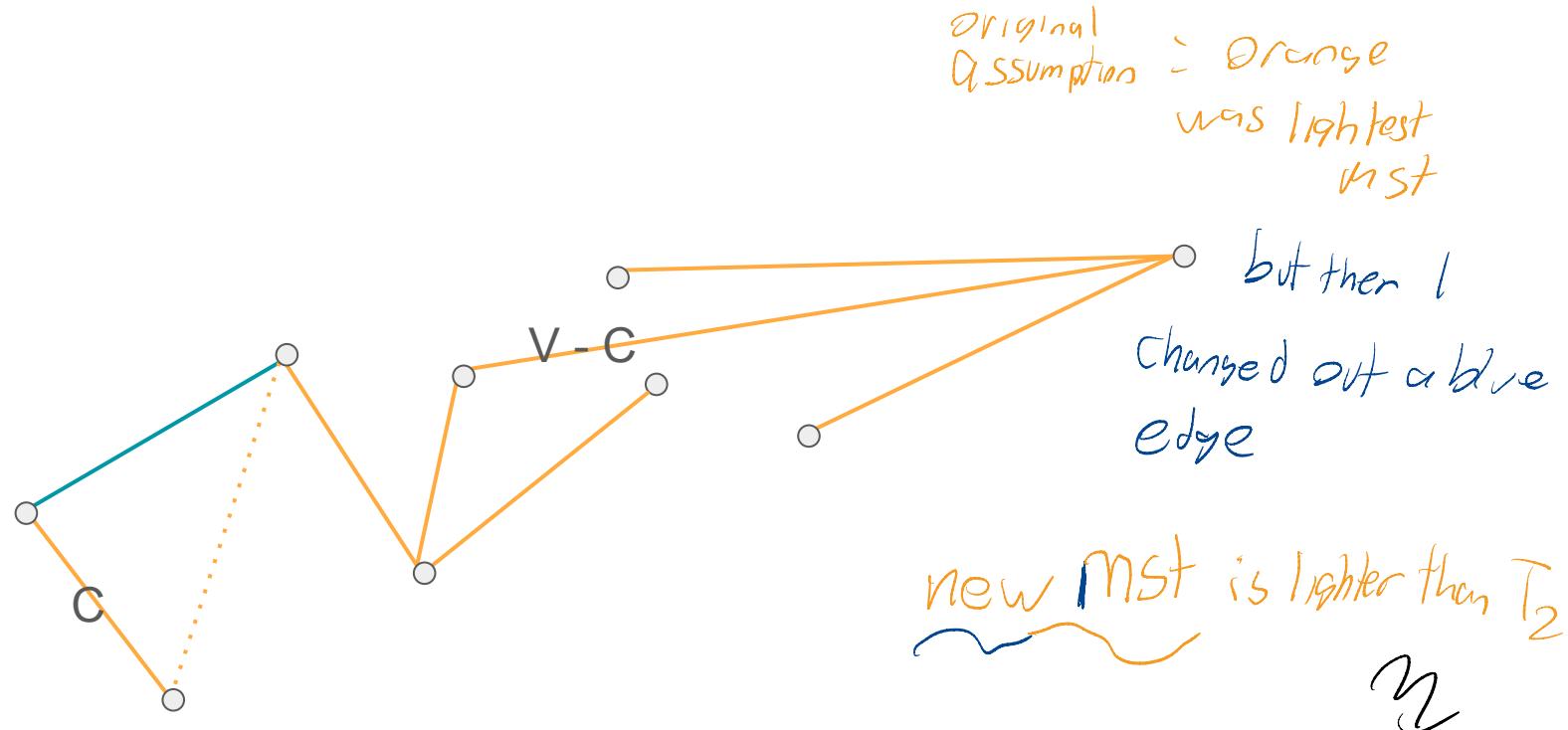
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Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST  
Proof by picture!



But if  $\text{wt}(e_1) < \text{wt}(e_2)$ , then we can lower the weight of MST  $T_2$  by taking  $e_1$  instead of  $e_2$

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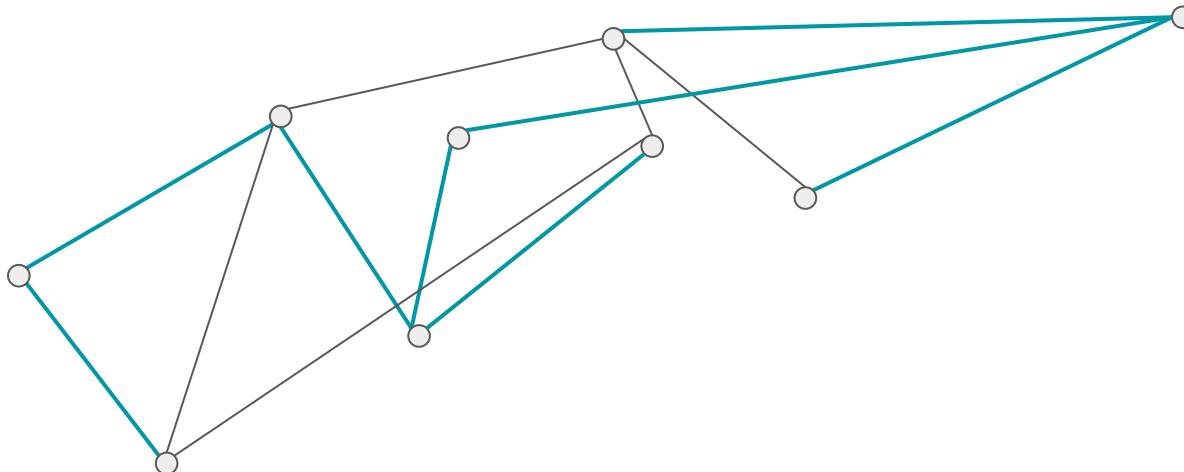
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Time for the counter example

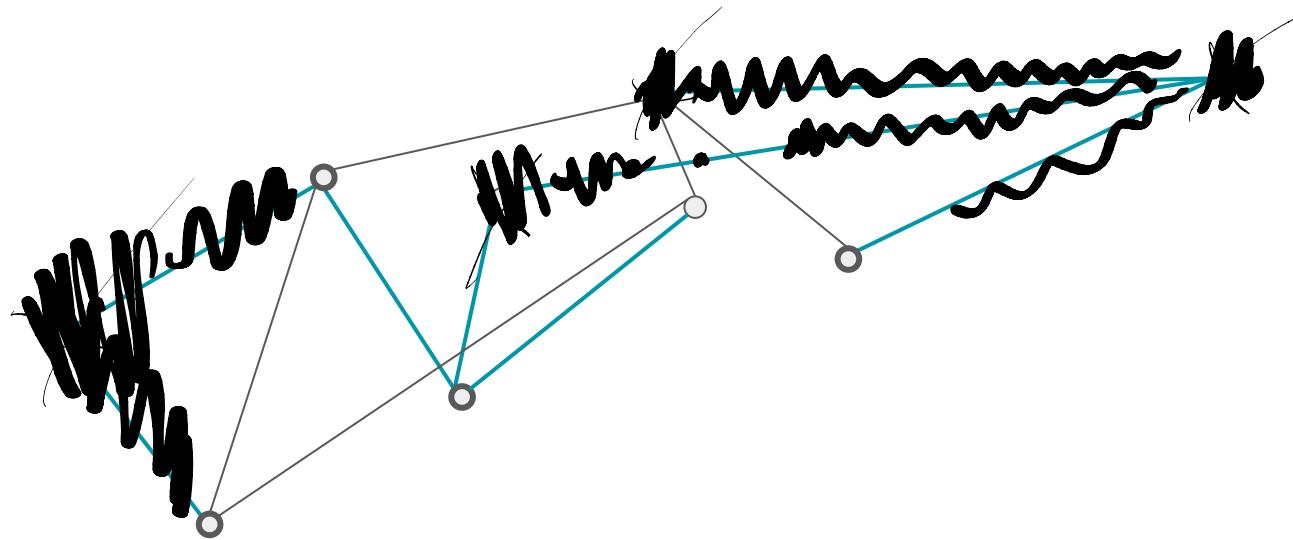
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Let this be the graph  $G$  and mst  $T$



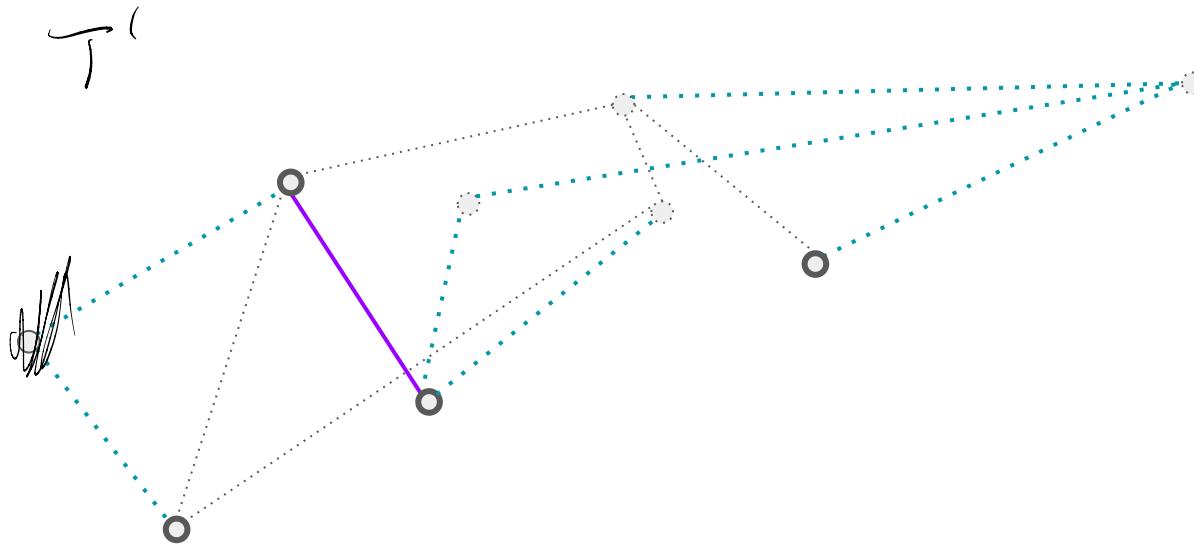
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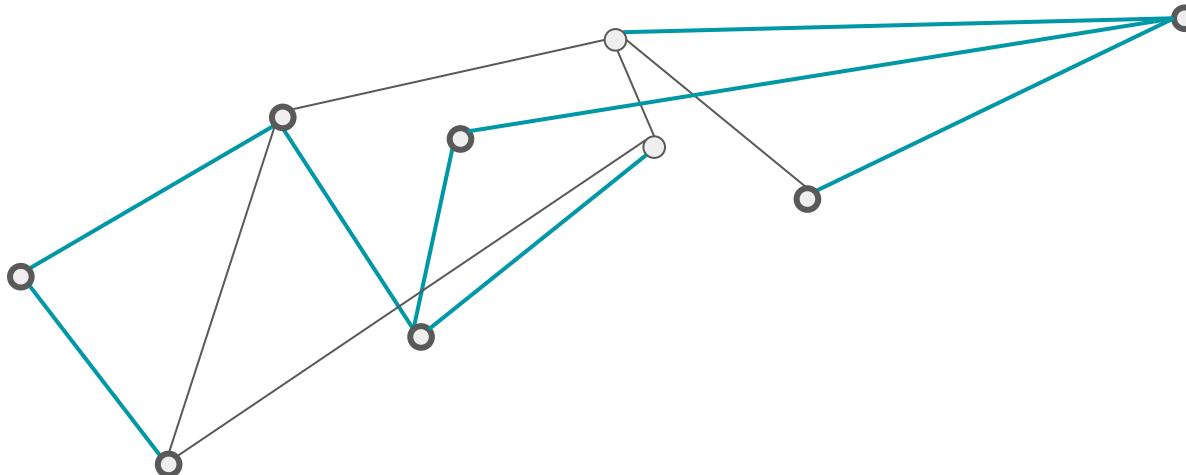


Suppose we define  $V'$  as follows. This is  $T'$ ,  $T$  induced by  $V'$

What went wrong? Why isn't a  $T'$  MST?

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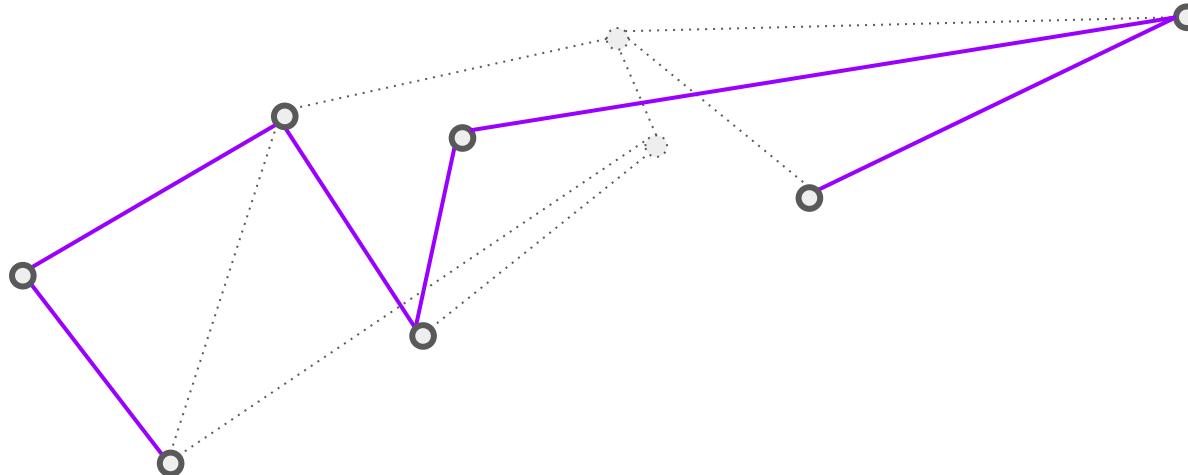
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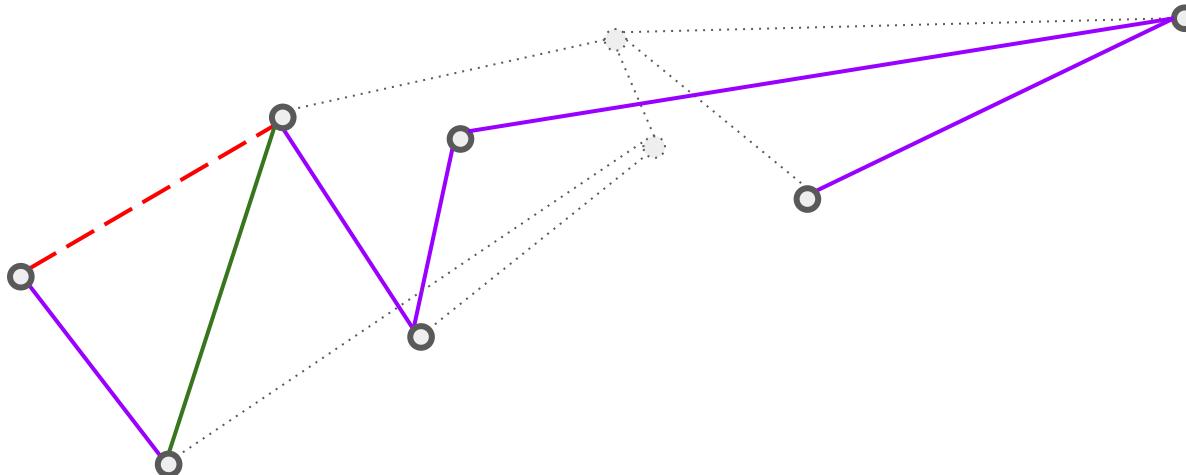


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**WTS:** this is an MST of  $V'$

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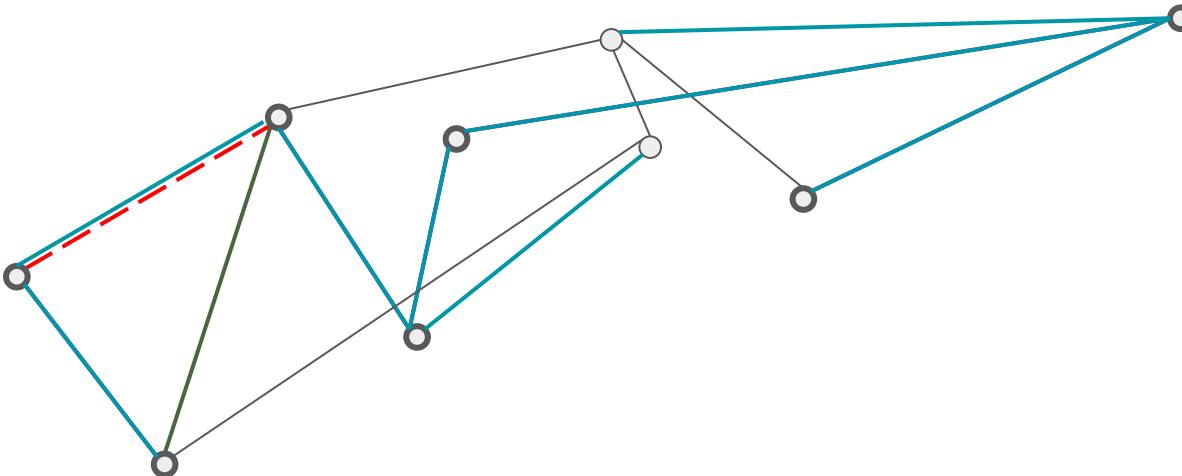


WTS: this is an MST of  $V'$

AFtSoC there is a cheaper tree  $T''$  differing in edges above (added , removed)

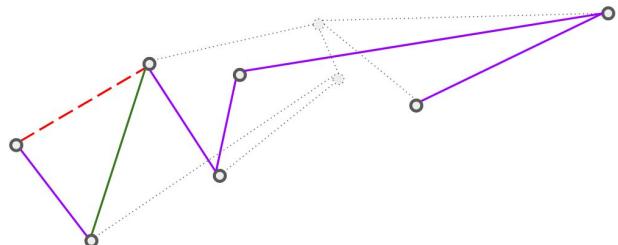
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Let this be the graph  $G$  and mst  $T$



WTS: this is an MST of  $V'$

Back in the original graph we originally had MST  $T$

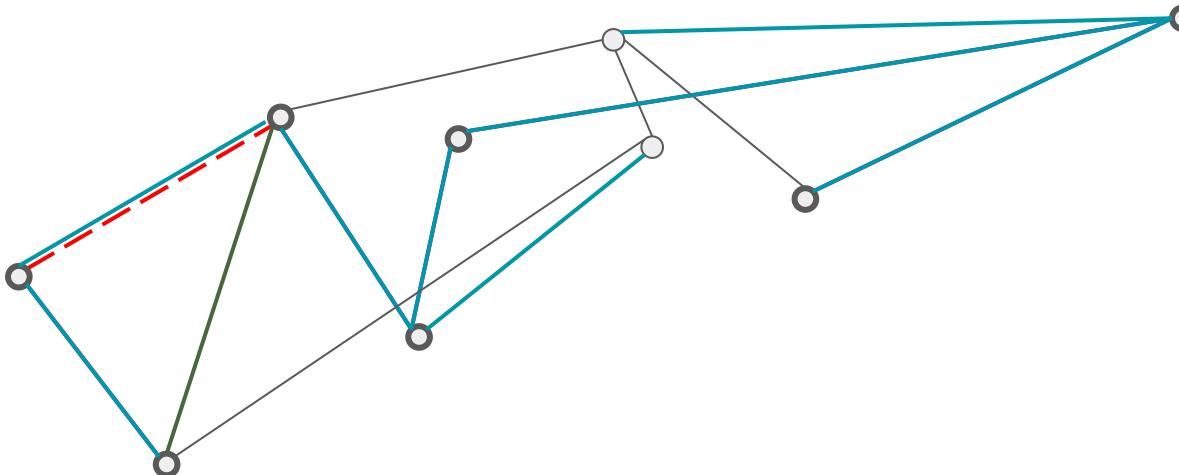


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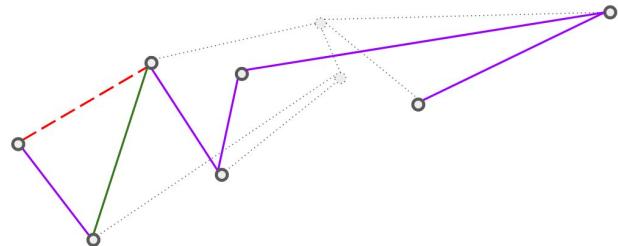
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Let this be the graph  $G$  and mst  $T$



WTS: this is an MST of  $V'$

Removing the **red edge** and adding the **green edge** gives us a cheaper tree



WTS: this is an MST of  $V'$

AFtSoC there is a cheaper tree  $T''$  differing in edges above (added , removed)

### Question 2

(Prim's & Kruskal's algorithm)

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.
2. Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Kruskal's algorithm run?

Simple Intuition of Prim's algorithm?

## Question 2

### (Prim's & Kruskal's algorithm)

- Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

## Dijkstra

```
algorithm DijkstraShortestPath( $G(V, E)$ ,  $s \in V$ )  
  
let dist: $V \rightarrow \mathbb{Z}$   
let prev: $V \rightarrow V$   
let  $Q$  be an empty priority queue  
  
dist[ $s$ ]  $\leftarrow 0$   
for each  $v \in V$  do  
    if  $v \neq s$  then  
        dist[ $v$ ]  $\leftarrow \infty$   
    end if  
    prev[ $v$ ]  $\leftarrow -1$   
     $Q.add(dist[v], v)$   
end for  
  
while  $Q$  is not empty do  
     $u \leftarrow Q.getMin()$   
    for each  $w \in V$  adjacent to  $u$  still in  $Q$  do  
         $d \leftarrow dist[u] + weight(u, w)$   
        if  $d < dist[w]$  then  
            dist[ $w$ ]  $\leftarrow d$   
            prev[ $w$ ]  $\leftarrow u$   
             $Q.set(d, w)$   
        end if  
    end for  
end while  
  
return dist, prev  
end algorithm
```

## Prim's

## Prim's MST

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### Prim's MST

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    end for  
end while  
  
return dist, prev  
end algorithm
```

### Pseudocode

//Initialize prev, dist

Let  $dist[v] = \text{current min. edge to } v$

while pq is not empty:

Vertex  $u \leftarrow \text{pq.pop()}$

for each edge  $(u, v)$ :

if  $wt(u, v) < dist[v]$ :

update dist and pq

What we can do with an adj matrix

## Question 2

(Prim's & Kruskal's algorithm)

- Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

$$A[v][v] = \text{wt}(v, v)$$

Prim's MST

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//Initialize prev, dist

Let  $\text{dist}[v] = \text{current min. edge to } v$

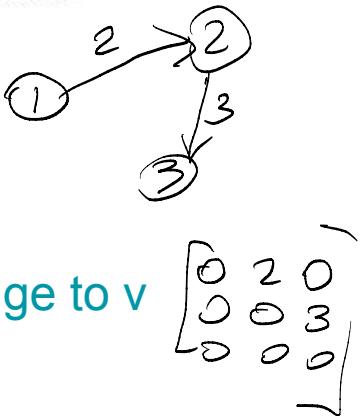
while pq is not empty:

Vertex  $u \leftarrow \text{pq.pop}()$

for each edge  $(u, v)$ :

if  $\text{wt}(u, v) < \text{dist}[v]$ :

update dist and pq



What we can do with an adj matrix

## Question 2

### (Prim's & Kruskal's algorithm)

- Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

### Prim's MST

```
algorithm DijkstraShortestPath( $G(V, E)$ ,  $s \in V$ )  
  
let dist: $V \rightarrow \mathbb{Z}$   
let prev: $V \rightarrow V$   
let  $Q$  be an empty priority queue  
  
dist[ $s$ ]  $\leftarrow 0$   
for each  $v \in V$  do  
    if  $v \neq s$  then  
        dist[ $v$ ]  $\leftarrow \infty$   
    end if  
    prev[ $v$ ]  $\leftarrow -1$   
     $Q.add(dist[v], v)$   
end for  
  
while  $Q$  is not empty do  
     $u \leftarrow Q.getMin()$   
    for each  $w \in V$  adjacent to  $u$  still in  $Q$  do  
         $d \leftarrow dist[u] + weight(u, w)$   
        if  $d < dist[w]$  then  
            dist[ $w$ ]  $\leftarrow d$   
            prev[ $w$ ]  $\leftarrow u$   
             $Q.set(d, w)$   
        end if  
    end for  
end while  
  
return dist, prev  
end algorithm
```

### Pseudocode

//Initialize prev, dist

Let  $dist[v] = \text{current min. edge to } v$

while pq is not empty:

Vertex  $u \leftarrow pq.pop()$

for each edge  $(u, v)$ :

if  $wt(u, v) < dist[v]$ :

update dist and pq

What we can do with an adj matrix

What we cannot do (right away)

## Question 2

(Prim's & Kruskal's algorithm)

- Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

//Initialize prev, dist

Let  $\text{dist}[v] = \text{current min. edge to } v$   
 while pq is not empty:

Vertex  $u \leftarrow \text{pq.pop}()$ :

$T.\text{add}(u)$

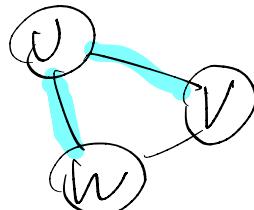
for each edge  $(u, v)$ :

if  $\text{wt}(u, v) < \text{dist}[v]$ :

$\text{prev}[v] = u$

update dist and pq

$\text{prev}[v] = u$



Prims(G,start):  
 //Initialize prev, dist

let  $T = \{\text{start}\}$

for  $i \in V - \{\text{start}\}$

$\checkmark \quad \text{dist} = [\infty, \dots, \infty, \infty]$   
 Start

(and do this w/  
 ✓ for loop in  $O(n)$ )

let  $j$  be the min. weight neighbor of

$T.\text{add}(j)$

for  $k = 1, \dots, V$  such that  $A[u][k] \neq 0$ :

if  $\text{wt}((\underline{u}, \underline{k})) < \text{dist}[\underline{k}]$ :

$\text{dist}[k] = \text{wt}((u, k))$

$\text{prev}[k] = j$ .

2. Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Kruskal's algorithm run?

## Kruskal

- Sort edges by increasing order of their weights //  $O(?)$  time
- Run a Union Finding procedure //  $\sim O(|E|)$  time

With counting sort, Kruskal runs in  $O(|E| + |V|)$  time.

The **values** of the edges are bounded by  $|V|$ . What's a good sorting algorithm for this?

faster than  $O(|E| \log |E|)$  time?

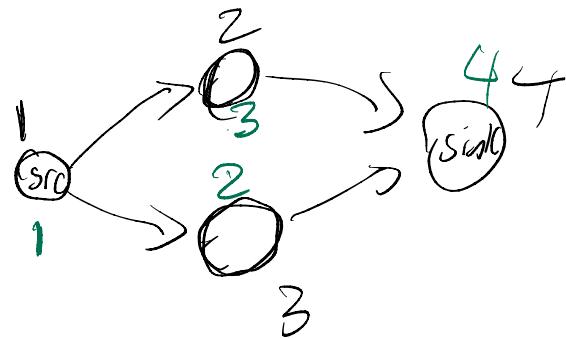
$$\begin{aligned} \text{Counting sort} &= O(\text{max value} + |E|) \\ &= O(|V| + |E|) \end{aligned}$$

### Question 3

#### (Topological Ordering)

1. Draw a directed acyclic graph  $G = (V, E)$  with  $|V| = 5$  nodes that has exactly two topological orderings.
2. Prove that  $G$  has a topological ordering if and only if  $G$  is a DAG.

When do we have two topo orderings?



2. Prove that  $G$  has a topological ordering if and only if  $G$  is a DAG.

( $\rightarrow$ ) Suppose  $G$  has a topo ordering (**WTS: DAG**)

AFTSOL there is a cycle

I can't have a topological ordering.

Fix a topological labeling

If a discrepancy

( $\leftarrow$ ) Suppose  $G$  is a DAG (**WTS: topo ordering**)

- $G$  has a source(s) and a sink(s).

- Assume for all DAGs  $G'$  with  $n' < n$  nodes, it has a topo ordering

- Suppose  $G$  has  $n$  nodes. Remove the sink  $s$ .

By IH, there is a topo ordering of  $G - s$ . Add  $s$  to the end of the order.

