



PSO 1

Recurrences, divide and conquer

<https://justin-zhang.com/teaching/CS381>

Exercise 1.1. Use recursion trees to solve the following recurrences.

1. $T(n) = 3T(n/3) + 6n$ and $T(1) = 2$.

2. $T(n) = 2T(n/3) + 4n$ and $T(1) = 7$.

3. $T(n) = 4T(n/3) + n$ and $T(1) = 11$.

Exercise 1.3. Problems 1–5 describe a search problem on a nonempty array $A[1..n]$ of comparable elements.⁷ For each of these problems, design a recursive algorithm that solves the search problem as fast as possible.⁸ Briefly analyze the running time of each algorithm.⁹

1. Let $A[1..n]$ be in a *rotated sorted* order. That is, there exists an index $i \in [n]$, called the *rotation index*, such that the concatenation of $A[i..n]$ and $A[1..i - 1]$ is sorted in increasing order. (One can think of $A[1..n]$ as being sorted initially, and then rotated cyclically to the right by $i - 1$ slots). The goal is to compute the unknown rotation index i . For simplicity, you may assume all the elements are distinct.

Exercise 1.7. Let $A[1..n] \in \mathbb{R}^n$ be an array of n numbers. An *inversion* is a pair of numbers out of increasing order; more precisely, a pair of indices $i, j \in [n]$ such that $i < j$ and $A[i] > A[j]$. Design and analyze an algorithm (as fast as possible) for counting the number of inversions in A .

- . Give a linear time algorithm taking as input a tree and returning a centroid. Your proof of correctness doubles as a proof that every tree has a centroid.

Exercise 2.2. For each of the recursive specifications below, design a recursive algorithm implementing the specification. (No proof or analysis is needed.)⁵

1. **subset-sum**(x_1, \dots, x_n, T): Given n integers $x_1, \dots, x_n \in \mathbb{Z}$, and an additional integer T , returns **true** if there is a subset of indices $S \subseteq [n]$ such that $\sum_{i \in S} x_i = T$, and **false** otherwise.

$$1. \ T(n) = 3T(n/3) + 6n \text{ and } T(1) = 2.$$

Recall the steps of a recursion tree

1. Draw out the tree
2. Find the cost at the i th level and the number of levels
3. Derive the sum and closed form

$$1. T(n) = 3T(n/3) + \underline{6n} \text{ and } T(1) = 2.$$

Each node tells us the *non-recursive* work done.
Start with the root as $T(n)$

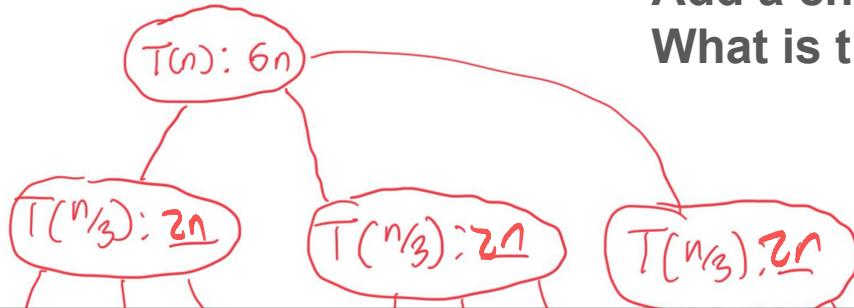
$T(n): \underline{6n}$

Have a branch for each recursive call

1. Draw out the tree
2. Find the cost at the ith level and the number of levels
3. Derive the sum and closed form

$$1. \ T(n) = 3T(n/3) + 6n \text{ and } T(1) = 2.$$

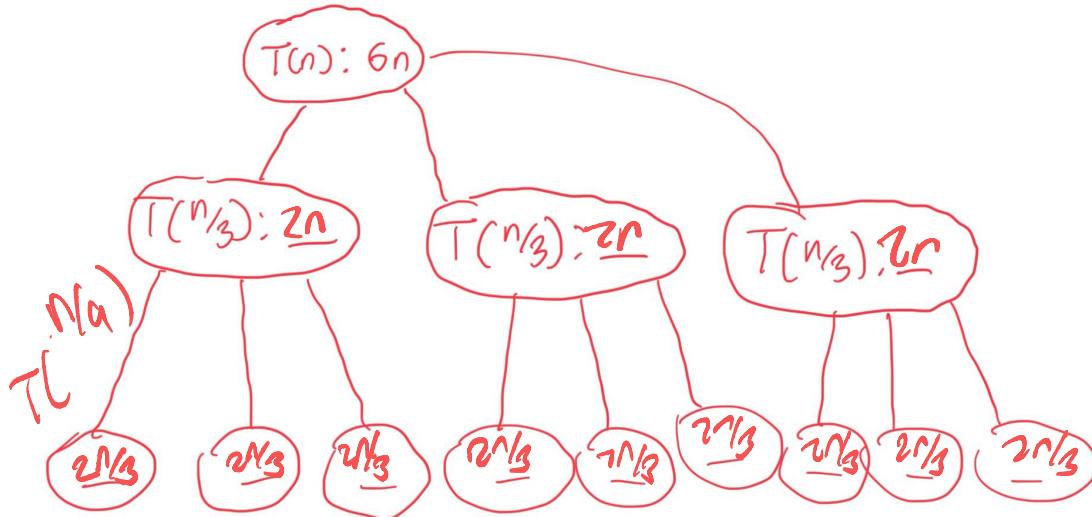
Each node tells us the *non-recursive* work done.
Add a child for each recursive call
What is the non-rec. work done in each child?



1. Draw out the tree
2. Find the cost at the ith level and the number of levels
3. Derive the sum and closed form

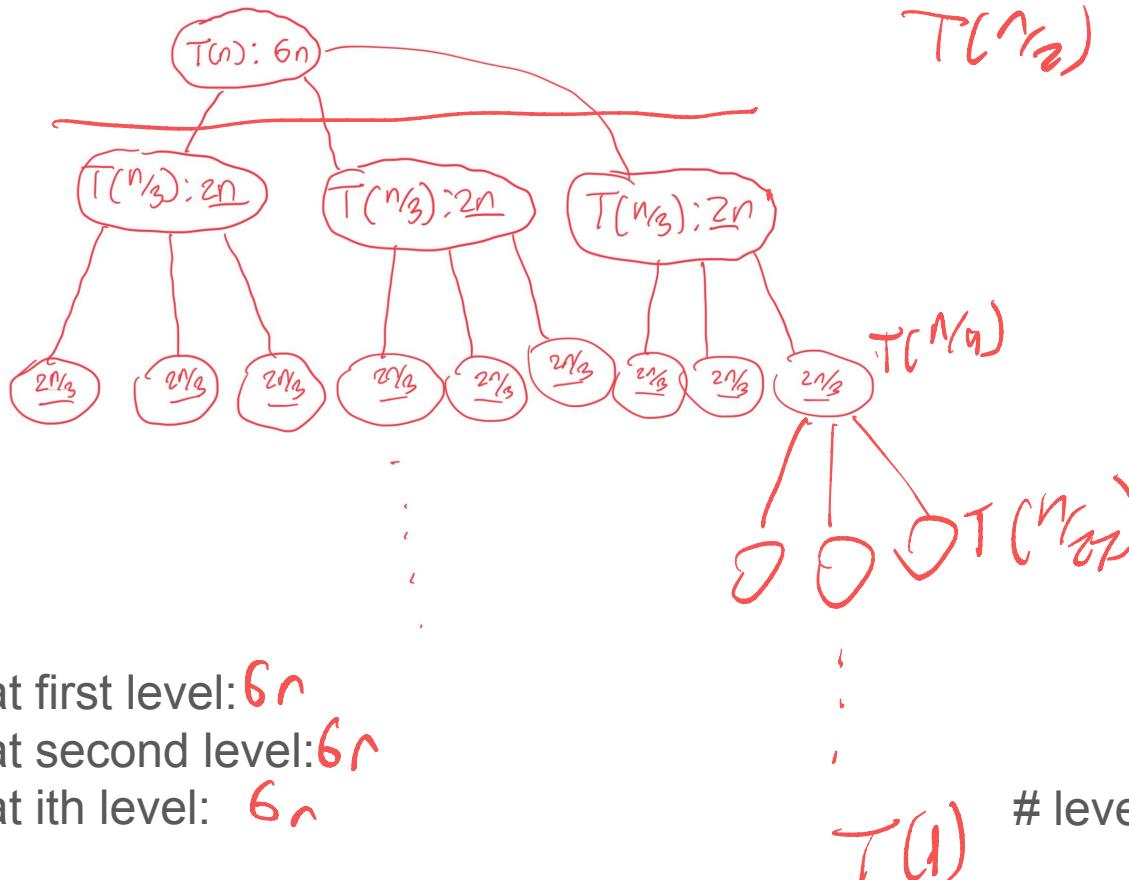
1. $T(n) = \underbrace{3T(n/3)}_{\text{---}} + \underline{6n}$ and $T(1) = 2$.

Each node tells us the *non-recursive* work done.

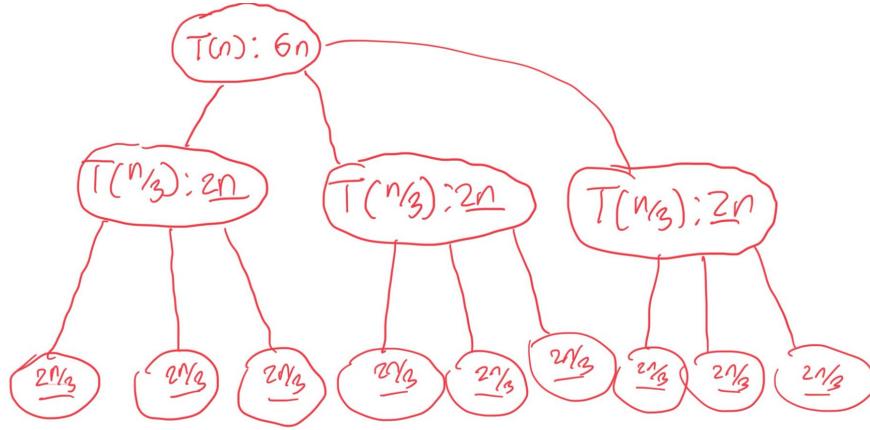


1. Draw out the tree
2. Find the cost at the ith level and the number of levels
3. Derive the sum and closed form

$$1. T(n) = 3T(\underline{n/3}) + 6n \text{ and } T(1) = 2.$$



1. $T(n) = 3T(n/3) + 6n$ and $T(1) = 2$.



C_i ; cost @ ith level : $6n$
N number of levels : $108n$

Solve sum:

$$\sum_{i=1}^N c_i = \sum_{i=1}^{\log n} 6n = O(n \log n)$$

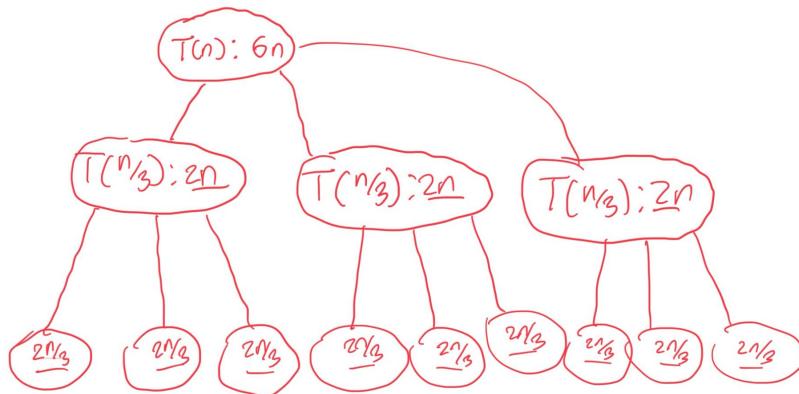
1. Draw out the tree
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(big-O is fine)

Aside: this is the “merge sort” recurrence

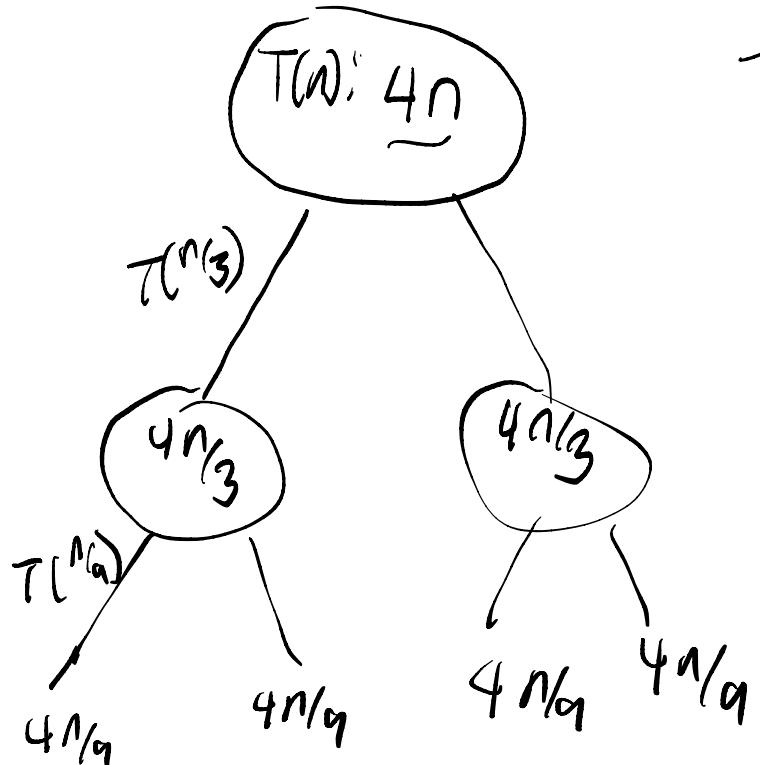
For any constant c and C ,

$$T(n) = cT(n / c) + Cn \in O(n\log n)$$



Each level will sum to $Cn!$
(Here C was 6)

2. $T(n) = 2T(n/3) + 4n$ and $T(1) = 7$.



$$\text{Cost} \quad 4n = (2^0)4n$$

$$8n/3 = (2^1)(4n/3)$$

$$16n/9 = (2^2)(4n/9) = (2^2)(4n)$$

$$\# \text{levels: } \log n \quad C_i = (2/3)^i \times (4n)$$

$$\sum_{i=1}^{\log n} (2/3)^i (4n) \leq 4n \sum_{i=0}^{\infty} (2/3)^i = O(n)$$

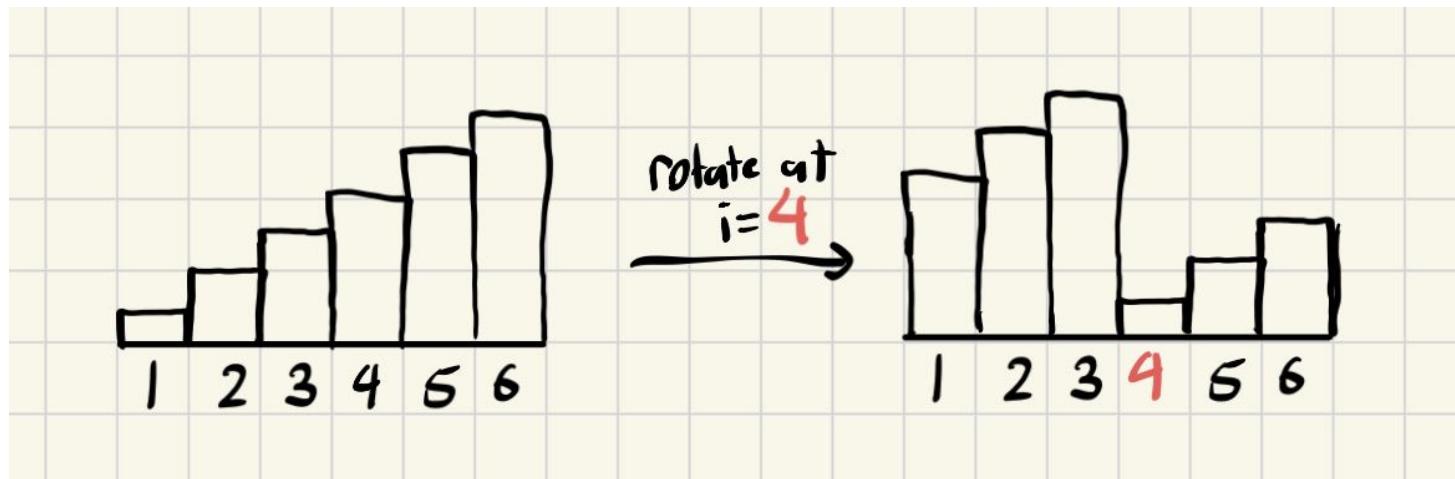
1. Draw out the tree
2. Find the cost at the i th level and the number of levels
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$$3. \ T(n) = 4T(n/3) + n \text{ and } T(1) = 11.$$

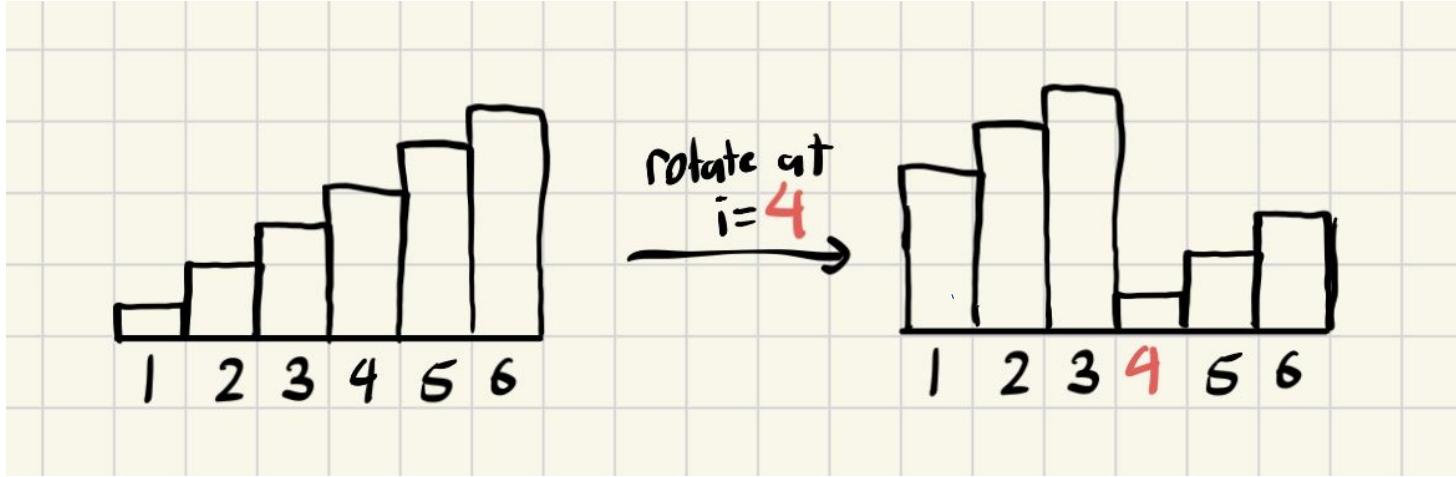
Exercise for you :)

1. Draw out the tree
2. Find the cost at the i th level and the number of levels
3. Derive the sum and closed form

1. Let $A[1..n]$ be in a *rotated sorted* order. That is, there exists an index $i \in [n]$, called the *rotation index*, such that the concatenation of $A[i..n]$ and $A[1..i - 1]$ is sorted in increasing order. (One can think of $A[1..n]$ as being sorted initially, and then rotated cyclically to the right by $i - 1$ slots). The goal is to compute the unknown rotation index i . For simplicity, you may assume all the elements are distinct.



Goal: find index i given rotated array



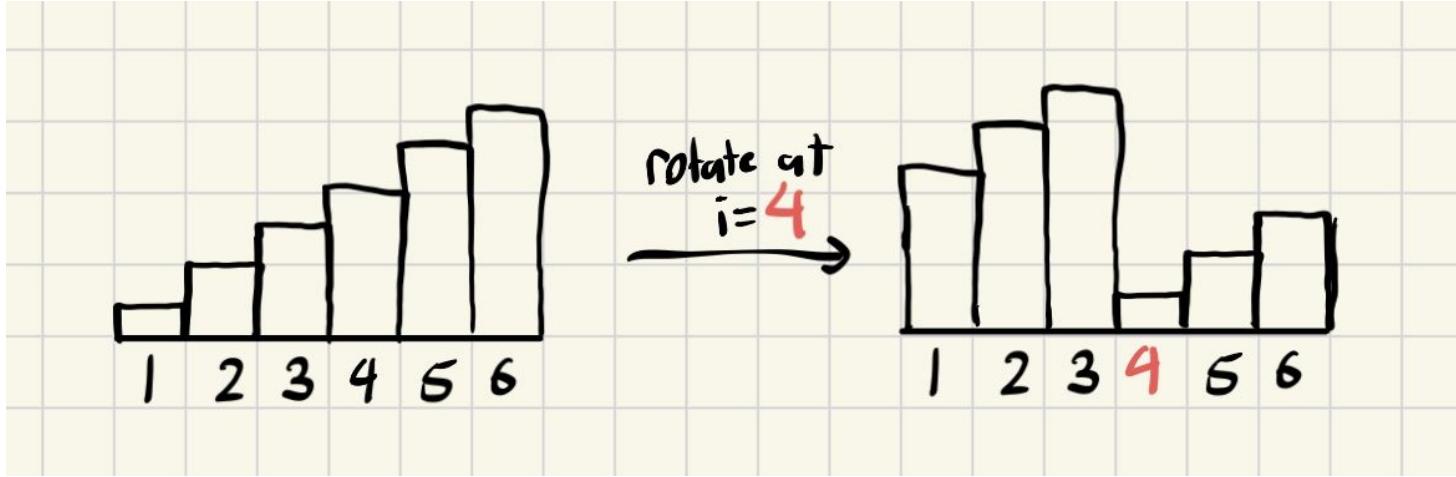
Any ideas (maybe from lecture)?

binary search

$O(\log n)$

$O(n)$ easy

Goal: find index i given rotated array



Any ideas (maybe from lecture)? **Binary search type question**

More generally, a recursive algorithm!

Steps to writing a recursive algorithm

1. Write the recursive spec
 - a. What is the input?
 - b. What is the output?
2. Use the recursive algorithm on smaller parts
3. Combine them appropriately

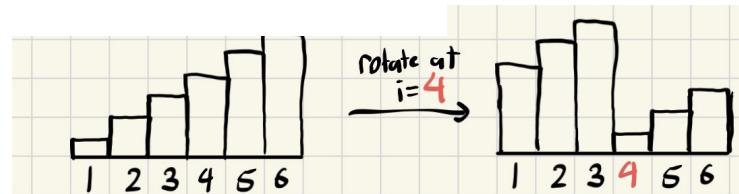
Example 1 : spec of merge sort

1) input

merge-sort($A[1..n]$): Given an array $A[1..n]$ of comparable elements,
returns an array consisting of the elements of A sorted in increasing order.

2) output

1. Let $A[1..n]$ be in a *rotated sorted* order. That is, there exists an index $i \in [n]$, called the *rotation index*, such that the concatenation of $A[i..n]$ and $\underline{A[1..i-1]}$ is sorted in increasing order. (One can think of $A[1..n]$ as being sorted initially, and then rotated cyclically to the right by $i - 1$ slots). The goal is to compute the unknown rotation index i . For simplicity, you may assume all the elements are distinct.

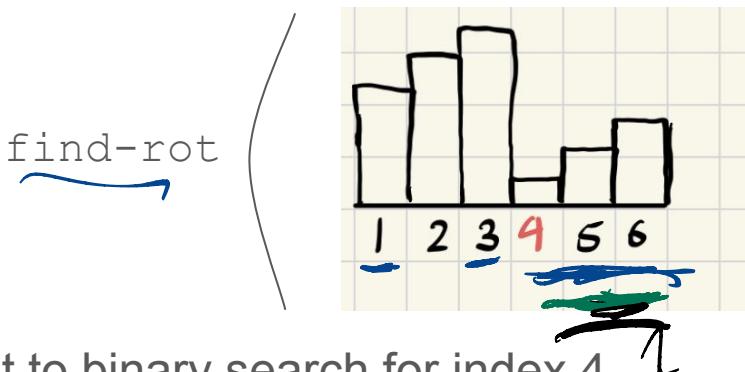


find-rot($A[1..n]$): Given rotated-sorted (pre condition) array A ,

return rotation index i (Post condition).

`find-rot(A[1], ..., n)`: Given rotated-sorted
(Pre condition) array A,
return rotation index i.
(Post condition)

1. Write the recursive spec
2. Use the recursive algorithm on smaller parts
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We want to binary search for index 4

$$(M) \text{ Middle index} = 6 / 2 = 3$$

How do we choose between recursing into left half or right half?
I.e., which half has the rotated index?

(if not in right half
return `find-rot(A, ..., m)`)

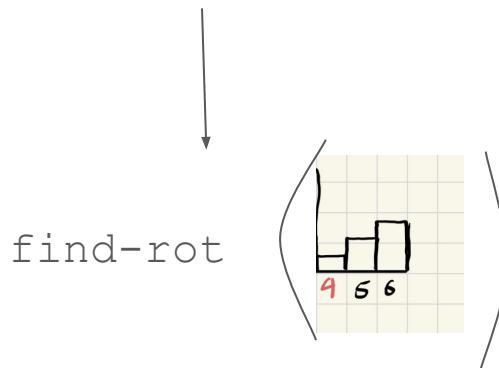
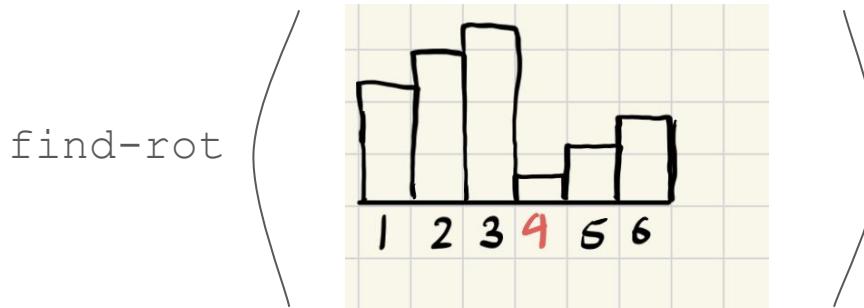
if $A[1] < A[m]$
// rotation index is
in the right half:

`find-rot(A[m+1, n])`

m
//
3f 1

`find-rot(A[1],..,n])`: Given rotated-sorted
(Pre condition) array A,
return rotation index i
(Post condition).

1. Write the recursive spec
2. Use the recursive algorithm on smaller parts
3. Combine them appropriately



- ~~X~~
1. subset-sum(x_1, \dots, x_n , T): Given n integers $x_1, \dots, x_n \in \mathbb{Z}$, and an additional integer T , returns true if there is a subset of indices $S \subseteq [n]$ such that $\sum_{i \in S} x_i = T$, and false otherwise.

$[1, 2, 3, 4], 5$

all subsets : 2^X

I can split 2^X in half as:

1) Subsets with x_1 (recursively listing all subsets)

$f(x = \{x_1, \dots, x_n\})$: Subsets without x_1

If $x = \emptyset$: return $\{\emptyset\}$

$S = f(\{x_2, \dots, x_n\})$

return $\{\text{vars}, s\}; s \in S\}$.

1. **subset-sum**(x_1, \dots, x_n, T): Given n integers $x_1, \dots, x_n \in \mathbb{Z}$, and an additional integer T , returns **true** if there is a subset of indices $S \subseteq [n]$ such that $\sum_{i \in S} x_i = T$, and **false** otherwise.

def $SS(x_1, \dots, x_n, T)$:

if $T=0$: return true.	if $n=0$: return false.
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return