

Principles of Programming Languages (Lecture 4)

COMP 3031, Fall 2025

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Working with Class Hierarchies

Decomposition

Suppose you want to write a small interpreter for arithmetic expressions.

To keep it simple, let's restrict ourselves to numbers and additions.

Expressions can be represented as a class hierarchy, with a base trait Expr and two subclasses, Number and Sum.

To treat an expression, it's necessary to know the expression's shape and its components.

This brings us to the following implementation.

Expressions

```
abstract class Expr:
 def isNumber: Boolean
 def isSum: Boolean
 def numValue: Int
 def leftOp: Expr
 def rightOp: Expr
class Number(n: Int) extends Expr:
 def isNumber = true
 def isSum = false
 def numValue = n
 def leftOp = throw Error("Number.leftOp")
 def rightOp = throw Error("Number.rightOp")
```

Expressions (2)

```
class Sum(e1: Expr, e2: Expr) extends Expr:
  def isNumber = false
  def isSum = true
  def numValue = throw Error("Sum.numValue")
  def leftOp = e1
  def rightOp = e2
```

Evaluation of Expressions

You can now write an evaluation function as follows.

```
def eval(e: Expr): Int =
  if e.isNumber then e.numValue
  else if e.isSum then eval(e.leftOp) + eval(e.rightOp)
  else throw Error("Unknown expression " + e)
```

Problem: Writing all these classification and accessor functions quickly becomes tedious!

Problem: There's no static guarantee you use the right accessor functions. You might hit an Error case if you are not careful.

Problem: There's no static guarantee we have not forgotten some cases.

Adding New Forms of Expressions

So, what happens if you want to add new expression forms, say

You need to add methods for classification and access to all classes defined above.

```
abstract class Expr:
...
def isProd: Boolean
def isVar: Boolean
def nameValue: String
```

Adding New Forms of Expressions

So, what happens if you want to add new expression forms, say

You need to add methods for classification and access to all classes defined above.

```
abstract class Expr:
    ...
    def isProd: Boolean
    def isVar: Boolean
    def nameValue: String
```

Non-Solution: Type Tests and Type Casts

A "hacky" solution could use type tests and type casts.

Scala let's you do these using methods defined in class Any:

These correspond to Java's type tests and casts

```
Scala Java
x.isInstanceOf[T] x instanceof T
x.asInstanceOf[T] (T) x
```

But their use in Scala is discouraged, because there are better alternatives.

Eval with Type Tests and Type Casts

Here's a formulation of the eval method using type tests and casts:

```
def eval(e: Expr): Int =
  if e.isInstanceOf[Number] then
    e.asInstanceOf[Number].numValue
  else if e.isInstanceOf[Sum] then
    eval(e.asInstanceOf[Sum].leftOp)
    + eval(e.asInstanceOf[Sum].rightOp)
  else throw Error("Unknown expression " + e)
```

This is ugly and still generally unsafe.

Eval with Type Tests and Type Casts

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```
def eval(e: Expr): Int =
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  else if e.isInstanceOf[Sum] then
    eval(e.asInstanceOf[Sum].leftOp)
    + eval(e.asInstanceOf[Sum].rightOp)
  else throw Error("Unknown expression " + e)
```

This is ugly and still generally unsafe.

Solution 1: Object-Oriented Decomposition

For example, suppose that all you want to do is evaluate expressions.

You could then define:

```
abstract class Expr:
   def eval: Int

class Number(n: Int) extends Expr:
   def eval: Int = n

class Sum(e1: Expr, e2: Expr) extends Expr:
   def eval: Int = e1.eval + e2.eval
```

But what happens if you'd like to display expressions now?

You have to define new methods in all the subclasses.

Assessment of OO Decomposition

- OO decomposition mixes data with operations on the data.
- ► This can be the right thing if there's a need for encapsulation and data abstraction.
- On the other hand, it increases complexity(*) and adds new dependencies to classes.
- ▶ It makes it easy to add new kinds of data but hard to add new kinds of operations.
- (*) In the literal sense of the word: complex = plaited, woven together

Thus, complexity arises from mixing several things together.

Limitations of OO Decomposition

OO decomposition only works well if operations are on a *single* object.

What if you want to simplify expressions, say using the rule:

$$a * b + a * c -> a * (b + c)$$

Problem: This is a non-local simplification. It cannot be encapsulated in the method of a single object.

You are back to square one; you need test and access methods for all the different subclasses.

Pattern Matching

Reminder: Decomposition

The task we are trying to solve is find a general and convenient way to access heterogeneous data in a class hierarchy.

Attempts seen previously:

- Classification and access methods: quadratic explosion
- Type tests and casts: unsafe, low-level
- Object-oriented decomposition: causes coupling between data and operations; need to modify all classes to add a new method; cannot inspect arguments.

Solution 2: Functional Decomposition with Pattern Matching

Observation: the sole purpose of test and accessor functions is to *reverse* the construction process:

- Which subclass was used?
- What were the arguments of the constructor?

This situation is so common that many functional languages, Scala included, automate it.

Case Classes

A case class definition is similar to a normal class definition, except that it is preceded by the modifier case. For example:

```
abstract class Expr
case class Number(n: Int) extends Expr
case class Sum(e1: Expr, e2: Expr) extends Expr
```

Like before, this defines a trait Expr, and two concrete subclasses Number and Sum.

However, these classes are now empty. So how can we access the members?

Pattern Matching

Pattern matching is a generalization of switch from C/Java to class hierarchies.

It's expressed in Scala using the keyword match.

Example

```
def eval(e: Expr): Int = e match
  case Number(n) => n
  case Sum(e1, e2) => eval(e1) + eval(e2)
```

Match Syntax

Rules:

- match is preceded by a selector (or scrutinee) expression and is followed by a sequence of *cases*, pat => expr.
- ► Each case associates an *expression* expr with a *pattern* pat.
- ► A MatchError exception is thrown if no pattern matches the value of the selector.

Forms of Patterns

Patterns are constructed from:

- constructors, e.g. Number, Sum,
- ▶ variables, e.g. n, e1, e2,
- wildcard patterns _,
- constants, e.g. 1, true.
- type tests, e.g. n: Number

Variables always begin with a lowercase letter.

The same variable name can only appear once in a pattern. So, Sum(x, x) is not a legal pattern.

Names of constants begin with a capital letter, with the exception of the reserved words null, true, false.

Evaluating Match Expressions

An expression of the form

e match { case
$$p_1 => e_1 \dots case p_n => e_n$$
 }

matches the value of the selector e with the patterns $p_1,...,p_n$ in the order in which they are written.

The whole match expression is rewritten to the right-hand side of the first case where the pattern matches the selector *e*.

References to pattern variables are replaced by the corresponding parts in the selector.

What Do Patterns Match?

- A constructor pattern $C(p_1, ..., p_n)$ matches all the values of type C (or a subtype) that have been constructed with arguments matching the patterns $p_1, ..., p_n$.
- A variable pattern x matches any value, and *binds* the name of the variable to this value.
- ➤ A constant pattern c matches values that are equal to c (in the sense of ==)

Example

Example

```
eval(Sum(Number(1), Number(2)))
      Sum(Number(1), Number(2)) match
        case Number(n) => n
        case Sum(e1, e2) => eval(e1) + eval(e2)
\rightarrow
      eval(Number(1)) + eval(Number(2))
```

Example (2)

```
(Number(1) match
        case Number(n) => n
        case Sum(e1, e2) => eval(e1) + eval(e2)
      ) + eval(Number(2))
\rightarrow
      1 + eval(Number(2))
      3
```

Exhaustiveness of Pattern Matching

Problem: There's no static guarantee we have not forgotten some cases.

The code below currently compiles!

```
def eval(e: Expr): Int = e match
 case Sum(e1, e2) => eval(e1) + eval(e2)
```

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```
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```

But this usage throws an exception:

```
eval(Number(2)) // scala.MatchError: Number(2) (of class ppl4.Number)
```

Solution: **seal** the data structure, prohibiting the above definition

```
sealed abstract class Expr
case class Number(n: Int) extends Expr
case class Sum(e1: Expr, e2: Expr) extends Expr
```

Exhaustiveness of Pattern Matching

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```
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```

Pattern Matching and Methods

Of course, it's also possible to define the evaluation function as a method of the base trait.

Example

```
sealed abstract class Expr:
  def eval: Int = this match
    case Number(n) => n
    case Sum(e1, e2) => e1.eval + e2.eval
```

Exercise

Write a function show that uses pattern matching to return the representation of a given expressions as a string.

```
def show(e: Expr): String = ???
```

Exercise (Solution)

Write a function show that uses pattern matching to return the representation of a given expressions as a string.

```
def show(e: Expr): String = e match
  case Number(n) => n.toString
  case Sum(e1, e2) =>
    show(e1) + " + " + show(e2)
  // or, equivalently:
  s"${ show(e1) } + ${ show(e2) }"
```

Exercise (Optional, Harder)

Add case classes Var for variables x and Prod for products x * y as discussed previously.

Change your show function so that it also deals with products.

Pay attention you get operator precedence right but to use as few parentheses as possible.

Example

```
Sum(Prod(Number(2), Var("x")), Var("y"))
should print as "2 * x + y". But
Prod(Sum(Number(2), Var("x")), Var("y"))
should print as "(2 + x) * y".
```

Exercise (Solution)

```
sealed abstract class Expr
case class Number(n: Int) extends Expr
case class Sum(e1: Expr, e2: Expr) extends Expr
case class Product(e1: Expr, e2: Expr) extends Expr
case class Var(name: String) extends Expr
```

Exercise (Solution)

```
sealed abstract class Expr
case class Number(n: Int) extends Expr
case class Sum(e1: Expr. e2: Expr) extends Expr
case class Product(e1: Expr, e2: Expr) extends Expr
case class Var(name: String) extends Expr
def show(e: Expr): String = e match
 case Number(n) => n.toString
 case Sum(e1, e2) => s" show(e1) } +  show(e2) }"
 case Product(e1, e2) => s"${ showFactor(e1) } * ${ showFactor(e2) }"
 case Var(n) \Rightarrow n
def showFactor(e: Expr): String = e match
 case e: Sum => s"(\{\{show(e)\}\})"
 case _ => show(this)
```

Lists

Lists

The list is a fundamental data structure in functional programming.

A list having $x_1, ..., x_n$ as elements is written List $(x_1, ..., x_n)$

Example

```
val fruits = List("apples", "oranges", "pears")
val nums = List(1, 2, 3, 4)
val diag3 = List(List(1, 0, 0), List(0, 1, 0), List(0, 0, 1))
val empty = List()
```

There are two important differences between lists and arrays.

- Lists are immutable the elements of a list cannot be changed.
- Lists are recursive (i.e., nested), while arrays are flat.

The List Type

Like arrays, lists are homogeneous: the elements of a list must all have the same type.

The type of a list with elements of type T is written scala.List[T] or shorter just List[T]

Example

```
val fruits: List[String] = List("apples", "oranges", "pears")
val nums : List[Int] = List(1, 2, 3, 4)
val diag3: List[List[Int]] = List(List(1, 0, 0), List(0, 1, 0), List(0, 0, 1))
val empty: List[Nothing] = List()
```

Constructors of Lists

All lists are constructed from:

the empty list Nil, and

elements of xs.

the construction operation :: (pronounced cons):
x :: xs gives a new list with the first element x, followed by the

For example:

```
fruits = "apples" :: ("oranges" :: ("pears" :: Nil))
nums = 1 :: (2 :: (3 :: (4 :: Nil)))
empty = Nil
```

Right Associativity

Convention: Operators ending in ":" associate to the right.

```
A :: B :: C is interpreted as A :: (B :: C).
```

We can thus omit the parentheses in the definition above.

Example

```
val nums = 1 :: 2 :: 3 :: 4 :: Nil
```

Operations on Lists

All operations on lists can be expressed in terms of the following three:

```
head the first element of the list
tail the list composed of all the elements except the first.
isEmpty 'true' if the list is empty, 'false' otherwise.
```

These operations are defined as methods of objects of type List. For example:

```
fruits.head == "apples"
fruits.tail.head == "oranges"
diag3.head == List(1, 0, 0)
empty.head == throw NoSuchElementException("head of empty list")
```

List Patterns

It is also possible to decompose lists with pattern matching.

```
Nil The Nil constant
p::ps A pattern that matches a list with a head matching p and a tail matching ps.
List(p1, ..., pn) same as p1 :: ... :: pn :: Nil
```

Example

Consider the pattern x :: y :: List(xs, ys) :: zs.

What is the condition that describes most accurately the length L of the lists it matches?

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Sorting Lists

Suppose we want to sort a list of numbers in ascending order:

- One way to sort the list List(7, 3, 9, 2) is to sort the tail List(3, 9, 2) to obtain List(2, 3, 9).
- ► The next step is to insert the head 7 in the right place to obtain the result List(2, 3, 7, 9).

This idea describes *Insertion Sort*:

```
def isort(xs: List[Int]): List[Int] = xs match
  case Nil => Nil
  case y :: ys => insert(y, isort(ys))
```

Complete the definition insertion sort by filling in the ???s in the definition below:

```
def insert(x: Int, xs: List[Int]): List[Int] = xs match
  case Nil => ???
  case y :: ys => ???
```

Complete the definition insertion sort by filling in the ???s in the definition below:

```
def insert(x: Int, xs: List[Int]): List[Int] = xs match
  case Nil => List(x)
  case y :: ys =>
    if x < y then x :: xs else y :: insert(x, ys)</pre>
```

What is the worst-case complexity of insertion sort relative to the length of the input list N?

0 the sort takes constant time
0 proportional to N
0 proportional to N log(N)
0 proportional to N * N

Complete the definition insertion sort by filling in the ???s in the definition below:

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```

What is the worst-case complexity of insertion sort relative to the length of the input list N?

```
0     the sort takes constant time
0     proportional to N
0     proportional to N * log(N)
X     proportional to N * N
```



Pure Data

Pure Data

In the previous sessions, you have learned how to model data with class hierarchies.

Classes are essentially bundles of functions operating on some common values represented as fields.

They are a very useful abstraction, since they allow encapsulation of data.

But sometimes we just need to compose and decompose *pure data* without any associated functions.

Case classes and pattern matching work well for this task.

A Case Class Hierarchy

Here's our case class hierarchy for expressions again:

```
sealed abstract class Expr
object Expr:
  case class Var(s: String) extends Expr
  case class Number(n: Int) extends Expr
  case class Sum(e1: Expr, e2: Expr) extends Expr
  case class Prod(e1: Expr, e2: Expr) extends Expr
```

This time we have put all case classes in the Expr companion object, in order not to pollute the global namespace.

So it's Expr.Number(1) instead of Number(1), for example.

One can still "pull out" all the cases using an import.

```
import Expr.*
```

A Case Class Hierarchy

Here's our case class hierarchy for expressions again:

```
sealed abstract class Expr
object Expr:
  case class Var(s: String) extends Expr
  case class Number(n: Int) extends Expr
  case class Sum(e1: Expr, e2: Expr) extends Expr
  case class Prod(e1: Expr, e2: Expr) extends Expr
```

Pure data definitions like these are called *algebraic data types*, or ADTs for short.

They are very common in functional programming.

To make them even more convenient, Scala offers some special syntax.

Enums for ADTs

An enum enumerates all the cases of an ADT and nothing else.

Example

```
enum Expr:
   case Var(s: String)
   case Number(n: Int)
   case Sum(e1: Expr, e2: Expr)
   case Prod(e1: Expr, e2: Expr)
```

This enum is equivalent to the case class hierarchy on the previous slide, but is shorter, since it avoids the repetitive class ... extends Expr notation.

Pattern Matching on ADTs

Match expressions can be used on enums as usual.

For instance, to print expressions with proper parameterization:

```
def show(e: Expr): String = e match
  case Expr. Var(x) \Rightarrow x
  case Expr.Number(n) => n.toString
  case Expr. Sum(a, b) => s"${show(a)} + ${show(a)}}"
  case Expr.Prod(a, b) => s"${showFactor(a)} * ${showFactor(a)}"
import Expr.*
def showFactor(e: Expr): String = e match
  case e: Sum => s"(${show(expr)})"
  case _ => show(expr)
```

Simple Enums

Cases of an enum can also be simple values, without any parameters.

Example

Define a Color type with values Red, Green, and Blue:

```
enum Color:
   case Red
   case Green
   case Blue
```

We can also combine several simple cases in one list:

```
enum Color:
   case Red, Green, Blue
```

Pattern Matching on Simple Enums

For pattern matching, simple cases count as constants:

```
enum DayOfWeek:
    case Monday, Tuesday, Wednesday, Thursday, Friday, Saturday, Sunday
import DayOfWeek.*

def isWeekend(day: DayOfWeek) = day match
    case Saturday | Sunday => true
    case _ => false
```

More Fun With Enums

Enumerations can take parameters and can define methods.

Example:

```
enum Direction(val dx: Int, val dy: Int):
 case Right extends Direction( 1, 0)
 case Up extends Direction( 0, 1)
 case Left extends Direction(-1, 0)
 case Down extends Direction( 0, -1)
 def leftTurn = Direction.values((ordinal + 1) % 4)
end Direction
val r = Direction.Right
val u = r.leftTurn // u = Up
val v = (u.dx, u.dy) // v = (1.0)
```

More Fun With Enums

Notes:

- Enumeration cases that pass parameters have to use an explicit extends clause
- ► The expression e.ordinal gives the ordinal value of the enum case e. Cases start with zero and are numbered consecutively.
- values is an immutable array in the companion object of an enum that contains all enum values.
- Only simple cases have ordinal numbers and show up in values, parameterized cases do not.

Enumerations Are Shorthands for Classes and Objects

The Direction enum is expanded by the Scala compiler to roughly the following structure:

```
abstract class Direction(val dx: Int, val dy: Int):
    def leftTurn = Direction.values((ordinal - 1) % 4)
object Direction:
    val Right = new Direction( 1, 0) { }
    val Up = new Direction( 0, 1) { }
    val Left = new Direction(-1, 0) { }
    val Down = new Direction( 0, -1) { }
end Direction
```

There are also compiler-defined helper methods ordinal in the class and values and valueOf in the companion object.

Domain Modeling

ADTs and enums are particularly useful for domain modelling tasks where one needs to define a large number of data types without attaching operations.

Example: Modelling payment methods.

```
enum PaymentMethod:
    case CreditCard(kind: CardKind, holder: String, number: Long, expires: Date)
    case PayPal(email: String)
    case Cash
enum CardKind:
    case Visa, Mastercard, Amex
```

Summary

In this unit, we covered two uses of enum definitions:

- as a shorthand for hierarchies of case classes
- as a way to define data types accepting a finite set of values

The two cases can be combined: an enum can comprise parameterized and simple cases at the same time.

Enums are typically used for pure data, where all operations on such data are defined elsewhere.

How Other Languages Do It

Functional Languages

The Expr algebraic data type example in OCaml.

```
type expr = Number of int | Sum of expr * expr
let rec eval x =
  match x with
    Number n \rightarrow n \mid Sum (e1, e2) \rightarrow eval e1 + eval e2
```

Functional Languages

The Expr algebraic data type example in OCaml.

```
type expr = Number of int | Sum of expr * expr
let rec eval x =
  match x with
    Number n \rightarrow n \mid Sum (e1, e2) \rightarrow eval e1 + eval e2
In Haskell:
    data Expr = Number Int | Sum Expr Expr
    eval :: Expr -> Int
    eval (Number n) = n
    eval (Sum e1 e2) = eval e1 + eval e2
```

Functional Languages

Commentary:

- ADTs in functional languages are often slightly more concise than Scala, due to more type inference and more lightweight syntax.
- On the other hand, Scala's class hierarchies are more expressive in various ways, such as allowing
 - members (methods, fields, etc.) in the base and derived classes
 - multi-level hierarchies with multiple sealed trait inheritance

```
sealed trait EitherOrBoth[A, B]
case class Both[A, B](a: A, b: B) extends EitherOrBoth[A, B]
sealed trait Either[A, B] extends EitherOrBoth[A, B]
case class Left[A, B](a: A) extends Either[A, B]
case class Right[A, B](b: B) extends Either[A, B]
```

- non-sealed (i.e., open-ended) hierarchies
- existentials (similar to Generalized ADTs)

Languages Inspired by Functional Languages

The Expr algebraic data type example in Rust.

```
enum Expr {
  Number(i32).
  Sum(Box<Expr>, Box<Expr>),
fn eval(e: &Expr) -> i32 {
  match e {
    Expr::Number(n) => *n,
    Expr::Sum(e1, e2) \Rightarrow eval(e1) + eval(e2),
```

Notice low-level details related to memory management (Box).

Languages Inspired by Functional Languages

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```

Notice low-level details related to memory management (Box).

The Expr algebraic data type example in C.

```
typedef enum { NUMBER, SUM } ExprKind;
typedef struct Expr {
  ExprKind kind:
  union {
    struct { int n: } number:
    struct { struct Expr* e1: struct Expr* e2: } sum: } u:
} Expr;
int eval(Expr* e) {
  switch (e->kind) {
    case NUMBER: return e->u.number.n;
    case SUM: return eval(e->u.sum.e1) + eval(e->u.sum.e2); } }
```

Ugly and unsafe!

The Expr algebraic data type example in C.

```
typedef enum { NUMBER, SUM } ExprKind;
typedef struct Expr {
  ExprKind kind:
 union {
   struct { int n: } number:
   struct { struct Expr* e1: struct Expr* e2: } sum: } u:
} Expr;
int eval(Expr* e) {
  switch (e->kind) {
   case NUMBER: return e->u.number.n;
   case SUM: return eval(e->u.sum.e1) + eval(e->u.sum.e2); } }
Ugly and unsafe!
```

The Expr algebraic data type example in C++

We will not talk about C++ in this course

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Languages With Structural Typing

The Expr algebraic data type example in TypeScript.

```
type Expr = Num | Sum;
type Num = { kind: "number"; n: number; }
type Sum = { kind: "sum"; e1: Expr; e2: Expr; }
function evalExpr(e: Expr): number {
  switch (e.kind) {
   case "number": return e.n:
   case "sum": return evalExpr(e.e1) + evalExpr(e.e2);
   default: return assertNever(e); // For exhaustiveness checking
function assertNever(x: never): never { return x; }
```

Languages Inspired by Scala

Nowadays, many languages have adopted Scala-like pattern matching on sealed class hierarchies, including

- Kotlin
- Swift
- etc.
- and even modern/future Java versions