

UNIVERSIDADE FEDERAL DO RIO GRANDE DO NORTE INSTITUTO METRÓPOLE DIGITAL PROGRAMA DE PÓS-GRADUAÇÃO EM BIOINFORMÁTICA

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TÍTULO DE METAGENÔMICA: SUBTÍTULO

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Defesa de Mestrado apresentada ao Programa de Pós-Graduação em Bioinformática da Universidade Federal do Rio Grande do Norte.

Área de Concentração: Bioinformática Linha de Pesquisa: Biologia de Sistemas Orientador: Rodrigo Juliani Siqueira Dalmolin

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Natal, 31 de Setembro de 2024.

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	Gostaria de agradecer	sinceramente	a todos os	que	colaboraram	à execuçã	ίO
deste	trabalho.						

RESUMO

No resumo são ressaltados o objetivo da pesquisa, o método utilizado, as discussões e os resultados com destaque apenas para os pontos principais. O resumo deve ser significativo, composto de uma sequência de frases concisas, afirmativas, e não de uma enumeração de tópicos. Não deve conter citações. Deve usar o verbo na voz ativa e na terceira pessoa do singular. O texto do resumo deve ser digitado, em um único bloco, sem espaço de parágrafo. O espaçamento entre linhas é simples e o tamanho da fonte é 12. Abaixo do resumo, informar as palavras-chave (palavras ou expressões significativas retiradas do texto) ou, termos retirados de thesaurus da área. Deve conter de 150 a 500 palavras. O resumo é elaborado de acordo com a NBR 6028.

Palavras-chave: Palavra-chave 1. Palavra-chave 2.

ABSTRACT

Resumo traduzido para outros idiomas, neste caso, inglês. Segue o formato do resumo feito na língua vernácula. As palavras-chave traduzidas, versão em língua estrangeira, são colocadas abaixo do texto precedidas pela expressão "Keywords", separadas por ponto.

Keywords: Keyword 1. Keyword 2.

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ABNT Associação Brasileira de Normas Técnicas

Bacen Banco Central do Brasil

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1 INTRODUÇÃO

As orientações aqui apresentadas são baseadas em um conjunto de normas elaboradas pela Associação Brasileira de Normas Técnicas (ABNT). Além das normas técnicas, a Biblioteca também elaborou uma série de tutoriais, guias, *templates* os quais estão disponíveis em seu site, no endereço http://portal.bu.ufsc.br/normalizacao/.

Paralelamente ao uso deste *template* recomenda-se que seja utilizado o **Tutorial** de **Trabalhos Acadêmicos** (disponível neste link) e/ou que o discente **participe das** capacitações oferecidas da **Biblioteca Universitária da UFSC**.

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2 OBJETIVOS

Nas seções abaixo estão descritos o objetivo geral e os objetivos específicos.

2.1 GERAL

Descrição...Banco Central do Brasil (Bacen)

2.2 ESPECÍFICOS

Descrição...

CAPÍTULO 1

Artigo: Bridging the Gaps in Meta-Omic Analysis: Workflows and Reproducibility

Escrito por: João Vitor Ferreira Cavalcante, Iara Dantas de Souza, Diego Arthur de Azevedo Morais e Rodrigo Juliani Siqueira Dalmolin

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Commentary

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Bridging the Gaps in Meta-Omic Analysis: Workflows and Reproducibility

João Vitor Ferreira Cavalcante, lara Dantas de Souza, Diego Arthur de Azevedo Morais, and Rodrigo Juliani Sigueira Dalmolin^{1,2}

Abstract

The past few years have seen significant advances in the study of complex microbial communities associated with the evolution of sequencing technologies and increasing adoption of whole genome shotgun sequencing methods over the once more traditional Amplicon-based methods. Although these advances have broadened the horizon of meta-omic analyses in planetary health, human health, and ecology from simple sample composition studies to comprehensive taxonomic and metabolic profiles, there are still significant challenges in processing these data. First, there is a widespread lack of standardization in data processing, including software choices and the ease of installing and running attendant software. This can lead to several inconsistencies, making comparing results across studies and reproducing original results difficult. We argue that these drawbacks are especially evident in metatranscriptomic analysis, with most analyses relying on *ad hoc* scripts instead of pipelines implemented in workflow managers. Additional challenges rely on integrating meta-omic data, since methods have to consider the biases in the library preparation and sequencing methods and the technical noise that can arise from it. Here, we critically discuss the current limitations in metagenomics and metatranscriptomics methods with a view to catalyze future innovations in the field of Planetary Health, ecology, and allied fields of life sciences. We highlight possible solutions for these constraints to bring about more standardization, with ease of installation, high performance, and reproducibility as guiding principles.

Keywords: metagenomics, metatranscriptomics, pipelines, reproducibility, sustainable software, data integration

Perspective

SIGNIFICANT ADVANCES HAVE BEEN MADE IN microbiology and the study of microbial communities over the past decade. One notable breakthrough is amplicon sequencing, which allows scientists to study the taxonomic composition of an environmental sample. The nascent area of metagenomics was then significantly broadened by the development of whole-metagenome shotgun (WMS) sequencing, which enables the investigation of the full genetic content of samples. This allowed the analysis of functional pathways (Franzosa et al., 2018) as well as the discovery of new microorganisms through metagenome-assembled genomes (Breitwieser et al., 2019). Metagenomics has also contributed to the rise of new fields such as Planetary Health and One

Health that view human and ecosystem health intertwined and interdependent, proving to be an impactful approach for integrative areas of study. On the other hand, although WMS data processing software is now widely adopted in the scientific community, there are still multiple challenges in analyzing these data.

Installability, ease of use, and portability among different systems are central challenges in metagenomics and metatranscriptomics software. These difficulties can be mitigated through the use of different methods to improve software, such as code packaging and container technology, as well as toolset curation and workflow management software.

The intrinsic nature of computational science favors reproducibility in the execution of an analysis, as each step can be programmed or automated. However, this usually does

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not happen in practice. This is due to difficulties in software installation, execution, and documentation (Piccolo and Frampton, 2016). Bioinformatics software can be packaged in a multitude of ways, and even though there is no standard on how to best package and share your software, there are some principles that can be easily followed.

For example, prioritizing using a single software version throughout the analysis can boost reproducibility (Nüst et al., 2020). This can be enforced through the use of containerization software, like Docker or Singularity, and there already are plenty of initiatives seeking to standardize bioinformatics software packaging, like BioConda (https://bioconda.github.io/) and BioContainers (https://biocontainers.pro/). These technologies may significantly improve the installability and archival stability of software, leading to an increase in citation rates (Mangul et al., 2019). There is an enhancement in the reproducibility of an analysis and a potential increase in the research impact of it by packaging software and distributing their specific versions with technologies such as Conda, Docker, and Singularity.

In our opinion, no evaluation of metagenomics software has directly ascertained the adoption of software packaging and container technology, although there have been ease-of-use evaluations for metagenomics software (Lindgreen et al., 2016). This could point to a low adoption of these practices in the metagenomics community.

Lindgreen et al. (2016) have also pointed out that toolset choice has a significant impact in metagenomic analysis, not only in terms of computational performance but also in terms of accuracy. Therefore, toolset curation has become common among bioinformaticians, spawning many different scientific workflows for metagenomics data analysis, such as nf-core/mag (Krakau et al., 2022) and MEDUSA (Morais et al., 2022). Moreover, many workflow management software have also been created and adopted by the metagenomics community, primarily Snakemake, Nextflow, and Galaxy.

These tools can greatly enhance reproducibility and ease of use by mitigating platform-specific inaccuracies through their support for isolated task execution. Furthermore, they facilitate the integration of disjointed pieces of code, offering a comprehensive view of the entire analysis pipeline (Wratten et al., 2021). They also provide modularity, allowing users to choose different steps for their analysis. Workflow management software provides significant advantages for

metagenomics data processing. However, there is limited adoption of these technologies among bioinformaticians, even among those already involved in toolset curation.

While the limitations discussed here are common to both metagenomics and metatranscriptomics, or, rather, to bioinformatics as a whole, they become more evident with metatranscriptomic methods. Metatranscriptomics helps to provide a clearer understanding of the functional environment in a sample, in a way that is not easily done with metagenomics. For example, metatranscriptomics can show active microbes in a community and which metabolic pathways are most prevalent (Bashiardes et al., 2016), as well as elucidate pathogen–host interactions (Moniruzzaman et al., 2017). Despite its biological potential, there are few performance and accuracy benchmarks for metatranscriptomics pipelines (Shakya et al., 2019).

Additionally, we suggest that many metatranscriptomics pipelines [see Shakya et al. (2019) for an overview] do not adhere to the sustainable software principles mentioned in our present analysis (Table 1). We verified the source code repository and documentation for these pipelines and argue that the application of software packaging, container technology, and workflow management software, practices that enhance the reproducibility and long-term support of these pipelines, are still limited among these tools. We also acknowledge that there are other relevant criteria for their current implementation, such as a focus on web-based analysis, that was not within the scope of this comparison. Furthermore, improvements are evident in recent pipelines, which better tackle the reproducibility issue by implementing container technology such as Docker and Singularity (Taj et al., 2023). Nonetheless, the low adherence to software sustainability practices still shows the necessity for specific and sustainable methods for these types of data.

Methodologies for integrating multiomic data are still few and far between, and sometimes still rely on disconnected scripts without workflow management or containerization. Moreover, benchmarks of omic integration tools are usually restricted to a specific biological question of interest, and, therefore, not generalizable (Subramanian et al., 2020).

Still, there have been notable advances in multiomic data integration, particularly in the Galaxy community, with the development of three integrative meta-omic pipelines that, coupled with a web application, provide an end-to-end

TABLE 1. SELECTED METATRANSCRIPTOMICS PIPELINES AND THEIR COMPARISON IN RELATION TO THE SUSTAINABLE SOFTWARE PRINCIPLES MENTIONED IN THIS PAPER

Pipeline	Software packaging or container technology	Workflow management	Notes
MetaTrans	N/A	N/A	Website could not be accessed. http://www.metatrans.org
COMAN	N/A	N/A	Web server based.
FMAP	No	No	https://github.com/jiwoongbio/FMAP
SAMSA2	No	No	https://github.com/transcript/samsa2
HUMANn2	Yes (Conda)	No	https://github.com/biobakery/humann
SqueezeMeta	Yes (Conda)	No	https://github.com/jtamames/SqueezeMeta
IMP	Yes (Docker)	Yes (Snakemake)	https://git-r3lab.uni.lu/IMP/IMP
MOSCA	Yes (Conda)	Yes (Snakemake)	https://github.com/iquasere/MOSCA

Each of these pipelines was checked for applications of software packaging, container technology, and use of workflow management software. Access date: October 30, 2023.

N/A, not applicable.

analysis of meta-omic data (Schiml et al., 2023). This could motivate similar developments in other workflow orchestration software communities.

As with most software for bioinformatics, software for analyzing metagenomics and metatranscriptomics data need to be developed with clarity, reproducibility, and reusability as guiding principles. This is further supported when we look at the current metatranscriptomics and data integration ecosystems, these that are still nascent approaches to microbiome studies and therefore still show low adherence to these practices. A wider adoption of software sustainability guidelines in metagenomics and metatranscriptomics methods ensures future research and scientists of the high quality of these methods and their ability to stand the test of time, and it also paves the way for meta-omics to be an indispensable approach to various areas of study, such as ecology and Planetary Health.

Authors' Contributions

J.V.F.C. wrote the original draft and edited it. R.J.S.D. and I.D.d.S. contributed to the discussion of the topic. R.J.S.D., J.V.F.C., and D.A.d.A.M. conceived the original idea. All authors contributed to the drafts and approved the final manuscript.

Author Disclosure Statement

The authors declare there are no conflicting financial interests.

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Abbreviation Used

WMS = whole-metagenome shotgun

CAPÍTULO 2

Artigo: EURYALE: A versatile Nextflow pipeline for taxonomic classification and functional annotation of metagenomics data

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Artigo a ser submetido no periódico BioSystems

EURYALE: A versatile Nextflow pipeline for taxonomic classification and functional annotation of metagenomics data

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Abstract-EURYALE is a Nextflow pipeline designed for the sensitive taxonomic classification and flexible functional annotation of metagenomic shotgun sequences. It provides a comprehensive solution for preprocessing, assembly, alignment, taxonomic classification, and functional annotation of metagenomic data. EURYALE builds upon the Snakemake-based pipeline MEDUSA. EURYALE inherits the tools present in MEDUSA, selected based on rigorous benchmarks for performance, accuracy, and sensitivity. The new pipeline has been developed with the nf-core pipeline template, which focuses on modularity, allowing a high degree of parameterization. EURYALE provides easier resource management, enforcing strict memory and CPU requirements based on its Nextflow configuration. It has also become more versatile, as it can be executed using Docker and Singularity, which further extends its usability across various platforms. It can also natively take advantage of computational infrastructures such as SLURM and Amazon Web Services. EURYALE inherits the sensitivity in taxonomic classification and flexibility in functional annotation of its predecessor, combined with improved versatility.

Index Terms—Metagenomic Analysis; Nextflow; Taxonomic Classification; Functional Annotation; Pipeline

I. Introduction

The growth of metagenomics in the last few years as the main approach to study complex microbial communities is apparent, with many different methodologies arising to process whole-metagenome shotgun (WMS) data. Metagenomics consists of an approach to sequence the genetic content of an environmental sample, be that environment a host-associated tissue, like the human gut, be it something like a soil sample.

Metagenomics has typically been restricted, in the past, to amplicon sequencing, an approach nowadays referred to as 'Metataxonomics' [1], which allows researchers to investigate the taxonomic composition of a sample by sequencing specific genomic regions, such as the 16S region in bacteria. WMS, on

the other hand, provides not only these specific regions, but a more representative genetic content of a sample, allowing for bacterial assembly, as well as investigations of functional information.

WMS data, due to its size and complexity, presents a series of challenges in regards to its processing. The main challenges that methodologies aim to tackle are: Performance issues and data storage, as metagenomic datasets can be large and unwieldy [2], which can be improved by making metagenomic pipelines usable in cloud environments [3]; Sequence contamination from other sources [4]; And useful and easy-to-parse results, given the inherent complexity of these data [5].

The methods to process WMS data can be broadly divided into two: Assembly-free methods, i.e., those that rely on direct read classification; and assembly-based methods, i.e., methods that first perform read assembly into contigs prior to classification and annotation [6].

Assembly-free methods provide an advantage to assembly-based methods particularly regarding performance, but advantages have also been noted in using these methods with low coverage data, where assembly-based ones can often lead to inaccurate results [7]. Additionally, assembly-free methods have been used to study the biodiversity in marine environments [8] as well as compositional differences in major depressive disorder [9], showing its potential to empower scientific discovery.

Among metagenomics methods, most have organized themselves into pipelines orchestrated by workflow managers, such as Nextflow [10] and Snakemake [11], which increase modularization, parameterization, portability and ease of installation for these softwares [12][13]. Although pipelines covering most of the metagenomic analysis process through assembly-

based strategies, such as nf-core/mag [14], Metaphor [15] and MGnify [16], are widespread, assembly-free methods are under explored and pipelines are few and far between.

One highlight among assembly-free methods is the MEDUSA¹ pipeline [18], which was built based on careful benchmarking of multiple WMS data analysis tools, which were finally brought together in a pipeline orchestrated by Snakemake. Although MEDUSA proved to be a great advance in this field, there are some implementation details that could be improved. First, portability was limited: MEDUSA could only run through a BioConda-based environment [19], which is error-prone and less stable in the long term than a Docker or Singularity image [20]. Secondly, MEDUSA's DSL of choice, Snakemake, has proven hard to work with and maintain, which is something that others in the field of workflow development have observed [21][22][20], with most preferring Nextflow. Lastly, MEDUSA implemented hard-coded references in its code which were bothersome to change, requiring the user to directly alter the pipeline's source code.

In this context, we re-implemented MEDUSA's software in a new, Nextflow-orchestrated pipeline, called EURYALE, which is more portable, providing dedicated Docker and Singularity images, as well as Nextflow's native support of different HPC schedulers. It is also more parameterizable, removing the need for direct source code modifications. Euryale, as in Greek mythology, is the elder, immortal sister of Medusa, and this name represents the long-term support and stability we aim to provide with this new pipeline. Here we present the decisions we took in developing EURYALE and the results that can be acquired from it.

II. METHODS

EURYALE was implemented using the nf-core [23] pipeline template, with each software included as part of the pipeline made available through BioConda [19] and BioContainers [24], enabling execution through conda, Docker and Singularity. The nf-core pipeline template and NextFlow enable high parameterization and customizable resource allocation, while also integrating well with High Performance Computing (HPC) schedulers, such as SLURM (https://slurm.schedmd.com/), as well as cloud environments, such as Amazon Web Services.

MicroView, included as a reporting tool in EURYALE, is a tool implemented in the Python programming language. It creates static HTML reports containing diversity information for a taxonomic profiling sample resulting from Kraken 2 [25] or Kaiju [26]. In each file, only the taxonomically classified reads (i.e., the reads properly attributed to a known taxon) are considered for diversity calculations. The abundance corresponds to the number of reads attributed to a given taxon. The diversity measures used, i.e. the Shannon index and Bray-Curtis distances, were computed using these read quantifications through the alpha_diversity and beta_diversity functions provided by SciKit-bio [27].

¹Distinct and separate from MEDUSA as described by F. H. Karlsson, I. Nookaew, and J. Nielsen [17].

III. RESULTS AND DISCUSSION

A. Implementation details

MEDUSA's tools were fully reimplemented in EURYALE (Fig. 1), including custom solutions implemented in the original MEDUSA like the annotate package for functional annotation. EURYALE consists of 5 general steps or "subworkflows", the first of which comprises general read preprocessing. This step is then followed by host read removal, in the case of samples coming from hosts with known reference genomes, such as human microbiome data. We can then perform an optional - and disabled by default - assembly step, which comes prior to the taxonomic classification and functional annotation. Every step can be skipped, ensuring high customization to EURYALE's users.

Both MEDUSA and EURYALE have as main inputs the FASTQ files containing reads, as well as an assortment of reference databases, for both the taxonomic classification and the functional annotation. While MEDUSA downloaded the references which were directly defined in its source code, EURYALE relies exclusively on user defined references, allowing for a more fine-grained customization. Additionally, EURYALE provides a separate workflow to download the same set of references as MEDUSA's, in case full compatibility with previous results is required.

Below we provide an example command for executing the 'download' entry for EURYALE, which acquires these references. The different parameters select which references will be downloaded in this execution. Further details are explained in the EURYALE documentation: https://dalmolingroup.github.io/euryale/.

```
nextflow run dalmolingroup/euryale \
--download_functional \
--download_kaiju \
--download_host \
--outdir <output directory > \
-entry download \
-profile docker
```

EURYALE provides a new option for taxonomic classification, Kraken 2 [25], which was previously restricted to Kaiju [26]. This decision was taken in view of the Kraken's team active development and high adoption by the metagenomics community, seen by the recent benchmarks which point to better precision and sensitivity when compared to other softwares[28][29][25].

One departure from MEDUSA was to remove steps that downloaded reference data, since these were prone to failure and required the user to manually alter source code in case of changing the reference databases to be used. Now EURYALE has over 20 parameters that can be added and modified in each execution by simply changing them on the command line, guaranteeing better adaptation to distinct use cases. By taking advantage of the nf-core infrastructure and template for creating pipelines [23], EURYALE also provides a high degree of parameterization for resource allocation, with different



Fig. 1. Diagram showing each step of the EURYALE pipeline, starting with FastQ reads as input. Circles represent steps that are enabled by default, while squares represent steps that are optional or disabled by default.

process labels that specify the number of CPUs and amount of RAM to be used by each process, which are strictly enforced when using cloud environments or HPC schedulers.

Below we provide an example command for executing all of EURYALE's subworkflows in a Docker environment. The input to the pipeline is all samples, one-per-line, in a commaseparated table with sample name being the first column and the other two being paired FastQ files. The pipeline needs, by default, references for the Kaiju database - or Kraken 2 if you chose that taxonomy profiler - the FASTA reference file for DIAMOND [30], a FASTA reference for the host genome, in case the host read removal subworkflow is enabled, and an ID mapping file between the gene IDs in the reference and the desired functional database.

```
nextflow run dalmolingroup/euryale \
--input samplesheet.csv \
--outdir < output directory > \
--kaiju_db < kaiju database > \
--reference_fasta < reference FASTA> \
--host_fasta < host reference FASTA> \
--id_mapping < ID mapping file > \
-profile docker
```

B. Automated Reporting

One important change from MEDUSA to EURYALE is the enhancements regarding automated report creation and information interchange between the pipeline and its end user. In MEDUSA, we started working towards this with per-sample Krona [31] visualizations that provide a simple overview over the proportion of each species' presence in each sample. Now, in EURYALE, apart from these same reports, we have also implemented two more: MultiQC [32] reports that show general quality control metrics and other measurements throughout various processes and MicroView reports, which have been customly developed for dealing with the taxonomic classification data coming from the results of this pipeline.

MultiQC reports contain a brief overview of nearly every tool included as part of EURYALE, containing visualizations regarding sequence preprocessing (Fig. 2A), alignment and taxonomic classification (Fig. 2B). Visualizations such as these can both serve as quality control measures as well as indicate which taxa are more abundant in your samples, potentially generating insights about microbial biomarkers.

Apart from this, EURYALE also implements a new tool for reporting: MicroView. This new tool is focused towards calculating common diversity metrics based on the read classification data and providing simple, but insightful, visualizations about these metrics. Currently supported in this version of EURYALE are visualizations for Bray-Curtis beta-diversity, which is used to plot a Principal Coordinate Analysis, the Shannon index and Pielou evenness (Fig 3), making exploratory biodiversity analyses easily available, which could point to differences in biodiversity or species' evenness among different groups of samples, or the general distribution of these measures, as the figure illustrates.

We see these automated reports as a strong improvement from the previous version, as they increase the pipeline's usability, giving general directions on how to better explore your data and facilitating the generation of new research questions.

IV. CONCLUSIONS

EURYALE, a novel metagenomics pipeline, bases itself upon previous well-curated pipelines like MEDUSA, taking its sensitivity, while improving its versatility. The pipeline adds support for container technology through Docker and Singularity; Native support for different HPC schedules as well as cloud environments; And more parameterization, allowing the user to choose different references and select specific pipeline steps to run. These changes have the potential to solve many issues common to WMS data analysis. Furthermore, EURYALE builds upon MEDUSA by adding new automated reports, providing metrics on data quality control, taxonomic classification and diversity, enhancing the methodology's usability as well as empowering its users to quickly generate new scientific insights. Overall, EURYALE, a novel Nextflow pipeline for WMS data analysis, advances metagenomics data

processing by tackling some of its main issues, particularly regarding computational versatility and interpretability of results.

CODE AVAILABILITY STATEMENT

EURYALE is available through a GitHub repository, which can be found at https://github.com/dalmolingroup/euryale, with documentation available through https://dalmolingroup.github.io/euryale/. MicroView, although executed as part of EURYALE, can be executed stand-alone and has its own source code available in the following repository: https://github.com/dalmolingroup/microview.

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Fig. 2. Example figures included as part of EURYALE's MultiQC report. A: Figure for the quality control section, showing the number of reads filtered out in each sample by fastp and their respective thresholds for filtering. B: Figure for the taxonomic classification section, showing the percentage of reads assigned to different taxa in each sample by Kaiju. In the HTML report the figures are interactive.



Fig. 3. Figure included as part of the EURYALE's MicroView report. It shows a scatterplot of Pielou Evenness and Shannon's diversity in each sample, represented by a dot. The size of each dot corresponds to the number of distinct species classified in each sample. In the HTML report the figure is interactive.

CAPÍTULO 3

Artigo: Metagenomic meta-analysis reveals altered metabolic profiles in Major Depressive Disorder patients

Escrito por: João Vitor Ferreira Cavalcante, Julia Apolonio Amorim, Vasiliki Lagou e Rodrigo Juliani Siqueira Dalmolin

Artigo a ser submetido no periódico Journal of Affective Disorders

3 DISCUSSÃO

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4 CONCLUSÃO

As conclusões devem responder às questões da pesquisa, em relação aos objetivos e às hipóteses. Devem ser breves, podendo apresentar recomendações e sugestões para trabalhos futuros.

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