

Algorithm: *search* (k)

Input: search key value k

Output: pointer to B⁺ tree page containing potential hit(s)

return *tree_search* (*root*, k); // *root* denotes the root page of the B⁺ tree

Algorithm: *tree_search* (p , k)

Input: current page p , search key value k

Output: pointer to B⁺ tree page containing potential hit(s)

if *leaf*(p) then

 return p ;

else

 if $k < k_1$ then

 return *tree_search* (p_0 , k);

 else

 if $k \geq k_m$ then

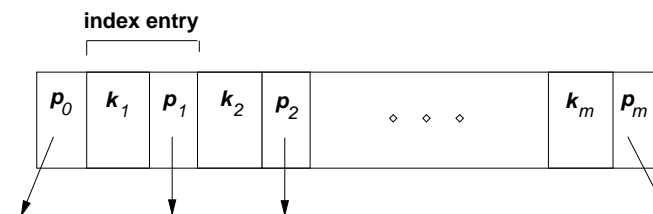
 return *tree_search* (p_m , k);

 else

 find i such that $k_i \leq k < k_{i+1}$;

 return *tree_search* (p_i , k);

// layout of p :



Algorithm: $insert(p, k^*)$

Input: current page p , entry k^* to be inserted

Output: entry propagated upwards the tree (NULL if no further propagation)

```

 $m \leftarrow \#entries(p);$ 
if  $\neg leaf(p)$  then
    on  $p$ , find  $i$  such that  $k_i \leq k < k_{i+1};$ 
     $n \leftarrow insert(p_i, k^*);$ 
    if  $n = \text{NULL}$  then
        return NULL;
    else
        if  $m < 2 \cdot d$  then
            insert  $n$  into  $p$ ;
            return NULL;
        else
            //  $m + 1 = d + \underbrace{1}_{k'} + d$ 
            split  $p$  into  $p$  and new page  $p'$ ,
            first  $d$  keys and  $d + 1$  pointers stay on  $p$ ,
            last  $d$  keys and  $d + 1$  pointers go to  $p'$ ;
             $n \leftarrow \langle \text{middle key } k', addr(p') \rangle;$ 
            if  $root(p)$  then
                 $r \leftarrow \text{new empty node};$ 
                 $root(r) \leftarrow \text{true};$ 
                insert  $addr(p)$  into  $r$ ; // as  $p_0$ 
                insert  $n$  into  $r$ ;
                return NULL;
            else
                return  $n$ ;
else
    //  $p$  is a leaf node
    if  $m < 2 \cdot d$  then
        insert  $k^*$  into  $p$ ;
        return NULL;
    else
        //  $m + \underbrace{1}_{k^*} = 2 \cdot d + 1$ 
        split  $p$  into  $p$  and new page  $p'$ ,
        first  $d$  entries stay on  $p$ , last  $d + 1$  entries go to  $p'$ ;
         $n \leftarrow \langle \text{smallest value } k' \text{ on } p', addr(p') \rangle;$ 
        return  $n$ ;

```

Algorithm: *delete* (p, k)

Input: current page p , key value k to be deleted

Output: key value to be deleted in p 's parent
(NULL if no further deletion in parent)

```

 $m \leftarrow \#entries(p);$ 
if  $\neg leaf(p)$  then
    //  $p$  is a non-leaf node
    find  $i$  such that  $k_i \leq k < k_{i+1}$ ;
     $n \leftarrow delete(p_i, k);$ 
    if  $n = \text{NULL}$  then
        return NULL;
    else
        remove entry with key  $n$  from  $p$ ;
        if  $root(p) \wedge m = 1$  then
            // last entry in root deleted, determine new root
             $root(addr(p_0)) \leftarrow true;$ 
            delete  $p$ ;
            return NULL;
        if  $m > d$  then
            return NULL;
        else
            // underflow in  $p$ 
             $p' \leftarrow sibling(p);$  // wlog:  $p'$  right sibling of  $p$ 
            if  $\#entries(p') > d$  then
                // non-leaf node redistribution
                move smallest entry of  $p'$  ( $= \langle k', p'_0 \rangle$ ) into  $p$ ;
                 $swap(separator(p, p'), \text{key value } k' \text{ in } p);$ 
                return NULL;
            else
                // merge non-leaf nodes  $p, p'$ 
                insert  $separator(p, p')$  into  $p$ ;
                move all entries from  $p'$  to  $p$ ;
                delete  $p'$ ;
                return  $separator(p, p')$ ;
else
    :
    // leaf node handling: see next slide
    :

```

Algorithm: *delete* (p, k)
Input: current page p , key value k to be deleted
Output: key value to be deleted in p 's parent
 (NULL if no further deletion in parent)

```

 $m \leftarrow \#entries(p)$ ;
if  $\neg leaf(p)$  then
    :
    // non-leaf node handling: see previous slide
    :
else
    //  $p$  is a leaf node
    if  $k$ * found on  $p$  then
        remove  $k$ * from  $p$ ;
    else
        return NULL;
    if  $m > d$  then
        return NULL;
    else
        // underflow in  $p$ 
         $p' \leftarrow sibling(p)$ ;    // wlog:  $p'$  right sibling of  $p$ 
        if  $\#entries(p') > d$  then
            // leaf node redistribution
            move entry from  $p'$  to  $p$ ;
             $separator(p, p') \leftarrow$  smallest key value on  $p'$ ;
            return NULL;
        else
            // merge leaf nodes  $p, p'$ 
            move all entries from  $p'$  to  $p$ ;
            delete  $p'$ ;
            return  $separator(p, p')$ ;

```

- ▶ $separator(p, p')$ represents the separating key value k in the common parent node of siblings p and p' .
- ▶ $\#entries(p)$ computes the number of actually occupied entries in B^+ tree node p .
- ▶ $swap(x, y)$ exchanges the values of x and y .

Algorithm: $hsearch(k)$

Input: search for hashed record with key value k

Output: pointer to hash bucket containing potential hit(s)

```

 $n \leftarrow \boxed{n}$ ;           // global depth of hash directory
 $b \leftarrow h(k) \ \& \ (2^n - 1)$ ;
return  $bucket[b]$ ;

```

Algorithm: $hinsert(k*)$

Input: entry $k*$ to be inserted

Output: new global depth of extendible hash directory

```

 $n \leftarrow \boxed{n}$ ;           // global depth of hash directory
 $b \leftarrow hsearch(k)$ ;
if  $b$  has capacity then
    place  $h(k)*$  in bucket  $b$ ;
    return  $n$ ;
else
    // bucket  $b$  overflows, we need to split
     $d \leftarrow \boxed{d}$ ;           // local depth of bucket  $b$ 
    create a new empty bucket  $b2$ ;
    // redistribute entries of bucket  $b$  including  $h(k)*$ 
    for each  $h(k')*$  in bucket  $b$  do
        if  $h(k') \ \& \ 2^d \neq 0$  then
            move  $h(k')*$  to bucket  $b2$ ;
     $\boxed{d} \leftarrow d + 1$ ;       // new local depth of buckets  $b$  and  $b2$ 
    if  $n < d + 1$  then
        // we need to double the directory
        allocate  $2^n$  directory entries  $bucket[2^n \dots 2^{n+1} - 1]$ ;
        copy  $bucket[0 \dots 2^n - 1]$  into  $bucket[2^n \dots 2^{n+1} - 1]$ ;
         $n \leftarrow n + 1$ ;
         $\boxed{n} \leftarrow n$ ;
     $bucket[(h(k) \ \& \ (2^{n-1} - 1)) \mid 2^{n-1}] \leftarrow addr(b2)$ ;
return  $n$ ;

```

Remarks:

- $\&$ and \mid denote **bit-wise and** and **bit-wise or** (just like in C, C++)
- The directory entries are accessed via the array $bucket[0 \dots 2^{\boxed{n}} - 1]$ whose entries point to the hash buckets.

Algorithm: $hsearch(k)$

Input: search for hashed record with key value k

Output: pointer to hash bucket containing potential hit(s)

```

 $b \leftarrow h_{level}(k);$ 
if  $b < next$  then
    // bucket  $b$  has already been split,
    // the record for key  $k$  may be in bucket  $b$  or bucket  $2^{level} \cdot N + b$ ,
    // rehash:
     $b \leftarrow h_{level+1}(k);$ 
return  $bucket[b];$ 

```

Algorithm: $hinsert(k*)$

Input: entry $k*$ to be inserted

Output: none

```

 $b \leftarrow h_{level}(k);$ 
if  $b < next$  then
    // bucket  $b$  has already been split, rehash:
     $b \leftarrow h_{level+1}(k);$ 
place  $h(k)*$  in  $bucket[b];$ 
if  $full(bucket[b])$  then
    // the last insertion triggered a split of bucket  $next$ 
    allocate a new bucket  $b'$ ;
     $bucket[2^{level} \cdot N + next] \leftarrow b';$ 
    // rehash the entries of bucket  $next$ 
    for each entry with key  $k'$  in  $bucket[next]$  do
        place entry in  $bucket[h_{level+1}(k')];$ 
     $next \leftarrow next + 1;$ 
    // did we split every bucket in the original hash table?
    if  $next > 2^{level} \cdot N - 1$  then
        // hash table size has doubled, start a new round now
         $level \leftarrow level + 1;$ 
         $next \leftarrow 0;$ 
return ;

```

Remarks:

- $bucket[b]$ denotes the b th bucket in the hash table.
- Function $full(\cdot)$ is a tunable parameter: whenever $full(bucket[b])$ evaluates to *true* we trigger a split.

- $hdelete(k)$ for a linear hash table can essentially be implemented as the inverse of $hinsert(k)$:

Algorithm: $hdelete(k)$

Input: key k of entry to be deleted

Output: none

```
⋮
  if  $empty(bucket[2^{level} \cdot N + next])$  then
    // the last bucket in the hash table is empty, remove it
    remove  $bucket[2^{level} \cdot N + next]$  from hash table;
     $next \leftarrow next - 1$ ;
    if  $next < 0$  then
      // round-robin scheme for deletion
       $level \leftarrow level - 1$ ;
       $next \leftarrow 2^{level} \cdot N - 1$ ;
  ⋮
```