



# Self-supervised learning: non-generative representation learning

Lesson No. 07

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#### 1 Introduction

In Machine Learning, a supervised learning model tries to predict a label of a given data after been exposed to a dataset that matches each data to its respective true label. The problem is that sometimes it is very hard or even impossible to have a label for each data, being necessary to use another type of model. In this scenario, a self-supervised leaning model can be useful, because it can handle this problem even without someone explicitly tells what are the labels.

In this summary it will be discussed self-supervised models, and it will be detailed the state-of-the-art called SimCLR [1].

## 2 Formal Definition of Self-supervised Learning

A self-supervised model is defined as being a model that automatically creates a supervisory signal of the given dataset to resolve the given task. The way that this model generates this signal depends on the context, and in this summary it will be presented how SimCLR labels images in the training process.

### 3 SimCLR

SimCLR is the current state-of-the-art of self-supervised models, it is a framework for contrastive learning of visual representations. The main idea is to compare each data and use this comparisons to learn useful representations of the data.

The first step of this framework is the data augmentation. For each image  $x_i$  in dataset, some filters were applied to them, creating a new image  $x_j$ . Then, a base encoder, which is a convolutional neural network variant based on the ResNet architecture [2] and is denoted as  $f(\cdot)$ , is applied to  $x_i$  and  $x_j$ , generating  $f(x_i) = h_i$  and  $f(x_j) = h_j$ . The next step is the projection head, denoted as  $g(\cdot)$ , that is responsible to calculate the non-linear projection of h, generating  $g(h_i) = z_i$  and  $g(h_j) = z_j$ , using a Multilayer Perceptron (MLP) in a way that maximizes the agreement between  $z_i$  and  $z_j$ . All this steps can be seen in Figure 1.

#### 3.1 Training

The training process starts with a batch of images of the dataset, then for each image in this batch the following process occur: all the parameters of the encoder and projection head are optimized in a way to minimize the loss function, given by Equation 2, known as NT-Xent, which normalizes the temperature-scaled cross entropy. The main idea is to maximizes the similarity between a projection  $z_i$  of an image  $x_i$  and the projection  $z_j$  of its augmented image  $x_j$ , and minimizes the similarity between  $z_i$  and the projection of other images of the batch. The similarity function could be computed using for example the cosine similarity, given by Equation 1.

$$s_{i,j} = \frac{x_i \cdot x_j}{\|x_i\| \cdot \|x_j\|} \tag{1}$$

$$\ell_{i,j} = -\log \frac{\exp(s(z_i, z_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(s(z_i, z_k)/\tau)}$$
(2)

where  $\mathbb{1}_{[k\neq i]} \in 0, 1$  is an indicator function evaluating to one if and only if  $k \neq i$  and  $\tau$  is a temperature parameters.

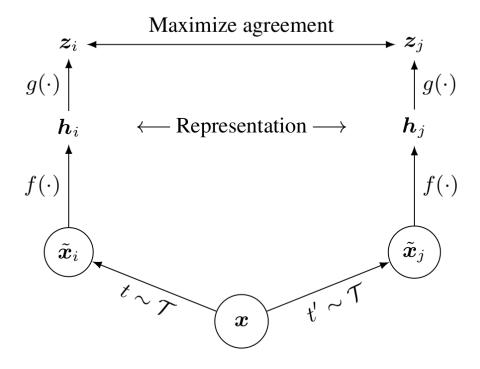


Figure 1. SimCLR Framework.

#### 3.2 Results

SimCLR reached as good results as some supervised prediction models, which can be seen in the graph of Figure 2. This results are obtained using the concept of Linear Evaluation Protocol, which consists of using the result  $h_i$  of the encoder of an image  $x_i$  and the true label of  $x_i$  to train a linear classifier, like Linear Regression, and then calculates the accuracy. An image of the results using different transformations can be seen in Figure 3, where the transformation *crop* with *colors changes* represent the best transformations.

#### 4 Conclusion

In this summary it was possible to understand what is a self-supervised model and its difference between a supervised model. It was also explained how it works the state-of-the-art SimCLR, detailing the training process with an image representation and the formulas used, and, in the end, how well this model is compared to others.

#### References

- [1] T. Chen, S. Kornblith, M. Norouzi, and G. Hinton, *A simple framework for contrastive learning of visual representations*, 2020. eprint: arXiv:2002.05709.
- [2] K. He, X. Zhang, S. Ren, and J. Sun, *Deep residual learning for image recognition*, 2015. arXiv: 1512.03385 [cs.CV].

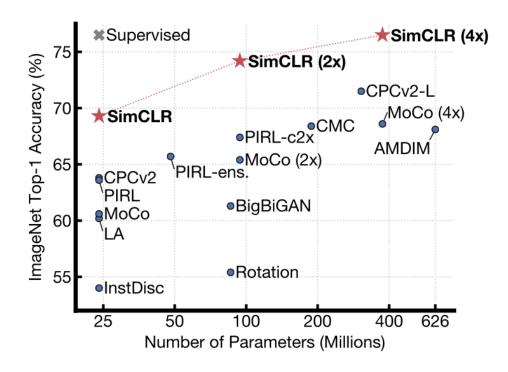


Figure 2. SimCLR results compared to other models.



Figure 3. SimCLR linear evaluation over transformations.