

# Systems for Machine Learning: Final Report

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- Avg  $\equiv$  Averaged over three trials for each sample ( $n = 9$  total) in department machine
- Row major ordering assumed in every implementation
- Training-centric papers [Lin+17][Par+17b][Xia+18][Lin+17][Sha+16] not discussed

## 1 Develop a Convolution function in C/C++

### 1.1 Results

Convolution elapsed time (avg): 0.169sec

## 2 Quantization, performance-accuracy tradeoff

### 2.1 Results

NRMSE (avg): calculated with  $X$  quantized convolution output and  $Y$  floating-point convolution output

	NRMSE (avg)	Convolution (avg sec)	Quantization (avg sec)
INT32	$4.90 \times 10^{-8}$	0.137	$3.25 \times 10^{-3}$
INT16	$9.93 \times 10^{-5}$	0.132	$2.49 \times 10^{-3}$
INT8	$1.22 \times 10^{-2}$	0.126	$2.58 \times 10^{-3}$

### 2.2 Choosing scaling factor

For reported experiments, I used the following scales:

Scale	Input	Kernel
INT32	$2^{19}$	$2^{19} \times 500$
INT16	$2^7$	$2^7 \times 500$
INT8	1	$1 \times 500$

I provide the justification below.

- Given a datatype (e.g., INT8), quantized values are bounded by its min/max values (-128 to 127 for INT8). In terms of minimizing information loss, we want as many as input values to fall into this bounded range; that is, we want a scaling factor that transforms given data values into the range of the datatype.
- The problem is that the DNN input and kernel weights typically have different distribution. Below are the statistics of sample tensors;
  - Sample 1: Input  $0.0731 \pm 0.0137 \iff$  Kernel  $-0.000486 \pm 0.000168$
  - Sample 2: Input  $-0.252 \pm 0.0202 \iff$  Kernel  $-0.000358 \pm 0.0000600$
  - Sample 3: Input  $0.145 \pm 0.0281 \iff$  Kernel  $-0.000937 \pm 0.0000171$
- If we were to scale them by the same factor, one of below scenarios happen:
  - If we pick a scaling factor that minimizes input information loss, most of the kernel values will be quantized to zero, resulting in zero convolved values.

- If we pick a scaling factor that minimizes kernel information loss, most of the input values will be clamped to either `INT?_MAX` or `INT?_MIN`, resulting in intolerable computation error.
- Therefore, to scale the input and kernel values to a similar range, we scale kernel values  $500\times$  more than input values (see below).
  - Sample 1: Input  $0.0731 \pm 0.0137 \iff \text{Kernel}(500\times) -0.243 \pm 0.0840$
  - Sample 2: Input  $-0.252 \pm 0.0202 \iff \text{Kernel}(500\times) -0.179 \pm 0.0300$
  - Sample 3: Input  $0.145 \pm 0.0281 \iff \text{Kernel}(500\times) -0.468 \pm 0.00857$
- Then, what is left is to determine a scaling factor for input. To search in logarithmic scale, I simply let the input scaling factor  $S = 2^N (N \in \mathbf{Z}^+)$  and sought for exponent  $N$  that minimizes NMRSE. Below log-log plot shows NRMSE (averaged over samples) of INT8, INT16 and INT32 versus input scaling factor.

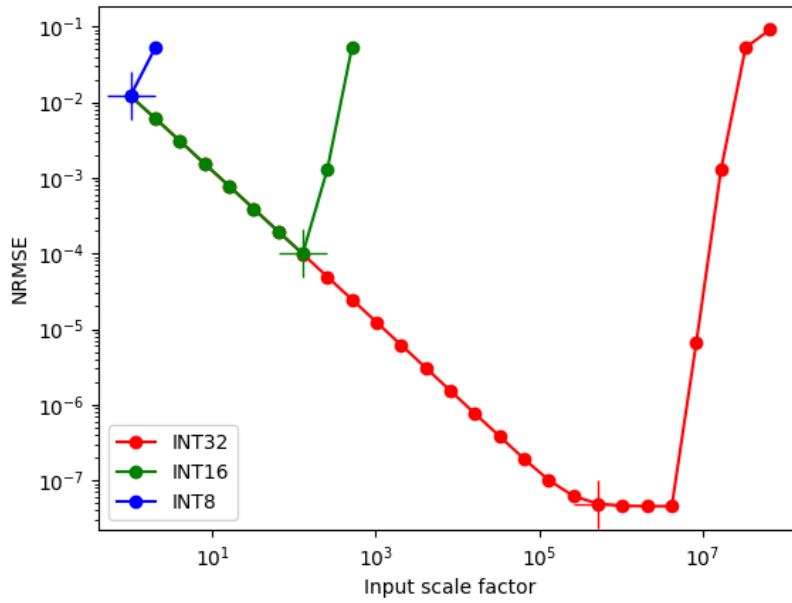


Figure 1: V-curve of NRMSE versus scaling factor

- To some degree, an increase in scaling factor results in a decrease in information loss (by quantization), and therefore, NRMSE decreases. But once the quantized values begin to be clamped by `INT?_MAX` or `INT?_MIN`, NRMSE explosively increases.
- From this observation, I chose large scaling factors as possible (marked by +) that doesn't lead to clamping. Note that INT32 tolerates larger scaling factors than INT16 due to its larger bitwidth, and the same holds between INT16 and INT8.

### 3 CPU vectorization with lower precision

#### 3.1 Results

(Quantization: used same code from problem 2, so not measured)

	NRMSE (avg)	Convolution (avg sec)
FP32	$4.80 \times 10^{-8}$	0.0187
INT32	$4.90 \times 10^{-8}$	0.0202
INT16	$9.93 \times 10^{-5}$	0.0131

## 4 GPU vectorization

### 4.1 Results

NRMSE (avg):  $4.61 \times 10^{-8}$

Convolution elapsed time (avg): 0.00421sec

## 5 Performance-Accuracy Tradeoff

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### 5.1 Visualization

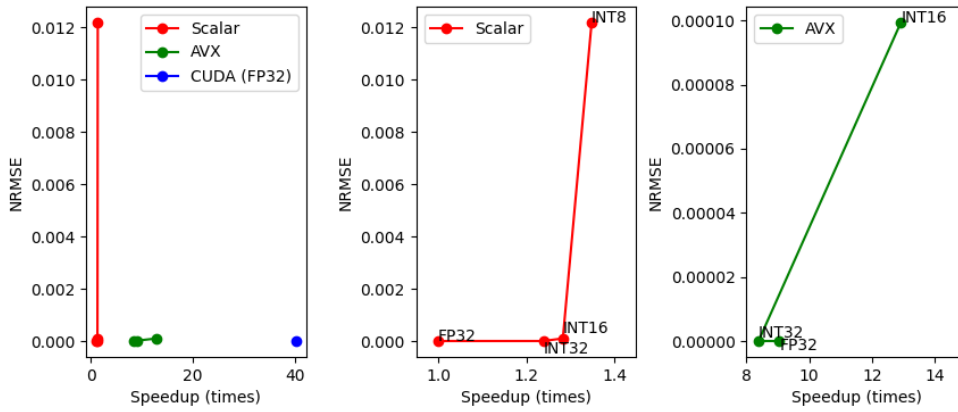


Figure 2: Left shows summarized NRMSE versus speedup relative to scalar-FP32, and middle/right show quantization-only / quantization with AVX+pthread.

### 5.2 Tradeoff Analysis

#### 5.2.1 Implementation strategies worth mentioning

- Quantization
  - Scaled values that exceed quantization range were clamped to `INT?_MAX` or `INT?_MIN`.
  - As scaling was always performed to floating-point values (before quantization/after restoration), scaling factor  $S$  was declared as a floating point value.
- Convolution
  - To avoid over/underflow,  $N$ -bit integer multiplication was always held after sign-extending to larger-bit integers, both in scalar (`int64_t`) and AVX+pthread ( $2N$ -bit).
  - In CUDA, I used an input stationary dataflow to maximize on-chip data reuse [Che16].

#### 5.2.2 Comparing accuracy loss and performance

In discussed papers, following factors are primarily considered for evaluation of DNN accelerators:

- Performance (throughput/latency) & energy
- Area & power
- Accuracy loss (EIE[Che16], BitFusion[Sha+17], SCNN [Par+17a])

As we have no model nor simulator for inferring energy consumption and area [Par+17a][Jou+17], we first evaluate based on speedup, accuracy loss and their tradeoff. In this regard, **input stationary GPU parallelization achieves  $\sim 40\times$  speedup while not compromising accuracy loss**, so it seems to be the best option [Che16].

### 5.2.3 Considering energy efficiency

When we weight energy efficiency, other implementations could be preferred over GPU parallelization which exploits 32-bit floating-point multiplication. **Floating-point multiples are energy- and area-inefficient**; 16-bit floating-point multiples use  $6\times$  more energy and  $6\times$  more area than eight-bit integer multiples [Jou+17]. **using GPU itself can be problematic in terms of energy** because the whole input and kernel in DRAM (or swap disk) should be loaded into off-chip memory and on-chip storage before computation [Sha+17].

Long story short, considering energy efficiency, **I suggest that AVX-INT16 can be considered a competitive candidate to CUDA in terms of performance-accuracy tradeoff**. Justification is presented below.

First, we exclude quantized scalar operations from consideration because they provide marginal speedup of  $< 1.4\times$ . This leaves us CPU-based parallelization with AVX+threads, which provide a better tradeoff of  $\sim 10\times$  speedup over quantized scalar in cost of same NRMSE. Interestingly, **quantization does not always guarantee a speedup over floating-point operation; AVX-INT32 takes 8% more time to FP32, while NRMSE is 2% higher**. Why does AVX-INT32 fail to achieve a better tradeoff? Explanation is given in the next subsection.

### 5.2.4 Why AVX-INT16?: Inefficiency of INT32 quantization

The main reason seems to be the cumbersome AVX2 operations needed for accurate integer multiplication. **To prevent over/underflow or truncation of multiplication results, quantization-based accelerators like BitFusion assign larger bitwidth for partial-/final-sum (e.g. 32-bit) than operands (e.g. 2- and 4-bit)** [Sha+17]. Although such a process is effectively achieved by hardware implementation involving bit shifts [Li+18] [Sha+17], implementation with AVX operations is tricky.

Take INT32 for example. Given operand `_mm256` vectors  $[x_1\dots x_8]$  and  $[y_1\dots y_8]$  of 4-byte values, our goal is to multiply-and-add the values ( $\text{psum} = x_1 * y_1 + \dots + x_8 * y_8$ ). For precision, the values are first sign-extended to 8-byte and compose new `_mm256` vectors  $[x_1\dots x_4]$ ,  $[x_5\dots x_8]$ ,  $[y_1\dots y_4]$  and  $[y_5\dots y_8]$ . Only then, SIMD integer multiplication can be done to compute psum accurately. In my implementation, **this costs ten AVX2 SIMD instructions for INT32, while FP32 only requires four**. Even if AVX2 integer multiplication is faster than floating point, the mere number of operations for processing makes it pointless.

To overcome, we need to exploit quantization more: **With INT16, we can pack 16 quantized values in a `_mm256` vector**. Although we still need 10 AVX2 operations for a multiplication, the number of iterations is reduced by half because 16 values are processed each iteration. This gives us 10/16 required opr-per-value, comparable to 4/8 of FP32. **With these factors, AVX-INT16 finally achieve a  $\sim 1.5\times$  speedup over AVX-FP32, while compromising a tolerable increase in accuracy loss (NRMSE) from  $4.80\times 10^{-8}$  to  $9.93\times 10^{-5}$** .

Therefore, considering energy efficiency and performance-accuracy tradeoff, AVX-INT16 can be regarded as a competitive candidate to CUDA since it provides **less energy consumption than CUDA and better performance-accuracy tradeoff than AVX-INT32 and AVX-FP32**.

### 5.2.5 Potential of INT8

Then, a natural question is whether we can achieve better performance with 8-bit quantized AVX-INT8. **Discussed papers indicate that 8-bit quantization is good enough for inference [Jou+17] [Sha+17], and TensorFlow Lite now supports full post-training quantization (both activation and weight) of CNN models to 8-bit<sup>1</sup>**. As we can pack thirty-two 8-bit values in one `_mm256` vector, a further speedup over FP32, INT32 and INT16 is certainly expected in CPU environment. NRMSE of  $1.22\times 10^{-2}$  measured in scalar quantization also makes INT8 an appealing quantization bitwidth. Despite such appealing tradeoff, to determine whether or not to accept AVX-INT8, we need to evaluate it using full-CNN task accuracy.

<sup>1</sup>4- or 1-bit compression can be done with quantization-aware training [Han+16], but we focus on post-training quantization here.

### 5.2.6 Can we exploit sparsity?

As I only applied naïve fixed-point quantization, there is room for improvement. A method could be switching to other compression method such as lossy compression in INCEPTIONN [Li+18], but it is unclear whether the compression scheme enables effective multiplication because it is communication-oriented. Instead, I explore the **possibility that sparsity of quantized values can be leveraged for further acceleration** [Han+16][Par+17a]. The fraction of zero-quantized values (sparsity) in INT32, INT16 and INT8 are presented in Figure 3.

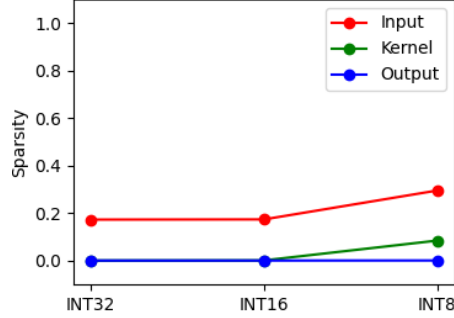


Figure 3: Sparsity of input, kernel and output for each quantization. (pooled over all samples)

Although both weight and activation sparsity is too low to leverage sparse acceleration, **note that when we decrease scaling factor, the sparsity is expected to increase: This gives us an additional tradeoff in accuracy-performance, as reduced scaling factor compromises an increased NRMSE (shown in Figure 1)**. For example, when we set the input scaling factor to  $S = 1$  for INT16, input sparsity jumps to 23% at the cost of increased NRMSE to 0.0049. It should also be noted that the **sample tensors are from the first layer of CNN, while deep layers tend to show much higher sparsity** [Par+17a]. Therefore, a further sparsity-based acceleration of deep layers could be made by controlling scaling factor, with increased NRMSE as a tradeoff.

## 6 References

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