### Preliminaries & kNN

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# Example: Spam Email Detection

#### **Input:** x =an email message

From: ninghao.liu@uga.edu

Date: January 05, 2022

Subject: CSCI 4380/6380 announcement

Hello students,

Welcome to CSCI 4380/6380. Here is ...

From: a9k62n@hotmail.com Date: September 25, 2019 Subject: URGENT

Dear Sir or maDam:

my friend left sum of 10m dollars...

Figure 1: Two email messages.

**Output:**  $y = \{\text{spam, non-spam}\}$ 

**Goal:** Build a predictor f.



### Types of Prediction Tasks

• Binary classification (e.g., email  $\rightarrow$  spam/not spam):

$$x \longrightarrow \boxed{f} \longrightarrow y \in \{+1, -1\}$$

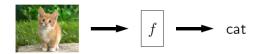
Regression (e.g., location, year → housing price):

$$x \longrightarrow \boxed{f} \longrightarrow y \in \mathbb{R}$$

(Note: Not a formal dichotomy.)

# Types of Prediction Tasks

Multi-class classification:



• Ranking:

• Structured prediction:

$$la\ casa\ blu\ \longrightarrow \ f$$
  $la\ blue\ house$ 

### Data

**Instance/Sample**: y is the ground-truth output for x.

**Dataset/Data**: Set of instances, which **partially specifies** of the desired behavior of a predictor.

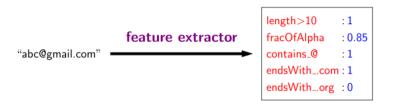
**Supervised learning** (labels are given) vs **Unsupervised learning** (labels not given).

### Feature Extraction

Example task: Predict y, whether a string x is an email address.

Question: What properties of x might be relevant for predicting y?

Feature extraction: Given input x, produce a set of (feature name, feature value) pairs.



### Feature Extraction

In mathematical formulation, a feature vector actually does not need feature names:

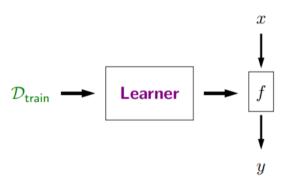
For an input x, its **feature vector** is:

$$\phi(x) = [\phi(x)_1, \phi(x)_2, ..., \phi(x)_D]. \tag{1}$$

We can think of  $\phi(x) \in \mathbb{R}^D$  as a point in a D-dimensional space.

• Sometimes we also simply write  $\phi(x)$  as x to denote the vector.

## The Learning Framework



We want the f to work even for instances that we have not seen in  $\mathcal{D}_{train}$ .

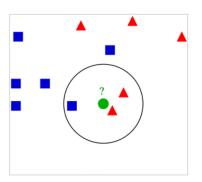
Generalization.

An object is classified by a plurality vote of its neighbors, with the instance being assigned to the class most common among its k nearest neighbors  $^1$ .

• If k = 1, then the object is simply assigned to the class of that single nearest neighbor.

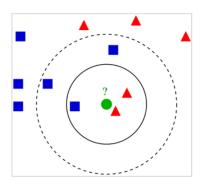
<sup>1</sup>https://en.wikipedia.org/wiki/K-nearest\_neighbors\_algorithm

An object is classified by a plurality vote of its neighbors, with the instance being assigned to the class most common among its k nearest neighbors  $^2$ .



<sup>&</sup>lt;sup>2</sup>https://en.wikipedia.org/wiki/K-nearest\_neighbors\_algorithm

- ① Choose the Number of Neighbors (k).
- Calculate Distance: For each point in the dataset, calculate the distance between that point and the query point for which you're trying to predict a label or value.
- Find Nearest Neighbors: Identify the k points in the dataset that are closest to the query point.
- For Classification: Count the number of data points in each category among the k nearest neighbors. Assign the new data point to the category that is most frequent among its k nearest neighbors.



- How to choose *k*?
- Why couldn't we simply apply kNN to all the problems?
- How to decide if two instances are near with each other?