# Lecture Note (Part 3)

CSCI 4470/6470 Algorithms, Fall 2023

Liming Cai
Department of Computer Science, UGA

October 3, 2023

# Part 3. Algorithms on graphs (Chapters 3 and 4)

#### Topics to be discussed:

- ▶ Basics and representations of graphs
- ▶ Depth-first search and applications
- Shortest path algorithms
- priority queue

Terminologies:

#### Terminologies:

vertex, edge, graph, degree, neighbor, weight, directed edge, di-graph, subgraph, tree, path, cycle, connected component, strongly connected component, complete graph, planar graph, non-planar graph, bi-partite graph

#### Terminologies:

vertex, edge, graph, degree, neighbor, weight, directed edge, di-graph, subgraph, tree, path, cycle, connected component, strongly connected component, complete graph, planar graph, non-planar graph, bi-partite graph

Computer representations of graphs:

#### Terminologies:

vertex, edge, graph, degree, neighbor, weight, directed edge, di-graph, subgraph, tree, path, cycle, connected component, strongly connected component, complete graph, planar graph, non-planar graph, bi-partite graph

#### Computer representations of graphs:

• adjacency list

#### Terminologies:

vertex, edge, graph, degree, neighbor, weight, directed edge, di-graph, subgraph, tree, path, cycle, connected component, strongly connected component, complete graph, planar graph, non-planar graph, bi-partite graph

#### Computer representations of graphs:

- adjacency list
- adjacency matrix

Recursive definition for trees

#### Recursive definition for trees

• set pair  $(\{x\},\emptyset)$  is a **tree**;

#### Recursive definition for trees

- set pair  $(\{x\},\emptyset)$  is a **tree**;
- $\bullet$  if (V, E) is a **tree**,  $u \in V$ , and  $v \not\in V$ ,

#### Recursive definition for trees

- set pair  $(\{x\},\emptyset)$  is a **tree**;
- if (V, E) is a tree,  $u \in V$ , and  $v \notin V$ , then  $(V \cup \{v\}, E \cup \{(v, u)\})$  is a tree.

#### Recursive definition for trees

- set pair  $(\{x\},\emptyset)$  is a **tree**;
- if (V,E) is a **tree**,  $u\in V$ , and  $v\not\in V$ , then  $\big(V\cup\{v\},E\cup\{(v,u)\}\big)$  is a **tree**.

Trees, created with these rules, are without a root. But the first vertex created can be designated as the root.

#### Recursive definition for trees

- set pair  $(\{x\},\emptyset)$  is a **tree**;
- if (V,E) is a tree,  $u\in V$ , and  $v\not\in V$ , then  $\big(V\cup\{v\},E\cup\{(v,u)\}\big)$  is a tree.

Trees, created with these rules, are without a root. But the first vertex created can be designated as the root.

But why would non-biological trees need a root?

Recursive definition for graphs

#### Recursive definition for graphs

• set pair  $(\{x\}, \emptyset)$  is a **graph**;

#### Recursive definition for graphs

- set pair  $(\{x\}, \emptyset)$  is a **graph**;
- ullet if (V, E) is a **graph**,  $U \subseteq V$ , and  $v \notin V$ ,

#### Recursive definition for graphs

- set pair  $(\{x\},\emptyset)$  is a **graph**;
- $\label{eq:continuous_equation} \begin{array}{l} \bullet \text{ if } (V,E) \text{ is a graph, } U \subseteq V, \text{ and } v \not\in V, \\ \text{ then } (V',E') \text{ is a graph, where} \\ V' = V \cup \{v\}, \ E' = E \cup \{(v,u): u \in U\}. \end{array}$

#### Recursive definition for graphs

- set pair  $(\{x\},\emptyset)$  is a **graph**;
- if (V, E) is a **graph**,  $U \subseteq V$ , and  $v \notin V$ , then (V', E') is a **graph**, where  $V' = V \cup \{v\}, \ E' = E \cup \{(v, u) : u \in U\}.$

Proper definitions of graphs may incur some structural views on graphs and help solve various computational problems on graphs.

Based on the recursive definition, a given graph  $(V^\prime,E^\prime)$  can be decomposed as

Based on the recursive definition, a given graph  $(V^\prime, E^\prime)$  can be decomposed as

- (1) a subgraph graph (V, E),
- (2) a vertex  $v \in V' V$  (also written as  $V' \setminus V$ );
- (3) a subset  $U \subseteq V$ ;
- (4)  $\forall u \in U$ , edges  $(v, u) \in E' E$  (also written as  $E' \backslash E$ ).

Based on the recursive definition, a given graph  $(V^{\prime},E^{\prime})$  can be decomposed as

- (1) a subgraph graph (V, E),
- (2) a vertex  $v \in V' V$  (also written as  $V' \setminus V$ );
- (3) a subset  $U \subseteq V$ ;
- (4)  $\forall u \in U$ , edges  $(v, u) \in E' E$  (also written as  $E' \backslash E$ ).

Graph traversal by exploiting the recursive definition of graphs.

Based on the recursive definition, a given graph  $(V^{\prime},E^{\prime})$  can be decomposed as

- (1) a subgraph graph (V, E),
- (2) a vertex  $v \in V' V$  (also written as  $V' \setminus V$ );
- (3) a subset  $U \subseteq V$ ;
- (4)  $\forall u \in U$ , edges  $(v, u) \in E' E$  (also written as  $E' \backslash E$ ).

Graph traversal by exploiting the recursive definition of graphs.

ullet traverse a graph: visit vertex v and then recursively visit u, for all  $(v,u)\in E$ .

Based on the recursive definition, a given graph  $(V^\prime, E^\prime)$  can be decomposed as

- (1) a subgraph graph (V, E),
- (2) a vertex  $v \in V' V$  (also written as  $V' \setminus V$ );
- (3) a subset  $U \subseteq V$ ;
- (4)  $\forall u \in U$ , edges  $(v, u) \in E' E$  (also written as  $E' \setminus E$ ).

Graph traversal by exploiting the recursive definition of graphs.

- traverse a graph: visit vertex v and then recursively visit u, for all  $(v,u) \in E$ .
- two different traversal methods: DFS and BFS,

Based on the recursive definition, a given graph  $(V^\prime, E^\prime)$  can be decomposed as

- (1) a subgraph graph (V, E),
- (2) a vertex  $v \in V' V$  (also written as  $V' \setminus V$ );
- (3) a subset  $U \subseteq V$ ;
- (4)  $\forall u \in U$ , edges  $(v, u) \in E' E$  (also written as  $E' \setminus E$ ).

Graph traversal by exploiting the recursive definition of graphs.

- ullet traverse a graph: visit vertex v and then recursively visit u, for all  $(v,u)\in E$ .
- two different traversal methods: DFS and BFS, depending on which vertex is to visit next

Assume graph G that was created with x being any vertex Explore all vertices reachable from vertex x:

```
function explore(G: graph; x: vertex)
1. visited(x) = true;
2. for each edge (x, y) in G
3.  if not visited(y) explore(G, y);
```

Assume graph G that was created with x being any vertex Explore all vertices reachable from vertex x:

function explore(G: graph; x: vertex)

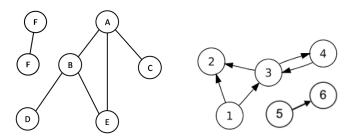
```
1. visited(x) = true;
2. for each edge (x, y) in G

 if not visited(y) explore(G, y);

Adding time stamps:
function explore(G: graph; x: vertex)
 1. visited(x) = true;
2. pre(x) = time_stamp;  // pre-visit work
3. time_stamp = time_stamp + 1;
4. for each edge (x,y) in G
5. if not visited(y)
6. parent(y)=x;
                              // record tree edge
7. explore(G, y);
8. post(x) = time_stamp;
                                  // post-visit work
9. time_stamp = time_stamp + 1;
                                      4□ ト ← □ ト ← 亘 ト → 亘 り へ ○
```

26 / 109

#### Examples for DFS



• DFS on a graph yields a **DF-search tree**:

- DFS on a graph yields a **DF-search tree**:
- properties of pre(x) and post(x) values

- DFS on a graph yields a **DF-search tree**:
- properties of pre(x) and post(x) values
   brackets patterns (look familiar?)

- DFS on a graph yields a **DF-search tree**:
- properties of pre(x) and post(x) values
   brackets patterns (look familiar?)
- type of edges in DFS tree
  - tree edges
  - back edges

DFS on directed graphs

#### DFS on directed graphs

- types of edges in DFS tree
  - tree edges
  - back edges
  - forward edges
  - cross edges

#### DFS on directed graphs

- types of edges in DFS tree
  - tree edges
  - back edges
  - forward edges
  - cross edges
- DFS on directed acyclic graphs (DAGs)

**Theorem** If there is a path  $x \rightsquigarrow y$ , then post(x) > post(y)

Applications of DFS

Applications of DFS

• determine if the input graph is connected;

- determine if the input graph is connected;
- determine if two given vertices are connected on input graph;

- determine if the input graph is connected;
- determine if two given vertices are connected on input graph;
- determine if the input graph contains a cycle;

- determine if the input graph is connected;
- determine if two given vertices are connected on input graph;
- determine if the input graph contains a cycle;
- find a topological order of vertices on the input DAG;

- determine if the input graph is connected;
- determine if two given vertices are connected on input graph;
- determine if the input graph contains a cycle;
- find a topological order of vertices on the input DAG;
- find the strong connected components of the input graph;

- determine if the input graph is connected;
- determine if two given vertices are connected on input graph;
- determine if the input graph contains a cycle;
- find a topological order of vertices on the input DAG;
- find the strong connected components of the input graph;
- find single-source shorted paths on the input DAG;

- determine if the input graph is connected;
- determine if two given vertices are connected on input graph;
- determine if the input graph contains a cycle;
- find a topological order of vertices on the input DAG;
- find the strong connected components of the input graph;
- find single-source shorted paths on the input DAG;

#### Applications of DFS

- determine if the input graph is connected;
- determine if two given vertices are connected on input graph;
- determine if the input graph contains a cycle;
- find a topological order of vertices on the input DAG;
- find the strong connected components of the input graph;
- find single-source shorted paths on the input DAG;

All have time complexity: O(|E| + |V|)

#### Topological Sort problem

Input: directed acyclic graph G(V, E),

Output: vertices of V in order:  $v_1, v_2, \ldots, v_n$  such that

 $\forall i < j, (v_j, v_i) \notin E$ .

#### Topological Sort problem

Input: directed acyclic graph G(V,E), Output: vertices of V in order:  $v_1,v_2,\ldots,v_n$  such that  $\forall i< j,(v_j,v_i) \not\in E.$ 

DFS can be used to solve this problem. how?

#### Topological Sort problem

Input: directed acyclic graph G(V,E), Output: vertices of V in order:  $v_1,v_2,\ldots,v_n$  such that  $\forall i< j,(v_i,v_i) \not\in E.$ 

DFS can be used to solve this problem. how?
 examine the post time stamps

#### **Strongly Connected Components** (SCC) problem

Input: directed graph G(V, E),

Output: strongly connected components for G.

Idea:

#### Strongly Connected Components (SCC) problem

Input: directed graph G(V, E),

Output: strongly connected components for G.

Idea:

• DFS on G;

#### **Strongly Connected Components** (SCC) problem

Input: directed graph G(V, E),

Output: strongly connected components for G.

Idea:

- DFS on G;
- generated  $G^T$ , transpose of G (reversed edges directions);

#### **Strongly Connected Components** (SCC) problem

Input: directed graph G(V, E),

Output: strongly connected components for G.

Idea:

- DFS on *G*;
- generated  $G^T$ , transpose of G (reversed edges directions);
- ullet DFS on  $G^T$  from vertex v with the highest post(v) value;

#### More about graph traversal algorithms

• non-recursive version of DFS; using (?)

- non-recursive version of DFS; using (?)
- breadth first search (BFS); explores vertices in the order of their distance from the source vertex

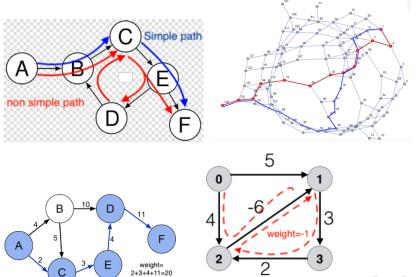
- non-recursive version of DFS; using (?)
- breadth first search (BFS); explores vertices in the order of their distance from the source vertex
- non-recursive BFS? using (?)

- non-recursive version of DFS; using (?)
- breadth first search (BFS); explores vertices in the order of their distance from the source vertex
- non-recursive BFS? using (?)
- recursive version ?

- non-recursive version of DFS; using (?)
- breadth first search (BFS); explores vertices in the order of their distance from the source vertex
- non-recursive BFS? using (?)
- recursive version ?
- time complexity

Paths on graphs:

#### Paths on graphs:



Problems about paths on graphs:

• **Reachability** : given G = (V, E), and vertices  $s, t \in V$ ; asked if there is a path:  $s \leadsto t$ , from s to t;

- Reachability : given G = (V, E), and vertices  $s, t \in V$ ; asked if there is a path:  $s \leadsto t$ , from s to t;
- Cycle: given G = (V, E), asked if there is a cycle in the graph.

- Reachability : given G = (V, E), and vertices  $s, t \in V$ ; asked if there is a path:  $s \leadsto t$ , from s to t;
- Cycle: given G = (V, E), asked if there is a cycle in the graph.
- s-t shortest path: given G = (V, E), vertices  $s, t \in V$ ; find a shortest path  $s \leadsto t$ ;

- Reachability : given G = (V, E), and vertices  $s, t \in V$ ; asked if there is a path:  $s \leadsto t$ , from s to t;
- **Cycle**: given G = (V, E), asked if there is a cycle in the graph.
- s-t shortest path: given G = (V, E), vertices  $s, t \in V$ ; find a shortest path  $s \rightsquigarrow t$ ;
- Single source shortest path: given G = (V, E),  $\forall v \in V$ , find a shortest path  $s \leadsto v$ ;

- Reachability : given G = (V, E), and vertices  $s, t \in V$ ; asked if there is a path:  $s \leadsto t$ , from s to t;
- Cycle: given G = (V, E), asked if there is a cycle in the graph.
- s-t shortest path: given G = (V, E), vertices  $s, t \in V$ ; find a shortest path  $s \leadsto t$ ;
- Single source shortest path: given G = (V, E),  $\forall v \in V$ , find a shortest path  $s \leadsto v$ ;
- All pair shortest path: given G = (V, E),  $\forall u, v \in V$ , find a shortest path  $u \leadsto v$ ;

**Shortest Distance** problem:

#### Shortest Distance problem:

#### Shortest Distance problem:

Input: di-graph G=(V,E), weights  $w:E\to R$ ; source  $s\in V$  Output: dist(v), shortest distance s to every vertex  $v\in V$ .

 $\bullet$  G is a DAG:

#### Shortest Distance problem:

Input: di-graph G=(V,E), weights  $w:E\to R$ ; source  $s\in V$  Output: dist(v), shortest distance s to every vertex  $v\in V$ .

 $\bullet$  G is a DAG: DFS-based algorithm;

#### Shortest Distance problem:

- ullet G is a DAG: DFS-based algorithm;
- G does not contain negative edges:

#### Shortest Distance problem:

- ullet G is a DAG: DFS-based algorithm;
- $\bullet$  G does not contain negative edges: Dijkstra's algorithm

#### Shortest Distance problem:

- ullet G is a DAG: DFS-based algorithm;
- $\bullet$  G does not contain negative edges: Dijkstra's algorithm
- G may contain negative cycles;

#### Shortest Distance problem:

Input: di-graph G=(V,E), weights  $w:E\to R$ ; source  $s\in V$  Output: dist(v), shortest distance s to every vertex  $v\in V$ .

- ullet G is a DAG: DFS-based algorithm;
- G does not contain negative edges: Dijkstra's algorithm
- ullet G may contain negative cycles; Bellman-Ford algorithm

#### Shortest Distance problem on DAG

• what does DFS tree on DAG look like?

- what does DFS tree on DAG look like?
- are there back, forward, and crossing edges?

- what does DFS tree on DAG look like?
- are there back, forward, and crossing edges?
- Solving the shortest distance problem with DFS, i.e, Topological Sort

- what does DFS tree on DAG look like?
- are there back, forward, and crossing edges?
- Solving the shortest distance problem with DFS, i.e, Topological Sort
- So vertices can be arranged linearly, beginning from source s
  how to calculate all the shortest distances from s?

Input: DAG G=(V,E), edge lengths  $l:E\to R_{\geq 0}$ , and  $\mathbf{s}\in V$ , Output:  $\forall$   $\mathbf{u}\in V$ , the smallest distance dist( $\mathbf{u}$ ) from  $\mathbf{s}$  to  $\mathbf{u}$ .

Input: DAG G=(V,E), edge lengths  $l:E\to R_{\geq 0}$ , and  $\mathbf{s}\in V$ , Output:  $\forall\ \mathbf{u}\in V$ , the smallest distance dist( $\mathbf{u}$ ) from  $\mathbf{s}$  to  $\mathbf{u}$ .

```
function dag-shortest-path(G, 1, s)
1. for all u in V
2.    dist(u) = infinity;
3.    prev(u) = nil; // predecessor of u in the path
4. dist(s) = 0;
5. topological sort V;
6. for all u in V in the sorted order
7.    for all edge (u, v) in E
8.       if dist(v) > dist(u) + l(u, v);
9.          dist(v) = dist(u) + l(u, v);
10.          prev(v) = u;
```

**Shortest Distance** problem: Dijkstra's algorithm on general directed graphs, without negative weights

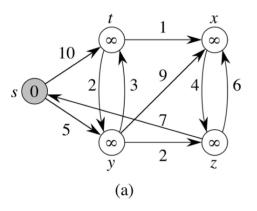
**Shortest Distance** problem: Dijkstra's algorithm on general directed graphs, without negative weights

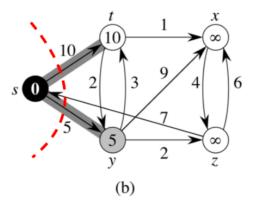
Input: G = (V, E), edge lengths  $l : E \to R_{\geq 0}$ , and  $s \in V$ , Output:  $\forall u \in V$ , the smallest distance dist(u) from s to u.

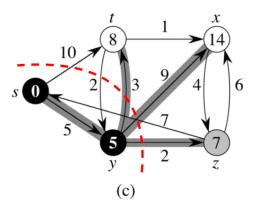
Shortest Distance problem: Dijkstra's algorithm on general directed graphs, without negative weights

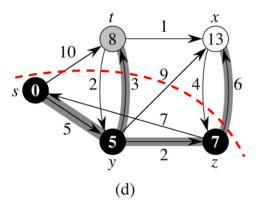
```
Input: G = (V, E), edge lengths l : E \to R_{\geq 0}, and s \in V, Output: \forall u \in V, the smallest distance dist(u) from s to u.
```

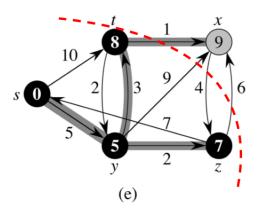
```
function Dijkstra(G, 1, s)
1. for all u in V
2. dist(u) = infinity;
3. prev(u) = nil;
4. \operatorname{dist}(s) = 0;
5. H = makequeue(V);
6. While H is not empty
7. u = dequeue(H);
8. for all edges (u, v) in E
9. if dist(v) > dist(u) + l(u, v);
10.
          dist(v) = dist(u) + l(u, v);
11.
      prev(v) = u;
12.return (prev)
                                      4日 → 4団 → 4 豆 → 1 単 り 9 ○ ○
```

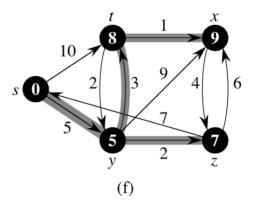


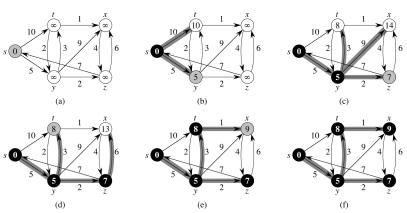












Note: while the black-colored vertices are in set S.

Dijkstra's algorithm

Dijkstra's algorithm

• more examples (textbook), keep track the priority queue

#### Dijkstra's algorithm

- more examples (textbook), keep track the priority queue
- what would happen if negative edges are present?

#### Dijkstra's algorithm

- more examples (textbook), keep track the priority queue
- what would happen if negative edges are present?
- edge relaxation on edge (u, v):

```
if dist(v) > dist(u) + l(u, v)
    dist(v) = dist(u) + l(u, v)
    prev(v) = u
```

Shortest path (distance) problem is solved by repeatedly relaxing edges coming out from selected vertices.

Shortest path (distance) problem is solved by repeatedly relaxing edges coming out from selected vertices.

• on DAGs, vertices can be chosen in a linear order;

Shortest path (distance) problem is solved by repeatedly relaxing edges coming out from selected vertices.

- on DAGs, vertices can be chosen in a linear order;
- on graphs without negative edges (Dijkstra's), what order?

Shortest path (distance) problem is solved by repeatedly relaxing edges coming out from selected vertices.

- on DAGs, vertices can be chosen in a linear order;
- on graphs without negative edges (Dijkstra's), what order?
- on arbitrary graphs, what order? (Bellman-Ford)

For graphs that may contain negative edges, edge relaxations cannot be done in specific order.

```
But every shortest path consists of at most n-1 edges;
n-1 rounds of edge relaxations suffices
function Bellman-Ford(G=(V,E): graph; s: vertex)
 1. for all u in V
 2. dist(u) = infinite;
 3. prev(u) = nil;
 4. \operatorname{dist}(s) = 0;
 5. for k=1 to n-1
 6. for all edge (u,v) in E
 7. if dist(v) > dist(u) + l(u,v) // l(u,v) is the
        then dist(v) = dist(u) + l(u,v); // weight of edge
 8.
 9. prev(v) = u;
 10.if there is an edge (u, v): dist(u) + l(u,v) < dist(v)
 11. then return "G contains a negative cycle"
 12. else return (dist, prev)
                                      ◆ロト ◆御 ト ◆ 恵 ト ◆ 恵 ・ 夕 ♀ ○
```

Bellman-Ford algorithm correctly detectes negative cycles.

Bellman-Ford algorithm correctly detectes negative cycles.

• Assume shortest path  $p: s \leadsto v$ .

Bellman-Ford algorithm correctly detectes negative cycles.

- Assume shortest path  $p: s \leadsto v$ .
- $\bullet$  If after the n-1 rounds of relaxations are done,  $\exists (u,v)$  with dist(u)+l(u,v)< dist(v)

Bellman-Ford algorithm correctly detectes negative cycles.

- Assume shortest path  $p: s \leadsto v$ .
- $\bullet$  If after the n-1 rounds of relaxations are done,  $\exists (u,v)$  with dist(u)+l(u,v)< dist(v)
- then path  $p:s\leadsto v$  consists of more than n-1 edges; i.e., p contains at least n+1 vertices, some vertex x occurs twice.

$$p: s \leadsto y \to x \leadsto x \to z \leadsto v$$

Bellman-Ford algorithm correctly detectes negative cycles.

- Assume shortest path  $p: s \leadsto v$ .
- $\bullet$  If after the n-1 rounds of relaxations are done,  $\exists (u,v)$  with dist(u)+l(u,v)< dist(v)
- then path  $p: s \leadsto v$  consists of more than n-1 edges; i.e., p contains at least n+1 vertices, some vertex x occurs twice.

$$p: s \leadsto y \to x \leadsto x \to z \leadsto v$$

• the path cannot be shorter than  $s \rightsquigarrow y \rightarrow x \rightarrow z \rightsquigarrow v$  unless cycle  $x \rightsquigarrow x$  is negative.

Priority queue implementation using heap what is a heap? physical implementation? how to use a heap to realize a priority queue?

Priority queue implementation using heap what is a heap? physical implementation? how to use a heap to realize a priority queue?

Time complexities of different shortest path algorithms

Priority queue implementation using heap

what is a heap? physical implementation? how to use a heap to realize a priority queue?

Time complexities of different shortest path algorithms

ullet dag-shortest-path: O(|V|+|E|)

Priority queue implementation using heap

what is a heap? physical implementation? how to use a heap to realize a priority queue?

Time complexities of different shortest path algorithms

- ullet dag-shortest-path: O(|V|+|E|)
- Dijkstra's:  $O(|V|\log_2|V|+E)$

Priority queue implementation using heap

what is a heap? physical implementation? how to use a heap to realize a priority queue?

Time complexities of different shortest path algorithms

- ullet dag-shortest-path: O(|V|+|E|)
- Dijkstra's:  $O(|V|\log_2|V|+E)$
- Bellman-Ford: O(|V||E|).