

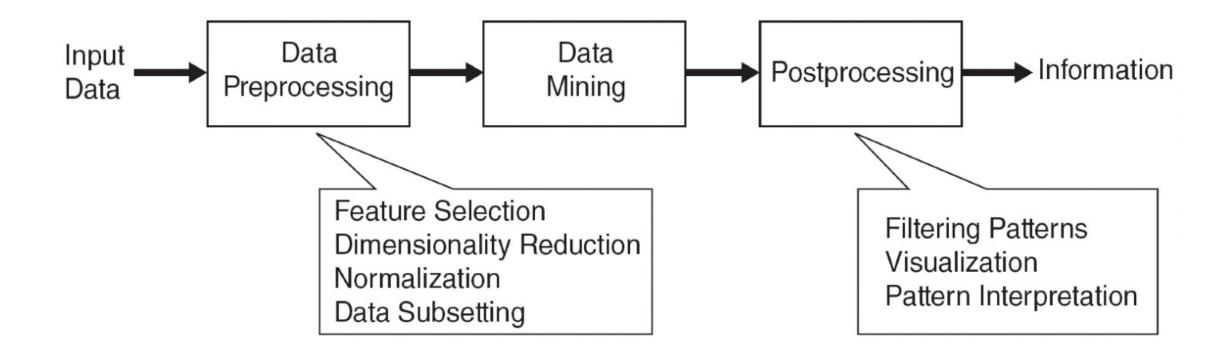
#### CSCI 4380/6380 DATA MINING

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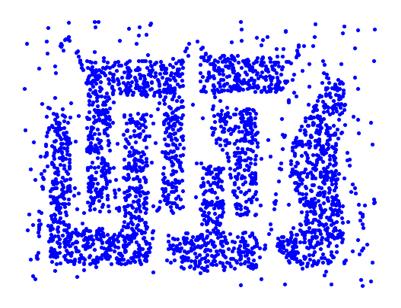
### Recap: Data Mining Process



# Density based Clustering

# Density Based Clustering

• Clusters are regions of high density that are separated from one another by regions on low density.



# Density Based Clustering

#### Basic idea

- A cluster is defined as a maximal set of density-connected points
- Discovers clusters of arbitrary shape

#### Method

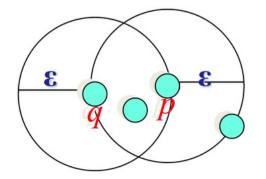
- DBSCAN: Density-based spatial clustering of applications with noise

# Density Based Clustering

- Density Definition
  - $\epsilon$ -Neighborhood, samples within a radius of  $\epsilon$  from a sample.

$$N_{\varepsilon}(\mathbf{p}) : \{d(\mathbf{p}, \mathbf{q}) \le \varepsilon\}$$

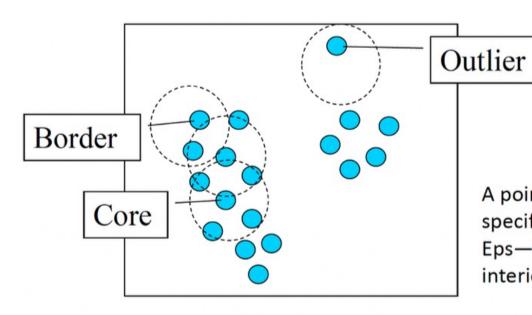
• High density:  $\varepsilon$ -Neighborhood of a sample contains at least MinPts of samples.



#### **DBSCAN**

- DBSCAN is a density-based algorithm.
  - Density = number of points within a specified radius (ε or Eps)
  - A point is a core point if it has at least a specified number of points (MinPts) within Eps
    - These are points that are at the interior of a cluster
    - Counts the point itself
  - A border point is not a core point, but is in the neighborhood of a core point
  - A noise point is any point that is not a core point or a border point

### DBSCAN: Core, Border, and Outlier



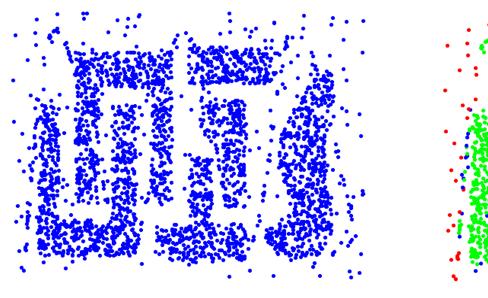
 $\varepsilon = 1$ unit, MinPts = 5

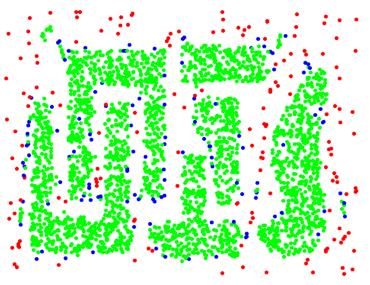
A point is a core point if it has more than a specified number of points (MinPts) within Eps—These are points that are at the interior of a cluster.

A border point has fewer than MinPts within Eps, but is in the neighborhood of a core point.

A noise point is any point that is not a core point nor a border point.

### DBSCAN: Core, Border and Noise Points





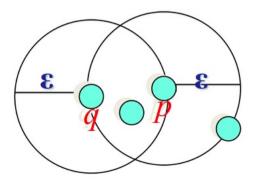
**Original Points** 

Point types: core, border and noise

**Eps = 10, MinPts = 4** 

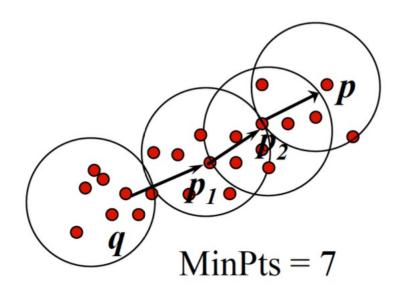
## DBCAN: Density-reachability

- **Directly density-reachable**: A sample **q** is directly density-reachable from sample **p** if **p** is a core sample and **q** is in **p**'s ε-neighborhood
  - q is directly density-reachable from p
  - p is not directly density-reachable from q
  - Density-reachability is asymmetric



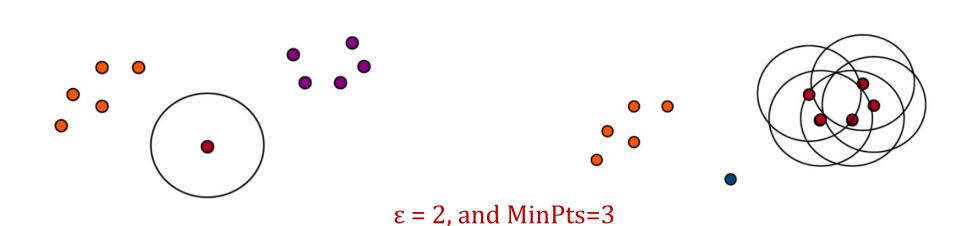
## DBCAN: Density-reachability

- Density-Reachable (directly and indirectly):
  - A point **p** is directly density-reachable from **p**<sub>2</sub>
  - $\mathbf{p}_2$  is directly density-reachable from  $\mathbf{p}_1$
  - $\mathbf{p}_1$  is directly density-reachable from  $\mathbf{q}$
  - $\mathbf{p}$ ←- $\mathbf{p}_1$  ←- $\mathbf{p}_2$  ←- $\mathbf{q}$  form a chain



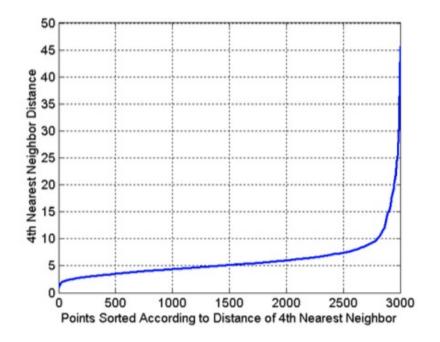
## DBSCAN Algorithm

- Form clusters using core points, and assign border points to one of its neighboring clusters
  - 1: Label all points as core, border, or noise points.
  - 2: Eliminate noise points.
  - 3: Put an edge between all core points within a distance *Eps* of each other.
  - 4: Make each group of connected core points into a separate cluster.
  - 5: Assign each border point to one of the clusters of its associated core points

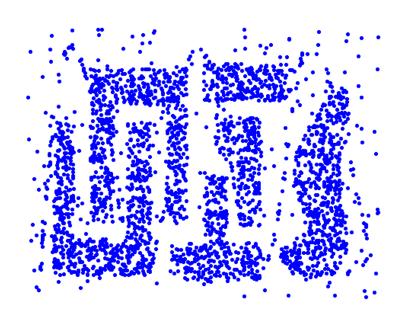


## DBCAN: Determining ε and MinPts

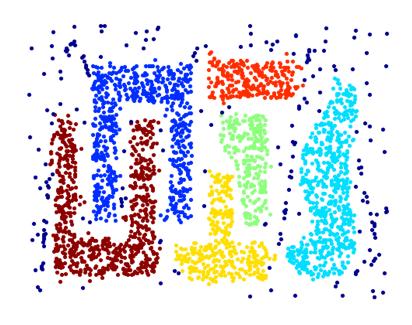
- Idea is that for samples in a cluster, their k-th nearest neighbors are at roughly the same distance
- Noise samples have the k-th nearest neighbor at farther distance
- So, plot sorted distance of every sample to its k-th nearest neighbor



## When DBSCAN Works Well



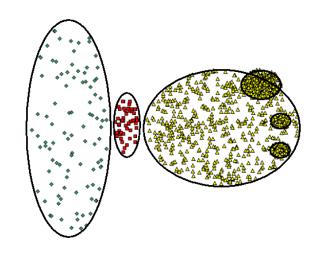
**Original Points** 



Clusters (dark blue points indicate noise)

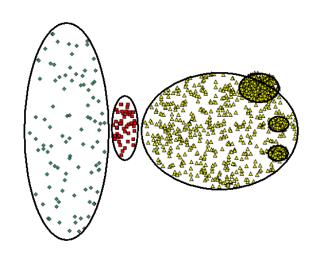
- Can handle clusters of different shapes and sizes
- Resistant to noise

### When DBSCAN Does NOT Work Well



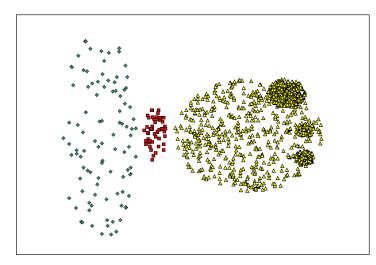
**Original Points** 

#### When DBSCAN Does NOT Work Well

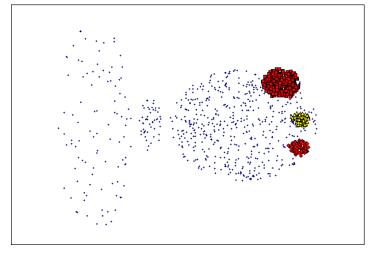


**Original Points** 

- Varying densities
- High-dimensional data



(MinPts=4, Eps=9.92).



(MinPts=4, Eps=9.75)

## Density based Clustering

#### Pros

- Resistant to Noise
- Can handle clusters of different shapes and sizes

#### Cons

- Cannot handle varying densities
- Sensitive to parameters—hard to determine the correct set of parameters