

Distributed Algorithms

Assignment 3a Report

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Notes

- Gray colored rows mark the byzantine processes.
- In the tables there can be seen two values (0 and 1) and two letters (N and R). N means that no notification/proposal is sent by the byzantine process (based on random numbers). R means that a random value (0,1 or 2) is sent as notification/proposal (based on random numbers).
- If proposal is rejected in decision phase, the correct processes mark the value 2 at end of the round. The value 2 equals the ? symbol in pseudocode of algorithm in the lecture notes.
- Bottom row of table contains the maximum number of zeros or ones after the notification phase (to satisfy $(n + f)/2$ messages requirement)
- Normal cases are the ones that satisfy the $n > 5f$ requirement. Critical cases do not satisfy that requirement.

Testcase 1: normal case with mostly values 1

- This test case follows the requirement on the number of traitors: $n = 11 > 5f = 10$, meaning agreement and validity is guaranteed, and terminates with probability 1.
- 11 processes from which 2 are byzantine. 9 of them are initialized with value 1 and 2 of them will value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- Average number of rounds needed in 10 test runs is 1: 1, 1, 1, 1, 1, 1, 1, 1, 1, 1.
- For test case in table 1 consensus is reached within 1 round with value 1.

Testcase 2: normal case with almost equal amount of ones and zeros

- This test case follows the requirement on the number of traitors: $n = 11 > 5f = 10$, meaning agreement and validity is guaranteed, and terminates with probability 1.
- 11 processes from which 2 are byzantine. 5 of them are initialized with value 1 and 6 of them with value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- Average number of rounds needed in 10 test runs is 4.1: 4, 2, 2, 3, 5, 9, 6, 3, 4, 3.
- For test case in table 2 consensus is reached within 2 rounds with value 1.

| Process ID | Predefined Value | Round 1 Start | Round 1 End |
|----------------|------------------|---------------|-------------|
| 0 | 1 | N | 1 |
| 1 | 1 | 1 | 1 |
| 2 | 1 | N | 1 |
| 3 | 1 | 1 | 1 |
| 4 | 1 | 1 | 1 |
| 5 | 1 | 1 | 1 |
| 6 | 1 | 1 | 1 |
| 7 | 1 | 1 | 1 |
| 8 | 1 | 1 | 1 |
| 9 | 0 | 0 | 1 |
| 10 | 0 | 0 | 1 |
| Max(0,1) > 6.5 | | 7 | |

Table 1: Results of test case 1

| Process ID | Predefined Value | Round 1 Start | Round 1 End | Round 2 Start | Round 2 End |
|----------------|------------------|---------------|-------------|---------------|-------------|
| 0 | 1 | 1 | 2 | 1 | 1 |
| 1 | 1 | 1 | 2 | 1 | 1 |
| 2 | 1 | 1 | 2 | 1 | 1 |
| 3 | 1 | 1 | 2 | 1 | 1 |
| 4 | 1 | 1 | 2 | 1 | 1 |
| 5 | 0 | 0 | 2 | 0 | 1 |
| 6 | 0 | N | R=1 | N | 1 |
| 7 | 0 | 0 | 2 | 1 | 1 |
| 8 | 0 | 0 | 2 | 0 | 1 |
| 9 | 0 | R=1 | R=2 | R=1 | 1 |
| 10 | 0 | 0 | 2 | 1 | 1 |
| Max(0,1) > 6.5 | | 6 | | 7 | |

Table 2: Results of test case 2

Testcase 3: critical case which might not terminate, same values as test case 2

- This test case does not follow the requirement on the number of traitors: $n = 11 \leq 5f = 15$, meaning agreement and validity is not guaranteed, and it might not terminate.
- 11 processes from which 3 are byzantine. 5 of them are initialized with value 1 and 6 of them with value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- Average number of rounds needed in 10 test runs is 24.5: 8, 12, 65, 10, 15, 12, 3, 16, 22, 82. All runs terminated.
- For test case in table 3 consensus is reached within 3 rounds with value 1.

| Process ID | Predefined Value | Round 1 | | Round 2 | | Round 3 | |
|----------------|------------------|---------|-----|---------|-----|---------|-----|
| | | Start | End | Start | End | Start | End |
| 0 | 1 | 1 | 2 | 0 | 2 | 1 | 1 |
| 1 | 1 | N | R=2 | R=1 | R=1 | N | R=1 |
| 2 | 1 | 1 | 2 | 1 | 2 | 0 | 1 |
| 3 | 1 | 1 | 2 | 1 | 2 | 1 | 1 |
| 4 | 1 | 1 | 2 | 0 | 2 | 1 | 1 |
| 5 | 0 | R=1 | R=1 | N | 2 | R=1 | R=1 |
| 6 | 0 | 0 | 2 | 1 | 2 | 1 | 1 |
| 7 | 0 | 0 | 2 | 1 | 2 | 1 | 1 |
| 8 | 0 | 0 | 2 | 1 | 2 | 1 | 1 |
| 9 | 0 | 0 | 2 | 0 | 2 | 1 | 1 |
| 10 | 0 | R=1 | R=0 | N | 2 | N | R=2 |
| Max(0,1) > 6.5 | | 6 | | 6 | | 8 | |

Table 3: Results of test case 3

Testcase 4: normal case with small number of processes which should terminate in 1 round

- This test case follows the requirement on the number of traitors: $n = 5 > 5f = 0$, meaning agreement and validity is guaranteed, and terminates with probability 1.
- 5 processes from which 0 are byzantine. 3 of them are initialized with value 1 and 2 of them with value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- Average number of rounds needed in 10 test runs is 1: 1, 1, 1, 1, 1, 1, 1, 1, 1, 1.
- For test case in table 4 consensus is reached within 1 rounds with value 1.

| Process ID | Predefined Value | Round 1 | |
|----------------|------------------|---------|-----|
| | | Start | End |
| 0 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 |
| 2 | 1 | 1 | 1 |
| 3 | 0 | 0 | 1 |
| 4 | 0 | 0 | 1 |
| Max(0,1) > 2.5 | | 3 | |

Table 4: Results of test case 4

Testcase 5: critical case with small number of processes

- This test case does not follow the requirement on the number of traitors: $n = 5 \leq 5f = 5$, meaning agreement and validity is not guaranteed, and it might not terminate.
- 5 processes from which 1 are byzantine. 3 of them are initialized with value 1 and 2 of them with value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- Average number of rounds needed in 10 test runs is 4.7: 6, 8, 3, 5, 4, 6, 7, 5, 2, 1. All runs terminated.
- For test case in table 5 consensus is reached within 3 rounds with value 0.

| Process ID | Predefined Value | Round 1 | | Round 2 | | Round 3 | |
|--------------|------------------|---------|-----|---------|-----|---------|-----|
| | | Start | End | Start | End | Start | End |
| 0 | 1 | 1 | 2 | 1 | 2 | 0 | 0 |
| 1 | 1 | N | N | R=2 | N | R=0 | R=1 |
| 2 | 1 | 1 | 2 | 1 | 2 | 1 | 0 |
| 3 | 0 | 0 | 2 | 0 | 2 | 0 | 0 |
| 4 | 0 | 0 | 2 | 0 | 2 | 0 | 0 |
| Max(0,1) > 3 | | 2 | | 2 | | 4 | |

Table 5: Results of test case 5

Testcase 6: critical case that shouldn't be possible to decide

- This test case does not follow the requirement on the number of traitors: $n = 5 \leq 5f = 10$.
- 5 processes from which 2 are byzantine. 3 of them are initialized with value 1 and 2 of them with value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- No consensus is reached during execution (waited until somewhere round 2000 for a couple of runs). The condition for deciding on value 0 or 1 is that in the decision phase there exist more than $3f$ messages with the same proposal value. Since $3f = 6$ is larger than the total number of processes this is not possible.

Testcase 7: normal case with large number of processes

- This test case follows the requirement on the number of traitors: $n = 25 > 5f = 20$, meaning agreement and validity is guaranteed, and terminates with probability 1.
- 25 processes from which 4 are byzantine. 13 of them are initialized with value 1 and 12 of them with value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- Average number of rounds needed in 10 test runs is 7.2: 4, 2, 3, 2, 17, 17, 3, 2, 2, 20.
- For test case in table 6 consensus is reached within 4 rounds with value 0.

Testcase 8: critical case with large number of processes

- This test case does not follow the requirement on the number of traitors: $n = 25 \leq 5f = 25$, meaning agreement and validity is not guaranteed, and it might not terminate.
- 25 processes from which 5 are byzantine. 13 of them are initialized with value 1 and 12 of them with value 0. Byzantine nodes will discard this value and initialize it with a random 0, 1 or 2.
- Average number of rounds needed in 10 test runs is 17.6: 16, 4, 10, 55, 23, 31, 13, 8, 7, 9. All runs terminated.
- For test case in table 7 consensus is reached within 6 rounds with value 0.

| Process ID | Predefined Value | Round 1 | | Round 2 | | Round 3 | | Round 4 | |
|-----------------|------------------|---------|-----|---------|-----|---------|-----|---------|-----|
| | | Start | End | Start | End | Start | End | Start | End |
| 0 | 1 | 1 | 2 | 0 | 2 | 1 | 2 | 1 | 0 |
| 1 | 1 | 1 | 2 | 0 | 2 | 1 | 2 | 0 | 0 |
| 2 | 1 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 3 | 1 | R=2 | N | N | R=0 | R=1 | N | N | R=1 |
| 4 | 1 | 1 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 5 | 1 | 1 | 2 | 0 | 2 | 1 | 2 | 0 | 0 |
| 6 | 1 | 1 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 7 | 1 | 1 | 2 | 0 | 2 | 0 | 2 | 1 | 0 |
| 8 | 1 | 1 | 2 | 0 | 2 | 0 | 2 | 1 | 0 |
| 9 | 1 | 1 | 2 | 0 | 2 | 1 | 2 | 1 | 0 |
| 10 | 1 | N | R=1 | R=2 | N | N | N | R=1 | R=1 |
| 11 | 1 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 0 |
| 12 | 1 | 1 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 13 | 0 | R=0 | R=2 | N | R=0 | N | R=0 | N | R=2 |
| 14 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 15 | 0 | 0 | 2 | 0 | 2 | 1 | 2 | 1 | 0 |
| 16 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 17 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 18 | 0 | 0 | 2 | 1 | 2 | 0 | 2 | 1 | 0 |
| 19 | 0 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 20 | 0 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 21 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 22 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 23 | 0 | R=1 | R=2 | N | N | N | N | R=2 | N |
| 24 | 0 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| Max(0,1) > 14.5 | | 12 | | 12 | | 14 | | 15 | |

Table 6: Results of test case 7

| Process ID | Predefined Value | Round 1 | | Round 2 | | Round 3 | | Round 4 | | Round 5 | | Round 6 | |
|---------------|------------------|---------|-----|---------|-----|---------|-----|---------|-----|---------|-----|---------|-----|
| | | Start | End | Start | End | Start | End | Start | End | Start | End | Start | End |
| 0 | 1 | 1 | 2 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 1 | 0 |
| 1 | 1 | 1 | 2 | 0 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 1 | 0 |
| 2 | 1 | 1 | 2 | 1 | 2 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 0 |
| 3 | 1 | 1 | 2 | 1 | 2 | 1 | 2 | 1 | 2 | 0 | 2 | 1 | 0 |
| 4 | 1 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 5 | 1 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 1 | 2 | 1 | 0 |
| 6 | 1 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 7 | 1 | 1 | 2 | 0 | 2 | 0 | 2 | 1 | 2 | 0 | 2 | 0 | 0 |
| 8 | 1 | 1 | 2 | 0 | 2 | 1 | 2 | 0 | 2 | 1 | 2 | 0 | 0 |
| 9 | 1 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 10 | 1 | 1 | 2 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 2 | 1 | 0 |
| 11 | 1 | R=1 | N | N | N | R=1 | N | N | R=2 | N | N | R=0 | R=1 |
| 12 | 1 | N | N | N | N | R=1 | R=1 | N | N | R=1 | N | R=0 | R=1 |
| 13 | 0 | R=0 | N | N | R=1 | R=1 | N | R=15 | R=2 | R=2 | R=2 | N | R=1 |
| 14 | 0 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 15 | 0 | 0 | 2 | 1 | 2 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 16 | 0 | 0 | 2 | 0 | 2 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 0 |
| 17 | 0 | 0 | 2 | 1 | 2 | 0 | 2 | 1 | 2 | 0 | 2 | 0 | 0 |
| 18 | 0 | 0 | 2 | 0 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 19 | 0 | 0 | 2 | 0 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 20 | 0 | R=1 | R=1 | R=2 | N | R=1 | N | R=2 | R=2 | R=1 | R=2 | N | N |
| 21 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 22 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 2 | 0 | 0 |
| 23 | 0 | R=1 | R=0 | N | R=1 | N | N | R=2 | R=1 | N | N | R=0 | R=1 |
| 24 | 0 | 0 | 2 | 1 | 2 | 1 | 2 | 1 | 2 | 1 | 2 | 1 | 0 |
| Max(0,1) > 15 | | 14 | | 11 | | 15 | | 12 | | 15 | | 17 | |

Table 7: Results of test case 8