# Advanced Concurrent Programming







#### Presentation Topics





In this presentation we will cover:

- Introduction to Concurrent Libraries
- Working with Synchronizers
- Using the Execution Framework









When we are done, you should be able to:

- Oldentify two motivations for the concurrent libraries
- Components of the execution framework
- O Describe one synchronizer

#### Introduction to Concurrent Libraries

Who in the world is Doug Lea?



# Introduction to Concurrent Libraries

- Java provides built-in basic structures for concurrent programming
- Beyond "basic" concurrent solutions, built-in facilities are limited; foundational but not complete
- As a result, community created own concurrency oriented libraries to address complex situations

### Java Thread Model Limitations



#### Based on block-structured locking

- Cocks associated with entire objects
  - Can't notify specific thread based on condition
  - Have to notify unknown waiting thread
- ONo way to:
  - "take back" or timeout attempt to acquire lock
  - Modify lock semantics
- O No "built-in":
  - Pooling mechanism
  - Auto-blocking lists
  - Continuous limited atomic operation support

# Overview of Concurrent Libraries



- Introduced as part of Java SE 5.0
- ODriven by JSR 166
  - OAdaptation of Doug Lea's util.concurrent package
  - O Defined in three packages:
    - java.util.concurrent
    - java.util.concurrent.atomic
    - java.util.concurrent.locks

## Motivations for Concurrent Libraries



- Address limitations of Java's thread model
- Standardize and simplify common concurrency mechanisms
  - O Lower complexity in development concurrent programs
  - Olimination Increase maintainability of concurrent code
  - O Lessen common "concurrency" issues

O Provide robust, efficient, and high-performance utilities





- Main concurrency package
- Contains classes to aid in concurrency development
- Three main facilities:
  - Concurrent collections
  - Execution framework
  - Synchronizers

# Concurrent Collections













- Extend Collections framework into concurrency world
  - More scalable than standard Collections classes
  - Compliant with Collection framework
- Provide thread safety
  - More "lightweight" than synchronized
  - Typically synchronize on manipulation
  - Typically retrieval is not synchronized
- OContains:

  - Set
  - O Queue



- Standard java.util.Iterator
  - Fail-fast implementation
  - Olf underlying collection changes, Iterator throws ConcurrentModificationException
- Concurrency Collections
  - Weakly-consistent implementation
  - Support concurrent modifications
  - May reflect underlying changes while iterating





- Add concurrency support to lists
  - OAlternative to Collections.synchronizedList
  - OUses Concurrency APIs for thread-safety
- CopyOnWriteArrayList
  - Modifications on the list cause are performed on a copy of an array
  - Efficient because no locking on traversal
  - Not-efficient because of memory copy





- Two interfaces:
  - ConcurrentMap
  - ConcurrentNavigableMap
- Provide atomic operations for Map
  - putIfAbsent
  - remove
  - replace
- O Implementations include:
  - ConcurrentHashMap
  - ConcurrentSkipListMap







- Implementations include:
  - CopyOnWriteArraySet
  - ConcurrentSkipListSet

More fine grained access control

# Collection Framework : Queues



New Queue interface added to java.util

- Represents some form of waiting list
- Implementations have different ordering algorithms
  - First-in-first-out (FIFO)

  - Natural ordering
  - Priority
- ODefined in terms of
  - Head (start of queue)
  - Tail (end of queue)

# Collection Framework: Qs [cont.]

- Support normal-collection behaviors
  - java.util.Collection
  - java.util.Iterable
- Support new behaviors
  - Olnsertion:
    - Ooffer inserts element into queue; if space available
  - Removal:
    - Oremove removes head of queue or throws
      NoSuchElementException
    - opoll removes head of queue or null
  - Viewing
    - Oelement retrieves head or throws
      NoSuchElementException
    - opeek retrieves head or null

## Concurrent Queues





- Concurrency libraries provide concurrent implementations of Queue interface
  - ○BlockingQueue
    - Adds waiting functionality to queue
    - Oput adds to queue or waits for space
    - take removes from queue or waits for availability
  - BlockingDeque





#### Sample implementations:

- Bounded Implementations
  - ArrayBlockingQueue
  - LinkedBlockingQueue
  - O LinkedBlockingDeque
- Unbounded Implementations
  - PriorityBlockingQueue
  - DelayQueue
- Synchronous Implementation
  - SynchronousQueue
  - Take waits for put / put waits for take
  - ONo "internal" capacity

# Using LinkedBlockingQueue



- Can be used to simplify producer consumer problem
- Current solution uses OrderBoard
  - OrderBoard manages synchronization
    - Obtains list object lock before modifying list
    - Release list object lock after modifying list
  - OrderBoard manages availability
    - ODetermines whether insert operation is valid
    - ODetermines whether remove operation is valid
    - Synchronizes threads appropriately
- LinkedBlockingQueue alternative
  - Manages synchronization of access
  - Manages availability of access

## OrderBoard Redesign





- OrderBoard has been redesigned
  - Extracted interface
  - Enables us to create different implementations
  - ODon't need to modify cook or waiter

# OrderBoard + postOrder(order: Order) + cookOrder(): Order BlockingQueueOrderBoard

- ○BlockingQueueOrderBoard
  - Olmplementation of OrderBoard
  - OUses a bounded BlockingQueue
  - No synchronization
  - ONo queue empty / full management
  - Simplifies original OrderBoard

# BlockingQueue Example



```
package examples.concurrent.advanced;
 3
      import java.util.concurrent.BlockingQueue;
     import java.util.concurrent.LinkedBlockingQueue;
 4
 5
6
    +/** . . . */
13
      public class BlockingQueueOrderBoard implements OrderBoard {
14
15
        BlockingQueue<Order> orders;
16
17
        public BlockingQueueOrderBoard() {
18
          orders = new LinkedBlockingQueue<Order>(5);
19
20
21 at 🖨
        public void postOrder(Order toBeProcessed) {
22
          try {
23
            orders.put(toBeProcessed);
24
          } catch (InterruptedException e) {
25
            e.printStackTrace();
26
27
28
```

# BlockingQueue Example [cont.]



```
29 at 🖨
        public Order cookOrder() {
30
          Order returnValue = null;
31
          try {
32
            returnValue = orders.take();
33
          } catch (InterruptedException e) {
34
            e.printStackTrace();
35
36
37
          return returnValue;
38
39
40
```

# Lab: Rewrite Order Board



- ⊙ GOAL: Refactor the order board to use a blocking queue from the concurrency library. The resulting code should be less complex, less lines of code. Yet the functionality will be exactly the same.
- NOTE: Keep an old copy of the order board around. It will be used in other upcoming labs.
- ODURATION: 45 minutes

# Execution Framework

Delegate, delegate, delegate









#### Two task execution frameworks built into Java

- 1. Thread as an execution framework
  - © Runnable becomes task
  - Thread governs when run is executed
  - No support for canceling, scheduled execution, etc.
- 2.java.util.Timer as execution framework
  - Introduced in 1.3
  - Task represented as TimerTask
  - Supports canceling, fixed rate scheduling, date-based scheduling
  - 1. No real-time timing guarantees relies on wait mechanism

#### Task Execution [cont.]





- Both task execution frameworks are "functional", but somewhat incomplete
- Generally you need a more robust execution framework that provides:
  - Thread reuse and pooling
  - Task scheduling
  - Task canceling
  - ODecoupling of task registration from execution



- OPart of java.util.concurrent package
  - ODecouples task execution from Thread dependency
  - Supports more robust task handling
  - Implemented using Factory and command-pattern
- Built around three key concepts:
  - Tasks
  - Executors
  - Execution services

# Execution Framework Tasks



Two "tasks" in concurrency execution framework

- 1. java.lang.Runnable
  - Standard Runnable
  - Implement run method
  - Don't worry about Threading semantics
- 2.java.util.concurrent.Callable
  - Similar to a Runnable, in concept
  - Single method to implement
    - opublic V call()
    - 1.Can return value
    - 2. Can throw checked exceptions









- Entities that execute tasks
- Represented by java.util.concurrent.Executor
  - ODecouples task submission from execution
  - Does not define how Runnable will be executed
  - Executor implementation could be:
    - ODedicated single-thread based
    - Thread-pool based
    - Current-thread based
  - ODoes not define when Runnable will be executed
  - Single task submission method
    public void execute(Runnable cmd)

## **Execution Services**





Entities responsible for execution and management of tasks

- - ⊙ java.util.concurrent.ExecutorService
    - Interface extensions of Executor
    - Adds management capabilities to Executor

      - ○Shutdown shutdown
      - ○Service monitoring isShutdown / isTerminated
    - Enhances task handling



- Two types (cont):
  - java.util.concurrent.ScheduledExecutorService
    - OInterface extensions of ExecutorService
    - Adds scheduling capabilities to ExecutorService
      - Supports Callable and Runnable
      - Single-schedule execution
      - Fixed-rate scheduled execution
      - Fixed-delay scheduled execution
      - ONo "date-based" scheduled execution







- Every scheduled task has an associated "handle"
- Handle used for task cancellation and monitoring
- Handles are decoupled from:
  - Service no way to get execution service reference from handle
  - Task no way to get task reference from handle
- Two types of "handles"
  - ⊙ java.util.concurrent.Future
  - java.util.concurrent.ScheduledFuture

NOTE: Handle type dependent on scheduling mechanism

# Executor Implementations



- OCreate your own Executor implementation
  - Easiest way is to define a ThreadFactory
  - Associate it with ThreadPoolExecutor
- Or utilize the built-in implementations
  - ©Executors class is a factory
    - OCan be used to create ExecutorServices
      - Single thread
      - Cached thread pool
      - Fixed thread pool
      - Scheduled thread pool
    - Can be used to create Callable objects out of Runnable objects





#### A Simplistic example

- Intended to illustrate use of an execution service
- Built around scheduled execution of a task
- Task performs HTTP ping-like functionality to determine availability of web server

# Execution Framework Example



```
package examples.concurrent.executer;
    2
    3
       import ...
    8
    9
       +/** . . . */
   21
         public class TimedPing {
   22
  23
           public static void main(String[] args) throws Exception {
  24
  25
             URL url = new URL(args[0]);
  26
             HttpPinger pinger = new HttpPinger(url);
  27
  28
             //create a scheduled execution service
  29
             //only need one thread to perform ping functionality
  30
             ScheduledExecutorService pingService =
  31
                      Executors.newSingleThreadScheduledExecutor();
  32
  33
             //schedule the HttpPinger to ping every ping
  34
             ScheduledFuture future =
  35
                      pingService.scheduleAtFixedRate(pinger, 30L,
  36
                                                       60L, TimeUnit.SECONDS);
  37
  38
             //schedule a task to cancel the pinger after 5 minutes
  39
             //task should also notify the service to shutdown
  40
             pingService.schedule(new CancelPinger(future, pingService),
   41
                                                     60*5, TimeUnit. SECONDS);
   42
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```

## Exec. Framework Example [cont.]

```
package examples.concurrent.executer;
    import ...
 6
 7
    +/**...*/
11
      public class HttpPinger implements Runnable {
12
13
        private boolean keepTesting = true;
14
        private URL theHostToTest;
15
        private ScheduledFuture scheduledFuture;
16
17
        public HttpPinger(URL url) {
18
          theHostToTest = url;
19
```

## Exec. Framework Example [cont.]

```
21 🗊 🗇
        public void run() {
22
          try {
23
            HttpURLConnection connection =
24
                     (HttpURLConnection) theHostToTest.openConnection();
25
26
            //just see if we can access it
27
            connection.setRequestMethod("HEAD");
28
            connection.connect();
29
30
            //the HTTP response code
31
            int responseCode = connection.getResponseCode();
32
33
            if (responseCode != HttpURLConnection.HTTP OK) {
              System.out.println("Failed attempt");
34
35
            } else {
              System.out.println("Connected ok: "+System.currentTimeMillis());
36
37
38
            connection.disconnect();
39
          } catch (Exception e) {
40
            e.printStackTrace();
41
42
43
```

# Exec. Framework Example [cont.]

```
package examples.concurrent.executer;
 2
 3
     import java.util.concurrent.ExecutorService;
 4

☐import java.util.concurrent.ScheduledFuture;

 5
 б
    +/** . . . */
10
      public class CancelPinger implements Runnable {
11
12
        private ScheduledFuture future;
13
        private ExecutorService service;
14
15
        public CancelPinger(ScheduledFuture f, ExecutorService pingService) {
          future = f;
16
17
          service = pingService;
18
19
20 of \(\bar{c}\) public void run() {
21
          future.cancel(false);
22
          service.shutdown();
23
24
```

### Lab: Task Execution





- ⊙ GOAL: Implement the example. Allow more than one "pinger" to exist and "ping" the health of a website. After a website has been determined to be up (10 successful pings), change the frequency (the delay) from ever 30 seconds to every minute.
- HINT: You will need more than a single threaded executor.
- ODURATION: 45 minutes

### Working with Synchronizers

The Great Barrier Reef



### Synchronizers





- Outility classes
  - OUsed to help coordinate control flow of Threads
  - OHave their own state to determine "go" or "wait"
  - OPotential replacements for synchronization blocks
- Three broad types:
  - Catches
  - Barriers
  - Semaphores

### Latches







- Synchronizer that delays progress of threads
  - Threads are delayed until a terminal state is reached
  - Once reached, all threads can proceed
- Function like a gate:
  - When gate is closed, can't go through
  - When gate is open, can go through
  - Once gate is open, stays open
- OUseful when trying to synchronize:
  - Resource initialization
  - Service startup
  - Application shutdown







- Implementation of a latch
  - Forces threads to wait until a predefined number of "events" occur
  - Outilizes a counter
  - As events occur, counter decrements
  - When count becomes 0, latch is released

O Can not be reused

### Latch Example: LatchWaiter



```
package examples.concurrent.advanced;
      import java.util.concurrent.CountDownLatch;
5
12
     +/**...*/
      public class LatchWaiter extends Thread {
13
14
        private CountDownLatch latch;
15
16
        public LatchWaiter(CountDownLatch latch) {
17
          this.latch = latch;
18
19
public void run() {
21
          try {
22
            latch.await();
23
           } catch (InterruptedException e) { }
          System.out.println("All threads completed, waiter is going to work");
24
25
26
```

### Latch Example: BusBoy(s)



```
package examples.concurrent.advanced;
2
3
4
5
6
13
14
     import java.util.Random;
     import java.util.concurrent.CountDownLatch;
     +/**...*/
       public class BusBoy extends Thread {
         private static Random randomGenerator = new Random();
16
17
18
19
20
21
         private CountDownLatch latch;
         BusBoy(CountDownLatch latch) {
           this.latch = latch;
22
23 💣 🖯
         public void run() {
24
           try {
25
26
27
             latch.countDown();
             System.out.println("BusBoy cleaning table " + latch.getCount());
             int sleepTime = Math.abs(randomGenerator.nextInt());
28
             Thread.sleep(sleepTime);
29
30
           } catch(InterruptedException ie) {
31
             System.out.println(ie);
32
33
34
```

### Latch Example: SmokeBreak



```
package examples.concurrent.advanced;
2
3
4
5
12
       import java.util.concurrent.CountDownLatch;
     +/**...*/
      public class SmokeBreak {
13
14
         public static void main(String[] args) {
15
           CountDownLatch latch = new CountDownLatch(5);
16
17
           LatchWaiter waiter = new LatchWaiter(latch);
18
           waiter.start();
19
20
           for(int i=0;i<5;i++) {
21
             new BusBoy(latch).start();
22
23
24
25
```

### Barriers







- OBlock threads until some "event" occurs
  - OUsed to "join" groups of threads
  - All threads must reach rendezvous point at same time
  - Once all threads reach barrier, then proceed
- Threads don't die when they reach barrier
  - ODifferent than Thread.join()
  - They can continue processing
- Oculd be implemented using wait / notify mechanics
  - OBut might be messy
  - And potentially error prone

### Barriers: CyclicBarrier



- Provides "blocking" point for threads
  - Constructed with number of threads in party
  - Each thread calls await when it gets to point
  - OcyclicBarrier blocks await thread until all members of party arrive
  - Once all arrive, releases threads

OCan be reused - reset the barrier

## CyclicBarrier Example : BusBoyBarrier

```
package examples.concurrent.advanced;
 2
3
6
7
     +import ...
     +/**...*/
14
      public class BusBoyBarrier extends Thread {
15
16
         private static Random randomGenerator = new Random();
17
18
         private CyclicBarrier barrier;
19
20
21
22
         BusBoyBarrier(CyclicBarrier barrier) {
           this.barrier = barrier;
23
24 of 🖯
25
        public void run() {
           try {
26
27
             System.out.println("BusBoy cleaning table ");
             int sleepTime = Math.abs(randomGenerator.nextInt());
28
             Thread.sleep(1000);
29
             System.out.println("BusBoys waiting: " + barrier.getNumberWaiting());
30
             barrier.await();
31
32
           } catch(InterruptedException ie) {
33
             System.out.println(ie);
34
           } catch (BrokenBarrierException e) {
35
             System.out.println(e);
36
37
38
```

# CyclicBarrier Example : SmokeBreak

```
package examples.concurrent.advanced;
       import java.util.concurrent.CyclicBarrier;
     +/**...*/
      public class SmokeBreak {
13
14
15
        public static void main(String[] args) {
          CyclicBarrier barrier = new CyclicBarrier(5, new Runnable() {
16
             public void run() {
17
               System.out.println("BusBoy Smoke Break");
18
19
           });
20
21
           for(int i=0;i<5;i++) {
22
             new BusBoyBarrier(barrier).start();
23
24
25
```







- Barrier with data passing semantics
- Used with two threads
  - Meet at rendezvous point
  - Once there, exchange data
  - Continue on processing







### Formalization of counting semaphore

- Counting associated with set number of permits
- Semaphore with one permit is considered a mutex
- Removes counting semantics found in many synchronization techniques







- Permits provide access control
  - Initialized to the number of resources it controls
  - Two key methods:
    - acquire
      - ODecreases the number of available permits
      - Will wait if no permits available
    - Orelease increases number of available permits
  - Thread can hold more than one permit
  - Permit can be released by non-holding thread







### OrderBoard

- + postOrder(order: Order)
  + cookOrder() : Order
  - SemaphoreOrderBoard

SemaphoreOrderBoard

- Implementation of OrderBoard
- OUses two Semaphores

  - oemptySem initialized with 0
    permits
- No synchronization uses synchronized list
- ONo queue empty / full management
- OSimplifies original OrderBoard

### Semaphore Example





```
package examples.concurrent.advanced;
2
 3
     import java.util.ArrayList;
 4
     import java.util.List;
 5
     import java.util.Collections;
б
    7
8
    +/**...*/
16
     public class SemaphoreOrderBoard implements OrderBoard {
17
18
       private List<Order> orders;
19
       private Semaphore fullSem, emptySem;
20
21
22
      /** . . . */
26
      public SemaphoreOrderBoard() {
27
         orders = Collections.synchronizedList(new ArrayList<Order>());
28
         fullSem = new Semaphore(5);
29
         emptySem = new Semaphore(0);
30
```

## Semaphore Example [cont.]



```
*** . . . */
36 at 🖨
        public void postOrder(Order toBeProcessed) {
37
          try {
38
            fullSem.acquire(); //decrease permits by one
39
            orders.add(toBeProcessed);
40
          } catch (Exception e) {
41
            e.printStackTrace();
42
          } finally {
            emptySem.release(); //increase permits by one
43
44
45
46
```

## Semaphore Example [cont.]



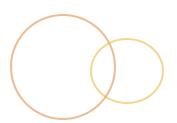
```
+
53 at 🖨
        public Order cookOrder() {
54
          Order tmpOrder = null;
55
          try {
56
            emptySem.acquire(); //decrease permits by one
57
            tmpOrder = orders.remove(0);
58
          } catch (Exception e) {
59
            e.printStackTrace();
          } finally {
60
            if(orders.size() < 3)</pre>
61
               fullSem.release(); //increae permits by one
62
63
64
65
          return tmpOrder;
66
67
```

### Lab: Rewrite Order Board



- **⊙ GOAL:** Refactor the order board to use a Semaphore concurrency library. The resulting code should be less complex, less lines of code. Yet the functionality will be exactly the same.
- NOTE: Keep an old copy of the order board around. It will be used in other upcoming labs.
- ODURATION: 45 minutes

### Other Concurrency Packages









- Cocking and waiting condition framework
  - Alternative to monitor lock mechanism
  - O Provides greater flexibility
    - Cocks do not require synchronized blocks
    - Cocks support re-entrance and fairness policies
    - Cocks have multiple conditions
    - Waiting based on condition not "object lock"
- Key components:
  - java.util.concurrent.locks.Lock
  - java.util.concurrent.locks.Condition
  - java.util.concurrent.locks.ReentrantLock







### OrderBoard

- + postOrder(order: Order)
- + cookOrder() : Order

LockOrderBoard

- - Implementation of OrderBoard
  - OUses one Lock

    - Oused to synchronize access to orders list
  - Access controlled by two conditions
    - full
    - oempty
  - OQueue empty / full management

### Lock Example





```
package examples.concurrent.advanced;
2
    import java.util.ArrayList;
     import java.util.List;
4
     import java.util.concurrent.locks.Condition;
     import java.util.concurrent.locks.Lock;
б
    8
    +/**...*/
9
13
     public class LockOrderBoard implements OrderBoard {
14
15
       List<Order> orders:
16
17
       Lock fullLock = new ReentrantLock();
18
       Condition full = fullLock.newCondition();
19
       Condition empty = fullLock.newCondition();
20
21
22
       public LockOrderBoard() {
23
         orders = new ArrayList<Order>();
24
```

### Lock Example [cont.]





```
public void postOrder(Order toBeProcessed) {
26 at
27
           try {
             fullLock.lock();
28
29
             while(orders.size() == 5) {
30
               full.await();
31
32
             orders.add(toBeProcessed);
             empty.signalAll();
33
34
           } catch(Exception e) {
35
             e.printStackTrace();
36
37
           fullLock.unlock();
38
39
```

### Lock Example [cont.]





```
public Order cookOrder() {
41 🗊
42
           Order returnValue = null;
43
           try {
             fullLock.lock();
44
45
             while(orders.size() == 0) {
               empty.await();
46
47
             returnValue = orders.remove(0);
48
             full.signalAll();
49
           } catch(Exception e) {
50
51
             e.printStackTrace();
52
53
           fullLock.unlock();
54
           return returnValue;
55
56
```



- Toolkit of classes
  - Provide atomic manipulation of variables
  - OUses lock-free thread-safe implementation
- Extends volatile using compareAndSet functionality
  - Relies on enhancements made to JVM
  - Take advantage of compare-and-swap or load-linked/storecondition hardware based operations
  - Foundational for entire concurrency package
- May or may not be used at application development level

### Summary







- Java SE 5.0 exponentially expands concurrent programming in Java
- Concurrency libraries provide standardization to common concurrent problems
- Concurrency libraries provide:
  - Concurrent collections
  - Synchronizers
  - Cocks
  - Atomic wrappers

## Advanced Concurrency Lab



O GOAL: Create a basic site map creation utility.

The site map creation utility should generate a text file containing all of the domain-specific URLs found on a given website. Associated with each domain, should be a indicator denoting whether the URL was accessible. The site map utility does not need to track images, only <a href> tags.

Use multiple threads to make the utility efficient. There should be one thread that finds <a href>s, and one thread that processes <a href>s.

When all of the <a href>s are processed, the application should generate a text file and then shut-down gracefully.

HINTS: A map could be used to represent the "indexed" site. A queue could be used as the shared resource representing the unindexed / untested elements of the site.





- O DURATION: 120 minutes
  - 100 minutes development (consider pair programming)
  - 20 minutes group code review