

# Silicon—Graphite-Blended Electrode with Thermodynamic Voltage Hysteresis

## Introduction

Due to its high capacity, silicon (Si) is often added to graphite in the negative electrode of lithium-ion batteries.

Silicon-graphite blended electrodes may exhibit significant thermodynamic voltage hysteresis ("path dependence") because the equilibrium potential of the lithium-silicon intercalation reaction is dependent on the charge-discharge history of the electrode.

This example demonstrates how to add Si as an Additional Electrode Material in the Lithium-Ion Battery interface and how to define a memory variable for handling the voltage hysteresis using an additional Coefficient From PDE interface.

## Model Definition

The model solves for a half cell with the following components:

- Blended porous electrode with graphite (Li<sub>x</sub>C<sub>6</sub>, MCMB) and silicon (Li<sub>x</sub>Si), where the thickness depends on the graphite:silicon ratio; see below
- Separator (25 µm thick)
- Negative lithium-metal counter electrode
- Electrolyte, 1.0 M LiPF<sub>6</sub> in EC:EMC (3:7 by weight)

The potential of the blended electrode is defined versus a reference electrode positioned at the boundary between the blended electrode and the separator.

Similar processes are accounted for as in the Lithium-Ion Battery with Multiple Intercalating Electrode Materials example, but with some exceptions: The cell has a lithium metal counter electrode instead of a negative porous electrode that provides lithium-ion flux during charge-discharge. A memory variable is used to handle the voltage hysteresis of silicon material in the blended electrode.

#### ELECTRODE MATERIAL HYSTERESIS

The hysteresis, or path dependence, of the silicon electrode material is depicted in Figure 1. Here, the equilibrium (rest) potentials of the individual silicon and graphite materials are plotted as a function of the degree of lithiation ( $x_{Gr}$  for graphite and  $x_{Si}$  for silicon).

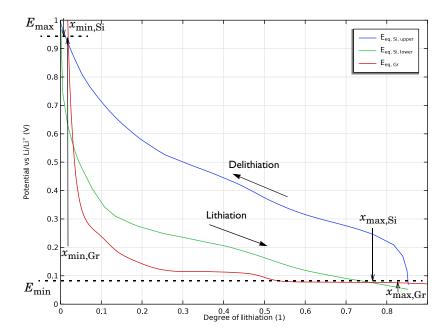


Figure 1: Equilibrium potentials defined as functions of the degree of lithiation. Currentdirection dependent potential curve for silicon (upper/delithiation and lower/lithiation). Maximum and minimum lithiation degrees,  $x_{\min}$  and  $x_{\max}$ , correspond to the potential limits,  $E_{\min}$  and  $E_{\max}$ , of the blended electrode.

For silicon, the equilibrium potential exhibits hysteresis and depends on the charging direction. Graphite does not exhibit voltage hysteresis to the same degree, and in the model the potential is only dependent on the degree of lithiation.

The potential equilibrium curve for graphite is added from the Material library and used in a Porous Electrode Reaction node on the blended porous electrode domain. In the same domain, an Additional Porous Electrode Material node is also added, defining the silicon material.

The silicon potential equilibrium is defined using a user-defined expression according to (Ref. 1)

$$E_{\text{eq,Si}} = U_{\text{avg}} + S \cdot U_{\text{offset}}$$

with

$$U_{\text{avg}} = \frac{E_{\text{eq,Si,upper}}(x_{\text{Si}}) + E_{\text{eq,Si,lower}}(x_{\text{Si}})}{2}$$

and

$$U_{\text{offset}} = \frac{E_{\text{eq,Si,upper}}(x_{\text{Si}}) - E_{\text{eq,Si,lower}}(x_{\text{Si}})}{2}$$

Here S is a memory variable that is set up in a Coefficient Form PDE interface in which the following equation is solved

$$\frac{dS}{dt} = \left(-K \left| \frac{dx_{Si}}{dt} \left| \left( sign\left( \frac{dx_{Si}}{dt} \right) + S \right) \right) \right|$$

In this way, S approaches 1 during delithiation and -1 during lithiation.

The intercalation rate is defined as

$$\frac{dx_{\rm Si}}{dt} = \frac{i_{\rm v, Si}}{F\varepsilon_{\rm s, Si}c_{\rm s, max, Si}}$$

where  $i_v$  denotes the volumetric electrochemical reaction current,  $\varepsilon_s$  the active electrode material volume fraction, and  $c_{s,max}$  the maximum (host) capacity for lithium intercalation in  $mol/m^3$ .

#### **ELECTRODE BALANCING**

The blended porous electrode thickness,  $L_{
m el}$ , depends on the areal capacity,  $Q_{
m el}$ , set to 20 Ah/m<sup>2</sup> and the chosen potential limits for 0 and 100% state of charge (SOC) using the formulation

$$L_{\text{el}} = \frac{Q_{\text{el}}}{F(\varepsilon_{s. \text{Gr}}(x_{\text{max.Gr}} - x_{\text{min.Gr}})c_{s. \text{max.Gr}} + \varepsilon_{s. \text{Si}}(x_{\text{max.Si}} - x_{\text{min.Si}})c_{s. \text{max.Si}})}$$

where  $x_{\text{max}}$  and  $x_{\text{min}}$  for the materials are defined from the maximum and minimum cutoff voltages (versus the reference electrode),  $E_{\text{max}}$  and  $E_{\text{min}}$  (Figure 1)

$$E_{\text{eq,Gr}}(x_{\text{max,Gr}}) = E_{\text{eq,Si,lower}}(x_{\text{max,Si}}) = E_{\text{min}} = 0.075 \text{ V}$$

$$E_{\text{eq,Gr}}(x_{\text{min,Gr}}) = E_{\text{eq,Si,lower}}(x_{\text{min,Si}}) = E_{\text{max}} = 0.95 \text{ V}$$

## **ENERGY DENSITY**

The accumulated energy required for lithiation and delithiation,  $E_{
m lith}$  and  $E_{
m delith}$  (Wh/ m<sup>2</sup>), is computed in a Global ODEs and DAEs interface using the expressions

$$E_{\text{lith}} = -\int_{0}^{t_{\text{lith}}} i_{\text{app}} (E_{\text{vs ref}} - E_{\text{max}}) dt$$

$$E_{\text{delith}} = \int_{t_{\text{lith}}}^{t...} i_{\text{app}} (E_{\text{vs ref}} - E_{\text{max}}) dt$$

where  $E_{
m vs\,ref}$  is the potential of the blended electrode versus the reference electrode during the cycle.

The energy densities, e (Wh/m $^3$ ), are obtained by dividing the accumulated energies with the electrode thickness:

$$e = \frac{E}{L_{el}}$$

Note that, as a result of the electrode balancing, the electrode thickness decreases when the Si fraction increases.

The energies are also used to compute the delithiation-to-lithiation energy efficiency

$$eff = \frac{E_{delith}}{E_{lith}}$$

## Results and Discussion

The cell is simulated for a full lithiation-delithiation cycle for three different electrode blends, and two different charge-discharging C-rates. The results of the potential change with lithiation and current direction in Figure 2 demonstrate how the hysteresis becomes more prominent with a larger fraction of silicon, and at lower SOCs.

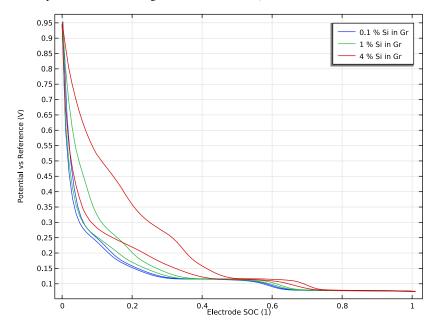


Figure 2: Blended electrode potential versus the reference electrode for three different blends for a C/10 lithiation-delithiation rate.

As shown in Figure 3, for the 1C rate, the hysteresis is more severe. For the 4% silicon-ingraphite blend it is present over the whole SOC interval.

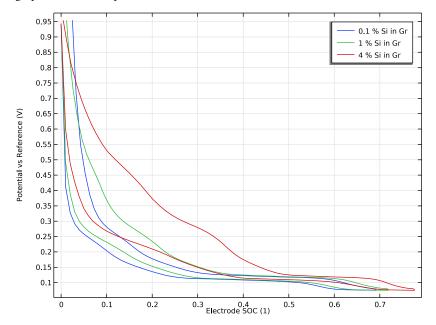


Figure 3: Blended electrode potential versus the reference electrode for three different blends for a 1C lithiation-delithiation rate.

From the average material lithiation levels in Figure 4, it can be seen that the silicon is intercalated first when starting a charging cycle, and that graphite intercalation dominates toward the end of the charging and at the start of the discharge (at around 10 h). This is directly related to the individual equilibrium potential curves of the two materials.

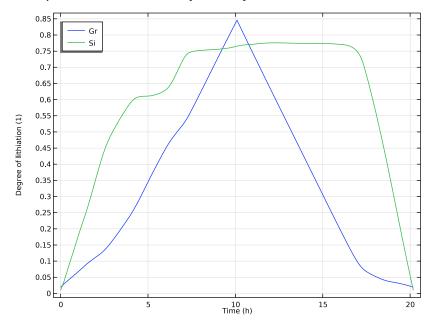


Figure 4: Average material lithiation levels for a 0.1C cycle and 4% silicon-in-graphite blend.

For the higher charge-discharge rate in Figure 5, it is seen that the relative silicon utilization increases over the whole cycle.

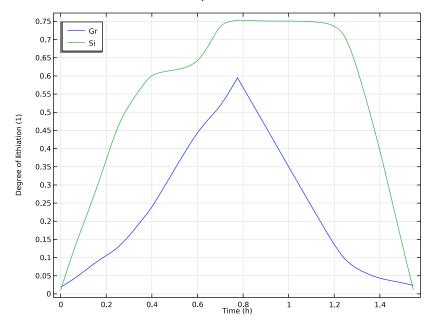


Figure 5: Average material lithiation levels for 1C cycle and 4% silicon-in-graphite blend.

In Figure 6, the hysteresis memory variable variation for the 1C cycle is displayed. A noteworthy difference in the dynamics of the memory variable between lithiation and delithiation is seen. It also shows that the path dependence can differ along the electrode thickness. The latter phenomena is primarily observed during the delithiation.

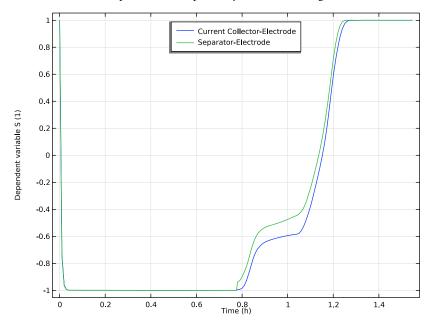


Figure 6: Hysteresis memory variable during 1C lithiation-delithiation for 4% silicon-ingraphite blend at two electrode locations.

Figure 7 shows that the delithiation energy density increases with the silicon content regardless of C-rate.

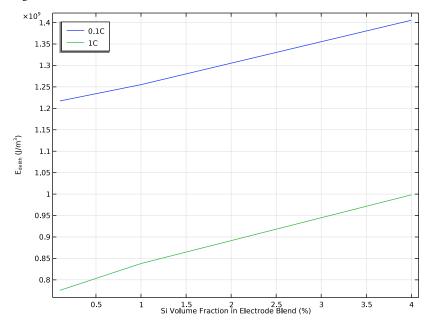


Figure 7: Energy density for 0.1C and 1C delithiation.

In contrast, Figure 8 displays lower delithiation-to-lithiation energy efficiency with increased silicon content. This is related to the increasing hysteresis voltage differences at higher silicon levels.

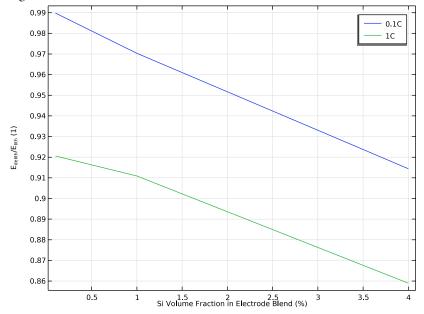


Figure 8: Delithiation-to-lithiation energy efficiencies for 0.1C and 1C cycles.

# Reference

1. D.R. Baker, M.W. Verbrugge, and X. Xiao, "An approach to characterize and clarify hysteresis phenomena of lithium-silicon electrodes," J. Appl. Phys., vol. 122, p. 165105, 2017.

Application Library path: Battery\_Design\_Module/Batteries,\_Lithium-Ion/ li\_battery\_sigr\_hysteresis

## Modeling Instructions

From the File menu, choose New.

#### NEW

In the New window, click Model Wizard.

## MODEL WIZARD

- I In the Model Wizard window, click ID.
- 2 In the Select Physics tree, select Electrochemistry>Batteries>Lithium-Ion Battery (liion).
- 3 Click Add.
- 4 Click Study.
- 5 In the Select Study tree, select General Studies>Time Dependent.
- 6 Click M Done.

## **GLOBAL DEFINITIONS**

#### Parameters 1

- I In the Model Builder window, under Global Definitions click Parameters I.
- 2 In the Settings window for Parameters, locate the Parameters section.
- 3 Click Load from File.
- 4 Browse to the model's Application Libraries folder and double-click the file li\_battery\_sigr\_hysteresis\_parameters.txt.

Some expressions will render warnings, indicating missing definitions. This is expected at this stage and will be resolved as soon as the materials are defined.

Add all materials except for LixSi (silicon) from the Material Library.

## ADD MATERIAL FROM LIBRARY

In the Home toolbar, click Windows and choose Add Material from Library.

#### ADD MATERIAL

- I Go to the Add Material window.
- 2 In the tree, select Battery>Electrodes>Graphite, LixC6 MCMB (Negative, Li-ion Battery).
- **3** Click **Add to Component** in the window toolbar.
- 4 In the tree, select Battery>Electrolytes>LiPF6 in 3:7 EC:EMC (Liquid, Li-ion Battery).
- **5** Click **Add to Component** in the window toolbar.
- 6 In the tree, select Battery>Electrodes>Lithium Metal, Li (Negative, Li-ion Battery).
- 7 Click Add to Component in the window toolbar.
- 8 In the Home toolbar, click **‡ Add Material** to close the **Add Material** window.

#### DEFINITIONS

Import the LixSi (silicon) equilibrium potential curves using Interpolation functions. Two curves are required to model the hysteresis; one for the delithiation (upper) and another for the lithiation (lower).

Interpolation - Eeg Si Upper

- I In the Home toolbar, click f(x) Functions and choose Local>Interpolation.
- 2 In the Settings window for Interpolation, type Interpolation Eeq Si Upper in the Label text field.
- 3 Locate the **Definition** section. In the **Function name** text field, type Eeq Si upper.
- 4 Click Load from File.
- **5** Browse to the model's Application Libraries folder and double-click the file li\_battery\_sigr\_hysteresis\_Eeq\_Si\_upper.txt.
- **6** Locate the **Interpolation and Extrapolation** section. From the **Extrapolation** list, choose Linear.
- 7 Locate the **Units** section. In the **Function** table, enter the following settings:

| Function     | Unit |
|--------------|------|
| Eeq_Si_upper | V    |

- 8 Click to expand the Related Functions section. Select the Define inverse function check box.
- **9** In the **Inverse function name** text field, type <code>Eeq\_Si\_upper\_inv</code>.
- **10** Click to collapse the **Related Functions** section.

Interpolation - Eeg Si Lower

- I In the Home toolbar, click f(x) Functions and choose Local>Interpolation.
- 2 In the Settings window for Interpolation, type Interpolation Eeq Si Lower in the Label text field.
- 3 Locate the **Definition** section. In the **Function name** text field, type Eeq Si lower.
- 4 Click **Load from File**.
- **5** Browse to the model's Application Libraries folder and double-click the file li battery sigr hysteresis Eeq Si lower.txt.
- 6 Locate the Interpolation and Extrapolation section. From the Extrapolation list, choose Linear

7 Locate the **Units** section. In the **Function** table, enter the following settings:

| Function     | Unit |
|--------------|------|
| Eeq_Si_lower | ٧    |

- 8 Click to expand the Related Functions section. Select the Define inverse function check box.
- **9** In the **Inverse function name** text field, type <code>Eeq\_Si\_lower\_inv</code>.
- **10** Click to collapse the **Related Functions** section.

#### **GEOMETRY I**

Interval I (iI)

- I In the Model Builder window, under Component I (compl) right-click Geometry I and choose Interval.
- 2 In the Settings window for Interval, locate the Interval section.
- 3 From the Specify list, choose Interval lengths.
- **4** In the table, enter the following settings:

| Lengths (m) |  |  |
|-------------|--|--|
| L_el        |  |  |
| L_sep       |  |  |

5 Click **Build All Objects**.

#### MATERIALS

Graphite, LixC6 MCMB (Negative, Li-ion Battery) (mat1)

- I In the Model Builder window, under Component I (compl)>Materials click Graphite, LixC6 MCMB (Negative, Li-ion Battery) (mat1).
- 2 Select Domain 1 only.

LiPF6 in 3:7 EC:EMC (Liquid, Li-ion Battery) (mat2)

- I In the Model Builder window, click LiPF6 in 3:7 EC:EMC (Liquid, Li-ion Battery) (mat2).
- **2** Select Domain 2 only.

Lithium Metal, Li (Negative, Li-ion Battery) (mat3)

- I In the Model Builder window, click Lithium Metal, Li (Negative, Li-ion Battery) (mat3).
- 2 In the Settings window for Material, locate the Geometric Entity Selection section.

- 3 From the Geometric entity level list, choose Boundary.
- 4 Select Boundary 3 only.

## DEFINITIONS

Define integration operators at the location of the reference electrode, and the current collector of the blended electrode, to allow for local variables to be accessed globally.

## Integration - Reference

- I In the Definitions toolbar, click Nonlocal Couplings and choose Integration.
- 2 In the Settings window for Integration, type Integration Reference in the Label text field.
- 3 In the Operator name text field, type intop ref.
- 4 Locate the Source Selection section. From the Geometric entity level list, choose Boundary.
- **5** Select Boundary 2 only.

## Integration - Current Collector

- I In the Definitions toolbar, click Nonlocal Couplings and choose Integration.
- 2 In the Settings window for Integration, type Integration Current Collector in the Label text field.
- 3 In the Operator name text field, type intop cc.
- 4 Locate the Source Selection section. From the Geometric entity level list, choose Boundary.
- **5** Select Boundary 1 only.

Import variables. Some expressions will render warnings, indicating missing definitions. This is expected at this stage and will be resolved as soon as the interfaces have been fully set up. The Electrode variables include the silicon equilibrium potential and relevant definitions related to the hysteresis.

## Variables - Electrode

- I In the Model Builder window, right-click Definitions and choose Variables.
- 2 In the Settings window for Variables, type Variables Electrode in the Label text field.
- 3 Locate the Geometric Entity Selection section. From the Geometric entity level list, choose Domain.
- 4 Select Domain 1 only.
- 5 Locate the Variables section. Click **Load from File.**

6 Browse to the model's Application Libraries folder and double-click the file li\_battery\_sigr\_hysteresis\_variables.txt.

Variables - Global

- I Right-click **Definitions** and choose **Variables**.
- 2 In the Settings window for Variables, type Variables Global in the Label text field.
- **3** Locate the **Variables** section. In the table, enter the following settings:

| Name     | Expression                                | Unit | Description                      |  |
|----------|---|------|----------------------------------|--|
| i_app    | i_1C*C_rate*CurrentDirection              |      | Applied current density          |  |
| E_vs_ref | <pre>intop_cc(phis)-intop_ref(phil)</pre> | ٧    | Electrode potential vs reference |  |
| SOC      | Cap/Q_el                                  |      | Electrode<br>SOC                 |  |

## LITHIUM-ION BATTERY (LIION)

Separator I

- I In the Model Builder window, under Component I (compl)>Lithium-lon Battery (liion) click **Separator 1**.
- 2 In the Settings window for Separator, locate the Porous Matrix Properties section.
- **3** In the  $\varepsilon_1$  text field, type epsl\_sep. Define the graphite material properties of the blended porous electrode in a **Porous Electrode** node.

Porous Electrode I - Graphite

- I In the Physics toolbar, click Domains and choose Porous Electrode.
- 2 In the Settings window for Porous Electrode, type Porous Electrode 1 Graphite in the Label text field.
- 3 Select Domain 1 only.
- 4 Locate the Electrolyte Properties section. From the Electrolyte material list, choose LiPF6 in 3:7 EC:EMC (Liquid, Li-ion Battery) (mat2).
- **5** Locate the **Electrode Properties** section. In the  $\sigma_s$  text field, type sigma\_s.
- 6 Locate the Porous Matrix Properties section. In the  $\varepsilon_s$  text field, type epss\_Gr.
- 7 In the  $\varepsilon_1$  text field, type epsl el.

#### Particle Intercalation I

- I In the Model Builder window, click Particle Intercalation I.
- 2 In the Settings window for Particle Intercalation, locate the Species Settings section.
- **3** In the  $c_{\rm s,init}$  text field, type cs\_Gr\_init.
- 4 Locate the Material section. From the Particle material list, choose Graphite, LixC6 MCMB (Negative, Li-ion Battery) (mat I).
- **5** Locate the **Particle Transport Properties** section. In the  $r_{\rm p}$  text field, type rp\_Gr.
- 6 Click to expand the Operational SOCs for Initial Cell Charge Distribution section. From the  $soc_{\min}$  list, choose User defined. From the  $soc_{\max}$  list, choose User defined.

#### Porous Electrode Reaction 1

- I In the Model Builder window, click Porous Electrode Reaction I.
- 2 In the Settings window for Porous Electrode Reaction, locate the Material section.
- 3 From the Material list, choose Graphite, LixC6 MCMB (Negative, Li-ion Battery) (mat1).
- **4** Locate the **Electrode Kinetics** section. In the  $i_{0,ref}(T)$  text field, type i0\_ref\_Gr. Define the Silicon material properties of the blended porous electrode using an Additional Porous Electrode Material node.

## Additional Porous Electrode Material 1 - Silicon

- I In the **Physics** toolbar, click **Domains** and choose Additional Porous Electrode Material.
- 2 In the Settings window for Additional Porous Electrode Material, type Additional Porous Electrode Material 1 - Silicon in the Label text field.
- **3** Select Domain 1 only.
- **4** Locate the **Volume Fraction** section. In the  $\varepsilon_s$  text field, type epss\_Si.

#### Particle Intercalation 1

- I In the Model Builder window, click Particle Intercalation I.
- 2 In the Settings window for Particle Intercalation, locate the Species Settings section.
- **3** In the  $c_{
  m s,init}$  text field, type  $m cs\_Si\_init$ .
- **4** From the  $c_{s,max}$  list, choose **User defined**. In the associated text field, type  $cs\_Si\_max$ .
- **5** Locate the **Particle Transport Properties** section. From the Species concentration transport model list, choose No spatial gradients.
- **6** In the  $r_p$  text field, type  $rp_si$ .
- 7 Click to expand the Operational SOCs for Initial Cell Charge Distribution section. From the  $soc_{\min}$  list, choose User defined. From the  $soc_{\max}$  list, choose User defined.

#### Porous Electrode Reaction 1

- I In the Model Builder window, click Porous Electrode Reaction I.
- 2 In the Settings window for Porous Electrode Reaction, locate the Equilibrium Potential section.
- **3** From the  $E_{eq}$  list, choose **User defined**. In the associated text field, type Eeq\_Si.
- **4** Locate the **Electrode Kinetics** section. In the  $i_{0,ref}(T)$  text field, type i0\_ref\_Si.

Electrode Surface I - Lithium Metal

- I In the Physics toolbar, click Boundaries and choose Electrode Surface.
- 2 In the Settings window for Electrode Surface, type Electrode Surface 1 Lithium Metal in the Label text field.
- 3 Select Boundary 3 only.

Electrode Current Density I

- I In the Physics toolbar, click Boundaries and choose Electrode Current Density.
- 2 Select Boundary 1 only.
- 3 In the Settings window for Electrode Current Density, locate the Electrode Current Density section.
- **4** In the  $i_{n,s}$  text field, type i\_app.

Initial Values 1

- I In the Model Builder window, click Initial Values I.
- 2 In the Settings window for Initial Values, locate the Initial Values section.
- 3 In the phis text field, type E init el.

## ADD PHYSICS

- I In the Physics toolbar, click open the Add Physics window. Add a Coefficient Form PDE to compute the memory variable, S.
- **2** Go to the **Add Physics** window.
- 3 In the tree, select Mathematics>PDE Interfaces>Coefficient Form PDE (c).
- 4 Click Add to Component I in the window toolbar.

## COEFFICIENT FORM PDE - MEMORY VARIABLE

- I In the Settings window for Coefficient Form PDE, type Coefficient Form PDE -Memory Variable in the Label text field.
- 2 Select Domain 1 only.

- 3 Click to expand the **Dependent Variables** section. In the **Field name (1)** text field, type S.
- 4 In the **Dependent variables (1)** table, enter the following settings:

S

## Coefficient Form PDE I

- I In the Model Builder window, under Component I (compl)>Coefficient Form PDE -Memory Variable (c) click Coefficient Form PDE 1.
- 2 In the Settings window for Coefficient Form PDE, locate the Diffusion Coefficient section.
- 3 In the c text field, type 0.
- **4** Locate the **Source Term** section. In the f text field, type dSdt.

## Initial Values 1

- I In the Model Builder window, click Initial Values I.
- 2 In the Settings window for Initial Values, locate the Initial Values section.
- **3** In the *S* text field, type **S\_init**.

#### ADD PHYSICS

- I Go to the Add Physics window.
- 2 In the tree, select Mathematics>ODE and DAE Interfaces>Events (ev).
- 3 Click Add to Component 1 in the window toolbar.

## EVENTS - CHARGE-DISCHARGE CONTROL

Add an **Events** interface to cycle the blended electrode between the voltage limits and keep track of the current direction.

I In the Settings window for Events, type Events - Charge-Discharge Control in the Label text field.

## Discrete States 1

- I Right-click Component I (compl)>Events Charge-Discharge Control and choose Discrete States.
- 2 In the Settings window for Discrete States, locate the Discrete States section.
- **3** In the table, enter the following settings:

| Name             | Initial value (u0) | Description          |
|------------------|--------------------|----------------------|
| CurrentDirection | -1                 | Direction of current |

## Indicator States 1

- I In the Physics toolbar, click **Global** and choose Indicator States.
- 2 In the Settings window for Indicator States, locate the Indicator Variables section.
- **3** In the table, enter the following settings:

| Name   | g(v,vt,vtt,t)        | Initial value (u0) | Description |
|--------|----------------------|--------------------|-------------|
| switch | -(E_vs_ref-E_switch) | 0                  |             |
| end    | E_vs_ref-E_end       | 0                  |             |

## Implicit Event 1

- I In the Physics toolbar, click A Global and choose Implicit Event.
- 2 In the Settings window for Implicit Event, locate the Event Conditions section.
- 3 In the Condition text field, type switch>0.
- **4** Locate the **Reinitialization** section. In the table, enter the following settings:

| Variable         | Expression |
|------------------|------------|
| CurrentDirection | 1          |

## Imblicit Event 2

- I In the Physics toolbar, click **Solution** Global and choose Implicit Event.
- 2 In the Settings window for Implicit Event, locate the Event Conditions section.
- 3 In the Condition text field, type end>0.

#### ADD PHYSICS

- I Go to the Add Physics window.
- 2 In the tree, select Mathematics>ODE and DAE Interfaces>Global ODEs and DAEs (ge).
- **3** Click **Add to Component I** in the window toolbar.

## GLOBAL ODES AND DAES - CHARGE INTEGRATION

Define global **Global ODEs and DAEs** interfaces to compute cumulative variables.

I In the Settings window for Global ODEs and DAEs, type Global ODEs and DAEs -Charge Integration in the Label text field.

## Global Equations 1 (ODE1)

- I In the Model Builder window, under Component I (compl)>Global ODEs and DAEs -Charge Integration (ge) click Global Equations I (ODEI).
- 2 In the Settings window for Global Equations, locate the Global Equations section.

| Name | f(u,ut,utt,<br>t) (l)  | Initial value<br>(u_0) (1) | Initial value<br>(u_t0) (1/s) | Description       |
|------|------------------------|----------------------------|-------------------------------|-------------------|
| Сар  | d(Cap,<br>t)+<br>i_app | 0                          | 0                             | Cumulative charge |

- 4 Locate the Units section. Click Define Dependent Variable Unit.
- 5 In the **Dependent variable quantity** table, enter the following settings:

| Dependent variable quantity | Unit  |
|-----------------------------|-------|
| Custom unit                 | C/m^2 |

- 6 Click Define Source Term Unit.
- 7 In the Source term quantity table, enter the following settings:

| Source term quantity | Unit  |
|----------------------|-------|
| Custom unit          | A/m^2 |

#### ADD PHYSICS

- I Go to the Add Physics window.
- 2 In the tree, select Mathematics>ODE and DAE Interfaces>Global ODEs and DAEs (ge).
- 3 Click Add to Component I in the window toolbar.
- 4 In the Home toolbar, click Add Physics to close the Add Physics window.

## GLOBAL ODES AND DAES - ENERGY INTEGRATION

In the Settings window for Global ODEs and DAEs, type Global ODEs and DAEs - Energy Integration in the **Label** text field.

Global Equations 1 (ODE2)

- I In the Model Builder window, under Component I (compl)>Global ODEs and DAEs -Energy Integration (ge2) click Global Equations I (ODE2).
- 2 In the Settings window for Global Equations, locate the Global Equations section.

| Name  | f(u,ut,utt,t) (l) | Initial value<br>(u_0) (1) | Initial value<br>(u_t0) (1/s) | Description                          |
|---|-------------------|----------------------------|-------------------------------|--------------------------------------|
| <pre>E_lith d(E_lith,t)- if(CurrentDirecti on==-1, i_app* (E_vs_ref- E_max_el),0)</pre> |                   | 0                          | 0                             | Cumulative<br>lithiation<br>energy   |
| E_delith  | = = ., .          |                            | 0                             | Cumulative<br>delithiation<br>energy |

- 4 Locate the Units section. Click Define Dependent Variable Unit.
- 5 In the **Dependent variable quantity** table, enter the following settings:

| Dependent variable quantity | Unit  |
|-----------------------------|-------|
| Custom unit                 | J/m^2 |

- 6 Click Define Source Term Unit.
- 7 In the Source term quantity table, enter the following settings:

| Source term quantity | Unit  |
|----------------------|-------|
| Custom unit          | W/m^2 |

## STUDY I

Set up a parametric sweep to simulate the half cell for different C-rates and blended electrode compositions.

## Parametric Sweep

- I In the Study toolbar, click Parametric Sweep.
- 2 In the Settings window for Parametric Sweep, locate the Study Settings section.
- 3 Click + Add.
- **4** In the table, enter the following settings:

| Parameter name                        | Parameter value list | Parameter unit |
|---------------------------------------|----------------------|----------------|
| C_rate (Lithiation/delithiation rate) | 0.1 1                |                |

5 Click + Add.

| Parameter name                    | Parameter value list | Parameter unit |
|-----------------------------------|----------------------|----------------|
| Si_f (Fraction of Si in electrode | 0.1 1 4              | %              |
| blend)                            |                      |                |

7 From the Sweep type list, choose All combinations.

## Step 1: Time Dependent

- I In the Model Builder window, click Step I: Time Dependent.
- 2 In the Settings window for Time Dependent, locate the Study Settings section.
- 3 From the Time unit list, choose h.
- 4 In the Output times text field, type range (0,0.01/C rate,2.1/C rate).

## Solution I (soll)

- 2 In the Model Builder window, expand the Solution I (soll) node.
- 3 In the Model Builder window, expand the Study I>Solver Configurations> Solution I (soll)>Time-Dependent Solver I node.
- 4 Right-click Study I>Solver Configurations>Solution I (soll)>Time-Dependent Solver I and choose Stop Condition.
- 5 In the Settings window for Stop Condition, locate the Stop Events section.
- 6 In the table, select the Active check box for Events Charge-Discharge Control (ev)/ Implicit Event 2.
- 7 Locate the Output at Stop section. From the Add solution list, choose Step before stop.
- 8 Clear the Add warning check box.
- 9 In the Study toolbar, click **Compute**. The following steps reproduce the model figures.

## RESULTS

## 0.1 C Electrode Voltage vs SOC

- I In the Settings window for ID Plot Group, type 0.1 C Electrode Voltage vs SOC in the Label text field.
- 2 Locate the Data section. From the Parameter selection (C\_rate) list, choose From list.
- 3 In the Parameter values (C\_rate) list, select 0.1.
- 4 Click to expand the **Title** section. From the **Title type** list, choose **None**.

- 5 Locate the **Plot Settings** section.
- 6 Select the y-axis label check box. In the associated text field, type Potential vs Reference (V).

## Point Graph 1

- I In the Model Builder window, expand the 0.1 C Electrode Voltage vs SOC node, then click Point Graph I.
- 2 In the Settings window for Point Graph, click Replace Expression in the upper-right corner of the y-Axis Data section. From the menu, choose Component I (compl)> Definitions>Variables>E\_vs\_ref - Electrode potential vs reference - V.
- 3 Locate the x-Axis Data section. From the Axis source data list, choose Inner solutions.
- 4 From the Parameter list, choose Expression.
- 5 Click Replace Expression in the upper-right corner of the x-Axis Data section. From the menu, choose Component I (compl)>Definitions>Variables>SOC - Electrode SOC - I.
- **6** Click to expand the **Legends** section. Select the **Show legends** check box.
- 7 Find the **Include** subsection. Clear the **Point** check box.
- 8 Clear the Solution check box.
- 9 Find the Prefix and suffix subsection. In the Prefix text field, type eval(Si f\*100) % Si in Gr.
- 10 In the 0.1 C Electrode Voltage vs SOC toolbar, click Plot.

## 0.1 C Electrode Voltage vs SOC

In the Model Builder window, right-click 0.1 C Electrode Voltage vs SOC and choose Duplicate.

- I C Electrode Voltage vs SOC
- I In the Model Builder window, under Results click 0.1 C Electrode Voltage vs SOC 1.
- 2 In the Settings window for ID Plot Group, type 1 C Electrode Voltage vs SOC in the Label text field.
- 3 Locate the Data section. In the Parameter values (C rate) list, select 1.
- 0.1 C Average Material Lithiation Levels
- In the Model Builder window, under Results click Average Electrode State of Charge (liion).
- 2 In the Settings window for ID Plot Group, type 0.1 C Average Material Lithiation Levels in the Label text field.
- 3 Locate the Data section. From the Parameter selection (C\_rate) list, choose From list.

- 4 In the Parameter values (C\_rate) list, select 0.1.
- 5 From the Parameter selection (Si\_f) list, choose From list.
- 6 In the Parameter values (Si\_f (%)) list, select 4.
- 7 Locate the Title section. From the Title type list, choose None.
- 8 Locate the Plot Settings section.
- 9 Select the y-axis label check box. In the associated text field, type Degree of lithiation (1).
- 10 Locate the Legend section. From the Position list, choose Upper left.

## Global I

- I In the Model Builder window, expand the 0.1 C Average Material Lithiation Levels node, then click Global I.
- 2 In the Settings window for Global, click Add Expression in the upper-right corner of the y-Axis Data section. From the menu, choose Component I (compl)>Lithium-lon Battery> Particle intercalation>liion.soc average addm1 - Average SOC, Additional Porous Electrode Material I - Silicon - I.
- 3 Click to expand the Legends section. From the Legends list, choose Manual.
- **4** In the table, enter the following settings:

| Legends |  |
|---------|--|
| Gr      |  |
| Si      |  |

5 In the 0.1 C Average Material Lithiation Levels toolbar, click Plot.

## 0.1 C Average Material Lithiation Levels

In the Model Builder window, right-click 0.1 C Average Material Lithiation Levels and choose Duplicate.

- I C Average Material Lithiation Levels
- I In the Model Builder window, under Results click
  - 0.1 C Average Material Lithiation Levels 1.
- 2 In the Settings window for ID Plot Group, type 1 C Average Material Lithiation Levels in the Label text field.
- 3 Locate the Data section. In the Parameter values (C\_rate) list, select 1.

## Hysteresis Memory Variable

- I In the Home toolbar, click Add Plot Group and choose ID Plot Group.
- 2 In the Settings window for ID Plot Group, type Hysteresis Memory Variable in the **Label** text field.
- 3 Locate the Data section. From the Dataset list, choose Study 1/ Parametric Solutions I (sol2).
- 4 From the Parameter selection (C\_rate) list, choose From list.
- 5 In the Parameter values (C\_rate) list, select 1.
- 6 From the Parameter selection (Si\_f) list, choose From list.
- 7 In the Parameter values (Si\_f (%)) list, select 4.
- **8** Locate the **Title** section. From the **Title type** list, choose **None**.
- 9 Locate the Legend section. From the Position list, choose Upper middle.

## Point Graph 1

- I Right-click Hysteresis Memory Variable and choose Point Graph.
- **2** Select Boundaries 1 and 2 only.
- 3 In the Settings window for Point Graph, locate the y-Axis Data section.
- **4** In the **Expression** text field, type S.
- **5** Locate the **Legends** section. Select the **Show legends** check box.
- 6 From the Legends list, choose Manual.
- 7 In the table, enter the following settings:

| Legends                     |  |
|-----------------------------|--|
| Current Collector-Electrode |  |
| Separator-Electrode         |  |

## Delithiation Energy Densities

- I In the Home toolbar, click **Add Plot Group** and choose ID Plot Group.
- 2 In the Settings window for ID Plot Group, type Delithiation Energy Densities in the Label text field.
- 3 Locate the Data section. From the Dataset list, choose None.
- 4 Locate the Title section. From the Title type list, choose None.
- 5 Locate the Plot Settings section.

- 6 Select the x-axis label check box. In the associated text field, type Si Volume Fraction in Electrode Blend (%).
- 7 Select the y-axis label check box. In the associated text field, type E<sub>delith</sub> (J/m < sup > 3 < / sup >).
- 8 Locate the Legend section. From the Position list, choose Upper left.

## Global I

- I Right-click Delithiation Energy Densities and choose Global.
- 2 In the Settings window for Global, locate the Data section.
- 3 From the Dataset list, choose Study I/Parametric Solutions I (sol2).
- 4 From the Parameter selection (C\_rate) list, choose From list.
- 5 In the Parameter values (C\_rate) list, select 0.1.
- **6** From the **Time selection** list, choose **Last**.
- 7 Locate the y-Axis Data section. Click \ Clear Table.
- **8** In the table, enter the following settings:

| Expression    | Unit  | Description |
|---------------|-------|-------------|
| E_delith/L_el | J/m^3 |             |

- 9 Locate the Legends section. From the Legends list, choose Manual.
- **10** In the table, enter the following settings:

# Legends 0.10

- II Locate the x-Axis Data section. From the Axis source data list, choose Outer solutions.
- 12 From the Parameter list, choose Expression.
- 13 Click Replace Expression in the upper-right corner of the x-Axis Data section. From the menu, choose Global definitions>Parameters>Si\_f - Fraction of Si in electrode blend - 1.
- 14 Locate the x-Axis Data section. From the Unit list, choose %.
- **15** Right-click **Global I** and choose **Duplicate**.

## Global 2

- I In the Model Builder window, click Global 2.
- 2 In the Settings window for Global, locate the Data section.
- 3 In the Parameter values (C\_rate) list, select 1.

**4** Locate the **Legends** section. In the table, enter the following settings:

| Legends |  |
|---------|--|
| 10      |  |

## Delithiation Energy Densities

In the Model Builder window, right-click Delithiation Energy Densities and choose Duplicate.

Lithiation/Delithiation Energy Efficiencies

- I In the Model Builder window, under Results click Delithiation Energy Densities I.
- 2 In the Settings window for ID Plot Group, type Lithiation/Delithiation Energy Efficiencies in the Label text field.
- 3 Locate the Plot Settings section. In the y-axis label text field, type E<sub>delith</sub> /E<sub>lith</sub> (1).
- 4 Locate the Legend section. From the Position list, choose Upper right.

#### Global I

- I In the Model Builder window, expand the Lithiation/Delithiation Energy Efficiencies node, then click Global 1.
- 2 In the Settings window for Global, locate the y-Axis Data section.
- **3** In the table, enter the following settings:

| Expression      | Unit | Description |
|-----------------|------|-------------|
| E_delith/E_lith | 1    |             |

## Global 2

- I In the Model Builder window, click Global 2.
- 2 In the Settings window for Global, locate the y-Axis Data section.
- **3** In the table, enter the following settings:

| Expression      | Unit | Description |
|-----------------|------|-------------|
| E_delith/E_lith | 1    |             |

4 In the Lithiation/Delithiation Energy Efficiencies toolbar, click Plot.

## **DEFINITIONS (COMPI)**

Interpolation - Eeq Si Upper (Eeq\_Si\_upper, Eeq\_Si\_upper\_inv)

- I In the Model Builder window, under Component I (compl)>Definitions click Interpolation - Eeq Si Upper (Eeq\_Si\_upper, Eeq\_Si\_upper\_inv).

#### RESULTS

Equilibrium Potentials vs Lithiation

- I In the Settings window for ID Plot Group, type Equilibrium Potentials vs Lithiation in the Label text field.
- 2 Locate the Data section. From the Dataset list, choose None.
- **3** Locate the **Title** section. From the **Title type** list, choose **None**.
- **4** Locate the **Plot Settings** section.
- 5 Select the x-axis label check box. In the associated text field, type Degree of lithiation (1).
- 6 In the y-axis label text field, type Potential vs Li/Li<sup>+</sup> (V).
- 7 Locate the Axis section. Select the Manual axis limits check box.
- **8** In the **x minimum** text field, type **0**.
- 9 In the x maximum text field, type 0.9.
- **10** In the y minimum text field, type 0.
- II In the y maximum text field, type 1.

## Function 1

- I In the Model Builder window, expand the Equilibrium Potentials vs Lithiation node, then click Function 1.
- 2 In the Settings window for Function, locate the Data section.
- 3 From the Dataset list, choose Grid ID 1.
- 4 Locate the y-Axis Data section. Clear the Description check box.
- 5 Locate the Output section. From the Display list, choose Line.
- **6** From the **Extrapolation** list, choose **None**.
- 7 Click to expand the **Legends** section. Select the **Show legends** check box.
- 8 From the Legends list, choose Manual.

```
Legends
E<sub>eq, Si, upper</sup>
```

## **DEFINITIONS (COMPI)**

Interpolation - Eeg Si Lower (Eeg Si lower, Eeg Si lower inv)

- I In the Model Builder window, under Component I (compl)>Definitions click Interpolation - Eeq Si Lower (Eeq\_Si\_lower, Eeq\_Si\_lower\_inv).

## RESULTS

#### Function 1

In the Model Builder window, expand the ID Plot Group 15 node, then click Function I.

## Function 2

- I Drag and drop below Equilibrium Potentials vs Lithiation>Function I.
- 2 In the Settings window for Function, locate the Data section.
- 3 From the Dataset list, choose Grid ID Ia.
- **4** Locate the **y-Axis Data** section. Clear the **Description** check box.
- 5 Locate the Output section. From the Display list, choose Line.
- 6 From the Extrapolation list, choose None.
- 7 Locate the Legends section. Select the Show legends check box.
- 8 From the Legends list, choose Manual.
- **9** In the table, enter the following settings:

| Legends          |     |       |  |
|------------------|-----|-------|--|
| E <sub>eq,</sub> | Si, | lower |  |

#### MATERIALS

Graphite, LixC6 MCMB (Negative, Li-ion Battery) (mat I)

In the Model Builder window, expand the Component I (compl)>Materials>Graphite, LixC6 MCMB (Negative, Li-ion Battery) (mat1) node.

Interpolation I (Eeq\_intI, Eeq\_inv)

- I In the Model Builder window, expand the Component I (compl)>Materials>Graphite, LixC6 MCMB (Negative, Li-ion Battery) (mat 1)>Equilibrium potential (elpot) node, then click Interpolation I (Eeq\_intI, Eeq\_inv).

## RESULTS

#### Function I

In the Model Builder window, expand the ID Plot Group 16 node, then click Function I.

#### Function 3

- I Drag and drop below Equilibrium Potentials vs Lithiation>Function 2.
- 2 In the Settings window for Function, locate the Data section.
- 3 From the Dataset list, choose Grid ID 2.
- **4** Locate the **y-Axis Data** section. Clear the **Description** check box.
- **5** Locate the **Legends** section. Select the **Show legends** check box.
- 6 From the Legends list, choose Manual.
- 7 In the table, enter the following settings:

| Legends          |    |
|------------------|----|
| E <sub>eq,</sub> | Gr |

ID Plot Group 15, ID Plot Group 16, ID Plot Group 7, ID Plot Group 8, Coefficient Form PDE - Memory Variable, Electrode Potential with Respect to Ground (liion), Electrolyte Potential (liion), Electrolyte Salt Concentration (liion)

- I In the Model Builder window, under Results, Ctrl-click to select Electrolyte Potential (liion), Electrode Potential with Respect to Ground (liion), Electrolyte Salt Concentration (liion), Coefficient Form PDE - Memory Variable, ID Plot Group 7, ID Plot Group 8, ID Plot Group 15, and ID Plot Group 16.
- 2 Right-click and choose Delete.
- I C Electrode Voltage vs SOC
- I In the Model Builder window, click I C Electrode Voltage vs SOC.
- 2 Drag and drop below 0.1 C Electrode Voltage vs SOC.