# Lecture 3

SQL

### **Outline**

- Create/Drop/Alter Tables
- Basic Query Structure
- Set Operations
- Aggregation Functions
- Recursive Queries
- Complex Queries
- Views

### History

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL
  - SQL-92
  - SQL:1999 (language name became Y2K compliant!)
  - SQL:2003
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system.

### Data Definition Language

- Allows the specification of not only a set of relations but also information about each relation, including:
  - The schema for each relation.
  - The domain of values associated with each attribute.
  - Integrity constraints
  - The set of indices to be maintained for each relations.
  - Security and authorization information for each relation.
  - The physical storage structure of each relation on disk.

### Create Table Construct

 An SQL relation is defined using the create table command:

```
create table r(A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity-constraint_1), ..., (integrity-constraint_k))
```

- r is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation r
- $-D_i$  is the data type of values in the domain of attribute  $A_i$
- Example:

```
create table instructor (

ID char(5),

name varchar(20),

dept_name varchar(20),

salary numeric(8,2))
```

## Domain Types in SQL

- char(n). Fixed length character string, with user-specified length n.
- varchar(n). Variable length character strings, with userspecified maximum length n.
- numeric(p,d). Fixed point number, with user-specified precision of p digits, with d digits to the right of decimal point.
- int. Integer (a finite subset of the integers that is machinedependent).
- smallint. Small integer (a machine-dependent subset of the integer domain type).
- real. Floating point and double-precision floating point numbers, with machine-dependent precision.
- float(n). Floating point number, with user-specified precision of at least n digits.

•

# Integrity Constraints in Create Table

- not null
- primary key  $(A_1, ..., A_n)$
- foreign key  $(A_m, ..., A_n)$  references r

Example

primary key declaration on an attribute automatically ensures not null

### And a Few More Relation Definitions

```
create table student (
    ID
       varchar(5),
               varchar(20) not null,
    name
    dept_name varchar(20),
    tot_cred numeric(3,0),
    primary key (ID),
    foreign key (dept_name) references department);
create table course (
    course_id varchar(8),
    title varchar(50),
    dept_name varchar(20),
    credits
                numeric(2,0),
    primary key (course_id),
    foreign key (dept_name) references department);
```

### And still more...

 Note: sec\_id can be dropped from primary key above, to ensure a student cannot be registered for two sections of the same course in the same semester

### Drop and Alter Table Constructs

 drop table: deletes all information about the dropped relation from the database, incl. all tuples, constraints, relation itself drop table r

- alter table:
  - Add attributes to an existing relation:

alter table r add A D

- All tuples in the relation are assigned null as the value for the new attribute.
- Drop attributes of a relation:

alter table r drop A

- Dropping of attributes not supported by some databases
- Syntax may differ among different implementations
- Modify constraints

alter table *r* add primary key (*A*, *B*) alter table *r* drop primary key

# Data Manipulation Language

- Supports querying data from a database
  - Getting raw data from tables and views
  - Statistics (aggregation)
  - Adding, deleting, and modifying data
  - Query Language does more than just "query"

### **Basic Query Structure**

A typical SQL query has the form:

select  $A_1$ ,  $A_2$ , ...,  $A_n$  from  $r_1$ ,  $r_2$ , ...,  $r_m$  where P

- A<sub>i</sub> represents an attribute
- $-R_i$  represents a relation
- P is a predicate.
- Equivalent relational algebra expression:

$$\prod_{A_1,A_2,\dots,A_n} (\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$

The result of an SQL query is a relation.

### The select Clause

- The select clause list the attributes desired in the result of a query
  - corresponds to the projection operation of the relational algebra
- Example: find the names of all instructors:

**select** *name* **from** *instructor*,

Relational algebra expression:

 $\prod_{name}$  (instructor)

NOTE: SQL names are case insensitive E.g., Name ≡ NAME ≡ name Some people use upper case wherever we use bold font.

### The select Clause (cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword distinct after select.
- Example: Find the department names of all instructors, and remove duplicates

**select distinct** *dept\_name* **from** *instructor*,

 The keyword all specifies that duplicates not be removed.

**select all** *dept\_name* **from** *instructor*,

### The select Clause (cont.)

- An asterisk in the select clause denotes "all attributes"
   select \*
   from instructor
- An attribute can be a literal with no from clause select '437'
  - Results is a table with one column and a single row with value "437"
  - Can give the column a name using:

select '437' as FOO

An attribute can be a literal with from clause

**select** 'A' **from** *instructor* 

 Result is a table with one column and N rows (number of tuples in the *instructors* table), each row with value "A"

### The select Clause (cont.)

 The select clause can contain arithmetic expressions involving the operation, +, -, \*, and /, and operating on constants or attributes of tuples.

**select** *ID, name, salary/12* **from** *instructor* 

• Can rename "salary/12" using the **as** clause:

**select** *ID*, name, salary/12 **as** monthly\_salary **from** instructor

### The where Clause

- The where clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.
- To find the names of all instructors in Comp. Sci. dept

```
select name
from instructor
where dept_name = 'Comp. Sci.'
```

- Comparison results can be combined using the logical connectives and, or, and not.
  - To find names of all instructors in Comp. Sci. dept with salary > 80000

```
select name
from instructor
where dept_name = 'Comp. Sci.' and salary > 80000
```

Comparisons can be applied to results of arithmetic expressions.

### The where Clause (Cont.)

- SQL includes a between comparison operator
- Example: Find the names of those instructors with salary between \$70,000 and \$100,000 (that is, ≥ \$70,000 and ≤ \$100,000)

select name from instructor where salary between 70000 and 100000

Tuple comparison

```
select name, course_id
from instructor, teaches
where (instructor.ID, dept_name) = (teaches.ID, 'Biology');
```

### The from Clause

- The from clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra.
- Find the Cartesian product instructor X teaches

select \*
from instructor, teaches

- generates every possible instructor teaches pair, with all attributes from both relations.
- For common attributes (e.g., *ID*), the attributes in the resulting table are renamed using the relation name (e.g., *instructor.ID*)

### Note: Result of Cartesian product can be large.

Typically combined with where-clause condition

### **Cartesian Product**

#### instructor

#### teaches

ID	name	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
		250000000000000000000000000000000000000	

ID	course_id	sec_id	semester	year
10101	CS-101	1	Fall	2009
10101	CS-315	1	Spring	2010
10101	CS-347	1	Fall	2009
12121	FIN-201	1	Spring	2010
15151	MU-199	1	Spring	2010
22222	PHY-101	1	Fall	2009

Inst.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	A STATE OF THE STA	Comp. Sci.	1.00.00.00.00.00.00.00.00.00.00	22222	PHY-101	1	Fall	2009
	***	***	***	***				***
	***	***		***		***	***	•••
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
		***	***	1335	5.5.5	***	***	***
	***	***		***				***

### Examples

 Find the names of all instructors who have taught some course and the course\_id

```
select name, course_id
from instructor, teaches
where instructor.ID = teaches.ID
```

 Find the names of all instructors in the Art department who have taught some course and the course\_id

select name, course\_id
from instructor , teaches
where instructor.ID = teaches.ID
 and instructor. dept\_name = 'Art'

name	course_id
Srinivasan	CS-101
Srinivasan	CS-315
Srinivasan	CS-347
Wu	FIN-201
Mozart	MU-199
Einstein	РНҮ-101
El Said	ніѕ-351
Katz	CS-101
Katz	CS-319
Crick	вю-101
Crick	вю-301
Brandt	CS-190
Brandt	CS-190
Brandt	CS-319
Kim	EE-181

## The Rename Operation

 The SQL allows renaming relations and attributes using the as clause:

old-name as new-name

- Find the names of all instructors who have a higher salary than at least one instructor in the Computer Science department.
  - select distinct T.name from instructor as T, instructor as S where T.salary > S.salary and S.dept\_name = 'Comp. Sci.'
- Keyword as is optional and may be omitted instructor as T ≡ instructor T

## String Operations

- 字符串匹配运算符: like
  - percent (%). The % character matches any substring.
  - underscore (\_). The \_ character matches any character.
- Find the names of all instructors whose name includes the substring "dar".

select name
from instructor
where name like '%dar%'

Match the name "100%"

like '100\%' escape '\'

- SQL supports a variety of string operations (functions)
  - concatenation (using "||")
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.

# Ordering the Display of Tuples

List in alphabetic order the names of all instructors
 select distinct name
 from instructor
 order by name

- For each attribute:
   desc for descending order;
   asc for ascending order;
   ascending order is the default.
  - Example: order by name desc
- Can sort on multiple attributes
  - Example: order by dept\_name, name

## **Set Operations**

- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations
   ∪, ∩, −.
- Each of the above operations automatically eliminates duplicates; to retain all duplicates use the corresponding multiset versions union all, intersect all and except all.

Suppose a tuple occurs *m* times in *r* and *n* times in *s*, then, it occurs:

- -m + n times in runion all s
- min(m,n) times in r intersect all s
- $\max(0, m-n)$  times in r except all s

### **Set Operations**

- Find courses that ran in Fall 2009 or in Spring 2010
   (select course\_id from section where sem = 'Fall' and year = 2009)
   union
   (select course\_id from section where sem = 'Spring' and year = 2010)
- Find courses that ran in Fall 2009 and in Spring 2010
   (select course\_id from section where sem = 'Fall' and year = 2009)
   intersect
   (select course\_id from section where sem = 'Spring' and year = 2010)
- Find courses that ran in Fall 2009 but not in Spring 2010
   (select course\_id from section where sem = 'Fall' and year = 2009)
   except
   (select course\_id from section where sem = 'Spring' and year = 2010)

# Set Operations (Cont.)

- Find all the salaries of all instructors
  - select distinct salaryfrom instructor
- Find the salaries of all instructors that are less than the largest salary.
  - select distinct T.salary
     from instructor as T, instructor as S
     where T.salary < S.salary</li>
- Find the largest salary of all instructors.
  - <QUERY1>except<QUERY2>

### Aggregate Functions

 These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values

## Aggregate Functions (Cont.)

- Find the average salary of instructors in the Computer Science department
  - select avg (salary)
    from instructor
    where dept\_name= 'Comp. Sci.';
- Find the total number of instructors who teach a course in the Spring 2010 semester
  - select count (distinct ID)
    from teaches
    where semester = 'Spring' and year = 2010;
- Find the number of courses
  - select count (\*)
    from course;

# Aggregate Functions – Group By

- Find the average salary of instructors in each department
  - select dept\_name, avg (salary) as avg\_salary
     from instructor
     group by dept\_name;

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000

## Aggregation (Cont.)

- Attributes in select clause outside of aggregate functions must appear in group by list
  - /\* erroneous query \*/ select dept\_name, ID, avg (salary) from instructor group by dept\_name;

# Aggregate Functions – Having Clause

 Find the names and average salaries of all departments whose average salary is greater than \$42000

```
select dept_name, avg (salary)
from instructor
group by dept_name
having avg (salary) > 42000
```

Note: Predicates in the **having** clause are applied *after* the formation of groups whereas predicates in the **where** clause are applied *before* forming groups

### **Null Values**

- It is possible for tuples to have a null value, denoted by null, for some of their attributes
- null signifies an unknown value or that a value does not exist.
- The predicate is null can be used to check for null values.
  - Example: Find all loan number which appear in the *loan* relation with null values for *amount*.

```
select loan_number
from loan
where amount is null
```

- The result of any arithmetic expression involving null is null
  - Example: 5 + null returns null
- However, aggregate functions simply ignore nulls
  - More on next slide

### Null Values and Three Valued Logic

- Any comparison with null returns unknown
  - Example: 5 < null or null <> null or null = null
- Three-valued logic using the truth value *unknown*:
  - OR: (unknown or true) = true, (unknown or false) = unknown(unknown or unknown) = unknown
  - AND: (true and unknown) = unknown, (false and unknown) = false,
     (unknown and unknown) = unknown
  - NOT: (not unknown) = unknown
  - "P is unknown" evaluates to true if predicate P evaluates to unknown
- Result of where clause predicate is treated as false if it evaluates to unknown

### Null Values and Aggregates

Total all salaries

**select sum** (salary) **from** instructor

- Above statement ignores null amounts
- Result is null if there is no non-null amount
- All aggregate operations except count() ignore tuples with null values on the aggregated attributes.
  - For example, when calculating avg(score), those who
    do not have a score (absent from the exam) are not
    taken into account.
  - But count(score) will return total number of students, including those absent from the exam.

### Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries.
- A subquery is a select-from-where expression that is nested within another query.
- The nesting can be done in the following SQL query

```
select A_1, A_2, ..., A_n from r_1, r_2, ..., r_m where P
```

#### as follows:

- $-A_i$  can be replaced be a subquery that generates a single value.
- $-r_i$  can be replaced by any valid subquery
- P can be replaced with an expression of the form:

B < operation > (subquery)

Where *B* is an attribute and coperation to be defined later.

## Subqueries in the Where Clause

- A common use of subqueries is to perform tests:
  - For set membership
  - For set comparisons
  - For set cardinality.

## Set Membership

Find courses offered in Fall 2009 and in Spring 2010

```
select distinct course_id

from section

where semester = 'Fall' and year= 2009 and

course_id in (select course_id

from section

where semester = 'Spring' and year= 2010);
```

Find courses offered in Fall 2009 but not in Spring 2010

# Set Membership (Cont.)

 Find the total number of (distinct) students who have taken course sections taught by the instructor with ID 10101

Note: Above query can be written in a much simpler manner.
 The formulation above is simply to illustrate SQL features.

# Set Comparison – "some" Clause

 Find names of instructors with salary greater than that of some (at least one) instructor in the Biology department.

```
select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept_name = 'Biology';
```

Same query using > some clause

#### Definition of "some" Clause

• F <comp> some  $r \Leftrightarrow \exists t \in r \text{ such that (F <comp> } t)$ Where <comp> can be: <,  $\leq$ , >, =,  $\neq$ 

# Set Comparison – "all" Clause

 Find the names of all instructors whose salary is greater than the salary of all instructors in the Biology department.

#### Definition of all Clause

• F <comp> all  $r \Leftrightarrow \forall t \in r \text{ (F <comp> } t)$ 

$$(5 < \mathbf{all} \quad \begin{array}{c} 0 \\ 5 \\ 6 \end{array}) = \mathsf{false}$$

$$(5 < \mathbf{all} \quad \begin{array}{c} 6 \\ 10 \end{array}) = \mathsf{true}$$

$$(5 = \mathbf{all} \quad \begin{array}{c} 4 \\ 5 \end{array}) = \mathsf{false}$$

$$(5 \neq \mathbf{all} \quad \begin{array}{c} 4 \\ 6 \end{array}) = \mathsf{true} \; (\mathsf{since} \; 5 \neq 4 \; \mathsf{and} \; 5 \neq 6)$$

$$(\neq \mathbf{all}) \equiv \mathsf{not} \; \mathsf{in}$$
However,  $(= \mathbf{all}) \neq \mathsf{in}$ 

## Test for Empty Relations

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
  - exists  $r \Leftrightarrow r \neq \emptyset$
  - not exists  $r \Leftrightarrow r = \emptyset$

#### Use of "exists" Clause

 Yet another way of specifying the query "Find all courses taught in both the Fall 2009 semester and in the Spring 2010 semester"

- Correlation name variable S in the outer query
- Correlated subquery the inner query

#### Use of "not exists" Clause

 Find the IDs and names of all students who have taken all courses offered in the Biology department.

- First nested query lists all courses offered in Biology
- Second nested query lists all courses a particular student took

Note that 
$$X - Y = \emptyset \iff X \subset Y$$

## Test for Absence of Duplicate Tuples

- The unique construct tests whether a subquery has any duplicate tuples in its result.
- The **unique** construct evaluates to "true" if a given subquery contains no duplicates.
- Find all courses that were offered at most once in 2008

#### Subqueries in the "from" Clause

- SQL allows a subquery expression to be used in the from clause
- Find the average instructors' salaries of those departments where the average salary is greater than \$42,000.

 Note that we do not need to use the having clause, since we compute the temporary (view) relation in the from clause, and the attributes of avg\_salary can be used directly in the where clause.

#### With Clause

- The with clause provides a way of defining a temporary view whose definition is available only to the query in which the with clause occurs.
- Find all departments with the maximum budget

```
with max_budget (value) as
          (select max(budget)
          from department)
select department.name
from department, max_budget
where department.budget = max_budget.value;
```

# Complex Query using With Clause

 Find all departments where the total salary is greater than the average of the total salary at all departments

```
with dept_total (dept_name, value) as
     (select dept_name, sum(salary)
     from instructor
     group by dept_name),
dept_total_avg(value) as
    (select avg(value)
    from dept_total)
select dept_name
from dept_total, dept_total_avg
where dept_total.value > dept_total_avg.value;
```

## Subquery in the "select" Clause

- Scalar subquery is one which is used where a single value is expected
- List all departments along with the number of instructors in each department

Runtime error if subquery returns more than one result tuple

#### Modification of the Database

- Deletion of tuples from a given relation.
- Insertion of new tuples into a given relation
- Updating of values in some tuples in a given relation

#### Modification of the Database – Deletion

- Delete all instructors
   delete from instructor
- Delete all instructors from the Finance department delete from instructor where dept\_name= 'Finance';
- Delete all tuples in the *instructor* relation for those instructors associated with a department located in the Watson building.

#### Deletion (Cont.)

 Delete all instructors whose salary is less than the average salary of instructors

- Problem: as we delete tuples from instructor, the average salary changes
- Solution used in SQL:
  - 1. First, compute avg(salary) and find all tuples to delete
  - 2. Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)

#### Modification of the Database – Insertion

 Add all instructors to the student relation with tot\_creds set to 0

```
insert into student
  select ID, name, dept_name, 0
  from instructor
```

 The select from where statement is evaluated fully before any of its results are inserted into the relation.

Otherwise queries like

insert into table1 select \* from table1

would cause problem

## Modification of the Database – Updates

- Increase salaries of instructors whose salary is over \$100,000 by 3%, and all others by a 5%
  - Write two update statements:

```
update instructor
  set salary = salary * 1.03
  where salary > 100000;
update instructor
  set salary = salary * 1.05
  where salary <= 100000;</pre>
```

- The order is important! (Why?)
- Can be done better using the case statement (next slide)

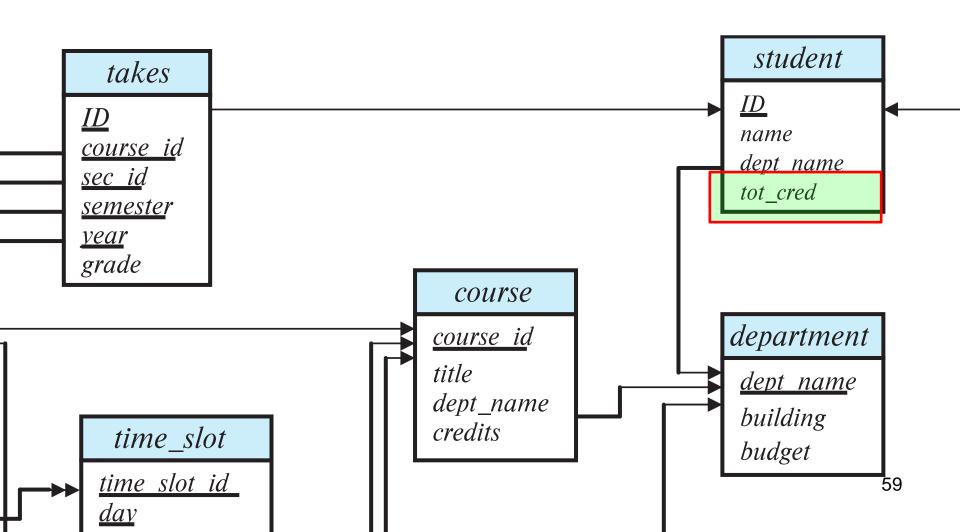
# Case Statement for Conditional Updates

 Same query as before: Increase salaries of instructors whose salary is over \$100,000 by 3%, and all others by a 5%

```
update instructor
set salary = case
when salary <= 100000 then salary * 1.05
else salary * 1.03
end
```

## Updates with Scalar Subqueries

Recompute and update tot\_creds value for all students



# Updates with Scalar Subqueries

Recompute and update tot\_creds value for all students
 update student S
 set tot\_cred = (select sum(credits)
 from takes, course
 where takes.course\_id = course.course\_id and S.ID= takes.ID and

Sets tot\_cred to 0 for students who have not taken any course

takes.grade <> 'F' and

takes.grade is not null);

– Instead of sum(credits), use:

```
case
    when sum(credits) is not null then sum(credits)
    else 0
end
```

#### **Next Lecture**

SQL to be continued

End of Lecture 3

SQL

#### **Practice**

- Environment: MySql/Oracle
- Try to
  - Create tables in the University example.
    - With scripts provided
  - Drop/Add primary key
  - Drop/Add an attribute
  - View table structure ("desc")
  - Change an attribute (data type)

#### Practice (cont.)

- Try to
  - Insert tuples
  - Delete tuples with conditions
  - Update tuples with conditions
  - Commit/Rollback
    - Login a second time to find the effect of Commit/Rollback
  - Execute example queries
- Question
  - Is it hard to insert rows one by one?
    - Lab1