

# Announcements

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- Assignment #2
  - Due today 11:59pm
- Midterm Oct.3<sup>rd</sup> (Tuesday)
  - 9:30 – 11:30 am
  - I will in the classroom (010) 10:00-11:15am, No lecture
- Thursday office hours
  - In person: Woodward Hall 330D
  - Zoom meeting link: <https://charlotte-edu.zoom.us/my/jxiang1>
- UNCC security symposium
  - Extra credit: 1%
  - Go there without registration, don't eat the food

# Access Control

# Today's plan: Access Control

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- Vocabulary
- Discretionary access controls (DAC)
- Mandatory access controls (MAC)
  - Access control models
- Role-Based Access Control (RBAC)

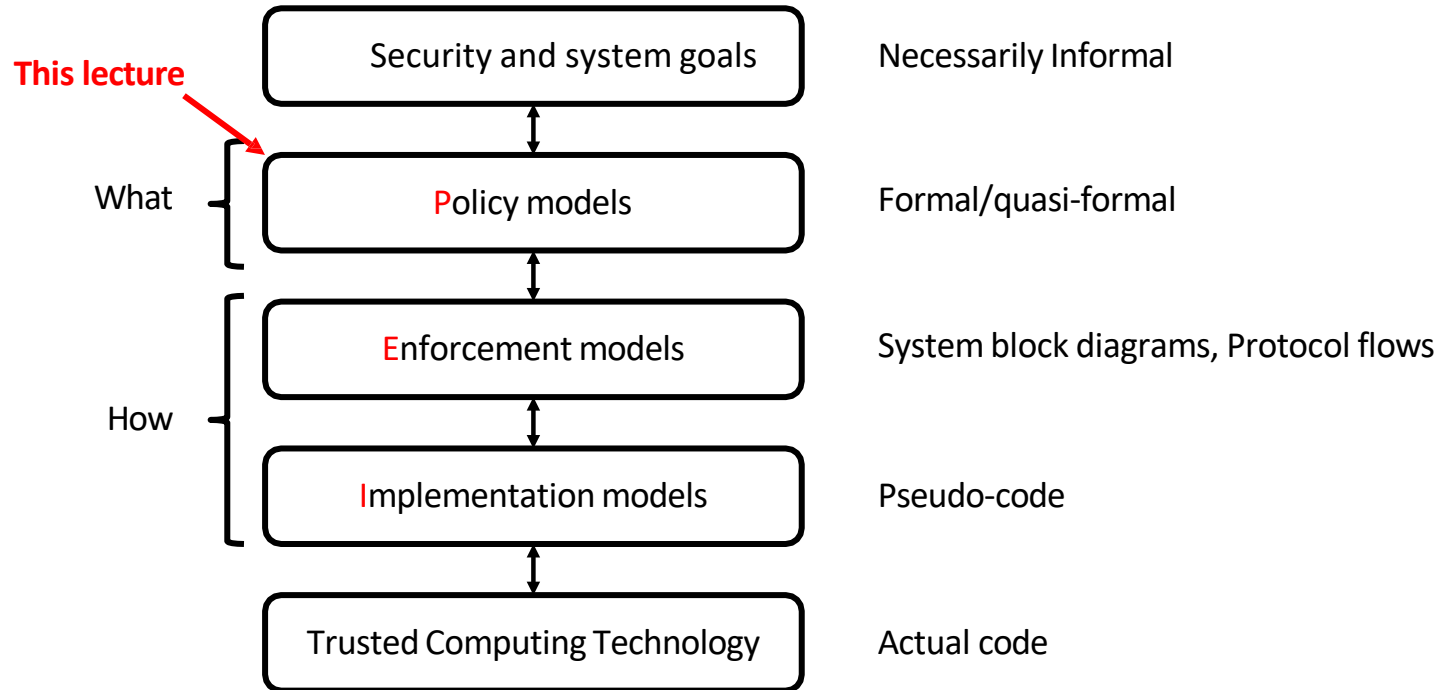
# Examples of Access Control

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- Social Networks: Access to personal information.
- Web Browsers: Access only to a website (same origin policy).
- Operating Systems: One user cannot arbitrarily access/kill another user's files/processes.
- Memory Protection: Code in one region, cannot access the data in another more privileged region.
- Firewalls: If a packet matches with certain conditions, it will be dropped.

# PEI Model

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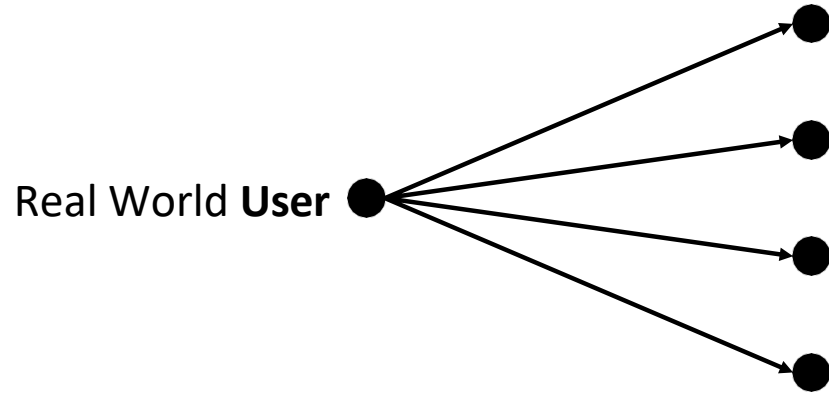
# Vocabulary

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- Basic abstractions:
  - **User**: human
  - **Object**: a piece of data or a resource (e.g., a file or a network packet).
  - **Subject**: an entity who wishes to access a certain **object** (e.g., a process executing on behalf of a user)
  - **Rights (permissions)**: different modes of access (e.g., reading, writing)

# Vocabulary – Users and Principals

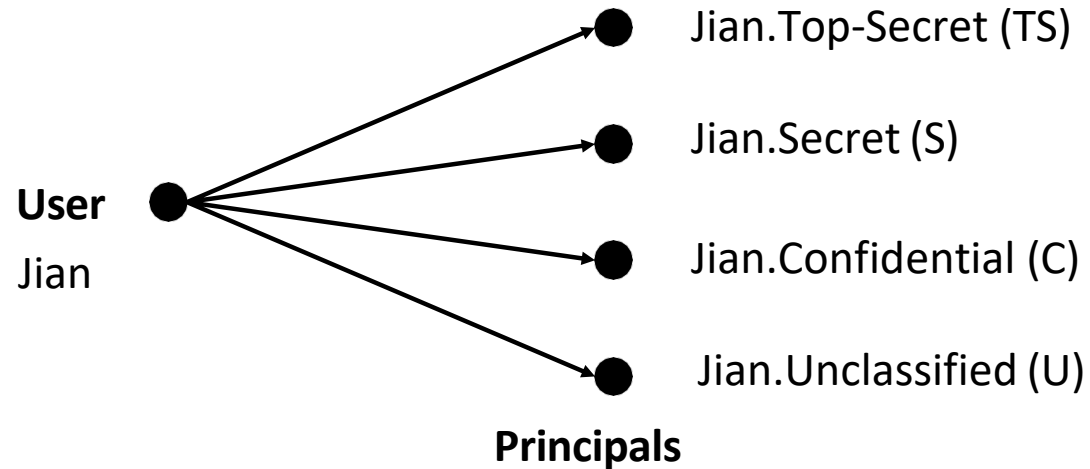
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- A Principal is an User authenticated in a context

# Vocabulary – Users and Principals

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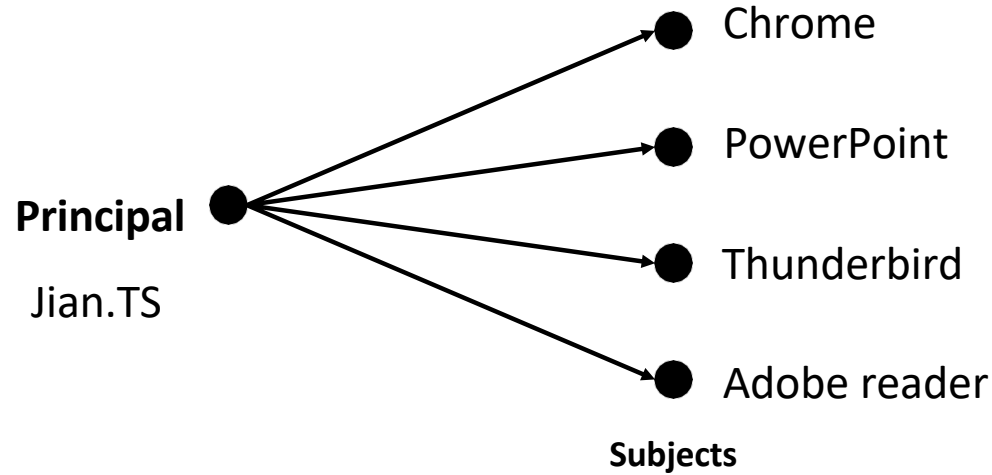


**Example:** the user generates multiple API keys



# Vocabulary – Principals and subjects

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A subject is a program executing on behalf of a principal

# Vocabulary

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- The relation between Users and Principals is One-To-Many
  - Allows accountability of user's actions, use least privileges required for a task
  - E.g., API keys: don't share your password
- For simplicity, a principal and subject can be treated as identical concepts

# Vocabulary - Objects

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- An object is anything on which a subject can perform operations (mediated by rights)
- Usually objects are passive, for example:
  - File
  - Directory (or Folder)
  - Memory segment
- But, subjects (e.g., processes) can also be objects, with operations
  - kill
  - suspend
  - resume

# Access Control Policies

# Access Control Policies

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- **Discretionary access controls (DAC)** – the access of objects (or subjects) can be propagated from one subject to another. Possession of an access right by a subject is sufficient to allow access to the object.
- **Mandatory access controls (MAC)** – the access of subjects to objects is based on a system-wide policies (based on security labels) that can be changed only by the administrator.
- **Role-Based access Control (RBAC)** – can be configured as both MAC or DAC, access to objects is based on roles.

# Discretionary Access Control

# DAC

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- No precise definition.
- The underlying philosophy in DAC is that subjects can determine who has access to their objects.
- Basically, DAC allows access rights to be propagated at subject's discretion
  - often has the notion of owner of an object
  - used in UNIX, Windows, etc.

# DAC Implementation

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- Let  $S$  be the set of all subjects,  $O$  the set of all objects, and  $P$  the set of all permissions. The description of access control can be given by a set  $A \subseteq S \times O \times P$ .
- When new permissions are added, triplets are added to  $A$ ; when they are removed (revoked), triplets are deleted.



# Access Control – Representation

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- An access control matrix is a matrix  $(M_{s,o})$  whose rows are subjects and columns are objects. Element  $(M_{s,o}) \subseteq P$  is the set of permissions that subject **S** is authorized for object o.

Objects (and Subjects) →

	A	B	C	D
U1				
U2		rw		kill
U3			r	
U4		r		

Subjects ↓

# Access Control Lists (ACL)

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An access control list is a set  $\{A_o \mid o \in O\}$ , one element for each **object**. The elements of the list are the pairs (s, p) of **subjects** s who have **permission** p to that object.

B
U2: rw
U4: r

C
U3: r

D
U2: Kill

# Capabilities

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Storing capabilities means giving to each subject tokens which give them access to the permissions they are entitled.

U1

U2

U3

U4

# ACL vs. Capabilities

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- ACL require authentication of subjects
- Capabilities do not require authentication of subjects, but do require unforgeability and control of propagation of capabilities. Usually implemented through cryptography.

# ACL vs. Capabilities Example

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- Scenario:

- Bob wishes to store valuable items in a safe box maintained by a bank. In some cases, he wants his trustworthy relatives to access the box. The bank can regulate access to Bob's box in two ways:
  - ❖ Maintain a list of persons, or
  - ❖ Issue one or multiple access keys to the box.

- ACL approach

- Bank's role: the financial institution must have a list of account holders, verify users, and define permissions. The entity needs to maintain the list's integrity and authenticate access.
- Adding new users: Bob must pay a visit to the bank's branch to add more users
- Delegation: the approved third parties cannot delegate their access rights to other parties.
- Removing users: Bob and the bank can delete names from the list.

- Capability approach

- Bank's role: the bank is not involved
- Adding new users: Bob can assign a key to a thirty-party
- Delegation: key can be passed to others
- Revoke: Bob can recall his key from the thirty-party, but it may be challenging to establish whether they made a copy.

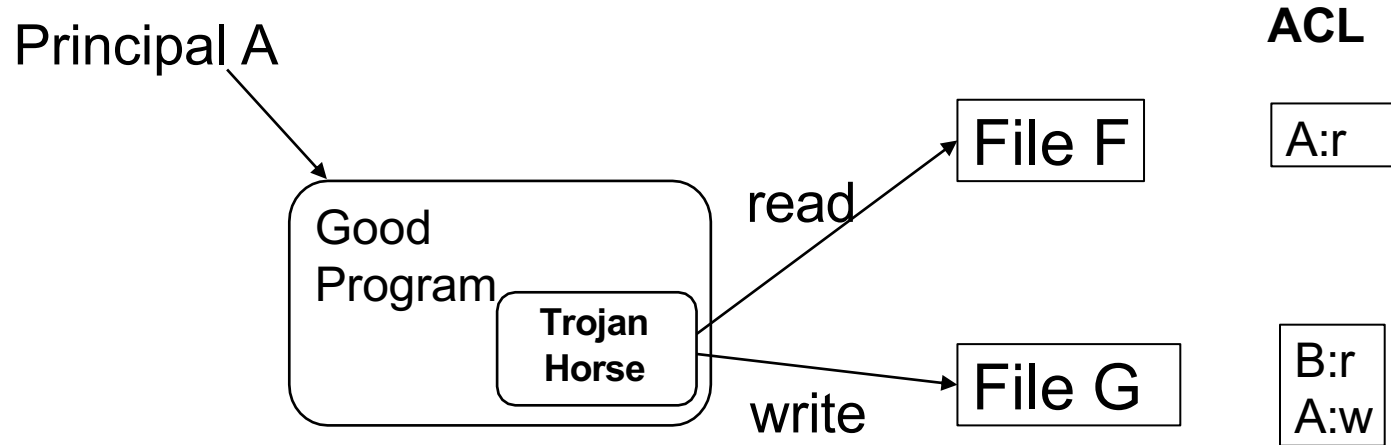
# DAC Problems

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- The underlying philosophy in DAC is that subjects can determine who has access to their objects.
  - There is a difference, though, between trusting a person and trusting a program.
- The copies of file are not controlled
- The Trojan Horse attack [1970]
  - Solution: use MAC

# Trojan Horse attack

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Principal B cannot read file F

What does Trojan Horse do?

- Create a new object G
- Grant A write access to G
- Grant B read access to G
- Copy F to G
- Find a way to interest A, so it runs the Trojan Horse program

# Buggy software can become Trojan Horses

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- When a buggy software is exploited, it executes the code/ intention of the attacker, while using the privileges of the user who started it
- This means that computers with only DAC cannot be trusted to process information classified at different levels



# Mandatory Access Control

# Modeling Access Control

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- Assigning access rights based on regulations by a **central authority**
- Implemented using a “*reference monitor*”
  - Small Trusted Computing Base (TCB) [John Rushby, 1981, OSP]
- Implemented using Virtualization

# Modeling Access Control

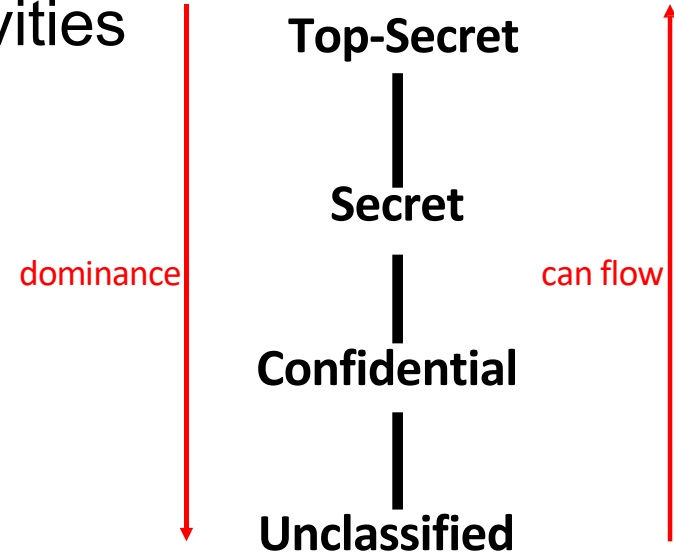
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- Multi-level security (MLS)
  - Bell-LaPadula (BLP) (Confidentiality)
  - Biba Model (Integrity)
- Chinese Wall

# Multi-level security (MLS)

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- The capability of a computer system to carry information with different sensitivities
- Bell-LaPadula (BLP) Model [1973]
- Biba Model



# BLP Model

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- Aims to capture confidentiality (read) requirements only
- The system is modelled as transitions through a set of states, starting from an initial state.
- State transition rules describe how a system can go from one state to another
- Each **subject**  $s$  has a maximal security level  $L_m(s)$ , and a current security level  $L_c(s)$
- Each **object** has a classification level

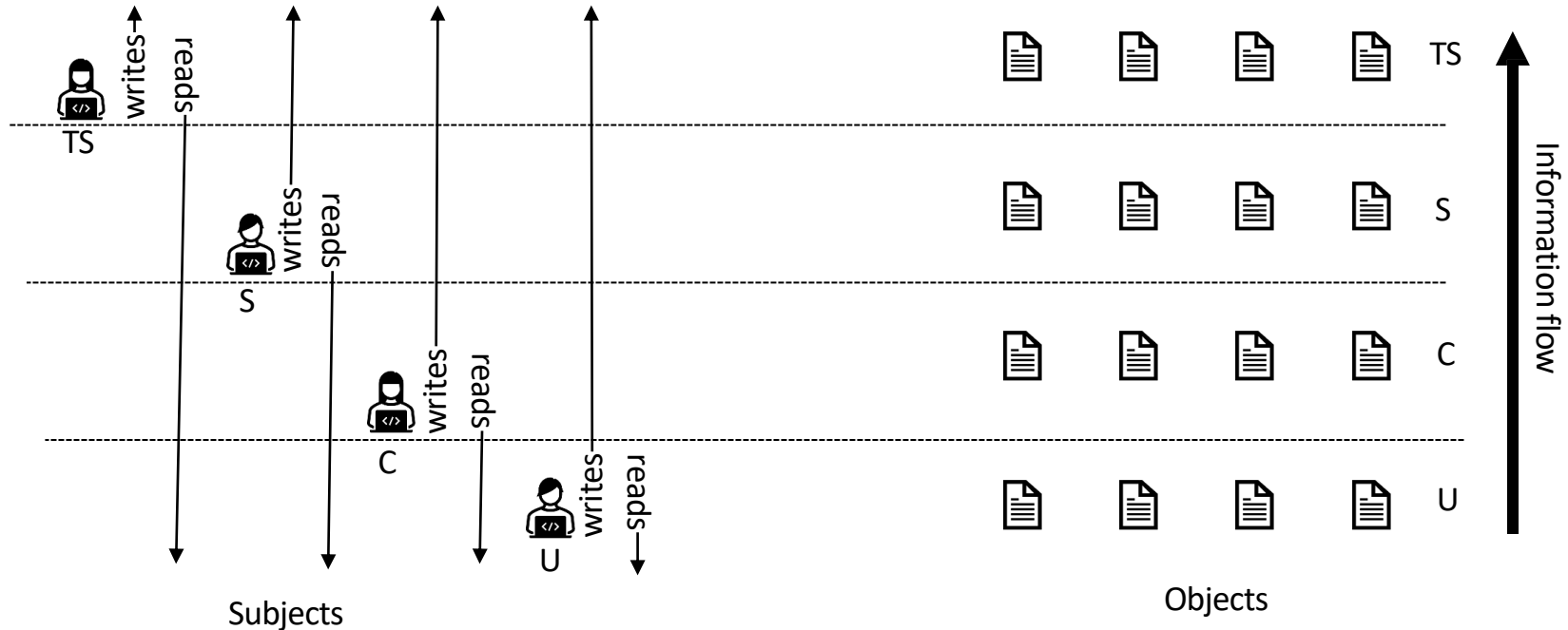
# BLP Model

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- A state is secure if:
  - Simple Security Property (SS): no subject may read data at a higher level (NO read up)
  - The \*(Star)-Property (SP): no subject may write data at a lower level (NO write down)
    - (due to the fear of Trojan Horse)
- A system is secure if and only if every reachable state is secure.

# BLP Model

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No Read Up, No Write Down

# BLP Problems

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- Consider a system with subjects  $s_1$ ,  $s_2$ , and objects  $o_1$ ,  $o_2$ 
  - $L_m(s_1) = L_c(s_1) = L(o_1) = \text{Secret}$
  - $L_m(s_2) = L_c(s_2) = L(o_2) = \text{Unclassified}$
- And the following execution
  - $s_1$  (**Secret**) gets access to  $o_1$  (**Secret**), reads something, releases access
  - $s_1$  changes current level to **Unclassified**
  - $s_1$  gets write access to  $o_2$  (**Unclassified**), writes to  $o_2$
- Every state is secure, yet illegal information exists
- Solution: subject cannot change current levels, or cannot drop to below the **highest level read so far**
  - $s_1$  cannot drop to **Unclassified** after reading **Secret**



# BLP Problems

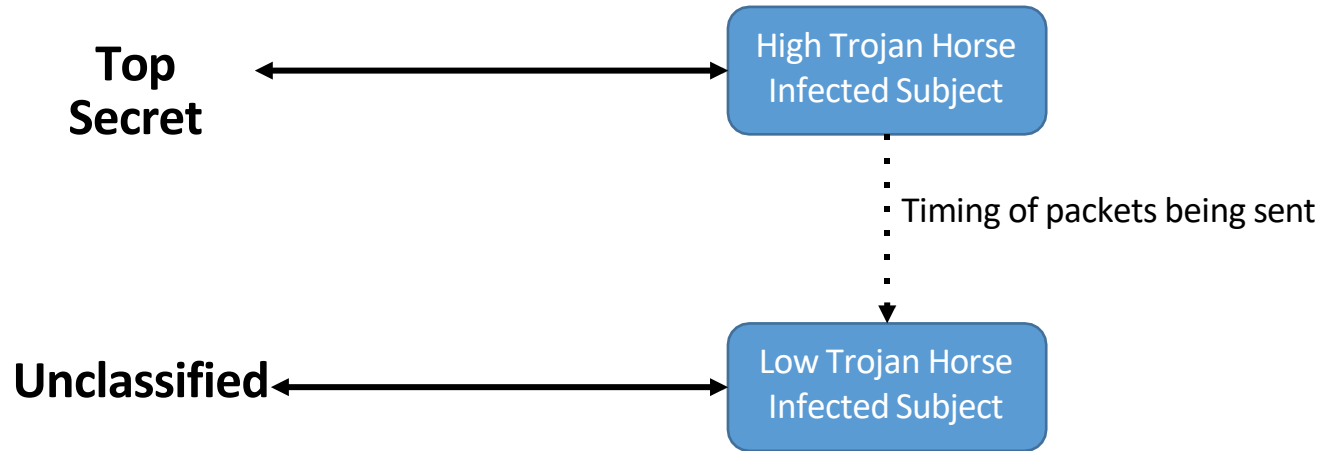
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- Not all system components can be enforced by BLP, e.g., memory management must have access to all levels
  - Called “*trusted subjects*”
- Can overwrite high and more important files

# BLP Problems

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- Covert channels cannot be blocked by star-property



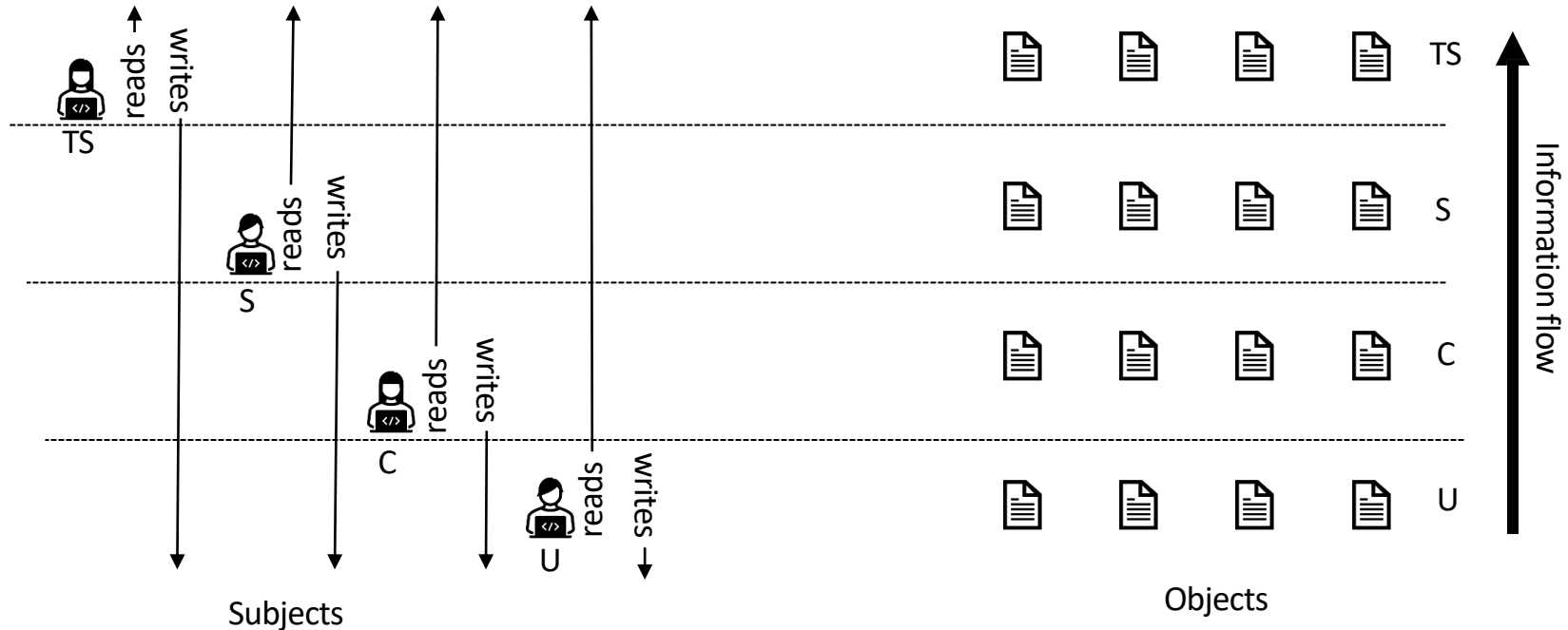
# Biba Model

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- Integrity is also very important
- Each subject (process) has an integrity level; each object has an integrity level; Integrity levels are totally ordered
- NO read down; NO write up
  - BLP upside down
- The integrity of an object is the lowest level of all the objects that contributed to its creation

# Biba Model

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No Read Down, No Write Up

# Biba Model

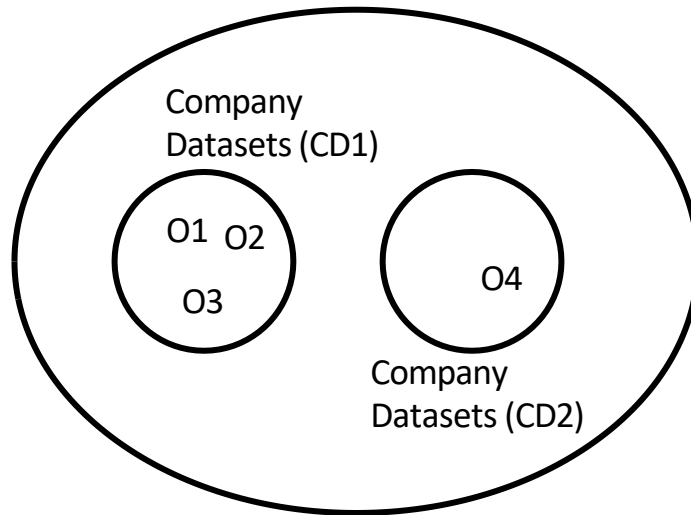
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- Used by Windows
- E.g., A browser can download a file (created with a low integrity level) and read everything in the system. It cannot write to a higher level object.

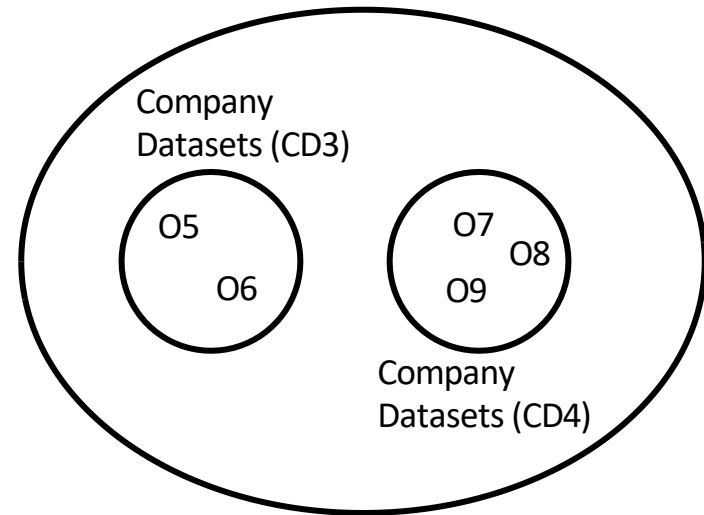
# Chinese Wall (Brewer and Nash model) [1989]

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Conflict Of Interest Classes (COI)



Conflict of Interest Classes COI



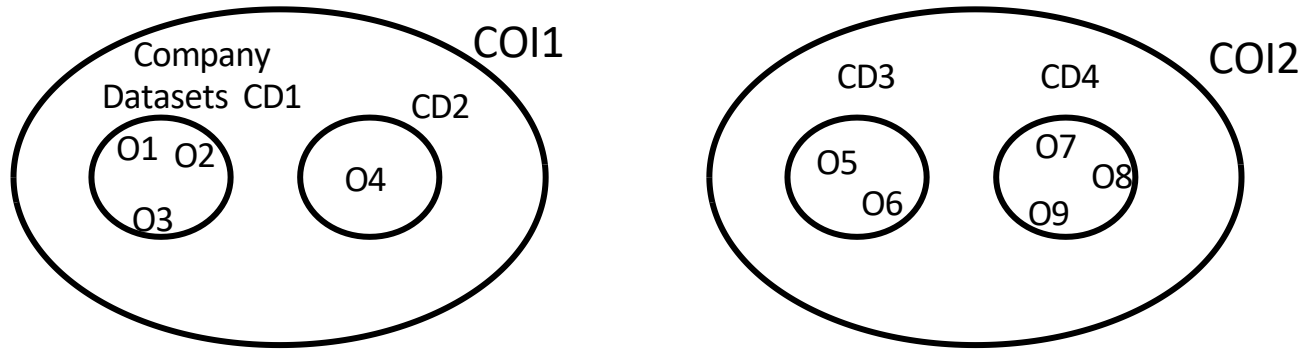
Example:

CD1 = Bank of America; CD2 = Wells Fargo;

CD3 = Ford; CD4 = GM

# Chinese Wall

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- S can read O only if
  - O is in the same company dataset as some object previously read by S (i.e., O is within the wall)
  - or
  - O belongs to a conflict of interest class within which S has not read any object (i.e., O is in the open)
- S can write O only if
  - S can read O and
  - S has never read an object O' such that  $CD(O) \neq CD(O')$

Q: If s1 has read o1

...

# Chinese Wall

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**Once a subject reads two objects from different CDs, that subject may never write any object.**  
Consider the following scenario:

- S1 reads information from an object in CD1.
- S2 reads information from an object in CD2.
- S1 writes that information to object O6 in CD3.
- S2 reads that information from O6.

Without the security condition, S2 would have read information pertaining to both CD1 and CD2

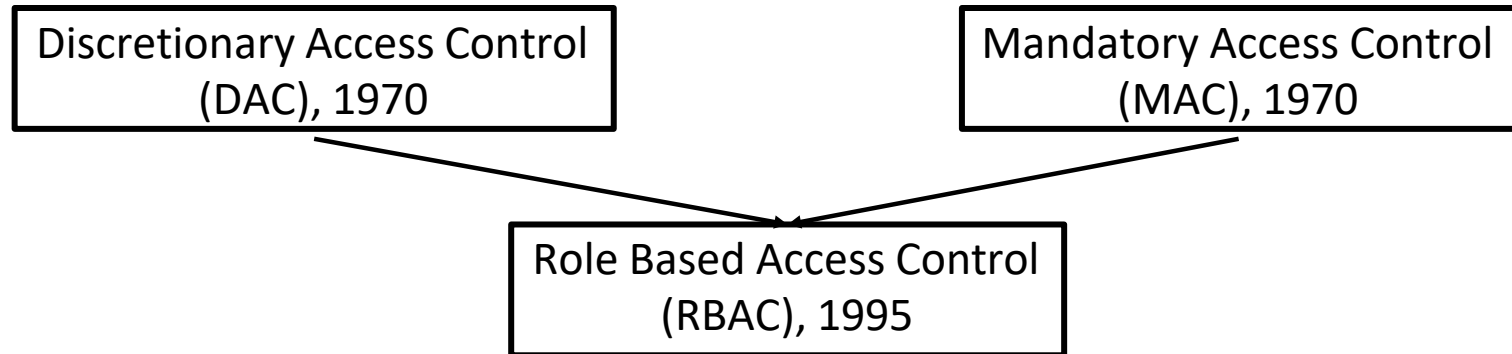


# Role-Based Access Control

# Role-Based Access Control

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- In the real world, security policies are dynamic.
- E.g., a user promotes at his job, therefore his rights must change (deleted, added, etc.)



# Role-Based Access Control

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- Can be configured to do DAC
  - roles simulate identity (RBAC98)
- Can be configured to do MAC
  - roles simulate clearances (ESORICS 96)

# Role-Based Access Control

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- Changes the underlying subject--object model
  - a policy is a relation on roles, objects, and rights
- Subjects are now assigned to roles;
  - *role assignment*
- Roles are hierarchical

# Roles as policy

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- A role brings together
  - a collection of users and
  - a collection of permissions
- These collections will vary over time
- A user can be a member of many roles
- Each role can have many users as each role can have many users as members

# RBAC Shortcomings

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- Role granularity is not adequate leading to role explosion
- Role design and engineering is difficult and expensive
- Assignment of users/permissions to roles is cumbersome
- Adjustment based on local/global situational factors is difficult

# Resources

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- 1 <http://www.profsandhu.com/confrenc/asiacccs/asiacccs06-pef.pdf>
- 2 <http://www.cs.cornell.edu/courses/cs5430/2011sp/NL.accessControl.html>
- 3 [http://cnitarot.github.io/courses/cs526\\_Spring\\_2015/s2014\\_526\\_ac.pdf](http://cnitarot.github.io/courses/cs526_Spring_2015/s2014_526_ac.pdf)
- 4 <https://people.cs.rutgers.edu/~pxk/419/notes/access.html>