# **Jiaxin Zhang**

Al Senior Staff Research Scientist @ Intuit Al Research

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# Highlights.

- 6 years research experience on reliable and robust AI, 3 years on large language models (LLMs) and generative AI research
- 4 years on building AI research team, managing engineers/scientists, and leading cross-team and cross-org collaborations
- Strong publication records in top AI conferences, e.g., NeurIPS, CVPR, etc and served as Area Chair (AC) of ACL, EMNLP, etc
- Research interests: LLM reliability, uncertainty, robustness and safety, alignment, optimization, RAG, and multimodality

#### **Education**

## Ph.D. Johns Hopkins University, Computational Science

2014 - 2018

- Thesis Title: Uncertainty Quantification Form Small Data: A Multimodel Approach
- M.S. Johns Hopkins University, Applied Mathematics & Statistics

2017 - 2018

• Focus: Machine Learning, Bayesian Statistics, Probabilistic Modeling, Optimization

# Experience \_\_\_\_\_

#### Al Senior Staff Research Scientist, Intuit Al Research 🗹

2022 - Now

Leading a team of 5-10 scientists and engineers working on **LLM reliability**, **Optimization**, **Alignment** and **Multimodality**. Responsible for both the technical directions, executions of projects, and tech transfer to business products.

#### LLM hallucination, uncertainty and reliability

- Reliable hallucination detection via semantic-aware cross-check consistency [SAC3, EMNLP 23'], and divide-conquer-reasoning for consistency evaluation and improvement of LLMs [DCR, EMNLP 24']
- Efficient Contextual hallucination mitigation using gradient-guided attention map editing [GAME, NAACL 25']
- Uncertainty quantification and confidence estimation in LLMs [SPUQ, EACL 24']

#### **Prompt optimization and RAG**

- Unified in-context prompt optimization [PhaseEvo, TMLR 24'] with security and safety contraints [SoS, EMNLP 24']
- Knowledge ingestion and injection via RAG, supervised fine-tuning and continual pre-training [Ski, EMNLP 24']; and characterizing query-knowledge relevance for reliable retrieval augmented generation [OOK, EMNLP 24']

#### Alignment and reasoning

- Interactive multi-fidelity learning for cost-effective LLM fine-tuning with sparse human supervision [IMFL, NeurIPS 23']
- Rethinking LLMs with gradient-descent feedback alignment; and reasoning-aware contrastive inference-time decoding

#### Multimodal AI: vision-language models

- Holistic Evaluation for Interleaved Text-and-Image Generation [InterleavedBench, EMNLP 24']
- Modality-Specialized Synergizers for Interleaved Vision-Language Generalists [MoSS, ICLR 25']
- Cycle-consistent diffusion models [DECDM, WACV 24'] and robustness of diffusion inversion [LTOP, ICLR 23' workshop]

#### Staff Research Scientist, Computer Science and Mathematics Division, Oak Ridge National Laboratory 🗹

2018 - 2022

Led a team of 4-6 research scientists working on **Deep Generative Models**, **Blackbox Optimization**, and **Distributed Deep Learning**. Responsible for driving research directions (PI) and managing people, as well as delivering impact to *Al for Science*.

#### Deep Generative Models (GANs, Normalizing Flows, Energy-based Models)

- Exploit invertible neural networks (INNs) for nonlinear dimension reduction [NLL, NeurIPS 19'] and accelerate inverse-problem solving with INNs [iPage, AAAI 23']; architecture optimization of normalizing flows [AutoNF, AAAI 23'];
- Auditing Privacy Defenses via Generative Gradient Leakage leveraging the latent space of GANs. [GGL, CVPR 22']

#### High-dimensional blackbox optimization

Novel evolution strategy with directional Gaussian smoothing for blackbox optimization [DGS, UAI 21']

Scalable gradient free method for Bayesian experimental design with implicit models [SAGABED, AISTATS 21']

#### **Distributed deep learning on ORNL Supercomputers (Summit, Frontier)**

- Implemented Gaussian smoothing optimization strategy to accelerate reinforcement learning training on 1000+ CPUs
- Developed synchronous distributed deep learning through data parallelism, achieving near-linear scaling of distributed training up to **768 NVIDIA V100 GPUs** on Summit supercomputer; and training ImageNet with ResNet-50 in **10 minutes**

#### **Graduate Research Assistant**, Johns Hopkins University

2014 - 2018

- Bayesian inference and Monte Carlo methods for uncertainty quantification and propagation [single-author survey]
- Generalization of Latin hypercube sampling for space-filling and variance reduction [LPSS, RESS 16', 460+ citations]

#### Selected Publications

50+ peer-reviewed publications (30+ first authors), including NeurIPS, CVPR, EMNLP, AAAI, UAI, AISTATS, etc

- 1. [EMNLP 24'] Synthetic Knowledge Ingestion: Towards Knowledge Refinement and Injection for Enhancing Large Language Models. <u>Jiaxin Zhang</u>, Wendi Cui, Yiran Huang, Kamalika Das, and Sricharan Kumar. *In Proceedings of the Conference on Empirical Methods in Natural Language Processing*, 2024.
- 2. [EMNLP 24'] Do You Know What You Are Talking About? Characterizing Query-Knowledge Relevance For Reliable Retrieval Augmented Generation. Zhuohang Li, <u>Jiaxin Zhang</u>, Chao Yan, Kamalika Das, Sricharan Kumar, Murat Kantarcioglu, and Bradley A Malin. *In Proceedings of the Conference on Empirical Methods in Natural Language Processing*, 2024.
- 3. [EMNLP 24'] HyQE: Ranking Contexts with Hypothetical Query Embeddings. Weichao Zhou, <u>Jiaxin Zhang</u>, Hilaf Hasson, Anu Singh, and Wenchao Li. *In Proceedings of the Conference on Empirical Methods in Natural Language Processing*, 2024.
- 4. [EMNLP 24'] Holistic evaluation for interleaved text-and-image generation. Minqian Liu, Zhiyang Xu, Zihao Lin, Trevor Ashby, Joy Rimchala, <u>Jiaxin Zhang</u>, and Lifu Huang. *In Proceedings of the Conference on Empirical Methods in Natural Language Processing*, 2024.
- 5. [**EMNLP 24'**] Divide-Conquer-Reasoning for Consistency Evaluation and Automatic Improvement of Large Language Models. Wendi Cui, Zhuohang Li, Damien Lopez, Kamalika Das, Bradley A. Malin, Sricharan Kumar, and <u>Jiaxin Zhang</u>. *In Proceedings of the Conference on Empirical Methods in Natural Language Processing Industry Track*, 2024.
- 6. [EMNLP 24<sup>3</sup>] Survival of the Safest: Towards Secure Prompt Optimization through Interleaved Multi-Objective Evolution. Ankita Sinha, Wendi Cui, Kamalika Das, and <u>Jiaxin Zhang</u>. In Proceedings of the Conference on Empirical Methods in Natural Language Processing Industry Track, 2024.
- 7. [TMLR 24'] PhaseEvo: Towards Unified In-Context Prompt Optimization for Large Language Models. Wendi Cui, Zhuohang Li, Hao Sun, Damien Lopez, Kamalika Das, Bradley Malin, Sricharan Kumar, and <u>Jiaxin Zhang</u>. *Transactions on Machine Learning Research*, 2024 (in review).
- 8. [EACL 24'] SPUQ: Perturbation-Based Uncertainty Quantification for Large Language Models. Xiang Gao, <u>Jiaxin Zhang</u>, Lalla Mouatadid, and Kamalika Das. In Proceedings of the 18th Conference of the European Chapter of the Association for Computational Linguistics, 2024.
- 9. [AISTATS 24'] Discriminant Distance-Aware Representation on Deterministic Uncertainty Quantification Methods. <u>Jiaxin Zhang</u>, Kamalika Das, and Sricharan Kumar. *In Proceedings of the International Conference on Artificial Intelligence and Statistics*, 2024.
- 10. [WACV 24'] On the Quantification of Image Reconstruction Uncertainty Without Training Data. <u>Jiaxin Zhang</u>, Sirui Bi, and Victor Fung. *Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision*, 2024.
- 11. [WACV 24'] DECDM: Document Enhancement using Cycle-Consistent Diffusion Models. <u>Jiaxin Zhang</u>, Joy Rimchala, Lalla Mouatadid, Kamalika Das, and Sricharan Kumar. *Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision*, 2024.
- 12. [NeurIPS 23'] Interactive Multi-fidelity Learning for Cost-effective Adaptation of Language Model with Sparse Human Supervision. <u>Jiaxin Zhang</u>, Zhuohang Li, Kamalika Das, and Sricharan Kumar. *In Proceedings of the Neural Information Processing Systems*, 2023.
- 13. [EMNLP 23'] SAC3: Reliable Hallucination Detection in Black-Box Language Models via Semantic-aware Cross-check Consistency. <u>Jiaxin Zhang</u>, Zhuohang Li, Kamalika Das, Bradley Malin and Sricharan Kumar. *In Proceedings of the Conference on Empirical Methods in Natural Language Processing*, 2023.
- 14. [AAAI 23'] Accelerating Inverse Learning via Intelligent Localization with Exploratory Sampling. <u>Jiaxin Zhang</u>, Sirui Bi and Victor Fung. *In Proceedings of the AAAI Conference on Artificial Intelligence*, 2023.

- 15. [AAAI 23'] AutoNF: Automated Architecture Optimization of Normalizing Flows Using a Mixture Distribution Formulation. Yu Wang, Jan Drgona, <u>Jiaxin Zhang</u>, Karthik Somayaji NS, Frank Y Liu, Malachi Schram, and Peng Li. *In Proceedings of the AAAI Conference on Artificial Intelligence*, 2023.
- 16. [CVPR 22'] Auditing Privacy Defenses in Federated Learning via Generative Gradient Leakage. Zhuohang Li, <u>Jiaxin Zhang</u>, Luyang Liu, and Jian Liu. *In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2022.
- 17. [NeurIPS 21'] On the Stochastic Stability of Deep Markov Models. Jan Drgona, Sayak Mukherjee, <u>Jiaxin Zhang</u>, Frank Liu, and Mahantesh Halappanavar. *In Proceedings of the Neural Information Processing Systems*, 2021.
- 18. **[UAI 21']** Enabling Long-range Exploration in Minimization of Multimodal Functions. <u>Jiaxin Zhang</u>, Hoang Tran, Dan Lu, and Guannan Zhang. *In Proceedings of Uncertainty in Artificial Intelligence*, *2021*.
- 19. [AISTATS 21'] A Scalable Gradient Free Method for Bayesian Experimental Design with Implicit Models. <u>Jiaxin Zhang</u>, Sirui Bi, and Guannan Zhang. *In Proceedings of the International Conference on Artificial Intelligence and Statistics*, 2021.
- 20. [NeurIPS 19'] Learning Nonlinear Level Sets for Dimensionality Reduction in Function Approximation. Guannan Zhang, Jiaxin Zhang, and Jacob Hinkle. *In Proceedings of the Neural Information Processing Systems*, 2019.

### Professional Activities and Services

Area	Chair	and Pro	gram	Committee
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Area Chair: ACL, EMNLP and NAACL

- 2024 now
- Reviewer of AI Conferences: NeurIPS, ICML, ICLR, CVPR, ICCV, ECCV, AAAI, AISTATS, KDD, ICASSP, SIAM SDM 2020 now
- · Reviewer of Al Journals: Journal of Machine Learning Research, Transactions on Machine Learning Research

#### **Workshop Organizer & Chair**

SIAM Uncertainty Quantification - "Robustness, Reliability and Safety in Deep Learning"
 SIAM Mathematical Aspects of Materials Science - "Machine Learning for Solving Inverse Problems"
 2021

#### **Research Project Funding**

As a Principal Investigator (PI) or co-PI, leading 7 DOE ASCR/ORNL projects, over 6.4 million in total

# Honors and Awards

• Intuit CTO Award, Intuit	2024
• Intuit A2D Innovation Award, Intuit	2024
<ul> <li>Promising Early-Career Researcher Award, Oak Ridge National Laboratory, DOE</li> </ul>	2020
NeuriPS Travel Award, NeuriPS	2019
Chinese Outstanding Students Abroad Award, Ministry of Education of the P.R. China	2019
<ul> <li>Acheson J. Duncan Graduate Research Award, Johns Hopkins University</li> </ul>	2018
• Dean's Fellowship, Johns Hopkins University	2014
National Scholarship, Ministry of Education of the P.R. China	2009, 2012

# Selected Open-Source Libraries and Softwares \_

<ul> <li>Intuit Al Research Open Source GitHub Organization</li></ul>	2024 - now
• Ski:Towards Knowledge Refinement and Injection for Enhancing LLMs ☑ - [New!]	2024 - now
Awesome-LLM-Prompt-Optimization	2023 - now
• Awesome-LLM-Uncertainty-Reliability-Robustness ☑ - [670+ stars][40+ forks]	2023 - now
• Awesome-LLM-Retrieval-Augmented Generation (RAG) 🗹 - [950+ stars][60+ forks]	2023 - now
• SAC3: Reliable Hallucination Detection in Black-Box LLMs ☑ - [30+ stars][5+ forks]	2023 - now
• Divide-Conquer-Reasoning for Consistency Evaluation and Improvement ☑ - [20+ stars][3+ forks]	2023 - now
• GGL: Generative Gradient Leakage in Federated Learning ☑ - [60+ stars][10+ forks]	2022 - now
• MatDeepLearn: Graph Neural Networks Platform in Materials Chemistry ☑ - [170+ stars][40+ forks]	2021 - now
• MatDesINNe: Materials Inverse Design with Invertible Neural Networks ☑ - [60+ stars][10+ forks]	2021 - now
• UQpy: Uncertainty Quantification with Python ☑ - [270+ stars][80+ forks]	2018 - now