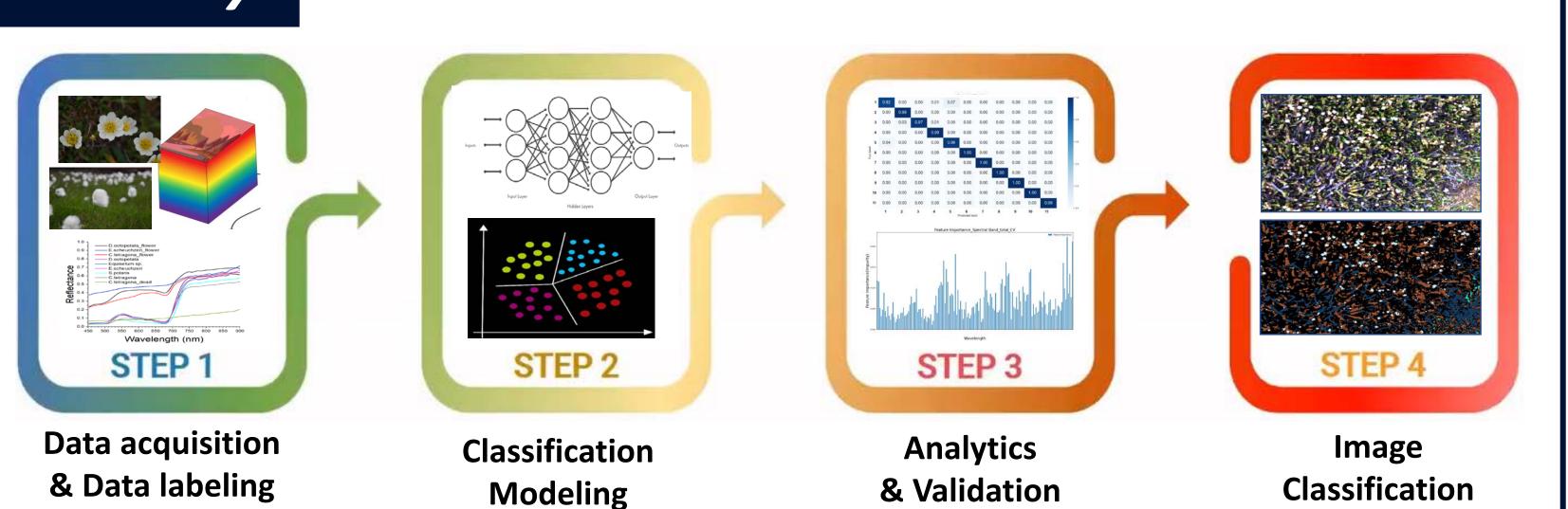


Machine Learning-based Classification for mapping Arctic plant species using Terrestrial Hyperspectral Imagery

Junyoung Yang^{p1,2}, Yoo Kyung Lee^{1,2}, Junhwa Chi^{c3}

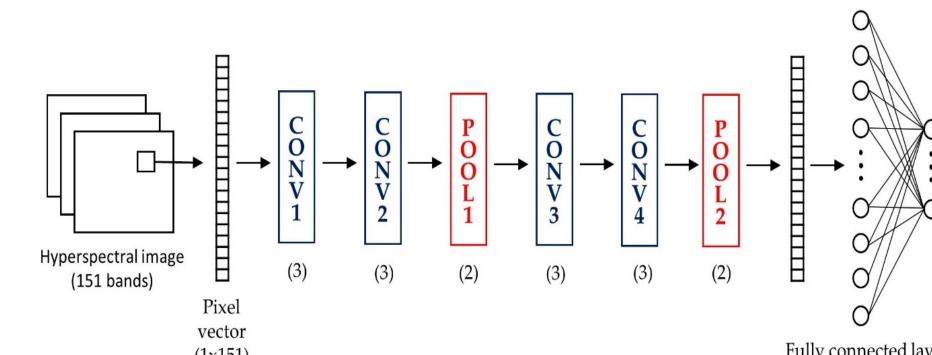
¹Korea Polar Research Institute, Incheon 21990, Republic of Korea, ²University of Science and Technology, Incheon 21990, Republic of Korea ³Major of Big Data Convergence, Division of Data and Information Sciences, College of Information Technology and Convergence, Pukyong National University, Busan 48513, Republic of Korea *Corresponding author: jhchi@kopri.re.kr

Summary



Machine Learning-based Classifiers

- The performances of three representative classifiers, Random forest (RF), Support vector machine (SVM), One-dimensional convolutional neural network (1D-CNN), were compared to determine the optimal classifier.
- In the architecture of 1D-CNN, the rectified linear unit (ReLU) activation function was used for CNN layers, Softmax activation was applied to the output layer.

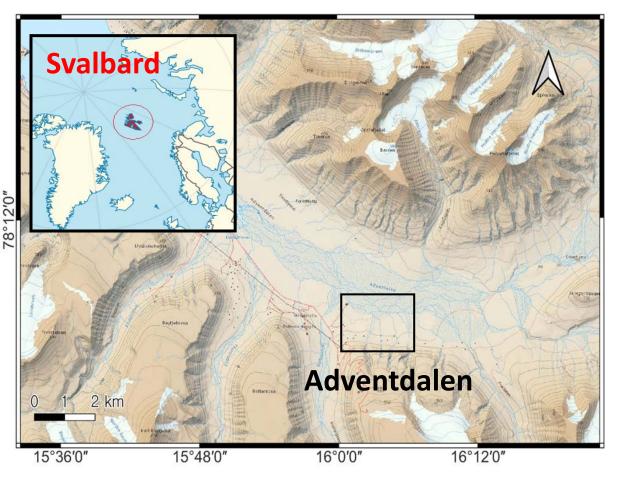


Introduction

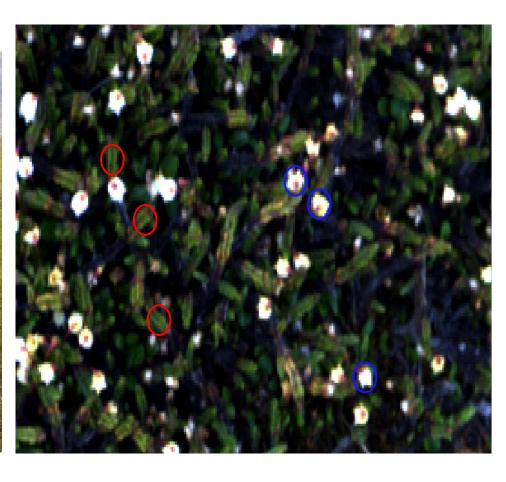
- Hyperspectral remote sensing is a valuable tool for monitoring the rapid changes in the distribution and composition Arctic vegetation in response to climate change.
- However, the potential of hyperspectral imagery for mapping Arctic plant species remains poorly established due to the difficulty in data acquisition from Arctic region.
- To address these limitations, this study included two research purposes, (1) collecting hyperspectral information for Arctic plant species, (2) investigating the mapping potential of the hyperspectral imagery through classification experiments.

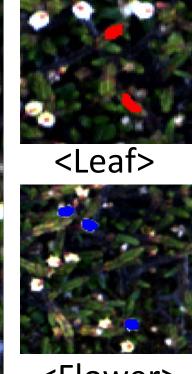
Methods and Materials

1) Data acquisition











- Hyperspectral data were collected using a terrestrial hyperspectral camera (Specim IQ) in Adventdalen, Svalbard (78°09'55" N and 16°00'00" E).
- Hyperspectral pixel data associated with Arctic plant species were manually extracted from the hyperspectral images and were labeled using visual inspection.

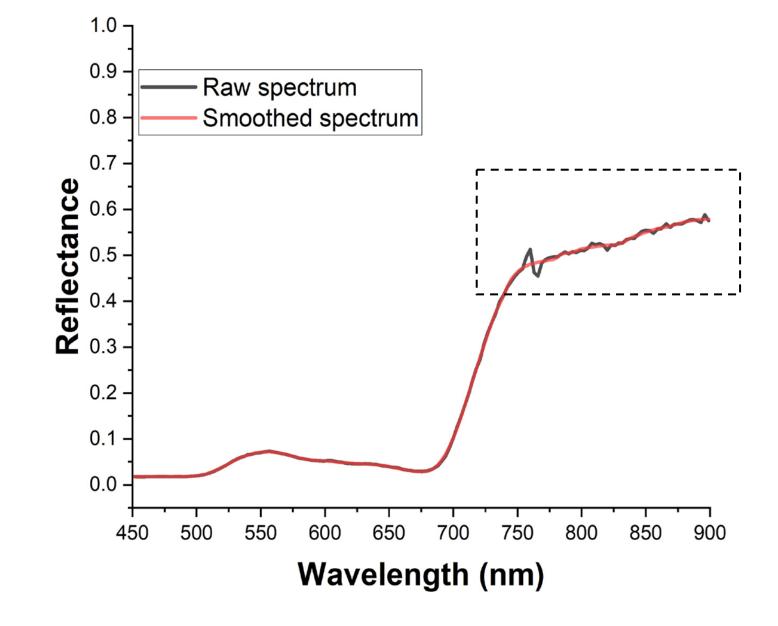
2) Data preprocessing

2-1) Spectral smoothing

 To address noise issue, the Savitzky-Golay filtering was applied to the raw spectrum to obtain the smoothed reflectance spectrum.

2-2) Spectral derivative

We investigated whether the classification performance could be improved by applying the spectral derivative technique.



2-3) Spectral similarity

- Spectral correlation coefficient (SCC) was used to evaluate the spectral separability of Arctic plant species.
- > The first derivative and SCC were calculated using the follow equations, respectively. $(dS/d\lambda = First derivative spectrum, S = reflectance, <math>\lambda_i = Wavelength of i$ -th band) $(n = \text{the number of spectral bands, Two spectra}, a = (a_1, a_2, ..., a_n) \text{ and } b = (b_1, b_2, ..., b_n)$

$$\frac{dS}{d\lambda} = \frac{S(\lambda_{i+1}) - S(\lambda_i)}{\lambda_{i+1} - \lambda_i}$$

$$SCC = \frac{n \sum_{i=1}^{n} a_{i} b_{i} - \sum_{i=1}^{n} a_{i} \sum_{i=1}^{n} b_{i}}{\sqrt{\left[n \sum_{i=1}^{n} a_{i}^{2} - \left(\sum_{i=1}^{n} a_{i}\right)^{2}\right] \left[n \sum_{i=1}^{n} b_{i}^{2} - \left(\sum_{i=1}^{n} b_{i}\right)^{2}\right]}}$$

3) Research flow

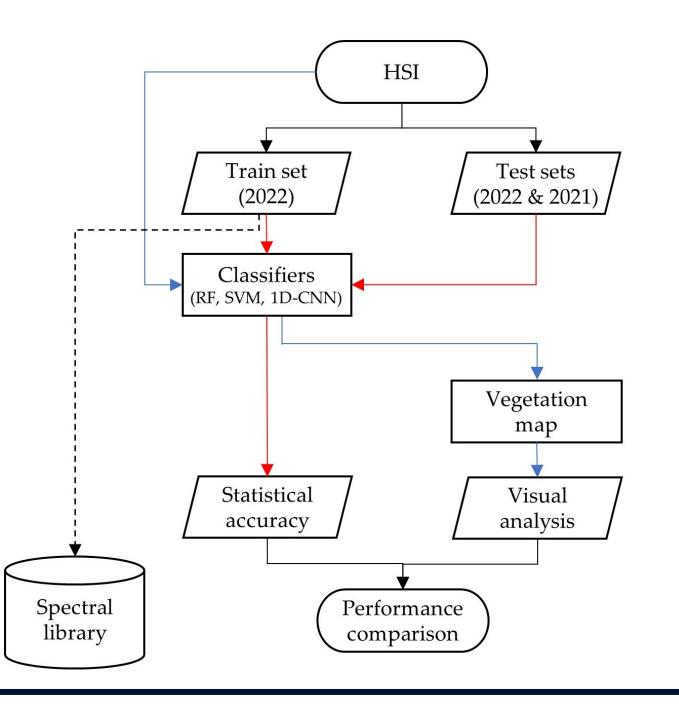
Labeled datasets were divided into three groups, training set (2022) and test sets (2022 and 2021).



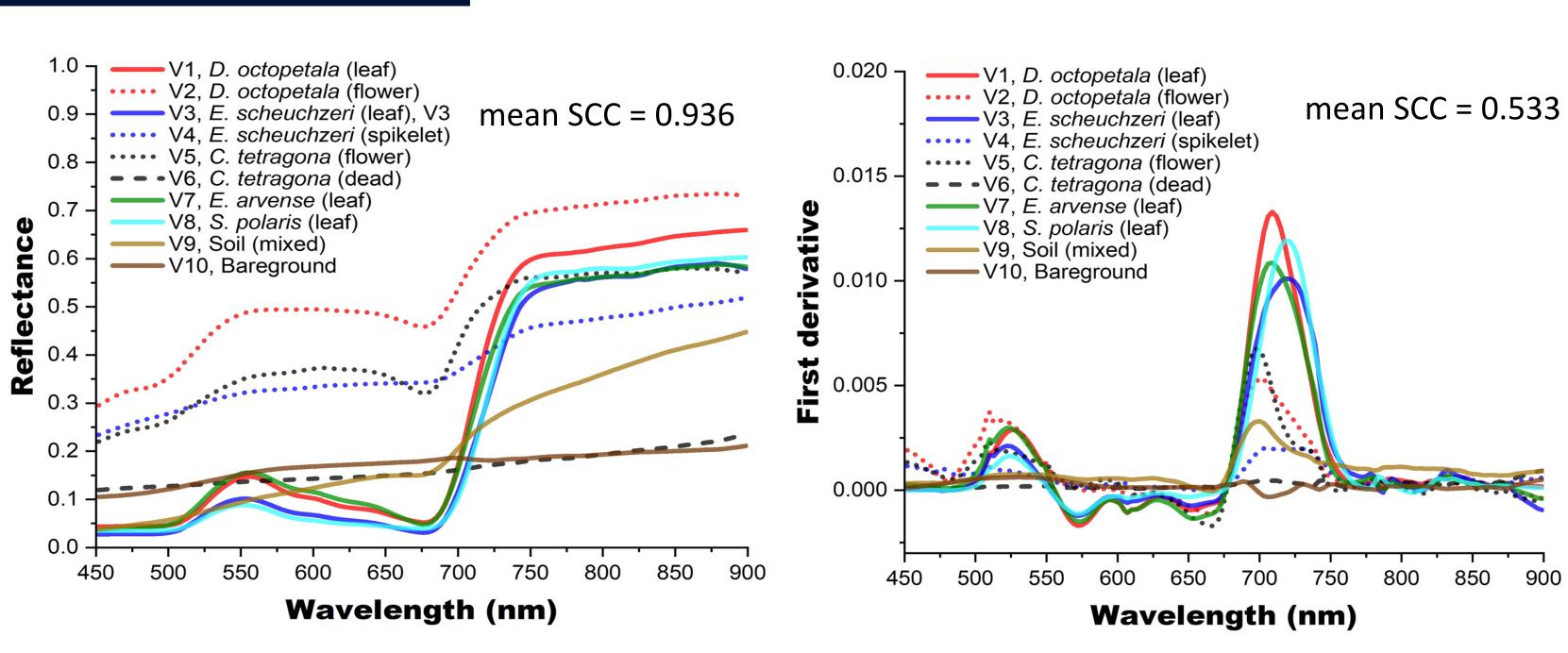
Classifiers were trained using training set (2022) with hyperparameter tuning.



Classification performance of each classifier was evaluated using two test sets (2022 and 2021).



Spectral Library



- Spectral patterns of the classes were visually distinguishable in the first derivative.
- Applying the first derivative resulted in a decrease in the mean SCC, indicating an increase in spectral similarity, compared to the reflectance spectral library.

Comparison of Classification Performances

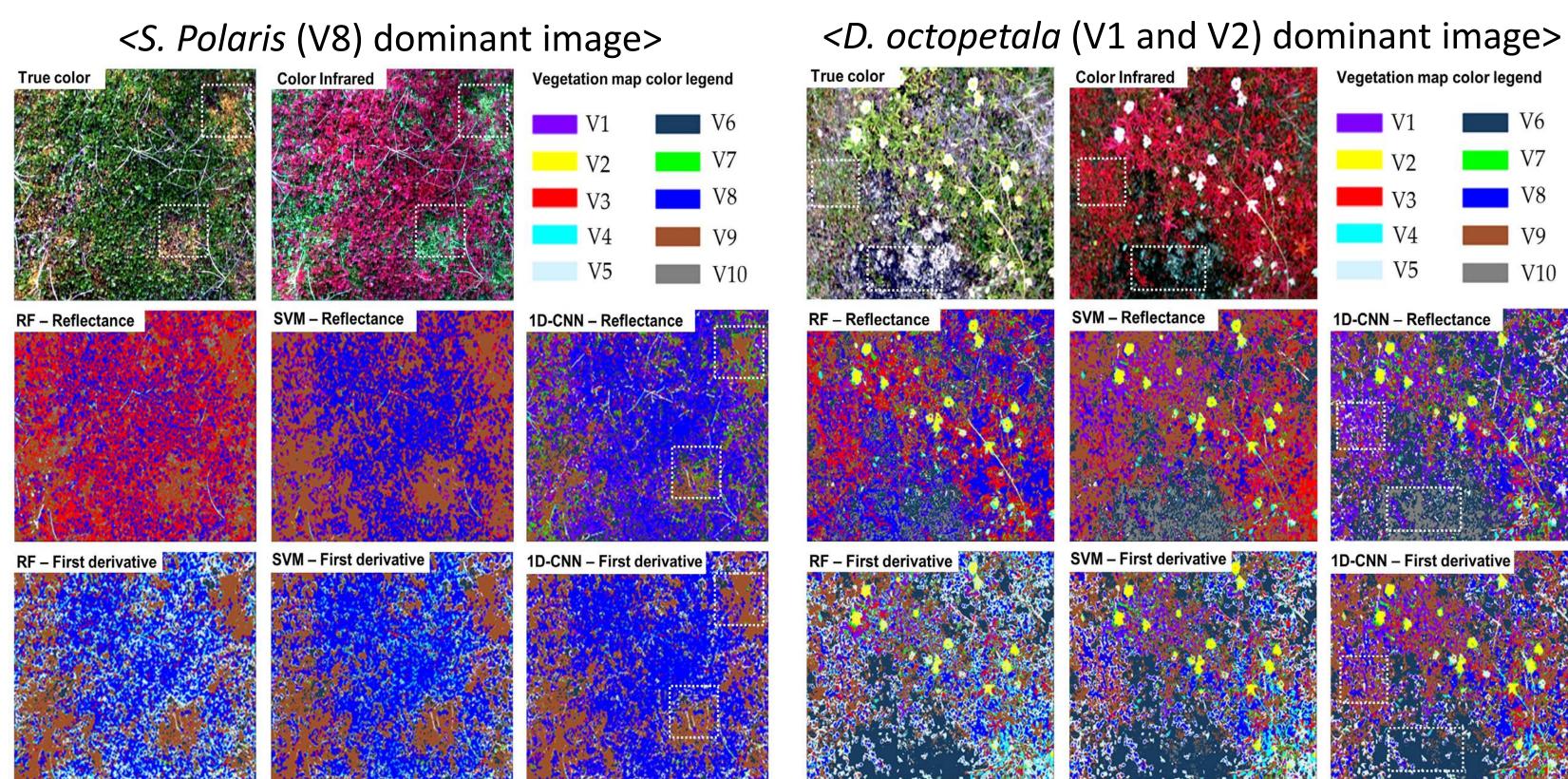
1) Statistical Accuracy of Classification performance

<Reflectance> <First derivative>

Dataset	Classifier	Accuracy	Dataset	Classifier	Accuracy	Y
	RF	91.50%		RF	96.60%	
2022	SVM	96.41%	2022	SVM	98.52%	
	1D-CNN	97.24%		1D-CNN	98.88%	
	RF	74.28%		RF	90.64%	>
2021	SVM	89.04%	2021	SVM	87.54%	
	1D-CNN	92.80%		1D-CNN	<mark>93.52%</mark>	

- > The 1D-CNN classifier combined with the first derivative technique showed most stable performance.
- > It achieved the highest statistical accuracies for two different test sets (highlighted in yellow).

2) Visual Inspection of Classification Results



> The 1D-CNN with the first derivative produced most accurate vegetation map, showing better visual agreement with true color and color infrared images (white dotted rectangles) (V1 – V10 classes were delineated in the spectral library.)

Conclusion & Future Study

- The hyperspectral data collected in this study, including the spectral library, provide a valuable reference for understanding the spectral characteristics of Arctic plant species.
- 1D-CNN with the first derivative is a promising approach to enhance spectral separability of Arctic plant species, contributing to the monitoring Arctic terrestrial ecosystem.
- We plan to expand our study to UAV hyperspectral analysis for large-scale mapping in the Arctic, and to conduct remote monitoring studies of plant species.