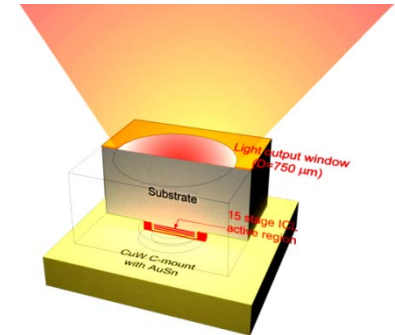
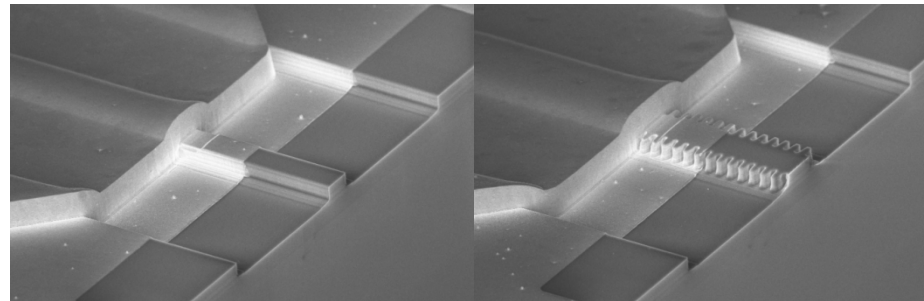
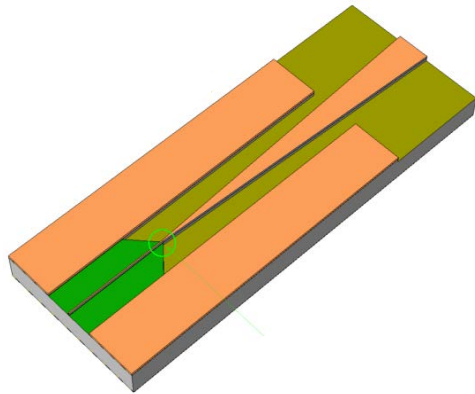
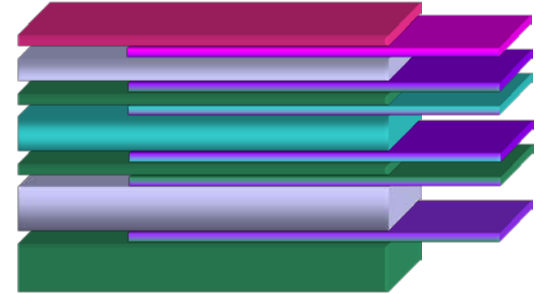
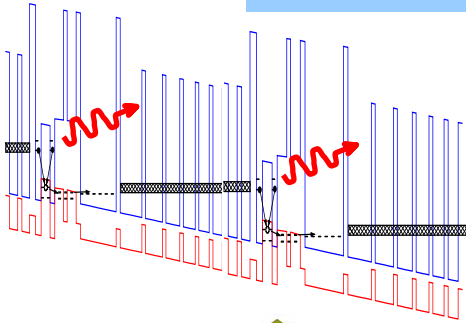


HIGH-BRIGHTNESS INTERBAND CASCADE LASERS



Conference on Lasers and Electro-Optics – San Jose CA (12 May 2015)

**Jerry R. Meyer, Chadwick L. Canedy, Chul Soo Kim, William W. Bewley,
Charles D. Merritt, & Igor Vurgaftman**

Naval Research Lab, Washington DC 20375 [(202)767-3276; mwir_laser@nrl.navy.mil]

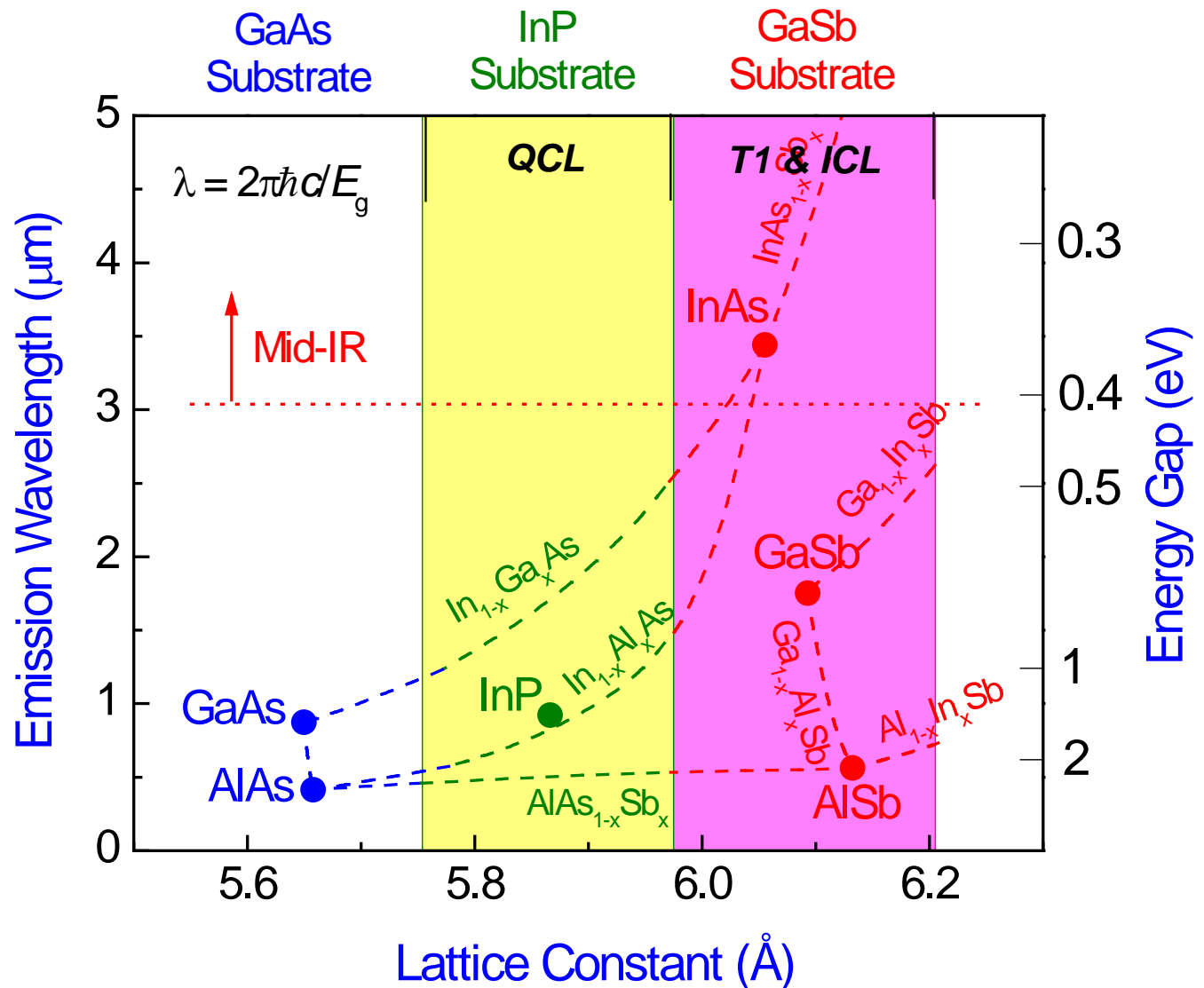
Mijin Kim

Sotera Defense Solutions, Crofton MD 21114

Also thanks to: Gerard Wysocki Group (Princeton), Paul Ewart Group (Oxford), Leonid Glebov Group (CREOL), Siamak Forouhar Group (JPL)



III-V SEMICONDUCTOR LASER FAMILIES



Interband mid-IR requires GaSb-Based: Great flexibility, but other issues...

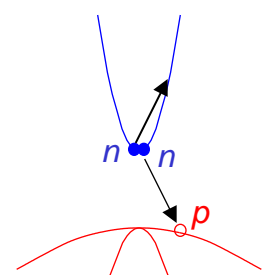


WHY IS LASING @ LONG λ SO #%\$ DIFFICULT?

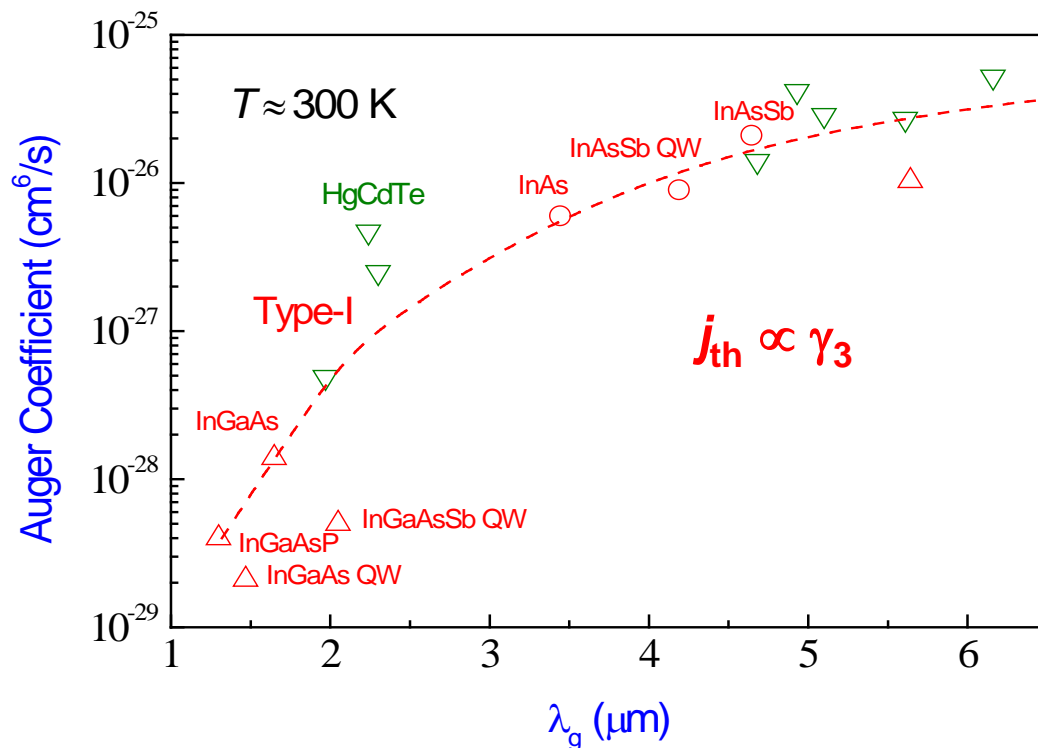
Cryogenic InAs mid-IR diode in 1963, but no RT cw for over 40 years – Why?

- **Stubborn materials:** GaSb growth & fab immature & intrinsically harder
- **High loss:** Free carrier absorption scales as λ^2 to λ^3
- **Short upper-state lifetime:** Rapid Auger decay of upper lasing level

nnp Auger process



Dominant decay in mid-IR semiconductors



Lasing is literally orders of magnitude more challenging @ $\lambda > 3 \mu\text{m}$ than $\lambda = 1 \mu\text{m}$!

2 Alternatives: **(1) Abandon the diode (QCL); (2) Improve the diode (ICL)**

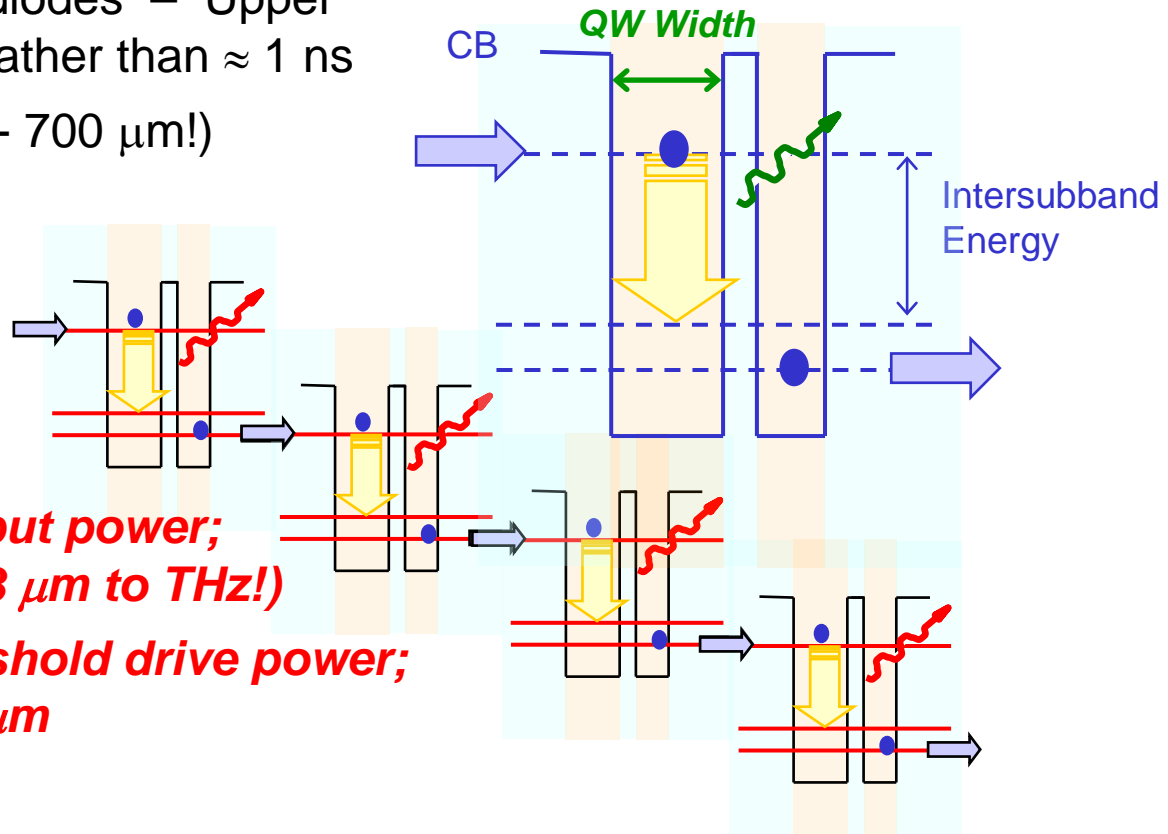
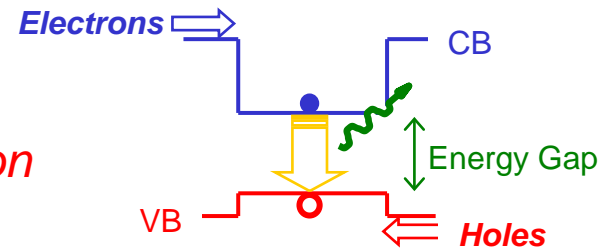


SOLUTION #1: ABANDON THE DIODE! THE QUANTUM CASCADE LASER (QCL)

Rather than employing e-h recombination as in a conventional diode laser

QCL exploits optical transitions between *electron subbands in a QW* – *No holes, so not a diode*

- Very different regime from diodes – Upper lasing level lifetime ≈ 1 ps rather than ≈ 1 ns
- Tune λ with QW width (2.6 - 700 μm !)
- With cascade staircase, 1 electron in can yield 30-40 photons out!



Advantages: (1) *High cw output power;*
(2) *Broad spectral coverage (3 μm to THz!)*

Disadvantages: (1) *High threshold drive power;*
(2) *More challenging @ $\lambda < 4 \mu\text{m}$*

Any alternative?

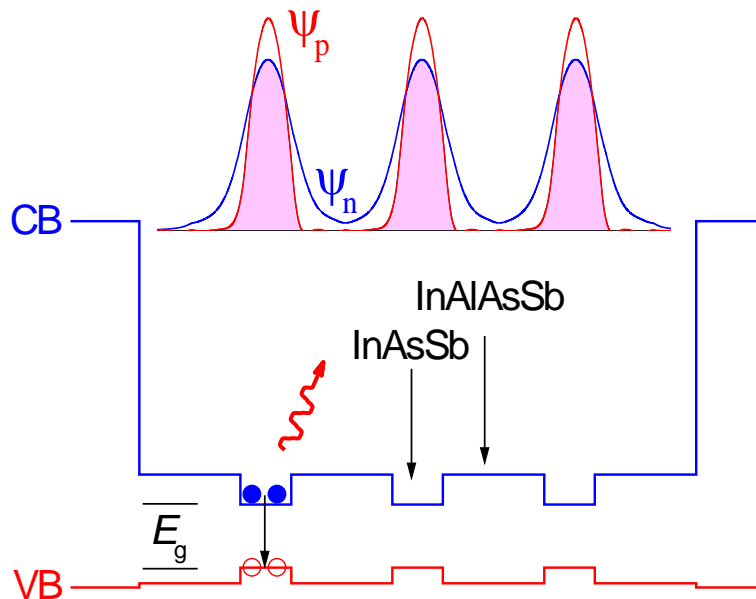


HISTORICAL BACKGROUND:

TYPE-I vs. TYPE-II CONVENTIONAL DIODES

Type-I

InAsSb/InAlAsSb QW (*MIT-LL, c. 1993*)



Advantage:

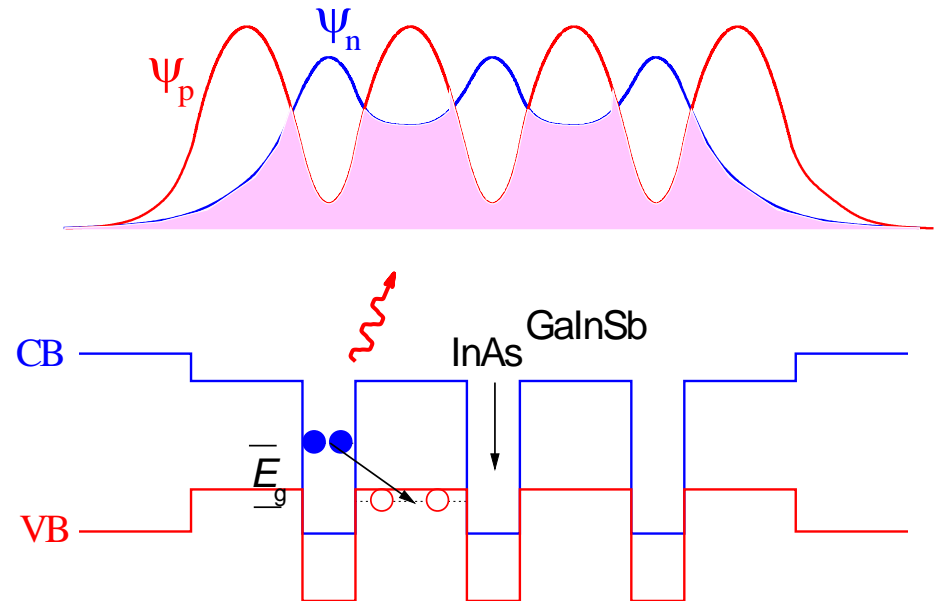
(1) Strong wavefunction overlap (high gain)

Disadvantages:

- (1) Poor electrical confinement
- (2) Limited wavelength range
- (3) Short Auger lifetime

Type-II

InAs/GaInSb Superlattice (*HRL, 1994*)



Disadvantage:

(1) Spatially indirect (but good gain for thin QWs)

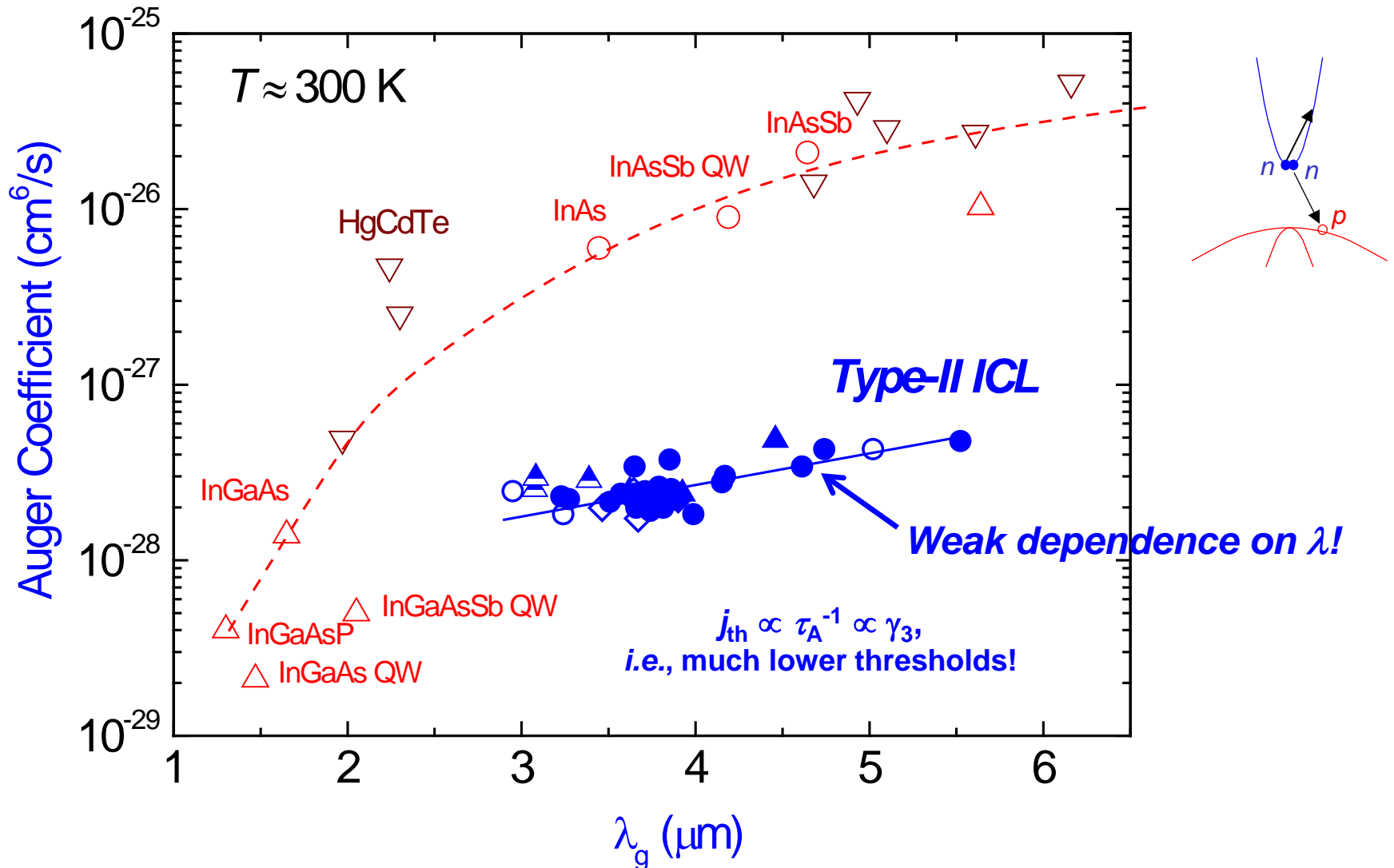
Advantages:

- (1) Excellent electrical confinement
- (2) Access to much longer λ
- (3) Suppressed Auger



LOWER THRESHOLDS VIA AUGER SUPPRESSION

[Vurgaftman et al., JSTQE 19, 1200120 (2013)]

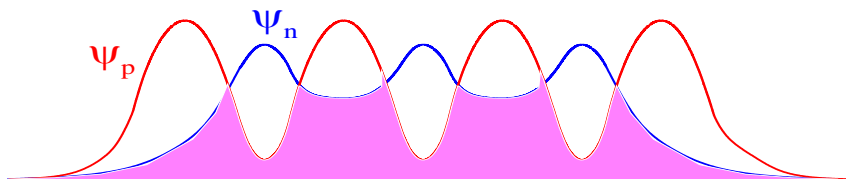


Consistent γ_3 for > 60 ICL wafers with 3, 5, 7, & 10 stages, numerous designs

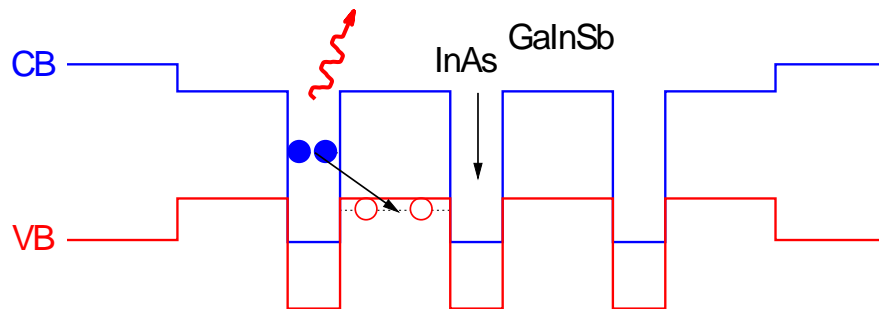


TYPE-II SUPERLATTICE vs. TYPE-II QWs

Type-II Superlattice



So much wf penetration that m_n^* nearly isotropic (3D)

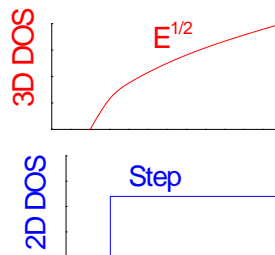


Advantages:

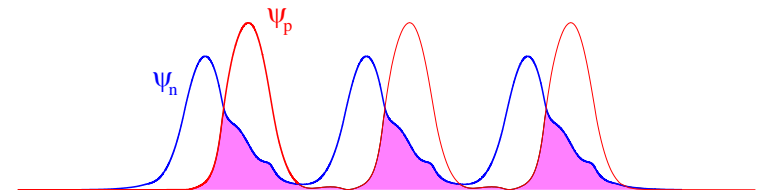
- (1) Excellent electrical confinement
- (2) Longer λ

Disadvantage:

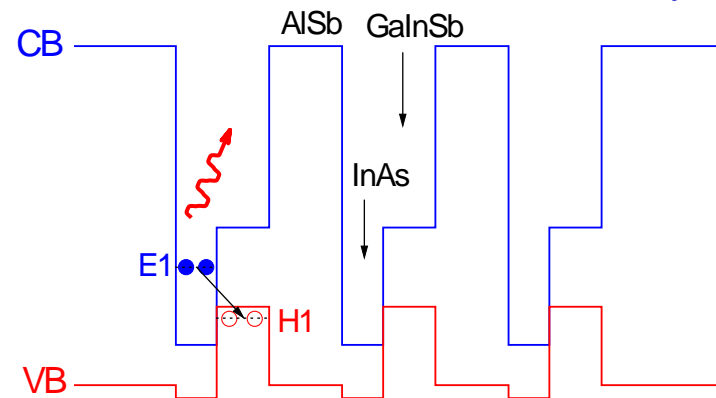
3D Density-of-States



3-Layer Type-II (NRL unp., c. 1994)



AlSb is barrier for both e & h – Now really 2D



Advantage:

2D DOS for electrons & holes

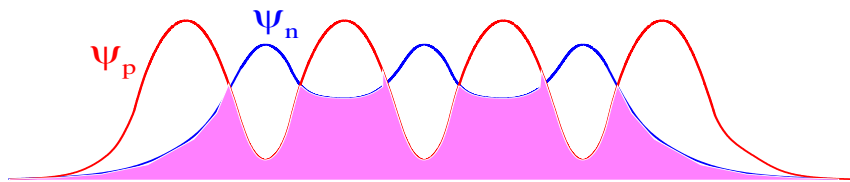
Disadvantage:

Reduced wavefunction overlap

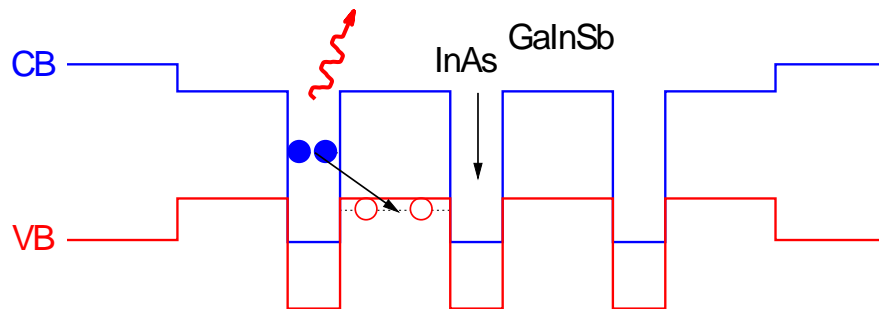


TYPE-II SUPERLATTICE vs. TYPE-II QW

Type-II Superlattice



So much wf penetration that m_n^* nearly isotropic (3D)

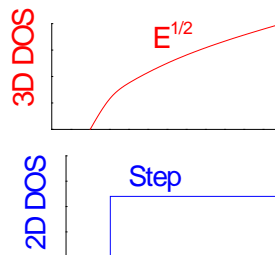


Advantages:

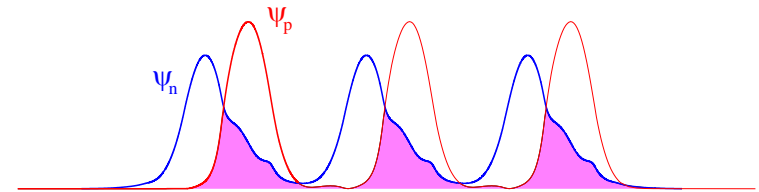
- (1) Excellent electrical confinement
- (2) Longer λ

Disadvantage:

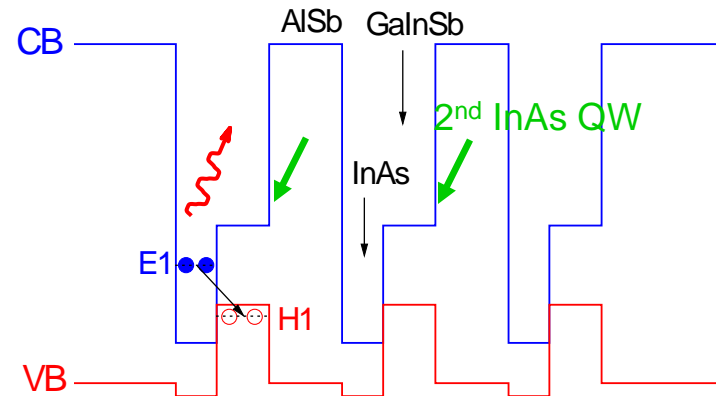
3D Density-of-States



3-Layer Type-II (NRL unp., c. 1994)



AlSb is barrier for both e & h – Now really 2D



Advantage:

2D DOS for electrons & holes

Disadvantage:

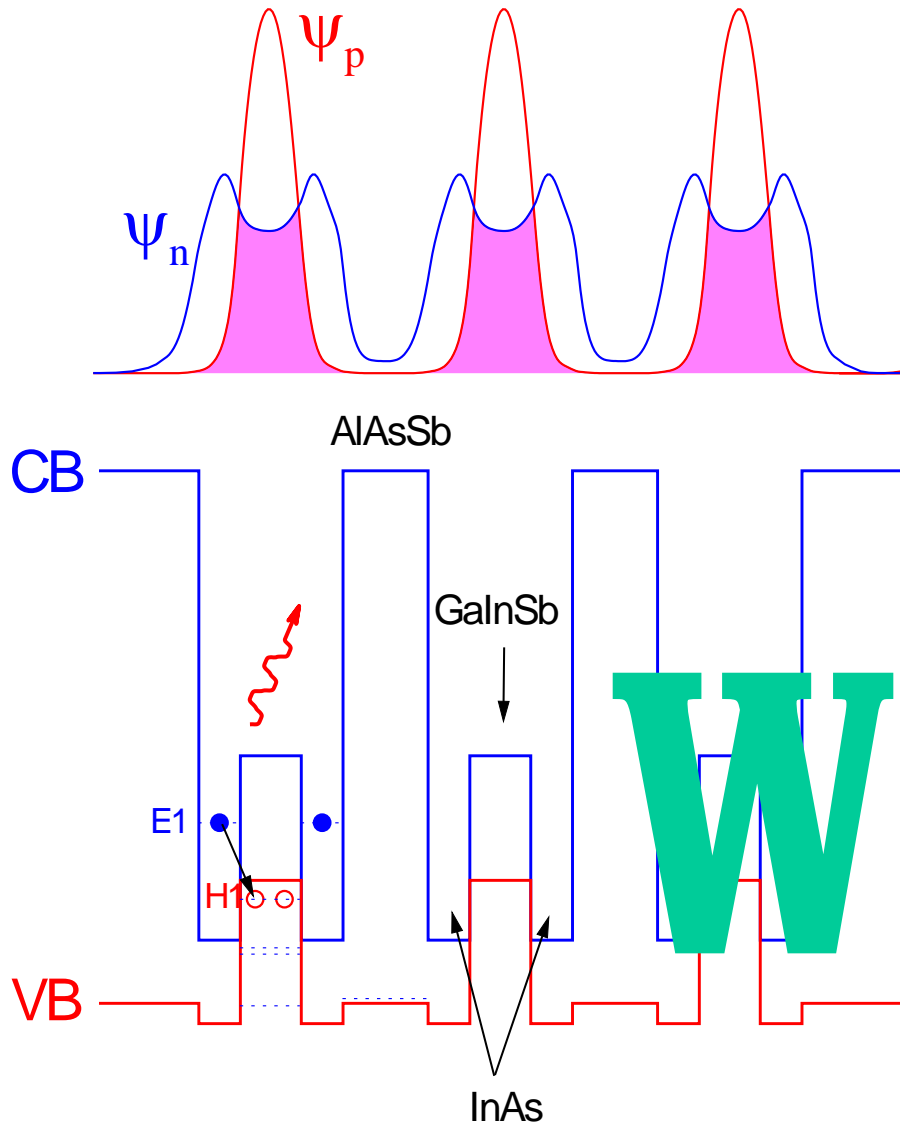
Reduced wavefunction overlap

Solution:

The Type-II “W” Laser



TYPE-II “W” LASER



ADVANTAGES:

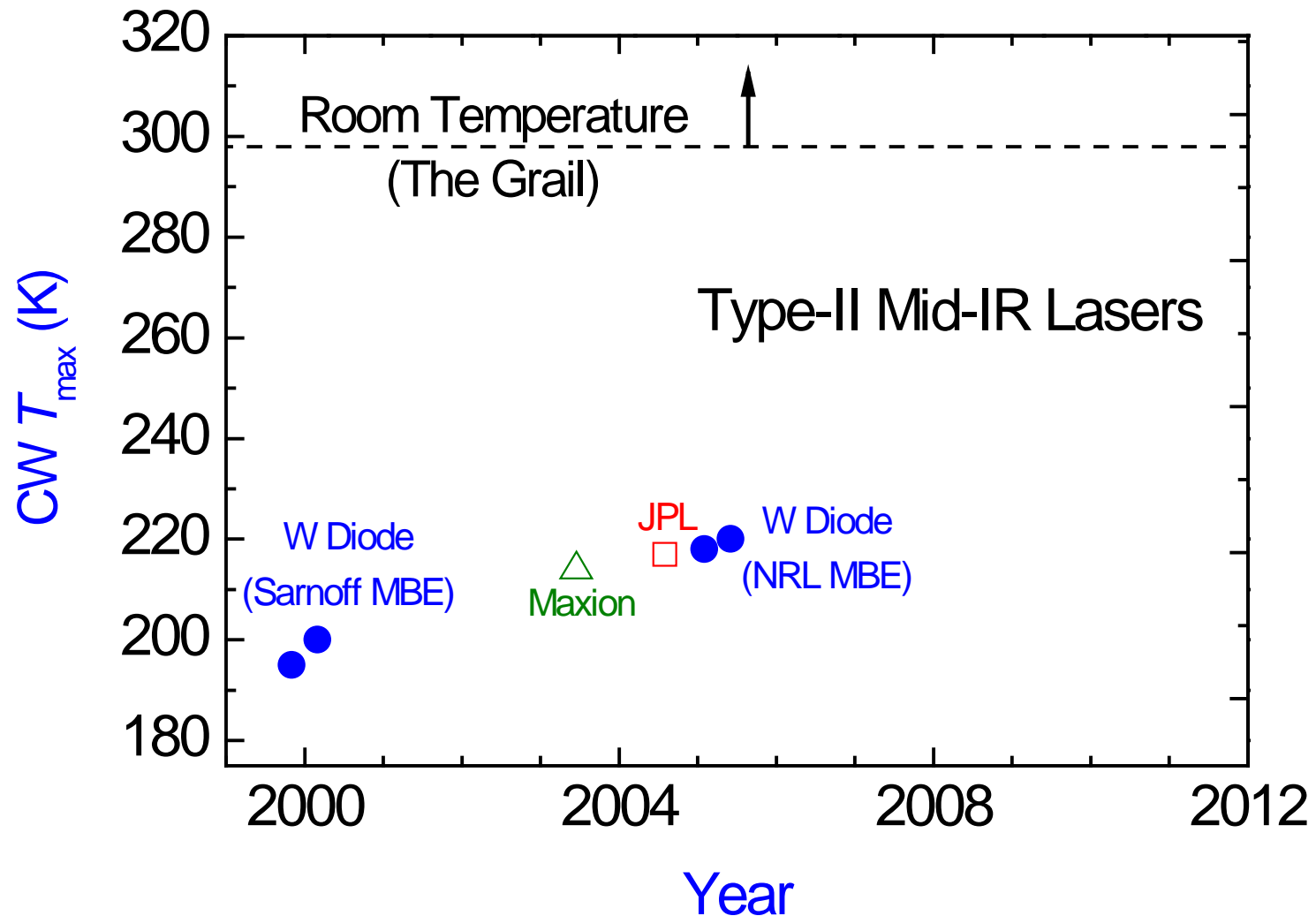
- (1) Strong wavefunction overlap
– For high gain
- (2) 2D DOS for both electrons & holes
- (3) Excellent electrical confinement
- (4) Arbitrarily-long wavelength
- (5) Auger suppression

First interband mid-IR laser to
operate at room temperature
(Pulsed optical pumping, 1996)

Meyer et al. APL 67,757 (1995)
U.S. Patent # 5,793,787



CW T_{max} TIMELINE (TO 2005)

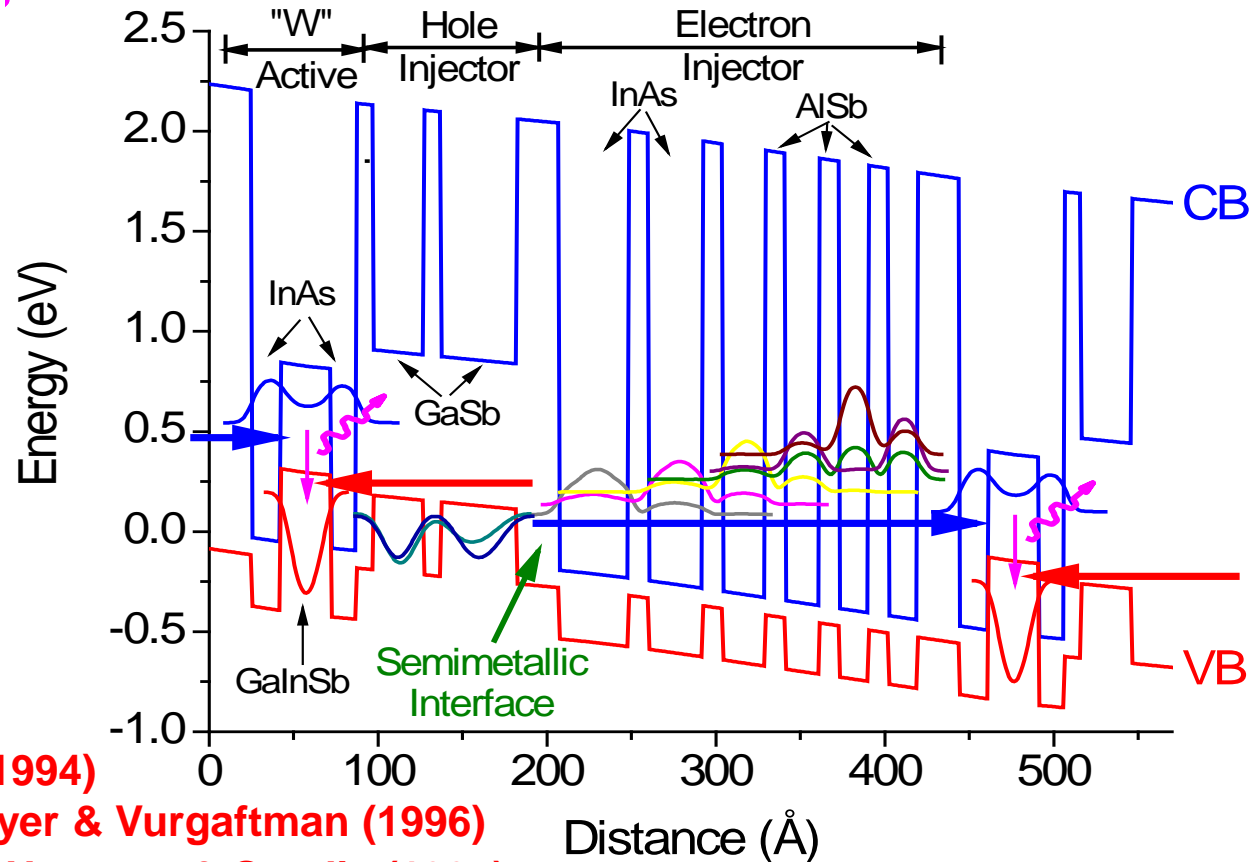


Nonetheless, by 2005 prospects for mid-IR diodes operating cw @ RT seemed remote – But another card to play...



THE INTERBAND CASCADE LASER

Hybrid of conventional diode (Interband active transitions) & QCL (Cascaded stages)



1st Proposed: R. Q. Yang (1994)

Design Improvements: Meyer & Vurgaftman (1996)

1st Experimental Demo: U. Houston & Sandia (1997)

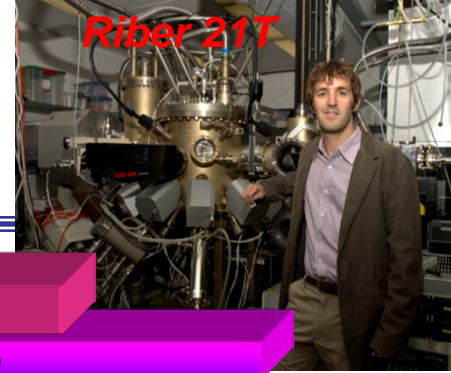
Further Development: ARL, Maxion, JPL, U. Oklahoma, U. Würzburg, Nanoplus, Wrocław U.

1st NRL ICL growth: 2005

Type-I ICLs: Proposed NRL (1996), Demo Sandia (1998), 3 Growths NRL (2011), Improved Designs NRL (2011), Demos: SUNY (2013), NRC Canada & Oklahoma (2014)

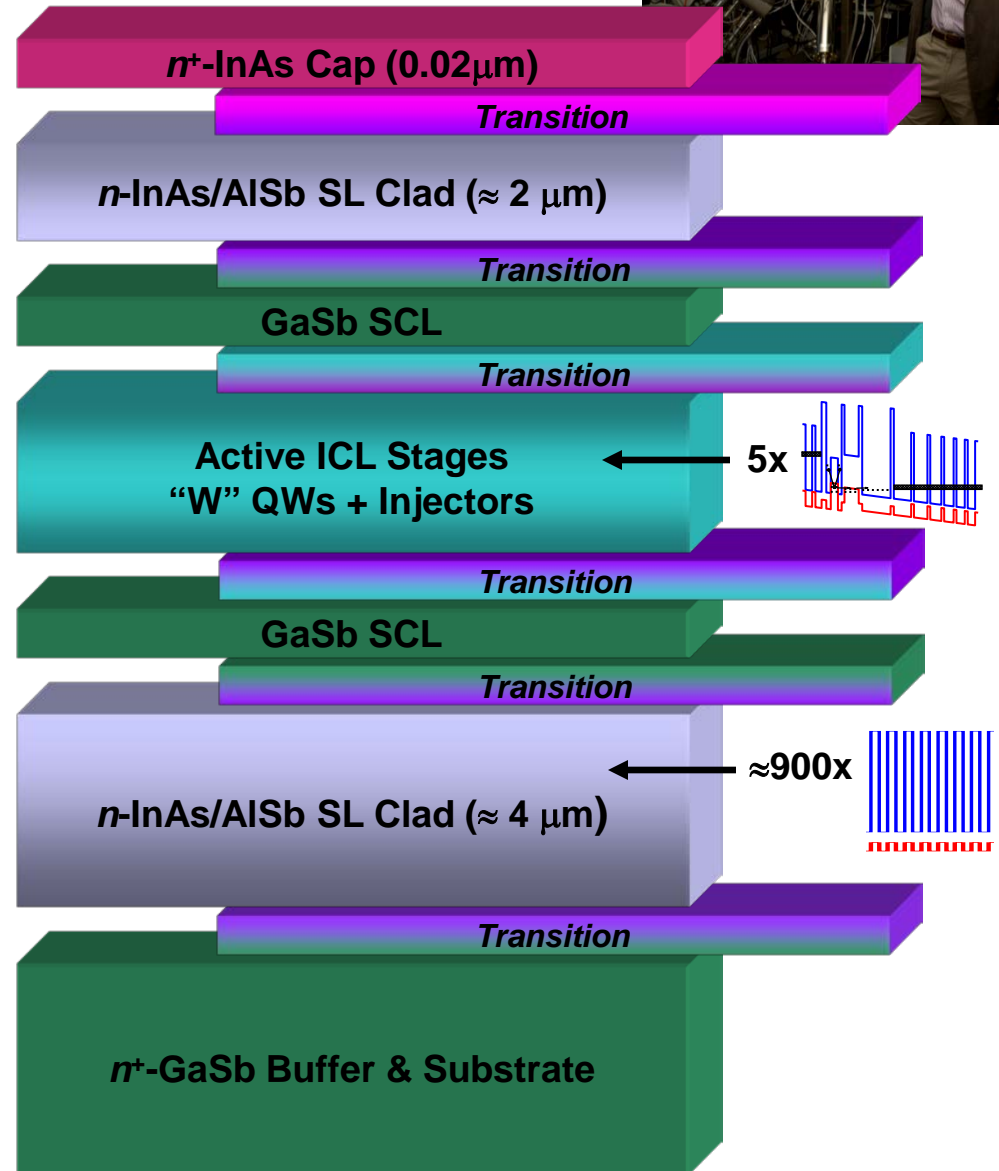


FULL LAYERED STRUCTURE



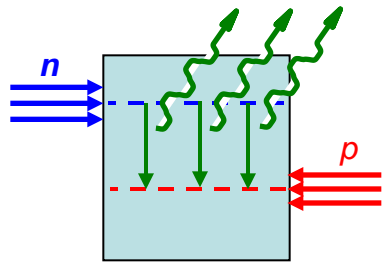
Active ICL stages surrounded by separate confinement & optical cladding layers, each separated by transition regions

- **Lattice-Matching:** Each region carefully strain-compensated to minimize dislocations
- **Full structure:** 7–8 μm thick & > 3000 layers (takes 7-8 hours to grow)
- **Yield:** Surprisingly high – Most NRL ICL wafers produce high-quality lasers

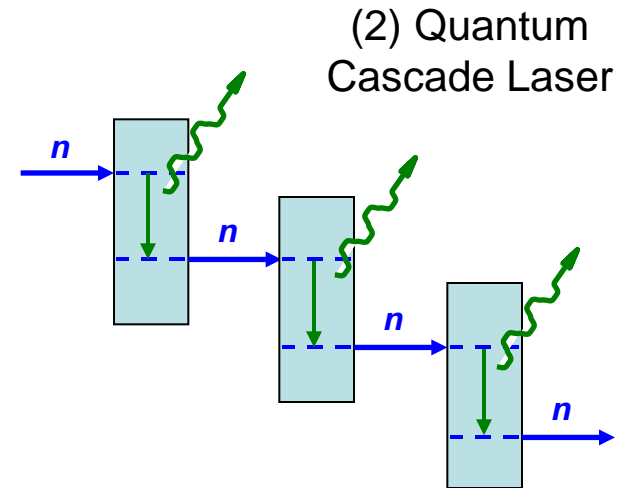




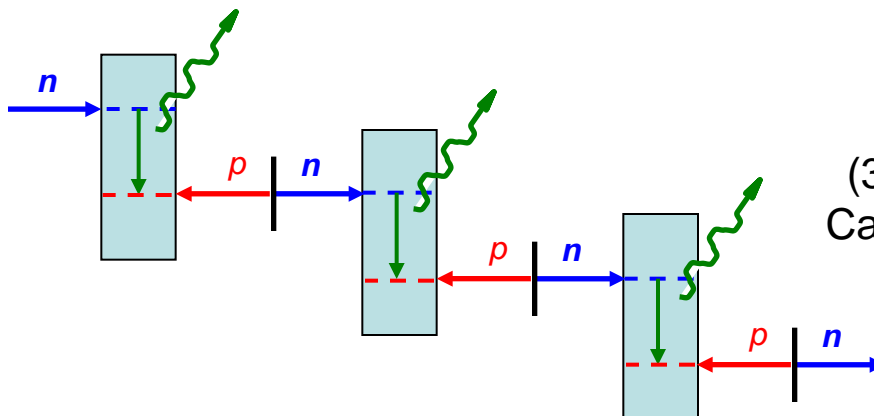
3 DISTINCT WAYS TO PROVIDE CARRIERS FOR POPULATION INVERSION



(1) Conventional Diode Laser



(2) Quantum Cascade Laser

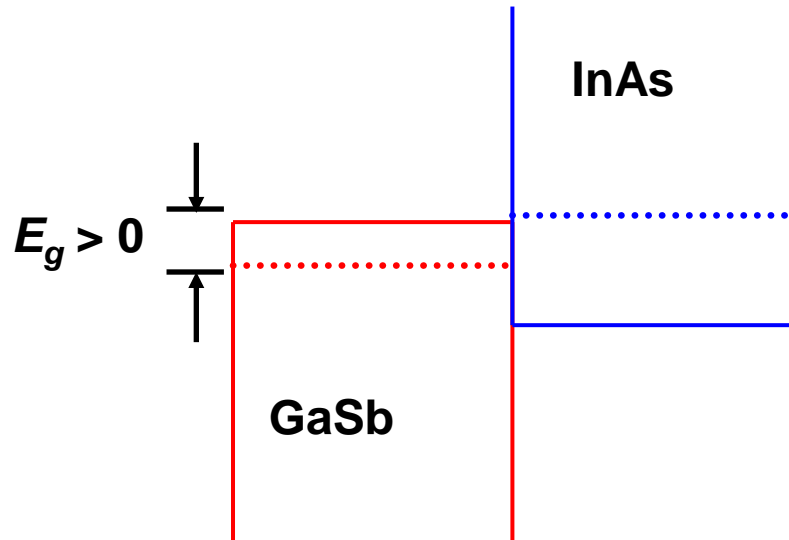


(3) Interband Cascade Laser

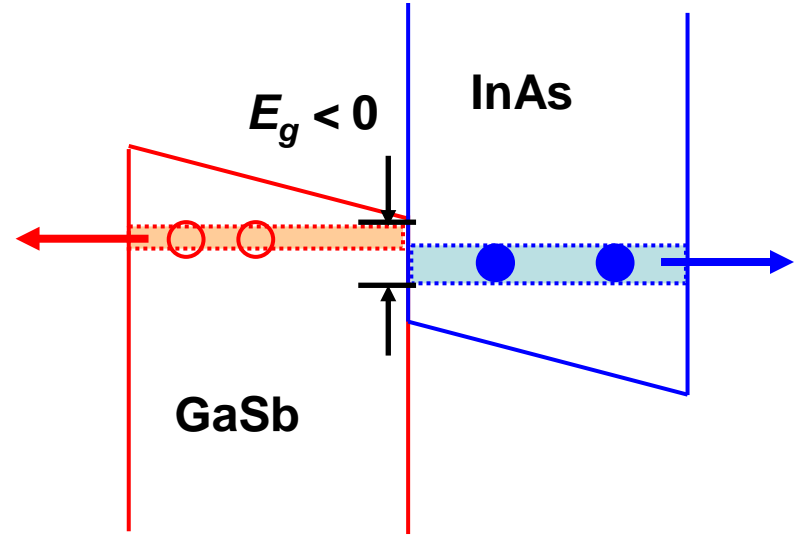
ICL uniquely generates holes and electrons *internally* – How?



TYPE-II BAND ALIGNMENT @ InAs/GaSb INTERFACE



$V = 0$

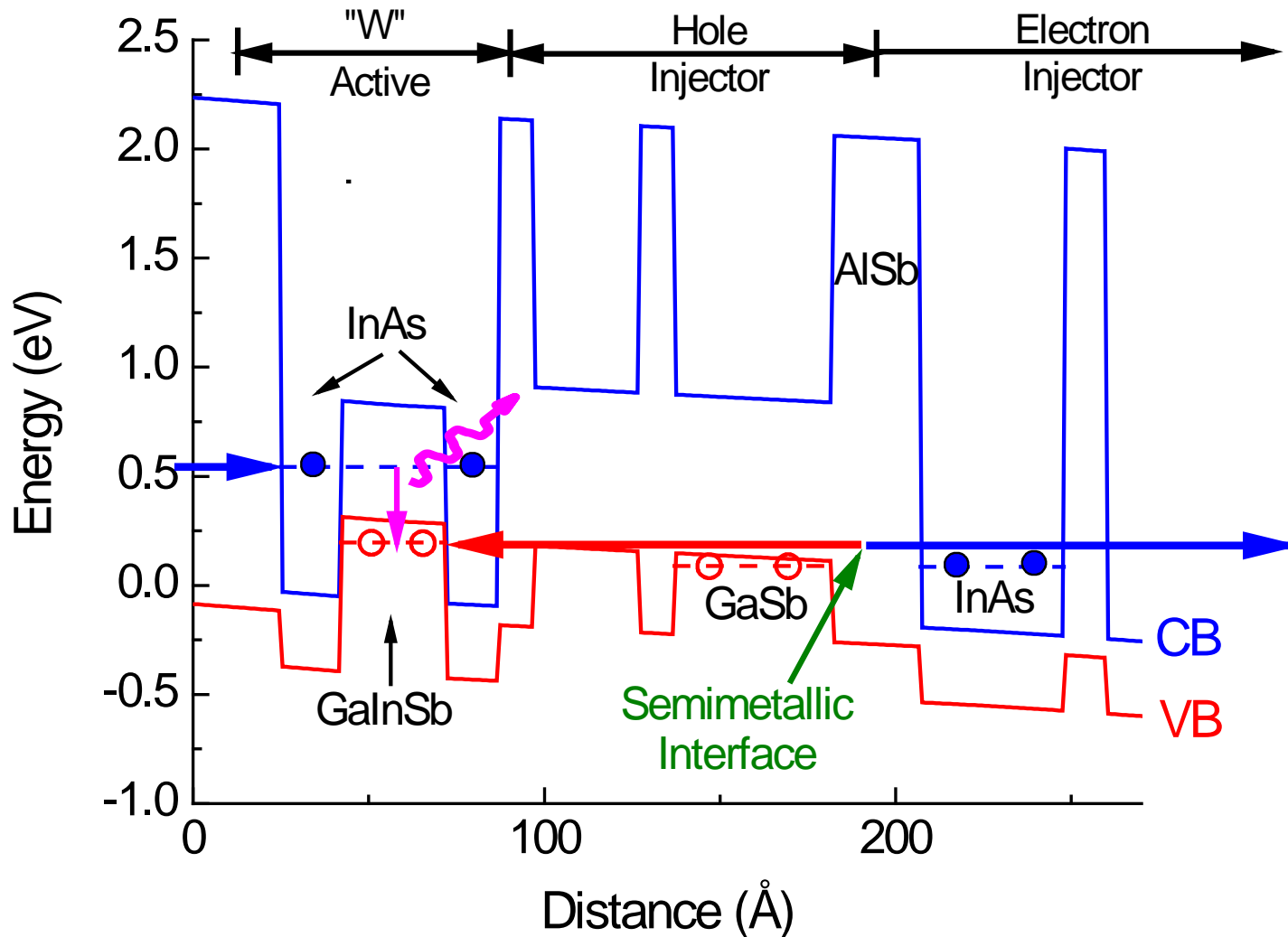


$V > 0$

External bias applied to small-gap type-II InAs/GaSb interface induces semimetallic band alignment – *Creates electrons & holes!*



TYPE-II ALIGNMENT IN THE LASER



Semimetallic interface supplies carriers to electron & hole injectors

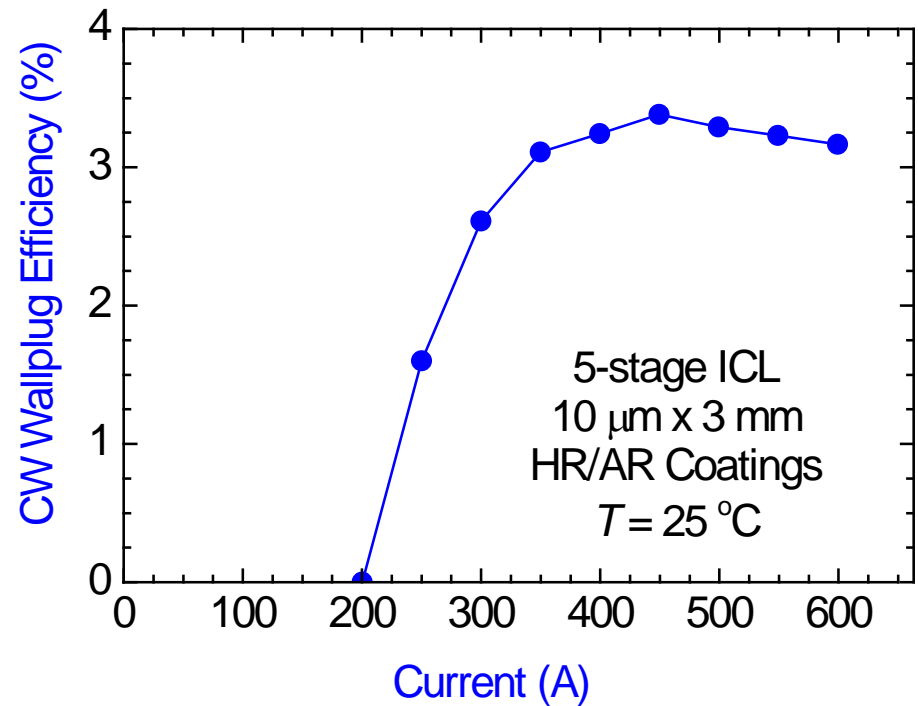
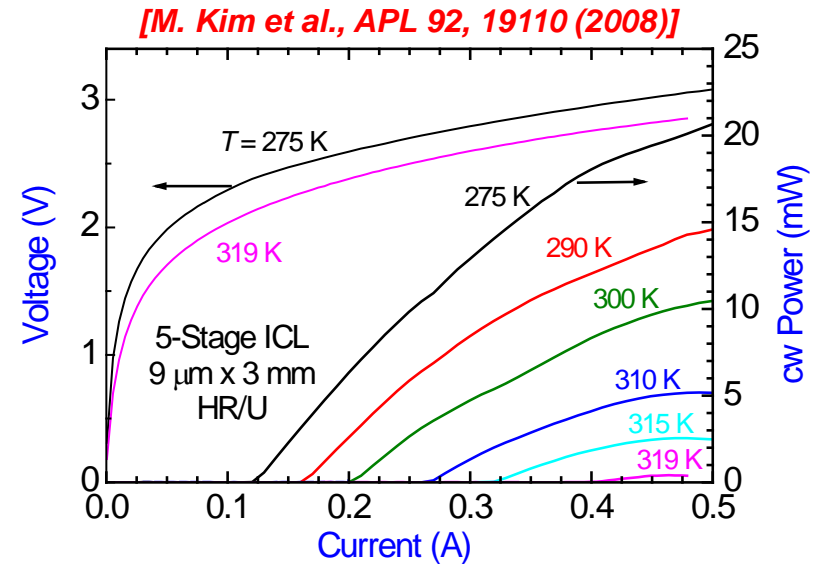
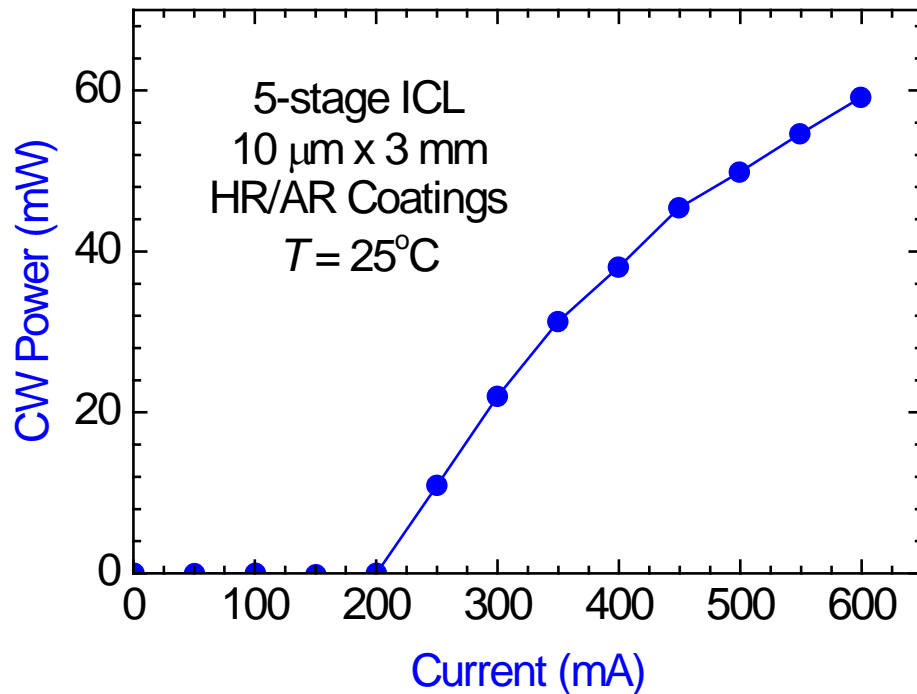


RT CW ICLs (2008)

After initial NRL MBE growth of ICLs
in 2005, iterative reduction of j_{th}
led to 1st RT cw in 2008:

$P_{max} = 10 \text{ mW}$; WPE = 0.7% @ P_{max}

With improved ridge processing (2009):

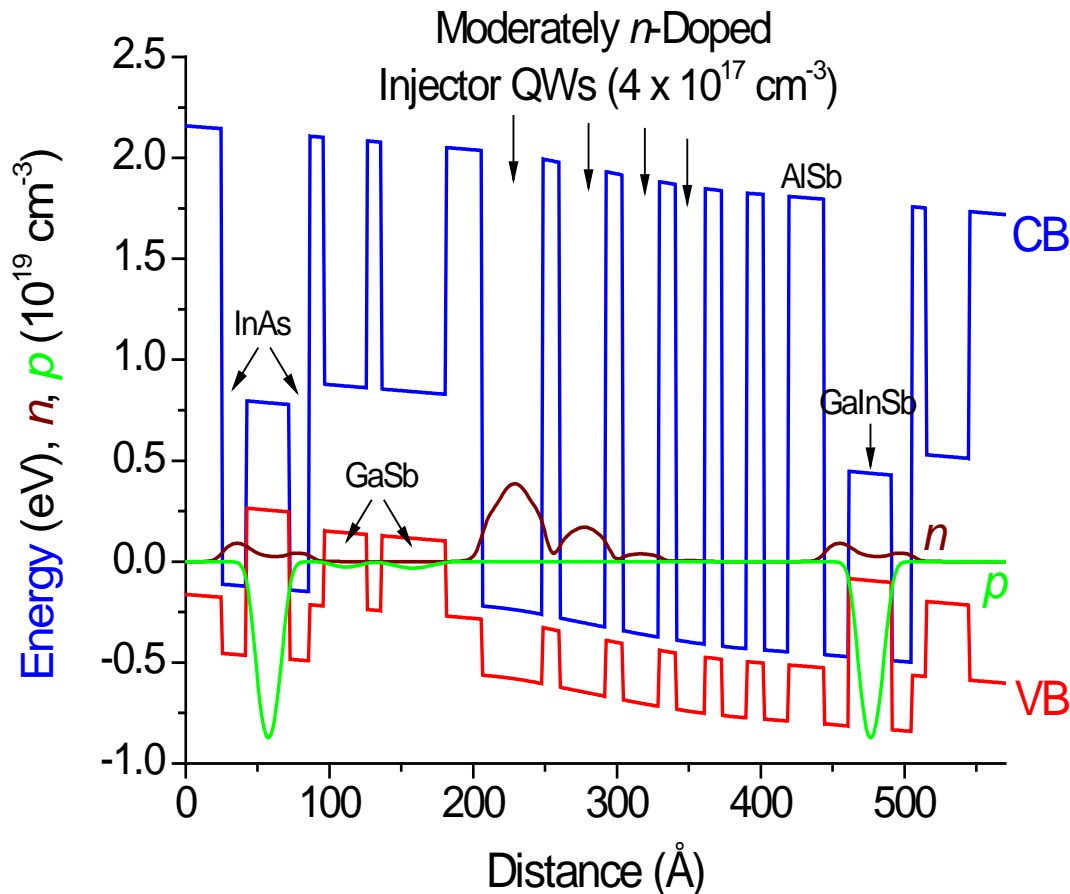


***Best as of 2009:* $P_{max} = 59 \text{ mW}$, WPE = 3.1% @ P_{max}**



2010: A SIGNIFICANT DESIGN FLAW REMAINED

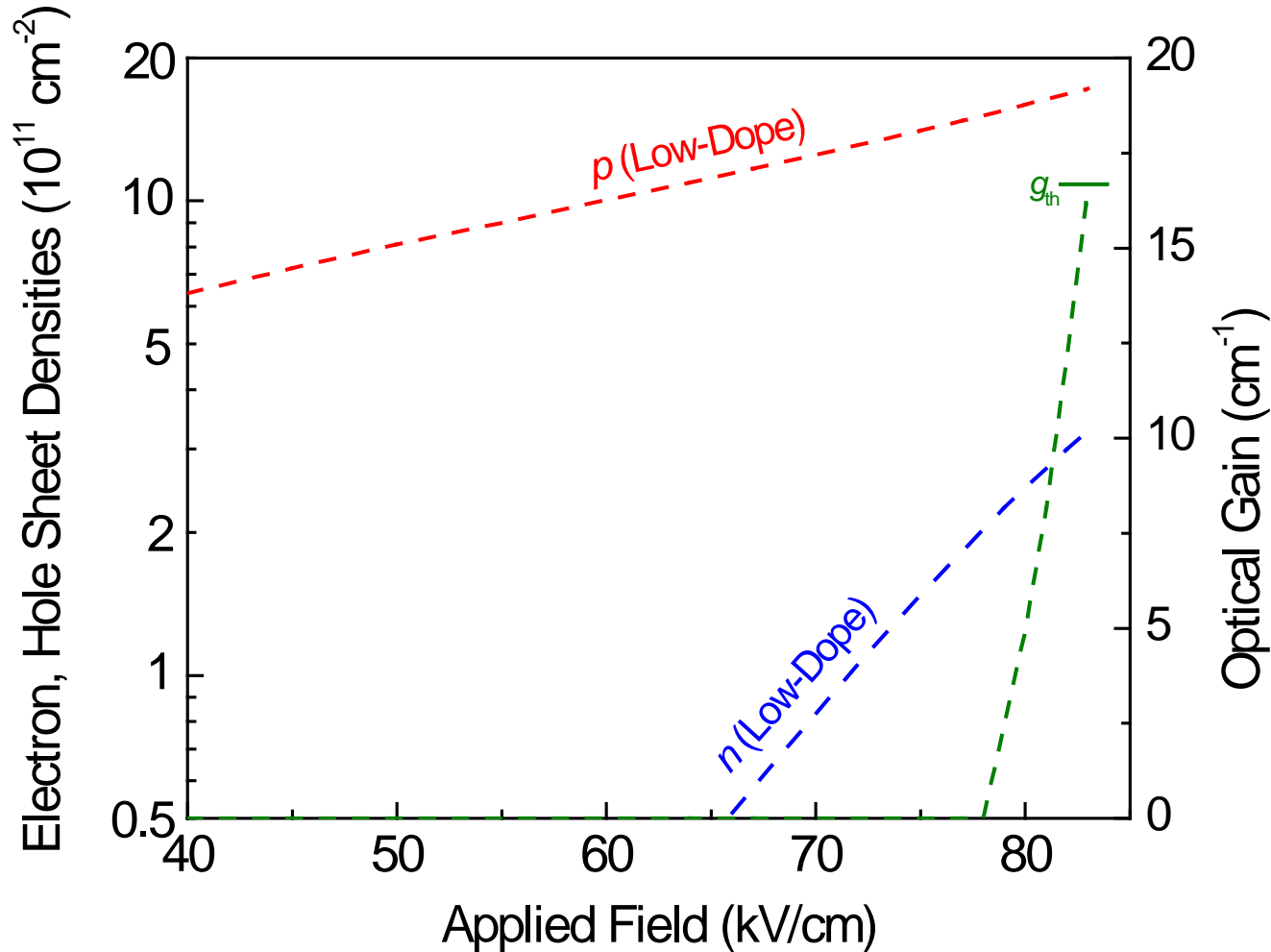
NRL simulations revealed that conventional designs with moderately-doped ($\approx 4 \times 10^{17} \text{ cm}^{-3}$) injector QWs suffered from serious population imbalance in active QWs



Even though more electrons than holes throughout the stage (due to n -doping of injector), most electrons populate injector while most holes populate active HQW



DENSITIES & GAIN vs. BIAS

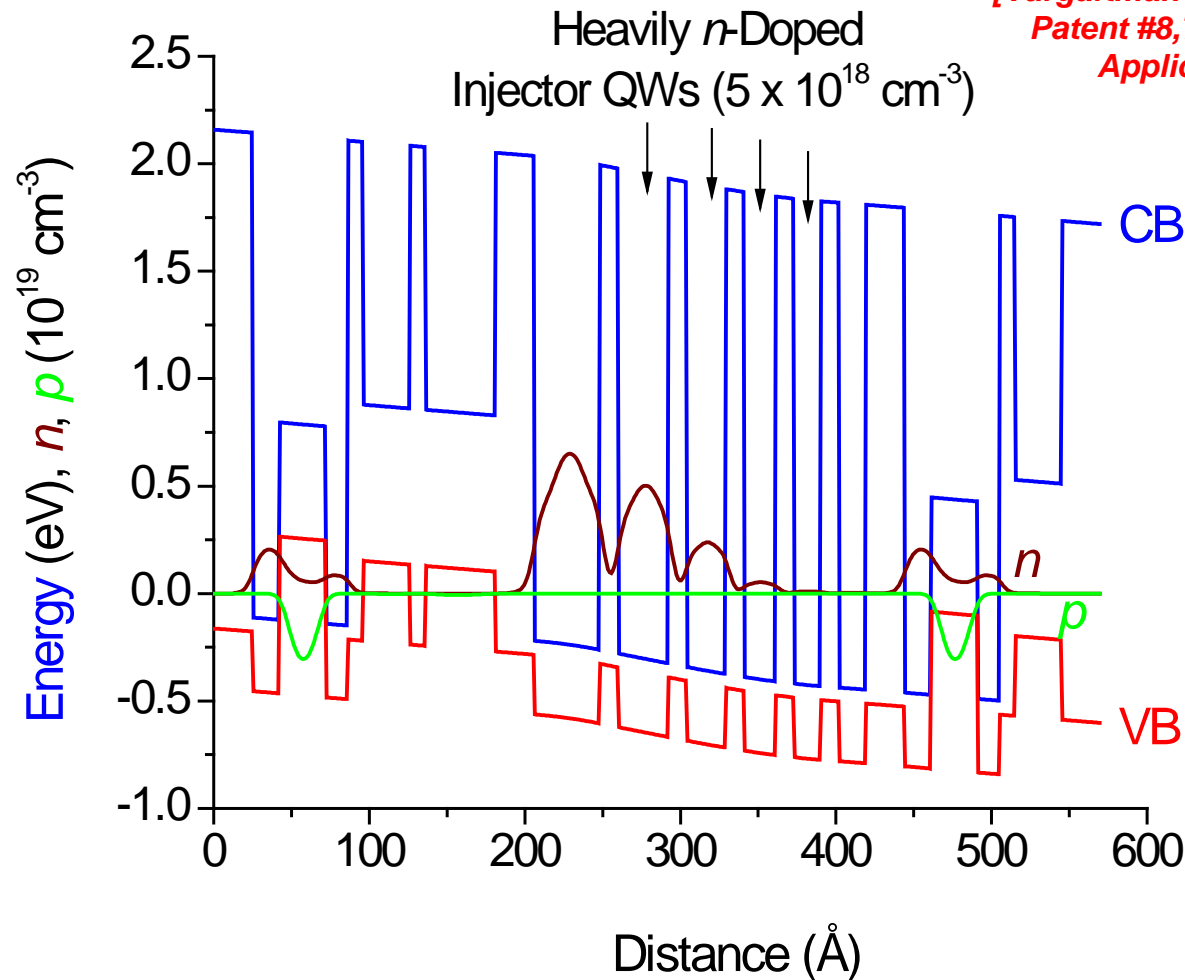


> 5x more holes than electrons in active QWs at threshold – Consequence was excessive internal loss & Auger non-radiative decay



SOLUTION: INCREASE INJECTOR DOPING LEVEL BY > ORDER OF MAGNITUDE

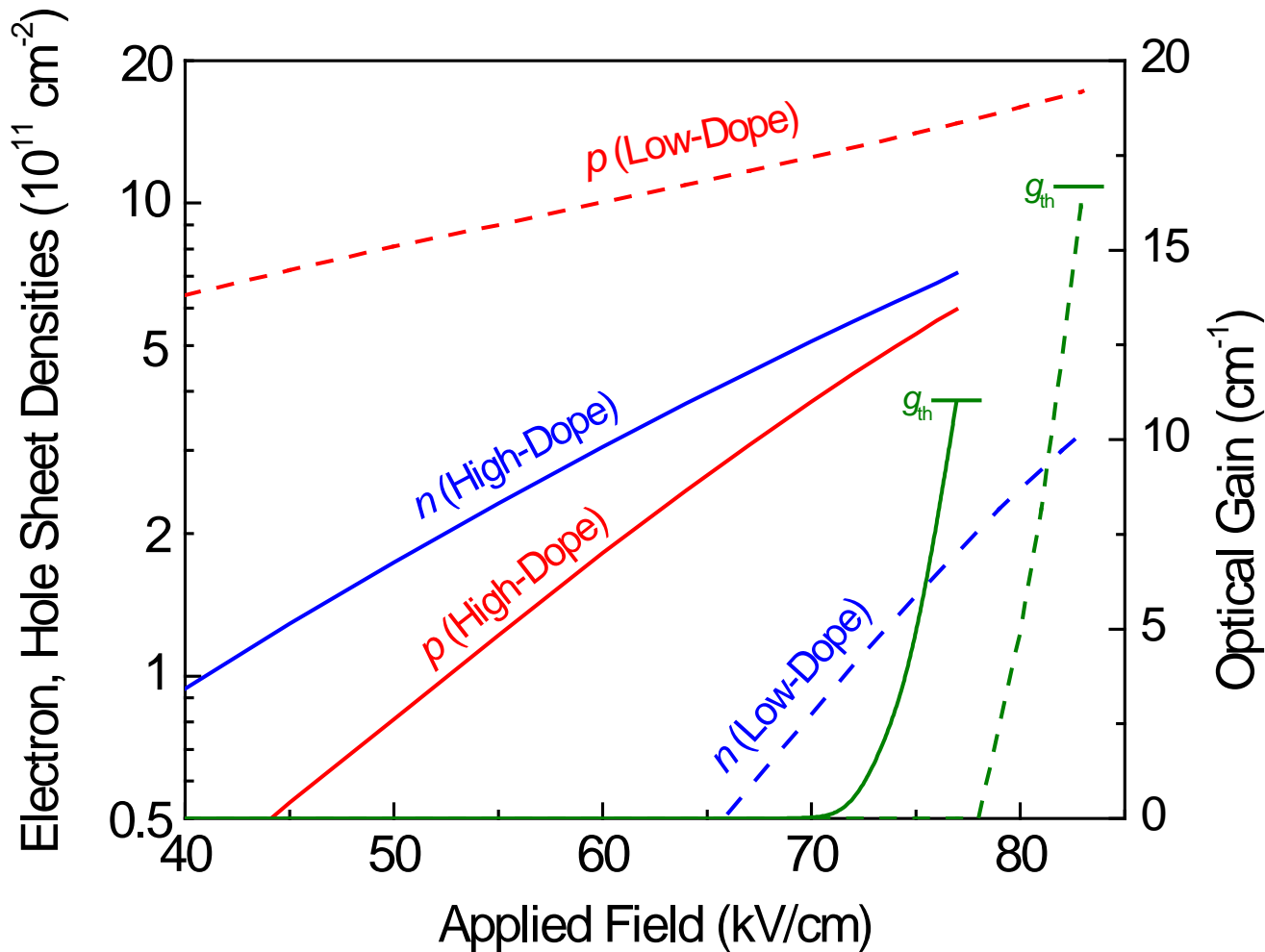
*[Vurgaftman et al., Nature Com. 2, 585 (2011); U.S.
Patent #8,798,111 (2014); International Patent
Application PCT/US12/29396 (2012)]*



***Heavier *n*-doping of injector “rebalances” active electron & hole populations,
to make them roughly equal***



DENSITIES & GAIN vs. BIAS (REBALANCED)



Simulations predicted rebalancing should enable lasing at much lower carrier concentration, plus longer Auger lifetime & lower loss (because much lower p_{th})

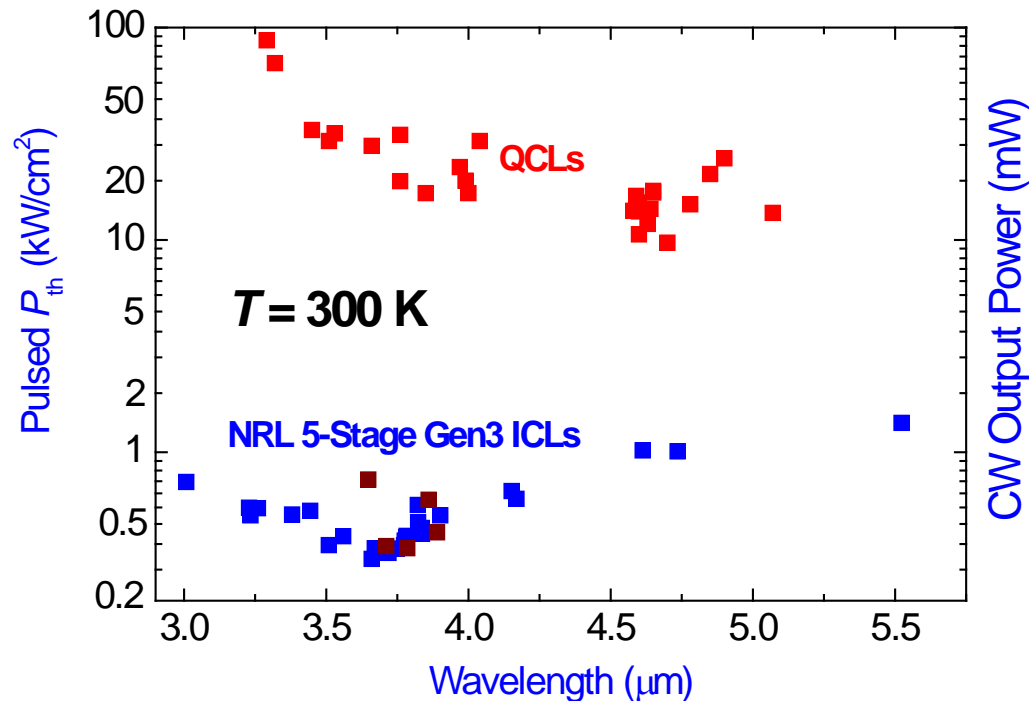




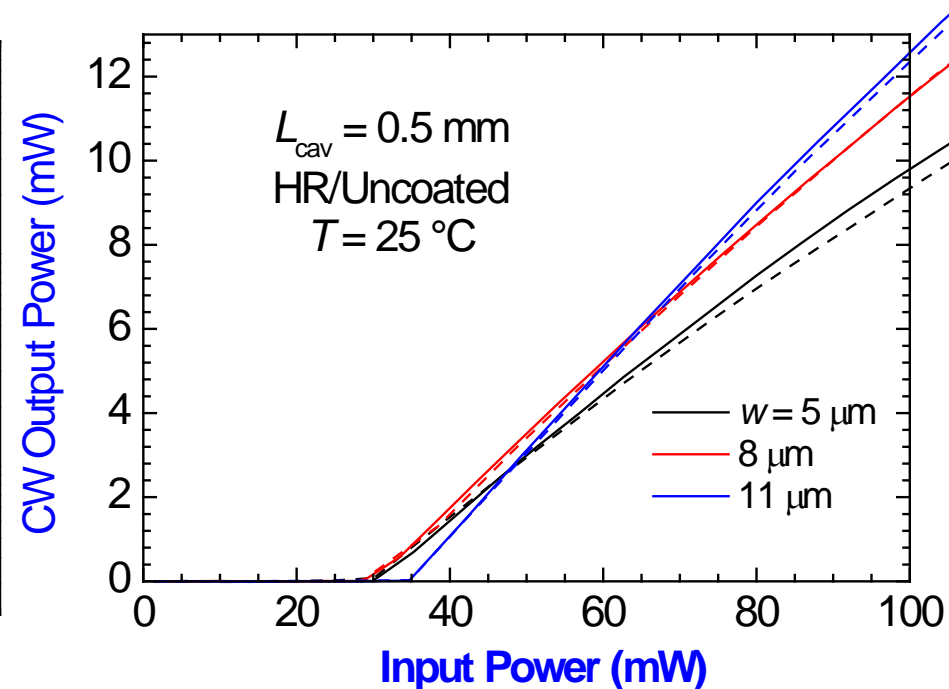
ICL SPECTRAL RANGE & LOW DRIVE POWER

Threshold power density:

$$P_{th} = V_{th} \times j_{th}$$



[Vurgaftman et al., Nature Com. 2, 585 (2011)]



**Power density thresholds 30x lower
than record QCL results**

CW operation to $T = 48$ °C @ $\lambda = 5.7$ μ m

$T = 25$ °C: Input for lasing < 30 mW

Best QCL result: 400 mW (Alpes)

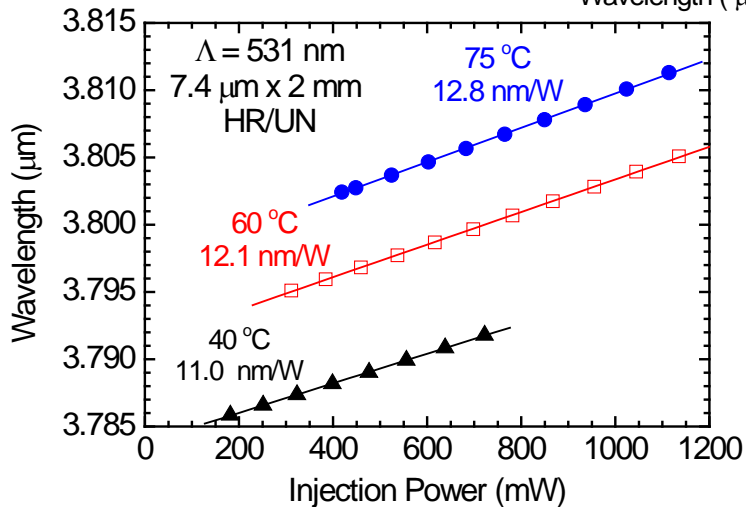
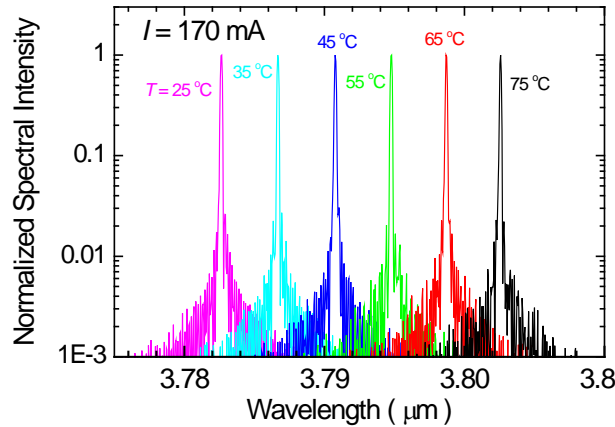
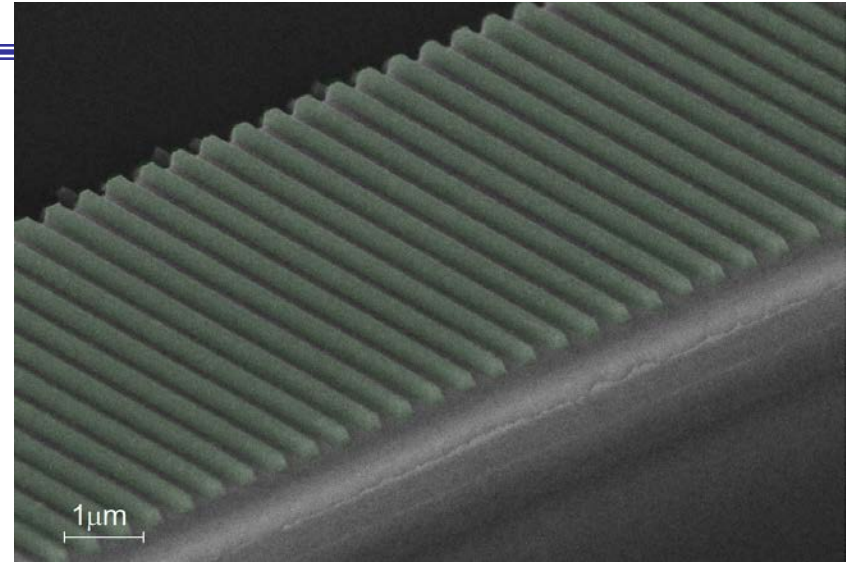
**Critical for battery-operated,
hand-held, solar-powered, etc.**



SINGLE-MODE DISTRIBUTED FEEDBACK ICLs

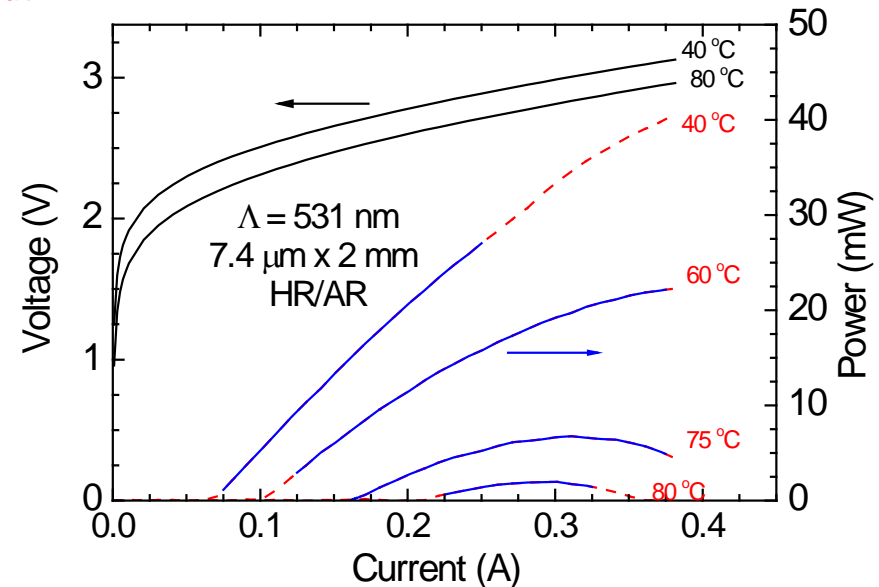
[Kim et al., APL 101, 061104 (2012)]

Several architectures explored @ NRL – Here
DFB fabricated by etching grating into
deposited Ge



Single-mode tuning with temperature: **21.5 nm**
with current: **10 nm**

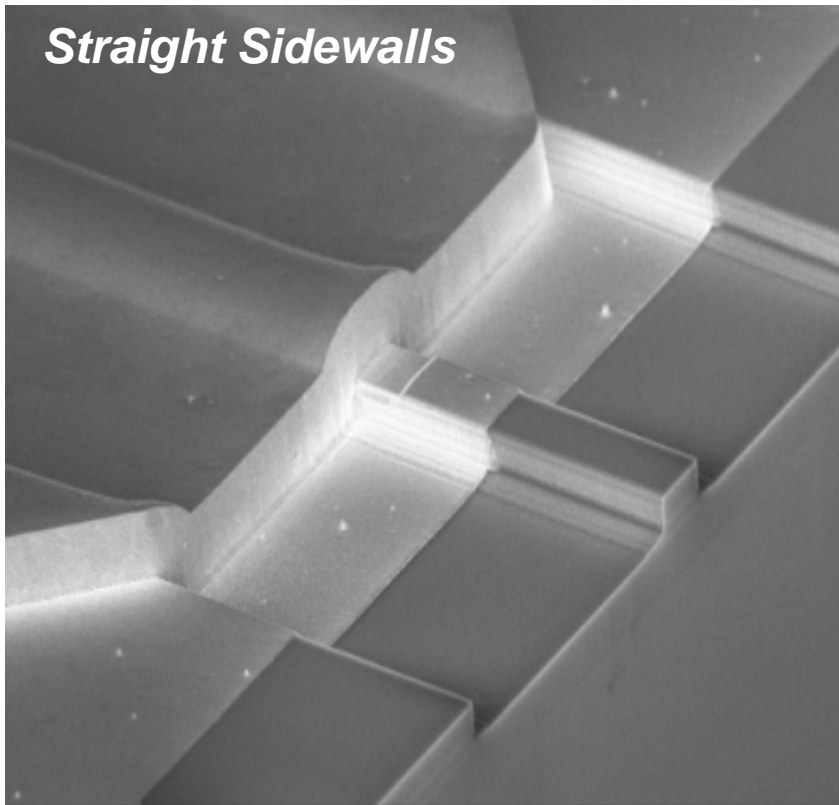
$P_{\text{out}} = 27 \text{ mW} @ T = 40^\circ\text{C}, 1 \text{ mW} @ 80^\circ\text{C}$



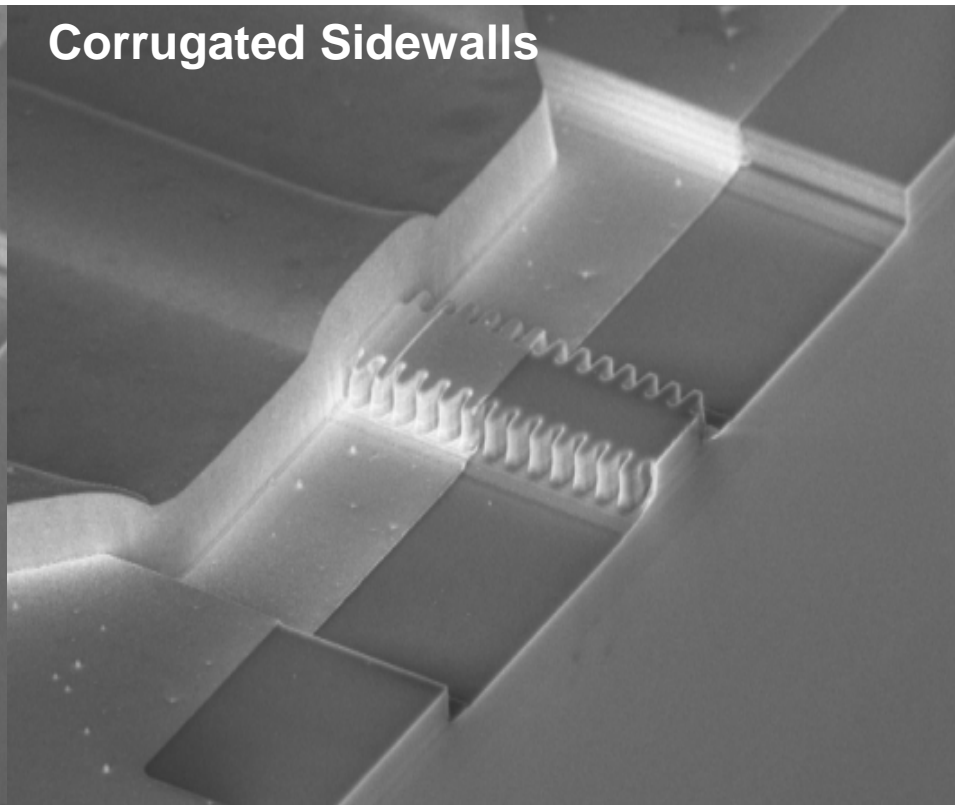


CORRUGATED-SIDEWALL ICLs

Straight Sidewalls

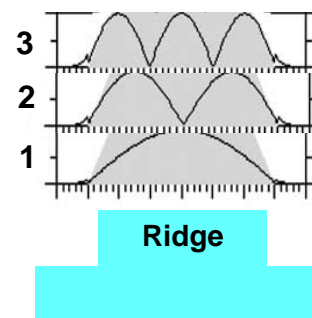


Corrugated Sidewalls



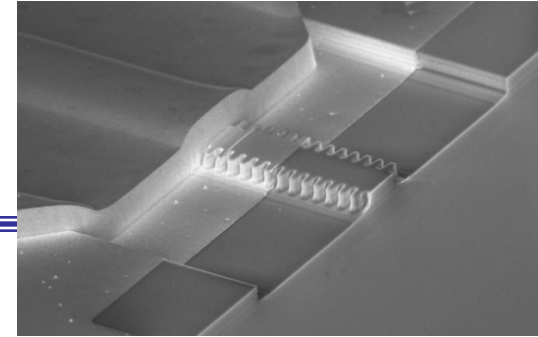
4th-order grating provides distributed feedback
Corrugations also suppress higher-order lateral modes for enhanced brightness

Lateral Mode Profiles

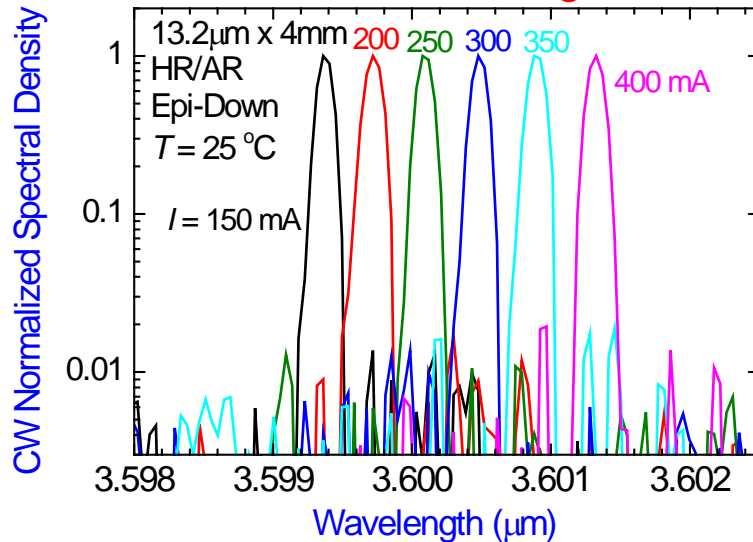




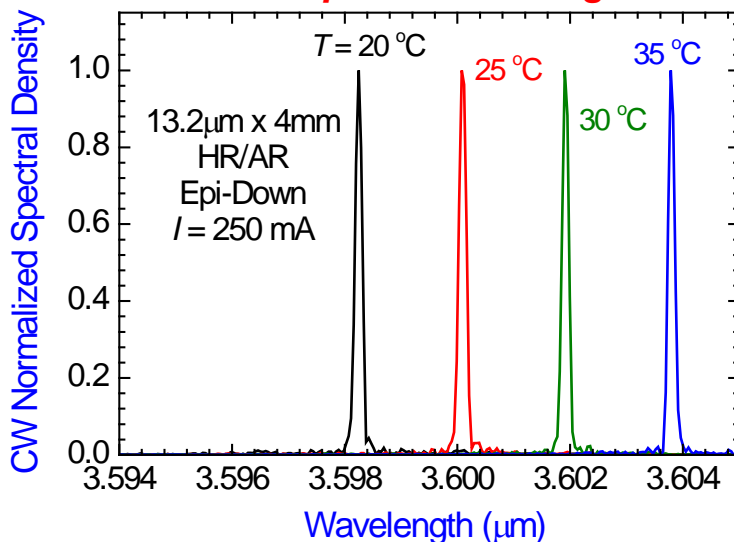
CORRUGATED-SIDEWALL DFBs



Current Tuning



Temperature Tuning

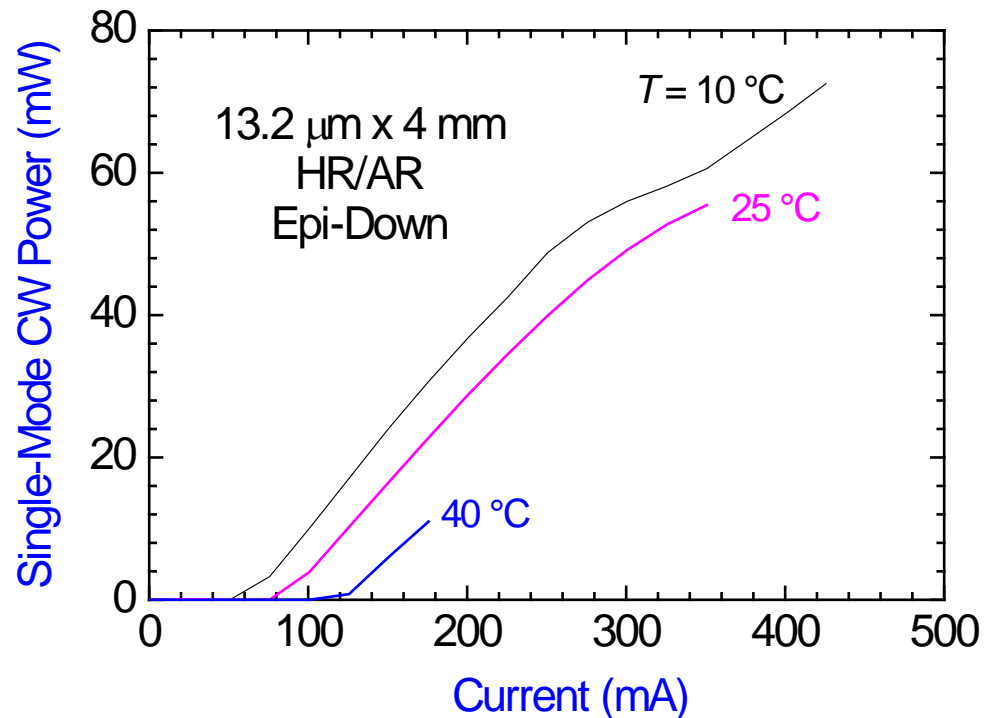


[Vurgaftman et al., JSTQE 19, 1200210 (2013)]

$\text{FWHM} \leq 0.15\text{ nm}$ (FTIR-limited)

$\text{SMSR} \approx 17\text{-}20\text{ dB}$

55 mW cw in single spectral mode @ $T = 25^\circ\text{C}$

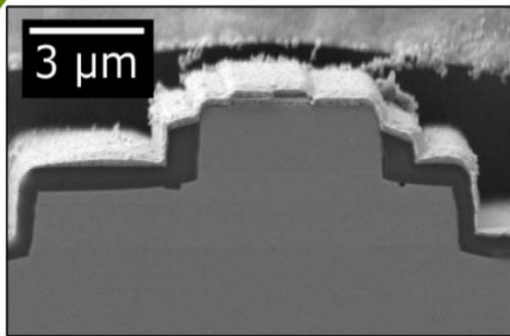




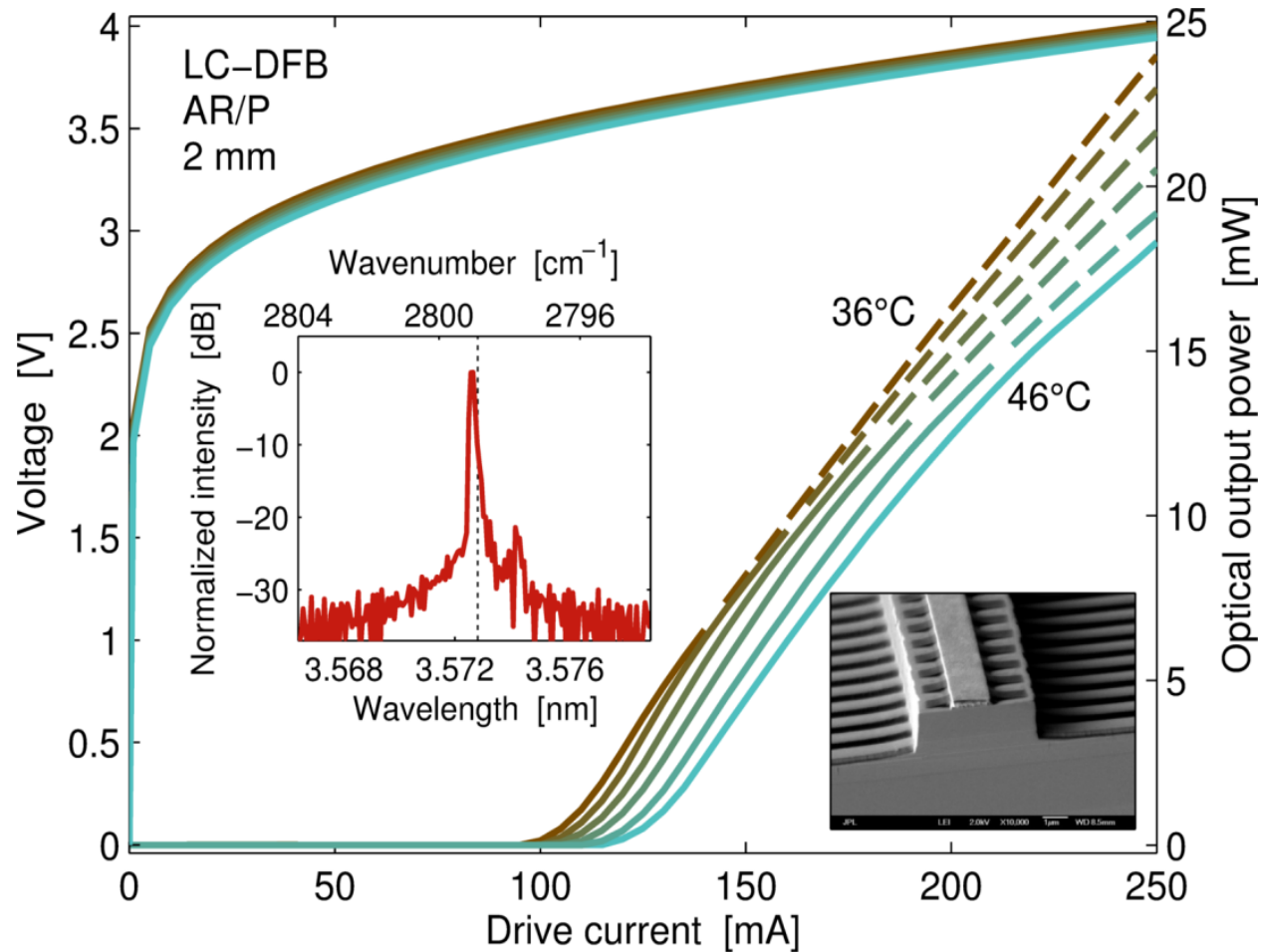
JPL DFBs

Grown @ NRL, Processed & tested @ JPL: **2nd-order side grating yields single mode**

[Forouhar et al., APL 105, 051110 (2014)]



Double ridge provides less abrupt index step



$P_{\text{max}}^{\text{cw}} = 18 \text{ mW} @ T = 46 ^\circ\text{C}$

Threshold drive power < 400 mW @ 36 °C

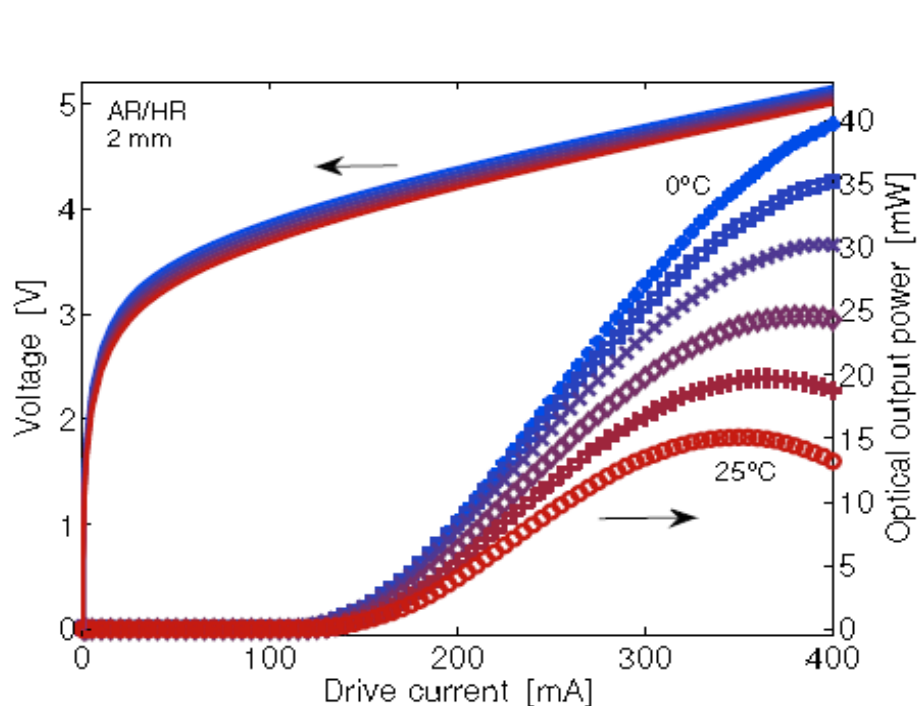
Lifetime testing: > 10,000 hrs. cw operation @ 40 °C with negligible degradation



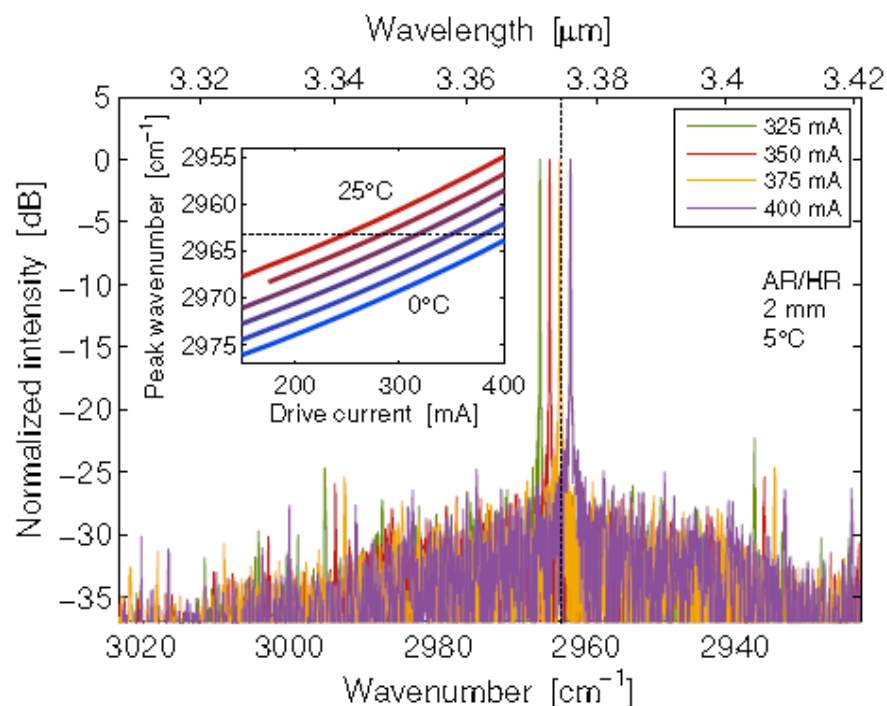
MORE JPL DFBs (METHANE WAVELENGTH)

[Borgentun et al., Opt. Expr. 23, 2446 (2015)]

Again employed NRL wafer material



L-I-V vs. temperature



Spectra vs. current & temperature



MORE ICL LIFETIME TESTING

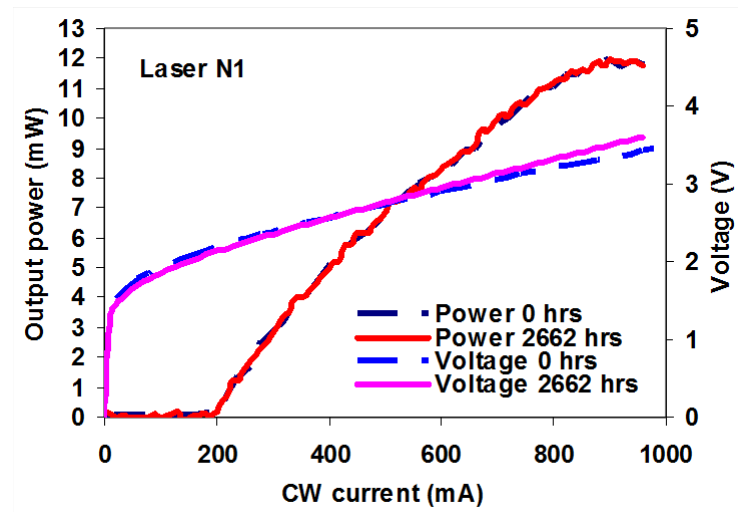
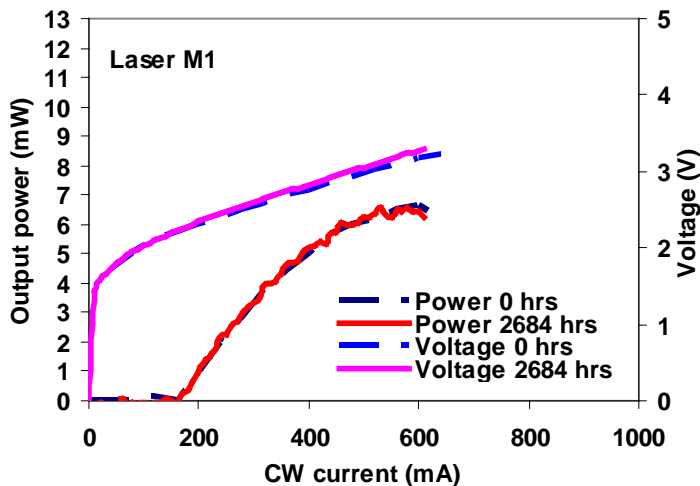
Besides JPL, CW lifetimes of NRL ICL ridges being measured by 2 industrial collaborators

Company A:

1 device tested for 1000 hrs. @ RT, then 9000 hrs. @ $T = 90^\circ\text{C}$ – Negligible degradation implies lifetime $> 100,000$ hrs.

Another device tested 6000 hrs.

Devices showed slight I - V drift, but miniscule variation of cw output power



Company B: Tested 2 devices ($\lambda = 4.7 \mu\text{m}$) for 2600 hrs. @ $I = 0.4 \text{ A}$ & $T = 20^\circ\text{C}$ – Negligible degradation



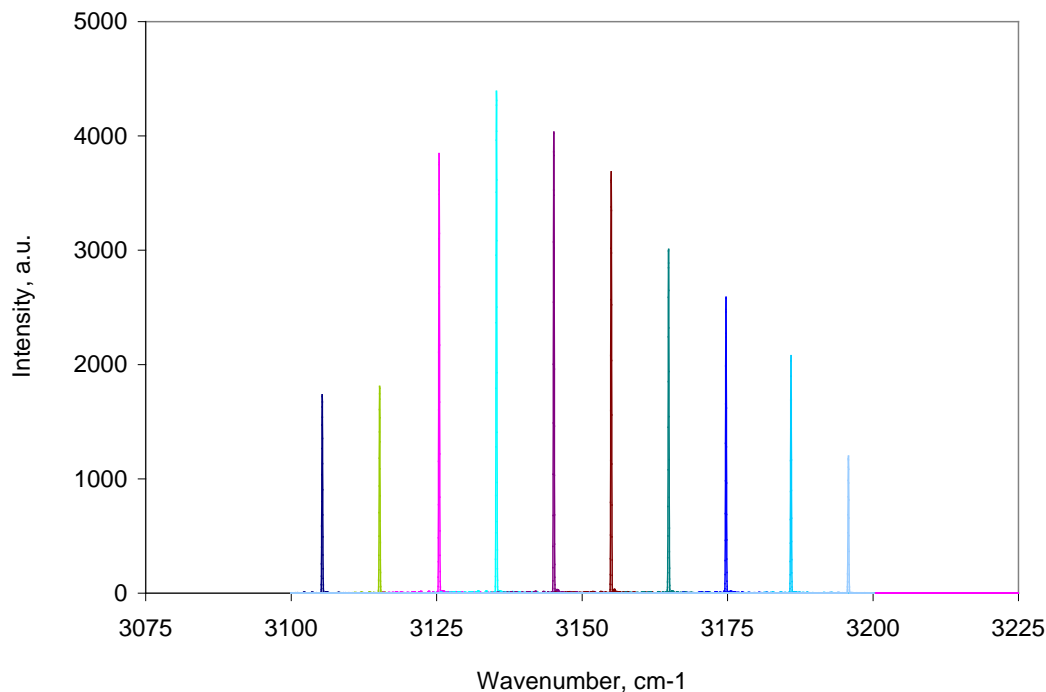
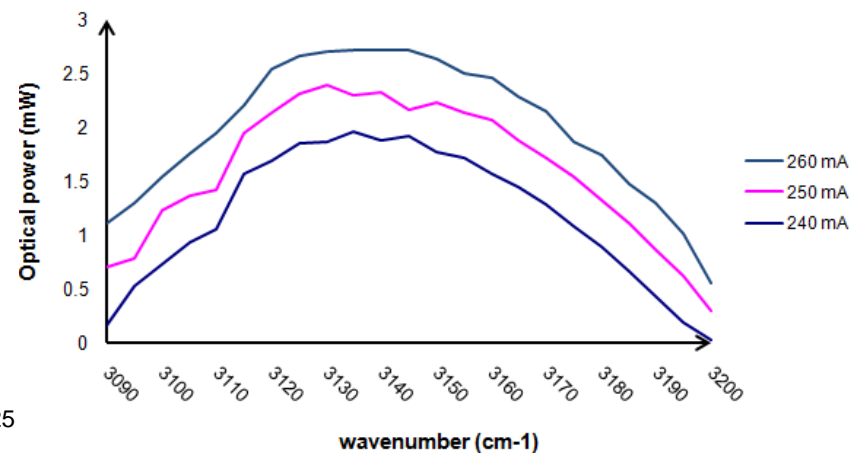
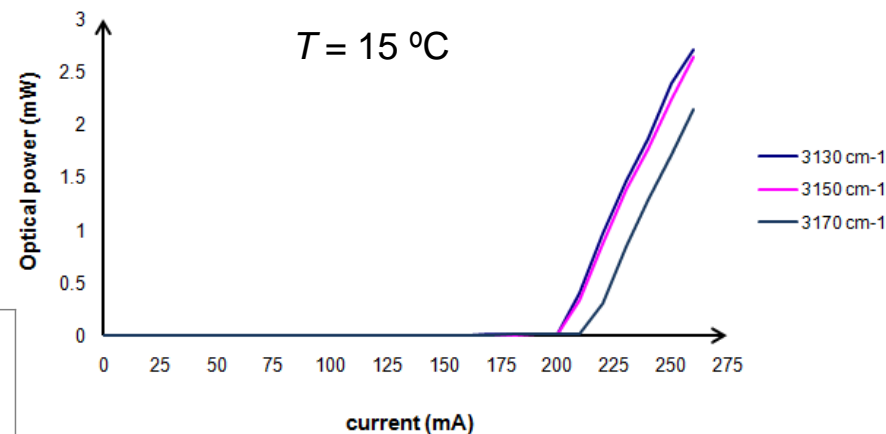
EXTERNAL CAVITY ICL



Caffey et al., Opt. Expr. 18, 15691 (2010)

- Narrow linewidth in EC-ICL configuration
- 105 nm tuning range
- > 1 mW cw @ all λ (Gen1)
- Low power consumption (< 1 W)

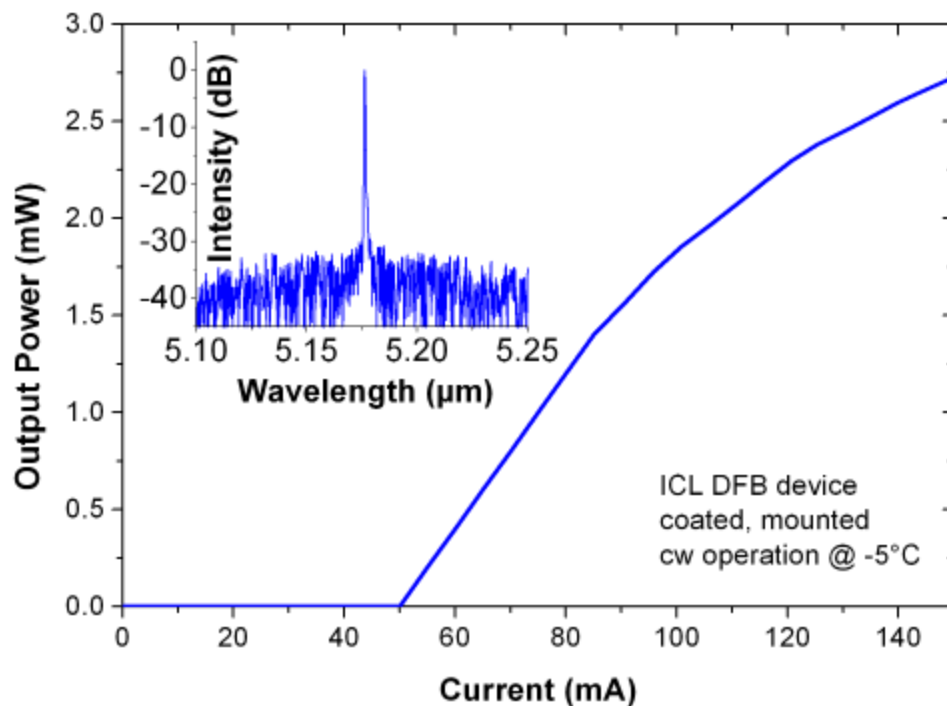
*With Daylight
Solutions*





COMMERCIAL DFB ICLs

Since 2012, Maxion/ThorLabs & Nanoplus license NRL ICL patents



Nanoplus DFB: Drive power only 138 mW (> 10x lower than QCLs at $\lambda = 5.2 \mu\text{m}$)

[von Edlinger et al., PTL 26, 480 (2014)]

ICLs now incorporated into several commercial sensing products

Formaldehyde Sensor from AirOptic





MASS MEDIA PENETRATION!



On February 8, 2015, the German Vox Television Network news magazine *Auto Mobil* aired a 7-minute segment on a drive-by alcohol sensor developed by AirOptic (incorporating ICLs from Nanoplus)

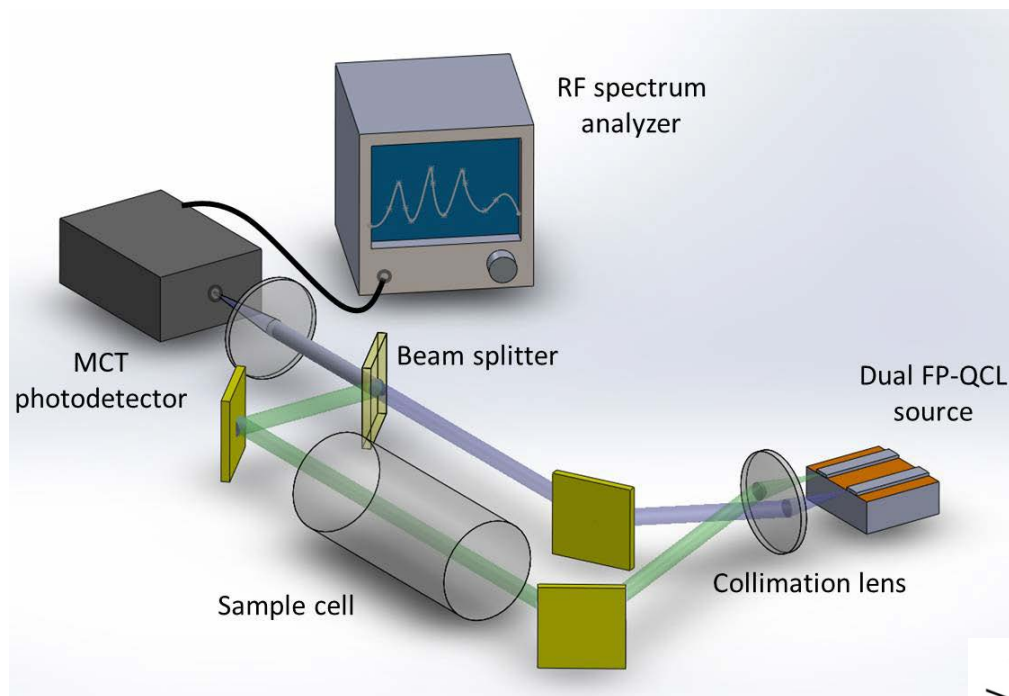


Next up: An ICL-based reality show...

Collaboration with Gerard Wysocki group (Princeton U.)

- Princeton group recently demonstrated novel multi-heterodyne spectroscopy technique using QCLs
 - Successful sensing of N_2O , NH_3 , *etc.*
- Objective is high-resolution mid-IR sensing
 - No FTIR required
 - Employs matched pairs of mid-IR Fabry-Perot lasers (Broader bandwidth & less expensive than DFBs)
- *This collaboration:* Extend to ICLs, for expanded spectral coverage, low drive power budget, small spatial footprint
- All experiments performed at Princeton U., using narrow-ridge FP ICLs supplied by NRL

Multi-Heterodyne Spectroscopy Set-Up



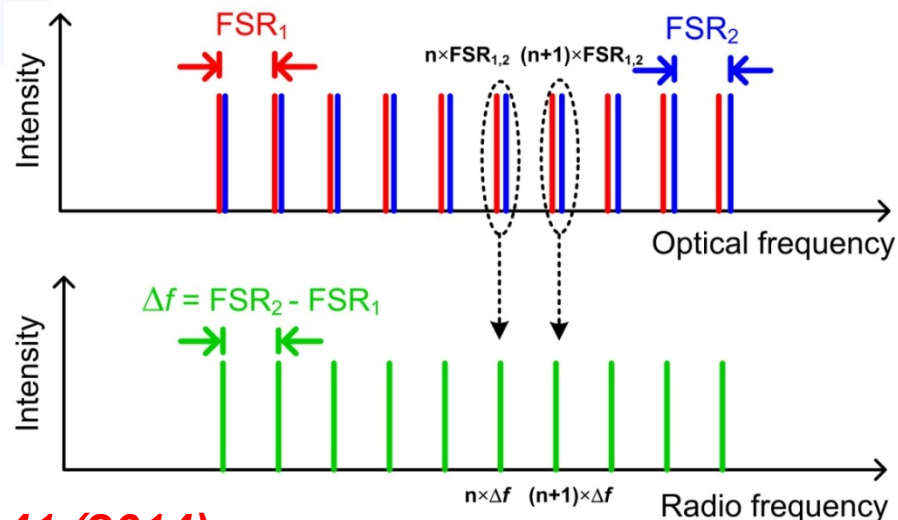
- Two conventional FP lasers
[L. Diehl et al., APL 88, 201115 (2006)]
- Same gain material – But different ridge-widths provide different I_{th} & FSR
- 1 GHz MCT photodetector

$$I \propto \left| \vec{E}_s e^{i(\omega_s t + \varphi_s)} + \vec{E}_{LO} e^{i((\omega_s + \Omega)t + \varphi_{LO})} \right|^2$$

Phase info.

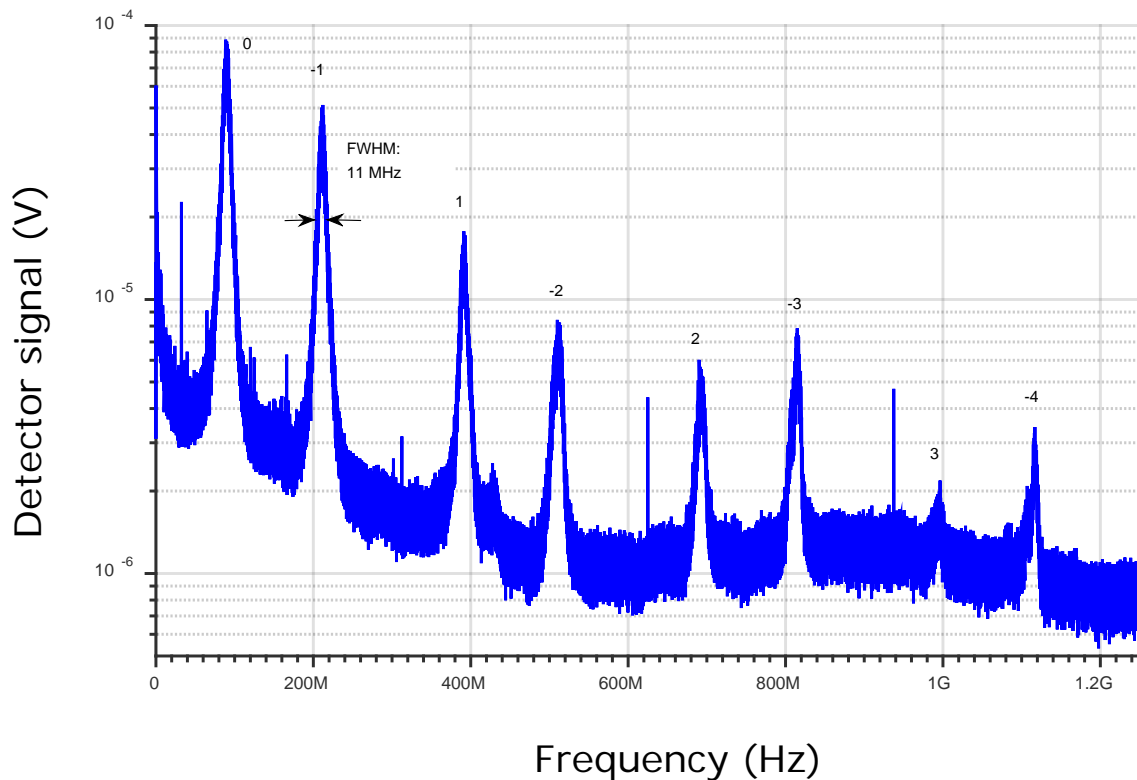
$$= |\vec{E}_s|^2 + |\vec{E}_{LO}|^2 + 2 \vec{E}_s \cdot \vec{E}_{LO} \cos[\Omega t + (\varphi_s - \varphi_{LO})]$$

Amplitude (absorption) **RF freq.**



Y. Wang, G. Wysocki, et al., APL 104, 0311141 (2014)

Beatnote spectrum: Combined outputs from 2 narrow-ridge FP ICLs ($\lambda \approx 3.6 \mu\text{m}$):



- ICL cavity lengths intentionally mismatched (FSR too small for sister cavities)
- FWHM Linewidth = 11 MHz (Limited by spectral jitter)
- Greater spectral resolution attainable by increasing cavity length (Shorter FSR)
- *Next step:* Apply to gas sensing



MULTI-MODE ABSORPTION SPECTROSCOPY (MUMAS)



Collaboration with Paul Ewart group (Oxford U.)

- Oxford group recently developed & demonstrated MUMAS spectroscopy
 - Scan (with current) laser spectral output across one longitudinal-mode spacing – Observe transmission dip every time any laser mode crosses a molecular absorption line
 - Model response to a given molecule by combining known laser emission spectrum with known Hi-Tran (or other) molecular absorption spectrum
 - Broad spectral range of multi-mode ridge laser suitable for simultaneous detection of multiple gas species
- Objective is simple, robust, inexpensive sensing system – Requires only a single, multi-mode laser
- Previously applied to visible & near-IR, using standard diodes & diode-pumped Er:Yb:glass; also mid-IR using DFG (with inconveniently-slow scan rate)
- *This collaboration:* ICL source for faster, simpler, & less expensive mid-IR system
- All experiments performed at Oxford, using narrow-ridge FP ICLs supplied by NRL



MUMAS APPLICATION TO CH₄



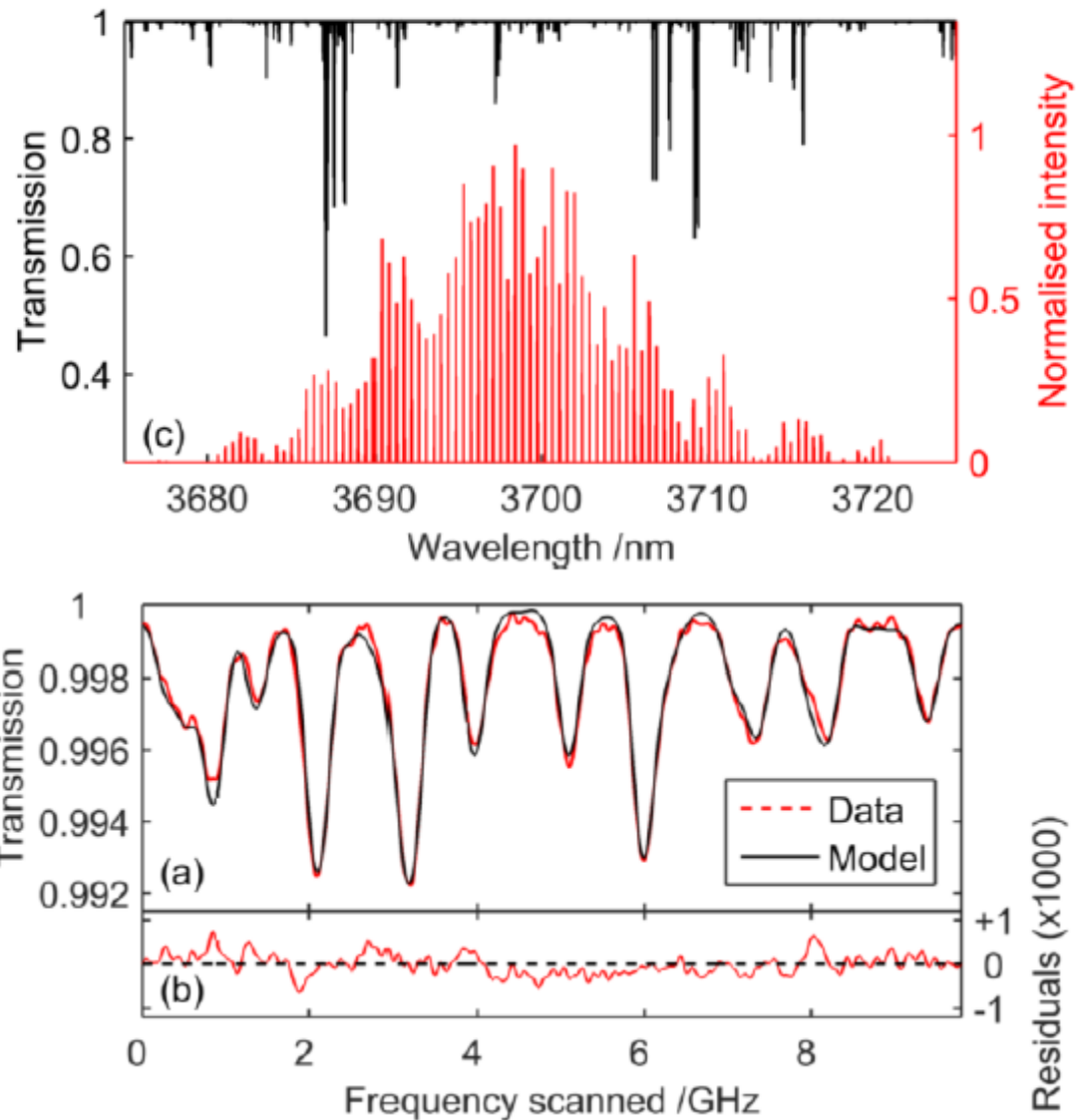
[Northern et al., submitted to Opt. Lett.]

CH₄ absorption spectrum

**Laser emission spectrum
(showing multiple
longitudinal modes)**

**Modeled & measured
transmission spectra**

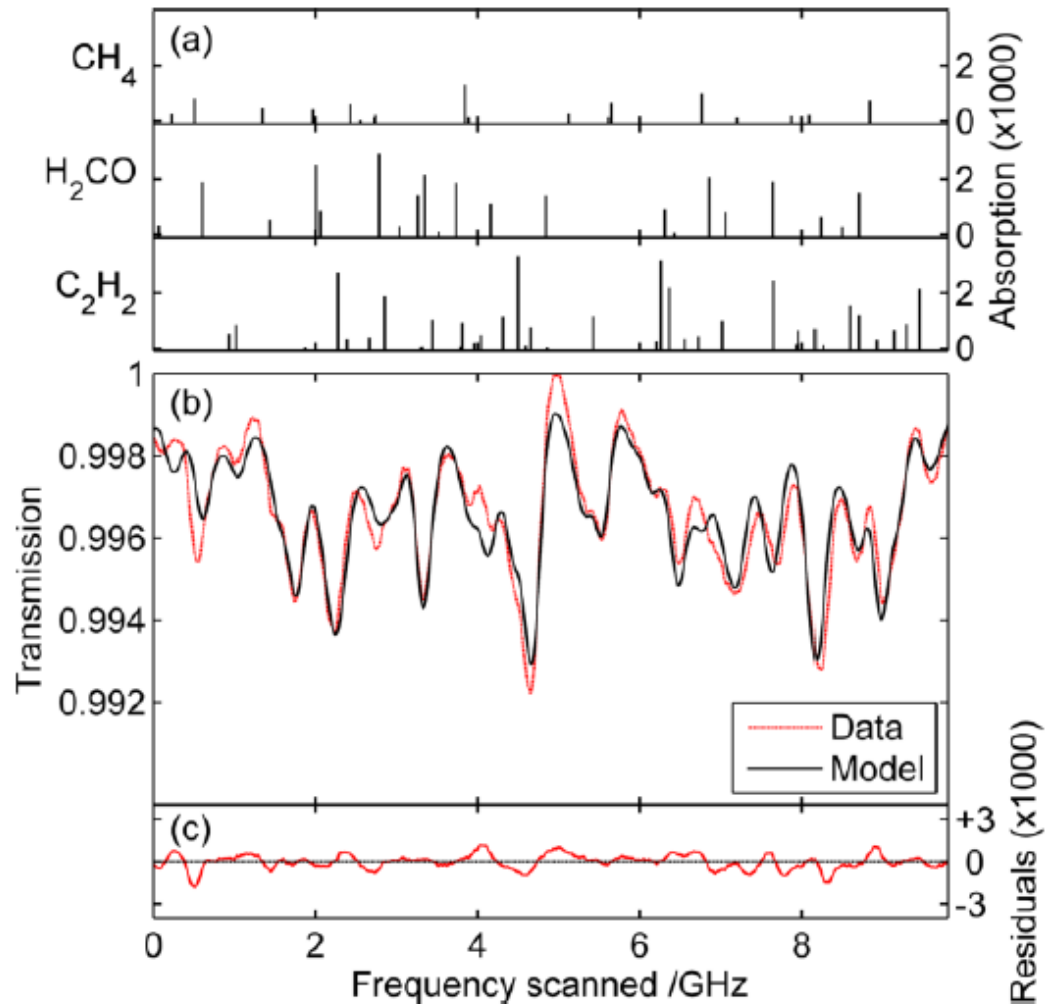
Residuals





SIMULTANEOUS DETECTION OF 3 SPECIES

[Northern et al., submitted to Opt. Lett.]



Detected concentrations of 0.86 mbar for CH_4 & 2.2 mbar for C_2H_2 agree well with actual values of 1.2 & 1.6 – Actual H_2CO (from vapor above water/methanol solution) concentration insufficiently calibrated to compare (0.1 mbar detected)



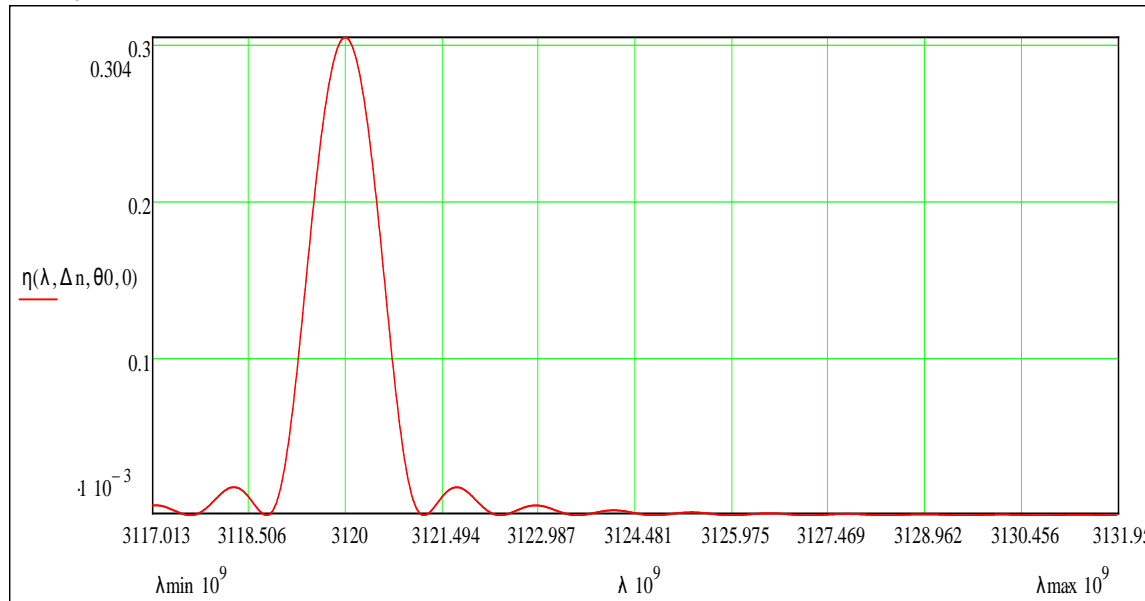
VOLUME BRAGG GRATING CAVITY ICL



CREOL@25

Collaboration with Leonid Glebov group (CREOL)

- External cavity with volume Bragg grating (VBG) mirror substantially narrows laser linewidth & enhances spectral/spatial brightness
- PTR glass employed in visible & near-IR absorbs at $\lambda \geq 2.8 \mu\text{m}$ (& much more strongly @ $\lambda \geq 4 \mu\text{m}$) – Transparent glasses for mid-IR now under development
- Nonetheless, investigate whether VBG feedback sufficient for external-cavity ICL (with high gain) operating at $\lambda \approx 3.1 \mu\text{m}$
- **Simulation:** VBG diffraction efficiency $\approx 30\%$ & spectral linewidth $\approx 1.2 \text{ nm}$ (both degraded by Fresnel reflection from uncoated VBG surface)
- All experiments performed at CREOL, using FP ICLs ($18 \mu\text{m} \times 4.5 \text{ mm}$, HR/AR-coated) supplied by NRL

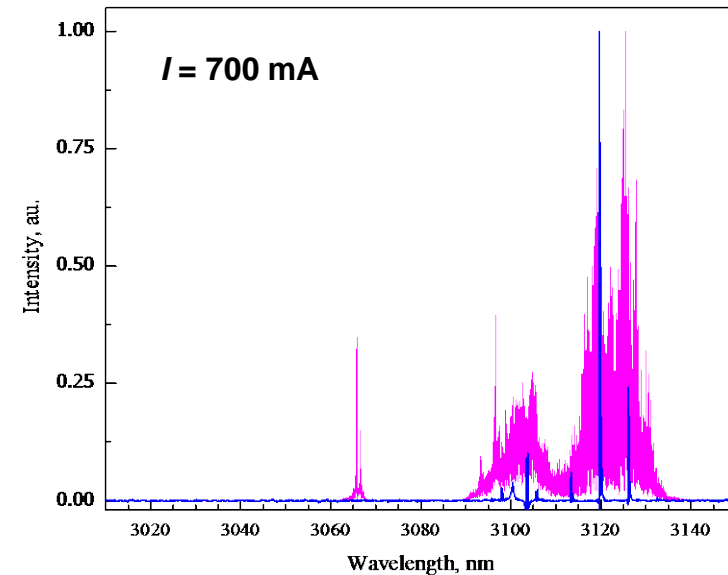
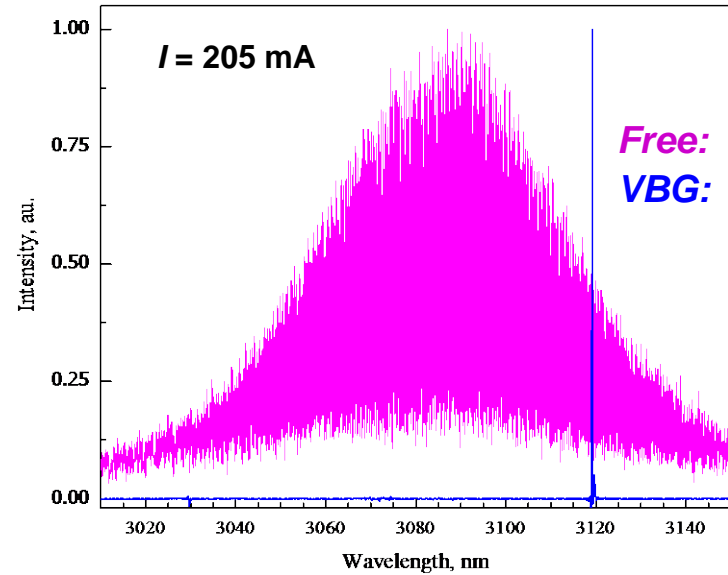




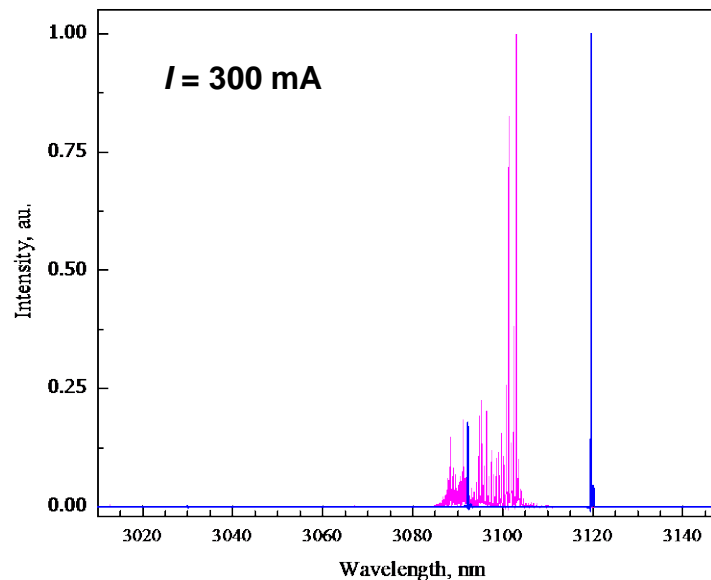
ICL VBG – SPECTRA



CREOL@25



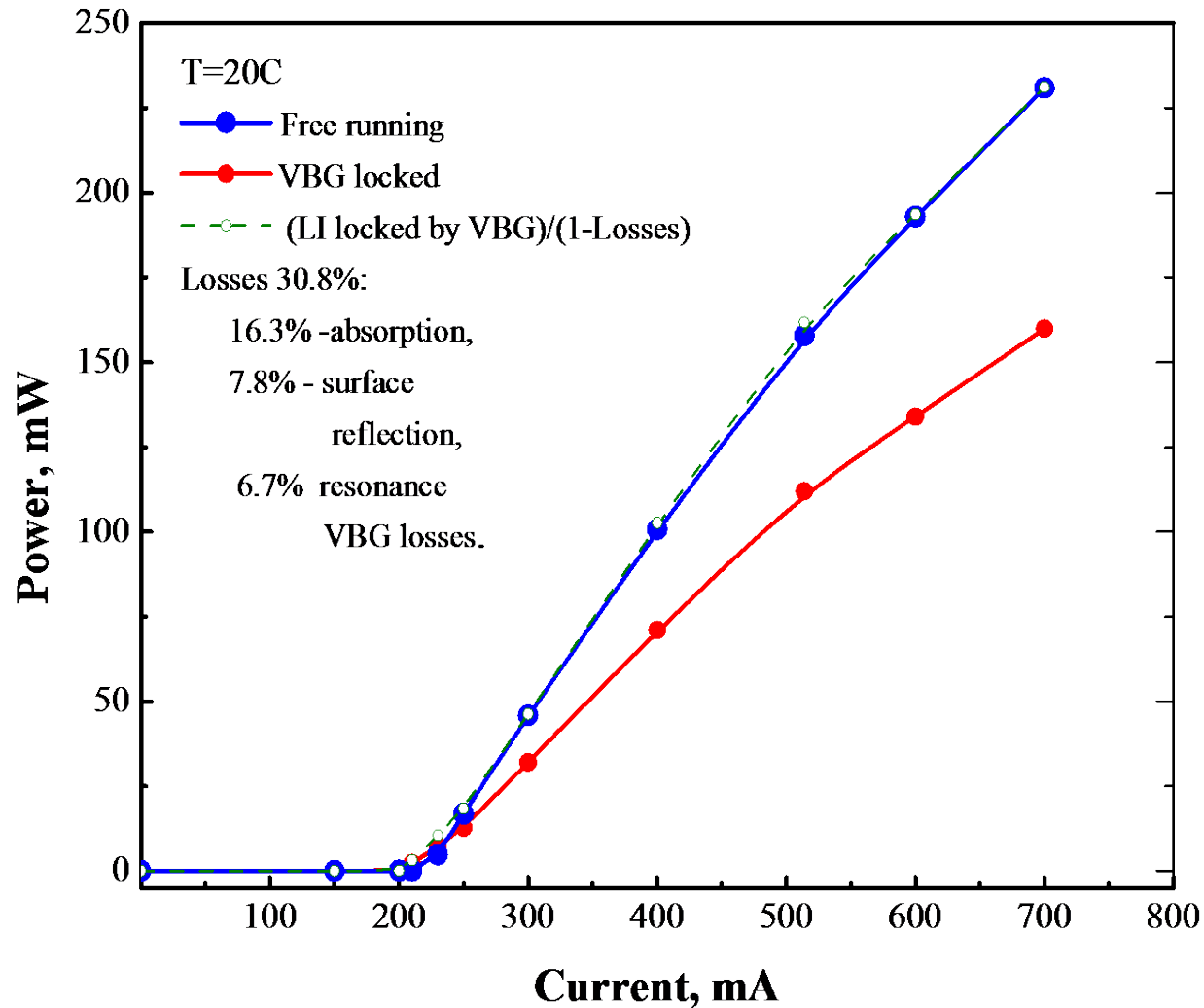
Free: Broad multi-mode
*VBG: 2nd line appears
(FP at uncoated VBG
surface?)*



Free: Continues to broaden
*VBG: More parasitic lines
emerge*



VBG: L-I CHARACTERISTICS

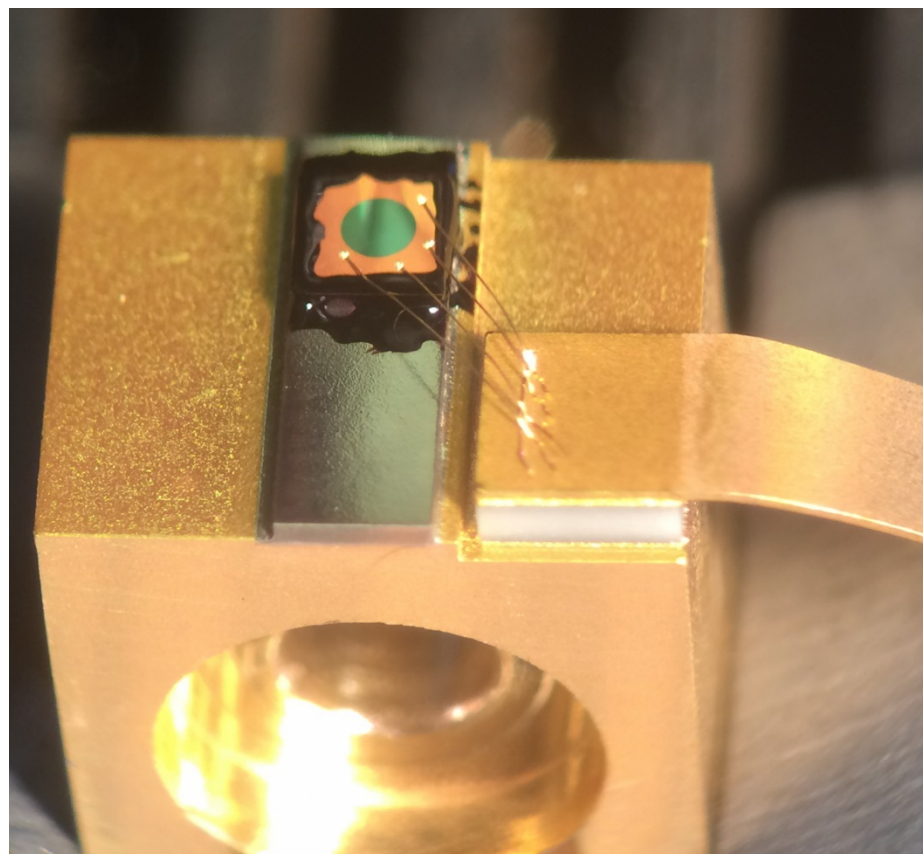
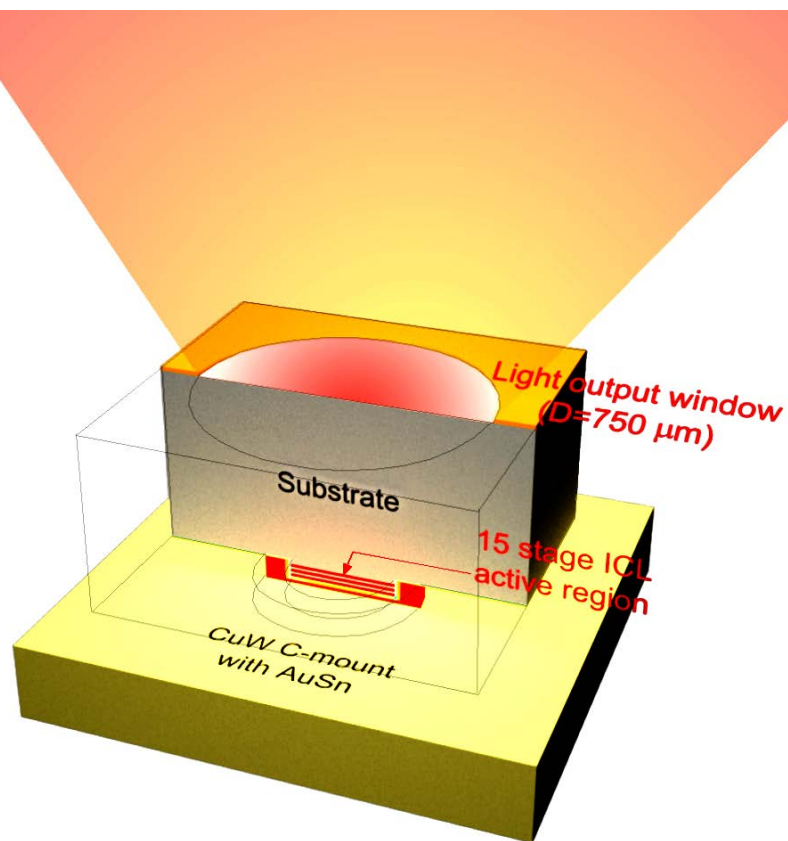


VBG line narrowing incurs relatively modest power sacrifice



ALTERNATIVE IR SENSING SOURCE: IC LEDs

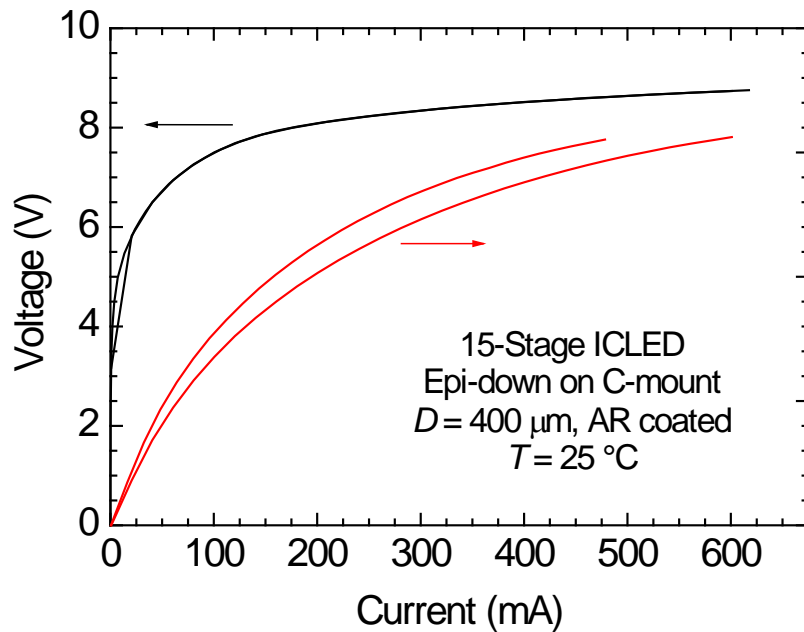
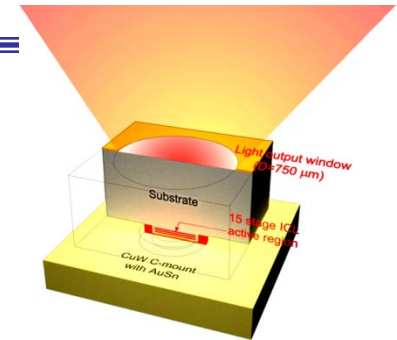
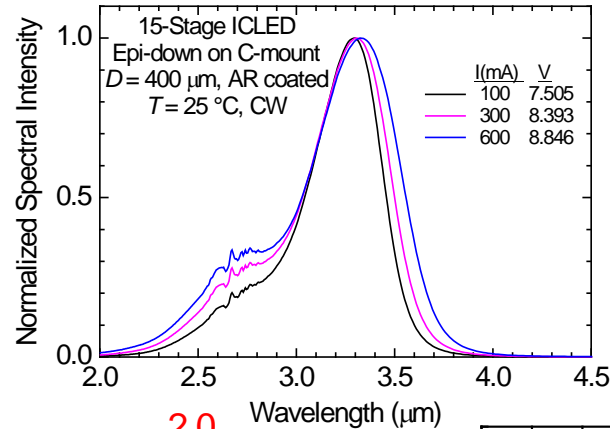
Single attempt, with no optimization or measures to enhance out-coupling efficiency (beyond AR coating on output surface)



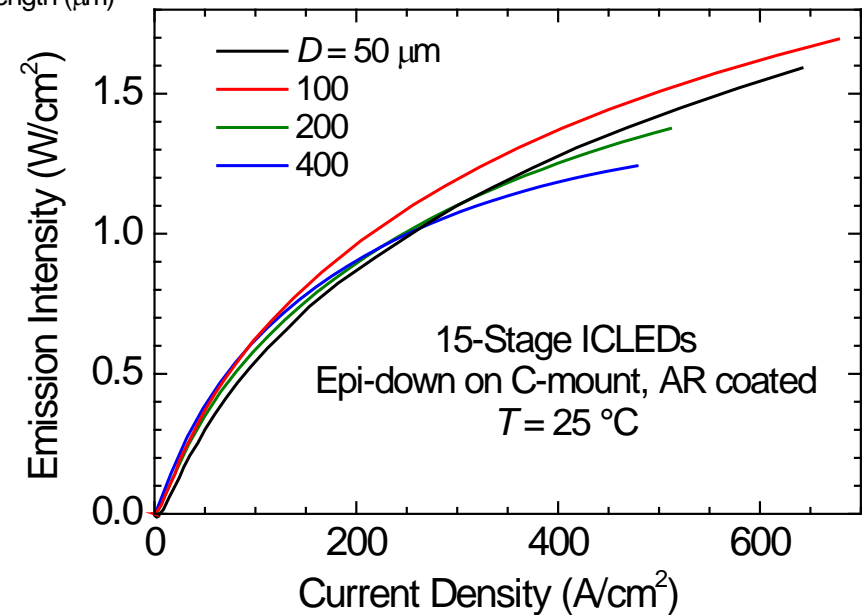


ICLEDs: INITIAL PERFORMANCE RESULTS

Abell et al., APL 104, 261103 (2014)



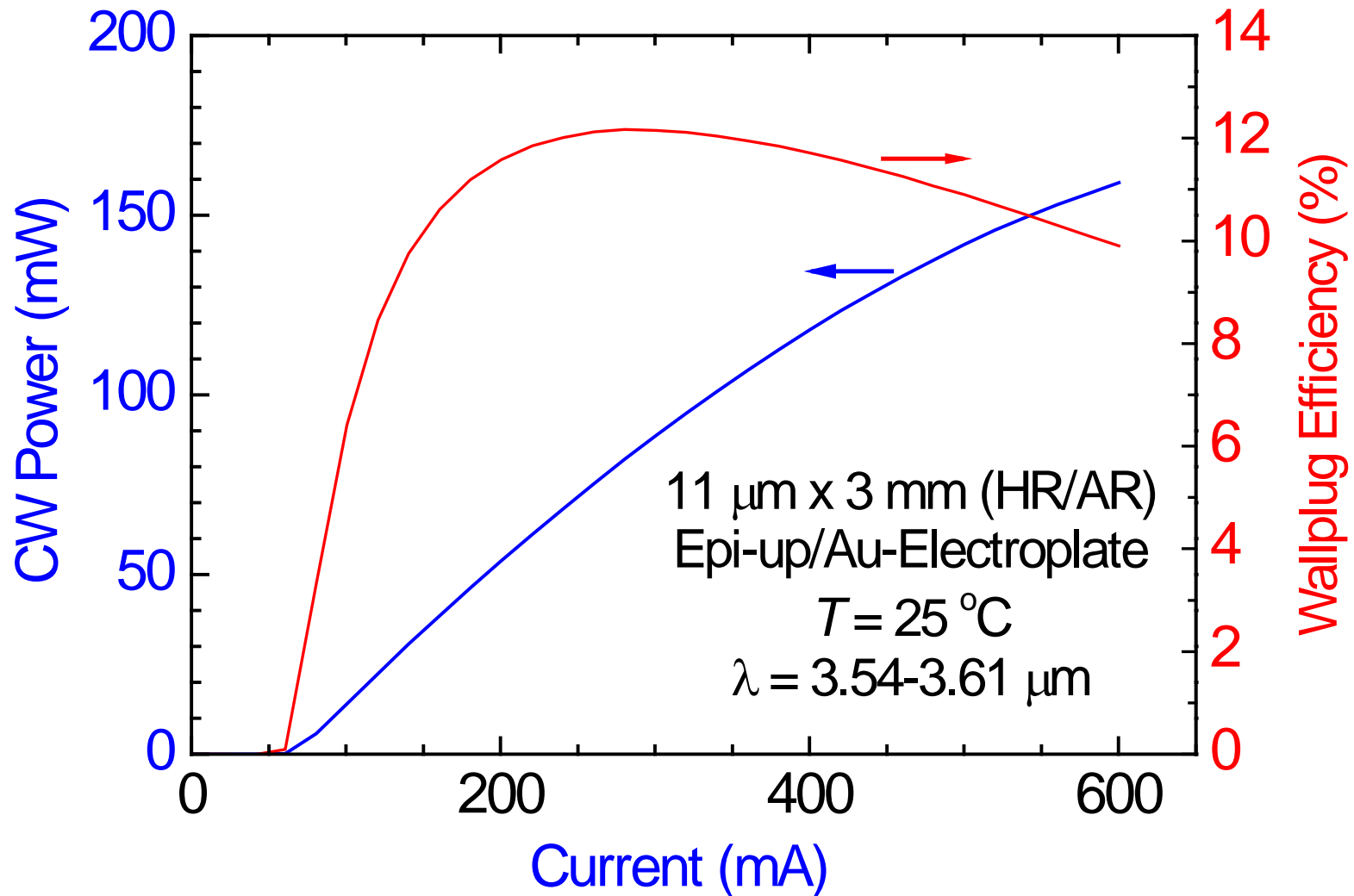
Power (mW)



$P_{\text{out}}^{\text{CW}} = 1.6 \text{ mW}$ – Record for mid-IR LED (Highest commercial $\approx 200 \mu\text{W}$)
Emission intensity 5x any previous report



Gen3: HIGHER CW POWER & WPE (2011)

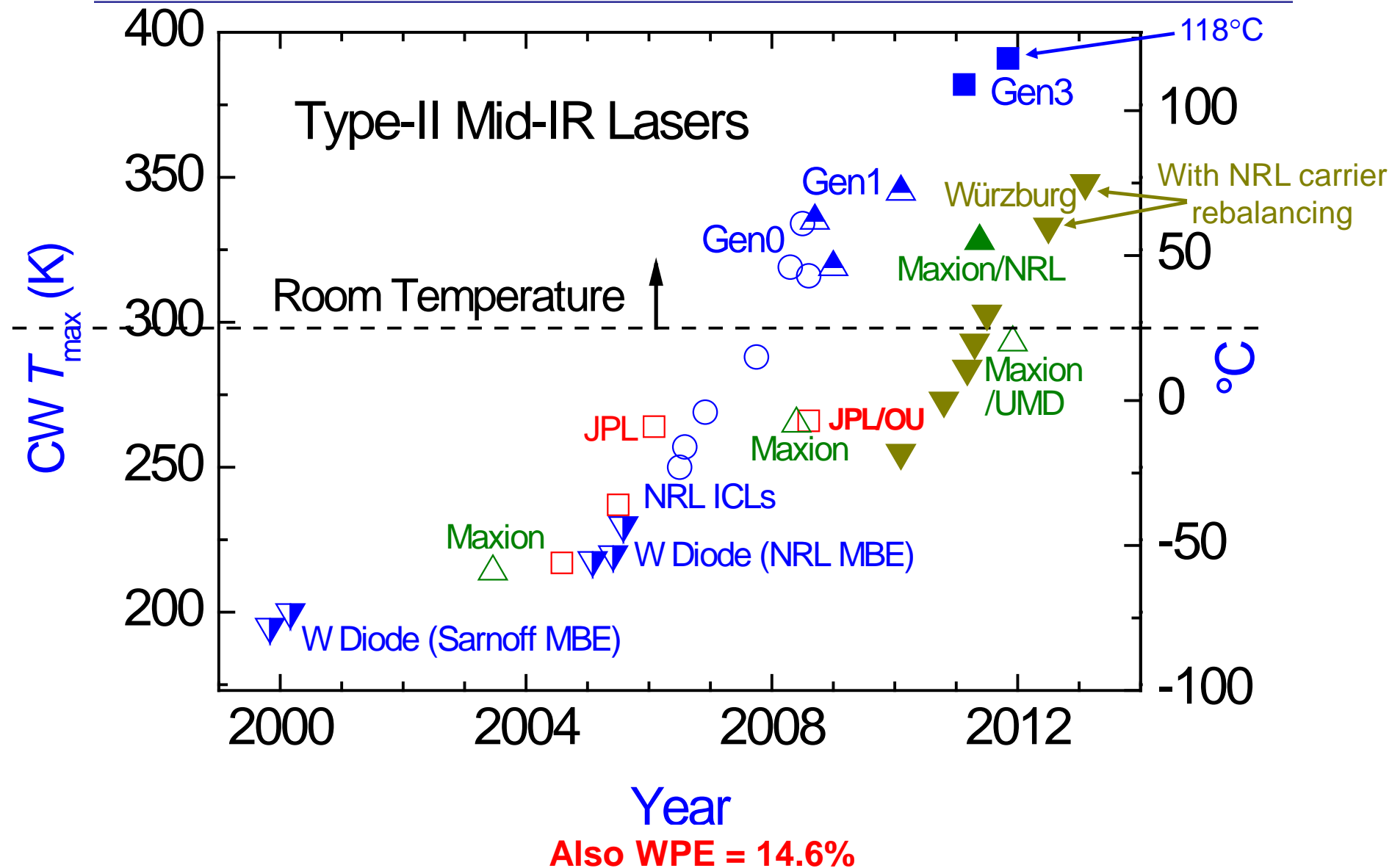


$P_{\max}^{\text{cw}} (25^\circ\text{C}) = 159\text{ mW} (M^2 \approx 3); \text{WPE} = 9.9\% @ P_{\max}$



EPI-DOWN MOUNTING: HIGHER T_{max}^{cw}

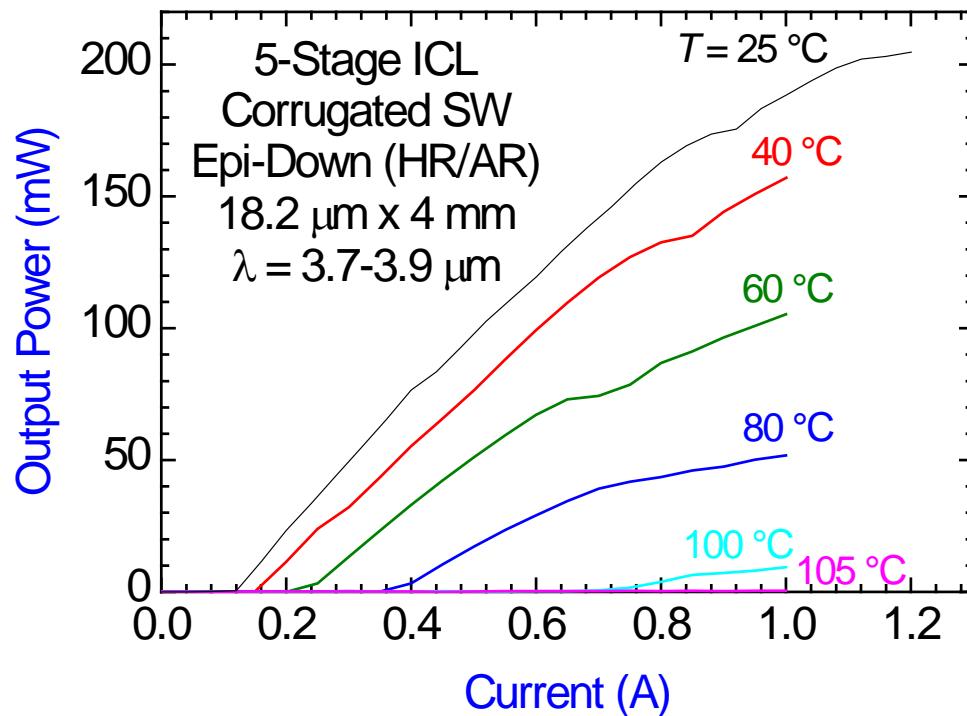
[Bewley et al., Opt. Expr. 20, 20894 (2012); U.S. Patent #8,879,593 (2014)]





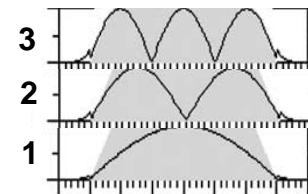
CORRUGATED-SIDEWALL ICLs

[Bewley et al., Opt. Expr. 20, 20894 (2012)]

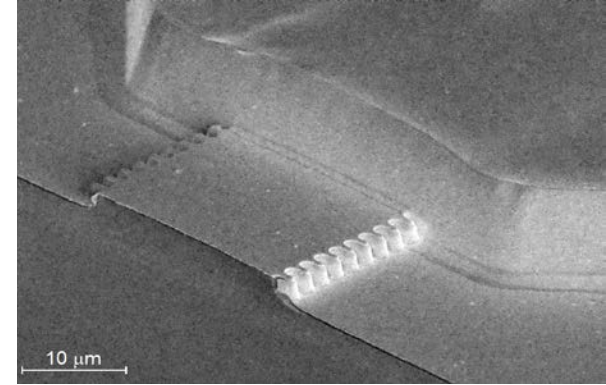


$P_{\text{max}}^{\text{cw}} > 200$ mW @ $T = 25$ $^{\circ}\text{C}$ ($M^2 = 1.6$)

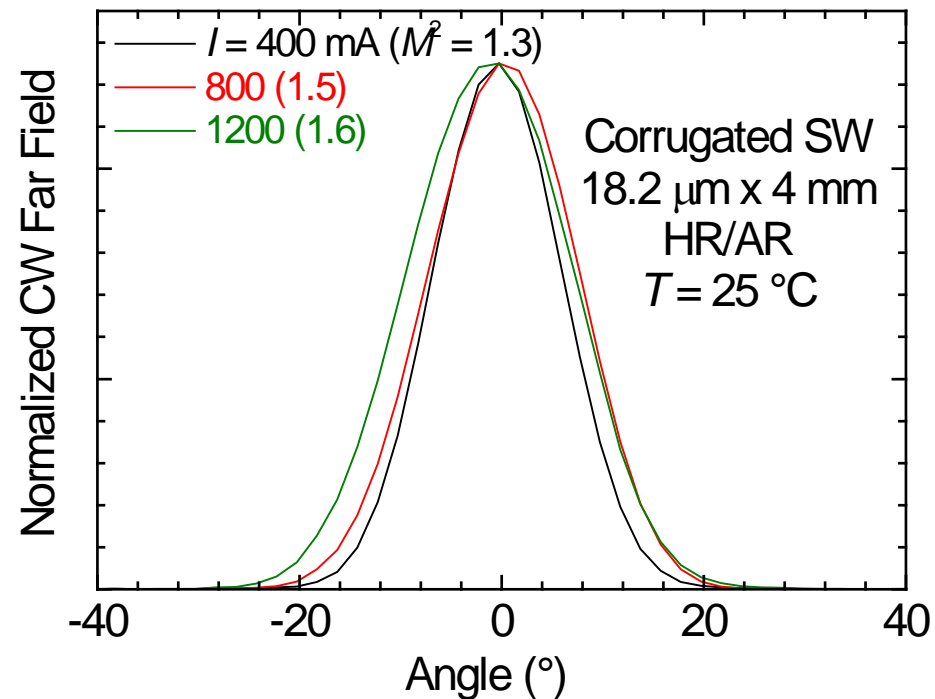
Lateral Mode Profiles



Ridge



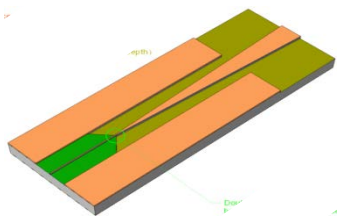
Suppress higher-order modes for better beam quality



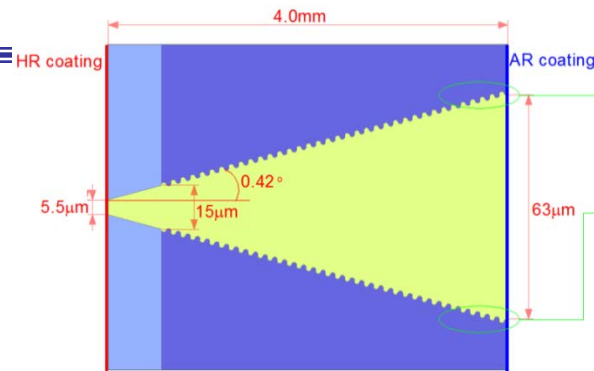
Wider ridge (25.1 μm): 305 mW ($M^2 = 2.2$); WPE = 6.6% @ P_{max}



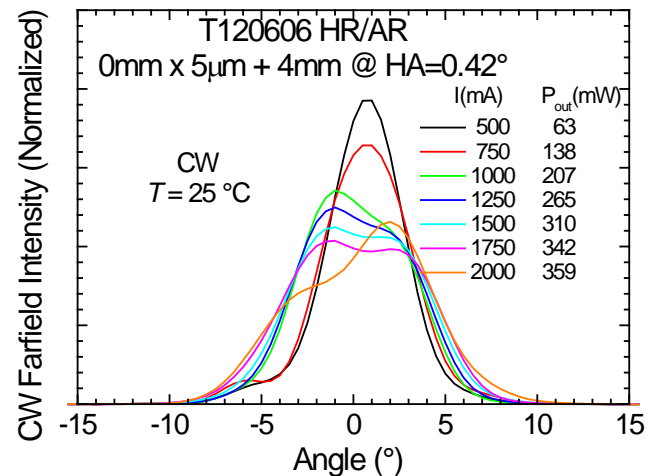
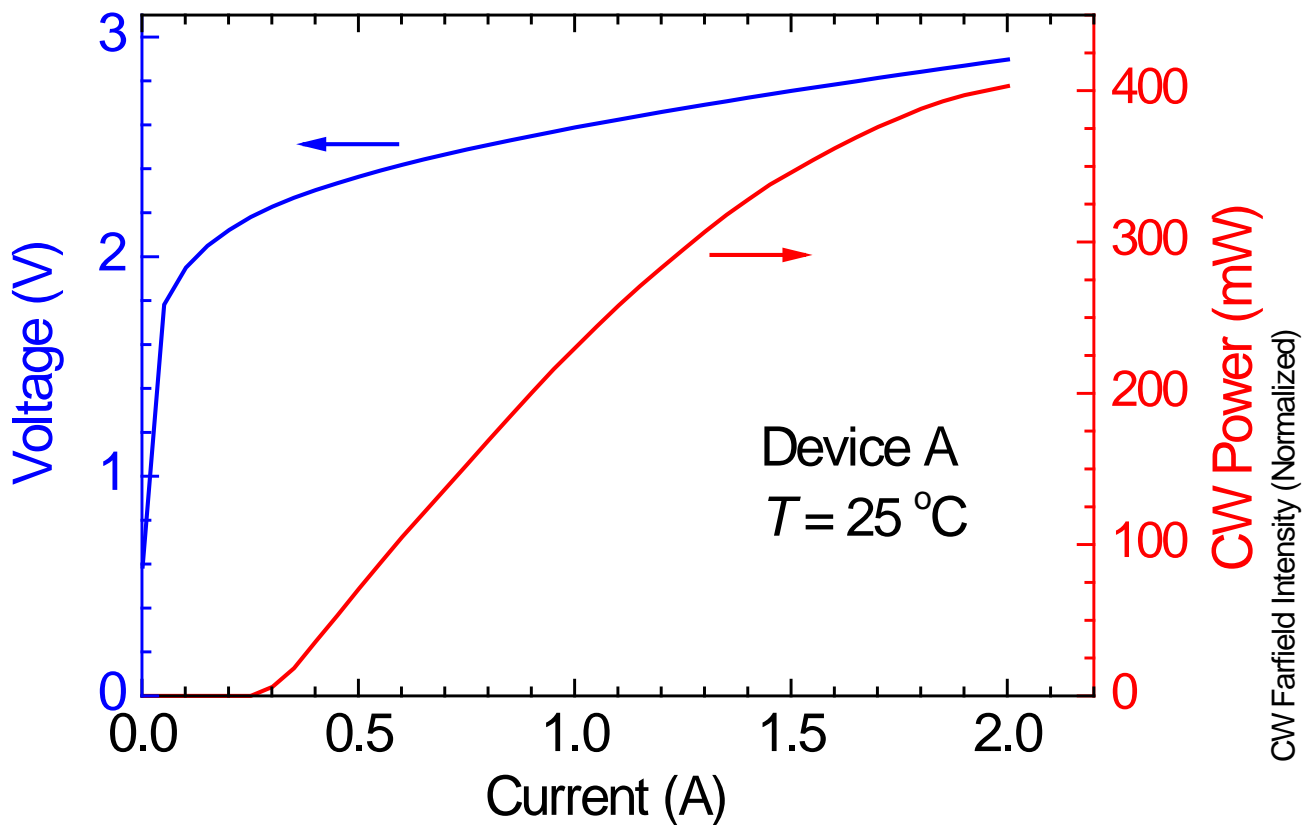
TAPERED ICLs



[Bewley et al., APL 103, 111111 (2013)]



**Tapered ridge with
no straight section**

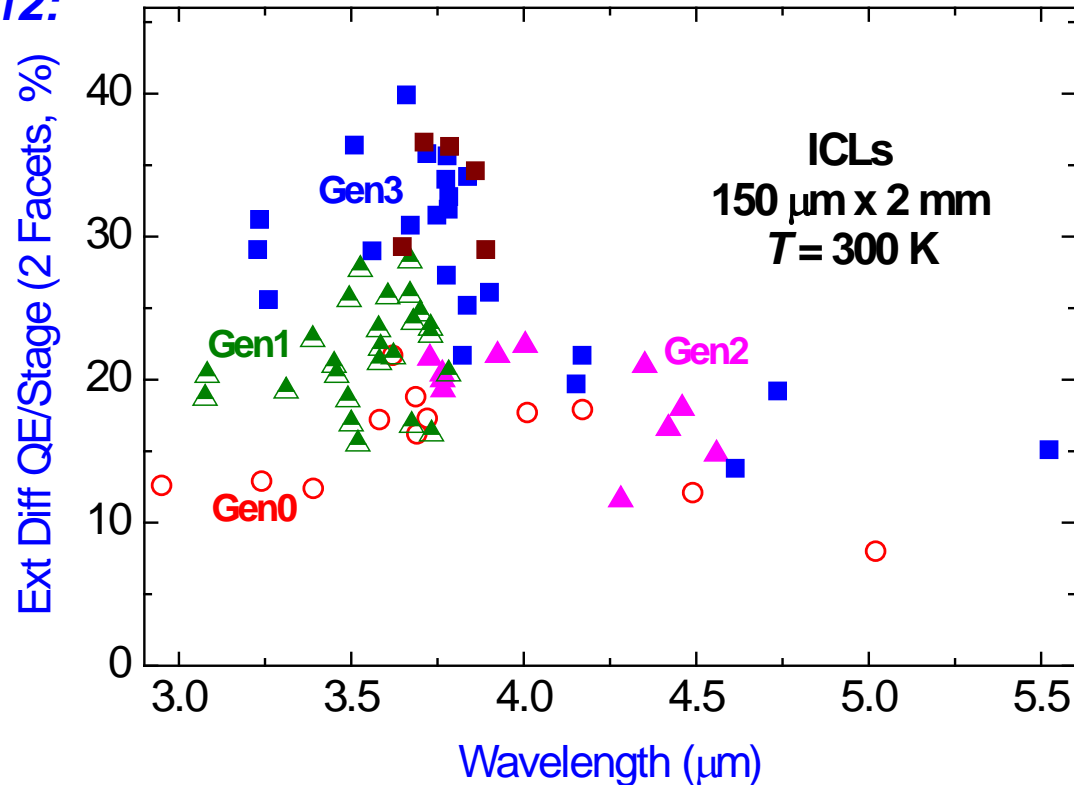


$P_{\text{max}}^{\text{cw}} (25\text{ }^{\circ}\text{C}) = 403\text{ mW} (M^2 = 2.3), \text{WPE} = 7.0\% @ P_{\text{max}}$



TO FURTHER ENHANCE POWER & BRIGHTNESS: INCREASE EFFICIENCY

As of October 2012:



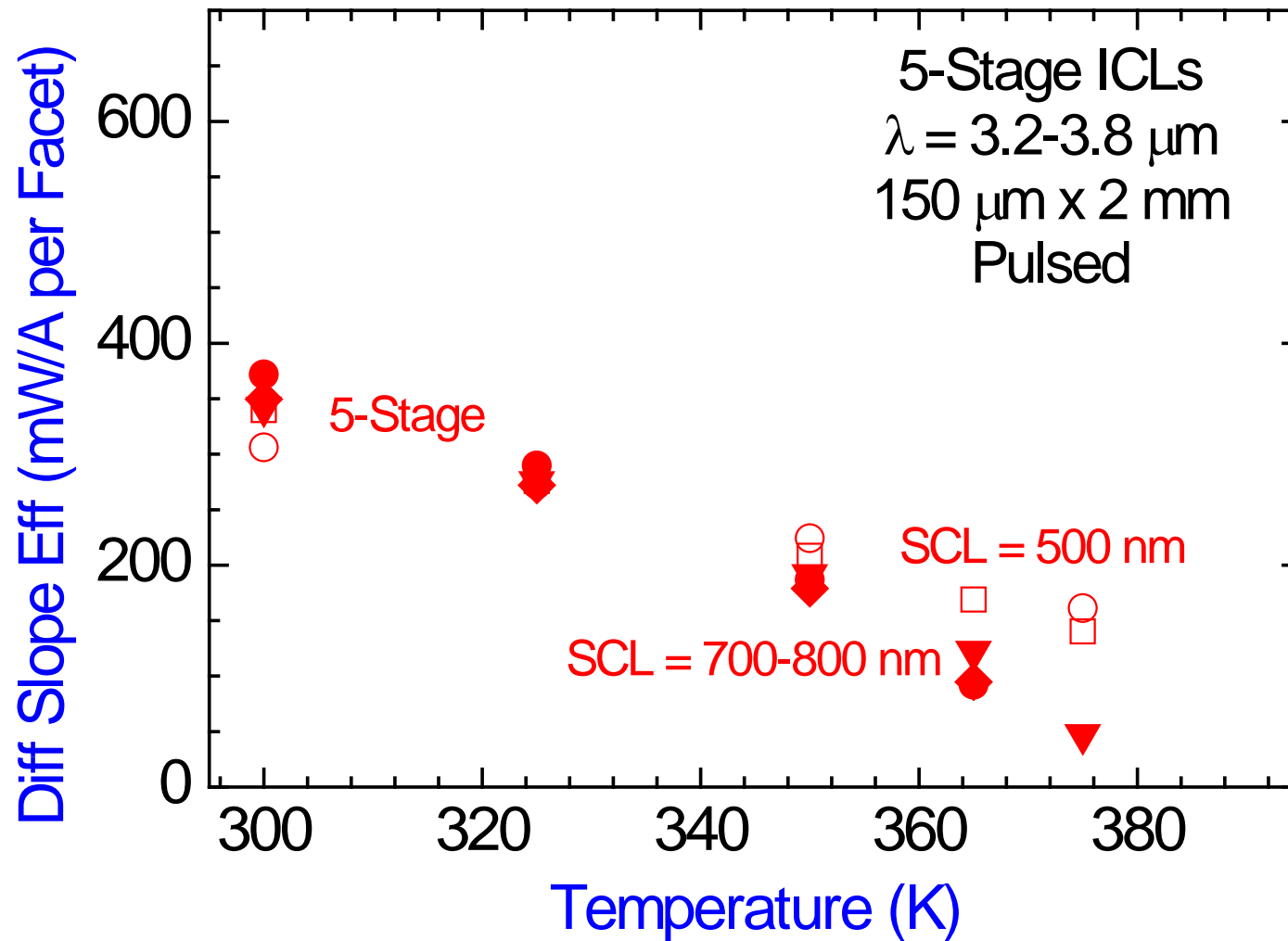
Try varying other parameters in rich ICL design space, e.g.:

- Thicker *n*-GaSb separate confinement layers (SCLs), for lower mode overlap with active & clad, hence reduced loss
- More active stages (e.g., 7 vs. 5), for higher slope efficiency & gain





5 STAGES WITH THICKER SCLs

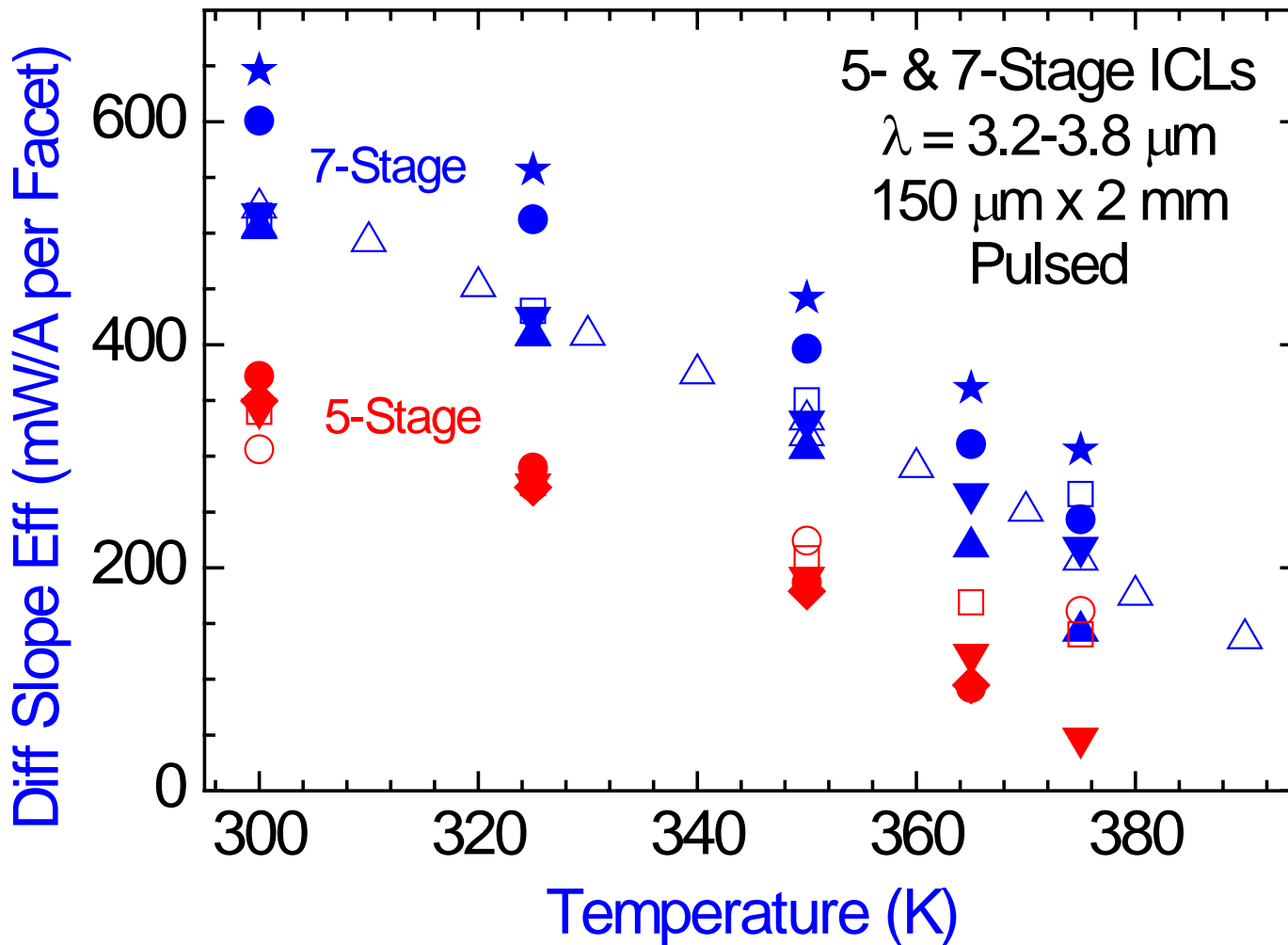


Thick SCLs increase efficiency at 300 K, but fail to provide enough gain at high T



7 STAGES

[Bewley et al., Opt. Expr. 22, 7702 (2014)]

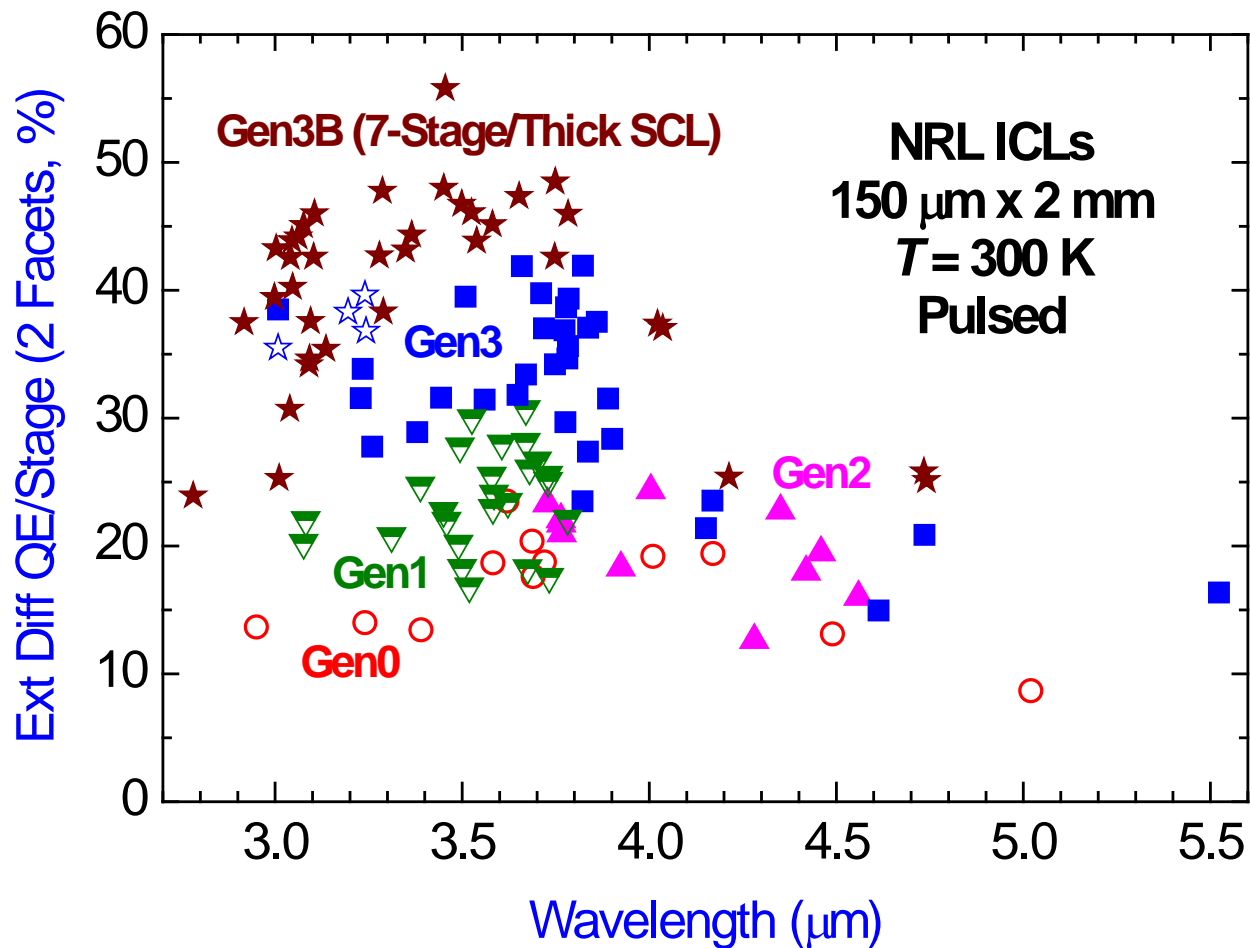


Thick SCLs increase advantage at 300 K, while retaining sufficient gain at high T
Even better news: $\text{Slope}_7/\text{Slope}_5 > 7/5$ indicates lower loss!



IMPROVED EDQE

Result is significantly higher EDQE:

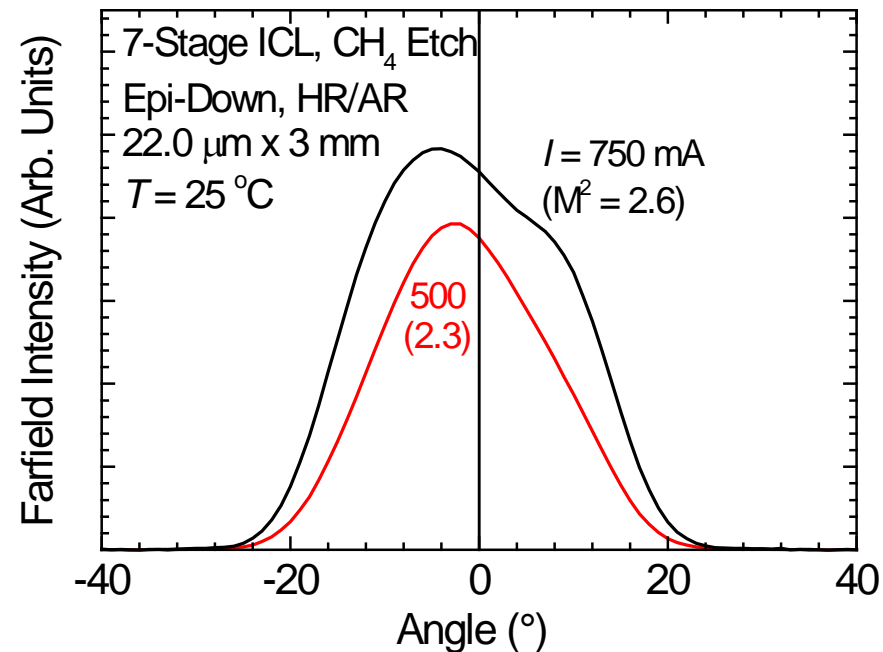
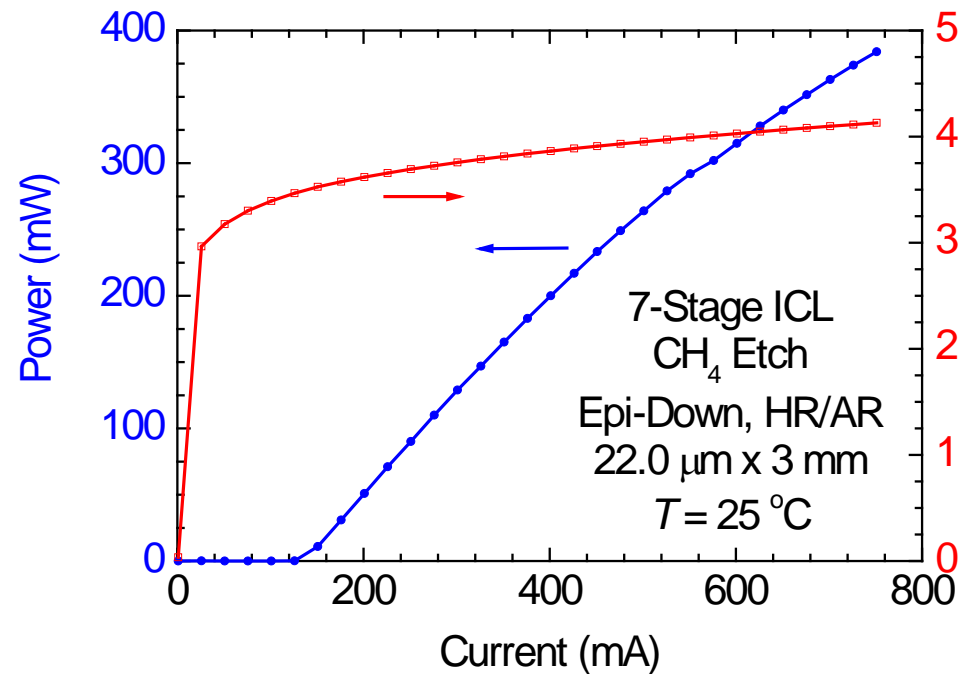
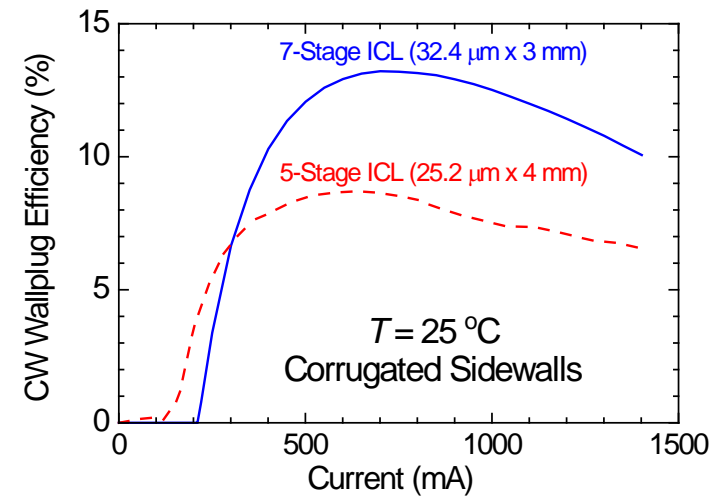


7-stage ICLs with thick SCLs (Gen3B) exhibit higher EDQE & lower loss at all λ



7-STAGE NARROW RIDGES

[Canedy et al., Opt. Expr. 22, 7702 (2014)]



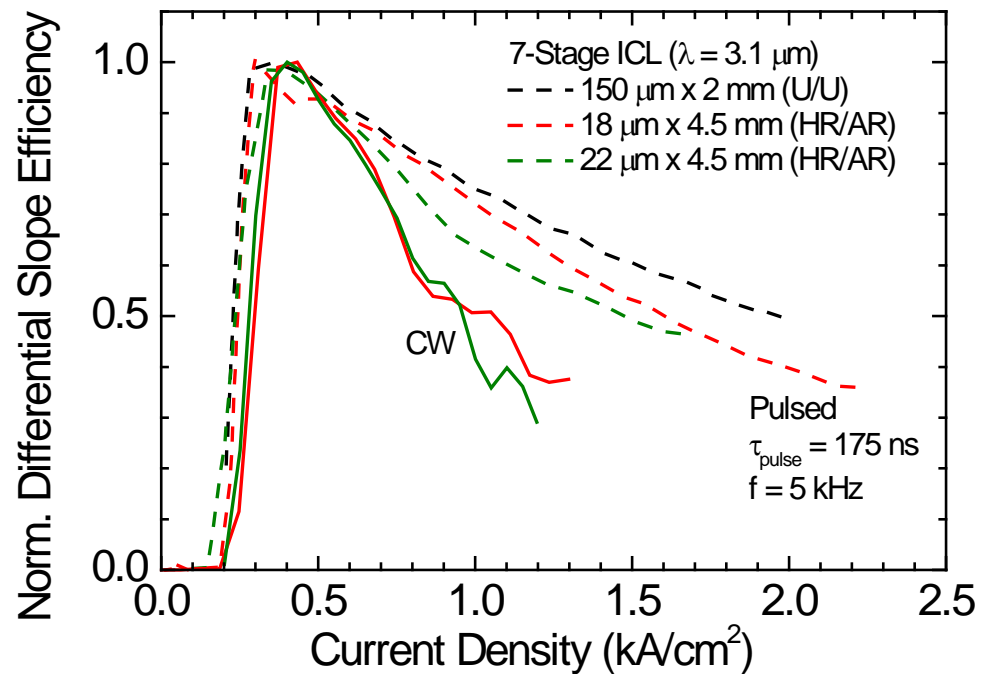
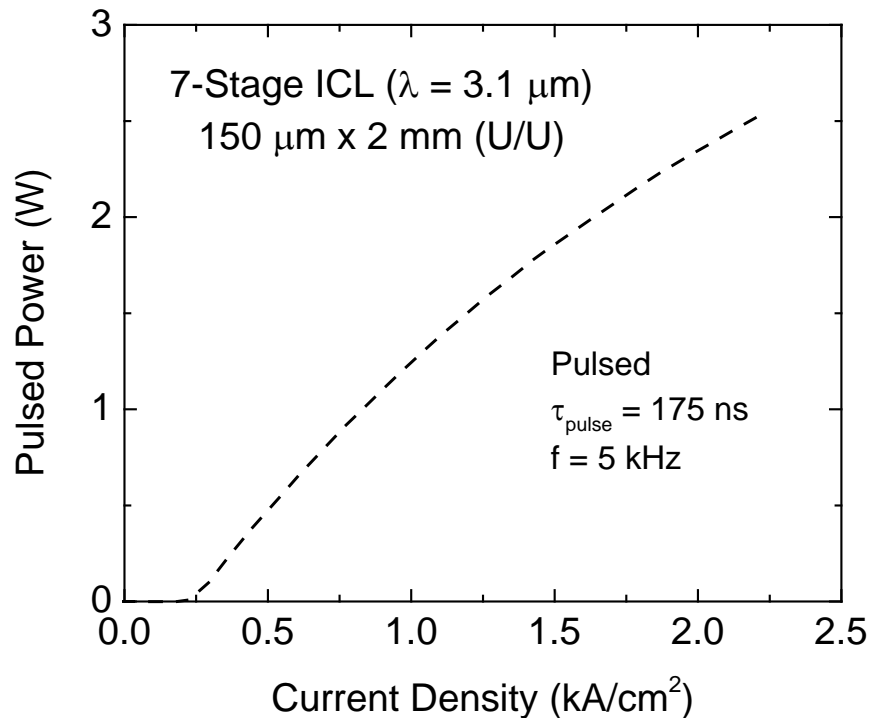
$P_{\text{max}}^{\text{cw}} = 384 \text{ mW}$ in high-quality beam ($M^2 = 2.6$)

WPE = 12.4%



BUT P_{max} LIMITED BY EFFICIENCY DROOP

[Merritt et al., submitted to Appl. Opt.]



All ICLs from NRL (& elsewhere) show substantial droop above I_{th} – Why?

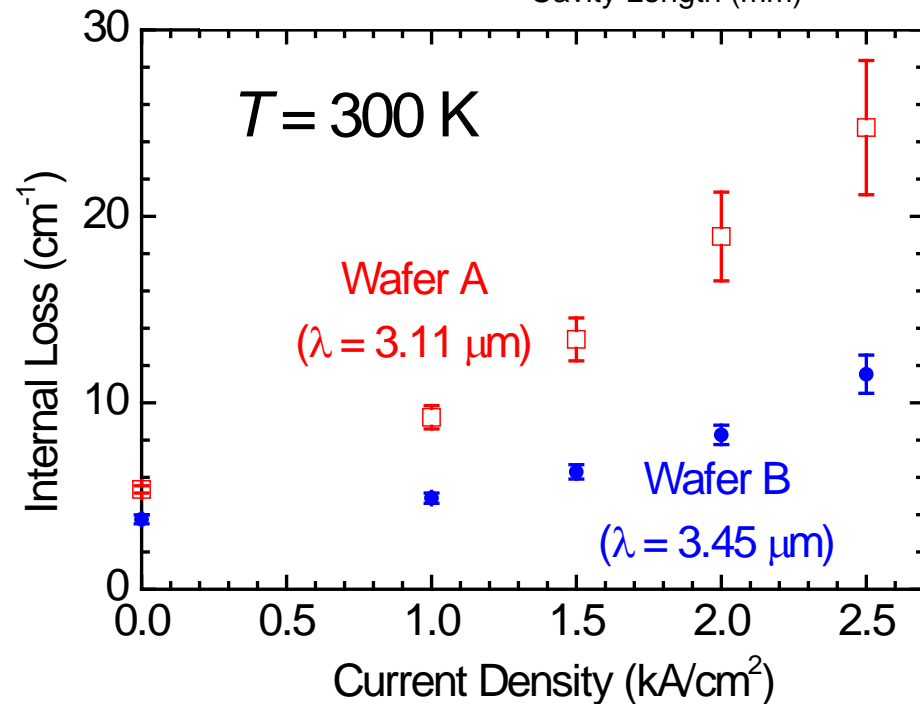
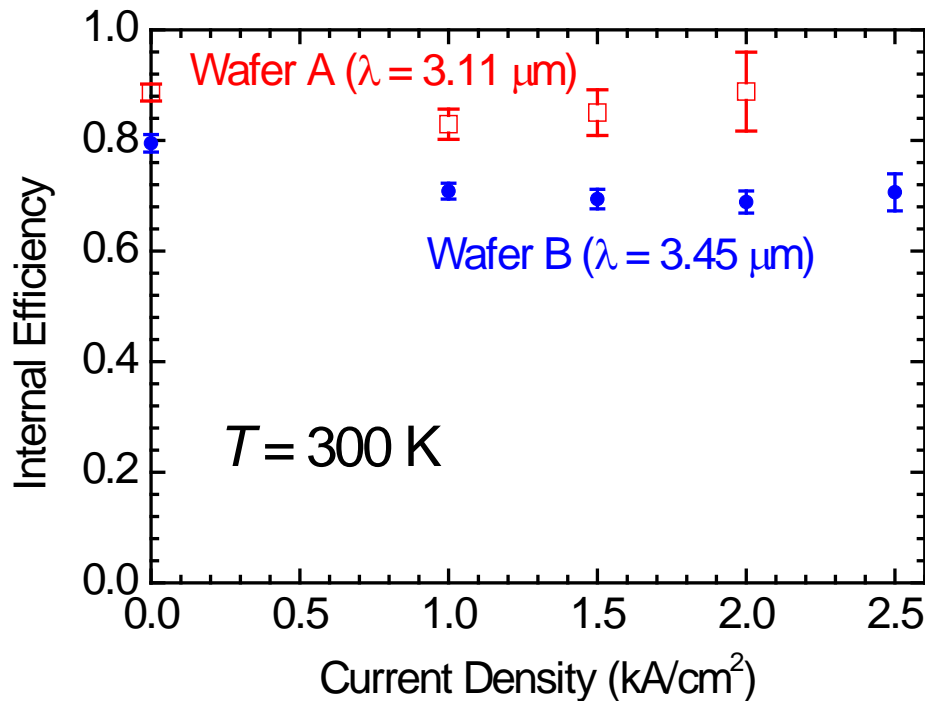
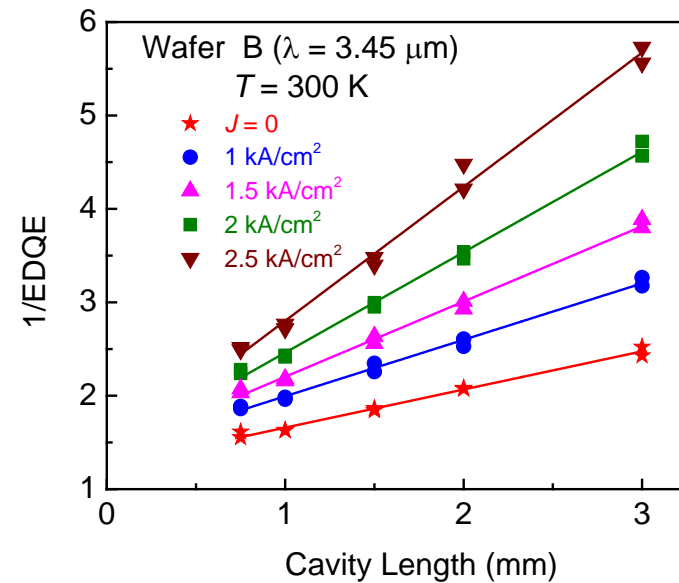
Pulsed data indicate substantial non-thermal component



CAVITY LENGTH INVESTIGATION vs. J

- **For 2 wafers: Measured EDQEs for 5 cavity lengths, 5 current densities, 3 temperatures**
- **Extracted internal efficiency from intercept & internal loss from slope**

[Merritt et al., submitted to Appl. Opt.]



Droop at high currents clearly due to higher internal loss rather than lower internal efficiency – Effect much greater at shorter λ



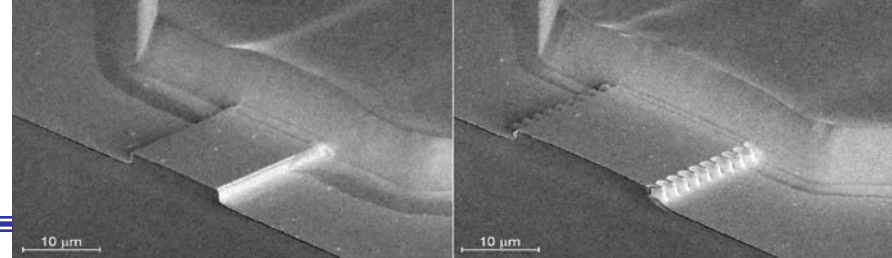
CAVITY LENGTH SUMMARY

- Efficiency droop with strong non-thermal component universal in ICLs
 - Attributable to increasing α_i with J , rather than decreasing η_i
 - Correlates with lack of carrier-density pinning in ICLs above threshold (from EL)
 - Type-I mid-IR diodes also fail to pin (although not as severe)
- η_i nearly independent of J (but why 70-90% rather than 100%?)
- With increasing T (up to 345 K), α_i relatively constant while η_i decreases
- Threshold gain vs. J_{th} from cavity length data follows expected logarithmic form, but magnitude > 20% below theory (?)
- Efficiency droop, & associated increase of α_i , greater at short λ
 - ICL performance in general degrades gradually at $\lambda \leq 3.1 \mu\text{m}$
 - Unexplained – Intuition says free carrier absorption & Auger decay should decrease rather than increase with decreasing λ

***New information clarifies & quantifies unexplained observations,
but does not resolve!***



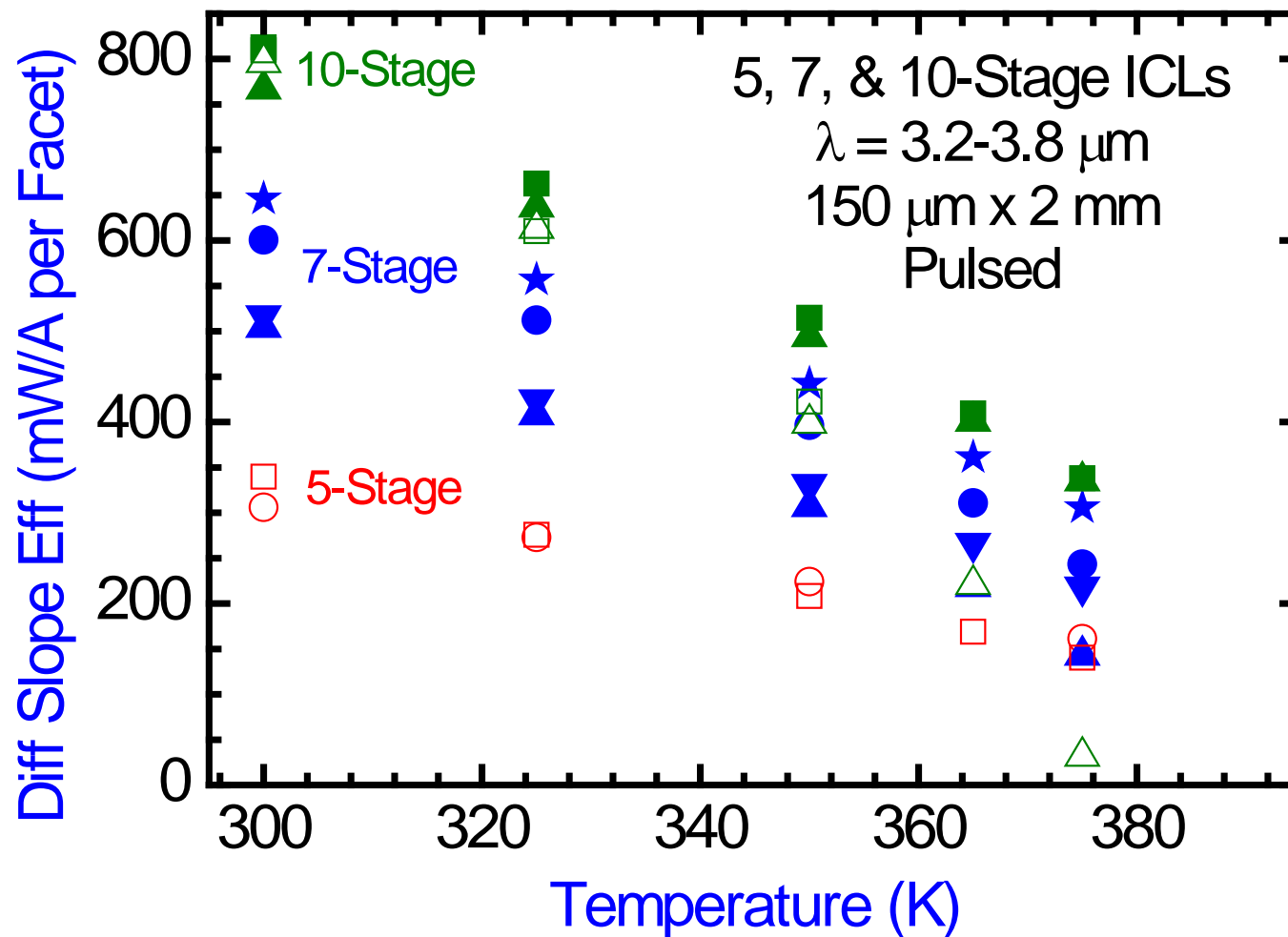
SUMMARY: CW POWER & BRIGHTNESS



Year	Stages	λ (μm)	α_i (cm^{-1})	Ridge	Mount	L_{cav} (mm)	width (μm)	$P_{\text{max}}^{25\text{C}}$ (mW)	WPE(P_{max}) (%)	M^2	Brightness (P_{max}/M^2)
2008	5	3.75	12.2	Straight	Epi-Up	3	9	10	0.7	≈ 2	5
2009	5	3.67	6.6	"	"	3	10	59	3.1	≈ 2	30
2011	5	3.57	6.9	"	"	3	11	158	9.9	3	53
2012	5	3.66	4.5	Straight	Epi-Down	4	11	198	7.1	1.8	110
				Corrug.	"	"	25	305	6.5	2.2	139
2013	5	3.72	5.2	Tapered	"	4	5 - 63	403	7.0	2.3	175
2014	7	3.45	3.0	Corrug.	"	3	22	383	12.4	2.4	160
				"	"	"	28	522	10.3	3.1	168
				"	"	"	32	592	10.1	3.7	160



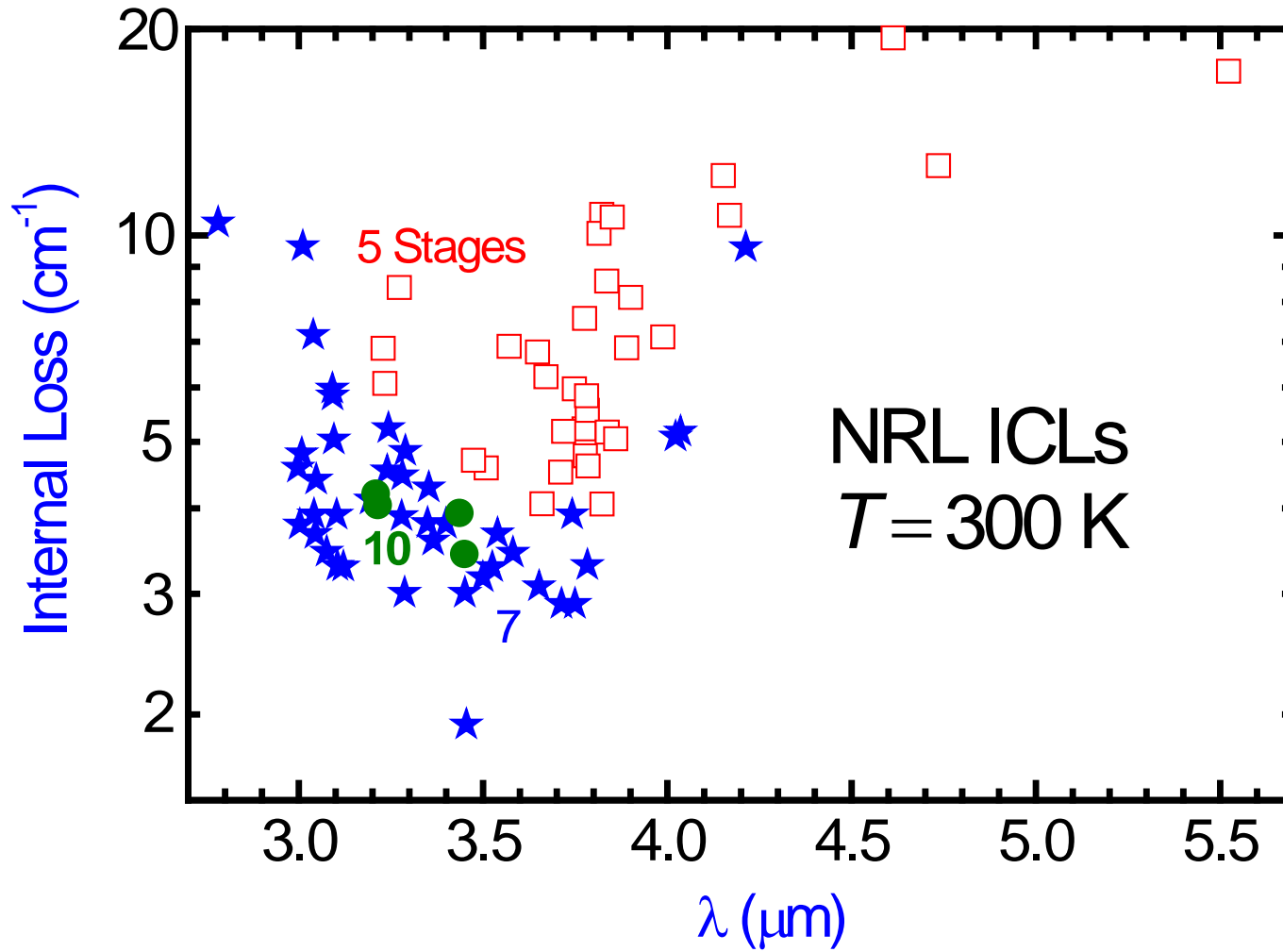
NEXT TRY 10 STAGES



EDQE comparable for 10-stage vs. 7-stage (Both greater than 5-stage)



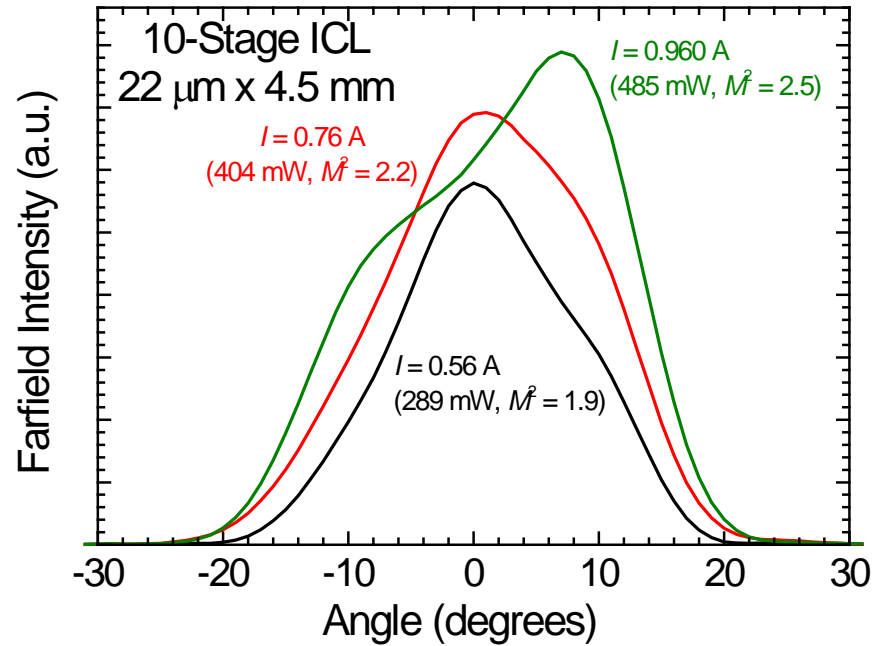
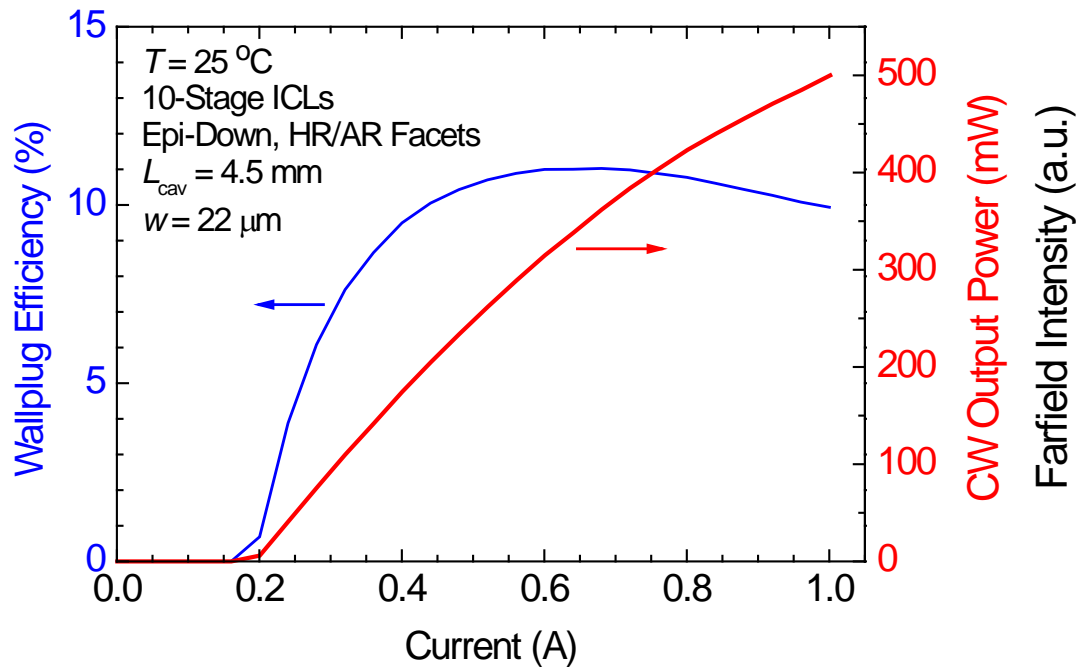
INTERNAL LOSS vs. WAVELENGTH



7-stage & 10-stage designs have comparable loss, on average
(But note increase when $\lambda < 3.2 \mu\text{m}$)



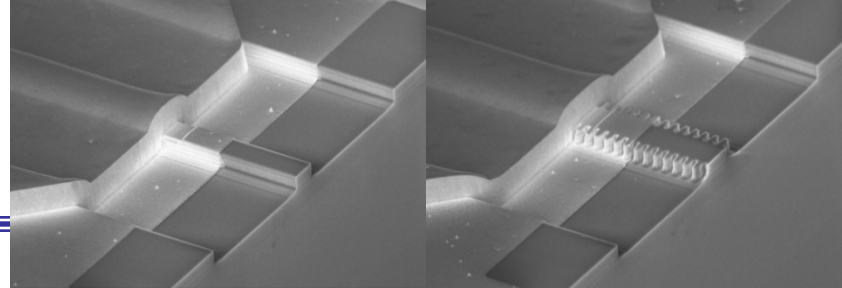
10-STAGE NARROW RIDGES: L-I & FAR-FIELD



Also: $P_{\text{max}}^{\text{cw}} = 464\text{ mW}$ (WPE = 11.2%, $M^2 = 1.9$) @ $25\text{ }^{\circ}\text{C}$



CW POWER & BRIGHTNESS SUMMARY



Year	Stages	λ (μm)	α_i (cm^{-1})	Ridge	Mount	L_{cav} (mm)	width (μm)	$P_{\text{max}}^{25\text{C}}$ (mW)	WPE(P_{max}) (%)	M^2	Brightness (P_{max}/M^2)
2008	5	3.75	12.2	Straight	Epi-Up	3	9	10	0.7	≈ 2	5
2009	5	3.67	6.6	"	"	3	10	59	3.1	≈ 2	30
2011	5	3.57	6.9	"	"	3	11	158	9.9	3	53
2012	5	3.66	4.5	Straight	Epi-Down	4	11	198	7.1	1.8	110
				Corrug.	"	"	25	305	6.5	2.2	139
2013	5	3.72	5.2	Tapered	"	4	5 - 63	403	7.0	2.3	175
2014	7	3.45	3.0	Corrug.	"	3	28	522	10.3	3.1	168
	10	3.45	3.4	Corrug.	"	4.5	18	464	11.2	1.9	245
	7	3.11	3.3	Corrug.	"	4.5	18	326	6.9	1.3	243



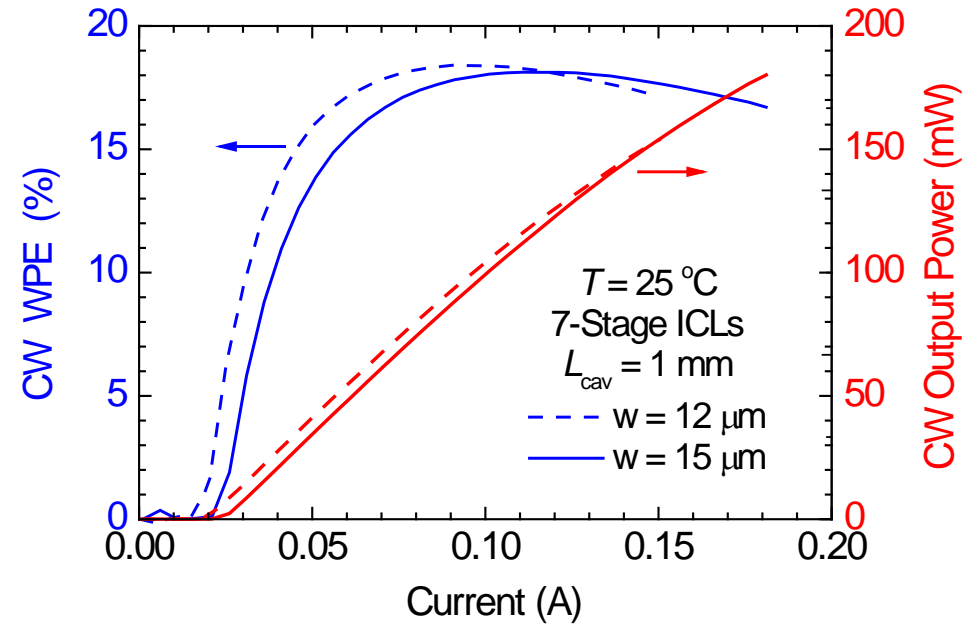
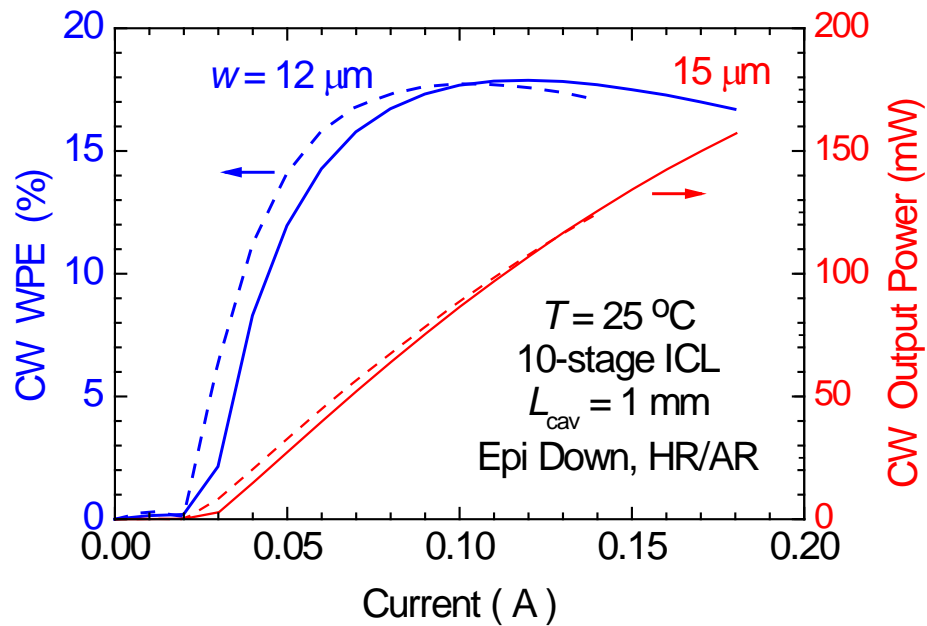
RECORD ICL WALLPLUG EFFICIENCIES

With shorter (1 mm) cavity & straight sidewalls:

$\lambda \approx 3.4 \mu\text{m}$

10-Stage

7-Stage



CW WPEs for 4 devices from 2 wafers (7-Stage & 10-Stage): 17.8-18.4%



QCL vs. ICL

- Older QCLs much more mature & widely studied
- $\lambda = 3\text{-}4\ \mu\text{m}$: ICLs generally preferred
 - QCLs now produce $P_{\text{max}}^{\text{cw}} > 1\ \text{W}$ @ RT, but with higher threshold & lower efficiency
- $\lambda = 4\text{-}6\ \mu\text{m}$: QCL sweet spot for high power (Up to 5 W cw demonstrated)
 - But ICL still preferred in applications requiring low power from ultra-compact battery-operated package (most laser spectroscopy)
- $\lambda = 2.5\text{-}15\ \mu\text{m}$ LEDs: Only ICLs suitable for top emission
- $\lambda = 6\text{-}150\ \mu\text{m}$ Lasers: QCLs the *only* option (ICL loss too high)
- **Conclusion: QCLs & ICLs more complementary than competitive**

