

Approximating Text-to-Pattern Hamming Distances

Yonggang Jiang

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Problem

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- Pattern string P and text string T with $|P| = m, |T| = n$.

Outputs:

- An $1 + \epsilon$ approximation of hamming distance between P and **any** size m substring of T .

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- $n = \Theta(m)$.
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Goal: Running time $O(n \log^{1.5} n)$.

Approximate Hamming Distance $HD(X, Y)$

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Recall $\tilde{O}(m/k)$ algorithm: Sample $i \in [m]$ for $\Theta\left(\frac{m}{k}\right)$ times, and count how many times we have $X[i] \neq Y[i]$.

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Too slow since we have $\Theta(n)$ instances! Idea: sample many positions at one time.

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- 2 Independently uniformly sample $B_1, B_2, \dots, B_c \subseteq [p]$ with $|B_i| = \log m$. Compute

$$x_i := h\left(\bigodot_{j \bmod p \in B_i} X[j]\right)$$

$$y_i = h\left(\bigodot_{j \bmod p \in B_i} Y[j]\right)$$

for each i . (Here $h(S)$ is the polynomial rolling hashing $\sum S[i] \cdot rand^i \bmod m^c$.)

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- 3 Let d as the number of i that $x_i \neq y_i$. Return

$$f(d) = p \cdot \left(1 - \left(1 - \frac{d}{c}\right)^{\frac{1}{\log m}}\right)$$

What to approximate

$$M := \{i \in [m] \mid X[i] \neq Y[i]\}$$

Instead we pick an appropriate parameter p and approximate

$$M' := \{b \in [p] \mid \bigodot_{i \bmod p=b} X[i] \neq \bigodot_{i \bmod p=b} Y[i]\}$$

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The following happens with large constant probability.

- With random prime p in $[ck \log m, 2ck \log m]$, we have $|M'| \approx |M|$.
- $f(d) \approx |M'|$.

$$|M| \approx |M'|$$

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 M' &:= \{b \in [p] \mid \bigodot_{i \bmod p=b} X[i] \neq \bigodot_{i \bmod p=b} Y[i]\} \\
 &= \{i \bmod p \mid i \in M\}
 \end{aligned}$$

p is a random prime from $[\hat{p}, 2\hat{p}]$ where $\hat{p} = ck \log m$.

Trivial fact: $|M'| \leq |M|$.

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Proof.

$$|M| - |M'| \leq \#\{i, j \in M \mid |i - j| \bmod p = 0\}$$

$$\mathbb{E}[|M'| - |M|] \leq |M|^2 \cdot \frac{\log_{\hat{p}} m}{\hat{p} / \log \hat{p}} = O(|M|/c)$$

Markov's inequality: $\Pr[|M'| - |M| \geq \epsilon|M|] \leq O(1/\epsilon c)$



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- Use the following lemma

Lemma

If the absolute derivative of $\log f(2^x)$ is bounded by c , and $(1 - \epsilon)y < x < (1 + \epsilon)y$, then $(1 - 2c\epsilon')f(y) < f(x) < (1 + c\epsilon')f(y)$.

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Further facts: If k is too large, $E[d]/c$ will be too close to 0; if k is too small, $E[d]/c$ will be too close to 1: we can distinguish the cases where $k \gg |M|$ or $k \ll |M|$.

Compute

$$h \left(\begin{array}{c} \bigcirc \cdot \\ j \bmod p \in B, j \in [m] \end{array} P[j] \right)$$

$$h \left(\begin{array}{c} \bigcirc \cdot \\ j \bmod p \in B, j \in [m] \end{array} T[i+j] \right)$$

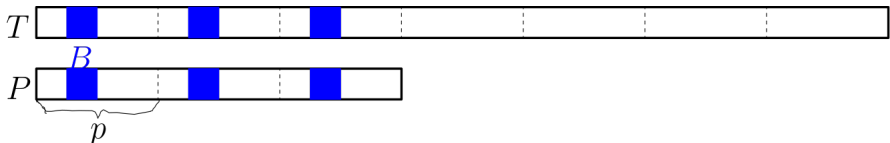
For any i .

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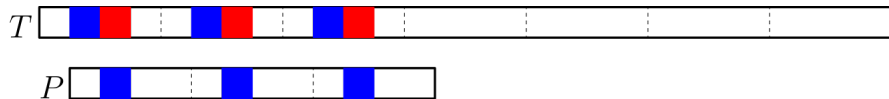
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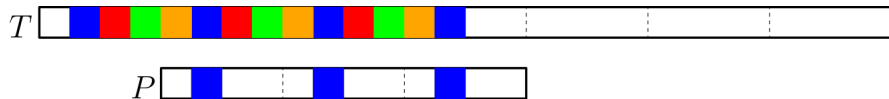
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Given a string S with $|S| = n/p$, computing $h(S')$ for any fixed length substring S' of S cost $O(|S|) = O(n/p)$ running time.

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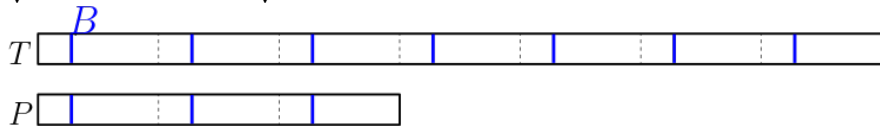
$$O\left(\frac{m}{p} \cdot |B|\right) = O(n/k) \text{ for } P.$$

Improve running time

\sqrt{p} colors in T and \sqrt{p} colors in P .

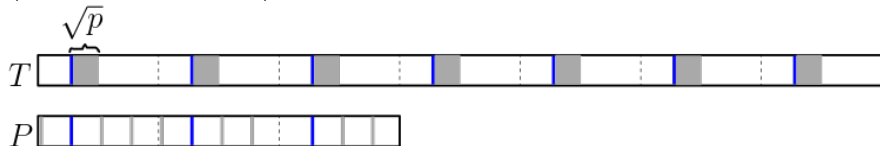
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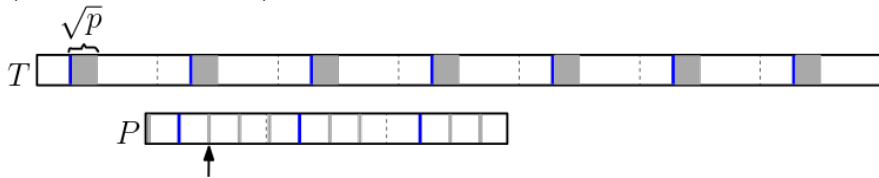
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Colors $B + (1 \dots \sqrt{p})$ in T and colors $B + (1 \dots \sqrt{p}) \cdot \sqrt{p}$ in P .

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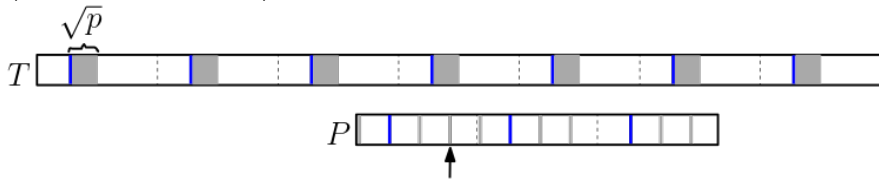
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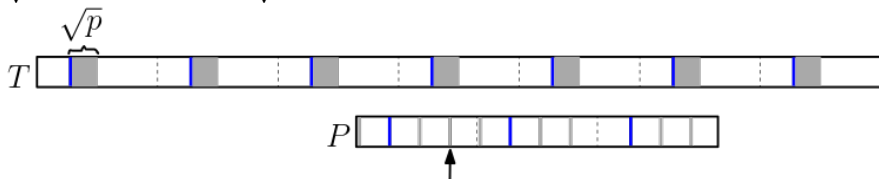
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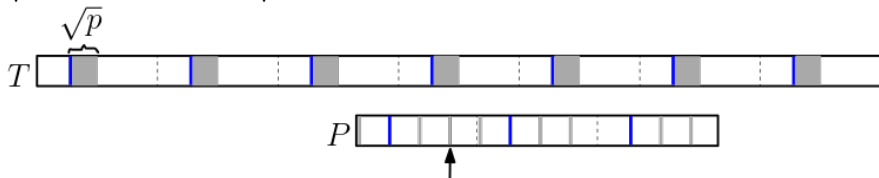
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$$O\left(\frac{n}{p} \cdot \sqrt{p} \cdot |B|\right) = O(n\sqrt{\log n}/\sqrt{k}) \text{ for } T.$$

$$O\left(\frac{m}{p} \cdot \sqrt{p} \cdot |B|\right) = O(n\sqrt{\log n}/\sqrt{k}) \text{ for } P.$$

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Correctness: uniform random B (blue line) \Rightarrow for any position of P , uniform random alignment.

Algorithm

For each $k = 2^i$ where $i \in [\log m]$ do:

- 1 Let p be a random prime from $\hat{p}, 2\hat{p}$ where $\hat{p} = \Theta(k \log m)$.
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 $O \left(\frac{m}{p} \cdot \frac{p}{k} \cdot \sqrt{p} \right) = O \left(\frac{m\sqrt{p}}{k} \right) = O \left(m\sqrt{\log m} / \sqrt{k} \right);$
 $h \left(\odot_{k+i-u \bmod p \in B, k \in [m]} T[i+k-1] \right)$ for any sampled B , any shift $u \in [\sqrt{p}]$ and for any i
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- 4 For any i , let the number of B that
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 $\tilde{d}_i = f^{-1}(d_i)$. If \tilde{d}_i/c is not too closer to 0 or 1, then let $f(\tilde{d}_i)$
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For any i :

- Binary search for k :

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For any i and any k , the algorithm returns a correct estimation of $HD(P, T[i, \dots, i + m - 1])$, or correctly determine whether k is too large or too small with large constant probability.

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Total running time

$$\begin{aligned} O(\log n) \cdot \left(\sum_{k \in [\log m]} O\left(\frac{m\sqrt{\log m}}{\sqrt{k}}\right) + \log \log n \cdot O(n) \right) \\ = O(n \log^{1.5} n) \end{aligned}$$