

Problem 1:

(a) Prove by contradiction.

① Suppose  $\phi$  is complete for P with respect to poly-time reductions. let  $L = \emptyset$ .  $L' = \{0,1\}^*$ , from the problem, we know  $L \in P$  and  $L' \in P$ .

$\Rightarrow L' \leq_P L \Rightarrow$  there exists a poly-time computable function  $f$ , s.t. for all  $x \in \{0,1\}^*$ ,

$$x \in L' \text{ iff } f(x) \in L$$

$\Rightarrow$  Notice  $L' = \{0,1\}^*$ ,  $x \in L'$  is always true  $\Rightarrow f(x) \in L$  is always true

$\Rightarrow$  contradiction, since  $L = \emptyset$

② Suppose  $\{0,1\}^*$  is complete for P with respect to poly-time reductions. let  $L = \{0,1\}^*$ ,

$$L' = \emptyset$$

$\Rightarrow L' \leq_P L \Rightarrow$  existing a poly-time function  $f'$ , s.t. for all  $x \in \{0,1\}^*$ ,

$$x \in L' \text{ iff } f'(x) \in L$$

$\Rightarrow$  Notice  $x \in L'$  is always false  $\Rightarrow f'(x) \in L$  is false

$\Rightarrow$  contradiction, since  $L = \{0,1\}^*$ ,  $f'(x) \in L$  must be true.

③ Consider a language  $L \in P$  which is neither  $\phi$  nor  $\{0,1\}^*$ , and  $x_1 \in L$ ,  $x_2 \notin L$ .

For all  $L' \in P$ , we have a reduction function

$$f(x) = \begin{cases} x_1 & x \in L' \\ x_2 & x \notin L' \end{cases} \quad \text{for all } x \in \{0,1\}^*$$

Notice  $L' \in P$ ,  $f(x)$  can be computed in polynomial time. And  $x \in L' \text{ iff } f(x) \in L$

$\Rightarrow L' \leq_P L \Rightarrow L$  is complete for P with respect to poly-time reductions

①②③  $\Rightarrow$  proved

(b) L is complete for NP  $\Leftrightarrow L \in \text{NP}$ ,  $L' \leq_P L$  for all  $L' \in \text{NP} \Rightarrow$  there exists a poly-time reduction function  $f$ , s.t. for all  $x \in \{0,1\}^*$ ,  $x \in L' \text{ iff } f(x) \in L$

$\Leftrightarrow x \notin L' \text{ iff } f(x) \notin L$

$\Leftrightarrow x \in \overline{L}' \text{ iff } f(x) \in \overline{L}$

since  $L, L' \in \text{NP} \Rightarrow \overline{L}, \overline{L}' \in \text{co-NP}$ , so we have  $\overline{L}' \leq_P \overline{L}$  for all  $\overline{L}' \in \text{co-NP}$

$\Rightarrow \overline{L}$  is complete for co-NP

Problem 2.

(a) Suppose the boolean formula is  $\wedge[i=0 \dots 2n]$ , where  $\wedge[i] \in T, F$  when  $i$  is even, and  $\wedge[i]$  is boolean operators when  $i$  is odd. Let the known alg. which can decide boolean formula satisfiability be  $\text{DECIDE}(s)$ , where  $s$  is a boolean formula. The alg will return True if  $s$  is satisfiable. Otherwise it will return False.

alg.  $i = 0$   
while  $i \leq 2n - 2$ :

assign boolean value to  $\wedge[i]$  according to  $\wedge[i+1]$  and  $\text{DECIDE}(\wedge[i+2, \dots, 2n])$ .

$i = i + 2$

if  $\text{DECIDE} \leftarrow$

alg. target = True

$i = 0$

while  $i \leq 2n - 2$ :

if  $\text{DECIDE}(\wedge[i+2, \dots, 2n]) == \text{True}$ ,

assign boolean value to  $\wedge[i]$  st.  $\wedge[i]/\wedge[i+1]T = \text{target}$

target = True

else,

assign boolean value to  $\wedge[i]$  st.  $\wedge[i]/\wedge[i+1]F = \text{target}$

target = False

$i = i + 2$

$\wedge[2n] = \text{target}$

Suppose the time-complexity of  $\text{DECIDE}$  is  $O(n^k)$ . the total time is  $n * O(n^k) = O(n^{k+1})$ , which is polynomial.

(b) For a clause in a boolean formula  $(X \vee Y)$ , there must be at least one variable be True in  $X$  and  $Y$ , i.e. if  $X(Y)$  is False,  $Y(X)$  must be True.

Construct a directed graph as following : suppose there are totally  $n$  boolean variables  $x_1, x_2, \dots, x_n$ , allocate  $2n$  vertices corresponding to  $x_1, \neg x_1, x_2, \neg x_2, \dots, x_n, \neg x_n$ . For each clause in the formula, add edges  $(\neg m, n)$  and  $(\neg n, m)$  to the directed graph.  
( $m \vee n$ )

If  $m = \text{True}$ , then  $n$  can be either False or True. If  $m = \text{False}$ ,  $n$  must be True.

~~So for each edge  $(u,v)$  in the graph, there are only three conditions~~

So for each edge  $(u,v)$  in the graph, there are only three conditions with respect to the boolean value: (True, True), (False, False), (False, True).

We have the following deduction.

The formula is unsatisfiable iff

- 1° there exists a path from  $x_i$  to  $\neg x_i$
- 2° there exists a path from  $\neg x_i$  to  $x_i$

Proof. Considering an assignment of  $x_1, x_2, \dots, x_n$ , and there is a path from  $x_i$  to  $\neg x_i$  and  $\neg x_i$  to  $x_i$ .

- 1° if  $x_i = \text{True}$ , from the above conclusion, the vertices along the path must be True as well

$$\Rightarrow \neg x_i = \text{True}$$

$\Rightarrow$  contradiction

- 2° if  $x_i = \text{False}$ , considering the path from  $\neg x_i$  to  $x_i$ , we can ~~prove~~ find contradiction just as the former part

$\Rightarrow$  Valid assignment does not exist if there are paths from  $x_i$  to  $\neg x_i$  and  $\neg x_i$  to  $x_i$

alg. Build a directed graph according to the formula

for  $i=1$  to  $n$ :

use BFS to find if there is a path from  $x_i$  to  $\neg x_i$

use BFS to find if there is a path from  $\neg x_i$  to  $x_i$

if find path from  $x_i \rightarrow \neg x_i$  and  $\neg x_i \rightarrow x_i$ :

return False

return ~~False~~ True

time complexity is  $2n \cdot O(h^2) = O(nh^2)$ , which is polynomial time

problem 3.

① 0-1 integer programming  $\in$  NP.

- Every integer n-vector  $x$  with elements in the set {0, 1} can be a certificate
- matrix  $A$  multiplies  $x$  takes  $O(mn)$  time, so ~~can't~~ 'yes' instances can be verified in polynomial time.

② 0-1 integer programming  $\in$  NP-hard.

Try to show  $3-SAT \leq_p$  0-1 integer programming.

Considering a CNF formula  $\phi$  of  $n$  variables and  $m$  clauses, we construct  $A$  and  $b$  in the following:

Fixing  $n$  boolean variables  $a_1, a_2, \dots, a_n$ .

$$\Rightarrow A[i][j] = \begin{cases} 0 & \text{the } i^{\text{th}} \text{ clause doesn't contain } a_j \text{ or } \neg a_j \\ -1 & a_j \text{ is in the } i^{\text{th}} \text{ clause} \\ 1 & \neg a_j \text{ is in the } i^{\text{th}} \text{ clause} \end{cases}, \quad 1 \leq i \leq m, 1 \leq j \leq n$$

$$b[i] = -1 + \sum_{j=1}^n \max(0, A[i][j])$$

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Now prove there exists an integer n-vector  $x$  s.t.  $Ax \leq b \Leftrightarrow \phi$  is satisfiable.

Considering vector  $x$ :

$$x[j] = \begin{cases} 1 & a_j \text{ is assigned True} \\ 0 & a_j \text{ is assigned False} \end{cases}, \quad 1 \leq j \leq n$$

$\Rightarrow$ : Considering the  $i^{\text{th}}$  clause,  $y[i] = \sum_{j=1}^n A[i][j] \cdot x[j]$

$y = Ax$

$$= \text{num of } ' \rightarrow \text{True}' + (-\text{num of } ' \rightarrow \text{False}' )$$

$$b[i] = -1 + \text{num of } ' \rightarrow \text{True}' \text{ in } i^{\text{th}} \text{ clause}$$

in  $i^{\text{th}}$  clause

in  $i^{\text{th}}$  clause

$Ax \leq b \Rightarrow$  in the  $i^{\text{th}}$  clause : num of ' $\rightarrow$  True' - num of ' $\rightarrow$  False'  $\leq -1 + \text{num of } ' \rightarrow \text{True}'$

$$\Rightarrow -\text{num of } ' \rightarrow \text{True}' - (\text{num of } ' \rightarrow \text{True}' - \text{num of } ' \rightarrow \text{False}') \leq -1$$

$$\Rightarrow -\text{num of } ' \rightarrow \text{True}' - \text{num of } ' \rightarrow \text{False}' \leq -1$$

$$\Rightarrow \text{num of } ' \rightarrow \text{True}' + \text{num of } ' \rightarrow \text{False}' \geq 1$$

$\Rightarrow$   $i^{\text{th}}$  clause is True ( $1 \leq i \leq m$ )  $\Rightarrow \phi$  is satisfiable

2° " $\Leftarrow$ ",  $\phi$  is satisfiable  $\Rightarrow \forall$  clause  $\in \phi$  is True  $\Rightarrow$  num of literals which is True

Notice num of literals which is True = num of 'True' + num of ' $\neg$ False'  $\geq 1$

Considering the  $i^{\text{th}}$  clause,

$$\text{num of 'True'} + \text{num of ' $\neg$ False'}$$

$$= \text{num of 'True'} + (\text{num of ' $\neg$ '} - \text{num of ' $\neg$ True'})$$

$$= \text{num of 'True'} - \text{num of ' $\neg$ True'} + \text{num of ' $\neg$ '} \geq 1$$

$$\Rightarrow -\text{num of 'True'} + \text{num of ' $\neg$ True'} \leq -1 + \text{num of ' $\neg$ '}$$

$$\Rightarrow \sum_{j=1}^n \lambda[i][l_j] \cdot x[l_j] \leq b[i] \quad (1 \leq i \leq m)$$

$$\Rightarrow Ax \leq b$$

Problem 4:

D

(a) Split each  $v \in V$  into two vertices, and the edge capacity between them is  $l(v)$ .

a) for each  $v \in V$ :

for each  $(u, v) \in E$ :

$$c(u, x) = c(u, v)$$

for each  $(v, w) \in E$ :

$$c(y, w) = c(v, w)$$

$$c(x, y) = l(v)$$

$$V' = \emptyset, E' = \emptyset$$

for each  $v_i \in V$ ,

for each  $(v_j, v_i) \in E$ :

if  $(y_j, x_i) \notin E'$ :

$$c(y_j, x_i) = c(v_j, v_i)$$

$$E' = E' \cup \{(y_j, x_i)\}$$

for each  $(v_i, v_k) \in E$ :

if  $(y_i, x_k) \notin E'$ :

$$c(y_i, x_k) = c(v_i, v_k)$$

$$E' = E' \cup \{(y_i, x_k)\}$$

$$c(x_i, y_i) = l(v_i)$$

$$E' = E' \cup \{(x_i, y_i)\}$$

$$V' = V' \cup \{x_i, y_i\}$$

$\Rightarrow$  vertices:  $2|V|$

edges:  $|E| + |V|$

(b) From the definition of flow network, each vertex lies on some path from the source to the sink

$\Rightarrow \Sigma$  contains a path  $s \rightsquigarrow v \rightsquigarrow t$

Notice edge  $f$  contains edge  $(v, s)$  entering  $s$   
 $\Rightarrow f$  must contain a cycle, and  $s, v$  are both in it, i.e.  $s \xleftarrow{v} u \xrightarrow{t}$

$\Rightarrow$  reduce the flow value of each edge in that cycle by 1 the new flow is  $f'$ .

Proof: 1°  $f(v, s) = 1 \Rightarrow f(v, s) - 1 = 0 \Rightarrow f'(v, s) = 0$  by 1

$$2° |f'| = \sum_{u \in v} f'(s, u) - f'(v, s) = \left( \sum_{u \in v} f(s, u) - 1 \right) - 0 = \sum_{u \in v} f(s, u) - f(v, s) = |f|$$

alg. Use DFS to find a cycle which is in  $f$  and contains  $s, v$   
 reduce the flow value of each edge in the cycle by 1  
 the new flow is  $f'$

time complexity.  $O(|E|)$

(c) A path in  $G'$  from  $s \rightarrow t$  is ' $s \rightarrow$  one vertex in  $L \rightarrow$  one vertex in  $R \rightarrow t$ ',  
 and there are edges from  $L$  to  $R$  in  $G'$ .

Considering the residual network  $G'_f$ , there are edges from  $L$  to  $R$  and edges from  $R$  to  $L$  in  $G'_f$ . To find the upper bound on the length of any augmenting path,  
 we should make an augmenting path travel between  $L$  and  $R$  as many as possible.

Suppose there are  $n$  vertices in  $L: l_1, l_2, \dots, l_n$

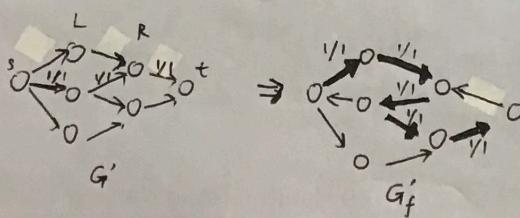
$m$  vertices in  $R: r_1, r_2, \dots, r_m$  ( $m \leq n$ )

path is:  $s \rightarrow l_1 \rightarrow r_1 \rightarrow l_2 \rightarrow r_2 \rightarrow \dots \rightarrow l_m \rightarrow r_m \rightarrow t$ .

$\Rightarrow$  length is  $2m + 1$ .

Generally, length is  $2 \cdot \min\{|L|, |R|\} + 1$

example:



Problem 5:

alg. Construct a connected graph  $G' = (V', E')$ , where  
 $V' = V$ ,  $E' = \{(u, v), (v, u) \mid \text{for each } (u, v) \in E\}$ ,  $c_{(u, v)} = 1$  for each  $(u, v) \in E$   
 connectivity =  $\infty$   
 for each  $v_i \in V'$ :

set  $v_i$  to be the target and an arbitrary vertex  $u \neq v_i$  be the source.

$f_i = \text{EdmondsKarpMaxFlow}(G', u, v_i)$

connectivity =  $\min\{\text{connectivity}, f_i\}$

return connectivity

Correctness: Find the minimum number of edges that must be removed to disconnect the graph

$\Leftrightarrow$  Find the minimum number of edges that must be removed to isolate a vertex

$\Leftrightarrow$  Find the min-cut of the flow network where the source and target are determined as the alg.

$\Leftrightarrow$  Find the max-flow

$G = (V, E)$ :

Problem 6:

1° 首先构造一个 bipartite graph  $V = L \cup R$ , where  $L = \{s_1, s_2, \dots, s_n\}$ ,

$R = \{d_1, d_2, \dots, d_k\}$ . Mention that  $s_i \in L$  represents doctor  $i$ 's vacation days  $S_i$ ,  $d_j \in R$  represents the  $j$ th vacation period  $D_j$ .

$E = \{(s_i, d_j) \mid s_i \in L, d_j \in R\}$ .  $S_i$  include certain days from vacation period  $D_j$

2° Now consider corresponding flow network  $G'$  of  $G$  with source vertex  $s$  and target vertex  $t$ , i.e.  $G' = (V', E')$ , where  $V' = \{s, t\} \cup V$ ,  $E' = \{(s, s_i) \mid 1 \leq i \leq n\} \cup \{(d_j, t) \mid 1 \leq j \leq k\} \cup$

and the capacity of  $(s, s_i)$  is  $\min\{|S_i|, c\}$ ,  $1 \leq i \leq n$ ;

the capacity of  $(s_i, d_j) \in E$  is 1;

the capacity of  $(d_j, t)$  is  $|D_j|$ ,  $1 \leq j \leq k$ .

3° We just need to find the max flow of  $G'$ , which we write as  $f$ . if  $|f| = \sum_j |D_j|$   
 return True. Otherwise, return False.

Problem 7:

- (a) if ~~the~~ the capacity of one edge is increased by 1  
⇒ value of the max flow may remain unchanged or strictly increased by 1  
⇒ we just need to modify based on the current maximum flow, since no other flow can be greater than current flow even if ~~the~~ capacity of one edge is increased by 1

⇒  
alg. Construct residual network  $G_f$  of  $G$  after capacity of  $e$  is increased by 1  
while (there is an augmenting path  $p$  in  $G_f$ )  
    Augment flow  $f$  along the shortest path  $p$   
    return  $f$

Time complexity:

- Notice the value of flow can only increase by 1 at most  
⇒ the while-loop will be executed once at most  
⇒ Finding an augmenting path cost  $O(|V|+|E|)$  time  
⇒ Time complexity is  $O(|V|+|E|)$

(b) Suppose the capacity of  $e$  is  $c(e)$ , then  $c'(e) = c(e)-1$  after the decrements  
if  $f(e) = c(e)$ , //  $f(e) = 0$  if edge  $e$  is not in the flow

use BFS to find a path  $p$  in  $G$  from  $s \rightarrow t$  containing  $e$

for edge  $e'$  in  $p$ :

if  $f(e') \neq 0$ :

$$f(e') = f(e') - 1$$

construct residual network  $G_f$  of  $G$

while (there is an augmenting path in  $G_f$ ):

    Augment flow  $f$  along the shortest path  
    return  $f$