



Swapnil Dutta

Supervisor (GFCC) – Brand Risk Management

#409, 4th Floor, SJR Residency, Devarabisanahalli, Bangalore – 560 103

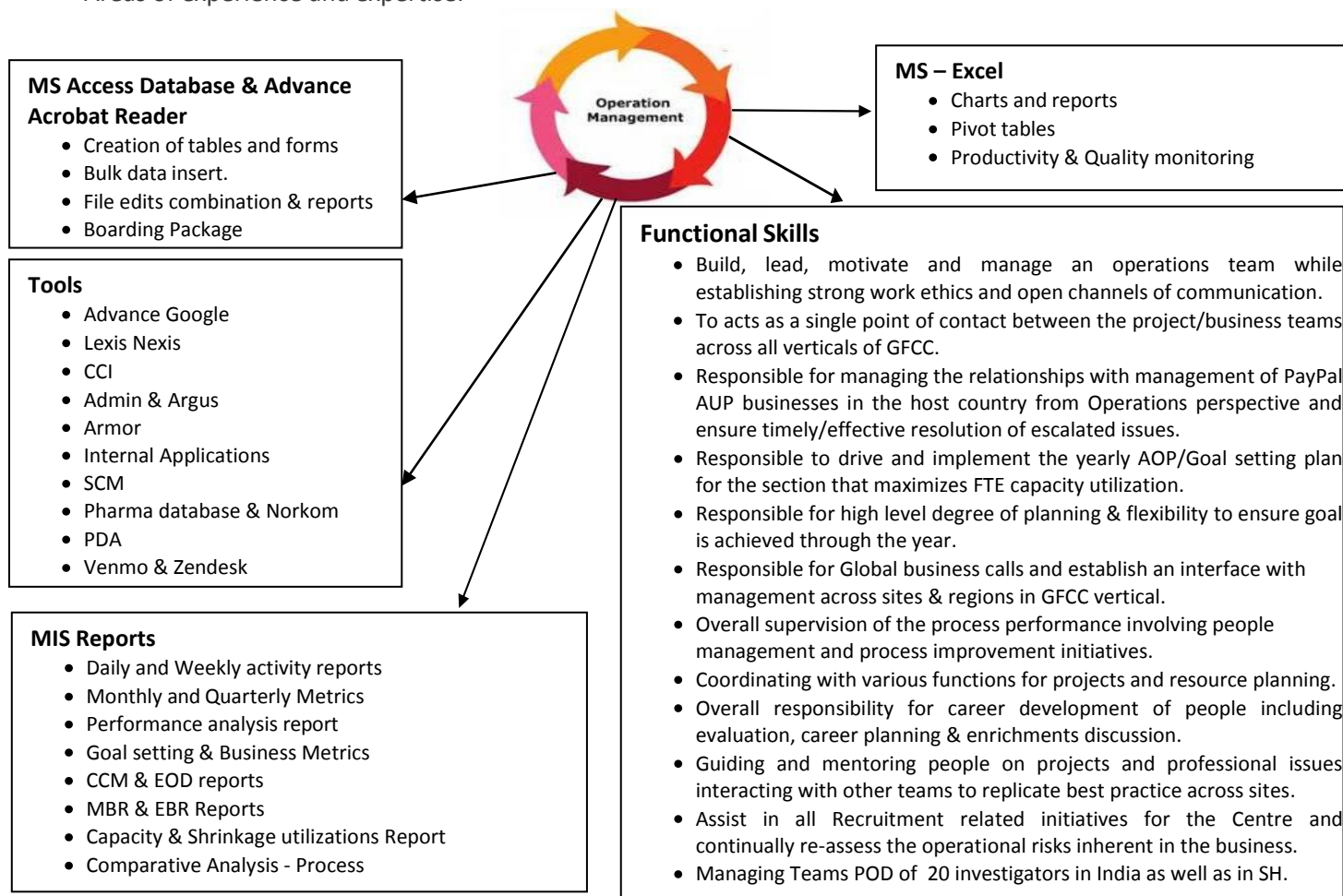
+91 9686427175 || neel.dutta@hotmail.com
"Change is the way to Survive"

SUMMARY:

Dynamic results oriented professional with experience in Risk & Compliance. Effective team player; Confident and poised in interaction with individuals at all levels. Detail-oriented and resourceful in completion of tasks with the ability to multi-task effectively.

WORK EXPERIENCE:

- Full-time work over all experience of 8+ Years & presently 2+ years in **BRM** with **PAYPAL INDIA**.
- Areas of experience and expertise:



COMPETENCIES:

Communication Skills, Problem Solving, Investigations, Team handling, Coaching, Mentoring, Peer Support

HIGHLIGHTS:

- 1st Global Shoutout Award in BRM Bangalore.
- Reserve Bank of India – Jump Award for IDP Track in SWARNI -Tech sprint 2022 Digital India Progress.

PREVIOUS EXPERIENCE:

| Company Name | Role | Responsibilities |
|-----------------------------------|----------------------------------|---|
| JPMorgan & Chase Co. | SOA | <ul style="list-style-type: none">• Accurately and thoroughly assessing investigator quality and leveraging best practices to provide coaching and constructive feedback to investigators to ensure high quality decisions and appropriate review of potential suspicious activity.• Applying analytical techniques to complex information sets to make logical and effectively supported recommendations on cases.• Using the best coaching and mentoring practices to ensure success in the following daily efforts.• Conducting an end-to-end and meticulous review of potential illegal or suspicious activity, or activity otherwise in violation of company policies.• Completing a time-sensitive and meticulous analysis of each instance of potential Anti-Money Laundering activity and/or suspicious financial activity across multiple jurisdictions and countries.• Assessment of customer profiles and large amounts of data to ensure normal and expected activity, including Acceptable Use Policy adherence and know Your Customer efforts.• Assessing quality of documented findings in narrative style format, in the manual and automated process case management system and preparing reports to management or coaching plans, as required.• Regularly exercising good judgment and in making decisions or recommendations in relation to case investigations and employee escalations from within your team.• Process Migration including process planning documentation, capacity utilization activities & RCA related activities.• Training, Mentoring, Coaching new hires.• Creating training & Mentoring plans on periodic basis. |
| AXIS BANK LTD | AM – TRANSACTION BANKING (FOREX) | <ul style="list-style-type: none">• Involved in processing of account openings & documentations• Processing of transaction as per KYC and AML norms• Documentation and Processing of Various• TFX Transactions – Buyers & Seller Part.• Assessing the requirement & implementing KYC project (Know Your Customer) for meeting the requirements on time.• Documentation and Processing of LG & BG – Corporate Clients• Account opening and Transaction KYC for Corporate and Retail Clients.• Periodic review of KYC depending on the customer Ratings• Handling service and time to time updating clients as per RBI norms related to Current accounts and CMS• Processing of transaction as per KYC and AML norms• Competitor and Market analysis for Competitive rates• Resolved all queries from C & IB, Branches – Forex Trade & Documents• Managing of Huge Base Portfolio Customers on Corporate needs – Trade Transaction. |
| Gopalan Enterprises India Limited | Sr. Executive | <ul style="list-style-type: none">• FFP preparation and Liaising• Preparation of Leasing documents• Valuation of Commercial and retail projects• Compliance Documentation• Preparation of leasing Agreement and MOU |

EDUCATION:

| | SCHOOL/COLLEGE | UNIVERSITY/ BOARD | YEAR | STREAM/DEGREE SPECIALIZATION | SCORE |
|------------|--|-------------------|------|------------------------------|-------|
| MBA + PGPM | Ramaiah Institute of Management Studies, Bangalore | | | | |
| | | Mysore University | 2014 | Marketing | 69% |
| BBA (H) | Techno India Kolkata | | | | |
| | | WBUT | 2012 | General | 7.94 |

PERSONAL DETAILS:

Name : Swapnil Dutta
Father's Name : Manik Ch Dutta
Date of Birth : 4th Apr 1990
Religion : HINDU
Nationality : INDIAN
Languages Known : ENGLISH, HINDI & BENGALI

DECLARATION:

I, hereby declare that all the information given above is true to the best of my knowledge.

Place: Bangalore
Date:

(Swapnil)