

固体物理第六次作业

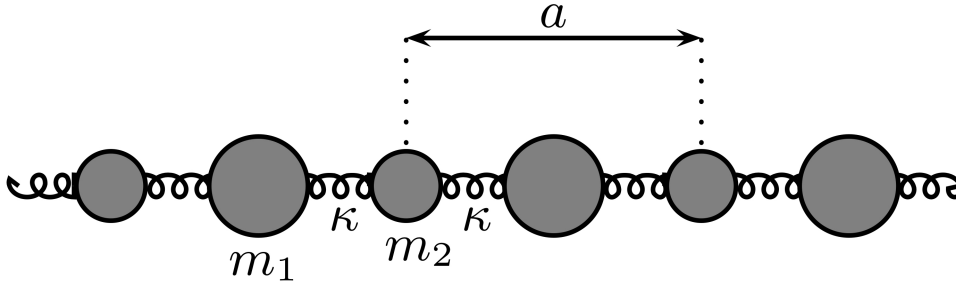
董建宇 2019511017

1 (10.1) Normal modes of a One-Dimensional Diatomic Chain

(a) What is the difference between an acoustic mode and an optical mode.

▷ Describe how particles move in each case.

(b) Derive the dispersion relation for the longitudinal oscillations if a one-dimensional diatomic mass-and-spring crystal where the unit cell is of length a and each unit cell contains one atom of mass m_1 and one atom of mass m_2 connected together by springs with spring constant κ , as shown in the figure (all springs are the same, and motion of particles is in one dimension only).



(c) Determine the frequencies of the acoustic and optical modes at $k = 0$ as well as the Brillouin zone boundary

▷ Describe the motion of the masses in each case (see margin note 4 of this chapter!)

▷ Determine the sound velocity and show that the group velocity is zero at the zone boundary

(d) Sketch the dispersion in both reduced and extended zone scheme.

▷ If there are N unit cells, how many different normal modes are there?

▷ How many *branches* of excitations are there? I.e., in reduced zone scheme, how many modes are there at each k ?

(e) What happens when $m_1 = m_2$?

(a) For the acoustic mode, all atoms in the unit cell move in-phase with each other at $k = 0$, whereas for optical modes they move out of phase with each other at $k = 0$. Generally, an acoustic mode is any mode that has linear dispersion as $k \rightarrow 0$.

即对于声学模式，元胞中所有的原子在 $k = 0$ 时以同相移动，对于光学模式，不同的原子在 $k = 0$ 时以不同的相位移动。

(b) 考虑第 n 个质量为 m_1 的原子与第 n 个质量为 m_2 的原子，牛顿第二定律方程为：

$$m_1 \delta \ddot{x}_n = \kappa (\delta y_n - \delta x_n) + \kappa (\delta y_{n-1} - \delta x_n),$$

$$m_2 \delta \ddot{y}_n = \kappa (\delta x_{n+1} - \delta y_n) + \kappa (\delta x_n - \delta y_n).$$

通解为:

$$\delta x_n = A_x e^{i(\omega t - k n a)}, \delta y_n = A_y e^{i(\omega t - k n a)}.$$

代入方程可得:

$$\begin{aligned} -m_1 \omega^2 A_x &= \kappa(1 + e^{ika}) A_y - 2\kappa A_x, \\ -m_2 \omega^2 A_y &= \kappa(1 + e^{-ika}) A_x - 2\kappa A_y. \end{aligned}$$

关于 A_x, A_y 的方程组有非零解, 则行列式为 0:

$$\begin{vmatrix} m_1 \omega^2 - 2\kappa & \kappa(1 + e^{ika}) \\ \kappa(1 + e^{-ika}) & m_2 \omega^2 - 2\kappa \end{vmatrix} = (m_1 \omega^2 - 2\kappa)(m_2 \omega^2 - 2\kappa) - 4\kappa^2 \cos^2 \frac{ka}{2} = 0.$$

可以解得色散关系为:

$$\omega^2 = \frac{\kappa}{m_1 m_2} (m_1 + m_2 \pm \sqrt{m_1^2 + m_2^2 + 2m_1 m_2 \cos(ka)}).$$

(c) 当 $k = 0$ 时, $\cos(ka) = 1$, 则频率为:

$$\omega_- = 0, \omega_+ = \sqrt{\frac{2\kappa(m_1 + m_2)}{m_1 m_2}}.$$

其中 ω_- 为声学模式频率, ω_+ 为光学模式频率。

在布里渊区边界上时, 有: $\cos(ka) = -1$, 则频率为:

$$\omega_- = \sqrt{\frac{\kappa(m_1 + m_2 - |m_1 - m_2|)}{m_1 m_2}}, \omega_+ = \sqrt{\frac{\kappa(m_1 + m_2 + |m_1 - m_2|)}{m_1 m_2}}.$$

其中 ω_- 为声学模式频率, ω_+ 为光学模式频率。

①当 $k = 0, \omega = \omega_- = 0$ 时, 有 $A_x = A_y$, 即两种质量不同的原子以相同的频率, 相同的振幅运动。

②当 $k = 0, \omega = \omega_+ = \sqrt{\frac{2\kappa(m_1 + m_2)}{m_1 m_2}}$ 时, 有 $A_x = -\frac{m_2}{m_1} A_y$, 即两种质量不同的原子以相反的方向振动, 质量更重的原子振幅较小。

当 $\cos(ka) = -1$ 时, 不妨令 $m_1 < m_2$, 则有: $\omega_- = \sqrt{\frac{2\kappa}{m_2}}, \omega_+ = \sqrt{\frac{2\kappa}{m_1}}.$

③当 $\cos(ka) = -1, \omega = \omega_- = \sqrt{\frac{2\kappa}{m_2}}$ 时, 有 $A_x = 0$, 最近邻两个质量为 m_2 的原子运动方向相反。

④当 $\cos(ka) = -1, \omega = \omega_+ = \sqrt{\frac{2\kappa}{m_1}}$ 时, 有 $A_y = 0$, 最近邻两个质量为 m_1 的原子运动方向相反。

声速为:

$$v_{\text{sound}} = \lim_{k \rightarrow 0} \frac{d\omega_-}{dk} = \sqrt{\frac{a^2 \kappa}{2(m_1 + m_2)}}.$$

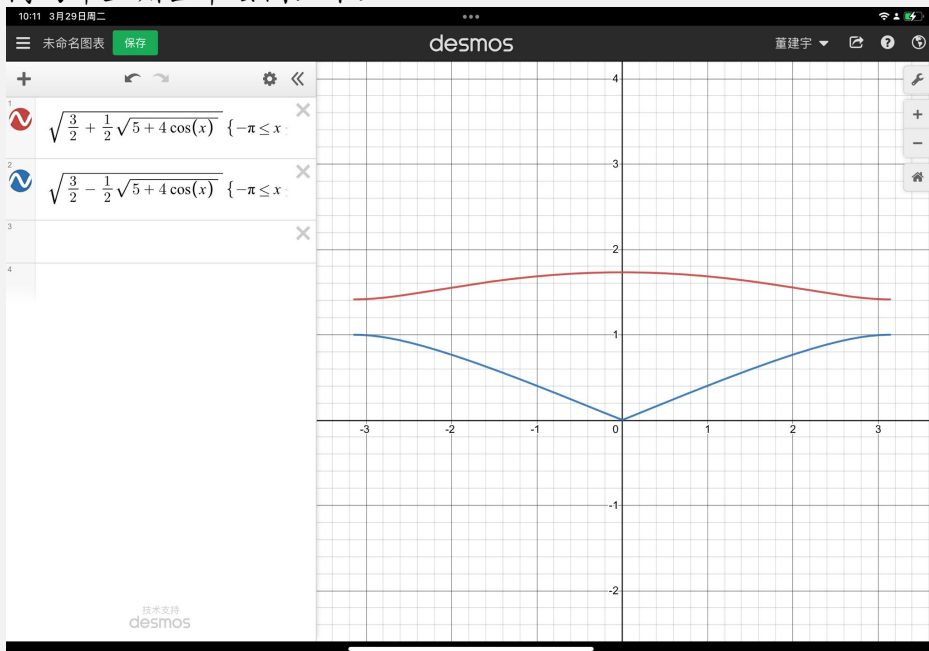
由于群速度包涵 $\sin(ka)$ 因子, 则在布里渊区边界处有 $v_{\text{group}} = 0$ 。

原子链密度可以写为: $\rho = \frac{m_1 + m_2}{a}$, 压缩系数满足: $\frac{1}{\beta} = -L \frac{\partial F}{\partial L} = 2Na \frac{\kappa a/2}{2Na} = \frac{\kappa a}{2}$ 。则有:

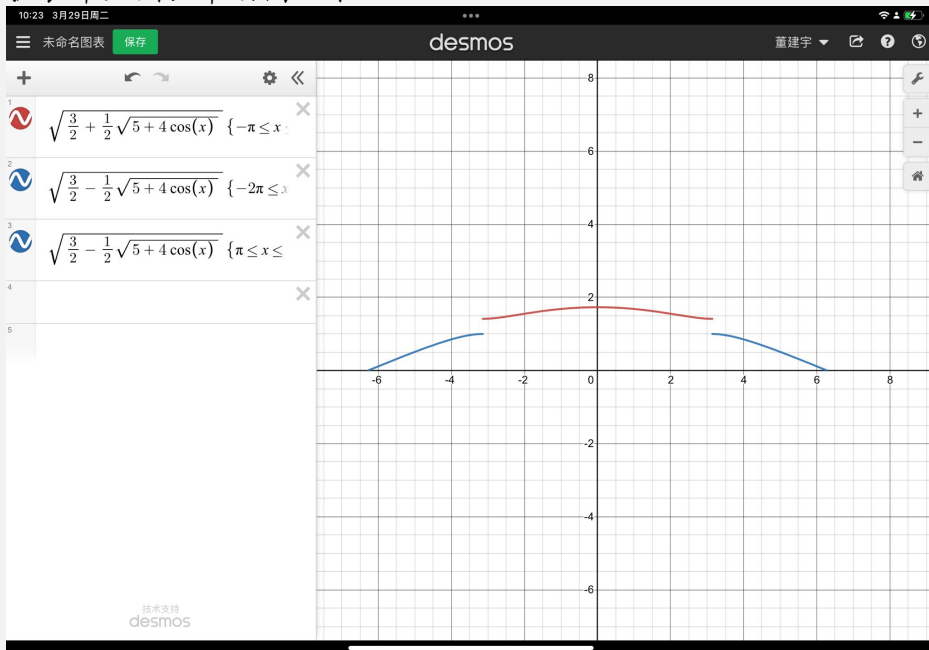
$$\rho \beta = \frac{2(m_1 + m_2)}{\kappa a^2} = v_s^{-2}.$$

(d) 令 $m_2 = 2m_1 = 2m$, $x = ka$, 则纵坐标为 $\omega/\sqrt{\kappa/m}$.

简约布里渊区中绘图如下:



扩展布里渊区中绘图如下:

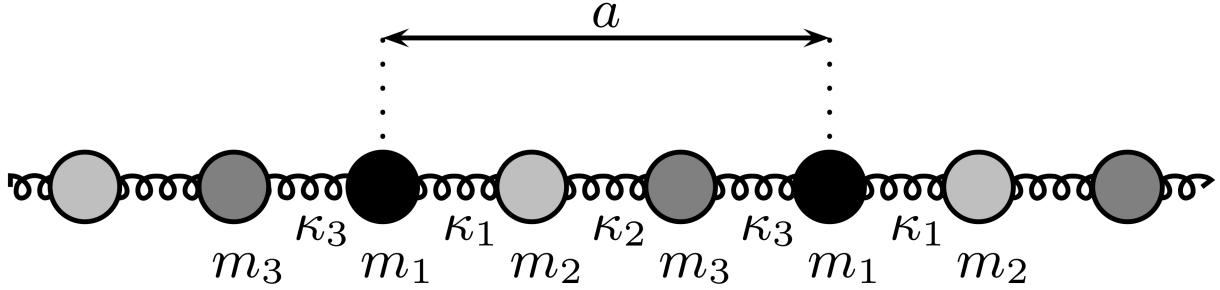


如果有 N 个元胞, 则有 $2N$ 个原子, 即有 $2N$ 个简正模式。在 reduced zone scheme 中, 每一个 k 对应两个模式, 即有 2 个分支。

(e) 当 $m_1 = m_2$ 时, 系统变为相同原子的一位原子链, 晶格常数变为 $a/2$ 。布里渊区的宽度变为 2 倍。同时, 布里渊区边界处的间隙消失, 两个分支变为单个分支。

2 (10.5) Triatomic Chain

Consider a mass-and-spring model with three different masses and three different springs per unit cell as shown in this diagram.



As usual, assume that the masses move only in one dimension.

- (a) At $k = 0$ how many optical modes are there? Calculate the energies of these modes. Hint: you will get a cubic equation. However, you already know one of the roots since it is the energy of the acoustic mode at $k = 0$.
- (b) If all the masses are the same and $\kappa_1 = \kappa_2$ determine the frequencies of all three modes at the zone boundary $k = \pi/a$. You will have a cubic equation, but you should be able to guess one root which corresponds to a particularly simple normal mode.
- (c) If all three spring constants are the same, and $m_1 = m_2$ determine the frequencies of all three modes at the zone boundary $k = \pi/a$. Again you should be able to guess one of the roots.

(a) 当 $k = 0$ 时，有两个光学模式。考虑第 n 个元胞，由牛顿第二定律得：

$$m_1 \delta \ddot{x}_n = \kappa_1 (\delta y_n - \delta x_n) + \kappa_3 (\delta z_{n-1} - \delta x_n),$$

$$m_2 \delta \ddot{y}_n = \kappa_2 (\delta z_n - \delta y_n) + \kappa_1 (\delta x_n - \delta y_n),$$

$$m_3 \delta \ddot{z}_n = \kappa_3 (\delta x_{n+1} - \delta z_n) + \kappa_2 (\delta y_n - \delta z_n).$$

通解为：

$$\delta x_n = A_x e^{i(\omega t - k n a)}, \quad \delta y_n = A_y e^{i(\omega t - k n a)}, \quad \delta z_n = A_z e^{i(\omega t - k n a)}.$$

代入方程可得：

$$(\kappa_1 + \kappa_3 - m_1 \omega^2) A_x - \kappa_1 A_y - \kappa_3 e^{i k a} A_z = 0,$$

$$-\kappa_1 A_x + (\kappa_1 + \kappa_2 - m_2 \omega^2) A_y - \kappa_2 A_z = 0,$$

$$-\kappa_3 e^{-i k a} A_x - \kappa_2 A_y + (\kappa_2 + \kappa_3 - m_3 \omega^2) A_z = 0.$$

方程组存在非零解，则有：

$$\begin{vmatrix} \kappa_1 + \kappa_3 - m_1 \omega^2 & -\kappa_1 & -\kappa_3 e^{i k a} \\ -\kappa_1 & \kappa_1 + \kappa_2 - m_2 \omega^2 & -\kappa_2 \\ -\kappa_3 e^{-i k a} & -\kappa_2 & \kappa_2 + \kappa_3 - m_3 \omega^2 \end{vmatrix} = 0.$$

即

$$-m_1m_2m_3\omega^6 + (m_1m_2(\kappa_2 + \kappa_3) + m_1m_3(\kappa_1 + \kappa_2) + m_2m_3(\kappa_1 + \kappa_3))\omega^4 - (m_1 + m_2 + m_3)(\kappa_1\kappa_2 + \kappa_1\kappa_3 + \kappa_2\kappa_3)\omega^2 + 2\kappa_1\kappa_2\kappa_3(1 - \cos(ka)) = 0.$$

当 $k = 0$ 时, 有:

$$-\omega^6 + \left(\frac{\kappa_3 + \kappa_1}{m_1} + \frac{\kappa_1 + \kappa_2}{m_2} + \frac{\kappa_2 + \kappa_3}{m_3} \right) \omega^4 - \frac{(m_1 + m_2 + m_3)(\kappa_1\kappa_2 + \kappa_1\kappa_3 + \kappa_2\kappa_3)}{m_1m_2m_3} \omega^2 = 0.$$

易知对于声学模式有:

$$\omega_{acoustic} = 0$$

则可以解得两个光学模式频率为:

$$\omega_{\pm} = \sqrt{\frac{1}{2}(\alpha \pm \sqrt{\alpha^2 - 4\beta})}.$$

其中

$$\alpha = \frac{\kappa_3 + \kappa_1}{m_1} + \frac{\kappa_1 + \kappa_2}{m_2} + \frac{\kappa_2 + \kappa_3}{m_3},$$

$$\beta = \frac{4(m_1 + m_2 + m_3)(\kappa_1\kappa_2 + \kappa_1\kappa_3 + \kappa_2\kappa_3)}{m_1m_2m_3}.$$

则能量为:

$$E_{\pm} = \hbar\omega_{\pm}.$$

(b) 如果质量全一样, 且 $\kappa_1 = \kappa_2$, 频率满足的方程为:

$$m^3\omega^6 - m^2(4\kappa_1 + 2\kappa_3)\omega^4 + 3m(\kappa_1^2 + 2\kappa_1\kappa_3)\omega^2 - 4\kappa_1^2\kappa_3 = 0.$$

注意到一种振动模式为与两个劲度系数 κ_1 连接的质量为 m_2 的原子保持不动, 与劲度系数为 κ_3 连接的两个原子作为一个整体振动, 且相邻两个元胞中与劲度系数 κ_3 连接的原子运动方向相反。则该振动频率为:

$$\omega_1^2 = \frac{\kappa_1}{m}.$$

则有剩余两种频率满足:

$$\omega^4 - \frac{3\kappa_1 + 2\kappa_3}{m}\omega^2 + \frac{4\kappa_1\kappa_3}{m^2} = 0.$$

则有:

$$\omega_{b,\pm}^2 = \frac{3\kappa_1 + 2\kappa_3 \pm \sqrt{9\kappa_1^2 - 4\kappa_1\kappa_3 + 4\kappa_3^2}}{2m}.$$

(c) 如果劲度系数全一样, 且 $m_1 = m_2$, 频率满足的方程为:

$$m_1^2m_3\omega^6 - \kappa(4m_1m_3 + 2m_1^2)\omega^4 + 3\kappa^2(2m_1 + m_3)\omega^2 - 4\kappa^2 = 0.$$

注意到一种振动模式为质量为 m_3 的原子不动，质量相等的两个相邻原子作为一个整体，且相邻两个元胞中质量为 m_1 的原子振动方向相反。则该振动频率为：

$$\omega_1^2 = \frac{\kappa}{m_1}.$$

则剩余两种频率满足：

$$\omega^4 - \frac{\kappa(2m_1 + 3m_3)}{m_1 m_3} \omega^2 + \frac{4\kappa^2}{m_1 m_3} = 0.$$

则有：

$$\omega_{c,\pm}^2 = \frac{\kappa(2m_1 + 3m_3 \pm \sqrt{4m_1^2 - 4m_1 m_3 + 9m_3^2})}{2m_1 m_3}.$$

3 (11.2) Diatomic Tight Binding Chain

We now generalize the calculation of the previous exercise to a one-dimensional diatomic solid which might look as follows:

$$-A-B-A-B-A-B-$$

Suppose that the onsite energy of type A is different from the onsite energy of type B . I.e., $\langle n | H | n \rangle$ is ϵ_A for n being on a site of type A and is ϵ_B for n being on a site of type B . (All hopping matrix elements $-t$ are still identical to each other.)

- ▷ Calculate the new dispersion relation. (This is extremely similar to Exercise 10.1. If you are stuck, try studying that exercise again.)
- ▷ Sketch this dispersion relation in both the reduced and extended zone schemes.
- ▷ What happens if $\epsilon_A = \epsilon_B$?
- ▷ What happens in the "atomic" limit when t become very small.
- ▷ What is the effective mass of an electron near the bottom of the lower band?
- ▷ If each atom (of either type) is monovalent, is the system a metal or an insulator?
- ▷ Given the results of this exercise, explain why LiF (which has very ionic bonds) is an extremely good insulator.

▷ 记 $|n_A\rangle$ 表示第 n 个元胞中原子 A 的态， $|n_B\rangle$ 表示第 n 个元胞中原子 B 的态，则系统态矢量为：

$$|\Psi\rangle = \sum_n (a_n |n_A\rangle + b_n |n_B\rangle).$$

Hamiltonian 为

$$H = \begin{pmatrix} \epsilon_A & -t & 0 & 0 & \cdots & 0 & -t \\ -t & \epsilon_B & -t & 0 & \cdots & 0 & 0 \\ 0 & -t & \epsilon_A & -t & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & \epsilon_A & -t \\ -t & 0 & 0 & 0 & \cdots & -t & \epsilon_B \end{pmatrix}.$$

则有:

$$Ea_n = \epsilon_A a_n - t(b_n + b_{n-1})$$

$$Eb_n = \epsilon_B b_n - t(a_n + a_{n+1})$$

其中

$$a_n = Ae^{ikna}, \quad b_n = Be^{ikna}.$$

代入方程可得:

$$(\epsilon_A - E)A + t(1 + e^{-ika})B = 0$$

$$t(1 + e^{ika})A + (\epsilon_B - E)B = 0$$

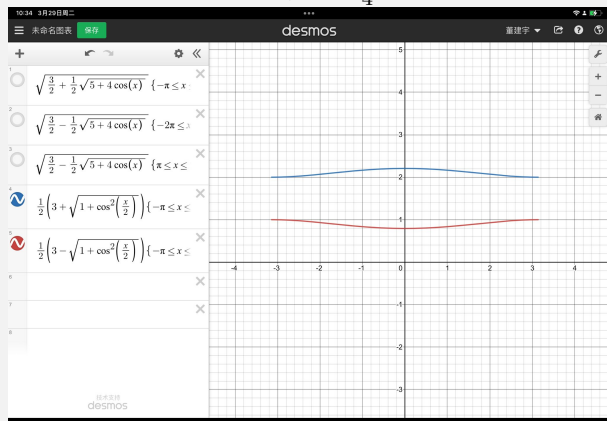
方程组存在非零解, 则有:

$$\begin{vmatrix} \epsilon_A - E & t(1 + e^{-ika}) \\ t(1 + e^{ika}) & \epsilon_B - E \end{vmatrix} = E^2 - (\epsilon_A + \epsilon_B)E + \epsilon_A \epsilon_B - 4t^2 \cos^2(ka/2) = 0.$$

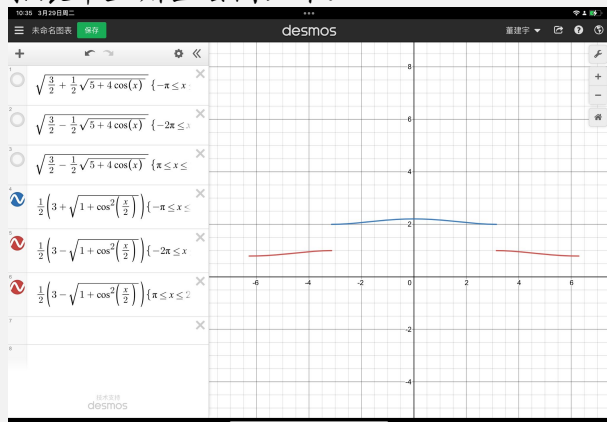
可以解得:

$$E_{\pm} = \frac{1}{2}(\epsilon_A + \epsilon_B \pm \sqrt{(\epsilon_A - \epsilon_B)^2 + 16t^2 \cos^2(ka/2)}).$$

▷ 选取 $\epsilon_A = 2\epsilon_B = 2$, $t = \frac{1}{4}$, 简约布里渊区绘图如下:



拓展布里渊区绘图如下:



▷ 当 $\epsilon_A = \epsilon_B = \epsilon$ 时, 系统变为单原子链, 有:

$$E_{\pm} = \epsilon \pm 2|t \cos(ka/2)|.$$

▷ 当 t 为无穷小量时, E_+ 与 E_- 将变得非常接近。

▷ 计算 $\frac{dE_-}{dk}$ 得:

$$\frac{dE_-}{dk} = \frac{2at^2 \sin(ka)}{\sqrt{(\epsilon_A - \epsilon_B)^2 + 16t^2 \cos^2(ka/2)}}.$$

在 $k \rightarrow 0$ 的极限下, 有:

$$\frac{dE_-}{dk} = \frac{2ka^2t^2}{\sqrt{(\epsilon_A - \epsilon_B)^2 + 16t^2}}.$$

即有:

$$E_- = Constant + \frac{dE_-}{dk}k = Constant + \frac{2k^2a^2t^2}{\sqrt{(\epsilon_A - \epsilon_B)^2 + 16t^2}}.$$

则有:

$$\frac{\hbar^2 k^2}{2m^*} = \frac{2k^2a^2t^2}{\sqrt{(\epsilon_A - \epsilon_B)^2 + 16t^2}}.$$

即有效质量为:

$$m^* = \frac{\hbar^2 \sqrt{(\epsilon_A - \epsilon_B)^2 + 16t^2}}{4a^2t^2}.$$

▷ 如果每个原子都有一个价电子, 那么每个元胞中恰好有两个价电子, 恰好能填满能量较低的能级, 如果 $\epsilon_A = \epsilon_B$, 则只要加电场就可以产生电流, 即为导体; 如果 ϵ_A 与 ϵ_B 相差不大, 则可以消耗一部分能量用于激发, 余下能量可以产生电流, 即为半导体; 如果 ϵ_A 与 ϵ_B 相差很大, 则电子很难跃迁到高能级, 即为绝缘体。

▷ 对于 LiF , Li 电负性很小, 而 F 颠覆性很大, 则有:

$$\epsilon_F \gg \epsilon_{Li}.$$

即 LiF 为绝缘体。

4 (11.4)

(a) Consider an atom with two orbitals, A and B having eigenenergies ϵ_{atomic}^A and ϵ_{atomic}^B . Now suppose we make a one-dimensional chain of such atoms and let us assume that these orbitals remain orthogonal. We imagine hopping amplitudes t_{AA} which allows an electron on orbital A of a given atom to hop to orbital A on the neighboring atom. Similarly we imagine a hopping amplitude t_{BB} that allows an electron on orbital B of a given atom to hop to orbital B on the neighboring atom. (We assume that V_0 , the energy shift of the atomic orbital due to neighboring atoms, is zero).

▷ Calculate and sketch the dispersion of the two resulting bands.

▷ If the atom is diatomic, derive a condition on the quantities $\epsilon_{atomic}^A - \epsilon_{atomic}^B$, as well as t_{AA} and t_{BB} which determines whether the system is a metal or an insulator.

(b) Now suppose that there is in addition a hopping term t_{AB} which allows an electron in one atom in orbital A to hop to orbital B on the neighboring atom (and vice versa). What is the dispersion relation now?

(a) 由于态矢量仍满足正交关系，可分别对 A,B 列方程如下：

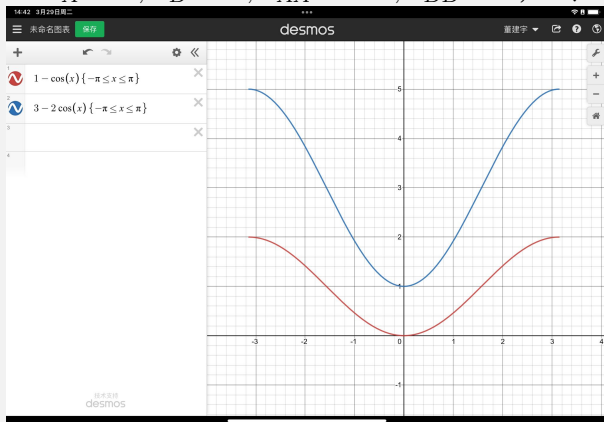
$$\begin{pmatrix} \epsilon_A & -t_{AA} & 0 & \cdots & -t_{AA} \\ -t_{AA} & \epsilon_A & -t_{AA} & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -t_{AA} & 0 & 0 & \cdots & \epsilon_A \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_N \end{pmatrix} = E_A \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_N \end{pmatrix}$$

$$\begin{pmatrix} \epsilon_B & -t_{BB} & 0 & \cdots & -t_{BB} \\ -t_{BB} & \epsilon_B & -t_{BB} & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -t_{BB} & 0 & 0 & \cdots & \epsilon_B \end{pmatrix} \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_N \end{pmatrix} = E_B \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_N \end{pmatrix}$$

令 $a_n = Ae^{ikna}$, $b_n = Be^{ikna}$, 可以解得：

$$E_A = \epsilon_A - 2t_{AA} \cos(ka), \quad E_B = \epsilon_B - 2t_{BB} \cos(ka).$$

令 $\epsilon_A = 1$, $\epsilon_B = 3$, $t_{AA} = 0.5$, $t_{BB} = 1$, 则绘图如下：



其中红线为 E_A , 蓝线为 E_B 。

如果该体系为绝缘体, 则 E_A 与 E_B 不存在交叠部分, 即:

$$\epsilon_A - 2|t_{AA}| > \epsilon_B + 2|t_{BB}|$$

或者

$$\epsilon_A + 2|t_{AA}| < \epsilon_B - 2|t_{BB}|$$

否则, 如果满足

$$|\epsilon_A - \epsilon_B| > 2(|t_{AA}| + |t_{BB}|)$$

则体系为导体。

(b) 由题意可知, 考虑第 n 个元胞中 A、B 原子, 有:

$$\begin{aligned} -t_{AA}^* a_{n-1} - t_{AB}^* b_{n-1} + \epsilon_A a_n - t_{AA} a_{n+1} - t_{AB} b_{n+1} &= E a_n, \\ -t_{AB}^* a_{n-1} - t_{BB}^* b_{n-1} + \epsilon_B b_n - t_{AB} a_{n+1} - t_{BB} b_{n+1} &= E b_n. \end{aligned}$$

假设有:

$$a_n = A e^{ikna}, \quad b_n = B e^{ikna},$$

令

$$\begin{aligned} R_A &= t_{AA}^* e^{-ika} + t_{AA} e^{ika} = 2\text{Re}(t_{AA} e^{ika}) \\ R_B &= t_{BB}^* e^{-ika} + t_{BB} e^{ika} = 2\text{Re}(t_{BB} e^{ika}) \\ R_{AB} &= t_{AB}^* e^{-ika} + t_{AB} e^{ika} = 2\text{Re}(t_{AB} e^{ika}) \end{aligned}$$

则有:

$$\begin{aligned} (E - \epsilon_A + T_{AA})A + T_{AB}B &= 0 \\ T_{AB}A + (E - \epsilon_B + T_{BB})B &= 0 \end{aligned}$$

方程组存在非零解, 有:

$$\begin{vmatrix} E - \epsilon_A + T_{AA} & T_{AB} \\ T_{AB} & E - \epsilon_B + T_{BB} \end{vmatrix} = (E - \epsilon_A + T_{AA})(E - \epsilon_B + T_{BB}) - T_{AB}^2 = 0$$

可以解得:

$$E_{\pm} = \frac{1}{2}(\epsilon_A + \epsilon_B - T_{AA} - T_{BB} \pm \sqrt{(\epsilon_A - T_{AA} - \epsilon_B + T_{BB})^2 + 4T_{AB}^2}).$$

5 (11.5) Electronic Impurity State

Consider the one-dimensional tight binding Hamiltonian given in Eq. 11.4. Now consider the situation where one of the atoms in the chain (atom $n = 0$) is an impurity such that it has an atomic orbital energy which differs by Δ from all the other atomic orbital energies. In this case the Hamiltonian becomes

$$H_{n,m} = \epsilon_0 \delta_{n,m} - t(\delta_{n+1,m} + \delta_{n-1,m}) + \Delta \delta_{n,m} \delta_{n,0}.$$

(a) Using an ansatz

$$\phi_n = Ae^{-qa|n|}$$

with q real, and a the lattice constant, show that there is a localized eigenstate for any negative Δ , and find the eigenstate energy. This exercise is very similar to Exercise 9.6.

(b) Consider instead a continuum one-dimensional Hamiltonian with a delta-function potential

$$H = -\frac{\hbar^2}{2m^*}\partial_x^2 + (a\Delta)\delta(x).$$

Similarly show that there is a localized eigenstate for any negative Δ and find its energy. Compare your result to that of part (a).

(a) 当 $n \neq 0$ 时, 有:

$$E\phi_n = \epsilon_0\phi_n - t(\phi_{n-1} + \phi_{n+1}).$$

带入

$$\phi_n = Ae^{-qa|n|}$$

可得:

$$E = \epsilon_0 - t(e^{-qa} + e^{qa}).$$

当 $n = 0$ 时, 有:

$$E\phi_0 = (\epsilon_0 + \Delta)\phi_0 - t(\phi_{-1} + \phi_1)$$

则有:

$$E = \epsilon_0 + \Delta - 2te^{-qa}.$$

则可以解得:

$$\Delta = -2t \sinh(qa).$$

则对于每一个负的 Δ , 都有

$$qa = \sinh^{-1}(-\Delta/(2t)).$$

即存在一个态, 此态的能量为:

$$E_0 = \epsilon_0 - 2t\sqrt{1 + \frac{\Delta^2}{4t^2}}.$$

(b) 假设仍有:

$$\psi(x) = Ae^{-px}$$

则有:

$$-\frac{\hbar^2}{2m^*}\partial_x^2\psi(x) = -\frac{\hbar^2 p^2}{2m^*}\psi(x)$$

即

$$E = -\frac{\hbar^2 p^2}{2m^*}.$$

由于势能函数为 δ 函数, 满足连续性条件有:

$$\frac{\partial}{\partial x}\psi(0+) - \frac{\partial}{\partial x}\psi(0-) = -2p = \frac{2m^*a\Delta}{\hbar^2}.$$

利用有效质量的表达式:

$$m^* = \frac{\hbar^2}{2a^2t}$$

可得:

$$E_b = -\frac{\Delta^2}{4t}.$$

注意到, (a) 中当 $\Delta \rightarrow 0$ 时, 有:

$$E_a = \epsilon_0 - 2t\sqrt{1 + \frac{\Delta^2}{4t^2}} \approx \epsilon_0 - 2t(1 + \Delta^2/(8t^2)) = \epsilon_0 - 2t - \frac{\Delta^2}{4t}.$$

选取合适的基态能量满足: $\epsilon_0 - 2t = 0$, 则有:

$$E_a = -\frac{\Delta^2}{4t} = E_b.$$