

Space Groups and Their Representations by Prof. Yang Qi

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1 Group theory in condensed matter physics

Let's first briefly review the role of group theory in quantum mechanics. We are usually interested in Lie group symmetries and discrete symmetries, the latter being more important in condensed matter physics because in this field we have to deal with lattices with point group symmetries, and many symmetries that are important for topological states of matter are associated with discrete symmetries like parity symmetry and a special symmetry for fermions named the **fermion-parity symmetry**, which exists in all fermionic systems and arises from the fact that each term in the Hamiltonian always contains even fermionic operators.

So we will just work with discrete groups hereafter. Actually we have the continuous spin rotational symmetry, but since spin-orbital coupling exists in all realistic materials, operations on the spin degrees of freedom are just a part of *doubled* space groups.

A **linear representation** is a group homomorphism from a symmetry group G to $GL(\mathcal{H})$, where \mathcal{H} is the Hilbert space. Since the wave function of the system can differ a phase factor after a symmetric operation, we can also have **projective representation**. Representation theory gives very generic results for studying symmetries.

Let $\varphi(\cdot)$ be the mapping from G to $GL(\mathcal{H})$. If φ is a linear representation, then it's easy to see

$$[H, \varphi(g)] = 0, \quad (1)$$

for any group element g . We often say that $\varphi(g)$'s *label* the energy eigenstates. For Abelian symmetric group G , the labels of $|n\rangle$ are simply given by $\varphi(g_i)|n\rangle$, where $\{g_i\}$ are generators of G . If G is non-Abelian, we need to work with its irreducible representations, and energy eigenstates are classified into several irreducible representations of G , and group elements turn one state into another state in the same irreducible representation. The degeneracy of energy eigenstates is always the dimension of *one* representation. Since a reducible representation can always be split into two irreducible representations by a perturbation, from then on we only investigate energy degeneracy protected by irreducible representations.

2 Space groups

In this section we are not going to show that there are 230 space groups in \mathbb{R}^3 . Actually we usually call 2D space groups as **wallpaper groups**. A group element of a space group is in the form of the following affine transformation

$$(R|\mathbf{t}) : \mathbf{v} \mapsto R\mathbf{v} + \mathbf{t}, \quad (2)$$

or in the form of

$$(R|\mathbf{t}) : \tilde{\mathbf{v}} := \begin{pmatrix} \mathbf{v} \\ 1 \end{pmatrix} \mapsto \underbrace{\begin{pmatrix} R & \mathbf{t} \\ 0 & 1 \end{pmatrix}}_{:=\tilde{R}} \tilde{\mathbf{v}}, \quad (3)$$

which is the preferred form of space group operation in numerical calculations.

Detailed discussion on the structure of space groups can be found in Section. 2.2.5 in [this note](#). Here we list some of the elements. First we discuss point groups. We have C_n axes, the generator of which is labeled as n : we have 1, 2, 3, 4, 6 axes, the first being the identity. We also have rotation-reflection axes $\bar{1}, \bar{2}, \bar{3}, \bar{4}, \bar{6}$, the first being the inversion operation and the second being the mirror reflection. $\bar{3}$ is not an independent generator because we have

$$(\bar{3})^3 = \bar{1}, \quad \bar{3}\bar{1} = 3.$$

Similarly, $\bar{6}$ is also not an independent generator.

There are also **nonsymmorphic** operations, which involve fraction translation. There are two types of nonsymmorphic generators: **screw axis** ($c_n|n\mathbf{R}/m$), where $m = 1, 2, 3, \dots$, and **glide plane** ($\sigma|\mathbf{R}/2$).

The point group of a space group G is $K = G/\mathbb{T}$, where \mathbb{T} is the translation group. Note that if G is nonsymmorphic, K is *not* a subgroup of G . G is actually *semidirect* product of K and \mathbb{T} . We have

$$G = \mathbb{T} \rtimes K. \quad (4)$$

Info: Short exact sequence and group extension

Consider the following short exact sequence

$$1 \rightarrow N \xrightarrow{f} G \xrightarrow{\pi} Q \rightarrow 1. \quad (5)$$

The definition of exact sequence means

- f is injective, since $\ker f = \text{im}(\text{the monomorphism } 1 \rightarrow N) = 1$.
- g is surjective, since $\text{im } \pi = \ker(\text{the monomorphism } Q \rightarrow 1) = Q$.
- $Q \simeq G/\text{im } f$, because $G/\ker \pi = \text{im } \pi$, and by definition we have $\ker \pi = \text{im } f$, and $\text{im } \pi = Q$ (from last line).

G is defined said to be an **extension** of Q over N .

We consider the case where N is Abelian. The simplest kind of group extension is just direct product. We also have **semidirect product** or **split extension** $N \rtimes Q$ (for an abstract definition, see [this Wikipedia page](#)), the multiplication rule of which is defined as

$$(a, g) \times (b, h) := \quad (6)$$

We also say G **splits** over N . The third kind of group extension is **central extension**.