

Numerical bootstrap

Jinyuan Wu

Department of Physics, Fudan University

2021

What's bootstrap

- A quantum theory = expectations of all Hermitian operators; Hamiltonian/Lagrangian \Leftrightarrow “probability distribution”
- Constraint on the system \Rightarrow relation between different $\langle O \rangle$'s (“**data**”); independent $\langle O \rangle$'s \Leftrightarrow parameters in the model
- Inequality constraint (e.g. positivity of $\langle O^\dagger O \rangle$) \Rightarrow allowed range of $\langle O \rangle$'s
- Solving a class of problems without mentioning explicitly the wave function/path integral: hence the name *bootstrap*

Why we need it

- Because it doesn't fail with strong non-perturbative effects.¹

¹arXiv 2108.11416

Example: conformal bootstrap

- The most famous example: **conformal bootstrap**
- Constraints: (spinless) two-point function

$$\langle \mathcal{O}(x)\mathcal{O}(y) \rangle = \frac{1}{|x-y|^{2\Delta_{\mathcal{O}}}}, \quad (1)$$

three-point function

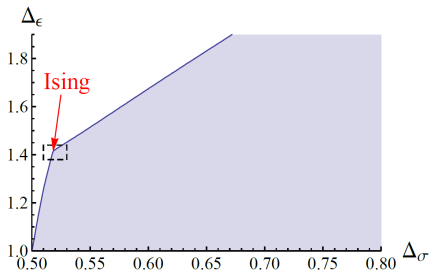
$$\begin{aligned} & \langle \mathcal{A}(x)\mathcal{B}(y)\mathcal{C}(z) \rangle \\ &= \frac{f_{ABC}}{|x-y|^{\Delta_{\mathcal{A}}+\Delta_{\mathcal{B}}-\Delta_{\mathcal{C}}}|y-z|^{\Delta_{\mathcal{B}}+\Delta_{\mathcal{C}}-\Delta_{\mathcal{A}}}|z-x|^{\Delta_{\mathcal{C}}+\Delta_{\mathcal{A}}-\Delta_{\mathcal{B}}}} \end{aligned} \quad (2)$$

Higher order correlation functions: OPEs.

- Independent parameters: $\{\Delta_{\mathcal{O}}, l_{\mathcal{O}}, f_{ABC}\}$
- Inequality constraints (self-consistent conditions): determining the range of parameters

Example: conformal bootstrap

- Example of conformal bootstrap: verify whether the critical point of 3D Ising model is a CFT and its position in the allowed region ²
- Physical picture tells us there are two degrees of freedom: energy density ϵ , spin field σ
- Below is Fig. 3 in the paper: comparing critical exponents of 3D Ising model, and the allowed range from conformal bootstrap



Bootstrap for generic systems

How to perform bootstrap for a generic system?

- Correlation functions cannot be determined by countably infinite parameters: no $\{\Delta_O, l_O, f_{ABC}\}$.

Solution Store $\langle O_1(x_1)O_2(x_2)\cdots O_n(x_n)\rangle$ separately. Symmetries reduce the size of data: Suppose C is a symmetry,

$$\langle OC\rangle = \langle CO\rangle. \quad (3)$$

Derivation similar to below.

- Hard to get OPE

Solution Suppose we are working on a state whose density matrix is determined solely by H , then we have

$$\langle OH\rangle = \text{tr}(\rho(H)OH) = \text{tr}(H\rho(H)O) = \text{tr}(\rho(H)HO) = \langle HO\rangle \quad (4)$$

for all operators O . If we are working on energy eigenstates (i.e with definite E), we have

$$\langle OH\rangle = E\langle O\rangle, \quad E = \langle H\rangle. \quad (5)$$

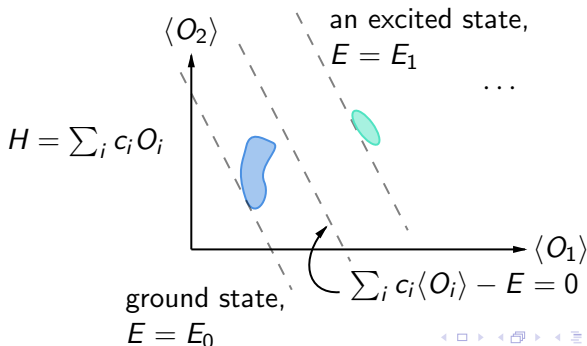
Bootstrap for generic systems

- The equality constraints used together with the positivity constraint

$$\langle O^\dagger O \rangle \geq 0 \quad (6)$$

for every O defines an allowed region - the feasible domain for the following optimization.

- To get ground state information, minimize $\langle H \rangle$ globally.
- To get information about excited states, find local minima of $\langle H \rangle$.



The procedure of numerical bootstrap

- Determine a set of operators

Example: x^4 nonlinear oscillator

$$H = x^2 + p^2 + gx^4. \quad (7)$$

- Symmetry: $x \rightarrow -x \Rightarrow \langle x^n \rangle = 0$ with odd n
-