ECE459: Programming for Performance	Winter 2024
Lecture 29 — Liar, Liar	
Patrick Lam & Jeff Zarnett	2025-05-31

Here's a video of a helicopter flying: https://www.youtube.com/watch?v=jQDjJRYmeWg

The video's not fake; it's a real helicopter and it's really flying. What's happening is that the camera is taking images at some multiple of the frequency of the blade rotation speed. When playing back the sequence of images in the form of the video, it gives the illusion that the blades are not spinning at all. The illusion is not malicious or even intentional – nobody's trying to trick you – and yet, we are seeing the "wrong" thing.

In the criminal justice system, the people are represented by two separate, yet equally important, groups: the police, who investigate crime; and the district attorneys, who prosecute the offenders.

If you have ever watched the TV series Law & Order, you will recognize that sentence is read over a title card before the cold open of the show. As part of their investigation, the police collect various pieces of evidence such as testimony, DNA, fingerprints, video. Then, in court, the prosecution and defence lawyers present their side, using the evidence to support their argument. Obviously, each side is presenting a different conclusion, and it's up to the judge or jury to decide which narrative they believe.

The actual criminal justice system is much more complex than that and there are rules of evidence and procedures and a beyond-a-reasonable-doubt standard of proof, to say nothing of competing narratives and the effects of how well the case was presented by the lawyer. Still, the analogy works well enough even if the profiler is a single program rather than two state agencies: there is the process of collecting evidence and then there is using the evidence to put together a narrative about what happened.

TV shows make it all very neat, because the story has to be told within the time constraints of the episode or season. Something like saying the suspect's DNA is found at the crime scene is treated the definitive proof that they did the murder and it's off to prison for them. DNA is an excellent tool for evidence purposes and it's been critical in convicting criminals and freeing the wrongly-convicted. But there's nuance on DNA evidence that makes it much less definitive than a police procedural TV show makes it seem.

In reality, a DNA expert would testify that there is a match between the DNA collected at the scene and the DNA sample collected from the suspect, and that match is reported with a certain percentage of certainty (or alternatively, phrased as something like "there is a 1 in X chance that the crime scene DNA came from someone other than the suspect"). Those rare things do happen, of course, though with astronomically small odds, it's easy to reach the threshold of beyond-a-reasonable-doubt. Even so, that's just the evidence – the presence of the DNA only proves that the suspect was at the scene; there could be another reason why the suspect was there (before, during, or after the crime). Thus, the presence of the suspect's DNA is *consistent* with the prosecution's theory of the crime, but does not conclusively prove anything on its own. Only the totality of the evidence, considered together, would lead to a conclusion about whether that narrative is true.

We'll consider some examples where the profiler gets it wrong on each part: either the data collection or the narrative that we get is incorrect. Going back to the helicopter example: the samples themselves are perfectly fine, but the periodic sampling strategy has a weakness. The measured positions of the helicopter blades are all correct, and yet the narrative that this builds is that the blades don't move. We know that's wrong because of our human judgement, and you need to apply that same judgement to what a tool is telling you.

Sampling is something we know profiling tools do, and profilers are useful tools, but they can mislead you. If you understand how profilers work – and some common pitfalls – you won't draw the wrong conclusions. Sampling-based profiler can miss things, while an instrumentation-based profiler distorts the system under observation. The main assumptions underlying sampling are that samples are "random" and that the sample distribution approximates the actual time-spent distribution¹.

¹Lifted from "Profilers are Lying Hobbitses", https://www.infoq.com/presentations/profilers-hotspots-bottlenecks/, which

Lies from Metrics

While app-specific metrics can lie too, mostly we'll talk about CPU perf counters today. In particular, we're going to look at two types of sampling-based lies.

The reference for the first type of lie is a blog post by Paul Khuong [Khu14].

This goes back to mfence, which we've seen before. It is used, for instance, in spinlock implementations. Khuong found that his profiles said that spinlocking didn't take much time. But empirically: eliminating spinlocks = better than expected! Hmm.

The next step is (as we do in this course) to create microbenchmarks to better understand what's going on. The microbenchmark contained memory accesses to uncached locations, or computations, surrounded by store pairs/mfence/locks. He used perf to evaluate the impact of mfence vs lock. You'll recall that perf is sampling-based and records how often the CPU is found executing each instruction.

```
# for locks:
$ perf annotate -s cache_misses
[...]
    0.06:
                  4006b0:
                                      %rdx,%r10
                               and
   0.00:
                 4006b3:
                               add
                                      $0x1,%r9
   ;; random (out of last level cache) read
    0.00:
                 4006b7:
                               mov
                                      (%rsi,%r10,8),%rbp
  30.37 :
                 4006bb:
                               mov
                                      %rcx.%r10
    ;; foo is cached, to simulate our internal lock
                                      %r9,0x200fbb(%rip)
   0.12 :
                 4006be:
                            mov
   0.00:
                 4006c5:
                               shl
                                      $0x17,%r10
    [... Skipping arithmetic with < 1% weight in the profile]
   ;; locked increment of an in-cache "lock" byte
   1.00:
                 4006e7:
                               lock incb 0x200d92(%rip)
  21.57 :
                 4006ee:
                               add
                                      $0x1,%rax
    [...]
    ;; random out of cache read
   0.00:
                 400704:
                                       (%rsi,%r10,8),%rbp
                               xor
  21.99:
                 400708:
                                      %r9,%r8
                               xor
   [...]
    ;; locked in-cache decrement
   0.00:
                               lock decb 0x200d50(%rip)
                 400729:
  18.61:
                  400730:
                               add
                                       $0x1,%rax
    [...]
    0.92:
                  400755:
                                       4006b0 <cache_misses+0x30>
                               ine
```

We can see that in the lock situation, reads take 30 + 22 = 52% of runtime, while locks take 19 + 21 = 40% of runtime.

```
# for mfence:
$ perf annotate -s cache_misses
[...]
   0.00:
                  4006b0:
                                and
                                        %rdx,%r10
   0.00:
                  4006b3:
                                       $0x1,%r9
                                add
    ;; random read
   0.00:
                  4006b7:
                                        (%rsi,%r10,8),%rbp
                                mov
   42.04:
                  4006bb:
                                        %rcx,%r10
                                mov
    ;; store to cached memory (lock word)
                                       %r9,0x200fbb(%rip)
    0.00:
                  4006be:
                                mov
   [...]
                  4006e7:
   0.20:
                                mfence
   5.26:
                  4006ea:
                                        $0x1,%rax
                                add
    [...]
    ;; random read
   0.19 :
                  400700:
                                xor
                                        (%rsi,%r10,8),%rbp
   43.13:
                  400704:
                                        %r9,%r8
                                xor
    [...]
    0.00:
                  400725:
                                mfence
```

talks about profiling for JVMs.

```
4.96: 400728: add $0x1,%rax

0.92: 40072c: add $0x1,%rax

[...]

0.36: 40074d: jne 4006b0 <cache_misses+0x30>
```

Looks like the reads take 85% of runtime, while the mfence takes 15% of runtime.

Metrics lie, though, and when you focus on the metrics as opposed to what you actually care about, it's easy to be led astray.

In this case, what we actually care about is the total # of cycles.

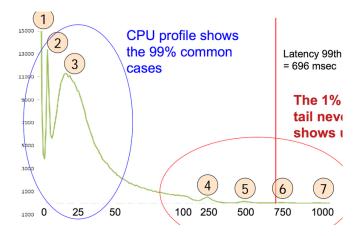
No atomic/fence: 2.81e9 cycles lock inc/dec: 3.66e9 cycles mfence: 19.60e9 cycles

That 15% number is a total lie. Profilers, even using CPU counts, drastically underestimate the impact of mfence, and overestimate the impact of locks.

This is because mfence causes a pipeline flush, and the resulting costs get attributed to instructions being flushed, not to the mfence itself. In other words, mfence makes other instructions run more slowly, which camouflages its own effect on the overall performance.

The Long Tail

The other type of lie that sampling can hide is the one where infrequent long tails are hidden in averages. Our source here is the blog post by Dan Luu [Luu16]. Suppose we have a task that's going to get distributed over multiple computers (like a search). If we look at the latency distribution, the problem is mostly that we see a long tail of events and when we are doing a computation or search where we need all the results, we can only go as the slowest step. Let's take a look at a histogram of disk read latencies, where we are performing a 64 kB read, also from that source:



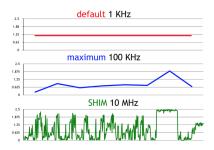
Let's break it down. Peak 1 corresponds to something cached in RAM—best case scenario. Peak 2 is at around 3ms, which is too fast for spinning and seeking magnetic hard disks, but it's fast enough for reading something from the disk cache via the PCI-Express interface. Peak 3 is obviously disk seek and read times, around 25ms.

These numbers don't look terrible, except for the fact that we have peaks at 250, 500, 750, and 1000 ms and the 99th percentile is some 696ms which is a very, very long time. Sampling profilers are not very good at finding these things, because they throw everything into various buckets and therefore we get averages. The averages are

misleading, though, because we have these weird outliers that take dramatically longer. Averages are nice as long as our data is also reasonably "nice".

So what actually happened? Well, from [Luu16]: The investigator found out that the cause was kernel throttling of the CPU for processes that went beyond their usage quota. To enforce the quota, the kernel puts all of the relevant threads to sleep until the next multiple of a quarter second. When the quarter-second hand of the clock rolls around, it wakes up all the threads, and if those threads are still using too much CPU, the threads get put back to sleep for another quarter second. The phase change out of this mode happens when, by happenstance, there aren't too many requests in a quarter second interval and the kernel stops throttling the threads. After finding the cause, an engineer found that this was happening on 25% of disk servers at Google, for an average of half an hour a day, with periods of high latency as long as 23 hours. This had been happening for three years.

Further limitations of sampling profilers emerge, as demonstrated in this graph, also from [Luu16], showing the data we get out of our sampling profiler if we take a look at Lucene (a search indexer):



So at the default sampling interval for perf we see...nothing interesting whatsoever. If we bump up to the max sampling frequency of perf, we get a moderately more interesting graph, but not much. If we use a different tool and can sample at a dramatically higher rate, then we end up with something way more useful. So we're left to wonder why does perf sample so infrequently, and how does SHIM get around this?

Well, for one thing, perf samples are done with interrupts. Processing interrupts takes a fair amount of time and if you crank up the rate of interrupts, before long, you are spending all your time handling the interrupts rather than doing useful work. So sampling tools usually don't interrupt the program too often. SHIM gets around this by being more invasive—it instruments the program, adding some periodically executed code that puts information out whenever there is an appropriate event (e.g., function return). This produces a bunch of data which can be dealt with later to produce something useful.

This instrumentation-based approach is more expensive in general, but note that DTrace² and Nethercote's counts tool (discussed in L27) also enable custom instrumentation of select events.

Lies from Counters

This is fairly niche, but Rust compiler hackers were trying to include support for hardware performance counters (what perf reports) because -Z self-profile data was too noisy³. Counters are, for instance, faster than measuring time and way (i.e. 5 orders of magnitude) more deterministic.

To make counters as deterministic as possible:

• disable Address Space Layout Randomization (security mitigation; but, randomized pointer addresses affect hash layouts);

²Note also the comment in the blog post: "Yes, that includes dtrace, which I'm calling out in particular because any time you have one of these discussions, a dtrace troll will come along to say that dtrace has supported that for years. It's like the common lisp of trace tools, in terms of community trolling."

³Full story, in gory detail, at https://hackmd.io/sH315l02RuicY-SEt7ynGA?view.

- subtract time spent processing interrupts (IRQs);
- profile one thread only (if you can, in your context).

Fun fact. We talked about Spectre back in Lecture 7. Speculative execution comes up here too in terms of counters being wrong. AMD speculates past atomics and then rolls back, but doesn't roll back perf counters. Post-Spectre, there's a hidden model-specific register ("SpecLockMap") that disables speculating past atomics, the kind of thing you would want around to protect you against future things in that vein that someone might discover. Or, in better words than mine⁴:



Lies about Calling Context

This part is somewhat outdated now, as it's a pretty specific technical problem that especially arises under the gprof tool. It's still a good example of lying tools, though, so I'll include a condensed version. Yossi Kreinin [Kre13] writes about it in more detail.

gprof uses two C standard-library functions: profil() and mcount().

- profil(): asks glibc to record which instruction is currently executing (100×/second).
- mcount(): records call graph edges; called by -pg instrumentation.

Hence, **profil** information is statistical, while **mcount** information is exact. gprof can draw unreliable inferences. If you have a method easy and a method hard, each of which is called once, and hard takes up almost all the CPU time, gprof might divide total time by 2 and report bogus results.

The following results from gprof are suspect (among others):

- contribution of children to parents;
- total runtime spent in self+children;

When are call graph edges right? Two cases:

- functions with only one caller (e.g. f() only called by g()); or,
- functions which always take the same time to complete (e.g. rand()).

On the other hand, results for any function whose running time depends on its inputs, and which is called from multiple contexts, are sketchy.

Next, we'll talk about callgrind/KCacheGrind. Like our old friends memcheck, helgrind, and cachegrind, callgrind is part of valgrind, and runs the program under an x86 JIT. KCacheGrind is a frontend to callgrind. callgrind gives better information, but imposes more overhead. KCacheGrind works properly on the earlier running example, but we can still deceive it with more complicated examples.

⁴https://twitter.com/eddyb_r/status/1323587371703668742

Overall summary. We saw a bunch of lies today: calling-context lies and perf attribution lies. To avoid being bitten by lies, remember to focus on the metric you actually care about, and understand how your tools work.

References

- [Khu14] Paul Khuong. Performance tuning writing an essay, 2014. Online; accessed 26-January-2016. URL: http://www.pvk.ca/Blog/2014/10/19/performance-optimisation-~-writing-an-essay/.
- [Kre13] Yossi Kreinin. How profilers lie: the cases of gprof and KCachegrind, 2013. Online; accessed 26-January-2016. URL: http://yosefk.com/blog/how-profilers-lie-the-cases-of-gprof-and-kcachegrind.html.
- [Luu16] Dan Luu. The Nyquist theorem and limitations of sampling profilers today, with glimpses of tracing tools from the future, 2016. Online; accessed 1-February-2016. URL: http://danluu.com/perf-tracing/.