

Principles of Distributed Systems

inft-3507

Dr. J.Burns

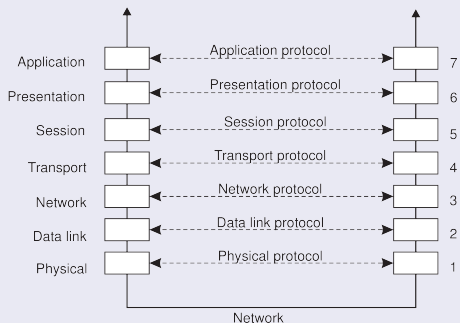
ADA University

Autumn 2025

Section 4: Communication

Ref: <https://www.distributed-systems.net/index.php/books/ds4/>

Basic networking model



Drawbacks

- Focus on message-passing only
- Violates access transparency - fails to hide the complexities of accessing resources or services across different machines or locations, making it apparent to users or applications that they are interacting with a distributed environment

Middleware "layer"

Observation

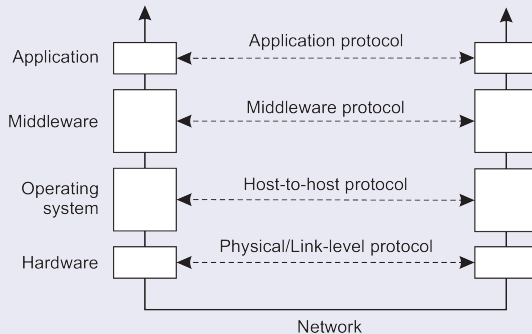
Middleware is invented to provide **common** services and protocols that can be used by many **different** applications

- A rich set of **communication protocols**
- **(Un)marshaling** of data, necessary for integrated systems
- **Naming protocols**, to allow easy sharing of resources
- **Security protocols** for secure communication
- **Scaling mechanisms**, such as for replication and caching

Note

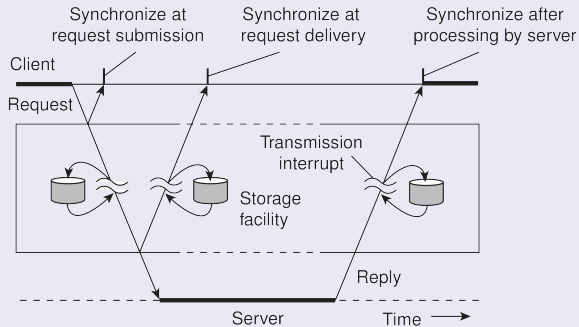
What remains are truly **application-specific** protocols... **such as?**

An adapted layering scheme



Types of communication

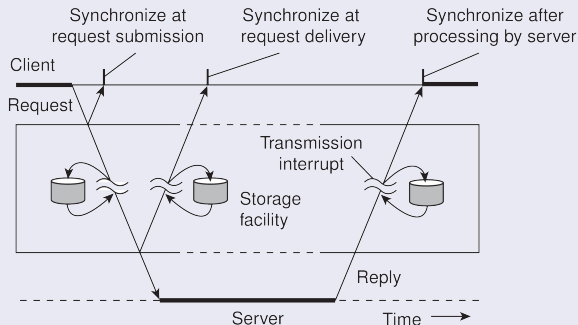
Distinguish...



- **Transient** versus **persistent** communication
- **Asynchronous** versus **synchronous** communication

Types of communication

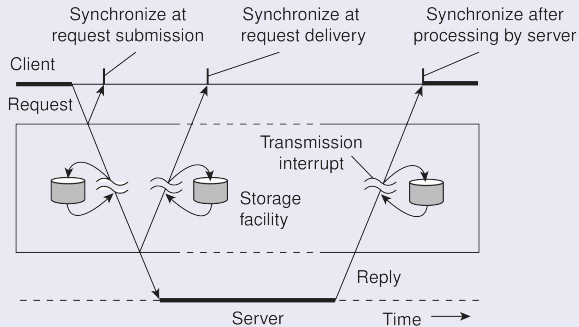
Transient versus persistent



- **Transient communication:** Comm. server discards message when it cannot be delivered at the next server, or at the receiver.
- **Persistent communication:** A message is stored at a communication server as long as it takes to deliver it.

Types of communication

Places for synchronization



- At request submission
- At request delivery
- After request processing

Client/Server

Some observations

Client/Server computing is generally based on a model of **transient synchronous communication**:

- Client and server have to be active at the time of communication
- Client issues request and blocks until it receives reply
- Server essentially waits only for incoming requests, and subsequently processes them

Drawbacks synchronous communication

- Client cannot do any other work while waiting for reply
- Failures have to be handled immediately: the client is waiting
- The model may simply not be appropriate (mail, news)

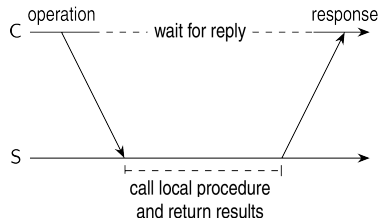
Basic RPC operation

Observations

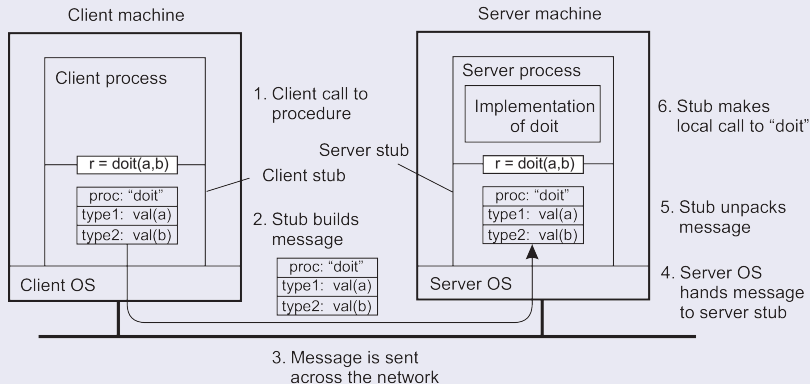
- Application developers are familiar with simple procedure model
- Well-engineered procedures operate in isolation (black box)
- There is no fundamental reason not to execute procedures on separate machine

Conclusion

Communication between caller & callee can be hidden by using procedure-call mechanism.



Basic RPC operation



- 1 Client procedure calls client stub.
- 2 Stub builds message; calls local OS.
- 3 OS sends message to remote OS.
- 4 Remote OS gives message to stub.
- 5 Stub unpacks parameters; calls server.

- 6 Server does local call; returns result to stub.
- 7 Stub builds message; calls OS.
- 8 OS sends message to client's OS.
- 9 Client's OS gives message to stub.
- 10 Client stub unpacks result; returns to client.

RPC: Parameter passing

There's more than just wrapping parameters into a message

- Client and server machines may have **different data representations** (think of byte ordering)
- Wrapping a parameter means **transforming a value into a sequence of bytes**
- Client and server have to **agree on the same encoding**:
 - How are **basic data values** represented (integers, floats, characters)
 - How are **complex data values** represented (arrays, unions)

Conclusion

Client and server need to **properly interpret messages**, transforming them into machine-dependent representations.

RPC: Parameter passing

Some assumptions

- **Copy in/copy out** semantics: while procedure is executed, nothing can be assumed about parameter values.
- **All** data that is to be operated on is passed by parameters. Excludes passing **references to (global) data**.

Conclusion

Full access transparency cannot be realized.

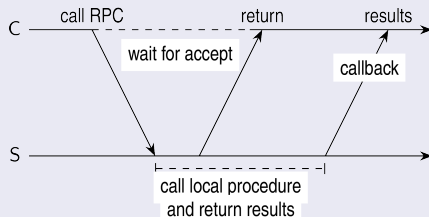
A remote reference mechanism enhances access transparency

- Remote reference offers **unified access** to remote data
- Remote references can be **passed as parameter** in RPCs
- **Note:** stubs can sometimes be used as such references

Asynchronous RPCs

Essence

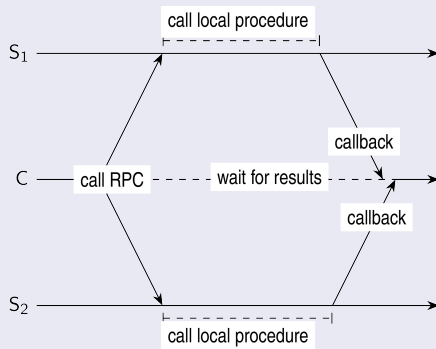
Try to get rid of the strict request-reply behavior, but let the client continue without waiting for an answer from the server.



Sending out multiple RPCs

Essence

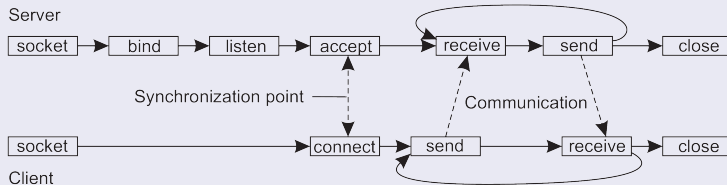
Sending an RPC request to a group of servers.



Transient messaging: sockets

Berkeley socket interface

Operation	Description
socket	Create a new communication end point
bind	Attach a local address to a socket
listen	Tell operating system what the maximum number of pending connection requests should be
accept	Block caller until a connection request arrives
connect	Actively attempt to establish a connection
send	Send some data over the connection
receive	Receive some data over the connection
close	Release the connection



Making sockets easier to work with

Observation

Sockets are rather low level and programming mistakes are easily made. However, the way that they are used is often the same (such as in a client-server setting).

Alternative: ZeroMQ

Provides a higher level of expression by **pairing** sockets: one for sending messages at process P and a corresponding one at process Q for receiving messages. All communication is **asynchronous**.

Three patterns

- Request-reply
- Publish-subscribe
- Pipeline

Request-reply

```
1 import zmq
2
3 def server():
4     context = zmq.Context()
5     socket = context.socket(zmq.REP)      # create reply socket
6     socket.bind("tcp://*:12345")         # bind socket to address
7
8     while True:
9         message = socket.recv()           # wait for incoming message
10        if not "STOP" in str(message):    # if not to stop...
11            reply = str(message.decode())+'*' # append "*" to message
12            socket.send(reply.encode())     # send it away (encoded)
13        else:
14            break                          # break out of loop and end
15
16 def client():
17     context = zmq.Context()
18     socket = context.socket(zmq.REQ)      # create request socket
19
20     socket.connect("tcp://localhost:12345") # block until connected
21     socket.send(b"Hello world")           # send message
22     message = socket.recv()               # block until response
23     socket.send(b"STOP")                  # tell server to stop
24     print(message.decode())               # print result
```

Publish-subscribe

```
1 import multiprocessing
2 import zmq, time
3
4 def server():
5     context = zmq.Context()
6     socket = context.socket(zmq.PUB)           # create a publisher socket
7     socket.bind("tcp://*:12345")              # bind socket to the address
8     while True:
9         time.sleep(5)                          # wait every 5 seconds
10        t = "TIME " + time.asctime()
11        socket.send(t.encode())                 # publish the current time
12
13 def client():
14     context = zmq.Context()
15     socket = context.socket(zmq.SUB)           # create a subscriber socket
16     socket.connect("tcp://localhost:12345")    # connect to the server
17     socket.setsockopt(zmq.SUBSCRIBE, b"TIME") # subscribe to TIME messages
18
19     for i in range(5):                         # Five iterations
20         time = socket.recv()                   # receive a message related to subscription
21         print(time.decode())                   # print the result
```

Pipeline

```
1 def producer():
2     context = zmq.Context()
3     socket = context.socket(zmq.PUSH)      # create a push socket
4     socket.bind("tcp://127.0.0.1:12345")    # bind socket to address
5
6     while True:
7         workload = random.randint(1, 100)  # compute workload
8         socket.send(pickle.dumps(workload)) # send workload to worker
9         time.sleep(workload/NWORKERS)      # balance production by waiting
10
11 def worker(id):
12     context = zmq.Context()
13     socket = context.socket(zmq.PULL)      # create a pull socket
14     socket.connect("tcp://localhost:12345") # connect to the producer
15
16     while True:
17         work = pickle.loads(socket.recv())  # receive work from a source
18         time.sleep(work)                   # pretend to work
```

MPI: When lots of flexibility is needed

Representative operations

Operation	Description
MPI_BSEND	Append outgoing message to a local send buffer
MPI_SEND	Send a message and wait until copied to local or remote buffer
MPI_SSEND	Send a message and wait until transmission starts
MPI_SENDRECV	Send a message and wait for reply
MPI_ISEND	Pass reference to outgoing message, and continue
MPI_ISSEND	Pass reference to outgoing message, and wait until receipt starts
MPI_RECV	Receive a message; block if there is none
MPI_IRECV	Check if there is an incoming message, but do not block

Queue-based messaging

Four possible combinations

Sender
running



Receiver
running

Sender
running



Receiver
passive

Sender
passive



Receiver
running

Sender
passive



Receiver
passive

Message-oriented middleware

Essence

Asynchronous persistent communication through support of middleware-level **queues**. Queues correspond to buffers at communication servers.

Operations

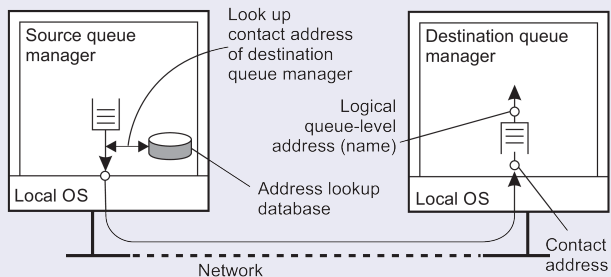
Operation	Description
PUT	Append a message to a specified queue
GET	Block until the specified queue is nonempty, and remove the first message
POLL	Check a specified queue for messages, and remove the first. Never block
NOTIFY	Install a handler to be called when a message is put into the specified queue

General model

Queue managers

Queues are managed by **queue managers**. An application can put messages only into a **local** queue. Getting a message is possible by extracting it from a **local** queue only \Rightarrow queue managers need to **route** messages.

Routing



Message broker

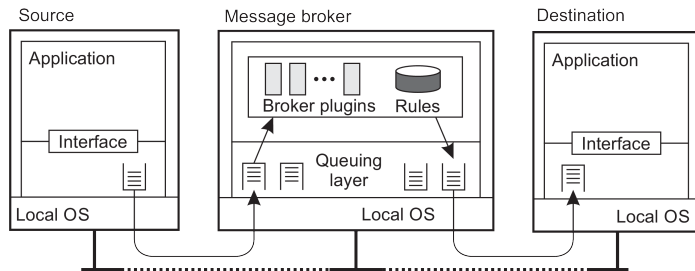
Observation

Message queuing systems assume a **common messaging protocol**: all applications agree on message format (i.e., structure and data representation)

Broker handles application heterogeneity in an MQ system

- Transforms incoming messages to target format
- Very often acts as an **application gateway**
- May provide **subject-based** routing capabilities (i.e., **publish-subscribe** capabilities)

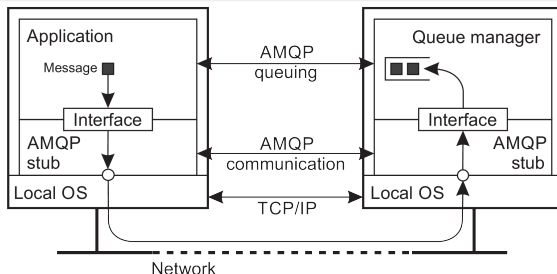
Message broker: general architecture



Example: AMQP

Lack of standardization

Advanced Message-Queuing Protocol was intended to play the same role as, for example, TCP in networks: a protocol for high-level messaging with different implementations.



Basic model

Client sets up a (stable) **connection**, which is a container for several (possibly ephemeral) **one-way channels**. Two one-way channels can form a **session**. A **link** is akin to a socket, and maintains state about message transfers.

Example: AMQP-based producer

```
1 import rabbitpy
2
3 def producer():
4     connection = rabbitpy.Connection() # Connect to RabbitMQ server
5     channel = connection.channel()    # Create new channel on the connection
6
7     exchange = rabbitpy.Exchange(channel, 'exchange') # Create an exchange
8     exchange.declare()
9
10    queue1 = rabbitpy.Queue(channel, 'example1') # Create 1st queue
11    queue1.declare()
12
13    queue2 = rabbitpy.Queue(channel, 'example2') # Create 2nd queue
14    queue2.declare()
15
16    queue1.bind(exchange, 'example-key') # Bind queue1 to a single key
17    queue2.bind(exchange, 'example-key') # Bind queue2 to the same key
18
19    message = rabbitpy.Message(channel, 'Test message')
20    message.publish(exchange, 'example-key') # Publish the message using the key
21    exchange.delete()
```

Example: AMQP-based consumer

```
1 import rabbitpy
2
3 def consumer():
4     connection = rabbitpy.Connection()
5     channel = connection.channel()
6
7     queue = rabbitpy.Queue(channel, 'example1')
8
9     # While there are messages in the queue, fetch them using Basic.Get
10    while len(queue) > 0:
11        message = queue.get()
12        print('Message Q1: %s' % message.body.decode())
13        message.ack()
14
15    queue = rabbitpy.Queue(channel, 'example2')
16
17    while len(queue) > 0:
18        message = queue.get()
19        print('Message Q2: %s' % message.body.decode())
20        message.ack()
```

Application-level multicasting

Essence

Organize nodes of a distributed system into an **overlay network** and use that network to disseminate data:

- Oftentimes a **tree**, leading to unique paths
- Alternatively, also **mesh networks**, requiring a form of **routing**

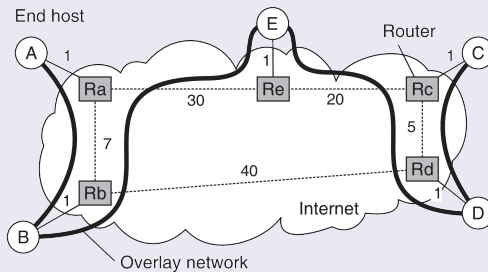
Application-level multicasting in Chord

Basic approach

- ① Initiator generates a **multicast identifier** mid .
- ② Lookup $succ(mid)$, the node responsible for mid .
- ③ Request is routed to $succ(mid)$, which will become the **root**.
- ④ If P wants to join, it sends a **join** request to the root.
- ⑤ When request arrives at Q :
 - Q has not seen a join request before \Rightarrow it becomes **forwarder**; P becomes child of Q . **Join request continues to be forwarded.**
 - Q knows about tree $\Rightarrow P$ becomes child of Q . **No need to forward join request anymore.**

ALM: Some costs

Different metrics

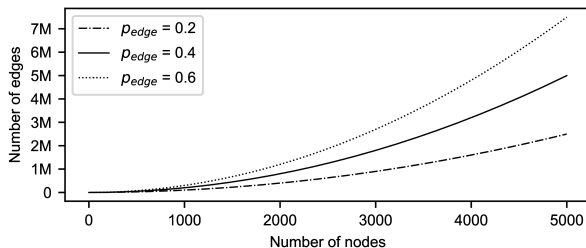


- **Link stress:** How often does an ALM message cross the same physical link? **Example:** message from A to D needs to cross $\langle Ra, Rb \rangle$ twice.
- **Stretch:** Ratio in delay between ALM-level path and network-level path. **Example:** messages B to C follow path of length 73 at ALM, but 47 at network level \Rightarrow stretch = $73/47$.

Flooding

Essence

P simply sends a message m to each of its neighbors. Each neighbor will forward that message, except to P , and only if it had not seen m before.



Variation

Let Q forward a message with a certain probability p_{flood} , possibly even dependent on its own number of neighbors (i.e., **node degree**) or the degree of its neighbors.

Epidemic protocols

Assume there are no write–write conflicts

- Update operations are performed at a single server
- A replica passes updated state to only a few neighbors
- Update propagation is lazy, i.e., not immediate
- Eventually, each update should reach every replica

Two forms of epidemics

- **Anti-entropy**: Each replica regularly chooses another replica at random, and exchanges state differences, leading to identical states at both afterwards
- **Rumor spreading**: A replica which has just been updated (i.e., has been **contaminated**), tells several other replicas about its update (contaminating them as well).

Anti-entropy

Principle operations

- A node P selects another node Q from the system at random.
- **Pull**: P only pulls in new updates from Q
- **Push**: P only pushes its own updates to Q
- **Push-pull**: P and Q send updates to each other

Observation

For push-pull it takes $\mathcal{O}(\log(N))$ rounds to disseminate updates to all N nodes (**round** = when every node has taken the initiative to start an exchange).

Anti-entropy: analysis

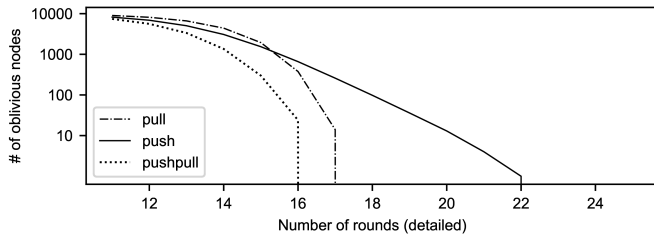
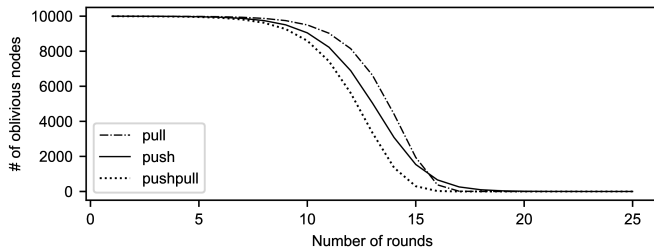
Basics

Consider a single source, propagating its update. Let p_i be the probability that a node has not received the update after the i^{th} round.

Analysis: staying ignorant

- With **pull**, $p_{i+1} = (p_i)^2$: the node was not updated during the i^{th} round and should contact another ignorant node during the next round.
- With **push**, $p_{i+1} = p_i(1 - \frac{1}{N-1})^{(N-1)(1-p_i)} \approx p_i e^{-1}$ (for small p_i and large N): the node was ignorant during the i^{th} round and no updated node chooses to contact it during the next round.
- With **push-pull**: $(p_i)^2 \cdot (p_i e^{-1})$

Anti-entropy performance



Rumor spreading

Basic model

A server S having an update to report, contacts other servers. If a server is contacted to which the update has already propagated, S stops contacting other servers with probability p_{stop} .

Observation

If s is the fraction of ignorant servers (i.e., which are unaware of the update), it can be shown that with many servers

$$s = e^{-(1/p_{stop}+1)(1-s)}$$

Formal analysis

Notations

Let s denote fraction of nodes that have not yet been updated (i.e., **susceptible**; i the fraction of updated (**infected**) and active nodes; and r the fraction of updated nodes that gave up (**removed**).

From theory of epidemics

$$(1) \quad ds/dt = -s \cdot i$$

$$(2) \quad di/dt = s \cdot i - p_{stop} \cdot (1 - s) \cdot i$$

$$\Rightarrow \quad di/ds = -(1 + p_{stop}) + \frac{p_{stop}}{s}$$

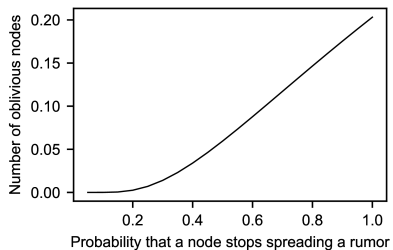
$$\Rightarrow \quad i(s) = -(1 + p_{stop}) \cdot s + p_{stop} \cdot \ln(s) + C$$

Wrap up

$i(1) = 0 \Rightarrow C = 1 + p_{stop} \Rightarrow i(s) = (1 + p_{stop}) \cdot (1 - s) + p_{stop} \cdot \ln(s)$. We are looking for the case $i(s) = 0$, which leads to $s = e^{-(1/p_{stop}+1)(1-s)}$

Rumor spreading

The effect of stopping



Consider 10,000 nodes		
$1/p_{stop}$	s	N_s
1	0.203188	2032
2	0.059520	595
3	0.019827	198
4	0.006977	70
5	0.002516	25
6	0.000918	9
7	0.000336	3

Note

If we really have to ensure that all servers are eventually updated, rumor spreading alone is not enough

Deleting values

Fundamental problem

We cannot remove an old value from a server and expect the removal to propagate. Instead, mere removal will be undone in due time using epidemic algorithms

Solution

Removal has to be registered as a special update by inserting a **death certificate**

Deleting values

When to remove a death certificate (it is not allowed to stay for ever)

- Run a global algorithm to detect whether the removal is known everywhere, and then collect the death certificates (looks like garbage collection)
- Assume death certificates propagate in finite time, and associate a maximum lifetime for a certificate (can be done at risk of not reaching all servers)

Note

It is necessary that a removal actually reaches all servers.

Summary and Conclusions

We have discussed some important communication principles in Distributed Systems, namely:

- Types of Communication
- Basic RPC
- Asynchronous messaging patterns
- Message-oriented middleware (MPI)
- Application-level multicasting