

Pick  $\{J_+\}_{t \in [-1, 1]} \sim \mathbb{R}/2$ , define a metric on  $1M$  by

$$\langle \xi, \eta \rangle := \int_{S^1} \omega_{J_+(t)}(\xi(t), \eta(t)) dt$$

for any  $\xi, \eta \in T_{\gamma} 1M (\cong \Gamma(\gamma^* TM) = \{ \text{vector fields } \}$  along  $\gamma(t)$ )

then by computation,

$$\nabla_{\frac{\partial}{\partial t}} A_H(\gamma) = J_+(\gamma - X_{H_+}(\gamma)) \Rightarrow \text{the negative flowline } u: \mathbb{R} \times S^1 \rightarrow M \text{ is}$$

$$\partial_s u = -\nabla A_H(u(s, t)) = J_+(u(s, t)) (\partial_t u - X_{H_+}(u(s, t))) \quad (*)$$

which is a perturbed version of J-hol curve!

Again, one needs to study the moduli space

$$M(\gamma, \gamma') = \{ u: \mathbb{R} \times S^1 \rightarrow M \mid (*) \}$$

☺: experience of J-hol curves can be borrowed

☹: the domain  $\mathbb{R} \times S^1$  is non-cpt!  $\leftarrow$  study asymptotic behavior of such solutions

Assume things worked out, then

$$\partial \gamma = \sum_{\substack{\gamma' \\ \text{incl diff} = 1}} M(\gamma, \gamma') / \hbar \cdot \gamma' \quad \text{is well-defined}$$

and  $\partial \cdot \partial = 0$

$$\Rightarrow CF_*(M, \omega, J, H) = \mathbb{Z}_2 \langle \gamma \mid \deg(\gamma) = * \rangle \cap \partial$$

$$\Rightarrow HF_*(M, \omega, J, H) \text{ is well-defined.}$$

Thm  $(M, \omega)$  symplectic, then  $HF_*(M, \omega, J, H) \cong H_*(M; \mathbb{Z}_2)$

$\Rightarrow$  Arnold conj b/c

$$\begin{aligned} \# \text{Fix}(\varphi_H^1) &\geq \# \{ \text{closed orbits of } X_H \}^{\text{contractible}} \geq \text{total rank of } HF_* \\ &= \sum b_i(M; \mathbb{Z}_2) \end{aligned}$$

Remark There are many other versions of Floer homologies, with generators admitting different dyn/gen meanings.

Remark Recall that in Morse theory, the key result is not the well-definedness of Morse homology  $HM_*(X, f)$ , instead it's the iso:  $HM_*(X, f) \cong H_*(X; \mathbb{Z}_2)$ . //

Remark Working over  $(M, \omega, J)$  (instead of  $(M, J)$ ) allows us to define/consider "metric" and "energy" of a  $J$ -hol curve.  
(on  $XM$ )

## 2. Contact geometry

$(X^{2n-1}, \mathfrak{f})$   $\mathfrak{f}$  a hyperplane field and called a (co-oriented) contact structure if  $\exists \alpha \in \mathcal{U}^1(X)$  s.t.

$$\ker \alpha = \mathfrak{f} \quad \text{and} \quad \alpha \wedge \underbrace{d\alpha \wedge \dots \wedge d\alpha}_{n-1} \text{ is a volume form}$$

$\nwarrow \alpha$  is called a contact form

Ex.  $(\mathbb{R}^{2n-1}, \mathfrak{f}_{\text{std}})$   $\mathfrak{f}_{\text{std}} = \ker \left( dz - \sum_{i=1}^{n-1} y_i dx_i \right)$   
 $\uparrow$   
 $x_1, y_1, \dots, x_{n-1}, y_{n-1}, z$

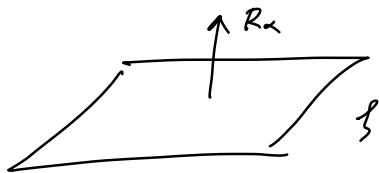
Link All are encouraged to draw  $(\mathbb{R}^3, \mathfrak{f}_{\text{std}})$  by hand.

Link The data of contact forms is auxiliary. If  $\alpha$  is a contact form of  $\mathfrak{f}$ , then  $e^f \alpha$  is also a contact form of  $\mathfrak{f}$ .

Any contact manifold  $(X, \mathfrak{f})$  admits a dyn system itself: fix  $\alpha$  s.t.  $\mathfrak{f} = \ker \alpha$ , one can solve a vector field  $R_\alpha$  from

$$d\alpha(R_\alpha, -) = 0 \quad \text{and} \quad \alpha(R_\alpha) = 1.$$

$\nwarrow d\alpha|_{\mathfrak{f}}$  is non-deg.  
 in a unique way. It is called the Reeb vector field of  $\alpha$ .



$\varphi_{R_\alpha}^t$  the Reeb flow (w.r.t.  $\alpha$ )

Again, one should be interested in closed orbits of  $\varphi_{R\alpha}^+$ .

Conjecture (Weinstein) For any closed contact mfd  $(X, \beta)$ , for any fixed  $\alpha$  s.t.  $\beta = \ker \alpha$ , there  $\exists$  at least 1 closed orbit of  $\varphi_{R\alpha}^+$ .

Remark. Different from Arnold conj, we do not restrict to time-one.

Remark. Conclusion is wrong if  $(X, \beta)$  is non-cpt;  $R\alpha$  in

$(\mathbb{R}^3, \beta_{std})$  is  $\partial \mathbb{D}$ , so  $\varphi_{R\alpha}^+(\mathbb{D}) = \mathbb{D} + t$ .

Remark In dim 3, this has been proved by Taubes.

Other dims have individual results, but in general it is controversial.

Ex.  $(M^4, \omega)$  symplectic mfd,  $H: M \rightarrow \mathbb{R}$  autonomous Ham. func. //

Consider the level set  $H^{-1}(c) \subset M$ , where  $c$  is regular.

- $H^{-1}(c)$  is a mfd of dim 3.
- flowlines of  $X_H$  stay inside  $H^{-1}(c)$   $\leftarrow dH(X_H) = -\omega(X_H, X_H) = 0$

Suppose near  $H^{-1}(c)$ ,  $\exists$  a vector field  $Y \lrcorner H^{-1}(c)$  and  $L_Y \omega = \omega$  (\*)

$$(*) \Leftrightarrow d \underbrace{L_Y \omega}_{=: \lambda} + \overset{0}{L_Y \omega} = \omega$$

$$\Leftrightarrow \exists \text{ 1-form } \lambda \text{ (near } H^{-1}(c) \text{) s.t. } \omega = d\lambda.$$

↑  
called a Liouville form

Then one can check that  $\left( H^{-1}(c), \underbrace{\lambda|_{H^{-1}(c)}}_{\text{dim 2 hyperplane field}} \right)$  is a contact wfd. Moreover,

$$R_\lambda = \frac{X_H}{dH(\gamma)} \leftarrow \text{non-zero b/c } \gamma \nabla H^{-1}(c)$$

Verify:

$$\begin{aligned} \lambda(R_\lambda) &= (\gamma, \omega) \left( \frac{X_H}{-dH(\gamma)} \right) \\ &= \omega(\gamma, \frac{X_H}{dH(\gamma)}) \\ &= -\frac{1}{dH(\gamma)} \omega(X_H, \gamma) = 1. \end{aligned}$$

$$\underbrace{\iota_{X_H} d\lambda}_{\lambda|_{H^{-1}(c)}} = \iota_{X_H} \omega|_{H^{-1}(c)} = \omega|_{H^{-1}(c)}(X_H, -) = -dH(\underbrace{-}_{\substack{\uparrow \\ \text{input from } H^{-1}(c)}}) = 0$$

Then Tanke's result implies that  $\exists$  a <sup>closed characteristic</sup> closed orbit of the Ham flow  $X_H$  (not nec at  $t=1$ ) on  $H^{-1}(c)$ !

Remark Weinstein, Rabinowitz proved this  $\exists$ -result in 1978.  
for any dim. (Of course, not every cpt contact str can be viewed as the level set of a Ham system).

Example above gives a hint that symplectic geometry is related with contact geometry in a natural way.

Def A Liouville domain is a symplectic manifold with boundary  $(W, \omega)$   
 s.t.  $\exists \gamma$  a v.f. on  $\underline{W}$ ,  $\uparrow \partial W$ , and  $\underline{L_\gamma \omega = \omega}$ .  
 $\omega$  exponentially grows or shrinks.

By discussion above,  $(\partial W, \xi = \ker(L_\gamma \omega)|_{\partial W})$  is a contact manifold.

Ex.  $(W, \omega) = (\{ \sum_{i=1}^n x_i^2 + y_i^2 \leq 1 \}, \omega_{\text{std}}|_{\{ \dots \}})$  is a Liouville domain  
 $(\mathbb{R}^{2n}, \omega_{\text{std}})$

and  $\gamma = \frac{1}{2} \sum_{i=1}^n (x_i \partial_{x_i} + y_i \partial_{y_i})$  radial vector field.

$\Rightarrow (\partial W, \xi) = (S^{2n-1}, \xi_{\text{std}})$  contact manifold with the standard contact structure.

Observation:  $\lambda = L_\gamma \omega$  is fixed but  $\lambda|_{\partial W}$  varies, depending on  $\partial W$ .



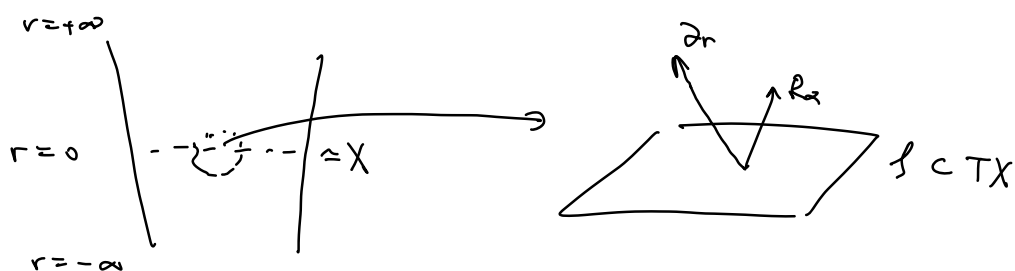
One can also fix  $S^{2n-1}$  but deform  $\xi_{\text{std}}$  to  $\xi_+$  (through contact structures), via deforming  $\gamma$ , then Gray's stability theorem (Thm 1.6 in [Wen])

shows that  $\exists \varphi_+ : S^{2n-1} \hookrightarrow S^{2n-1}$  s.t.  $(\varphi_+)_* \xi_+ = \xi_{\text{std}}$ .  
 $\uparrow$   
 contactomorphism

//

From contact wfd  $(X, \zeta)$ , one can build a symp wfd via "symplectization": fix  $\alpha$  s.t.  $\zeta = \ker \alpha$ , then

$$M := \mathbb{R} \times_r X \quad \text{and} \quad \omega = d(e^r \alpha) \quad e^r dr \wedge \alpha$$



Remark Sometimes papers use another convention  $M = [0, \infty) \times X$  and  $\omega = dr \wedge \alpha$  and  $X \subseteq \{1\} \times X$ .

How does a J-hol curve look like in a symplectization?

Pick  $J$  on  $\mathbb{R} \times X$  s.t.

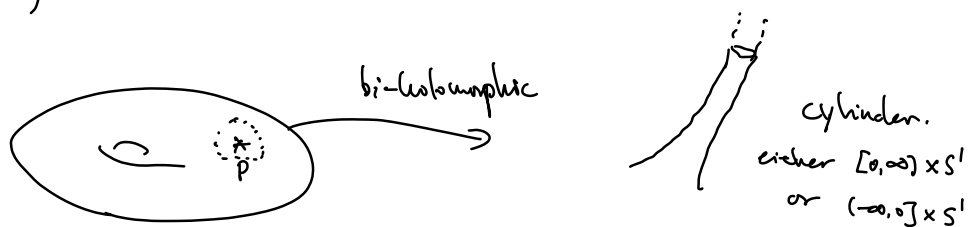
- $J$  is invariant under translation in  $r$ , i.e.  $J(a, x) = J(a+r, x)$
- $J(\partial_r) = R_x$  and  $J(R_x) = -\partial_r$
- Restricted at  $\zeta$ ,  $\omega(-, J-)$  is a metric (on bundle  $\zeta \downarrow X$ )

Prop  $u: (\Sigma, j) \rightarrow (\mathbb{R} \times X, \omega)$  by  $(u_R, u_X)$ . if  $u$  is J-hol, then

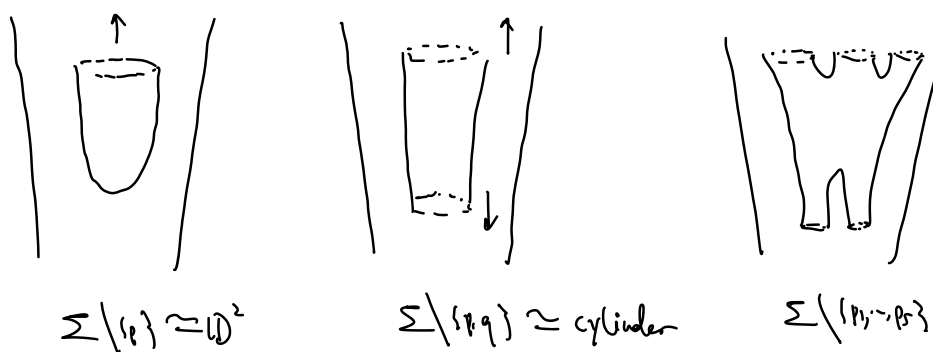
$u_R$  is a subharmonic function ( $\Delta u_R \geq 0$ )

$\Rightarrow \Sigma$  can not be compact.

Modify  $\Sigma$  to be punctured  $\dot{\Sigma} = \Sigma \setminus \{p_1, \dots, p_n\}$ .



Ex



$$\lim_{s \rightarrow \infty} u(s, t) = \gamma(t) \quad \text{positive (asymptotic) end}$$

$$\lim_{s \rightarrow -\infty} u(s, t) = \gamma(t) \quad \text{negative (asymptotic) end}$$

Thm. Under a finite energy condition,  $\gamma(t)$  is a closed Reeb orbit.  
(later)

Remark Recall that in (Ham) Floer homology,  $\partial$  is also involving the study of asymptotic behavior when  $s \rightarrow \pm \infty$ .

Remark Similarly to symplectic geometry situation, studying the moduli space of punctured  $u: (\dot{\Sigma}, j) \rightarrow (\mathbb{R} \times M, J)$  is also crucial.

↖ need to add asymp. cond.



### 3. Symplectic embedding

Given two Liouville domain  $(U, \omega_U)$  and  $(V, \omega_V)$ , a symplectic emb is an emb  $\varphi: U \hookrightarrow V$  s.t.  $\varphi^* \omega_V = \omega_U$ .

Ex.  $(\mathbb{R}^{2n}, \omega_{std}) (\simeq (\mathbb{C}^n, \omega_{std}))$

$$E(a_1, \dots, a_n) = \left\{ (z_1, \dots, z_n) \in \mathbb{C}^n \mid \frac{\pi |z_1|^2}{a_1} + \dots + \frac{\pi |z_n|^2}{a_n} \leq 1 \right\} \leftarrow \text{symplectic ellipsoid}$$

$$B(r) = E(r, \dots, r), \quad Z(R) = E(R, \infty, \dots, \infty)$$

$\uparrow$  symplectic ball                       $\uparrow$  symplectic cylinder.                       $\underbrace{\quad}_{\text{no constraint on } z_2, \dots, z_n}$

$$P(a_1, \dots, a_n) = \left\{ (z_1, \dots, z_n) \in \mathbb{C}^n \mid \pi |z_1|^2 \leq a_1, \dots, \pi |z_n|^2 \leq a_n \right\} \leftarrow \text{polydisk}$$

Prk.  $\partial P(a_1, \dots, a_n)$  is not smooth.

Prk. All cases above admit  $\mathbb{T}^n$ -action by  $(\theta_1, \dots, \theta_n) \cdot (z_1, \dots, z_n)$  defined by  $(e^{i\theta_1} z_1, \dots, e^{i\theta_n} z_n) \Rightarrow$  toric domain

Finding obstructions of embedding is a central topic in symplectic geometry.

