

•  $\varphi * f \in C^k(\mathbb{C})$  b/c  $*$  preserve regularity.

• If  $f \in C_c^1(\mathbb{C})$ , then

$$\partial_z(\varphi * f) = \varphi * \partial_z f \quad \text{and} \quad \partial_{\bar{z}}(\varphi * f) = \varphi * \partial_{\bar{z}} f$$

• Take  $f = \partial_{\bar{z}} u$  for some  $u \in C_c^\infty(\mathbb{C})$ , then

$$\int_{\mathbb{C}} \frac{\partial_{\bar{z}} u}{z - z_0} dz_1 d\bar{z}_2 = \underbrace{\int_{\mathbb{C} \setminus B_\varepsilon(z_0)} \frac{\partial_{\bar{z}} u}{z - z_0} dz_1 d\bar{z}_2}_A + \underbrace{\int_{B_\varepsilon(z_0)} \frac{\partial_{\bar{z}} u}{z - z_0} dz_1 d\bar{z}_2}_B$$

For  $B$ ,  $|\partial_{\bar{z}} u|$  is bounded and  $\int_{B_\varepsilon(z_0)} \frac{1}{|z - z_0|} dz_1 d\bar{z}_2 \stackrel{\text{change to polar coordinate}}{=} O(\varepsilon) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ .

$\Rightarrow$  focus on  $A$  (and  $\varepsilon \rightarrow 0$  eventually)

$$\int_{\mathbb{C} \setminus B_\varepsilon(z_0)} \frac{\partial_{\bar{z}} u}{z - z_0} dz_1 d\bar{z}_2 = - \int_{\mathbb{C} \setminus B_\varepsilon(z_0)} d \left( \frac{u(z)}{z - z_0} dz \right)$$

$$\stackrel{\text{orientation changes}}{=} + \int_{\partial B_\varepsilon(z_0)} \frac{u(z)}{z - z_0} dz$$

$$\stackrel{\text{change variable}}{=} \int_0^{2\pi} \frac{u(z_0 + \varepsilon e^{i\theta})}{\varepsilon e^{i\theta}} \varepsilon e^{i\theta} \cdot \sqrt{-1} d\theta$$

$$dz = \varepsilon e^{i\theta} \cdot \sqrt{-1} d\theta$$

$$= \int_0^{2\pi} u(z_0 + \varepsilon e^{i\theta}) \cdot \sqrt{-1} d\theta$$

$$\Rightarrow (\varphi * (\partial_{\bar{z}} u))(z_0) = \frac{1}{2\pi} \lim_{\varepsilon \rightarrow 0} \int_0^{2\pi} u(z_0 + \varepsilon e^{i\theta}) d\theta = u(z_0)$$

$\nwarrow$  average value of  $u$  around  $z_0$ .

$$\Rightarrow \varphi^*(\partial_{\bar{z}} u) = u.$$

$$\Rightarrow \partial_{\bar{z}}(\varphi^* u) = u$$

← This means for given  $f \in C^1_0(\mathbb{C})$ ,  
we can find a solution of eqn  $\partial_{\bar{z}} u = f$   
where  $u = \varphi^* f \in C^1(\mathbb{C})$ .

Exercise For  $\Omega \subset \mathbb{C}$  be a bounded domain,  $p < +\infty$ , for any  $f \in L^p(\Omega)$ ,  
we have  $f$  is a weak  $\partial_{\bar{z}}$ -derivative of  $\varphi^* f$  in the sense  
that  $\forall \psi \in C^\infty_0(\mathbb{C})$ ,  $\int_\Omega (\varphi^* f) \partial_{\bar{z}} \psi \, dA = - \int_\Omega f \psi \, dA$ .

Now, introduce an operator  $T: C^\infty_0(\mathbb{C}) \rightarrow C^\infty(\mathbb{C})$  by

$$T(-) := (\partial_{\bar{z}} \circ \varphi^*)(-)$$

$$\text{Then } T(\partial_{\bar{z}} u) = \partial_{\bar{z}}(\varphi^* \partial_{\bar{z}} u) = \partial_{\bar{z}}(\partial_{\bar{z}}(\varphi^* u)) = \partial_{\bar{z}} u.$$

(i.e.  $T$  switch the differential part).

Remark One can extend all discussion above for  $\varphi^*$  and  $T$  to  $C^\infty(\mathbb{C}, \mathbb{C}^n)$ .

Back to the non-linear Cauchy-Riemann eqn (\*), it rewrites as

$$\begin{aligned} \partial_{\bar{z}} v + (q \cdot w) T(\partial_{\bar{z}} v) \\ \stackrel{=}{=} \underbrace{(1 + (q \cdot w) T)}_{\text{assuming it is invertible (in some sense, later)}} (\partial_{\bar{z}} v) = (\partial_{\bar{z}} \chi) \cdot w + (\partial_{\bar{z}} \chi) (q \cdot w) w. \end{aligned}$$

$\Rightarrow$  (locally)  $J$ -hol curve is basically solving  $\partial_{\bar{z}} v = f$  for some  $f, v$  defined on  $\mathbb{C}$ .  
(solving a PDE)

## 6. Regularity ← important but difficult

Meaning: given  $f (= \partial_{\bar{z}} v) \in W^{k,p}$ , how about any solution  $v$ ?

Thm (Calderón-Zygmund) For  $1 < p < \infty$ ,  $\exists C_p^{>0}$  s.t.  $\forall f \in C_c^\infty(\mathbb{C})$ , we have the estimate

$$\|Tf\|_p \leq C_p \|f\|_p$$

So  $T$  extends to a bounded linear operator on  $L^p(\mathbb{C})$ . ← simply by approximation  
 $\forall C_c^\infty(\mathbb{C})$  is dense in  $L^p(\mathbb{C})$ .

Rmk When  $p=2$ , one can manually check that  $\|Tf\|_2 = \|f\|_2$ .

Here is an example how we play with Thm above.

Ex Suppose  $\partial_{\bar{z}} v = f$  in a weak derivative sense where  $v, f \in L^p(\mathbb{C})$  and  $v$  cptly supported. Consider

$$v_n = \eta_{\frac{1}{n}} * v \xrightarrow{L^p} v \quad (\text{see pages 2 above})$$

$\nearrow$   
mollifier supp in  $B_{\frac{1}{n}}(0)$

$$\bullet \quad \partial_{\bar{z}} v_n = \eta_{\frac{1}{n}} * \partial_{\bar{z}} v \stackrel{\text{weak}}{=} \eta_{\frac{1}{n}} * f \xrightarrow{L^p} f$$

$$\begin{aligned} \Rightarrow \|v_n - v_m\|_{1,p} &\leq \|v_n - v_m\|_p + \|\partial_{\bar{z}}(v_n - v_m)\|_p + \|\partial_{\bar{z}}(v_n - v_m)\|_p \\ &= \|v_n - v_m\|_p + \|\partial_{\bar{z}}(v_n - v_m)\|_p + \|T \partial_{\bar{z}}(v_n - v_m)\|_p \\ &\leq \|v_n - v_m\|_p + (1 + C_p) \|\partial_{\bar{z}} v_n - \partial_{\bar{z}} v_m\|_p \rightarrow 0 \end{aligned}$$

$\Rightarrow \{V_n\}$  is a Cauchy sequence in  $W_0^{k,p}(\mathbb{C})$  ← Here is a reason why we need put everything in a Banach space.

$\Rightarrow V \in W_0^{k,p}(\mathbb{C})$

Moreover,

$$\begin{aligned} \|V\|_{k,p} &\leq \|V\|_p + \|\partial_{\bar{z}} V\|_p + \|\partial_z V\|_p \\ &= \|V\|_p + \|f\|_p + \|Tf\|_p \leq \|V\|_p + (1+C_p)\|f\|_p. \end{aligned} //$$

Then by induction, one can get the following conclusion:

Prop  $\partial_{\bar{z}} V = f$  for  $V \in L^p(\mathbb{C})$ ,  $f \in W_0^{k,p}(\mathbb{C})$ , then  $V \in W_0^{k+1,p}(\mathbb{C})$   
and  
$$\|V\|_{k+1,p} \leq C (\|V\|_p + \|f\|_{k,p}) \quad \text{for } C = C(k,p).$$

Proof By using "multiply by  $\chi^{\text{cut off}}$ " technique, one can prove cpt

$\partial_{\bar{z}} V = f$  for  $V \in L^p(\Omega)$  and  $f \in W_0^{k,p}(\Omega)$ , then for all  $\Omega' \overset{\text{cpt}}{\subset} \subset \Omega$   
we have  $V \in W_0^{k+1,p}(\Omega')$  with the same estimate in  $W^{k+1,p}$ -norm.

Finally, we have to deal with the invertibility of  $1 + (q \circ w)T$   
near the end of page 16. Assumption:  $w \in W_0^{k,p}(\mathbb{C})$ ,  $J \in C^{k+1}(\mathbb{C}^n, \text{End}(\mathbb{C}^n))$   
( $\Leftrightarrow u \in W^{k,p}(\mathbb{C})$ )

Easy case: when  $\|w\|_{k,p} < \varepsilon$ .

- Since  $T$  commutes with  $D^{\alpha}$ ,  $T$  extends to  $W^{k,p}(\mathbb{C})$ .
- $q \in C^{k+1}(\mathbb{C}^n, \text{End}(\mathbb{C}^n)) \Rightarrow q \cdot w \in W_0^{k,p}(\mathbb{C})$  by prop on page 11.  
→  $q(z)$  = matrix and each component is  $C^{k+1}$

- $(q \cdot w) \cdot T(f) \in W^{k,p}(\mathbb{C})$  by prop on page 9.  
 $\uparrow$   
 $W^{k,p}(\mathbb{C})$

and  $\|(q \cdot w) \cdot T f\|_{k,p} \leq C \|q \cdot w\|_{k,p} \cdot \|T f\|_{k,p}$   
 $\leq \frac{1}{2} \|f\|_{k,p}$  when  $\|w\|_{k,p} < \varepsilon$ . for  
a sufficiently small  $\varepsilon$ .

Then one can define

$$(1 + (q \cdot w) \cdot T)^{-1} = 1 - (q \cdot w) \cdot T + (q \cdot w \cdot T)^2 + \dots$$

This convergence since the operator norm of  $(q \cdot w) \cdot T$  is  $< 1$ .

Hard case: without the condition  $\|w\|_{k,p} < \varepsilon$ .  
 $\uparrow$   
recall that in reality  $w = \eta \cdot u +$  extension.

Renormalization trick: modulo the cut-off for  $\chi$ , let's assume

$u: \mathbb{D} \rightarrow \mathbb{C}^n$  and  $u \in W^{k,p}(\mathbb{D})$ . Then consider

$$u_m(z) := u\left(\frac{z}{m}\right) \quad \text{for } z \in \mathbb{D} \text{ and } k \in \mathbb{N}_{\geq 1}.$$

Then  $\partial_{\bar{z}} u_m + (q \cdot u_m)(z) \partial_z u_m = \frac{1}{m} \partial_{\bar{z}} u\left(\frac{z}{m}\right) + q\left(u\left(\frac{z}{m}\right)\right) \cdot \frac{1}{m} \partial_z u\left(\frac{z}{m}\right)$   
 $= 0$  (if  $u$  is J-hol).  
 $\uparrow$   
recall the middle of page 13

Key Claim:  $\|u_m\|_{k,p} \rightarrow 0$  as  $m \rightarrow \infty$ .

$\Rightarrow \exists m_0$  sufficiently large s.t.  $\|u_m\|_{k,p} < \varepsilon$ . (so the modified  $w_m$  satisfies

$\|w_m\|_{k,p} < \varepsilon$  and EASY case above applies.  $\leftarrow$  the resulting supp changes from  $\mathbb{D}$  to  $\frac{1}{m} \mathbb{D}$ .

pf of the claim (extra hypothesis needed!)  
*added along the argument*

For  $|\alpha| \geq 1$ ,

$$\begin{aligned} \int_D |D^\alpha u_m(z)|^p dV(z) &= \int_D |D^\alpha u(\frac{z}{m})|^p dV(z) \\ &= \int_D |m^{-|\alpha|} D^\alpha u(\frac{z}{m})|^p dV(z) \end{aligned}$$

$$\begin{aligned} x &= \frac{z}{m} \\ dV(z) &= \frac{1}{m^2} dV(x) \end{aligned} \quad \int_{\frac{1}{m}D} |m^{-|\alpha|} D^\alpha u(x)|^p \cdot m^2 dV(x)$$

$$= \int_{\frac{1}{m}D} m^{2-|\alpha|p} |D^\alpha u(x)|^p dV(x) \leq m^{2-|\alpha|p} \|u\|_{K,p}^p \quad (\text{over } \frac{1}{m}D).$$

Assume *extra hypo 1*  $p > 2$ , then  $2-|\alpha|p < 0$ , so  $D^\alpha u_m \xrightarrow{L^p} 0$ .

For  $\alpha=0$ , by Sobolev emb (Thm on page 7) where  $p > \frac{2n}{n-2}$  and assume  
*extra hypo 2.*  $K \geq 1$ , we know

$$W^{K,p}(D) \xrightarrow{\text{cont.}} C^{K-1, \gamma=1-\frac{2}{p}}(D) \quad \swarrow \text{we can have assumed } u(0)=0$$

$$\Rightarrow u \in W^{K,p}(D) \text{ satisfies } \frac{|u(\frac{z}{m}) - u(0)|}{|\frac{z}{m} - 0|^{1-\frac{2}{p}}} \leq C \cdot \|u\|_{K,p}$$

$$\begin{aligned} \Rightarrow |u_m(z)| &= |u(\frac{z}{m})| \leq |\frac{z}{m}|^{1-\frac{2}{p}} \cdot C \cdot \|u\|_{K,p} \\ &\leq |\frac{1}{m}|^{1-\frac{2}{p}} \cdot C''(u) \quad \text{b/c } |z| \in \text{radius of } D. \end{aligned}$$

$$\Rightarrow u_m \rightarrow 0 \text{ uniformly and then } u_m \in L^p(D)$$

Therefore,  $\|u_m\|_{K,p} \rightarrow 0$ . □

To summarize what we have done for this lecture, we get the following regularity result.

Thm <sup>(Thm 2.24 (i) in [Wu05])</sup>  $u: (D, j) \rightarrow (\mathbb{C}^n, J)$   $J$ -hol, where  $J$  is  $C^k$  and  $k \geq 1$ ,

if  $u \in W^{k,p}(D, \mathbb{C}^n)$  with  $p > 2$ , then  $\exists D' \subset D$  s.t.  $u \in W^{k,p}(D', \mathbb{C}^n)$

In particular, if  $J$  is smooth, then  $u \in C^\infty(D'', \mathbb{C}^n) \leftarrow \begin{matrix} \text{b/c } p \geq 2 \text{ eq.} \\ \text{and } W^{k,p} \hookrightarrow C^{k-1} \end{matrix}$   
for some open disk  $D'' \subset D$ .

Note that this Thm forms the "local statement" that one can upgrade to a statement for  $W^{k,p}(\Sigma, M)$  (see Next Let - SF74).