MATH 6350 Final Exam Part 1 Fall 2022 Applying Random Forest and Support Vector Machine to Dry Beans Dataset Jake Zhu

### **Data Description**

The set of data, Dry Bean Dataset, is obtained from University of California Irvine's machine learning repository and may be found in the following link:

https://archive.ics.uci.edu/ml/datasets/Dry+Bean+Dataset

There are a total of 13,511 cases of which we can classify into 7 different classes (types of beans).

The input variables (based on computer vision):

|   | _   |   |   |    |   |
|---|-----|---|---|----|---|
| • | - 1 | _ | 2 | re | 1 |
|   |     |   |   |    | ~ |

• 2 – perimeter

• 3 – major axis length

4 – minor axis length

• 5 – aspect ratio

• 6 – eccentricity

• 7 – convex area

8 – equivalent diameter

9 – extent

10 – solidity

11 – roundness

• 12 – compactness

13 – ShapeFactor1

• 14 – ShapeFactor2

• 15 – ShapeFactor3

16 – ShapeFactor4

And the output variable in which we will attempt to classify:

• Class of the bean (Seker, Barbunya, Bombay, Cali, Dermosan, Horoz, Sira)

The only categorical variable is our output variable, in which we will encode during EDA.

After some initial EDA, we find the following:

Dermason: 3546

cases

Sira: 2636 cases

• Seker: 2027 cases

Horoz: 1928 cases

• Cali: 1630 cases

• Barbunya: 1322

cases

Bombay: 522 cases

Clearly, there are some imbalances in the dataset, so we will do the following to balance the dataset:

- We can omit the highest and lowest classes (Dermason and Bombay); this is because Bombay will need to be oversampled by a factor of more than 2.5 if we include Bombay and Dermason is our upper limiting factor in which the rest of the classes must need to be rebalanced.
  - This creates a case where Barbunya class would only need to be rebalanced by a factor of 2, less than the restricting limit of 2.5 that was recommended.
- If we follow the above rebalancing actions:
  - Sira: 2636 cases; does not need change
  - Seker: 2027 cases; oversample by a factor of 1.25
  - Horoz: 1928 cases; oversample by a factor of 1.3
  - Cali: 1630 cases; oversample by a factor of 1.55
  - Barbunya: 1322 cases; oversample by a factor of 2

# **Exploratory Data Analysis (EDA) and Pre-Processing**

After eliminating the classes of Dermason and Bombay, as well as removing 68 duplicate records in our dataset, we arrive at the following distribution:

SIRA 2636 SEKER 2027 HOROZ 1860 CALI 1630 BARBUNYA 1322

Name: Class, dtype: int64

Cleaning Summary

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Total records: 9543 Removed 68 duplicate rows

Missing Value Summary Below

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Dry Beans Dataset with DERMASON and BOMBAY removed

.

Area 0
Perimeter 0
MajorAxisLength 0
MinorAxisLength 0
AspectRation 0
Eccentricity 0
ConvexArea 0
EquivDiameter 0
Extent 0
Solidity 0
roundness 0
Compactness 0
ShapeFactor1 0
ShapeFactor2 0
ShapeFactor3 0
ShapeFactor4 0

dtype: int64

Class

|       | Area  | Perimeter | MajorAxisLength | MinorAxisLength | AspectRation | Eccentricity | ConvexArea | EquivDiameter | Extent   | Solidity | roundness | Compactne |
|-------|-------|-----------|-----------------|-----------------|--------------|--------------|------------|---------------|----------|----------|-----------|-----------|
| 0     | 28395 | 610.291   | 208.178117      | 173.888747      | 1.197191     | 0.549812     | 28715      | 190.141097    | 0.763923 | 0.988856 | 0.958027  | 0.9133    |
| 1     | 28734 | 638.018   | 200.524796      | 182.734419      | 1.097356     | 0.411785     | 29172      | 191.272750    | 0.783968 | 0.984986 | 0.887034  | 0.9538    |
| 2     | 29380 | 624.110   | 212.826130      | 175.931143      | 1.209713     | 0.562727     | 29690      | 193.410904    | 0.778113 | 0.989559 | 0.947849  | 0.9087    |
| 3     | 30008 | 645.884   | 210.557999      | 182.516516      | 1.153638     | 0.498616     | 30724      | 195.467062    | 0.782681 | 0.976696 | 0.903936  | 0.9283    |
| 4     | 30140 | 620.134   | 201.847882      | 190.279279      | 1.060798     | 0.333680     | 30417      | 195.896503    | 0.773098 | 0.990893 | 0.984877  | 0.9708    |
|       |       |           |                 |                 |              |              |            |               |          |          |           |           |
| 10060 | 58063 | 941.882   | 366.689523      | 202.790970      | 1.808214     | 0.833160     | 58936      | 271.897237    | 0.735012 | 0.985187 | 0.822463  | 0.7414    |
| 10061 | 58074 | 910.115   | 351.958861      | 210.417832      | 1.672667     | 0.801610     | 58609      | 271.922992    | 0.777648 | 0.990872 | 0.881047  | 0.772     |
| 10062 | 59431 | 956.785   | 390.489073      | 194.564645      | 2.006989     | 0.867028     | 60276      | 275.081623    | 0.689839 | 0.985981 | 0.815820  | 0.7044    |
| 10063 | 60493 | 931.321   | 363.814243      | 212.613752      | 1.711151     | 0.811464     | 61239      | 277.528521    | 0.791814 | 0.987818 | 0.876428  | 0.7628    |
| 10064 | 63612 | 984.282   | 400.931467      | 204.347808      | 1.962005     | 0.860362     | 64581      | 284.593243    | 0.815664 | 0.984996 | 0.825106  | 0.7098    |

9475 rows × 18 columns

Table 1: Preview of cleaned dry beans data frame

The categorical column was encoded with label encoder and named as column 'y'. There are a total of 16 input variables, 1 output variable (ground truth classes), and 1 column of 'y' that we created. A total of 9475 cases are observed for 5 different classes of dry beans (sira, seker, horoz, cali, barbunya).

The correlation heatmap of all 16 predictors and the response class can be seen below:

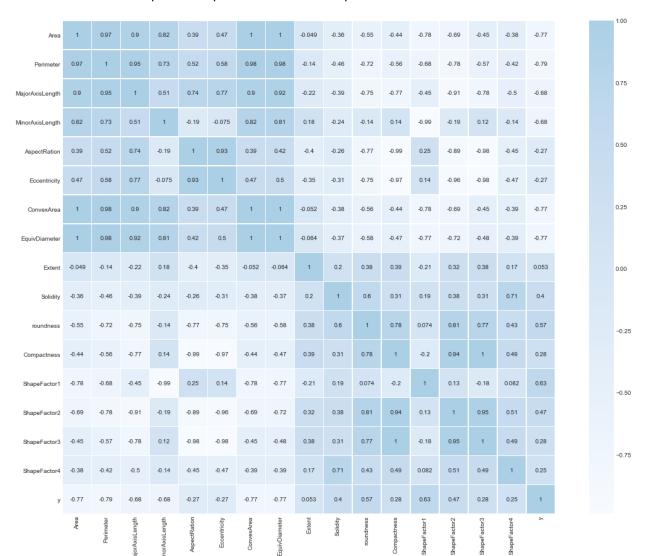


Figure 1: Correlation heatmap of cleaned data frame of dry beans

We can observe there is quite a bit of correlation between a majority of variables. We can handle these correlations in two ways:

- 1. Drop the highly correlated features
- 2. Leave them as is because tree-based models are not impacted by correlated features; when tree-based models decide to split, the tree will choose only one of the perfectly correlated features

We can choose the second method here because we are indeed using a tree-based model to evaluate our dry beans dataset.

Next, we can apply feature scaling to our dataset using the standard scaler from sklearn package. Only scaling the input variables and adding on the categorical variable before train and test split (we will use 85% to train and 15% to test), then applying SMOTE after to only the training set (this is because we do not want to have synthetic data in our test set).

Using the parameter sampling\_strategy = 'not majority' makes it so the class with the highest number of cases do not get resampled and the rest of the classes will have equal number of cases compared to our highest class of .

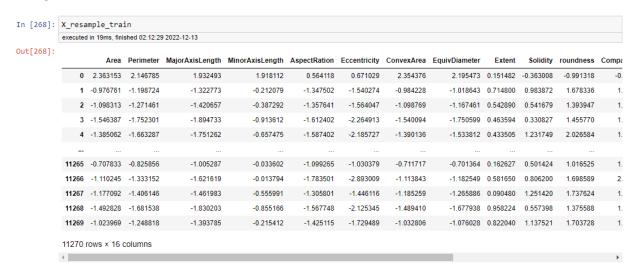
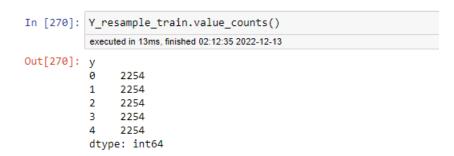


Table 2: Preview of our resampled training set



The result is we have a training set with 11270 rows, each class has 2254 cases of the 5 dry bean classes.

## **Application of Random Forest and Results**

Using the random forest classifier from sklearn package, we can train different classifiers by changing the hyperparameters. Specifically, we can tune the following:

- 1. The number of trees built by the classifier: 100, 200, 300, 400, 500
- 2. Minimum impurity decrease, a node will be split if this split induces a decrease of the impurity greater than or equal to this value: 0, 0.02, 0.04, 0.06, 0.08, 0.1
- 3. The minimum number of samples required to be at a leaf node. A split point at any depth will only be considered if it leaves at least min\_samples\_leaf training samples in each of the left and right branches: 1, 2, 6, 10

|     | n_estimators | min_impurity_decrease | min_samples_leaf | OOB Acc | Test Acc |
|-----|--------------|-----------------------|------------------|---------|----------|
| 72  | 400          | 0.0                   | 1                | 1.000   | 0.942    |
| 96  | 500          | 0.0                   | 1                | 1.000   | 0.941    |
| 0   | 100          | 0.0                   | 1                | 1.000   | 0.940    |
| 74  | 400          | 0.0                   | 6                | 0.973   | 0.940    |
| 24  | 200          | 0.0                   | 1                | 1.000   | 0.940    |
|     |              |                       |                  |         |          |
| 119 | 500          | 0.1                   | 10               | 0.773   | 0.821    |
| 20  | 100          | 0.1                   | 1                | 0.772   | 0.819    |
| 21  | 100          | 0.1                   | 2                | 0.772   | 0.819    |
| 22  | 100          | 0.1                   | 6                | 0.772   | 0.819    |
| 23  | 100          | 0.1                   | 10               | 0.772   | 0.819    |
|     |              |                       |                  |         |          |

120 rows x 5 columns

Table 3: Hyperparameter tuning of random forest classification

From our hyperparameter tuning, we can choose several models that would be deemed viable for our primary model. Arguments could be made for the top 3 choices in the table above due to computational efficiency as well as the top choice having the best test accuracy. I have chosen the first model with 400 trees, 0.00% min impurity decrease, and minimum number of samples at a leaf node to be 1. Our out of bag accuracy turns out to be 100% and our test set accuracy is 94.2%.

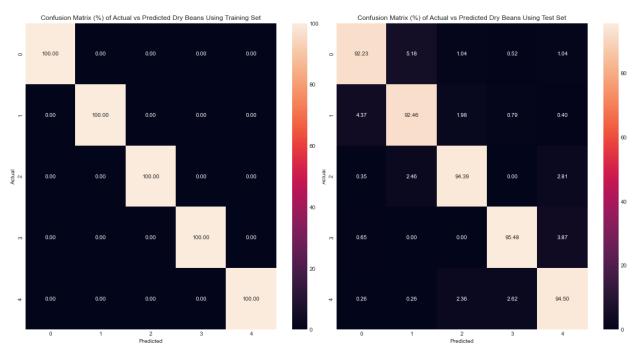


Figure 2: Confusion matrix of training (left figure) and test (right right) sets

| Class N                   | CL0 - BARBUNYA | CL1 - CALI | CL2 - HOROZ | CL3 - SEKER | CL4 - SIRA |
|---------------------------|----------------|------------|-------------|-------------|------------|
| OOB Training Accuracy (%) | 100%           | 100%       | 100%        | 100%        | 100%       |
| Test Accuracy (%)         | 92.23%         | 92.46%     | 94.39%      | 95.48%      | 94.50%     |

Table 4: Summary of training and testing accuracy of our classes

From our chosen model, we can see that classes CL3 and CL4 resulted in the highest accuracy on the test set. Hence, we will choose those two classes for our support vector machine application with radial kernel.

Overall, our random forest model has shown accurate classification prediction accuracy above 90%. The procedure of SMOTE has also helped us to balance classes that were imbalanced beforehand.

## **Application of Radial Kernel Support Vector Machine and Results**

We can now implement a radial kernel SVM for our two highest accuracy classes. There are 2 main hyper-parameters used for tuning the radial SVM model, mainly the cost, 'C', and gamma, 'g'. A large value of C gives us low bias and high variance while a small value of C gives us higher bias and lower variance. A large gamma will give us higher bias and low variance while a small gamma will give us low bias and high variance.

We have employed an iterative technique of calculating Hilbert distances for specific values of gamma in order uncover a meaningful range of values from which to choose from. See the below function that was applied to find the gamma range (note: tested for gamma values of 0.01, 0.05, 0.1, 0.5, 1, 5, 10, 25, 50, 100). The resulting was that gamma value of 1 resulted in a convergence of Hilbert's distance values, thus, our max gamma value should be close to 1.

```
def hilb_hist(k,g):
    dis = [] #empty List to store distances
    k = k
    g = g
    index_hilb = random.sample(range(2250),k)
    CL3 = X_resample_train[(Y_resample_train['y']==3)].iloc[index_hilb]
    CL4 = X_resample_train[(Y_resample_train['y']==4)].iloc[index_hilb]
    for i in range(k):
        for j in range(k):
            hil = math.sqrt(2 - (2*math.exp(-g*pow(abs(math.dist(CL3.iloc[i],CL4.iloc[j])),2))))
            dis.append(hil)
    return (dis)

executed in 6ms, finished 03:57:42 2022-12-14
```

Image 1: Function used to find appropriate gamma range

For the values of C, I have chosen the values of: 0.1, 1, 4, 10, 20, 30, 50. We typically do not want such a large value for C as we are attempting to minimize C while having good accuracy.

Next, we implemented a grid search function to find the optimal value of gamma and cost as shown below.

Image 2: Function used to find optimal values of gamma and cost

From our hyperparameter tuning, we can choose our top model to be the one with gamma = 0.5, cost = 0.1, with training accuracy at 97.4% and test accuracy at 97.5%.

#### Out[361]:

|    | Gamma | Cost | Train Acc | Test Acc |
|----|-------|------|-----------|----------|
| 7  | 0.05  | 0.1  | 0.974     | 0.975    |
| 1  | 0.01  | 1.0  | 0.974     | 0.973    |
| 14 | 0.10  | 0.1  | 0.974     | 0.973    |
| 0  | 0.01  | 0.1  | 0.969     | 0.970    |
| 2  | 0.01  | 5.0  | 0.977     | 0.970    |
| 8  | 0.05  | 1.0  | 0.978     | 0.970    |
| 26 | 0.50  | 30.0 | 0.991     | 0.970    |
| 21 | 0.50  | 0.1  | 0.975     | 0.970    |
| 16 | 0.10  | 5.0  | 0.984     | 0.968    |

Table 4: Top 9 results of hyperparameter tuning of radial SVM

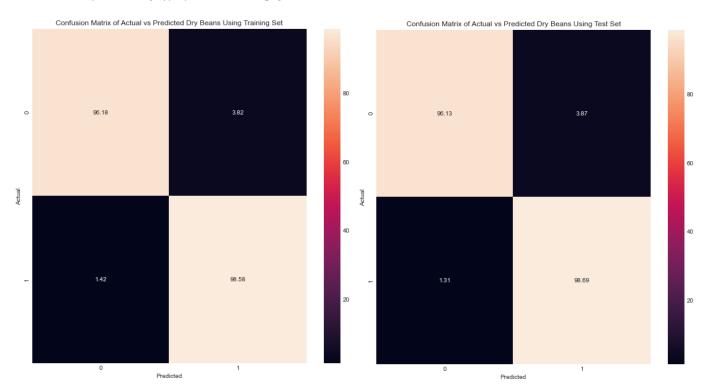


Figure 3: Confusion matrix of training (left figure) and test (right right) sets for our radial SVM model

#### Appendix A: All code for this report

```
import pandas as pd
 import numpy as np
 import random
 import math
 import sklearn
 import imblearn
 from imblearn.over_sampling import SMOTE
 import seaborn as sns
 import matplotlib.pyplot as plt
 from sklearn.preprocessing import StandardScaler, LabelEncoder
 import os
 from scipy import stats
 import seaborn as sns
 import itertools
 from sklearn.ensemble import RandomForestClassifier
 from sklearn.metrics import accuracy_score
 from sklearn.metrics import confusion matrix
 from sklearn.model selection import train test split
 from matplotlib.ticker import PercentFormatter
 from sklearn import svm
 %matplotlib inline
 plt.style.use("seaborn-whitegrid")
 import warnings
 warnings.filterwarnings("ignore")
 # import the data
 data = pd.read_excel('Dry_Bean_Dataset.xlsx')
 # data.head()
 df = data.copy()
 df.head(6)
: # omitting Dermason and Bombay
  newdf 1 = (df[df['Class'] != 'DERMASON'])
  newdf = (newdf_1[newdf_1['Class'] != 'BOMBAY'])
  executed in 14ms, finished 04:44:54 2022-12-14
 newdf.info()
  executed in 15ms, finished 04:44:54 2022-12-14
  newdf['Class'] = newdf['Class'].astype('category')
  newdf.info()
  executed in 15ms, finished 04:44:54 2022-12-14
```

```
# creating instance of labelencoder
labelencoder = LabelEncoder()
# Assigning numerical values and storing in another column
newdf['y'] = labelencoder.fit transform(newdf['Class'])
executed in 31ms, finished 04:44:54 2022-12-14
```

```
# This section prints out the following:
# whether there are exact duplicate rows in the dataframe and remove the duplicated row
# how many null values are in each column of newdf dataframe
print("\nCleaning Summary\n{}".format("-"*35))
print("Total records:", newdf.shape[0])
duplicate rows = newdf.duplicated()
if True in duplicate_rows:
    newdf = newdf[~duplicate_rows]
print("Removed {} duplicate rows".format(np.where(duplicate_rows==True)[0].size))
print("\nMissing Value Summary Below\n{}".format("-"*35))
print("\nDry Beans Dataset with DERMASON and BOMBAY removed\n{}".format("-"*15))
print(newdf.isnull().sum(axis = 0))
newdf['Class'].value_counts()
#for col in newdf:
 # print(newdf[col].unique())
# after removing 68 rows of dupcliated values, we arrive at the following spread of classes
# encoded labels
# SIRA = 4
# SEKER = 3
# HOROZ = 2
\# CALI = 1
# BARBUNYA = 0
corr = newdf.corr()
f,axes = plt.subplots(1,1,figsize = (20,15))
sns.heatmap(corr, square=True, annot = True, linewidth = .5, center = 2, ax = axes, cmap='Blues')
```

```
executed in 2.00s, finished 04:45:01 2022-12-14
```

```
data df = newdf
data df
executed in 30ms, finished 04:45:01 2022-12-14
```

```
scaler = StandardScaler()
executed in 14ms, finished 04:45:01 2022-12-14
scaler.fit(data_df.iloc[:,:16])
executed in 61ms, finished 04:45:01 2022-12-14

▼ StandardScaler

 StandardScaler()
X_features = scaler.transform(data_df.iloc[:,:16])
X features
executed in 15ms, finished 04:45:01 2022-12-14
'ShapeFactor3', 'ShapeFactor4'])
X_features_df
executed in 31ms, finished 04:45:01 2022-12-14
Y_true_class = data_df.iloc[:,17:18]
Y true class
executed in 15ms, finished 04:45:01 2022-12-14
Y_true_class = Y_true_class.reset_index(drop=True)
executed in 15ms, finished 04:45:01 2022-12-14
Y_true_class.info()
executed in 14ms, finished 04:45:01 2022-12-14
data_df_final = X_features_df.join(Y_true_class, how='left').reset_index()
data_df_final = data_df_final.iloc[:, 1:]
data df final
executed in 44ms, finished 04:45:01 2022-12-14
data_df_final['y'].astype('category')
 executed in 13ms, finished 04:45:01 2022-12-14
data df final.iloc[:, :16]
 executed in 30ms, finished 04:45:01 2022-12-14
 X_train, X_test, y_train, y_test = train_test_split(data_df_final.iloc[:, :16], data_df_final.iloc[:, 16:17],
                                            test_size=0.15, random_state=42)
```

executed in 15ms, finished 04:45:01 2022-12-14

```
#SMOTE to give new balanced data sets
seed = 123
neighbors = 5
sm = SMOTE(sampling_strategy='not majority', k_neighbors=neighbors, random_state=seed)
X_resample_train, Y_resample_train = sm.fit_resample(X_train, y_train)
executed in 679ms, finished 04:45:02 2022-12-14
```

```
data = []
for bags in (100,200,300,400,500):
   for pur in (0.00,0.02,0.04,0.06,0.08,0.10):
        for leafs in (1,2,6,10):
            rf model = RandomForestClassifier(n estimators=bags, criterion='gini', max depth=None,
                                               min_samples_split=2, min_samples_leaf=leafs, min_weight_fraction_leaf=0.0,
                                               max_features='sqrt', max_leaf_nodes=None, min_impurity_decrease=pur,
                                               bootstrap=True, oob_score=True, n_jobs=-1, random_state=1, verbose=0,
                                               warm_start=False, class_weight=None, ccp_alpha=0.0, max_samples=None)
            rf_model_fit = rf_model.fit(X_resample_train,np.ravel(Y_resample_train)) #training the model
            rf_model_fit_train = rf_model_fit.predict(X_resample_train) #predict the training model results
            oob_acc = round(accuracy_score(Y_resample_train, rf_model_fit_train),3) #accuracy of the traininng set
            rf_model_fit_test = rf_model_fit.predict(X_test) #predict the test model results
            test_acc = round(accuracy_score(y_test, rf_model_fit_test),3) #accuracy of the test set
            data.append([bags,pur,leafs, oob_acc, test_acc])
results_1 = pd.DataFrame(columns=['n_estimators', 'min_impurity_decrease', 'min_samples_leaf', 'OOB Acc', 'Test Acc'], data=data)
executed in 3m 42s, finished 04:48:44 2022-12-14
```

```
cm_y_test = confusion_matrix(y_test, rf_model_fit_test)
 cm_y_test
 executed in 15ms, finished 04:48:50 2022-12-14
 array([[178, 10, 2,
                          1,
                                2],
        [ 11, 233, 5, 2,
                                1],
                7, 269,
                         0,
          1,
                                8],
        [ 2,
               0, 0, 296, 12],
               1, 9, 10, 361]], dtype=int64)
        [ 1,
 cm_y_train = confusion_matrix(Y_resample_train, rf_model_fit_train)
 cm_y_train
 executed in 14ms, finished 04:48:50 2022-12-14
 array([[2254,
                                     0],
                  0,
                         0,
                               0,
            0, 2254,
                         0,
                                     0],
        0,
                  0, 2254,
                               0,
                                     0],
            0,
            0,
                  0,
                         0, 2254,
                                     0],
                             0, 2254]], dtype=int64)
            0,
                  0,
                         0,
 results_1.sort_values(by='Test Acc', ascending=False)
 executed in 29ms, finished 04:48:50 2022-12-14
# Normalise
cmn = cm_y_test.astype('float') / cm_y_test.sum(axis=1)[:, np.newaxis]
fig, ax = plt.subplots(figsize=(10,10))
sns.heatmap(cmn, annot=True, fmt='.2f')
plt.title("Confusion Matrix of Actual vs Predicted Dry Beans Using Test Set")
plt.ylabel('Actual')
plt.xlabel('Predicted')
plt.show(block=False)
executed in 233ms, finished 04:48:50 2022-12-14
```

```
X_train_svm = X_resample_train[(Y_resample_train['y']==3) | (Y_resample_train['y']==4)]
Y_train_svm = Y_resample_train[(Y_resample_train['y']==3) | (Y_resample_train['y']==4)]
X_test_svm = X_test[(y_test['y']==3) | (y_test['y']==4)]
Y_test_svm = y_test[(y_test['y']==3) | (y_test['y']==4)]
executed in 18ms, finished 04:50:17 2022-12-14
```

```
def hilb_hist(k,g):
   dis = [] #empty list to store distances
    k = k
    g = g
    index_hilb = random.sample(range(850),k)
    CL4 = X_res_train[(Y_resample_train[(Y_resample_train['y']==4)].iloc[index_hilb]
    CL3 = X_res_train[(Y_resample_train[(Y_resample_train['y']==3)].iloc[index_hilb]
    for i in range(k):
        for j in range(k):
            hil = math.sqrt(2 - (2*math.exp(-g*pow(abs(math.dist(CL3.iloc[i],CL4.iloc[j])),2))))
            dis.append(hil)
    return (dis)
np.mean(hilb_hist(k=300, g=1))
plt.hist(hilb_hist(k=300, g=1))
sns.histplot(hilb_hist(k=300, g=0.0001), stat='probability')
executed in 16ms, finished 04:50:18 2022-12-14
```

```
results_2.sort_values(by='Test Acc', ascending=False)
executed in 27ms, finished 04:55:54 2022-12-14
```

```
radial_svm = svm.SVC(kernel='rbf', gamma=0.05, C=0.1, decision_function_shape='ovo', random_state=1).fit(X_train_svm,np.ravel(Y_t
radial_svm_train_pred = radial_svm.predict(X_train_svm)
radial_svm_test_pred = radial_svm.predict(X_test_svm)
executed in 358ms, finished 04:51:02 2022-12-14
round(radial_svm.score(X_train_svm, Y_train_svm),3)
executed in 223ms, finished 04:55:28 2022-12-14
0.974
round(radial_svm.score(X_test_svm, Y_test_svm),3)
executed in 39ms, finished 04:55:29 2022-12-14
0.975
cm y test svm = confusion matrix(Y test svm, radial svm test pred)
cm_y_test_svm
executed in 9ms, finished 04:57:14 2022-12-14
array([[298, 12],
     [ 5, 377]], dtype=int64)
cm_y_train_svm = confusion_matrix(Y_train_svm, radial_svm_train_pred)
cm_y_train_svm
executed in 23ms, finished 04:57:38 2022-12-14
array([[2168, 86],
      [ 32, 2222]], dtype=int64)
# Normalise
cmn = cm_y_test_svm.astype('float')*100 / cm_y_test_svm.sum(axis=1)[:, np.newaxis]
fig, ax = plt.subplots(figsize=(10,10))
sns.heatmap(cmn, annot=True, fmt='.2f')
plt.title("Confusion Matrix of Actual vs Predicted Dry Beans Using Test Set")
plt.ylabel('Actual')
plt.xlabel('Predicted')
plt.show(block=False)
executed in 140ms, finished 04:57:55 2022-12-14
# Normalise
cmn = cm y train svm.astype('float')*100 / cm y train svm.sum(axis=1)[:, np.newaxis]
fig, ax = plt.subplots(figsize=(10,10))
sns.heatmap(cmn, annot=True, fmt='.2f')
plt.title("Confusion Matrix of Actual vs Predicted Dry Beans Using Training Set")
plt.ylabel('Actual')
plt.xlabel('Predicted')
plt.show(block=False)
executed in 128ms, finished 04:58:15 2022-12-14
```