#### CS 178 Midterm Exam

### Machine Learning and Data Mining: Fall 2018

#### Monday November 5th, 2018

Your name:	Row/Seat Number:
Your ID #(e.g., 123456789)	UCINetID (e.g.ucinetid@uci.edu)

- Please put your name and ID on every page.
- Total time is 50 minutes. READ THE EXAM FIRST and organize your time; don't spend too long on any one problem.
- Please write clearly and show all your work.
- If you need clarification on a problem, please raise your hand and wait for the instructor or TA to come over.
- You may use **one** sheet containing handwritten notes for reference, and a (basic) calculator.
- Turn in your notes and any scratch paper with your exam.

#### **Problems**

1	Bayes Classifiers, (10 points.)	3
2	Linear and Nearest Neighbor Regression, (12 points.)	5
3	Multiple Choice, (10 points.)	7
4	Support Vector Machines, (10 points.)	9

Total, (42 points.)

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## Bayes Classifiers, (10 points.)

Consider the table of measured data given at right. We will use the two observed features  $x_1$ ,  $x_2$  to predict the class y. Each feature can take on one of three values,  $x_i \in \{a, b, c\}$ .

In the case of a tie, we will prefer to predict class y = 0.

(1) Write down the probabilities learned by a naïve Bayes classifier: (4 points.)

p(y=0):   p(y=	1):
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$$p(x_1 = a | y = 0):$$
  $p(x_1 = a | y = 1):$ 

$$p(x_1 = b | y = 0):$$
  $p(x_1 = b | y = 1):$ 

$$p(x_1 = c | y = 0):$$
  $p(x_1 = c | y = 1):$ 

$$p(x_2 = a | y = 0):$$
  $p(x_2 = a | y = 1):$ 

$$p(x_2 = b | y = 0):$$
  $p(x_2 = b | y = 1):$ 

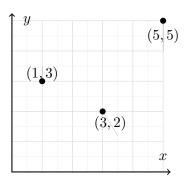
$$p(x_2 = c | y = 0)$$
:  $p(x_2 = c | y = 1)$ :

(2) Using your naïve Bayes model, compute: (3 points.)  $p(y=1|x_1=b,x_2=c):$   $p(y=0|x_1=b,x_2=c):$ 

(3) Compute the probabilities  $p(y = 1|x_1 = b, x_2 = c)$  and  $p(y = 0|x_1 = b, x_2 = c)$  for a joint Bayes model trained on the same data. (3 points.)

## Linear and Nearest Neighbor Regression, (12 points.)

Consider the data points shown at right, for a regression problem to predict y given a scalar feature x.



(1) Compute **training** MSE of a 1-nearest neighbor predictor. (3 points.)

(2) Compute the **leave-one-out** cross-validation error (MSE) of a 1-nearest neighbor predictor. (3 points.)

(3) Compute the **leave-one-out** cross-validation error (MSE) of a 2-nearest neighbor predictor. (3 points.)

(4) Compute the **leave-one-out** cross-validation error (MSE) of a linear regressor, e.g., a model of the form  $f(x) = \theta_0 + \theta_1 x$ . (3 points.)

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# Multiple Choice, (10 points.)

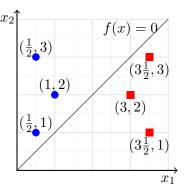
Here, assume that we have m data points  $y^{(i)}$ ,  $x^{(i)}$ , i=1...m, each with n features,  $x^{(i)}=[x_1^{(i)}\ldots x_n^{(i)}]$ . For each of the choices below, will it likely increase, decrease, or have no effect on overfitting (circle your choice)? If you think it is equally likely to go either way, pick *No Effect*.

1	Gathering more labeled training data	Reduce	Increase	No Effect
2	For a 3-nearest neighbor classifier, use $2 \times m$ training data by copying (duplicating) each data point.	Reduce	Increase	No Effect
3	For a 3-nearest neighbor classifier, use $2 \times n$ features per data point by copying (duplicating) the features.	Reduce	Increase	No Effect
4	Increasing $k$ for a k-nearest neighbor classifier	Reduce	Increase	No Effect
5	For a linear regressor, use $2 \times m$ training data by adding $m$ all-zero $(x \text{ and } y)$ data points.	Reduce	Increase	No Effect
6	For a linear regressor, use $2 \times n$ features per data point by adding $n$ all-zero features to each.	Reduce	Increase	No Effect
7	For a linear regressor, use $2 \times n$ features per data point by adding $n$ random values to each.	Reduce	Increase	No Effect
8	Adding another layer to a Neural Network	Reduce	Increase	No Effect
9	Changing the activation function of hidden nodes	Reduce	Increase	No Effect
10	Switching from soft to hard margin SVMs	Reduce	Increase	No Effect

#### Support Vector Machines, (10 points.)

Suppose we are learning a linear support vector machine with two real-valued features  $x_1$ ,  $x_2$  and binary target  $y \in \{-1, +1\}$ . We observe training data (pictured at right):

$x_1$	$x_2$	y
0.5	1	-1
1	2	-1
0.5	3	-1
3	2	+1
3.5	1	+1
3.5	3	+1



Our linear classifier takes the form

$$f(x; w_1, w_2, b) = sign(w_1x_1 + w_2x_2 + b).$$

- (1) For given line  $x_1 = x_2$  that perfectly separates the data, list the support vectors. (2 points.)
- (2) Derive the parameter values  $w_1, w_2, b$  of this f(x) using the support vectors. What is the length of the margin? (3 points.)

- (3) Consider the *best* linear-SVM classifier; one that separates the data and has the largest margin. Sketch the boundary in the above figure, and list the support vectors here. (2 points.)
- (4) Derive the parameter values  $w_1, w_2, b$  of this f(x) using these support vectors. What is the length of the margin? (3 points.)

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