

# 1 The Exterior Derivative of a $k$ -form (July 29)

## 1.1 Motivating the Local Definition

**Definition 1.** An antiderivation on a graded algebra  $A = \bigoplus_k A^k$  is an  $\mathbb{R}$ -linear map  $D : A \rightarrow A$  such that

$$D(\omega \cdot \tau) = D(\omega) \cdot \tau + (-1)^k \omega \cdot D(\tau) \quad \text{whenever } \omega \in A^k.$$

An element  $\omega \in A^k$  is said to be (homogeneous) of degree  $k$ . The antiderivation  $D$  is said to be of degree  $m$  if  $\deg(D(\omega)) = \deg(\omega) + m$  for all  $\omega \in A$ .

Recall that  $\Omega^*(M) = \bigoplus_k \Omega^k(M)$ . The exterior derivative  $d$  on  $\Omega^*(M)$  that we wish to define will be an antiderivation of degree 1.

On 0-forms, we defined  $d$  in a coordinate-independent way as  $d : f \mapsto (X \mapsto X(f))$ . Alternatively, we could have defined it in each coordinate chart and showed that the definition is independent of the chart. Specifically, if  $(U, x^i)$  is a coordinate chart on  $M$ , we can define  $df$  on  $U$  as the 1-form  $df = \frac{\partial f}{\partial x^i} dx^i$ . To show that this is independent of the coordinate chart, let  $(V, y^j)$  be another chart with  $U \cap V \neq \emptyset$ . Then, on  $U \cap V$ ,

$$\frac{\partial f}{\partial x^i} dx^i = \frac{\partial f}{\partial x^i} \frac{\partial x^i}{\partial y^j} dy^j = \frac{\partial f}{\partial y^j} dy^j,$$

which shows that the local definition of  $df$  is coordinate-independent. We can actually define the exterior derivative  $d$  locally in the same manner for forms of higher degree, and show that our definition is independent of the chart we defined it in.

## 1.2 Defining $d$ Locally For 1-forms

Some time ago, we asked when a 1-form  $\omega$  was expressible as  $df$  for some 0-form  $f$ . A sufficient condition for *local exactness* is that  $\frac{\partial \omega_i}{\partial x^j} - \frac{\partial \omega_j}{\partial x^i} = 0$  on every chart. This condition is also expressible in a coordinate independent manner:

$$\frac{\partial \omega_i}{\partial x^j} - \frac{\partial \omega_j}{\partial x^i} = 0 \iff X(\omega(Y)) - Y(\omega(X)) = \omega([X, Y]) \quad \text{for all } X, Y \in \mathfrak{X}(U).$$

The left side in the above equivalence is antisymmetric in  $i$  and  $j$ , so we might hope that if we define

$$d\omega := \sum_{i < j} \left( \frac{\partial \omega_i}{\partial x^j} - \frac{\partial \omega_j}{\partial x^i} \right) dx^i \wedge dx^j,$$

then this 2-form would be independent. Note that this is equivalent to saying that  $d\omega = d\omega_i \wedge dx^i$ . The following lemma shows that this is indeed a coordinate-independent definition.

**Lemma 1.1.** Let  $(U, x^i)$  and  $(V, y^i)$  be coordinate charts on  $M$  such that  $\omega = a_i dx^i = b_i dy^i$  on  $U \cap V$ . Then  $da_i \wedge dx^i = db_i \wedge dy^i$  on  $U \cap V$ .

*Proof.* On  $U \cap V$ ,

$$\begin{aligned}
da_i \wedge dx^i &= \frac{\partial a_i}{\partial x^j} dx^j \wedge dx^i \\
&= \frac{\partial}{\partial x^j} \left( \omega \left( \frac{\partial}{\partial x^i} \right) \right) dx^j \wedge dx^i \\
&= \frac{\partial}{\partial x^j} \left( b_k dy^k \left( \frac{\partial}{\partial x^i} \right) \right) dx^j \wedge dx^i \\
&= \frac{\partial}{\partial x^j} \left( b_k \frac{\partial y^k}{\partial x^i} \right) dx^j \wedge dx^i \\
&= \left( \frac{\partial b_k}{\partial x^j} \frac{\partial y^k}{\partial x^i} + b_k \frac{\partial^2 y^k}{\partial x^j \partial x^i} \right) dx^j \wedge dx^i \\
&= \frac{\partial b_k}{\partial x^j} \frac{\partial y^k}{\partial x^i} dx^j \wedge dx^i \\
&= \frac{\partial b_k}{\partial x^j} \frac{\partial y^k}{\partial x^i} \left( \frac{\partial x^j}{\partial y^\ell} dy^\ell \right) \wedge \left( \frac{\partial x^i}{\partial y^m} dy^m \right) \\
&= \left( \frac{\partial b_k}{\partial x^j} \frac{\partial x^j}{\partial y^\ell} \right) \left( \frac{\partial y^k}{\partial x^i} \frac{\partial x^i}{\partial y^m} \right) dy^\ell \wedge dy^m \\
&= \frac{\partial b_k}{\partial y^\ell} \delta_m^k dy^\ell \wedge dy^m \\
&= \frac{\partial b_k}{\partial y^\ell} dy^\ell \wedge dy^k \\
&= db_k \wedge dy^k
\end{aligned}$$

□

Therefore the exterior derivative of a 1-form is a well-defined 2-form.

### 1.3 Higher-Degree Exterior Derivatives

**Definition 2.** Let  $\omega \in \Omega^k(M)$ . Define  $d\omega$  locally as follows: if  $(U, x^i)$  is a coordinate chart, then define  $d\omega$  on  $U$  by  $d\omega := d\omega_I \wedge dx_I$ . One shows that this definition is coordinate-independent by a calculation similar to (but more tedious than) the previous one, giving rise to a  $(k+1)$ -form  $d\omega \in \Omega^{k+1}(M)$ .

**Proposition 1.1.**  $d : \Omega^k(M) \rightarrow \Omega^{k+1}(M)$  is an  $\mathbb{R}$ -linear map satisfying

(i)  $d$  is an antiderivation of degree 1 on  $\Omega^*(M)$ .

(ii)  $d^2 = 0$ .

(iii) As just defined,  $df$  agrees with the differential of a 0-form  $f$  as defined way before this lecture.

*Proof.* Exercise!

□

## 1.4 Outlining Uniqueness

It turns out that the properties above actually characterize  $d$ .

**Theorem 1.2.** *There is a unique  $\mathbb{R}$ -linear map  $d : \Omega^k(M) \rightarrow \Omega^{k+1}(M)$  satisfying properties (i)-(iii) of the previous proposition.*

*Proof.* We provide an outline of the proof here. A full proof is given in e.g. Tu or Lee. We have shown existence already. For uniqueness, suppose that  $D : \Omega^k(M) \rightarrow \Omega^{k+1}(M)$  also satisfies those three properties and is  $\mathbb{R}$ -linear. We proceed in three main steps.

1. Show that  $D$  is a *local operator*: for any  $\omega \in \Omega^k(M)$ ,  $(D\omega)_p$  depends only on  $\omega$  in a neighbourhood of  $p$ .
2. Given  $\omega \in \Omega^k(M)$  and a chart  $(U, x^i)$ , write  $\omega = a_I dx^I$  on  $U$ . Since  $D$  is a local operator,  $D|_U : \Omega^k(U) \rightarrow \Omega^{k+1}(U)$  defined by  $D|_U \eta := (D\tilde{\eta})|_U$ , where  $\tilde{\eta}$  is an extension of  $\eta$  to  $M$ , is well-defined.
3. One then shows that  $D\omega = da_I \wedge dx^I = d\omega$  on  $U$ , proving uniqueness.

□

**Theorem 1.3.** *If  $F : N \rightarrow M$  is smooth, then  $F^*(d\omega) = d(F^*\omega)$  for all  $\omega \in \Omega^k(M)$ .*