

ساختمان داده ها

درخت AVL (AVL Trees)

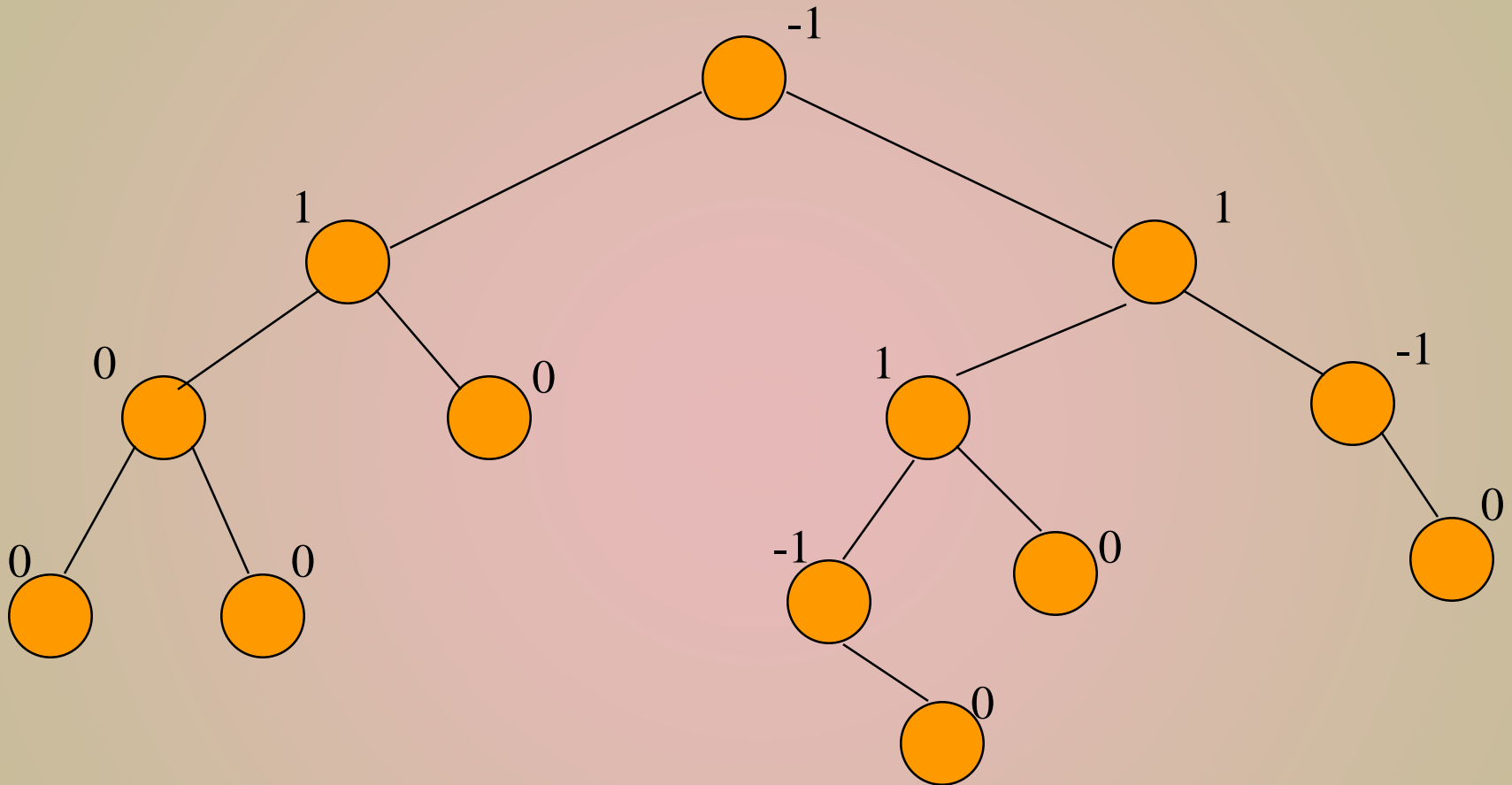
مدرس: غیاثی شیرازی
دانشگاه فردوسی مشهد

AVL Trees

AVL از نام یک حرفت جستجوی دودریخت

- named after **Adelson-Velsky & Landis**
- binary tree *Search*
- for every node **x**, define its balance factor
balance factor of **x** = height of left subtree of **x**
– height of right subtree of **x**
- balance factor of every node **x** is **-1**, **0**, or **1**
- $\log_2 (n+1) \leq \text{height} \leq 1.44 \log_2 (n+2)$

Balance Factors



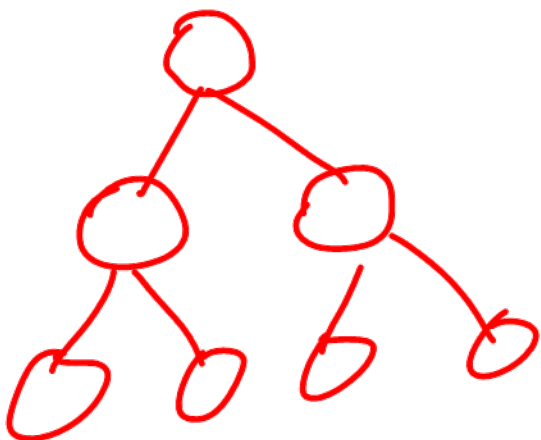
This is an AVL tree.

Height Of An AVL Tree

The height of an AVL tree that has n nodes is at most $1.44 \log_2 (n+2)$.

The height of every n node binary tree is at least $\log_2 (n+1)$.

$$\log_2 (n+1) \leq \text{height} \leq 1.44 \log_2 (n+2)$$



ارتفاع، h

h	n
1	1
2	3
3	7

$$n \leq 2^h - 1 < 2^h$$

$$\log_2 n < h$$

$$n = 2^h - 1$$

صداقت تغییر کرده

$$n+1 \leq 2^h$$

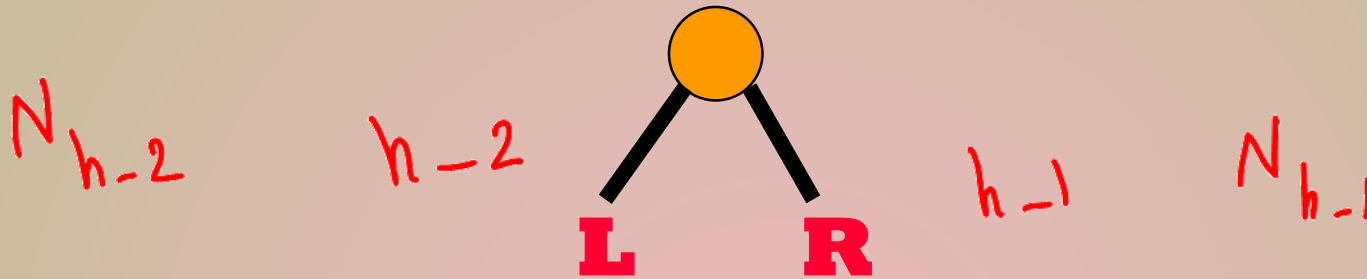
$$\log_2(n+1) \leq h \quad \checkmark$$

Proof Of Upper Bound On Height

- Let N_h = min # of nodes in an AVL tree whose height is h .
- $N_0 = 0$.
- $N_1 = 1$.



$$N_h, h > 1$$



- Both **L** and **R** are AVL trees.
 - The height of one is $h-1$.
 - The height of the other is $h-2$.
 - The subtree whose height is $h-1$ has N_{h-1} nodes.
 - The subtree whose height is $h-2$ has N_{h-2} nodes.
 - So, $N_h = N_{h-1} + N_{h-2} + 1$.
- $h \geq 2$

Relation to Fibonacci Numbers

0, 1, 1, 2, 3

- $F_0 = 0, F_1 = 1.$

- $N_0 = 0, N_1 = 1$

- $M_h := N_h + 1$

- $M_0 = 1, M_1 = 2$

- $M_h = F_{h+2}.$

$$F_i = F_{i-1} + F_{i-2}, i > 1.$$

$$N_h^{+1} = N_{h-1}^{+1} + N_{h-2}^{+1}, i > 1.$$

$M_h \quad M_{h-1} \quad M_{h-2}$

$$M_h = M_{h-1} + M_{h-2}, i > 1.$$

$$N_h = F_{h+2} - 1.$$

$$F_i = \frac{\phi^i - (-\phi)^{-i}}{\sqrt{5}} \geq \frac{\phi^i - \phi^{-i}}{\sqrt{5}}$$

$$\phi = \frac{1 + \sqrt{5}}{2}$$

حل روابط
از گشتی از
رابطه‌ها

حل كامل

$$\phi = \frac{1+\sqrt{5}}{2} \approx 1.618$$

$$F_i = \frac{\phi^i - (-\phi)^{-i}}{\sqrt{5}} \geq \frac{\phi^i - \phi^{-i}}{\sqrt{5}}$$

$$\underbrace{N_h + 1}_{M_h} = F_{h+2} \geq \frac{\phi^{h+2} - (\phi^{-1})^{h+2}}{\sqrt{5}}$$

$$\geq \frac{\phi^{h+2} - (\phi^{-1})^2}{\sqrt{5}} \geq \frac{\phi^{h+2}}{\sqrt{5}} - 0.18$$

حل کامل

$$\log_b^a = \log_c^a \log_b^c$$

$$N_h + 2 \geq \frac{\phi^{h+2}}{\sqrt{5}}$$

$$\log_{\phi}^a(N_h + 2) = (\log_{\phi} 2) \log_2^c(N_h + 2)$$

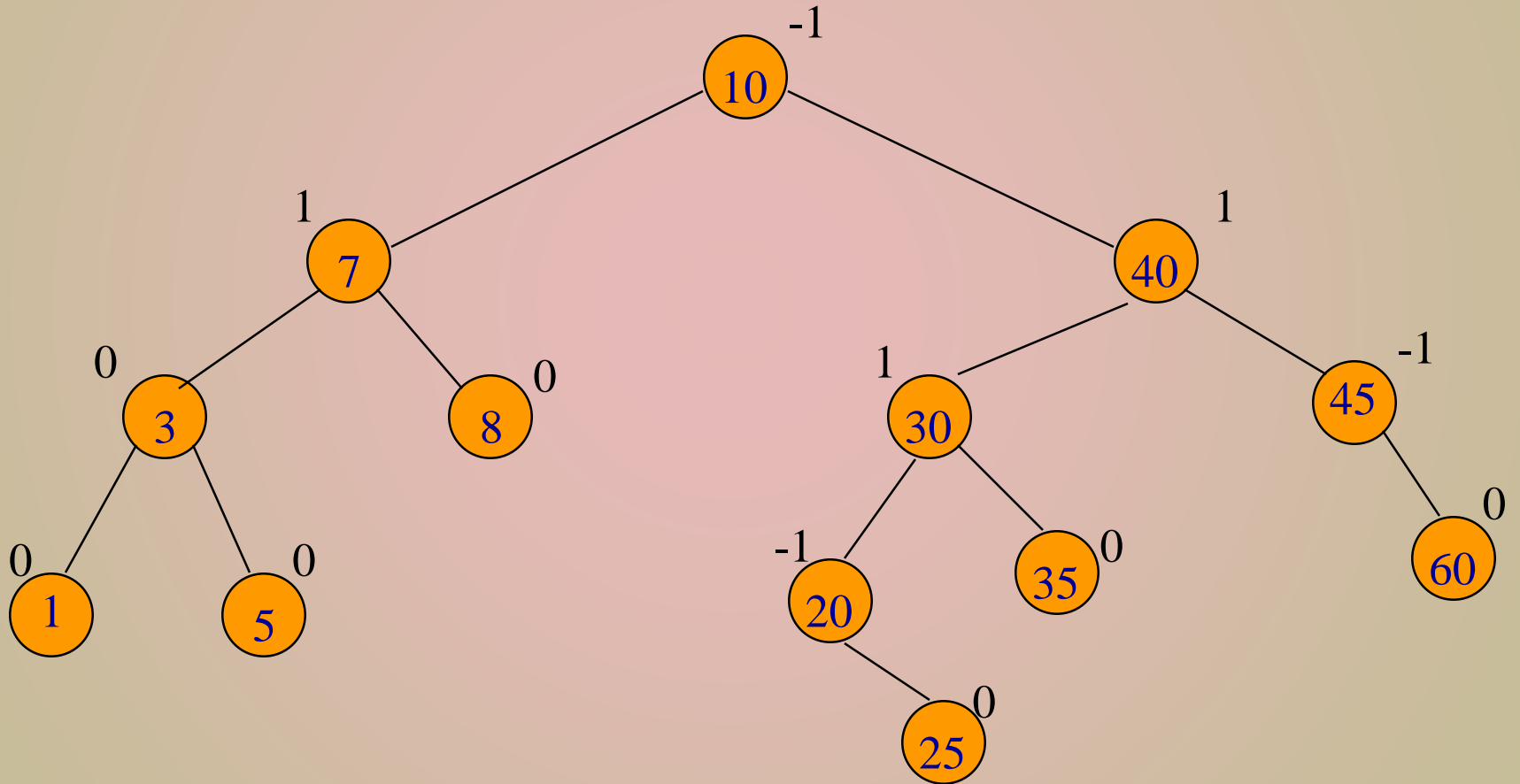
$$\geq h + 2 - \log_{\phi} \sqrt{5} \geq h + 2 - 1.7 \geq h$$

$$\log_{\phi} 2 = 1.44042009 \dots$$

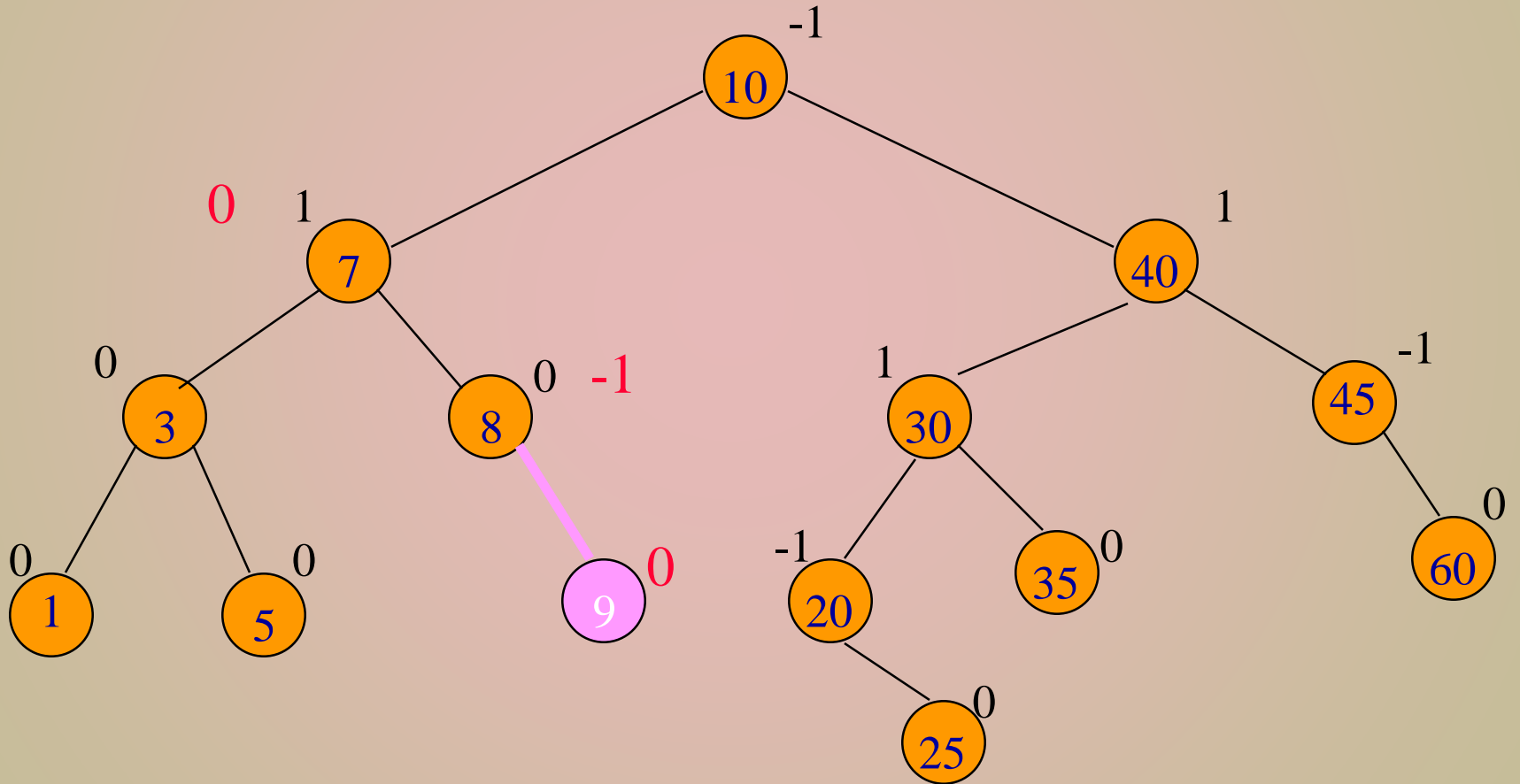
بنابراین:

$$h \leq 1.441 * \log_2(n + 2)$$

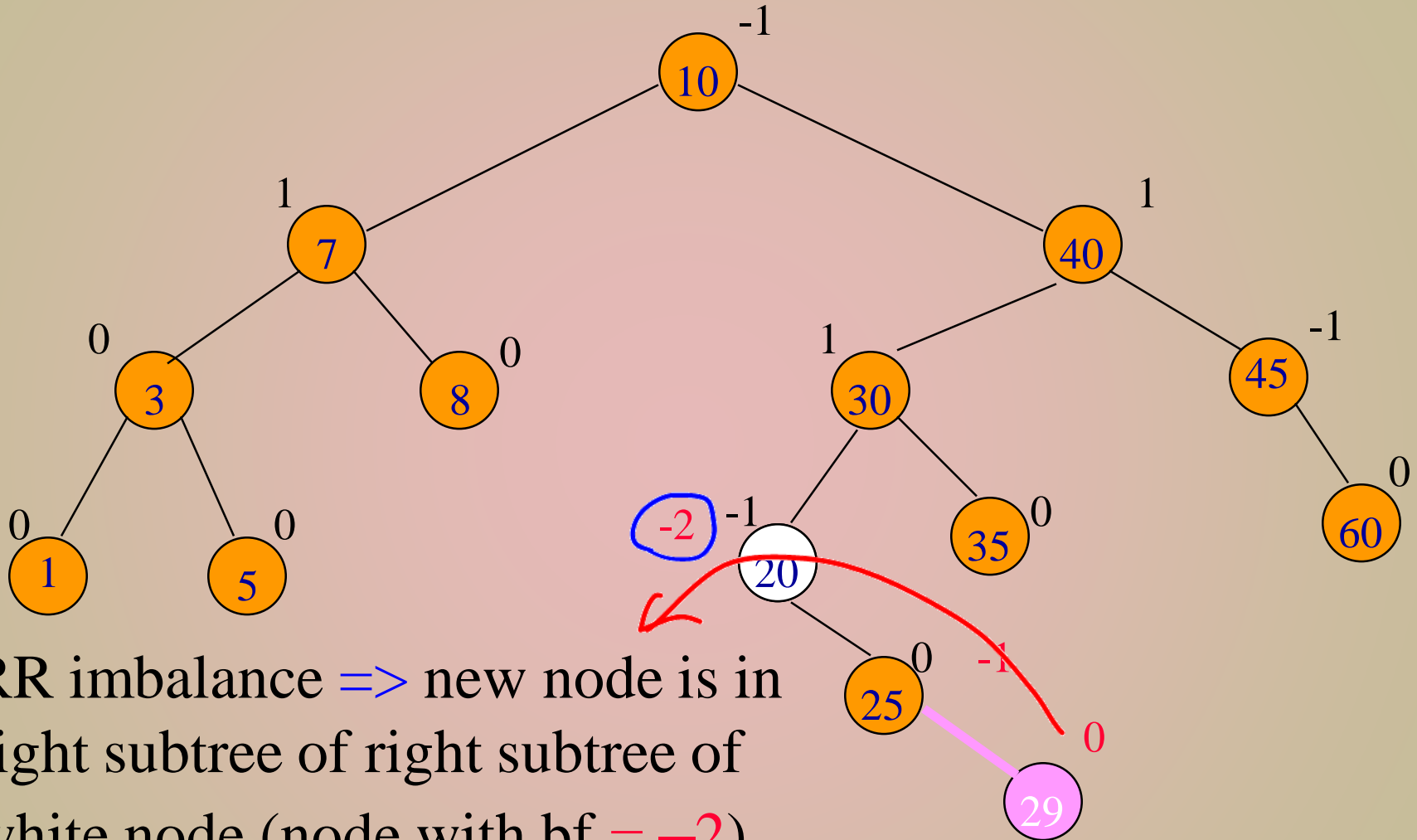
Example AVL Tree



put(9)

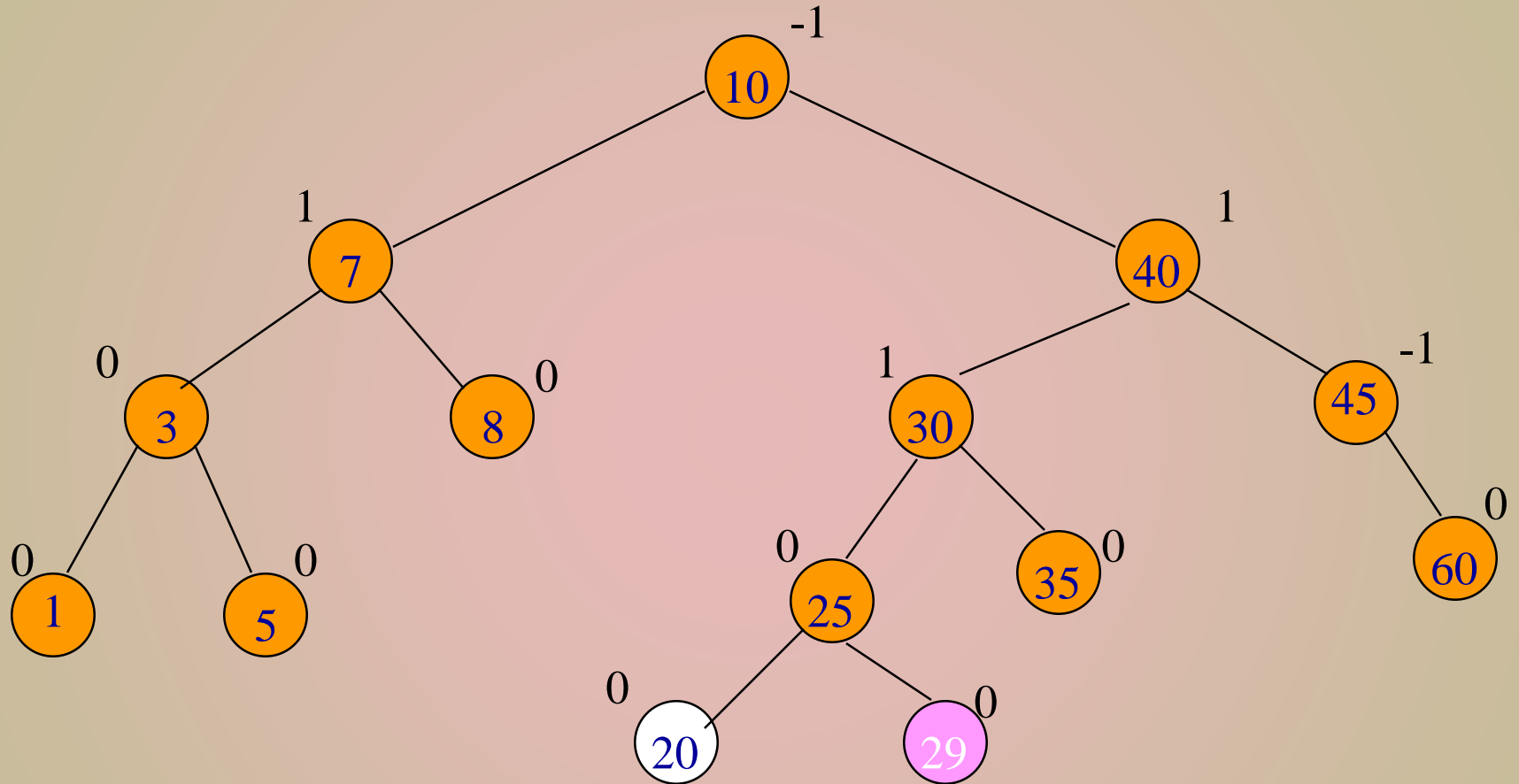


put(29)



RR imbalance \Rightarrow new node is in
right subtree of right subtree of
white node (node with bf = -2)

put(29)



RR rotation.

Insert/Put

- Following insert/put, retrace path towards root and adjust balance factors as needed.
- Stop when you reach a node whose balance factor becomes 0, 2, or -2, or when you reach the root.
- The new tree is not an AVL tree only if you reach a node whose balance factor is either 2 or -2.
- In this case, we say the tree has become unbalanced.

A-Node

نرخ تلف،
اگر لطف برداشته شود لطف تغییر کرده است
لطف صبر 2 یا 0 است ... به ساقین لطف

- Let **A** be the nearest ancestor of the newly inserted node whose balance factor becomes **+2** or **-2** following the insert.
- Balance factor of nodes between new node and **A** is **0** before insertion. **AO**

ارتفاع زیر درخت مربوط به تمام گره‌ها پس از گره جدید
و گره A تغییر کرده است
تغییر کرده است.

0 به گره

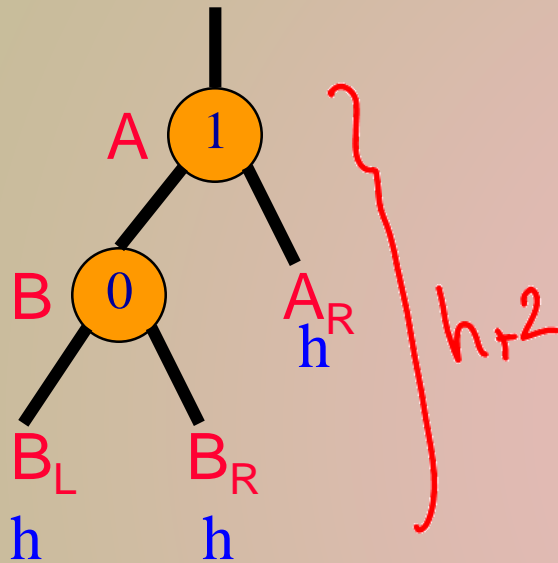
-1 +1

Imbalance Types

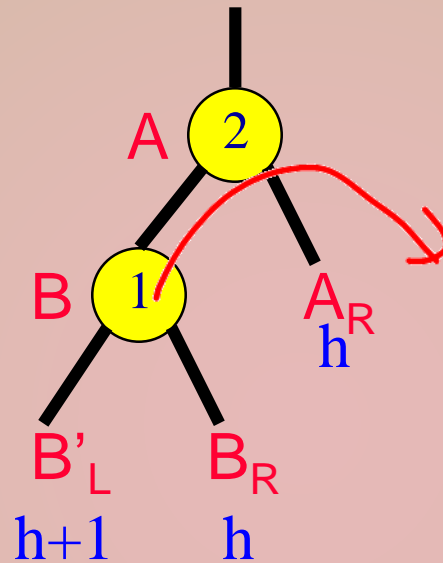
- RR ... newly inserted node is in the right subtree of the right subtree of A.
- LL ... left subtree of left subtree of A.
- RL... left subtree of right subtree of A.
- LR... right subtree of left subtree of A.



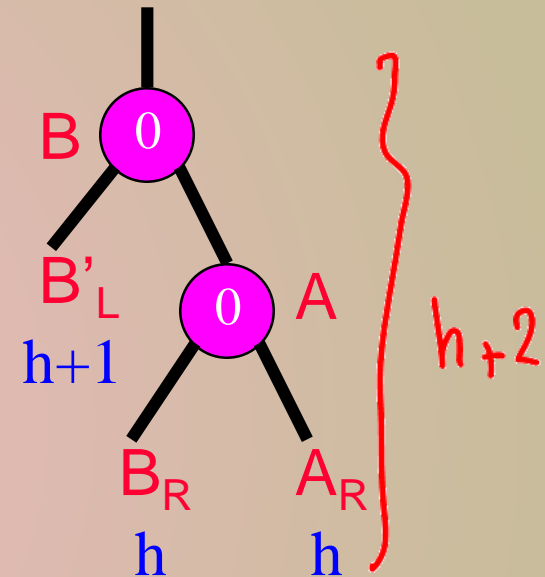
LL Rotation



Before insertion.

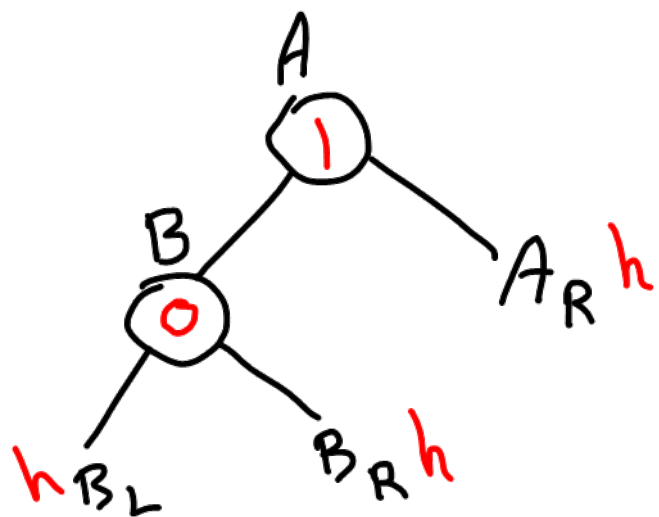


After insertion.



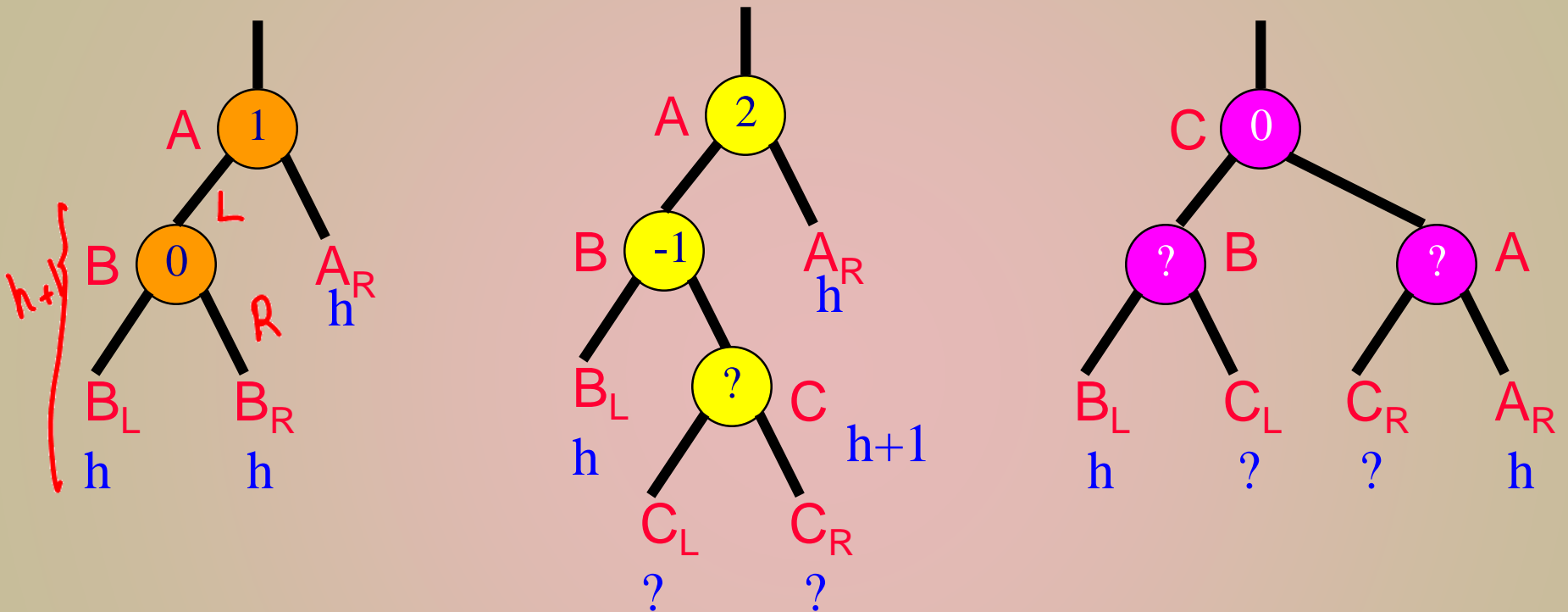
After rotation.

- Subtree height is unchanged.
- No further adjustments to be done.



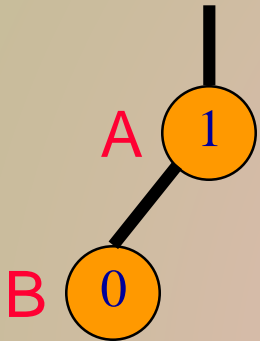
2

LR Rotation (all 3 cases)

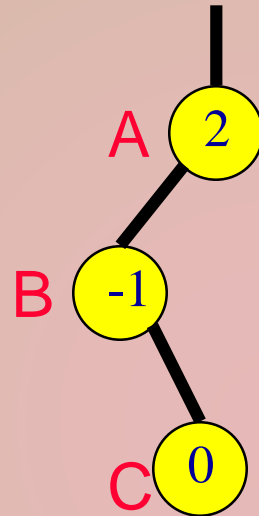


- Subtree height is unchanged.
- No further adjustments to be done.

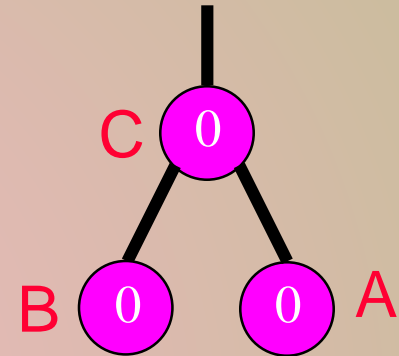
LR Rotation (case 1)



Before insertion.



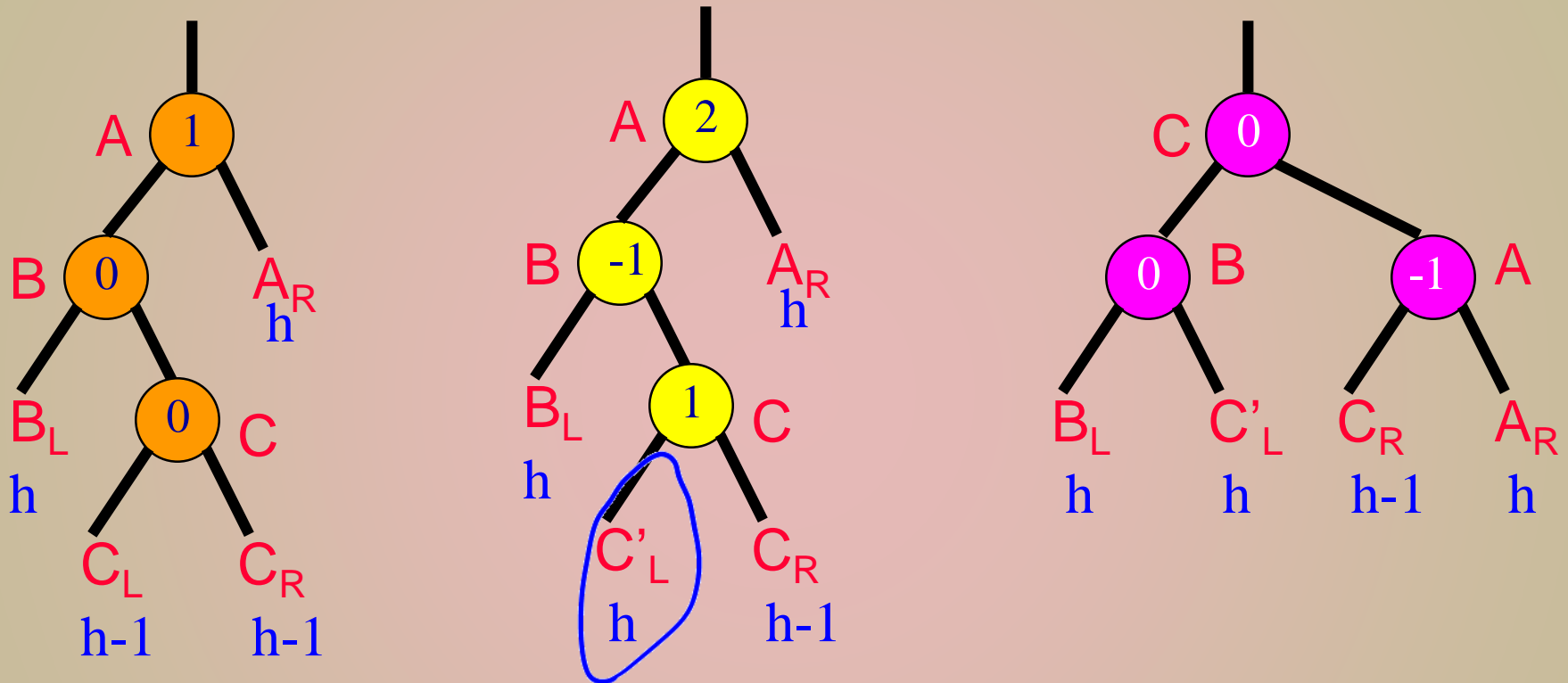
After insertion.



After rotation.

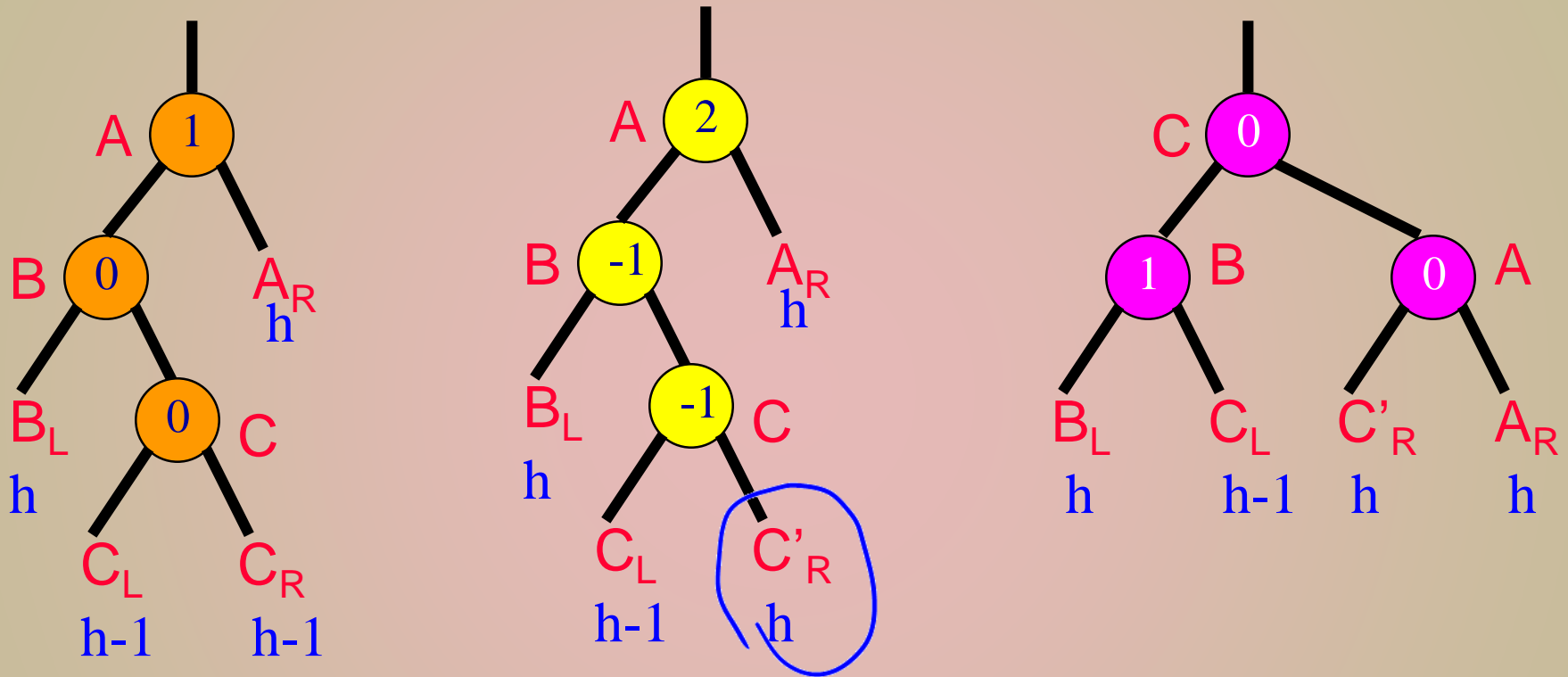
- Subtree height is unchanged.
- No further adjustments to be done.

LR Rotation (case 2)



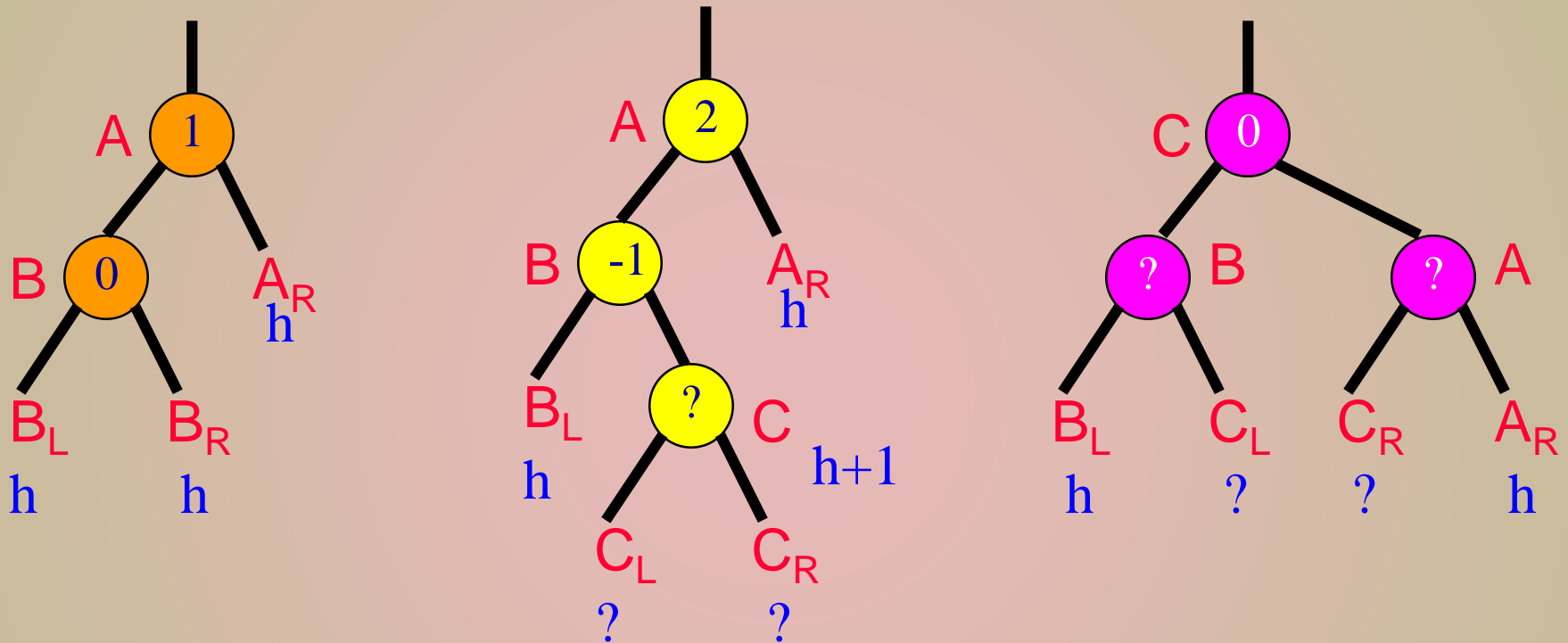
- Subtree height is unchanged.
- No further adjustments to be done.

LR Rotation (case 3)



- Subtree height is unchanged.
- No further adjustments to be done.

LR Rotation (all 3 cases)

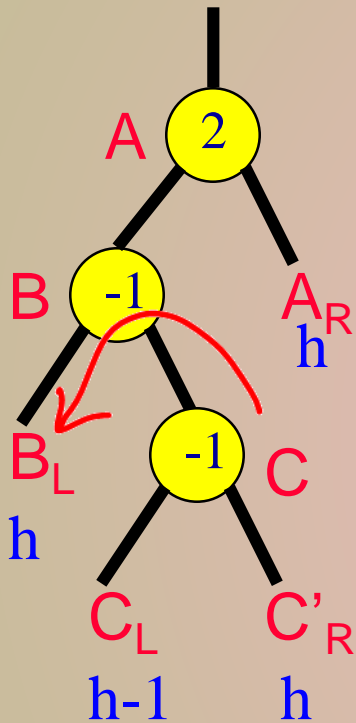


- Subtree height is unchanged.
- No further adjustments to be done.

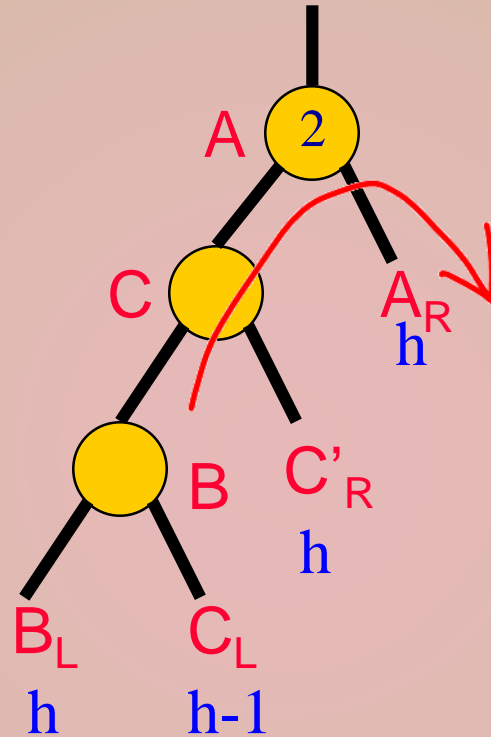
Single & Double Rotations

- Single
 - LL and RR
- Double
 - LR and RL
 - LR is RR followed by LL
 - RL is LL followed by RR

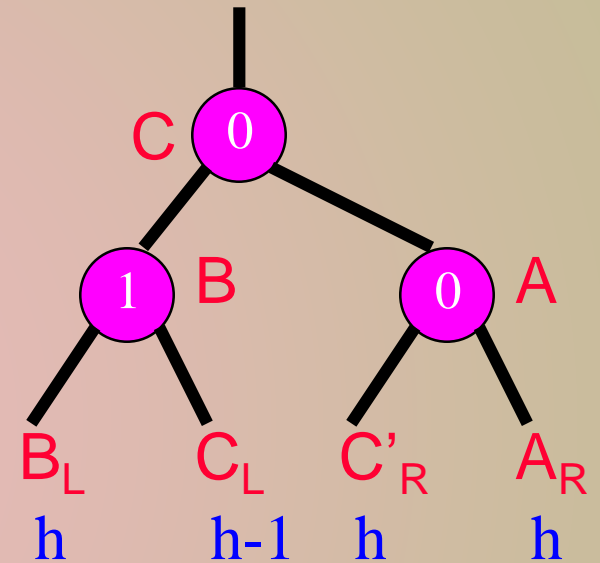
LR Is RR + LL



After insertion.

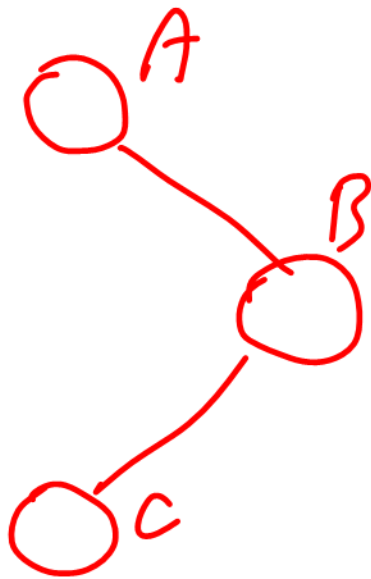


After RR rotation.

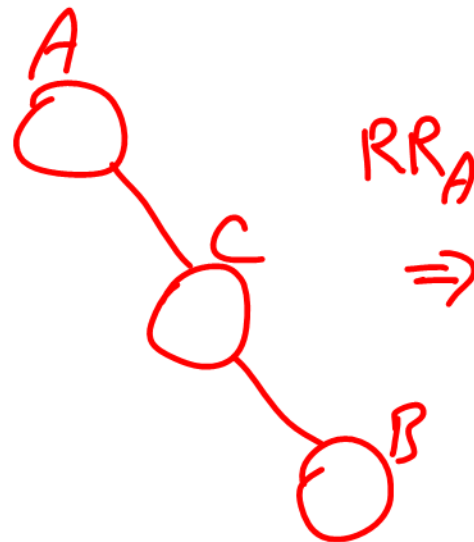


After LL rotation.

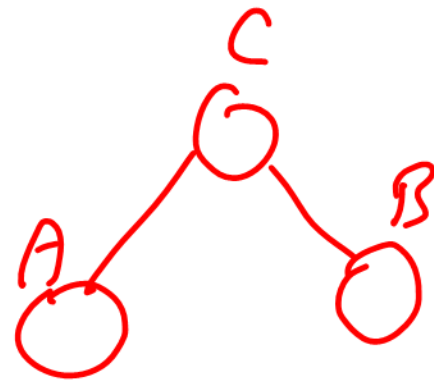
$$RL_A = LL_B + RR_A$$



LL_B
 \Rightarrow

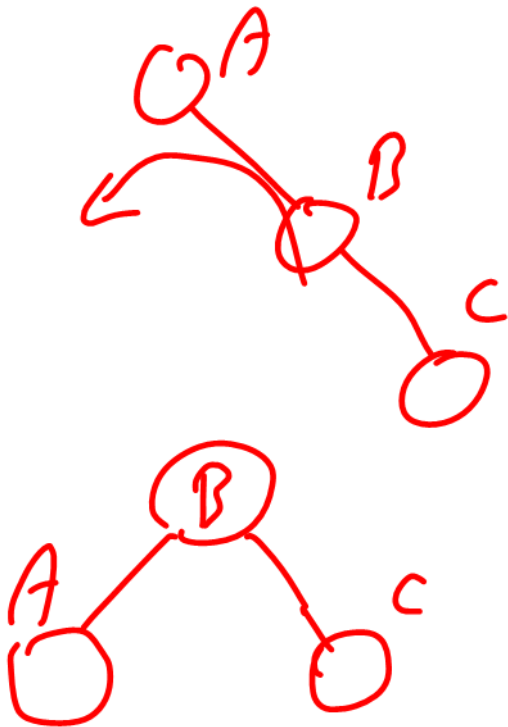


RR_A
 \Rightarrow

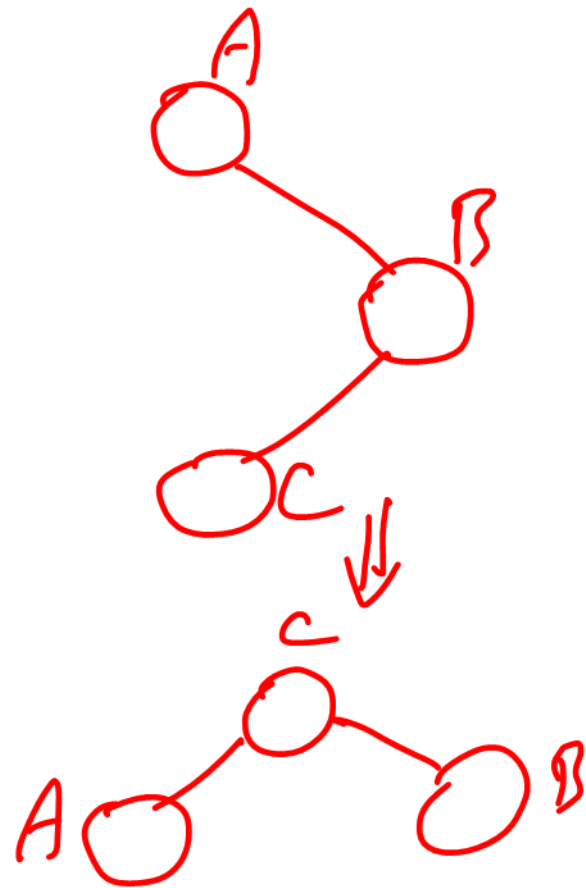


LR ، LL

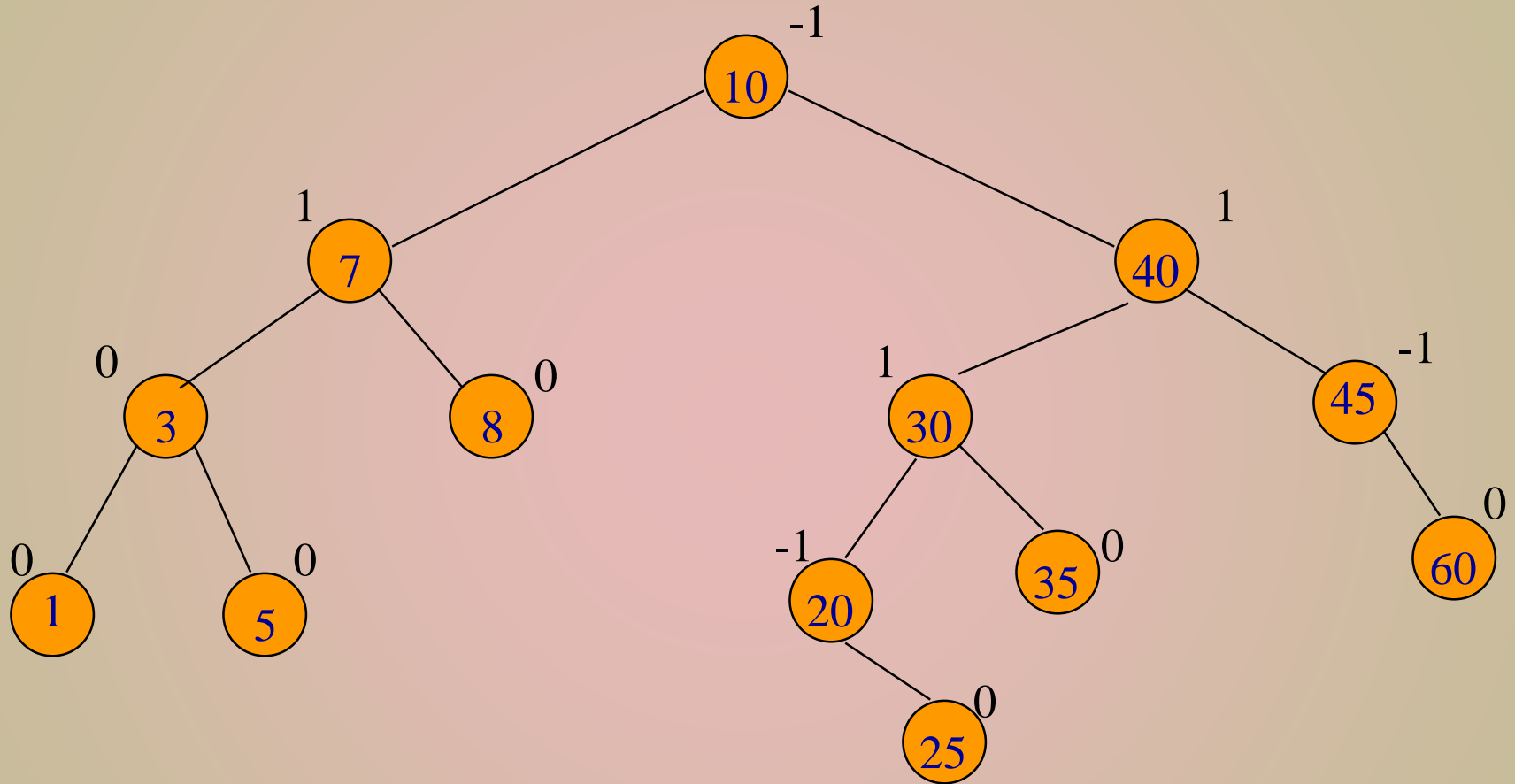
RR



RL

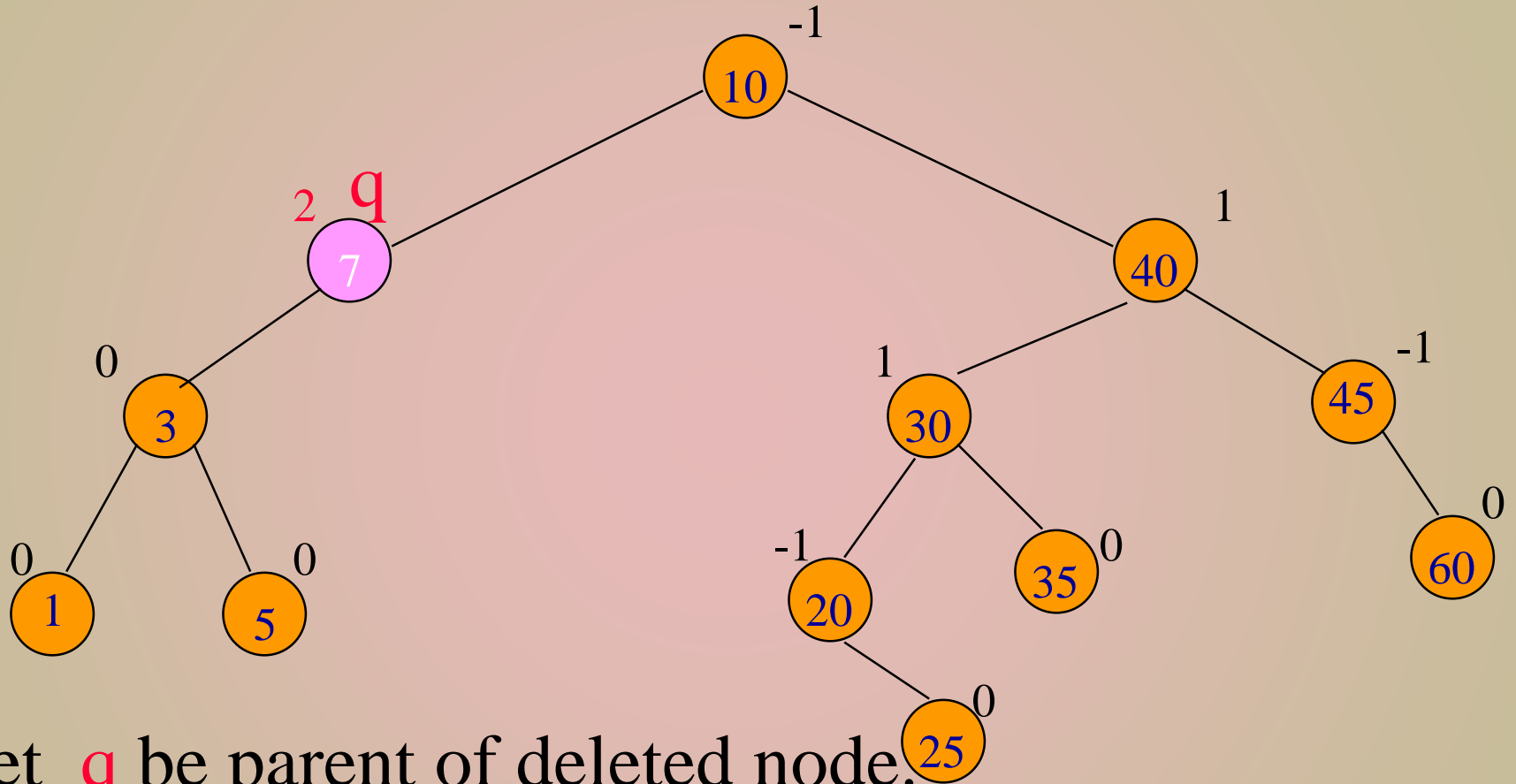


Remove An Element



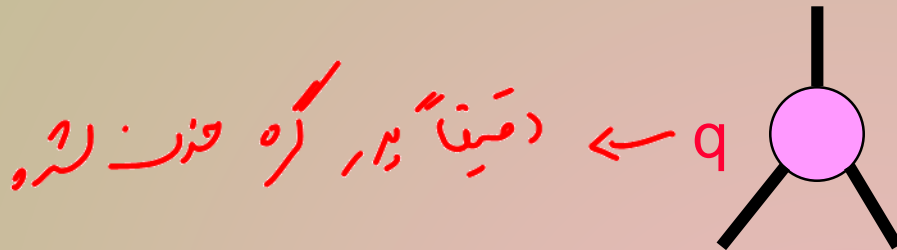
Remove 8.

Remove An Element

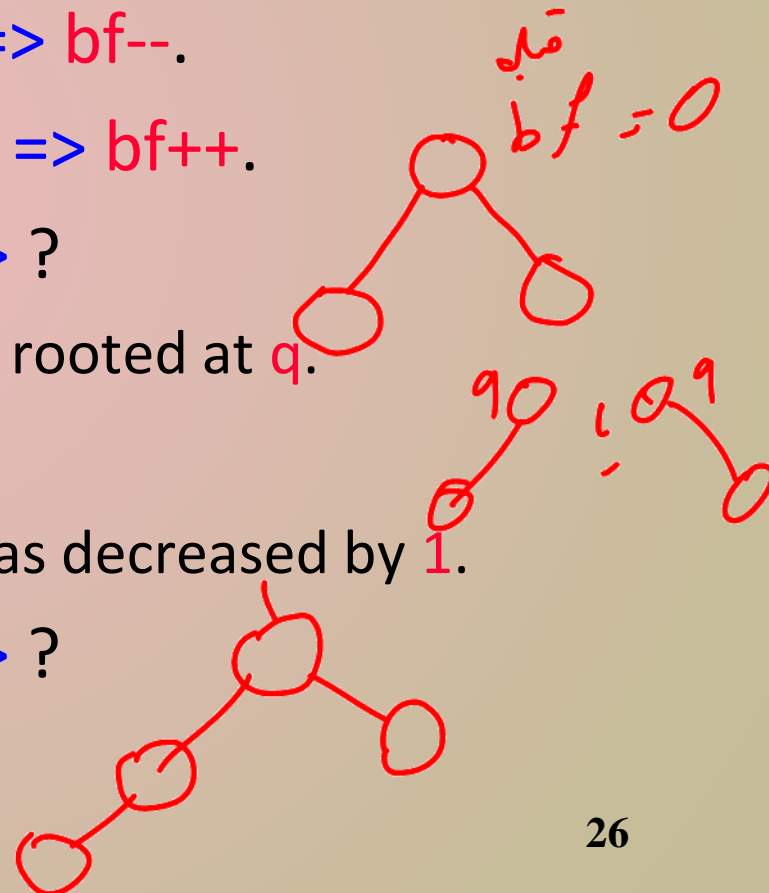


- Let **q** be parent of deleted node.
- Retrace path from **q** towards root.

New Balance Factor Of q



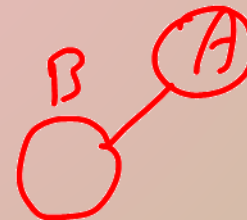
- Deletion from left subtree of $q \Rightarrow bf--$.
- Deletion from right subtree of $q \Rightarrow bf++$.
- New balance factor = 1 or $-1 \Rightarrow ?$
 - \Rightarrow no change in height of subtree rooted at q .
- New balance factor = $0 \Rightarrow ?$
 - \Rightarrow height of subtree rooted at q has decreased by 1 .
- New balance factor = 2 or $-2 \Rightarrow ?$
 - \Rightarrow tree is unbalanced at q .



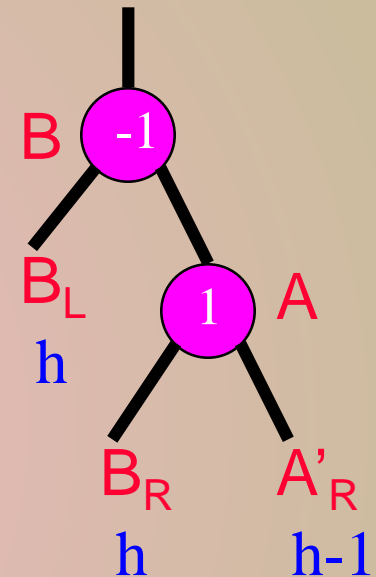
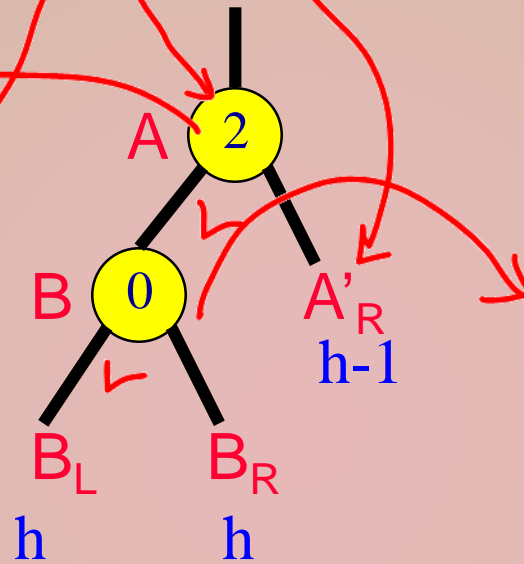
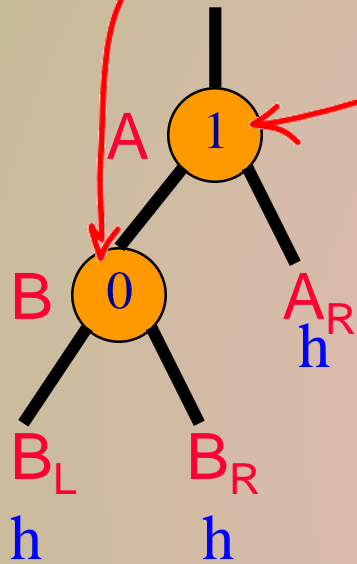
Imbalance Classification

- Let A be the nearest ancestor of the deleted node whose balance factor has become 2 or -2 following a deletion.
- Deletion from left subtree of $A \Rightarrow$ type L .
- Deletion from right subtree of $A \Rightarrow$ type R .
- Type $R \Rightarrow$ new $bf(A) = 2$.
- So, old $bf(A) = 1$.
- So, A has a left child B .
 - $bf(B) = 0 \Rightarrow R0$.
 - $bf(B) = 1 \Rightarrow R1$.
 - $bf(B) = -1 \Rightarrow R-1$.

$$(A) \quad bf_{new}(A) = 2$$

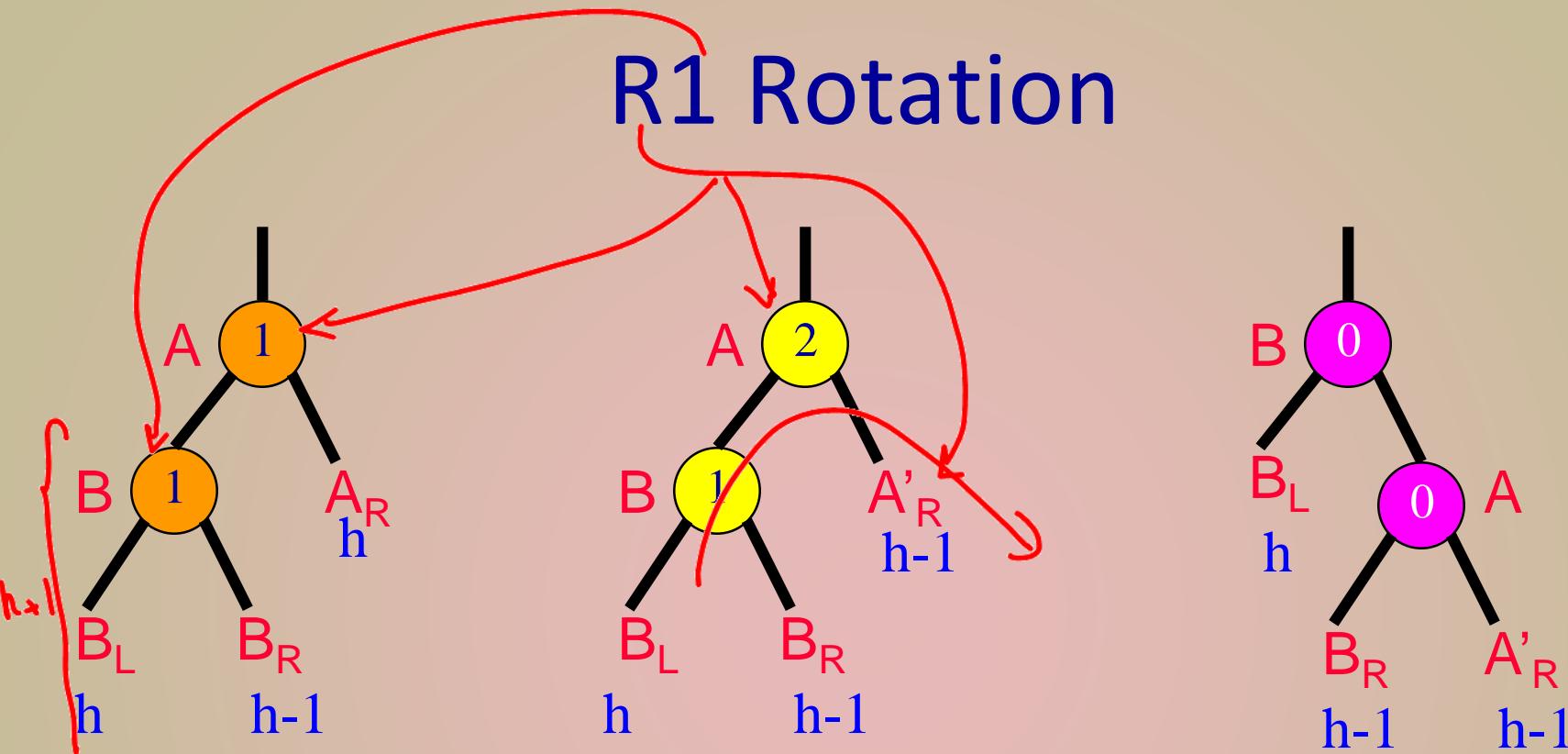


R0 Rotation



- Subtree height is unchanged.
- No further adjustments to be done.
- Similar to **LL** rotation.

R1 Rotation



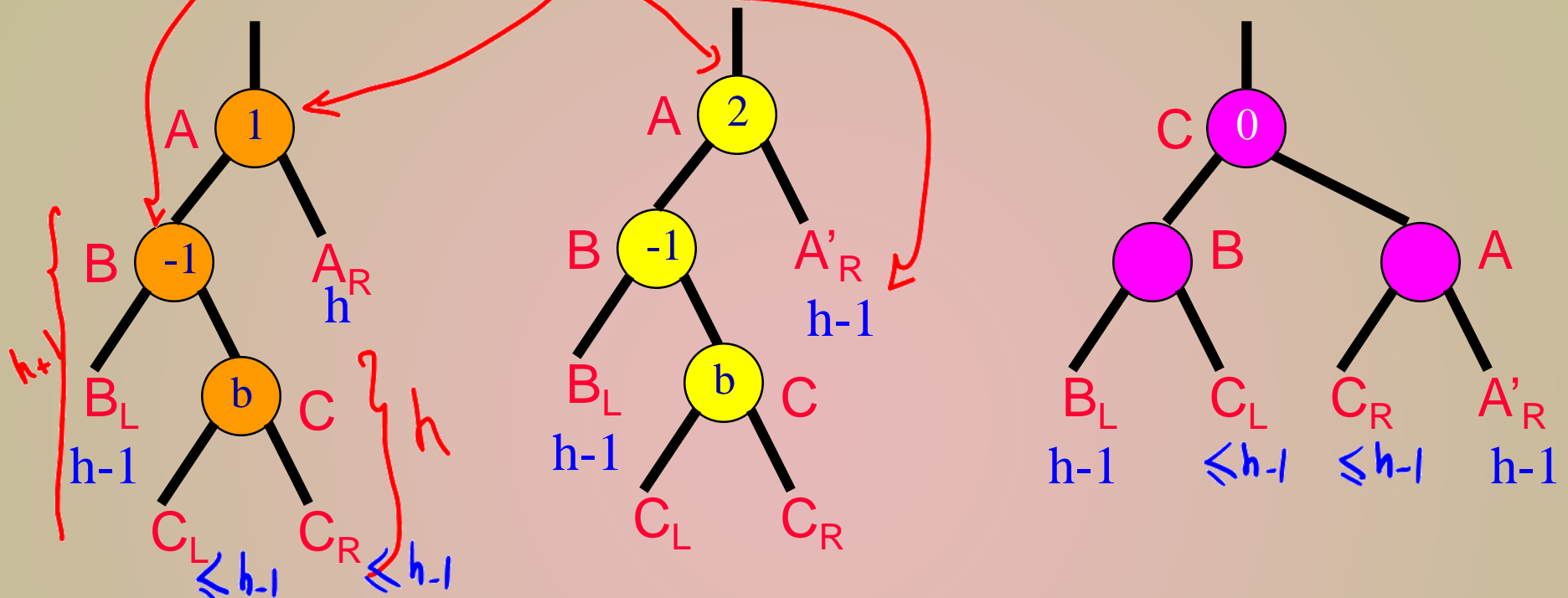
Before deletion.

After deletion.

After rotation.

- Subtree height is reduced by 1.
- Must continue on path to root.
- Similar to LL and RO rotations.

R-1 Rotation



- New balance factor of **A** and **B** depends on **b**.
- Subtree height is reduced by 1.
- Must continue on path to root.
- Similar to LR.

R-1

LR

L1

RL

R1

LL

Right

L-1

RR

Left

R0

LL

Right

L0

RR

Left

Number Of Rebalancing Rotations

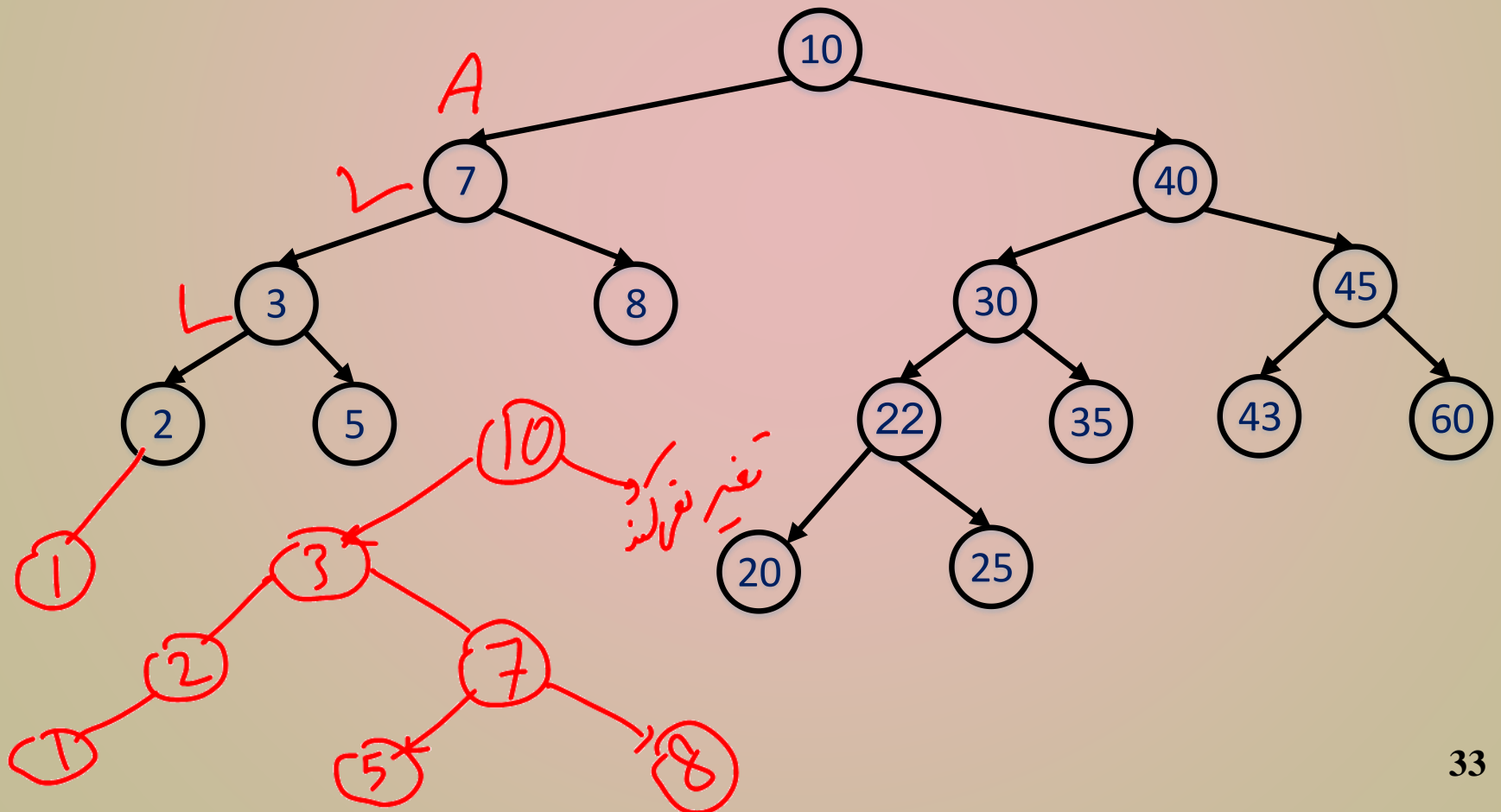
- At most **1** for an insert.
- **$O(\log n)$** for a delete.

Rotation Frequency

- Insert random numbers.
 - No rotation ... 53.4% (approx).
 - LL/RR ... 23.3% (approx).
 - LR/RL ... 23.2% (approx).

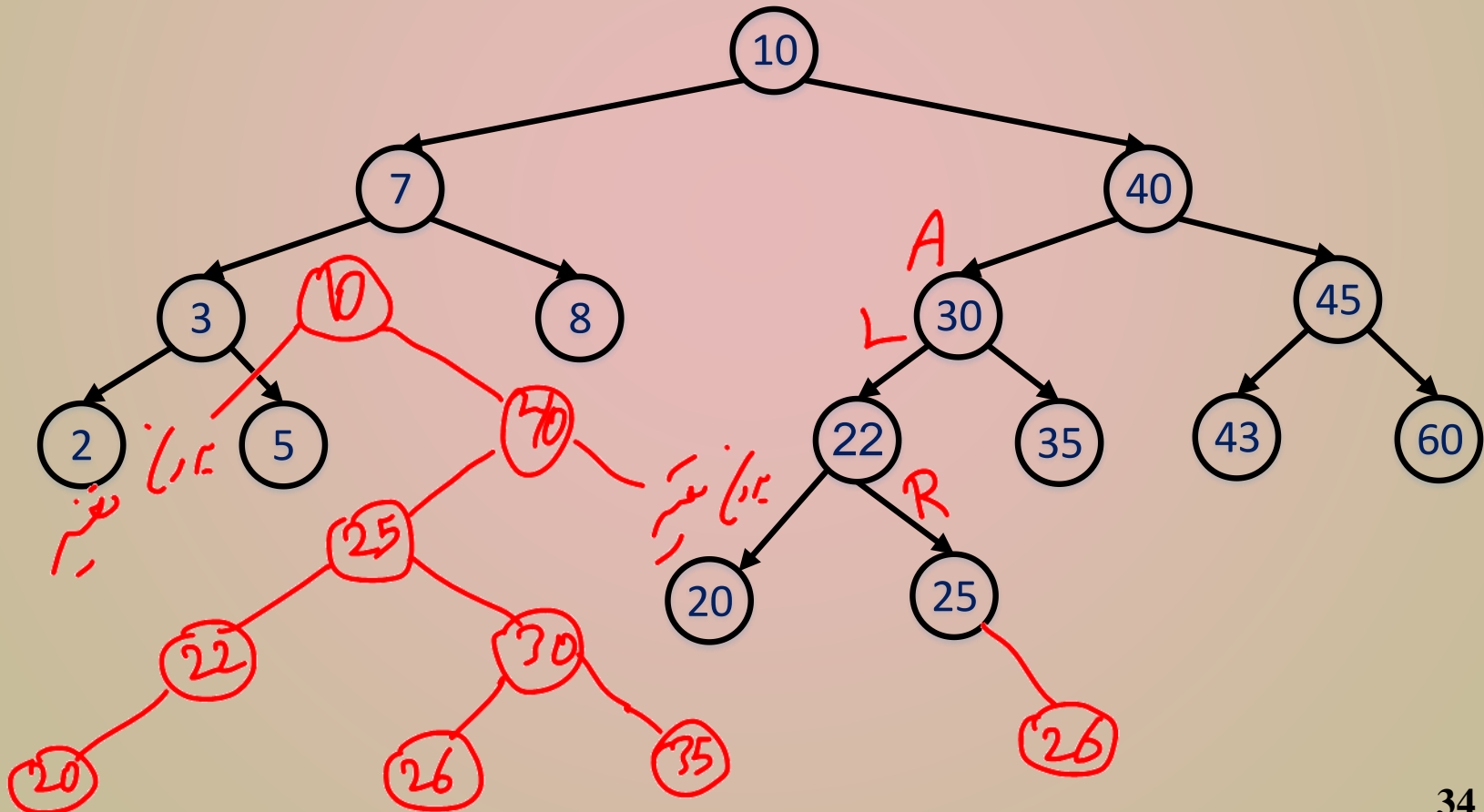
نمونه سوال امتحان: درج ۱

LL



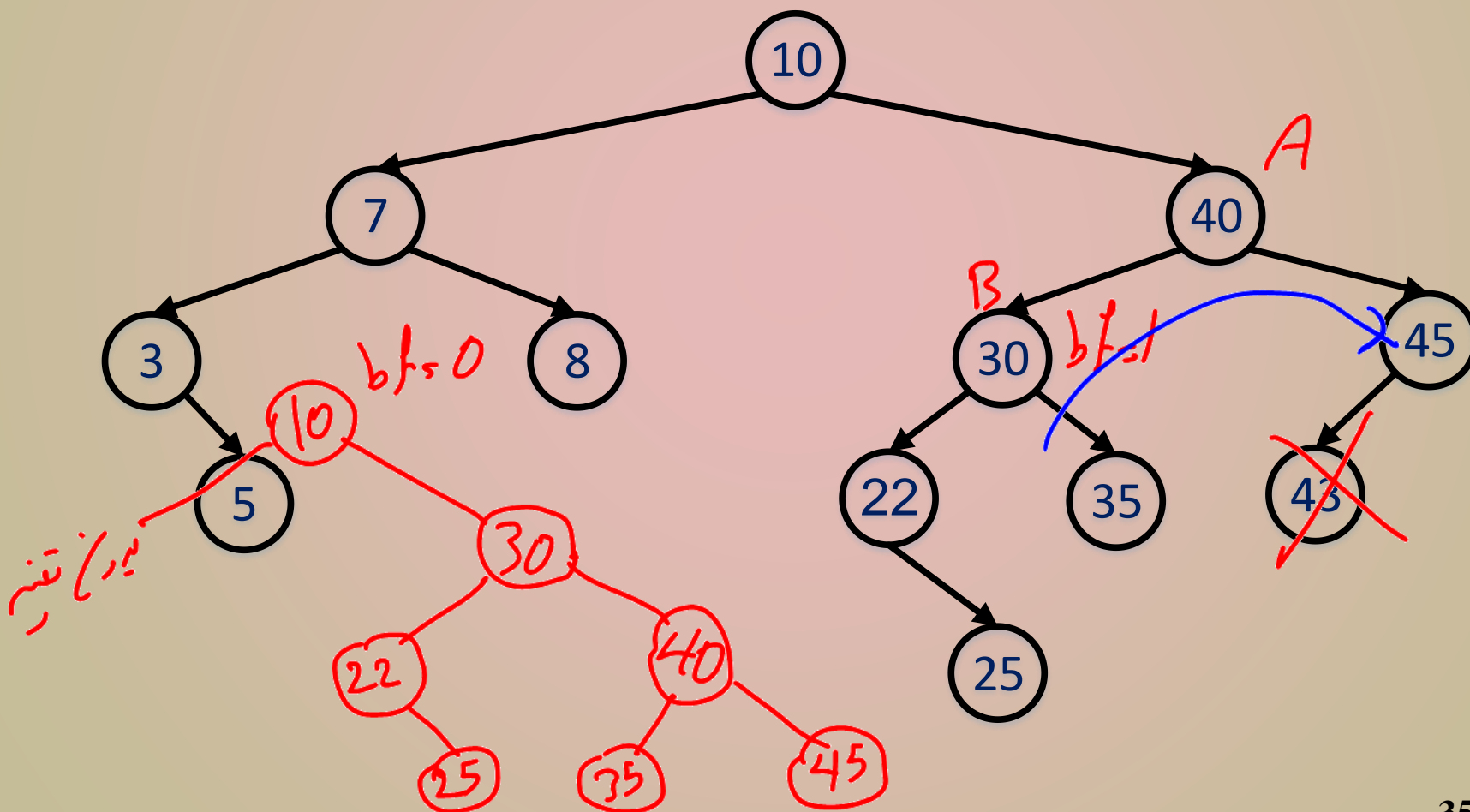
نمونه سوال امتحان: درج ۲۶

LR

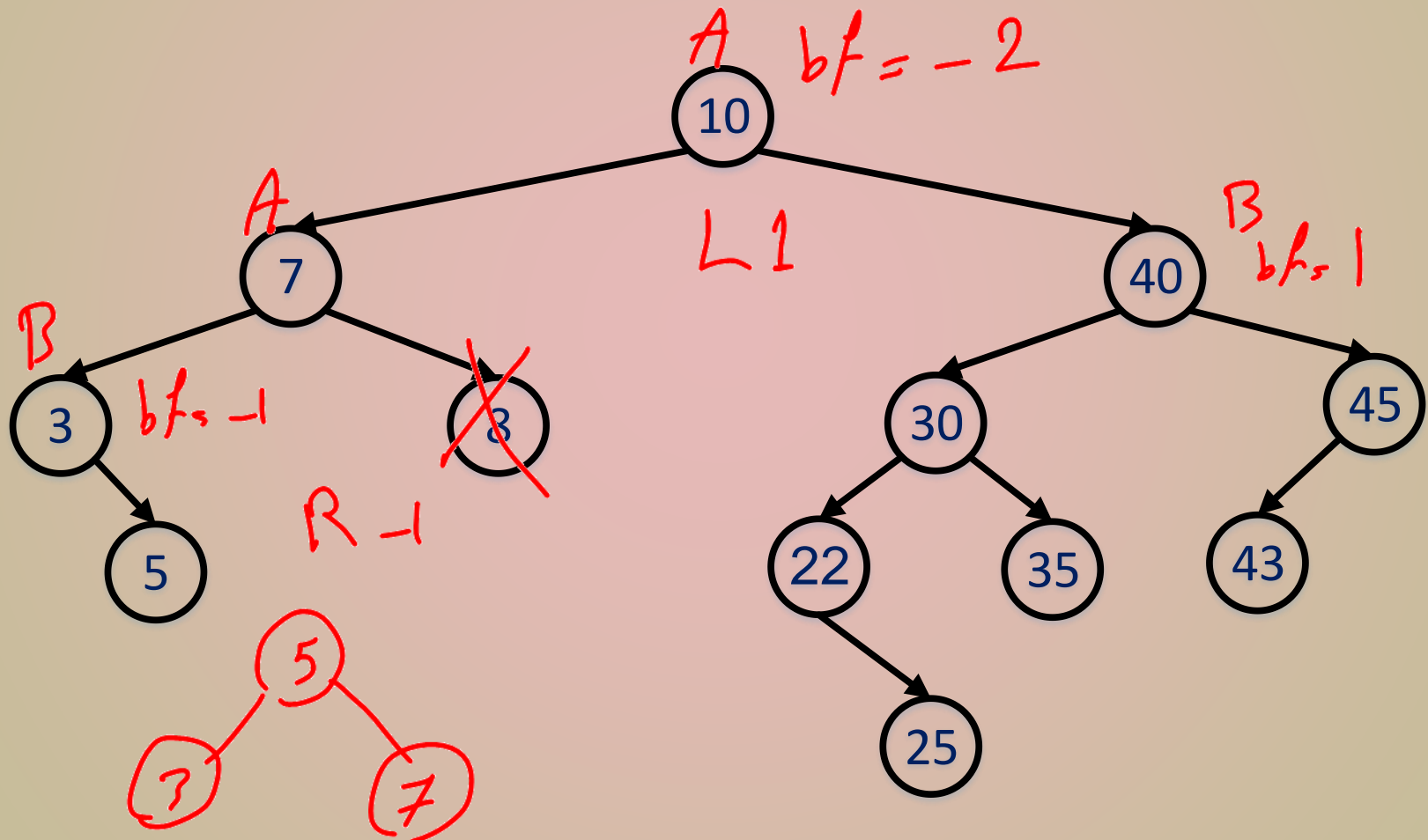


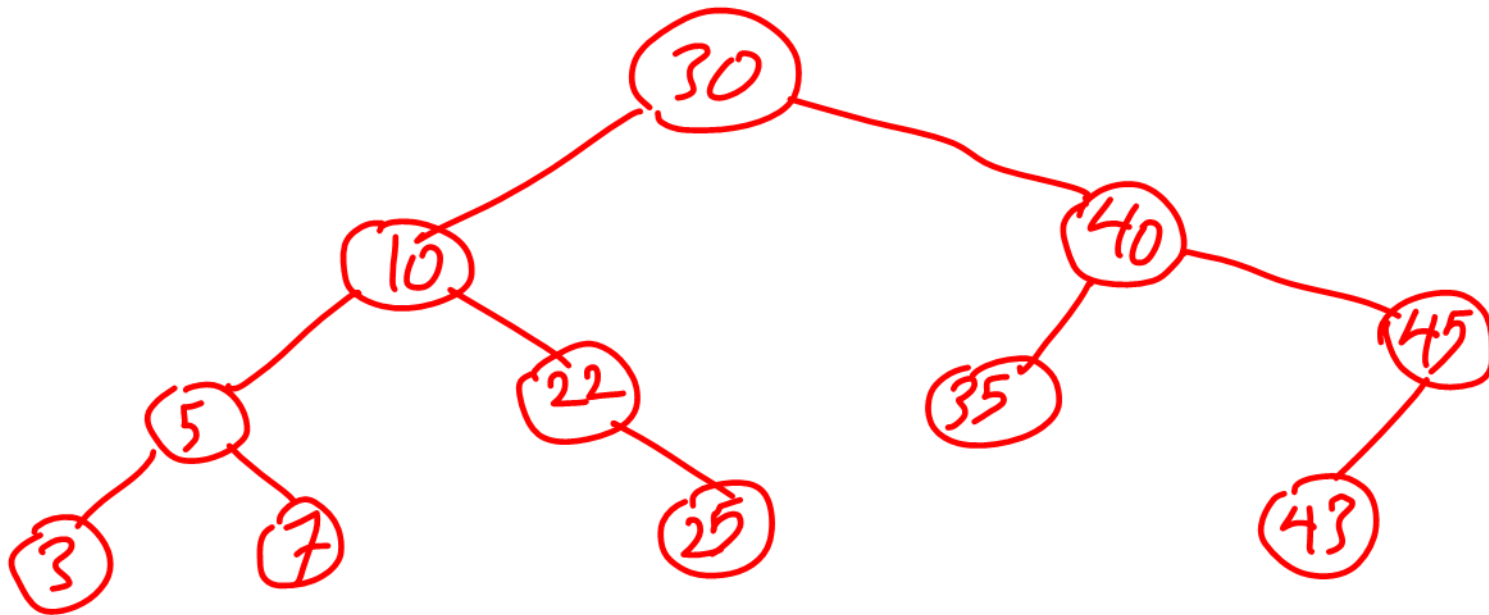
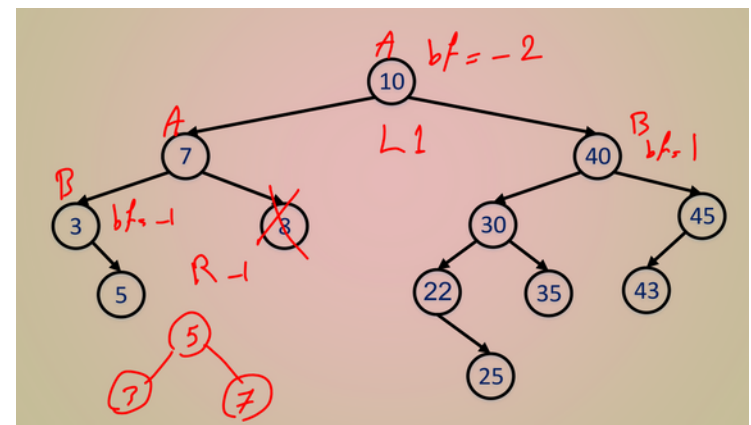
نمونه سوال امتحان: حذف ۴۳

R 1



نمونه سوال امتحان: حذف ۸





دیکھو