

**1. (Chapter 4 Problem 13 of the text book)**

A greedy solution:

Order the jobs in the order  $a_1, \dots, a_n$  s.t.  $\frac{t_1}{w_1} \leq \frac{t_2}{w_2} \leq \dots \leq \frac{t_n}{w_n}$ .

Running time:  $O(n \log(n))$ : (sorting array of length  $n$ )

**Prove:**

Based on the proof in the lecture, we have two properties:

- No idle time
- No inversion on  $\frac{t_i}{w_i}$

We prove that the optimal schedule has no inversion.

let  $S: a_1, \dots, a_n$  be an optimal schedule with no idle time (by claim one). If  $S$  has no inversion, the claim holds. Assume  $S$  has at least one inversion. Then there is pair  $a_i$  and  $a_{i+1}$  s.t.  $\frac{t_i}{w_i} > \frac{t_{i+1}}{w_{i+1}}$ . Let  $S'$  be the schedule obtained by exchanging the order of  $a_i$  and  $a_{i+1}$ . Then  $S'$  has one less inversion than  $S$ . We prove  $l(S') \leq l(S)$ :

$$l(S) = \sum_{i=1}^n w_i C_i$$

$$l(S') = \sum_{i=1, i \neq i, i+1}^n w_i C_i + w_{i+1}(C_{i-1} + t_{i+1}) + w_i C_{i+1}$$

so that  $C_i = \sum_{q=1}^i t_q$ ,

$$\begin{aligned} l(S') - l(S) &= w_{i+1}(C_{i-1} + t_{i+1}) + w_i C_{i+1} - w_i C_i - w_{i+1} C_{i+1} \\ &= w_{i+1}(C_{i-1} + t_{i+1} - C_{i+1}) + w_i(C_{i+1} - C_i) \\ &= -w_{i+1}t_i + w_i t_{i+1} < 0 \end{aligned}$$

The last inequality comes from

$$\frac{t_i}{w_i} > \frac{t_{i+1}}{w_{i+1}} \Rightarrow w_{i+1}t_i > w_i t_{i+1}$$

**2. I Suggest twp greedy algorithm, with similar running times.**

Algorithm 1 (assign jobs to the first resource, then the rest of the jobs to the next resource, etc):

**Sort** array  $S$  by  $f_i$

$NI := S$

**for**  $i = 1$  to  $p$ , **do**:

$EFTF(NI)$

$P_i = P$

$NP = NO \setminus P$

**End for;**

**Return**  $P_1$  to  $P_p$ .

```

EFTF(NI){
     $P = \emptyset$ 
     $S = NI$ 
     $j = 1$ 
    while  $S \neq \emptyset$ , do {
        pick  $a_m$  as the first element in sorted array  $S$ , with smallest  $f_i$ 
         $S = \{a_i \in NI | s_i \geq f_j\}$ 
        update  $j = m$ .
         $P = P \cup \{a_j\}$ 
    End while
    Rerutn  $P$ 
}

```

### Prove

For  $P_1$ , by the proof of Earliest-Finish-Time-First algorithm in the lecture note, maximum number of jobs is assigned to resource number 1, so  $|P_1|$  is maximized

let  $S_k^1 = \{a_i \in S | s_i \geq f_k\}$ , and  $S_k^p = \{a_i \in S \setminus \{\cup_{j=1}^{p-1} P_j\} | s_i \geq f_k\}$  for  $p > 1$  and  $P_j$  the set of jobs assigned to resource  $j$ .

let  $a_m$  be the element in  $S_k^p$  with smallest  $f_i$ . then  $a_m$  is included in maximum-size subset of mutually compatible jobs in  $S_k^p$ . Let  $A_k$  be a maximum-size subset of mutually compatible jobs in  $\{a_i \in S | s_i \geq f_k\}$  and  $a_j$  be the job in  $A_k$  with the smallest  $f_j$ . if  $a_j \in \cup_{j=1}^{p-1} P_j$ , then, theorem is proved. So imagine  $a_j \notin \cup_{j=1}^{p-1} P_j$ , thus in  $a_j$  if  $a_j = a_m$  then the theorem is proved. Assume  $a_j \neq a_m$ . Let  $A'_k = (A_k \setminus a_j) \cup a_m$ . Since  $a_j \in A_k$  and for any  $a_i \in A_k$  with  $a_i \neq a_j$ ,  $f_j \leq f_i$  and  $a_j$  is compatible with  $a_i$ ,  $f_j \leq s_i$ . Therefore and from  $f_m \leq f - j$ ,  $f_m \leq s_i$  for every  $a_i \in A_k$ . Thus, jobs in  $A'_k$  are mutually compatible.

**Algorithm 2:** Assign jobs in order of finish times to the available resource with latest finish time

```

Sort array  $S$  by  $f_i$  /*  $O(n \log(n))$  */
 $F_j := 0$ , for  $j = 1, \dots, p$ 
 $P_j := \emptyset$ , for  $j = 1, \dots, p$ 
for  $i = 1$  to  $n$ , do /*  $n$  iterations */
     $l = LFT(F, s_i)$  /*  $O(p)$  */
    if  $l \neq 0$ , then
         $P_l = P_l \cup \{s_i\}$  /*  $O(1)$  */
         $F[l] = f_i$  /*  $O(1)$  */
        Break
    End if
End for
Return  $P_j$ , for  $j = 1, \dots, p$ 

```

Running time is  $O(n \times \max\{\log(n), p\})$

```

LFT( $F, s_i$ )
Input:  $F, s_i$ 
Output:  $l$  (index of job with latest finish time before  $s_i$ ) { /*  $O(p)$  */
     $l = 0$  /*  $O(1)$  */
    for  $j = 1$  to  $p$ , do /*  $p$  iterations */
        if  $F_j < s_i$ , and  $F_j > F_l$ , then /*  $O(1)$  */
             $l = j$  /*  $O(1)$  */
        end if
    return  $l$ 
}

```

**prove**

The algorithm assign  $a_1$  with  $f_1$ , the smallest finish time to resource 1. Assume after  $i$  iterations, jobs  $a_{m_j}$ ,  $j = 1, \dots, p$  are assigned to each resource  $j$  and  $f_{m_1} \leq f_{m_2} \leq \dots \leq f_{m_p}$ . At this point the algorithm is optimal.

Assume  $a_i$  is the next not-tried job with the smallest  $f_i$ . If it is not compatible with any jobs  $a_{m_j}$ , then, in there is an optimal solution that it is not assigned to any resource. (prove similar to what discussed in the class)

If  $a_i$  is compatible with one resource  $m_k$ , then similar prove is used to show in any optimal solution,  $a_i$  is assigned to resource  $m_k$ , with largest index or largest finish time.

If  $a_i$  is compatible with more than one resource  $m_k$  and  $m_{k'}$  and  $f_{m_{k'}} \leq f_{m_k}$  Then we prove that there is an optimal solution in which  $a_i$  is assigned to the resource  $m_k$

Assume there is an optimal solution in which  $a_i$  is assigned to  $m_k'$ . Then, let  $a'_i$  be the next job with the smallest finish time. If  $f_{m_k'} \leq s'_i \leq f_{m_k}$ , then we could have assigned  $i'$  to  $m_{k'}$  and  $a_i$  to  $m_k$  and increase number of matched jobs. In other case, such as  $s'_i \leq f_{m_k'} \leq f_{m_k}$  and  $f_{m_{k'}} \leq f_{m_k} \leq s'_i$  there is no reduction in the number of assigned jobs by doing so.

## 3. (Chapter 5 Problem 2 of the text book) 15 points

I base on MergSort algorithm to find the number of significant inversions too. The final answer is as follows:

```

Initialize:  $l = 1, r = n, m = 0$ 
MergeSort( $A, l, r, m$ );
Return  $m$ ;

```

So that:

```

MergeSort( $A, l, r, m$ ) {
Input: Array A and positions  $l, r$ 
Output: Array A s.t.  $A[l], A[l+1], \dots, A[r]$  are sorted. Number  $m$ , which is the number of significant inversion between  $A[l]$  and  $A[r]$ .
    if  $l < r$  then
         $p := [(l+r)/2]$ 
        MergeSort( $A, l, p, m_l$ )
        MergeSort( $A, p+1, r, m_r$ )
    
```

```

 $m = m_l + m_r \ /* O(1) */$ 
Merge( $A, l, p, r, m$ )
 $n_l = p - l + 1; n_r = r - p; L[n_l + 1] = \infty; R[n_r + 1] = \infty;$ 
for  $i = 1$  to  $n_l$  do  $L[i] = A[l + i - 1]$  ;
for  $j = 1$  to  $n_r$  do  $R[j] = A[p + j]$ ;

 $i = 1; j = 1;$ 
for  $k = l$  to  $r$  do
  if  $L[i] \leq R[j]$  then  $[A[k] = L[i]]; i = i + 1$ 
  End if
  else  $A[k] = R[j]; j = j + 1$ 
  if  $L[i] > 2 \times R[j]$  then  $m = m + (n_l - (i - 1)) \ /* O(1) */$ 
  End if
End for
}

```

Running time is  $O(n \log(n))$ , which is similar to original Mergsort. Only two lines are added which run in constant times.

#### Prove:

Given the number of inversion in  $A[l]$  to  $A[p]$  and  $A[p+1]$  to  $A[r]$ , we only need to count number of significant inversions between an element in the first array and another element in the second array. If element  $A[l']$  is larger than  $2 \times A[p']$ , then all of the elements after  $A[l']$  are also larger than  $2 \times A[p']$ , thus there will be  $(p - (l' - 1))$  significant inversions. The algorithm counts this number.

#### 4. (Chapter 5 Problem 3 of text book) 15 points

I use a divide-and-conquer algorithm to find the elements which is the candidate for being equivalent to at least  $n/2$  elements. Then test if this elements is equivalent with at least  $n/2$  elements.

```

Initialize:  $l = 1, r = n, m = 0$ 
 $u = \text{MergeCandidate}(C, l, r) \ /* O(n \log(n)) \ (\text{see below}) */$ 
  if  $u = 0$  then, print("There isn't more than  $n/2$  cards in C that all equivalent to another")
/*O(1)*/
  else
    compare  $v(u)$  with  $v(C[1])$  to  $v(C[n]) \ /* O(n) comparison */$ 
    if  $v(u)$  matches with at least  $\lceil \frac{n}{2} \rceil + 1$  elements, then
      print("there are more than  $n/2$  cards in C that all equivalent to  $u$ ")
    else
      print("There isn't more than  $n/2$  cards in C that all equivalent to another")
    end if
  end if
};

Total Running time is  $O(n \log(n))$ 

```

The functions are defined as bellow:

$u = \text{MergeCandidate}(C, l, r)$

**Input:** Array  $C$  and positions  $l, r$   
**Output:** Element  $u$  as potential candidate between  $C[l], C[l + 1], \dots, C[r]$  with which the strong majority of elements are equivalent. {  
  **if**  $l < r$  **then**  
     $p := [(l + r)/2]$  /\*  $\theta(1)$  \*/  
     $u_l = \text{MergeCandidate}(C, l, p)$   
     $u_r = \text{MergeCandidate}(C, p + 1, r)$   
     $u = \text{Merge}(C, l, p, r, u_l, u_r)$

**Merge**( $C, l, p, r, u_l, u_r$ ) { /\*  $\theta(n)$  \*/  
  **if**  $u_l = 0$  and  $u_r = 0$ , **then**  $u = 0$   
  **if**  $u_l = 0$  and  $u_r \neq 0$ , **then**  $u = u_r$   
  **if**  $u_l \neq 0$  and  $u_r = 0$ , **then**  $u = u_l$   
  **if**  $u_l \neq 0$  and  $u_r \neq 0$ , **then**:  
    compare  $v(u_l)$  with  $v(C[l])$  to  $v(C[r])$  /\*  $(r - l + 1)$  comparison , thus  $\theta(n)$  \*/  
    **if**  $v(u_l)$  matches with at least  $[\frac{l-r}{2}] + 1$  elements, **then**  $u = u_l$   
    **else**  $u = u_r$   
    **end if**  
  **end if**  
**end if**

}

### Running time of MergeCandidate() function:

$T(n) = 2T(n/2) + O(n)$ , which based on the master theorem, means the algorithm has running time of  $\theta(n \log(n))$ ,

### Prove:

Although it has not explicitly mentioned in the question, I assume that the equivalence relationship is transitive. That is, if  $v(u_1) = v(u_2)$ , and  $v(u_1) = v(u_3)$ , then  $v(u_1) = v(u_2)$ , which means if an element is equivalent to two elements as a result of a direct comparison, then these two elements are equivalent.

If an element  $u$  is equivalent to more than  $n/2$  elements in  $A$ , then dividing  $A$  into two equal size group  $C_l$  and  $C_r$ ,  $u$  must be equal to more than half of the elements in either  $C_l$  or  $C_r$  or both. Similarly, if dividing each  $A_l$  and  $A_r$  into two equal size group, then  $u$  is equivalent to more than half of each set. By recursion, we can conclude that at each layer, there must be a at least an element  $u$ , which is equivalent to more than  $n/2$  elements in one of the sets in that layer. Thus,  $u$  always exist.

In case there are two majority candidate for the two groups, then at most one of them is the majority candidate for the union of the two group, We use brute force to check which if the first one has majority when the groups are merged. If not, it the second candidate is the only candidate.

If there is no majority candidate for the two groups, then as proved before, there is no candidate from this set, so no strong majority in general.

By induction,  $u$  is going to be the only candidate that form strong majority.

Finally, we manually check if  $u$  is actually equivalent to more than  $n/2$  elements.

5. (Chapter 6 Problem 1 of the text book) 15 points

(a) Consider the following counter-example with  $n = 5$  and  $w_1 = 1, w_2 = 4, w_3 = 5, w_4 = 4, w_5 = 1$ . The algorithm select  $S = \{1, 3, 5\}$ , and  $w(S) = 7$ . But we have  $S' = \{2, 4\}$  and  $w(S') = 8$ , which has higher weight than the output of this "heaviest-first" algorithm

(b) Consider the following counter-example with  $n = 5$  and  $w_1 = 5, w_2 = 2, w_3 = 2, w_4 = 5, w_5 = 1$ . The algorithm select  $S = \{1, 3, 5\}$ , and  $w(S) = 8$ . But we have  $S' = \{1, 4\}$  and  $w(S') = 10$ , which has higher weight than the output of this algorithm

(c) Consider the following Bellman equation, for the subgraph of  $V_i = \{v_1, v_2, \dots, v_i\}$

$$\text{opt}(i) = \begin{cases} \max\{\text{opt}(i-2) + w_i, \text{opt}(i-1)\} & \text{for } i \geq 3 \\ w_1 & \text{for } i = 1 \\ \max\{w_1, w_2\} & \text{for } i = 2 \end{cases} \quad (1)$$

**Input:** Array  $W$  and scholar  $n$  as the length of the path.

**Output:** element  $\text{opt}[n]$  and  $S_t$  with size  $n$ . {

```

Initialize:  $S_{t-2} = 1, \text{opt}[1] = w_1$ 
If  $w_1 > w_2$ , then  $S_{t-1} = \{1\}, \text{opt}[2] = w_1$ 
Else, then  $S_{t-1} = \{2\}, \text{opt}[2] = w_2$ 
End if
for  $i = 3$  to  $n$ , do /* $n$  times*/
  If  $\text{opt}(i-2) + w_i > \text{opt}(i-1)$  then /* $O(1)$ */
     $\text{opt}(i) = \text{opt}(i-2) + w_i$ , /* $O(1)$ */
     $S_t = S_{t-2} \cup \{i\}$  /* $O(1)$ */
  Else, then
     $\text{opt}(i) = \text{opt}(i-1)$  /* $O(1)$ */
     $S_t = S_{t-1}$  /* $O(1)$ */
  End if
   $S_{t-2} = S_{t-1}$  /* $O(1)$  (if defined as a passing.)*/
   $S_{t-1} = S_t$  /* $O(1)$ */
End for
Return  $S_t, \text{opt}[n]$ 

```

**Running time** is  $O(n)$  and space is  $O(n)$

### Prove by induction

It is trivial that for a sub-problem with size  $i = 1$  and  $i = 2$  the algorithm returns the optimal answer. By induction, assume that for a sub-problem with size  $i - 1$  the algorithm returns the optimal solution, we show that for the sub-problem with size  $i$ , the algorithm returns the optimal solution too.

Imagine, the last node of the optimal solution of sub-problem with size  $i$  is  $s$ . if  $s = i$ , then  $i - 1$  must not be included. Thus  $i - 2$  can be included. By induction assumption,  $\text{opt}(i - 2)$  returns the maximum weight for nodes from 1 to  $i - 2$ . Thus  $\text{opt}(i - 2) + w_i$  is maximum.

If  $s \leq i - 2$ , we can add node  $i$  and since weight of each node is greater than zero, we can add node  $i$  to this sequence and have better optimal solution.

If  $s = i - 1$ , then by induction hypothesis, we already know that the optimal solution is  $\text{opt}(i - 1)$ , and the algorithm returns  $\text{opt}(i) = \text{opt}(i - 1)$ , thus, again we reach the maximum value.

#### 6. (Chapter 6 Problem 5 of the text book) 15 points

My logic is to find optimal value of word(s) using letters  $i$  to  $j$ , we can recursive compare the optimal value of word(s) with  $i$  to  $q$  and  $q + 1$  to  $j$ , for all  $q$ . The optimal result is when  $i = 1$  and  $j = n$ .

Define Bellman equation as:

$$\text{opt}(i, j) = \begin{cases} \max\{\text{opt}(i, q') + \text{opt}(q' + 1, j), v(i, j)\} & \text{for } i < j \\ \text{Qty}(y_i) & \text{for } i = j \end{cases} \quad (2)$$

So that:

$$q' = \underset{q}{\operatorname{argmax}}\{\text{opt}(i, q) + \text{opt}(q + 1, j) | i \leq q \leq (j - 1)\}$$

$$v(i, j) = \text{Qty}(y_i \dots y_j)$$

#### Prove of the algorithm by induction:

It is trivial that when  $i = j$  and  $i = J - 1$ ,  $\text{opt}(i, j)$  is the maximum quality of the segmentation of  $y_i \dots y_j$ . Assuming that  $\text{opt}(i, j)$  returns the optimal value, we want to show that  $\text{opt}(i, j + 1)$  is the maximum quality of segmentation of  $y_i \dots y_{j+1}$ . By contradiction, imagine  $\text{opt}(i, j + 1)$  is not the quality of the maximum segmentation. Thus, this segmentation is either one word, or more than one word. If it is one word, then its quality is  $v(i, j + 1) \leq \text{opt}(i, j + 1)$  Which is a contradiction. If it is more than one word, let  $q$  be the last letter of the first word. Then the quality of the first word is less than or equal to  $\text{opt}(i, q)$  and the quality of the rest of the words is less than or equal to  $\text{opt}(q + 1, j + 1)$ . Thus the total quality is less than or equal to  $\text{opt}(i, j + 1)$ . Which is a contradiction. We can show  $\text{opt}(i, j)$  is the maximum quality of segmentation similarly.

(Assuming  $\text{Qty}(x)$  return value in constant time)

**Input:** function  $\text{Qty}(x)$ , array  $y$ .

**Output:**  $\text{opt}[1, n], L \{$

**let**  $\text{opt}$  be  $n \times n$  arrays with  $\text{opt}(i, i) = \text{Qty}(y_i)$ , let  $S$  be an  $n \times n$  arrays and let  $L = \emptyset$  /\*  $O(n)$  \*/

**for**  $m = 1$  to  $n$ , **do** /\*  $n$  times \*/

**for**  $i = 1$  to  $n - (m - 1)$  **do** /\* maximum of  $n$  times \*/

$j = i + m$

$q' = \underset{q}{\operatorname{argmax}}\{\text{opt}[i, q] + \text{opt}[q + 1, j] | i \leq q \leq (j - 1)\}$  /\*  $O(n)$  (max of  $n$  possible  $q$ ) \*/

$\text{opt}[i, j] = \text{opt}[i, q'] + \text{opt}[q' + 1, j]$  /\*  $O(1)$  \*/

**if**  $v(i, j) > \text{opt}[i, j]$ , **then** /\*  $O(1)$  \*/

$q' = 0$

```

    opt[i, j] = v(i, j)
end if
    S[i, j] = q'
end for
end for
DFS(1, n) /*  $O(n^2)$  as visits each element of  $S$  at most one time */
Return opt[1, n], L

```

The running time is  $O(n^3)$ . We have:

```

DFS(i, j) {
    if  $i \neq j$  and  $S[i, j] \neq 0$ , then
         $q := S[i, j]$ 
         $L = L \cup q$ 
        DFS(i, q)
        DFS(q + 1, j)
    end if

```

Total Running time is  $O(n^3)$ , space is  $\Theta(n^2)$

Segmentation is in  $L$ , so that if  $j \in L$ , there is a word ending with  $y_j$  and the next word starts from  $y_{j+1}$   
 $\text{opt}[1, n]$  is the maximum quality of the segmentation.

7. 10 points

(a)

$$B = \begin{matrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 3 & 3 & 3 & 3 & 3 & 3 & 3 & 3 & 3 \\ 0 & 0 & 0 & 3 & 6 & 6 & 6 & 9 & 9 & 9 & 9 & 9 \\ 0 & 0 & 0 & 3 & 6 & 18 & 18 & 18 & 21 & 24 & 24 & 24 \\ 0 & 0 & 0 & 3 & 6 & 18 & 22 & 22 & 22 & 25 & 28 & 40 \\ 0 & 0 & 0 & 3 & 6 & 18 & 22 & 28 & 28 & 28 & 31 & 40 \end{matrix}$$

(b)

j	A (value, weight)
1	$\{(0, 0), (3, 3)\}$
2	$\{(0, 0), (3, 3), (6, 4), (9, 7)\}$
3	$\{(0, 0), (3, 3), (6, 4), (18, 5), (21, 8), (24, 9)\}$
4	$\{(0, 0), (3, 3), (6, 4), (18, 5), (22, 6), (25, 9), (28, 10), (40, 11)\}$
5	$\{(0, 0), (3, 3), (6, 4), (18, 5), (22, 6), (28, 7), (31, 10), (40, 11)\}$

(c) I used random integers between 1 to 100 for values and weights.:

<b>Algorithm</b>	<b>n</b>	<b>Running Time (seconds)</b>	<b>Array Elements Created</b>
Knapsack	100	0.4268860817	505,101
Knapsack1	100	0.0479755402	11,749
Knapsack	500	10.944418199	12,525,501
Knapsack1	500	2.5533194542	257,921