

# CS3063 Theory of Computing

Semester 4 (21 Intake), Jan – May 2024

## Lecture 6

Context-Free Languages: Session 1

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# Announcements

- Assignment 1: due 04<sup>th</sup> March
- Week 7: Quiz 5 (based on L6, this lecture)
  - Thursday 7<sup>th</sup> March
  - 8.15am: Group 1
  - 9.15am: Group 2

# Today's Outline:

## Lecture 6

### Context-free Languages (CFLs) - 1

- Context-free Grammars (CFGs)
- Derivations
- CFL: Definition and Examples
- CFGs and Regular Languages
- Derivation Trees
- Ambiguity in CFGs

# PART 1

## Today's Outline:

### Lecture 6

## Context-free Languages (CFLs) - 1

- **Context-free Grammars (CFGs)**
- **Derivations**
- CFL: Definition and Examples
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- Ambiguity in CFGs

# Context-free Grammars

- **Definition:** A context-free grammar (CFG) is a 4-tuple  $G = (V, \Sigma, S, P)$  where  $V$  and  $\Sigma$  are disjoint finite sets,  $S \in V$  and  $P$  is a finite set of formulas of the form  $A \rightarrow \alpha$  where  $A \in V$  and  $\alpha \in (V \cup \Sigma)^*$ 
  - $V$  is a set of *variables* or *non-terminal* symbols
  - $\Sigma$  are terminal symbols or *terminals*
  - $S$  is the *start symbol*
  - $P$  is the set of *grammar rules* or *productions*

# Example 1

- Can we describe natural languages?

<sentence> → <noun phrase><verb phrase>

<noun phrase> → <adjective><noun phrase>

<noun phrase> → <noun>

<noun> → dog

<noun> → cat

.....

.....

Non-terminals

Terminals

## Example 2

$S \rightarrow \Lambda$

Non-terminal: S

$S \rightarrow Sa$

Terminals:  $\Lambda, a, b$

$S \rightarrow Sb$

- Use symbol “|” to mean “or”

$S \rightarrow \Lambda \mid Sa \mid Sb$

- Write “ $\alpha \Rightarrow \beta$ ” to mean  $\beta$  can be obtained by applying one of the rules to  $\alpha$

$S \Rightarrow Sa \Rightarrow Sba \Rightarrow Sbba \Rightarrow \Lambda bba = bba$

# Derivations

- CFGs generally contain recursive definitions; we obtain (or **derive**) strings by applying productions
- To indicate a derivation is w.r.t a CFG,  $G$ , we write “ $\alpha \Rightarrow_G \beta$ ” (generally, “ $\alpha \Rightarrow \beta$ ”)
  - This means string  $\beta$  can be obtained from string  $\alpha$  by replacing some non-terminal on the LHS of a production in  $G$
  - That is:  $\alpha = \alpha_1 A \alpha_2$ ,  $\beta = \alpha_1 \theta \alpha_2$  since  $A \rightarrow \theta$  in  $G$



# Derivations ...contd

- With “ $\alpha \Rightarrow_G \beta$ ” (or, “ $\alpha \Rightarrow \beta$ ”) we say  $\alpha$  derives  $\beta$ , or  $\beta$  is derived from  $\alpha$ , in one step
- We write “ $\alpha \Rightarrow^*_G \beta$ ” (or, “ $\alpha \Rightarrow^* \beta$ ”) if  $\alpha$  derives  $\beta$  in zero or more steps

# Derivations ...contd

- Suppose at some point in a derivation we have obtained a string  $\alpha = \alpha_1 A \alpha_2$  containing the non-terminal  $A$
- Suppose we have the production  $A \rightarrow \theta$
- We may continue by substituting  $\theta$  for  $A$ , *independent of the context* ( $\sim$  *context-free*) which means no matter what  $\alpha_1$  and  $\alpha_2$  are

# PART 2

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- **CFL: Definition and Examples**
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- Derivation Trees
- Ambiguity in CFGs

# Context-free Languages

- **Definition:** Let  $G = (V, \Sigma, S, P)$  be a CFG. The language generated by  $G$  is

$$L(G) = \{x \in \Sigma^* \mid S \Rightarrow_G^* x\}$$

- A language  $L$  is a *context-free language* (CFL) if there is a CFG  $G$  so that  $L = L(G)$ .

# Example 1

- The language  $\{a^n b^n \mid n \geq 0\}$

$$S \rightarrow \Lambda \mid aSb$$

- Whenever **a** is added to a string **b** is added simultaneously
  - Recall: this is not a regular language

## Example 2

- The language *pal* of palindromes over  $\Sigma = \{a, b\}$ 
  - $\Lambda$  is in *pal*
  - For any  $a$  in  $\Sigma$ ,  $a$  is in *pal*
  - For any  $a$  in  $\Sigma$  and  $x$  in *pal*,  $axa$  is in *pal*
  - Nothing else can be in *pal*
- The following CFG defines *pal*  
 $S \rightarrow \Lambda \mid a \mid b \mid aSa \mid bSb$

## Example 3

- Language of simple arithmetic expressions
  - Consider only: +, -, \*, /, (, ) and identifier  $a$
- Can you write the set of productions?

$$S \rightarrow S+S \mid S-S \mid S*S \mid S/S \mid (S) \mid a$$

# Example 4

- Syntax of programming languages
- Can you formulate grammar rules to specify a legal statement in C/Java/Python?

$\langle \text{statement} \rangle \rightarrow \dots \mid \langle \text{if\_statement} \rangle \mid$   
 $\langle \text{for\_statement} \rangle \mid \dots$

$\langle \text{if\_statement} \rangle \rightarrow \text{if } (\langle \text{expr} \rangle) \langle \text{statement} \rangle$

$\langle \text{for\_statement} \rangle \rightarrow \text{for } (\langle \text{expr} \rangle; \langle \text{expr} \rangle; \dots)$   
 $\langle \text{statement} \rangle$



# Properties of CFLs

- **Theorem:** If  $L_1$  and  $L_2$  are CFLs, then the languages  $L_1 \cup L_2$ ,  $L_1L_2$  and  $L_1^*$  are also CFLs
- **Corollary:** Every regular language is a CFL

# PART 3

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# CFG for a Regular Language

- Example: obtain a CFG equivalent to the regular language  $(011|1)^*(01)^*$

$A \rightarrow 011 \mid 1$  (we get  $\{011, 1\}$  )

$B \rightarrow AB \mid \Lambda$  (we get  $\{011, 1\}^*$  )

$D \rightarrow 01$

$C \rightarrow DC \mid \Lambda$  (we get  $\{01\}^*$  )

$S \rightarrow BC$  (we get  $\{011, 1\}^*\{01\}^*$ )

( $S$  is the start symbol)

# CFG from an FA

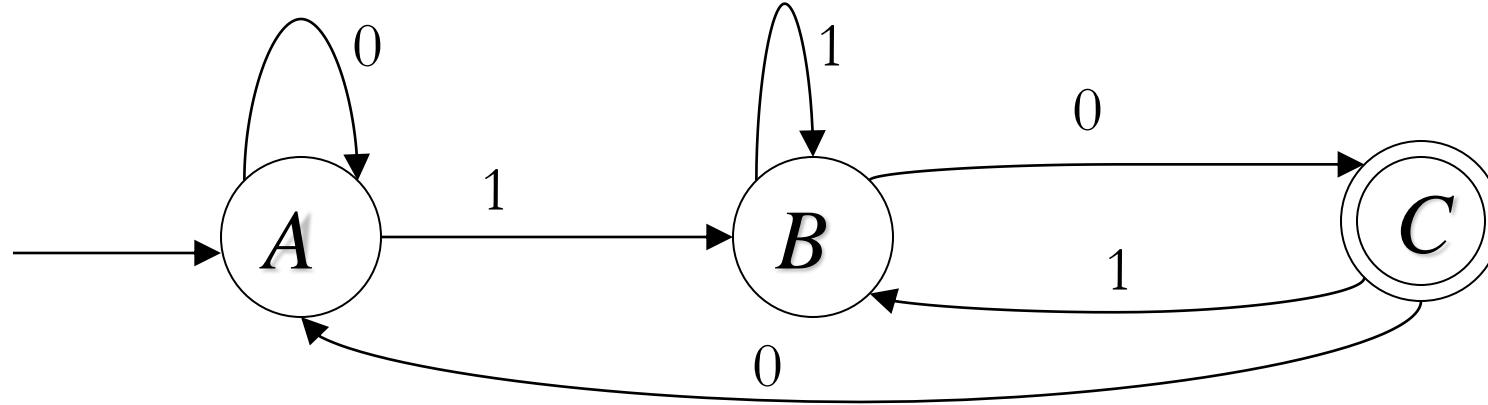
- Suppose we have an FA that accepts L
- We can get the CFG from the FA
  - Productions have a simple form (they track the transitions in the FA)
  - Reversible construction (can get the FA from the CFG)
  - Include productions of the form  $P \rightarrow aQ$  where

$$P \xrightarrow{a} Q$$

is a transition in the FA

# Example

$(0|1)^*(10)$



- $A \rightarrow 1B, A \rightarrow 0A, B \rightarrow 1B, B \rightarrow 0C, C \rightarrow 0A, C \rightarrow 1B$
- To terminate, add  $B \rightarrow 0$ 
  - General form  $P \rightarrow a$  which says that the FA goes to an accepting state from  $P$  with input  $a$

# Regular Grammars

- A grammar  $G$  is regular if every production takes one of the two following forms:

$$B \rightarrow aC$$

$$B \rightarrow a$$

( $B$ ,  $C$  are non-terminals,  $a$  is a terminal)

# PART 4

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# Derivation Trees

- Given a CFG, interpreting a string correctly requires finding a correct derivation of the string in the grammar
- Structure of derivation can be shown by a **derivation tree** (or **parse tree**)
  - *Root*: non-terminal with which derivation starts
  - *Internal nodes*: non-terminals that appear in the derivation
  - *Leaf nodes*: terminals appearing in derivation



# Example

- Given the grammar:

$$S \rightarrow S+S \mid S-S \mid S*S \mid S/S \mid (S) \mid a$$

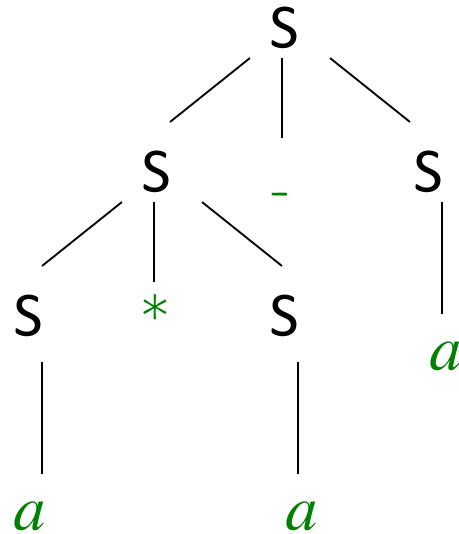
show derivation trees for

(i)  $a * a - a$

(ii)  $a - a/a$

# Solution

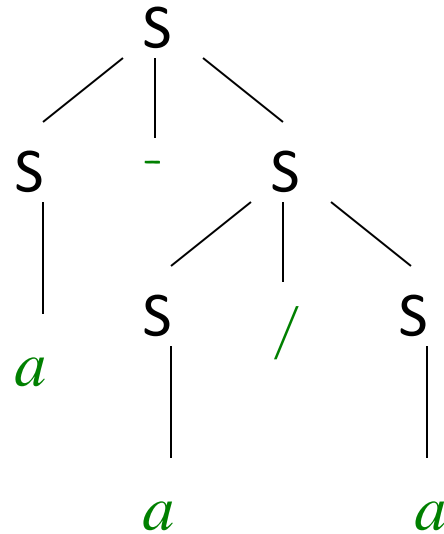
$$(i) S \Rightarrow S - S \Rightarrow S * S - S \Rightarrow a * S - S \Rightarrow a * a - S \Rightarrow a * a - a$$



The derived string is extracted from the derivation tree by a left-to-right scan of the leaves

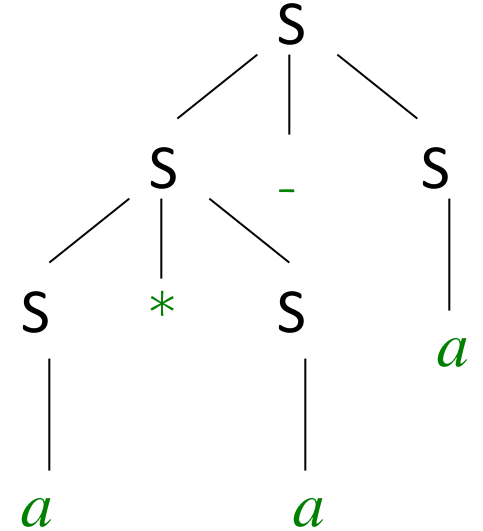
# Solution ...contd

(ii)  $S \Rightarrow S - S \Rightarrow S - S/S \Rightarrow a - S/S \Rightarrow a - a/S \Rightarrow a - a/a$



# Derivation Trees ...contd

- Consider the derivation tree for  $a * a - a$ 
  - What derivation corresponds to it?
  - Is there only one derivation?
- Generally speaking
  - A derivation tree can correspond to more than one derivation
  - Yet a **derivation tree has only one leftmost derivation (and vice versa)**  
(i.e., a 1-to-1 correspondence)



# Derivation Trees ...contd

- *Leftmost derivation*
  - Always replace the leftmost non-terminal
- *Rightmost derivation*
  - Always replace the rightmost non-terminal
- Exercise
  - Given the CFG  $S \rightarrow S+S \mid S-S \mid S*S \mid S/S \mid (S) \mid a$  and the derivation tree in last slide (for the string  $a * a - a$ ), show the leftmost, rightmost and another derivation.

# Derivation Trees ...contd

- Derivation trees
  - specifies productions used
  - temporal order not specified
- *Leftmost derivations corresponding to **different** derivation trees are **different***
- A string of terminals has more than one derivation tree iff it has more than one leftmost derivation
  - [Same for rightmost, symmetrically]

# PART 5

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# Ambiguity

## Definitions

- A **string** of terminals is said to be **ambiguous** (or **ambiguously derived**) if it has more than one derivation tree
- A **CFG**,  $G$ , is **ambiguous** if there is at least one string in  $L(G)$  having two or more derivation trees



# Ambiguity ...contd

- Ambiguity in natural languages?
- Can you give an example?
  - “They are flying airplanes”
  - “Disabled fly to see the President”
    - $S \rightarrow \langle \text{collective\_noun} \rangle \langle \text{verb} \rangle \dots$
    - $S \rightarrow \langle \text{adjective} \rangle \langle \text{noun} \rangle \dots$

# Ambiguity ...contd

- Given the grammar:

$$S \rightarrow S+S \mid S-S \mid S*S \mid S/S \mid (S) \mid a$$

string “ $a+a-a$ ” has two leftmost derivations:

- (i)  $S \Rightarrow S+S \Rightarrow a+S \Rightarrow a+S-S \Rightarrow a+a-S \Rightarrow a+a-a$
- (ii)  $S \Rightarrow S-S \Rightarrow S+S-S \Rightarrow a+S-S \Rightarrow a+a-S \Rightarrow a+a-a$

- Can you draw the derivation trees?

# Ambiguity ...contd

- The “*Dangling Else*” ambiguity:

$\langle \text{stmt} \rangle \rightarrow \text{if } (\langle \text{expr} \rangle) \langle \text{stmt} \rangle \mid$   
 $\text{if } (\langle \text{expr} \rangle) \langle \text{stmt} \rangle \text{ else } \langle \text{stmt} \rangle \mid \langle \text{other\_stmt} \rangle$

- Consider the statement:

*if (expr1) if (expr2) f( ); else g( );*

- Give two different derivation trees for this

# Ambiguity ...contd

- Given statement:

if (expr1) if (expr2) f( ); else g( );

- Two different derivations correspond to:

(i) if (expr1) { if (expr2) f( ); } else g( );

(ii) if (expr1) { if (expr2) f( ); else g( ); }

- (ii) is used (imposing the rule: “*else associates with the closest else-less if*”)

# Unambiguous Grammars

- Examples

$$S \rightarrow aSb \mid ab$$

$$\{a^n b^n \mid n \geq 1\}$$

$$S \rightarrow aSa \mid bSb \mid c$$

$$\{wcw^R \mid w \in \{a, b\}^*\}$$

# Ambiguity ...contd

- Ambiguity comes from the grammar
  - (not really a property of the language)
- Given an ambiguous CFG, usually possible (and desirable) to find an equivalent unambiguous CFG

# Example

- Suppose we have the ambiguous CFG:

$$S \rightarrow S + S \mid S * S \mid (S) \mid a$$

- Avoid  $S \rightarrow S + S$  and  $S \rightarrow S * S$  because these produce ambiguity
- Also possible to impose rules of order and operator precedence
- **Homework:** obtain an unambiguous CFG equivalent to the given ambiguous CFG

# Conclusion

- We started new topic: CFGs and CFLs
  - Basics of CFGs, CFLs
  - Regular grammars
  - Derivations
  - Ambiguity