

# CS3063 Theory of Computing

Semester 4 (21 Intake), Jan – May 2024

## Lecture 12

**Turing Machines: Session 3**

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# Announcements

- Assignment 2: was **due 22 April**
  - Being graded, marks will be on Moodle in a few days
- Quizzes are over (we had 10 Quizzes); best 8 counted
- Next week (the last week of the semester)
  - Lecture 13 and Lecture 14
- Please fill Student Feedback on Moodle
- Final Exam
  - 17<sup>th</sup> May, 1.00-3.00pm
  - Past exam papers will be on Moodle

# Outline:

## Lecture 12

### Turing Machines - 3

#### Turing Machines and Their Languages

- Recursive Languages & Recursively Enumerable Languages
- Unrestricted Grammars
- Context-Sensitive Grammars/ Languages
- Chomsky Hierarchy

# PART 1

## Outline:

### Lecture 12

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# Recall: Accepting vs Deciding

- A TM,  $T$ , with input alphabet  $\Sigma$  **accepts** a language  $L$  in  $\Sigma^*$  if  $L(T) = L$
- A TM,  $T$ , **decides**  $L$  if  $T$  computes its characteristic function
  - That is:  $T$  decides  $L$  if  $T$  halts in state  $h_a$  for every string  $x$  in  $\Sigma^*$ , producing output  $1$  if  $x$  is in  $L$  and output  $0$  otherwise
- **Recognize**: use with care

# Some Terminology

- Procedure
  - A finite sequence of instructions that can be mechanically carried out, given any input (for solving a problem)
- Algorithm
  - A procedure that terminates after a finite number of steps for any input

# Some Terminology ...contd

- **Recursive set**
  - A set  $X$  for which we have an *algorithm* to determine whether a given element belongs to  $X$  or not
- **Recursively-enumerable set**
  - A set for which we have a *procedure* to determine belongingness
- A recursive set is recursively enumerable

# Recursive Languages & Recursively Enumerable Languages

- A language  $L$  is *recursively enumerable* if there is a TM that *accepts*  $L$ 
  - Also called *Turing-acceptable*
- A language  $L$  is *recursive* if there is a TM that *decides*  $L$ 
  - Also called *Turing-decidable* (or *decidable*)



# Recursive Languages & Recursively Enumerable Languages ...contd

- Properties
  - Every recursive language is recursively enumerable
  - i.e., there are recursively enumerable languages that are not recursive
  - If a TM accepts a language  $L$ , there can be strings not in  $L$  for which the TM loops forever (never produces output)

# Recursive Languages & Recursively Enumerable Languages ...contd

- Properties
  - If  $L_1$  and  $L_2$  are recursively enumerable languages, then  $L_1 \cup L_2$  and  $L_1 \cap L_2$  are also recursively enumerable
  - If  $L$  is recursive, so is its complement  $L' = \Sigma^* - L$

# Enumerating a Language

- Enumerate: list all elements one at a time
  - Enumerable: can list all elements
- Definition: A TM Enumerating a Language
  - $T$  is a  $k$ -tape TM and  $L$  is a subset of  $\Sigma^*$  ; we say  $T$  enumerates  $L$  if it operates as follows
    - Tape head on 1<sup>st</sup> tape never moves to left; no non-blank symbol on it never modified later
    - For every string  $x$  in  $L$ , at some point 1<sup>st</sup> tape will contain  $x_1\#x_2\#\dots\#x_n\#x\#$  for some  $n \geq 0$ , where the strings  $x_1, x_2, \dots, x_n, x$  are distinct elements of  $L$ . If  $L$  is finite, nothing is printed after  $\#$  following the last

# Enumerating a Language

- From Definition
  - If  $L$  is finite
    - $T$  can halt when all elements of  $L$  appear on 1<sup>st</sup> tape or continue moves without printing
  - If  $L$  is infinite
    - $T$  will continue to move forever
- **Theorem 10.6, p. 369**
  - A language is recursively enumerable (i.e., can be accepted by some TM) **iff** it can be enumerated by some TM

# Enumerating a Language ...contd

- A language is recursive **iff** there is a TM that enumerates it in *canonical order*
  - **Canonical order**: 2 strings of different lengths, shorter one comes first; same length means alphabetical or numerical order

# Enumerating a Language ...contd

- **Summary**

- A language is *recursively enumerable* if there is an algorithm for listing its elements
- A language is *recursive* if there is an algorithm for listing its elements in canonical order

# PART 2

## Outline:

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- Recursive Languages & Recursively Enumerable Languages
- **Unrestricted Grammars**
- Context-Sensitive Grammars/ Languages
- Chomsky Hierarchy

# Unrestricted Grammars

- Grammars, languages, abstract machines
  - Regular grammars, regular expressions, FA
  - CFG's, context-free languages, PDA
- A TM is the most general machine
  - More general grammar than CFG needed to generate a recursively-enumerable language



# Unrestricted Grammars ...contd

- Recall: the “context-freeness” of CFG’s
  - LHS of a production has a single non-terminal and the *production can be applied whenever* that non-terminal appears in a string (*no matter what the context is*)
  - Allows to prove the pumping lemma for CFG’s
- Can relax the rules of CFGs
  - E.g., LHS of a production with  $>1$  non-terminal

# Unrestricted Grammars ...contd

- Example

$$\alpha A \beta \rightarrow \alpha \gamma \beta$$

- Replace non-terminal  $A$  by  $\gamma$  (only when  $A$  is immediately preceded by  $\alpha$  and followed by  $\beta$ ; i.e., context dependent)

- Easier to write general productions as:

$$\alpha \rightarrow \beta$$

- Production: simply a substitution of a string
- But, LHS must contain  $\geq 1$  non-terminal

# Unrestricted Grammars ...contd

- **Definition:** An *unrestricted* (or *phrase-structure*) grammar is a 4-tuple  $G=(V, \Sigma, S, P)$  where:
  - $V$  and  $\Sigma$  are disjoint sets of non-terminals and terminals, respectively
  - $S$  is the start symbol
  - $P$  is the set of productions of the form
$$\alpha \rightarrow \beta$$
where  $\alpha, \beta$  in  $(V \cup \Sigma)^*$  and  $\alpha$  contains at least one non-terminal

# Example 1

- Unrestricted grammar for  $L = \{a^i b^i c^i \mid i \geq 1\}$ 
  - (E.g. 10.1, p. 372)

$$S \rightarrow FS_1$$

$$BA \rightarrow AB$$

$$FA \rightarrow a$$

$$bB \rightarrow bb$$

$$S_1 \rightarrow ABCS_1$$

$$CA \rightarrow AC$$

$$aA \rightarrow aa$$

$$bC \rightarrow bc$$

$$S_1 \rightarrow ABC$$

$$CB \rightarrow BC$$

$$aB \rightarrow ab$$

$$cC \rightarrow cc$$

# Example 1

- How to derive the string *aabbcc* from  $L$ ?

$S \Rightarrow F S_1 \Rightarrow F A B C S_1 \Rightarrow F A B C A B C \Rightarrow F A B A C B C \Rightarrow$

$F A A B C B C \Rightarrow F A A B B C C \Rightarrow a A B B C C \Rightarrow a a B B C C \Rightarrow$

$a a b B C C \Rightarrow a a b b C C \Rightarrow a a b b c C \Rightarrow a a b b c c$

## Example 2

- Consider  $L = \{ss \mid s \text{ is in } \{a,b\}^*\}$ 
  - (E.g. 10.2, p. 374)
  - Unrestricted grammar for  $L$  would be:

$$S \rightarrow FM$$

$$Aa \rightarrow aA$$

$$Bb \rightarrow bB$$

$$F \rightarrow \Lambda$$

$$F \rightarrow FaA$$

$$Ab \rightarrow bA$$

$$AM \rightarrow Ma$$

$$M \rightarrow \Lambda$$

$$F \rightarrow FbB$$

$$Ba \rightarrow aB$$

$$BM \rightarrow Mb$$

## Example 2

- How to derive the string *abbabb* from  $L$ ?

$S \Rightarrow FM \Rightarrow FbBM \Rightarrow FbMb \Rightarrow FbBbMb \Rightarrow FbbBMb$

$\Rightarrow FbbMbb \Rightarrow FaAbbMbb \Rightarrow FabAbMbb \Rightarrow FabbAMbb$

$\Rightarrow FabbMabb \Rightarrow abbMabb \Rightarrow abbabb$

# Unrestricted Grammars & TMs

- Theorems
  - For any unrestricted grammar  $G=(V, \Sigma, S, P)$ , there is a TM,  $T$ , with input alphabet  $\Sigma$  and  $L(T)=L(G)$
  - For any recursively enumerable language  $L$ , there is an unrestricted grammar  $G$  generating  $L$



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# Context-Sensitive Grammars

- More general than CFG, less general than unrestricted grammars
- A *context-sensitive grammar* (CSG) is an unrestricted grammar in which every product has the form
$$\alpha \rightarrow \beta \quad \text{with } |\beta| \geq |\alpha|$$
- A context-sensitive language (CSL) can be generated by a CSG

## Context-Sensitive Grammars ...contd

- A language is *context-sensitive* iff it can be generated by a grammar in which every production has the form:

$$\alpha A \beta \rightarrow \alpha X \beta$$

where  $\alpha$ ,  $\beta$  and  $X$  are strings of non-terminals and/or terminals,  $X \neq \Lambda$  and  $A$  is a non-terminal

- May allow  $A$  to be replaced by  $X$  depending on the context

# Example

- CSG for  $\{a^n b^n c^n \mid n \geq 1\}$ 
  - Example 10.5 on p. 381

$$S \rightarrow \textcolor{red}{A}BCS_1 \mid \textcolor{red}{A}BC$$

$$S_1 \rightarrow ABCS_1 \mid ABC$$

$$BA \rightarrow AB$$

$$CA \rightarrow AC$$

$$CB \rightarrow BC$$

$$\textcolor{red}{A} \rightarrow a$$

$$aA \rightarrow aa$$

$$aB \rightarrow ab$$

$$bB \rightarrow bb$$

$$bC \rightarrow bc$$

$$cC \rightarrow cc$$

# Linear-Bounded Automata (LBA)

- CSG correspond to linear-bounded automata that lie between PDA and TM
- An LBA is a non-deterministic TM with a limit on the length of tape
  - Head cannot move beyond specified bounds
  - Length moved bound linearly to input length
- (Ref: pp. 382-384 for Definition, details)

# CSG/CSL and LBA

- Theorems
  - If  $L$  is a CSL, there is a LBA accepting  $L$
  - If there is an LBA accepting the language  $L$ , a subset of  $\Sigma^*$ , then there is a CSG generating  $L - \{\Lambda\}$

# Chomsky Hierarchy

- We studied 4 classes of languages
  - Regular, context-free, context-sensitive and recursively-enumerable
- These are called the *Chomsky Hierarchy*
  - Chomsky denoted them as type 3, 2, 1 and 0
- Table next slide (p. 385)

# The Chomsky Hierarchy

Type	Languages (Grammars)	Form of productions	Accepting Device
3	Regular	$A \rightarrow aB, A \rightarrow a$ ( $A, B$ in $V, a$ in $\Sigma$ )	Finite automaton
2	Context-free	$A \rightarrow \alpha$ ( $A$ in $V, \alpha$ in $(V \cup \Sigma)^*$ )	Pushdown automaton
1	Context-sensitive	$\alpha \rightarrow \beta$ ( $\alpha, \beta$ in $(V \cup \Sigma)^*,  \beta  \geq  \alpha ,$ $\alpha$ has a $V$ )	Linear-bounded automaton
0	Recursively enumerable	$\alpha \rightarrow \beta$ ( $\alpha, \beta$ in $(V \cup \Sigma)^*,$ $\alpha$ has a $V$ )	Turing machine

*unrestricted* or *phrase-structure*



## Languages not accepted by a TM?

- Not all languages are recursively enumerable
- Proof based on counting set elements
  - Main idea: the set of languages bigger than the set of TM's (a TM can accept one language)
  - Both are infinite sets but the 1<sup>st</sup> set is bigger !!
- Ref: Section 10.5 and Chapter 11
- More discussion next lecture

# L12: Conclusion

- Today we discussed
  - Languages: recursive, recursively enumerable
  - Unrestricted grammars
  - Context-sensitive grammars
  - Chomsky Hierarchy