

# CS3063 Theory of Computing

Semester 4 (21 Intake), Jan – May 2024

## Lecture 13

**Decidability (Solvability) – 1**

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# Announcements

- This is the final week of the semester
  - Lecture 13, Lecture 14
- Please fill Student Feedback on Moodle
- Past exam papers on Moodle
- Final Exam
  - 17<sup>th</sup> May, 1.00-3.00pm [?]
  - 2 hours, closed book, standard physical exam

Password for  
online  
Attendance  
marking: **rada2s**

# Outline:

## Lecture 13

### Decidability - 1

- **Decidability**
  - Decidable problem?
  - Hilbert's 10<sup>th</sup> Problem
  - Solving a Polynomial
- **Countable / Uncountable Sets**
  - Diagonalization Method
- **Classes of Languages**

# PART 1

## Outline:

### Lecture 13

#### Decidability - 1

- **Decidability**
  - Decidable problem?
  - Hilbert's 10<sup>th</sup> Problem
  - Solving a Polynomial
- Countable / Uncountable Sets
  - Diagonalization Method
- Classes of Languages

# What is a Decidable Problem?

- A problem is **decidable** if it can be answered with either a **yes** or **no** after an algorithmic process (a finite # of steps)
- Otherwise it is **undecidable**
- What is an **algorithm**? Can we define it?
  - Although algorithms have a long history, the notion not defined precisely until 20<sup>th</sup> century

# Hilbert's 10<sup>th</sup> Problem

- In 1900 mathematician David Hilbert posed as challenges 23 problems
  - 10<sup>th</sup> one was in general about algorithms, specifically on integral roots of a polynomial
  - Hilbert's 10<sup>th</sup> problem: devise an “algorithm” that tests if a polynomial has an integral root
    - Exact term “algorithm” was not used
  - His assumption: an algorithm exists
  - But this problem is algorithmically unsolvable
  - Could not conclude at that time

# Notion of Algorithm

- By *Alan Turing* and *Alonzo Church* in 1936
  - *Church* used  **$\lambda$ -calculus** and Turing used **machines** to define algorithms
  - These 2 definitions were shown equivalent
  - This is the idea in the Church-Turing Thesis
- In 1970, Hilbert's 10<sup>th</sup> problem was shown to have no algorithm

# Example 1: Solving a Polynomial

- Let us consider the Hilbert's 10<sup>th</sup> problem  
Let  $D = \{p \mid p \text{ is a polynomial with an integral root}\}$
- The problem: is the set  $D$  decidable?
  - Answer: No (but it is Turing-acceptable)
- Let's consider a simpler problem; let  
 $D1 = \{p \mid p \text{ is a polynomial over } x \text{ with an integral root}\}$



# Solving a Polynomial

- Here is a TM **T1** that accepts D1

**T1** = “The input is a polynomial  $p$  over the variable  $x$ .

1. Evaluate  $p$  with  $x$  set successively to the values 0, 1, -1, 2, -2, 3, -3, .... If at any point the polynomial evaluates to 0, accept.”



High-level description of a Turing machine

- If  $p$  has an integral root, **T1** will eventually find it and accept
- If not, **T1** will run for ever

# Solving a Polynomial

- For the general (multivariable) case, we can have a similar TM **T** that accepts D
  - Here **T** goes through all possible settings of its variables to integral values
- Both **T1** and **T** are **acceptors**, not deciders
  - T1 can be converted to a decider for D1 (can find bounds for search; then reject if fails)
  - But it is impossible to find such bounds for multivariable case (for T and D)

## Example 2: Connected Graphs

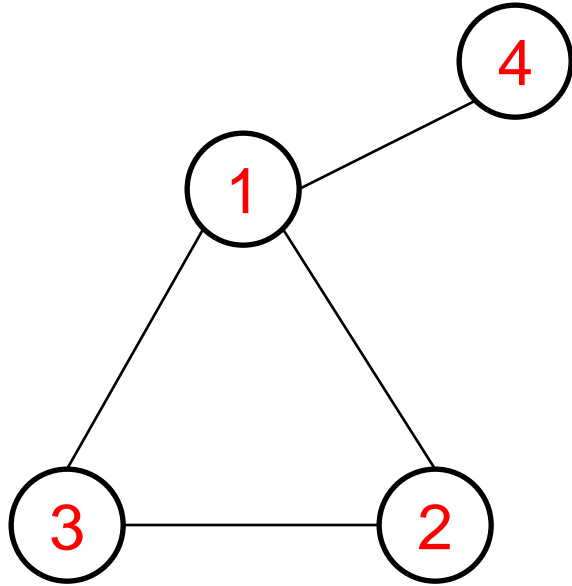
- Let  $A$  be the language consisting of all strings representing undirected graphs that are *connected* (a graph is connected if every node can be reached from every other node by traveling along the edges)
- We write
$$A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$$

( $\langle G \rangle$  is the encoding of  $G$  into a string)
- Give a Turing machine  $T$  that decides  $A$

## Example 2: Connected Graphs

- $T =$  “On  $\langle G \rangle$ , the encoding of graph  $G$ :
  1. Select the first node of  $G$  and mark it
  2. Repeat the following step until no new nodes are marked
  3. For each node in  $G$ , mark it if it attached by an edge to a node that is already marked
  4. Scan all the nodes of  $G$  to determine if they all are marked. If yes, accept; else reject.”

# Example Graph and Its Encoding



(a) Graph G

(1, 2, 3, 4)  
( (1,2), (2,3), (3,1), (1,4) )

(b) Encoding  $\langle G \rangle$

# PART 2

## Outline:

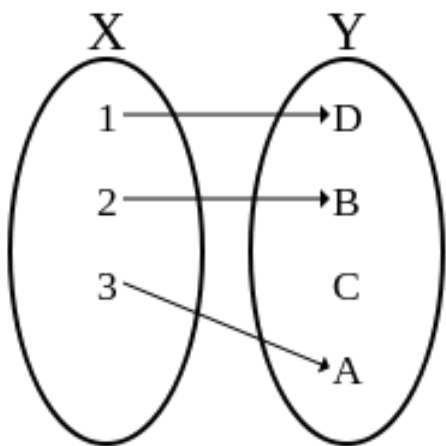
### Lecture 13

### Decidability - 1

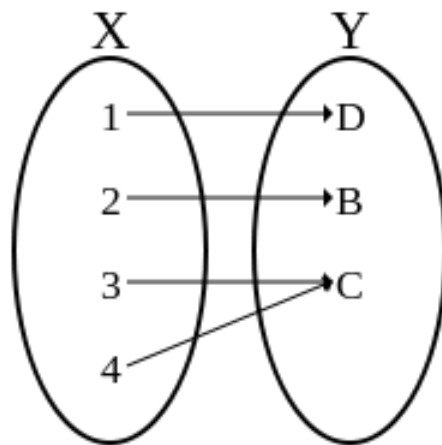
- Decidability
  - Decidable problem?
  - Hilbert's 10<sup>th</sup> Problem
  - Solving a Polynomial
- Countable / Uncountable Sets
  - Diagonalization Method
- Classes of Languages

# Countable Sets etc.

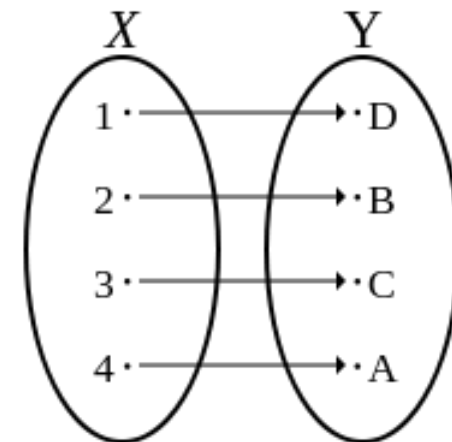
- A **countable set** (due to Georg Cantor)
  - A set that is either finite or has the same size as the set of *natural numbers*  $\mathbf{N}=\{1,2,3,\dots\}$
  - A set  $\mathbf{S}$  for which there exists an *injective function*  $f$  from  $\mathbf{S}$  to  $\mathbf{N}=\{1,2,3,\dots\}$
  - E.g., set of evens/odds, +ve rational numbers
- An **uncountable set**
  - A set that is not countable
  - E.g., set of real numbers  $\mathbf{R}$



**Injective function** or **injection** or **one-to-one** function:  
preserves  
distinctness, no 2  
elements in X map to  
same y in Y



**Surjective function**  
or a **surjection** or  
**onto** function: every  
element y in Y has a  
corresponding  
element x in X



**Bijective function** or a  
**bijection** or a **one-to-one correspondence**:  
exact pairing of  
elements of two sets  
(both injective and  
surjective)



# Comparing Infinite Sets

- If we have two infinite sets, how can we say if one is larger, or they are same size?
  - Can do easily for finite sets by counting
  - Counting method will not work for infinite sets
  - E.g., {even integers} vs {all strings in  $\{0,1\}^*$ }
- Georg Cantor proposed *diagonalization method*
  - Based on pairing of elements between 2 sets
  - Compares sizes without counting

# Example 1

- Show that the set of natural numbers  $\mathbf{N}=\{1,2,3,\dots\}$  and the set of even natural numbers  $\mathbf{E}=\{2,4,6,\dots\}$  have the same size
  - Using Cantor's idea: size of  $\mathbf{N}$  = size of  $\mathbf{E}$
  - Correspondence  $f$  to map  $\mathbf{N}$  to  $\mathbf{E}$ ,  $f(n) = 2n$
- Is this correct?
  - Intuitively  $\mathbf{E}$  seems smaller than  $\mathbf{N}$
- But each element of  $\mathbf{N}$  can be paired with a unique element in  $\mathbf{E}$

## Example 2

- Let  $\mathbf{Q} = \{m/n \mid m, n \text{ are in } \mathbf{N}\}$  be the set of positive rational numbers
  - $\mathbf{Q}$  seems much larger than  $\mathbf{N}$
  - Yet they are of same size (as per definition)
  - Can give correspondence with  $\mathbf{N}$  to show  $\mathbf{Q}$  is countable
  - But, how exactly?
  - List all elements of  $\mathbf{Q}$ , then start pairing with  $\mathbf{N}$
  - List the elements in a matrix, go diagonally



# Uncountable Sets

- For some infinite sets, no correspondence with **N** exists
- Such sets are “too big”, and “uncountable”
- E.g., the set of real numbers **R**
- Cantor proved **R** is uncountable
  - Introduced the diagonal method in doing so
- How to show **R** is uncountable?
  - Think about it, and read... (homework)

# Uncountable Sets

- Implications to theory of computation
  - Some languages are not decidable or even Turing-acceptable
  - Because, there are uncountably many languages
  - But only countably many Turing machines
  - (Each TM can accept one language and there are more languages than TMs)

## Languages not accepted by a TM?

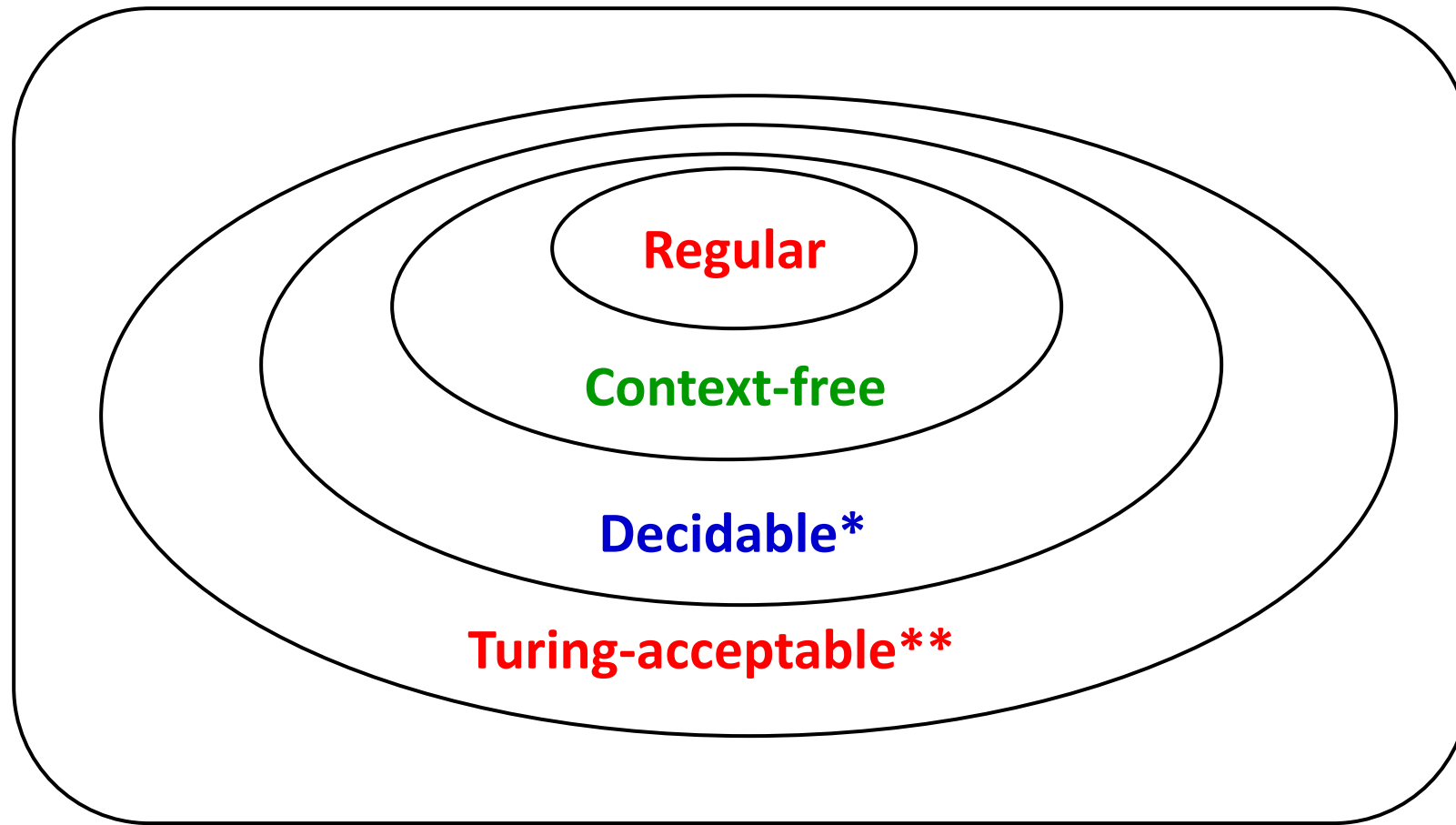
- Not all languages recursively enumerable (RE)
- Set of languages (includes that are not RE) is bigger than the set of languages that are RE
- Proof based on counting set elements, countable and uncountable sets
  - Main idea: the set of languages bigger than the set of TM's (a TM can accept 1 language)
  - Both are infinite sets but the 1<sup>st</sup> set is bigger !!

# Languages not accepted by a TM?

- Some results
  - Languages are sets
    - If  $\Sigma$  is a finite set, the set  $\Sigma^*$  of strings is countable
  - The set of RE languages is countable
  - The set of languages is uncountable
- There are languages that are not RE
  - These cannot be accepted by TM
- Ref: Section 10.5 and Chapter 11



# Classes of Languages



\* Recursive

\*\* Recursively-enumerable

Universe of languages

# L13: Conclusion

- We discussed
  - Decidability
    - Hilbert's 10<sup>th</sup> problem
    - Notion of an Algorithm
    - Solving a Polynomial
  - Countable / Uncountable Sets
    - Diagonalization Method
  - Classes of Languages