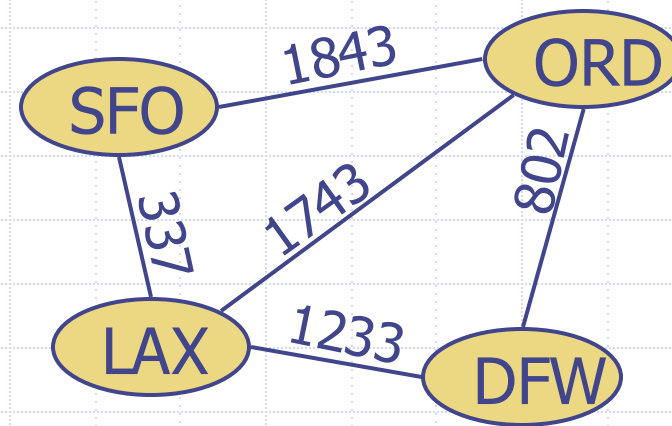
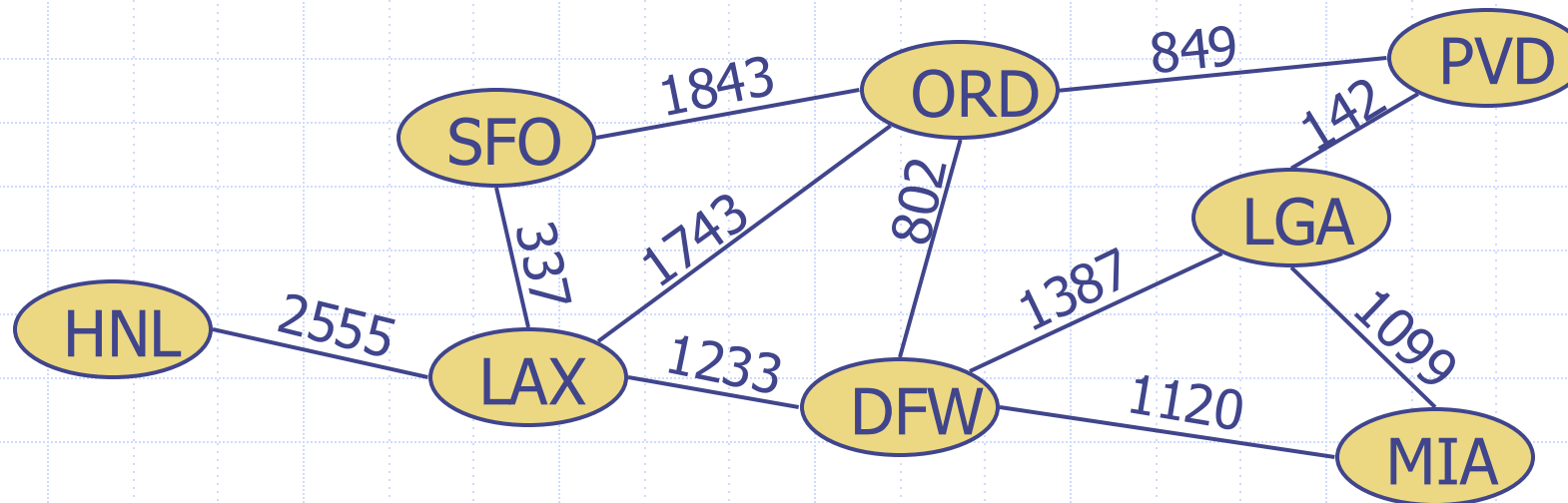


# Graphs



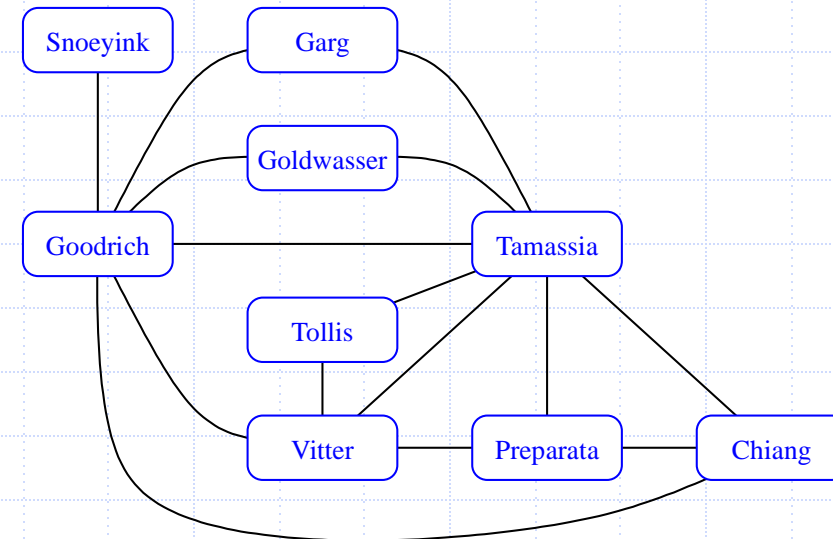
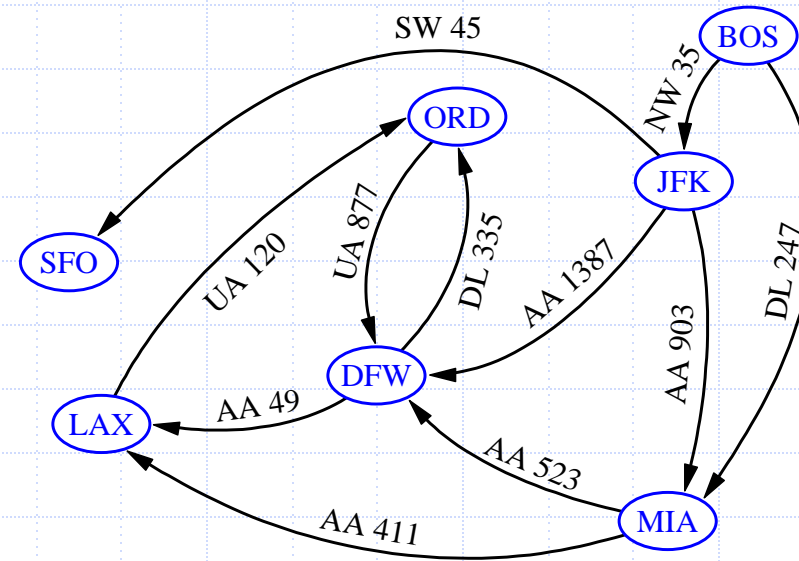
# Graphs

- A graph is a pair  $(V, E)$ , where
  - $V$  is a set of nodes, called **vertices**
  - $E$  is a collection of pairs of vertices, called **edges**
  - Vertices and edges are positions and store elements
- Example:
  - A vertex represents an airport and stores the three-letter airport code
  - An edge represents a flight route between two airports and stores the mileage of the route



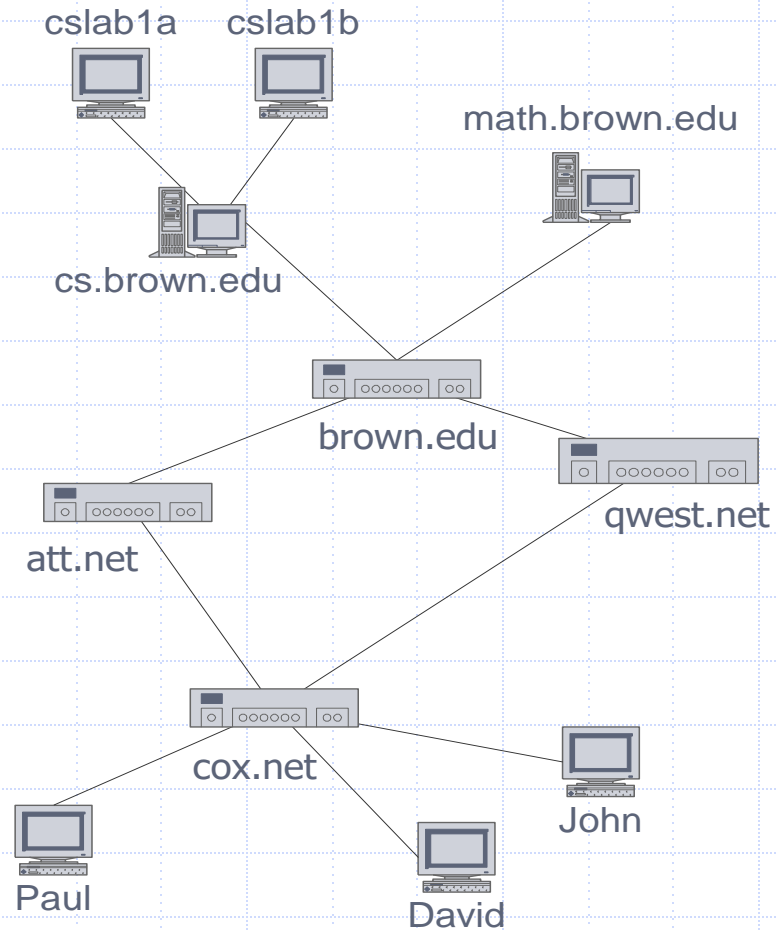
# Edge Types

- Directed edge
  - ordered pair of vertices  $(u,v)$
  - first vertex  $u$  is the origin
  - second vertex  $v$  is the destination
  - e.g., a flight
- Directed graph
  - all the edges are directed
  - e.g., route network
- Undirected edge
  - unordered pair of vertices  $(u,v)$
  - e.g., a flight route
- Undirected graph
  - all the edges are undirected
  - e.g., flight network



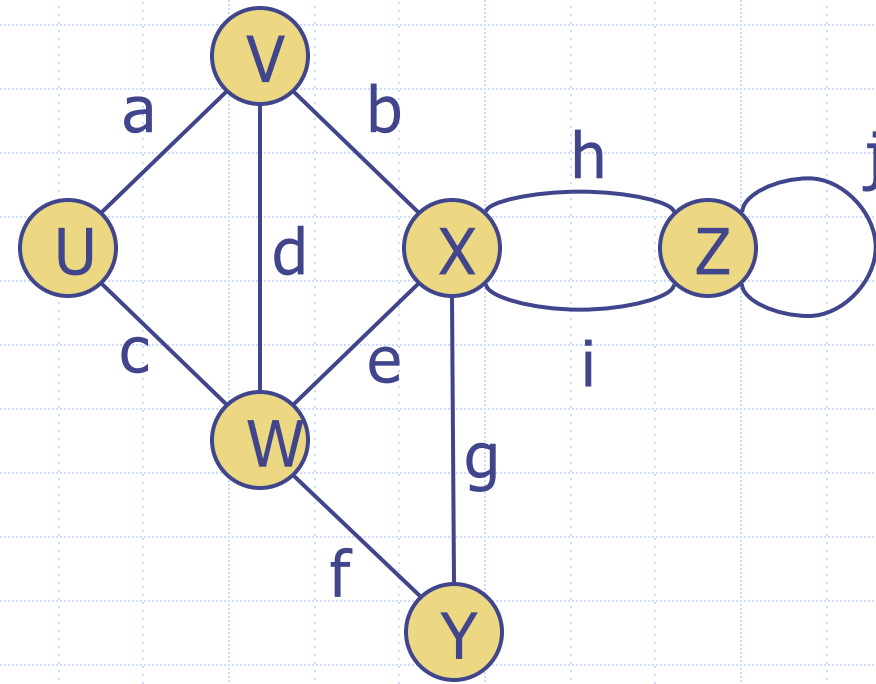
# Applications

- ❑ Electronic circuits
  - Printed circuit board
  - Integrated circuit
- ❑ Transportation networks
  - Highway network
  - Flight network
- ❑ Computer networks
  - Local area network
  - Internet
  - Web
- ❑ Databases
  - Entity-relationship diagram



# Terminology

- End vertices (or endpoints) of an edge
  - U and V are the endpoints of a
- Edges incident on a vertex
  - a, d, and b are incident on V
  - outgoing edges
  - incoming edges
- Adjacent vertices
  - U and V are adjacent
- Degree of a vertex
  - ◆ X has degree 5
  - in-degree
  - out-degree
- Parallel edges
  - h and i are parallel edges
- Self-loop
  - j is a self-loop



# Question

- What is the sum of degrees of all vertices?

# Terminology (2)

## □ Path

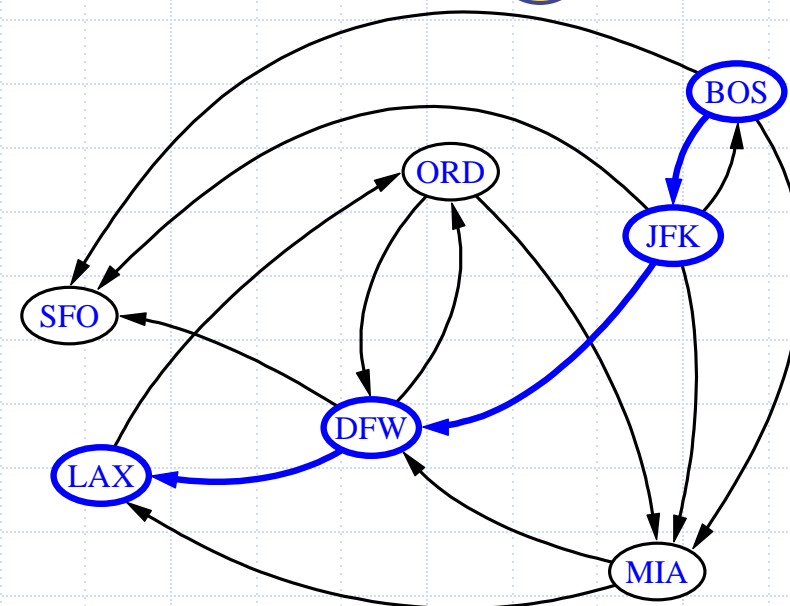
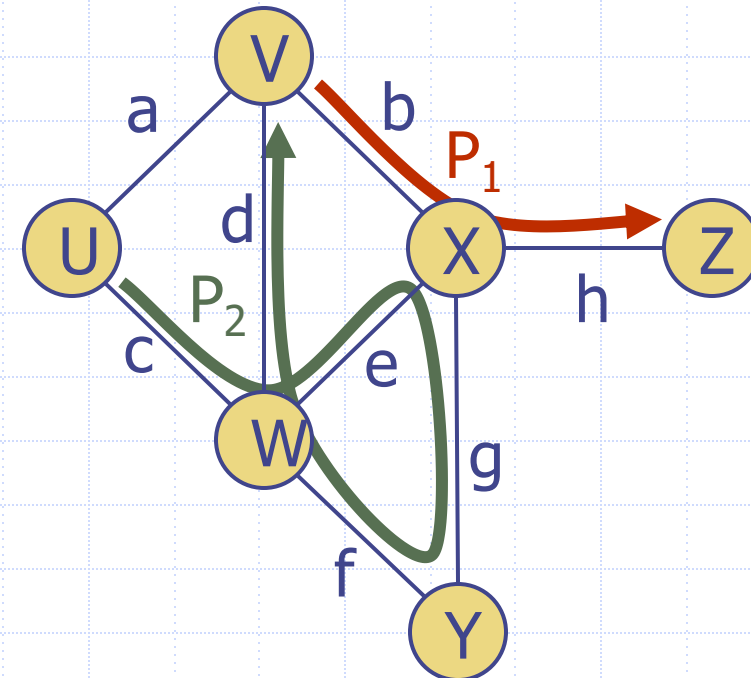
- sequence of alternating vertices and edges
- begins with a vertex
- ends with a vertex
- each edge is preceded and followed by its endpoints

## □ Simple path

- path such that all its vertices and edges are distinct

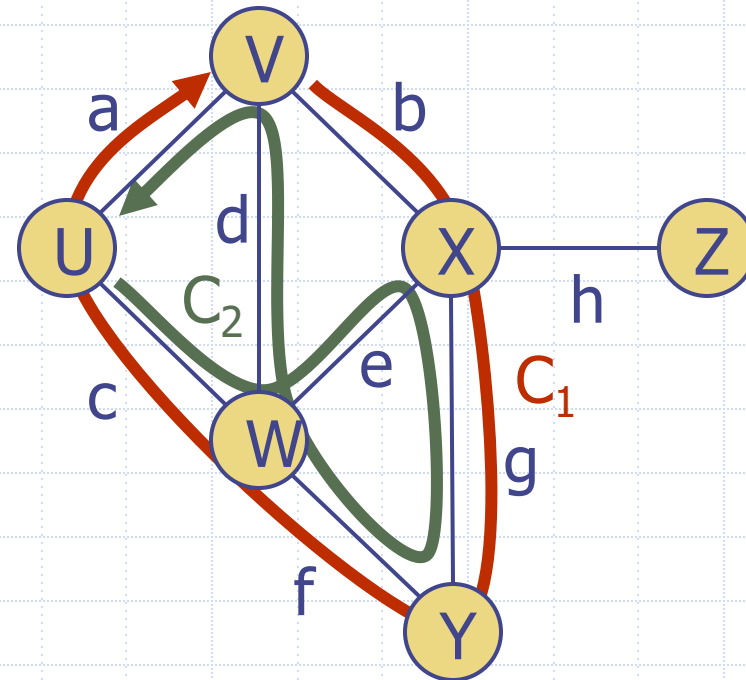
## □ Examples

- $P_1 = (V, b, X, h, Z)$  is a simple path
- $P_2 = (U, c, W, e, X, g, Y, f, W, d, V)$  is a path that is not simple



# Terminology (3)

- Cycle
  - circular sequence of alternating vertices and edges
  - each edge is preceded and followed by its endpoints
- Simple cycle
  - cycle such that all its vertices and edges are distinct
- Examples
  - $C_1 = (V, b, X, g, Y, f, W, c, U, a, \hookrightarrow)$  is a simple cycle
  - $C_2 = (U, c, W, e, X, g, Y, f, W, d, V, a, \hookrightarrow)$  is a cycle that is not simple





# Terminology (4)

## □ Reachable

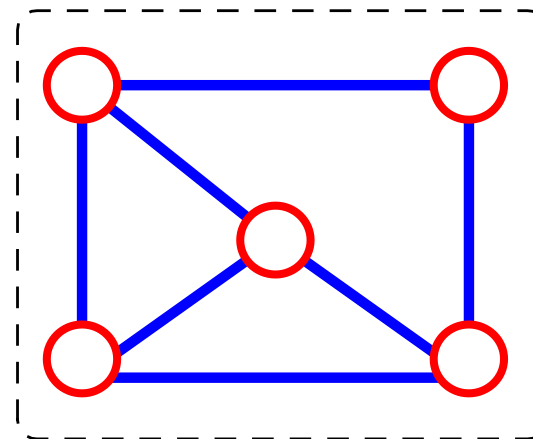
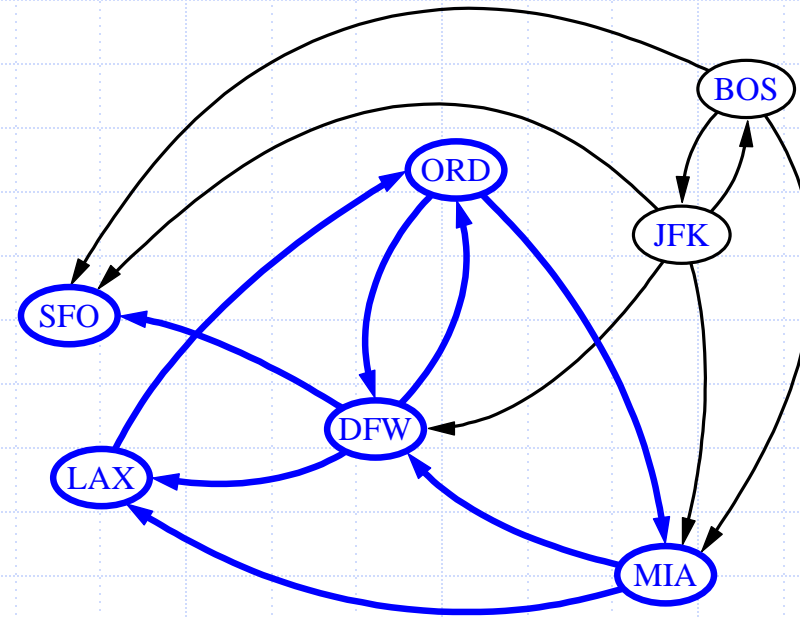
- $V$  is reachable from  $U$  if there is a path from  $U$  to  $V$ .
- Undirected graph – reachability is symmetric

## □ Connected

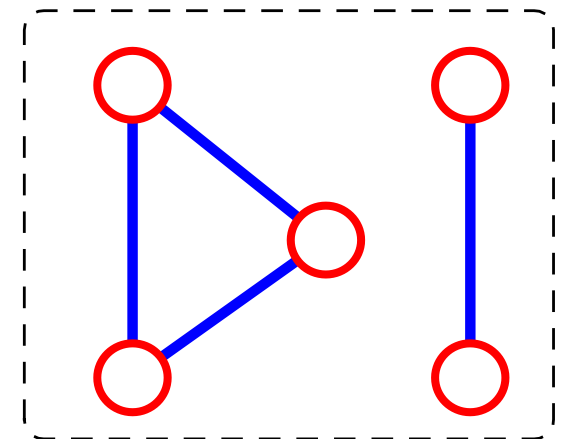
- a graph is connected if, for any two vertices, there is a path between them

## □ subgraph

- subset of vertices and edges forming a graph



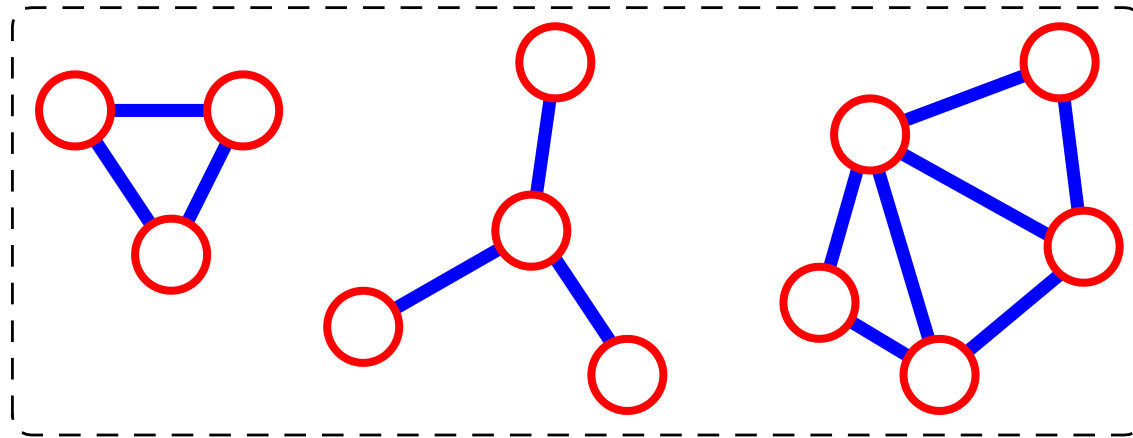
connected



not connected

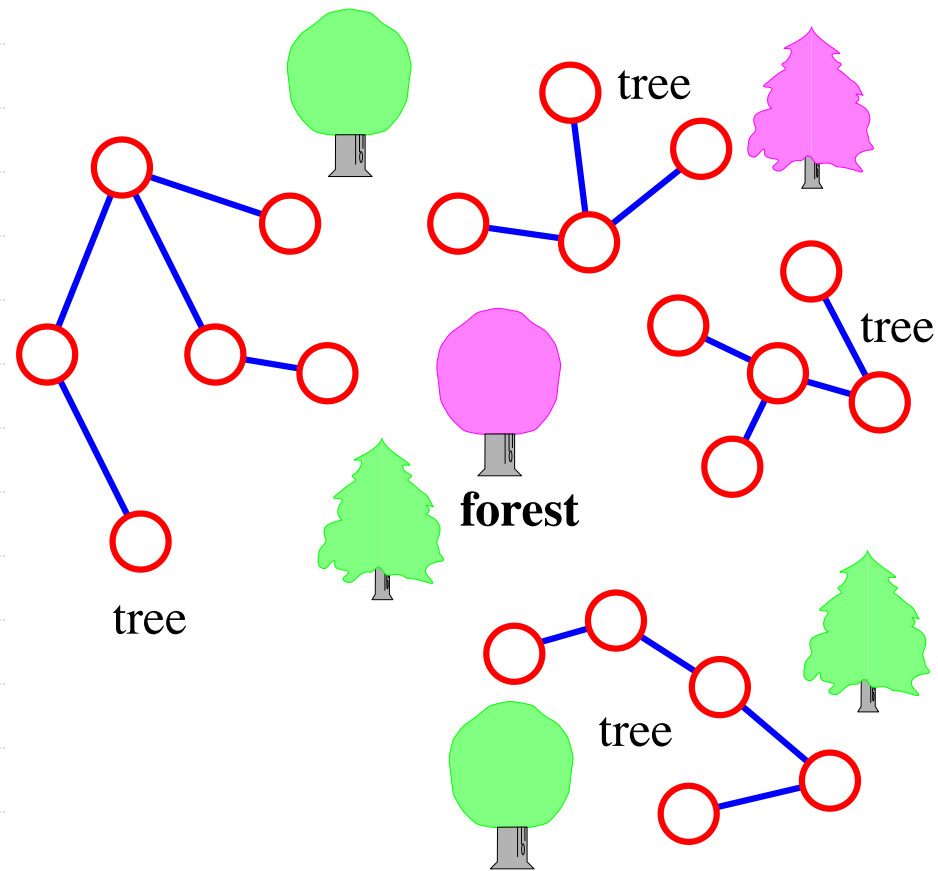
# Terminology (5)

- Connected Component: maximal connected subgraph, e.g., the graph below has 3 connected components.



# Terminology (6)

- Tree – connected graph without cycles
  - free tree
- Forest – collection of trees



# Connectivity

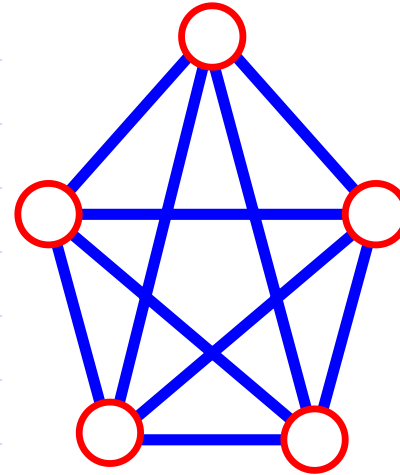
- Let

- $n = \#$  of vertices
- $m = \#$  of edges

- Complete graph – all pairs of vertices are adjacent

- There are  $n(n-1)/2$  pairs of vertices and so  $m = n(n-1)/2$

- If a graph is not complete,  $m < n(n-1)/2$

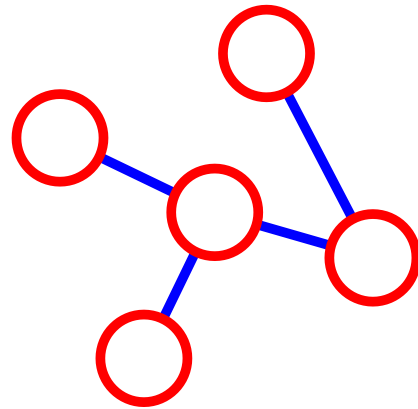


$$n = 5$$

$$m = (5 * 4)/2 = 10$$

# Connectivity (2)

- $n = \text{\#vertices}$
- $m = \text{\#edges}$
- For a tree  $m = n - 1$

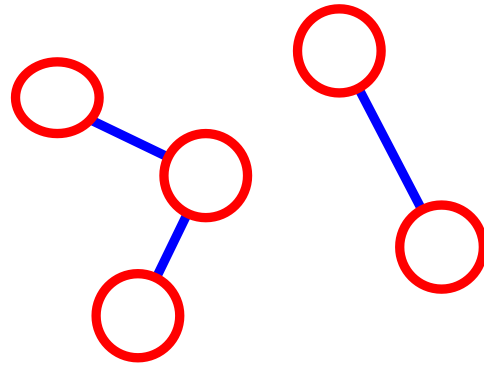


$$\begin{aligned} \mathbf{n} &= 5 \\ \mathbf{m} &= 4 \end{aligned}$$

- Prove!
  - Tree – connected graph without cycles

# Connectivity (3)

- $n = \text{\#vertices}$
- $m = \text{\#edges}$
- For a tree  $m = n - 1$
- If  $m < n - 1$ ,  $G$  is not connected

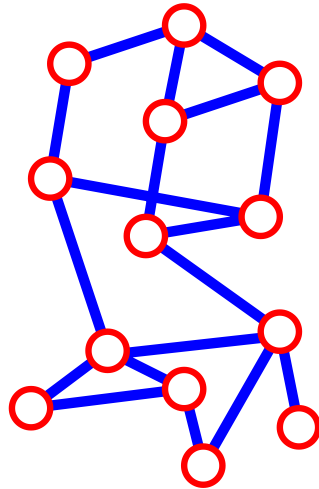


$$\begin{aligned} \mathbf{n} &= 5 \\ \mathbf{m} &= 3 \end{aligned}$$

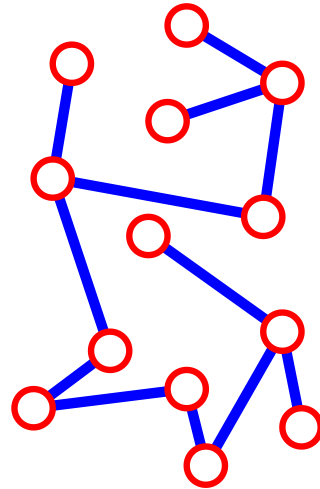
- Prove

# Spanning Tree

- A spanning tree of  $G$  is a subgraph which
  - is a tree
  - contains all vertices of  $G$



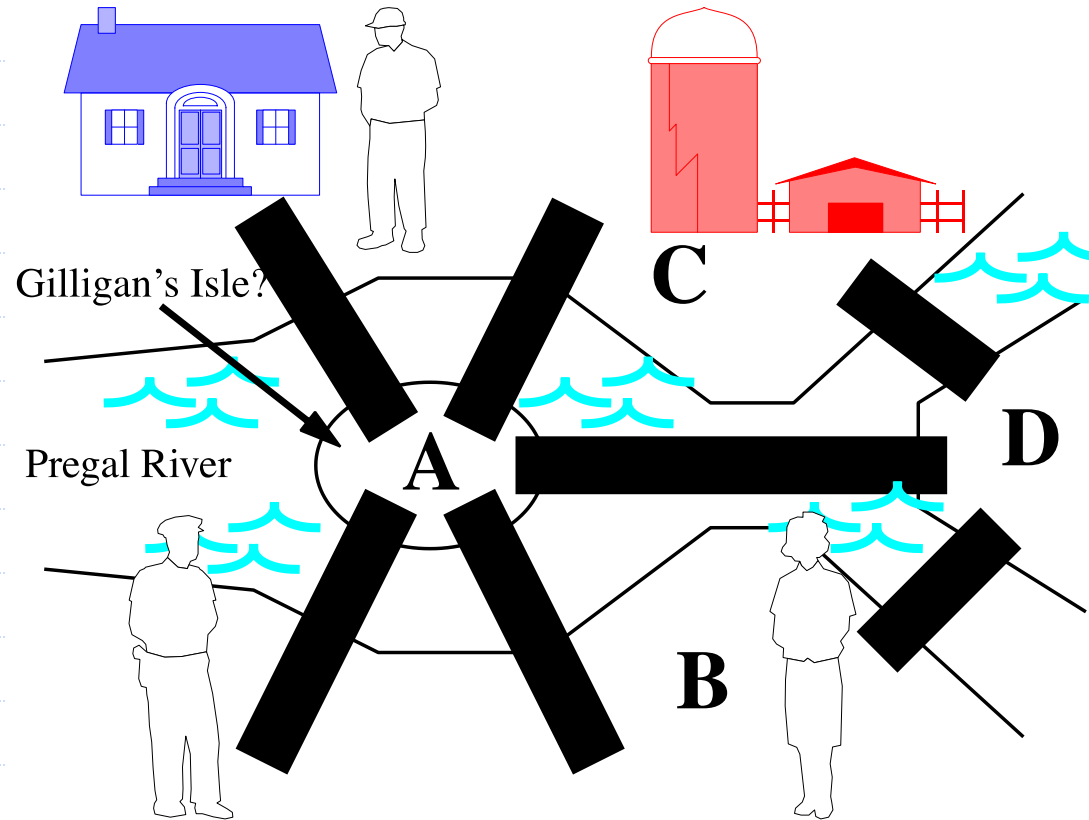
$G$



spanning tree of  $G$

- Failure of any edge disconnects system

# Euler and the Koenigsberg Bridges



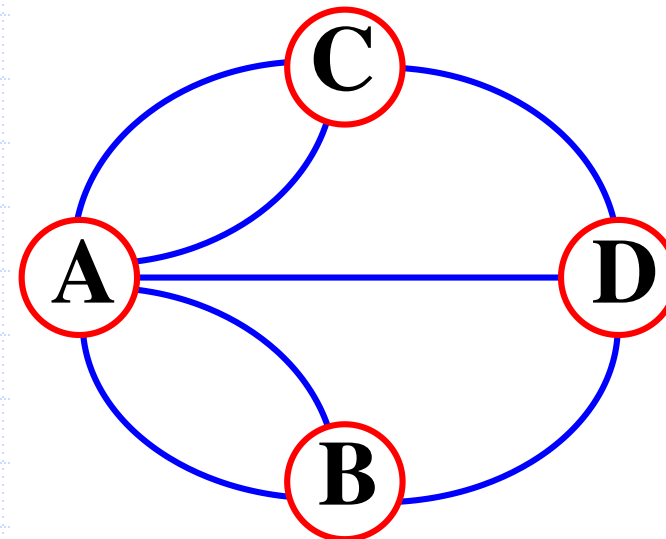
Can one walk across each bridge exactly once and return at the starting point?

In 1736, Euler proved that this is not possible.



# Graph Model (with parallel edges)

- ❑ Eulerian Tour- path that traverses every edge exactly once and returns to the first vertex
- ❑ Euler's Theorem – A graph has a Eulerian tour if and only if all vertices have even degree

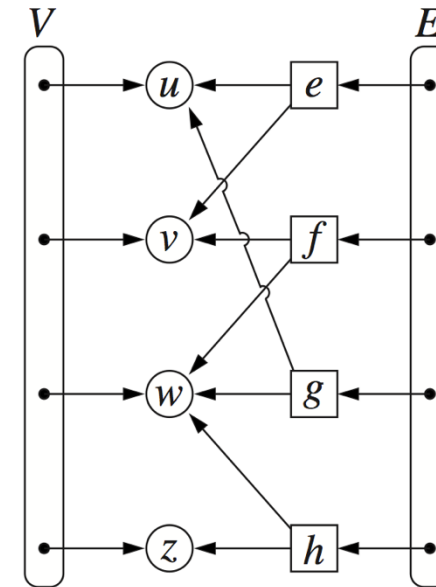
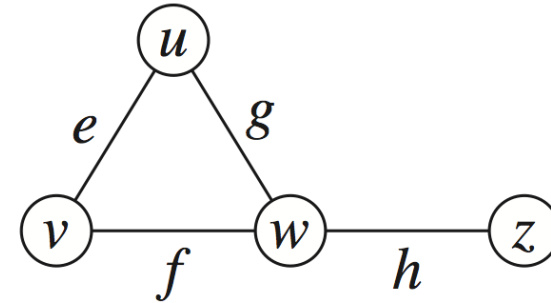


# Vertices and Edges

- A **graph** is a collection of **vertices** and **edges**.
- We model the abstraction as a combination of three data types: Vertex, Edge, and Graph.
- A **Vertex** is a lightweight object that stores an arbitrary element provided by the user (e.g., an airport code)
  - We assume it supports a method, `element()`, to retrieve the stored element.
- An **Edge** stores an associated object (e.g., a flight number, travel distance, cost), retrieved with the `element( )` method.

# Edge List Structure

- Vertex object
  - element
  - reference to position in vertex sequence
- Edge object
  - element
  - origin vertex object
  - destination vertex object
  - reference to position in edge sequence
- Vertex sequence
  - sequence of vertex objects
- Edge sequence
  - sequence of edge objects

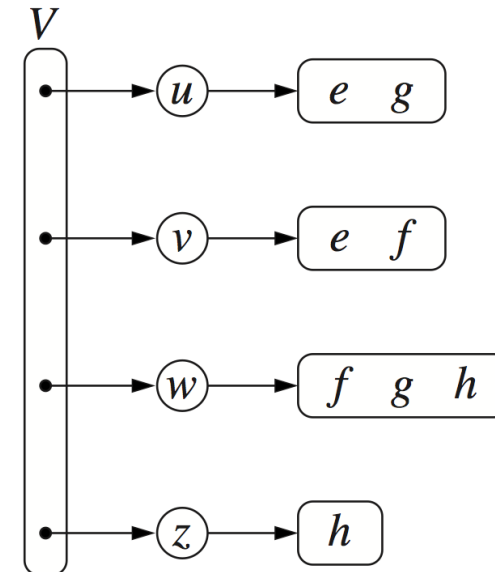
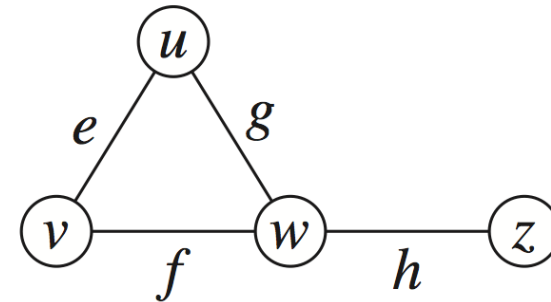


# Performance

<ul style="list-style-type: none"> <li>▪ <math>n</math> vertices, <math>m</math> edges</li> <li>▪ no parallel edges</li> <li>▪ no self-loops</li> </ul>	Edge List		
Space	$n + m$	$n + m$	$n^2$
incidentEdges( $v$ )	$m$		
areAdjacent ( $v, w$ )	$m$		
insertVertex( $o$ )	1		
insertEdge( $v, w, o$ )	1		
removeVertex( $v$ )	$m$		
removeEdge( $e$ )	1		

# Adjacency List Structure

- Incidence sequence for each vertex
  - sequence of references to edge objects of incident edges
- Augmented edge objects
  - references to associated positions in incidence sequences of end vertices

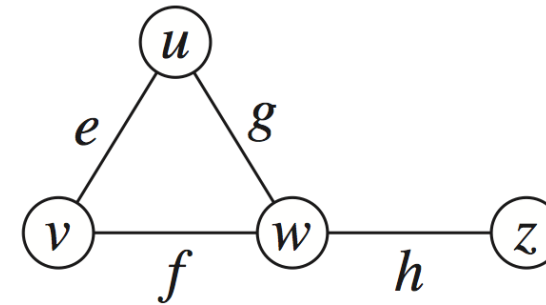


# Performance

<ul style="list-style-type: none"><li>▪ <math>n</math> vertices, <math>m</math> edges</li><li>▪ no parallel edges</li><li>▪ no self-loops</li></ul>	Edge List	Adjacency List	
Space	$n + m$	$n + m$	$n^2$
incidentEdges( $v$ )	$m$	deg( $v$ )	
areAdjacent( $v, w$ )	$m$	min(deg( $v$ ), deg( $w$ ))	
insertVertex( $o$ )	1	1	
insertEdge( $v, w, o$ )	1	1	
removeVertex( $v$ )	$m$	deg( $v$ )	
removeEdge( $e$ )	1	1	

# Adjacency Matrix Structure

- Edge list structure
- Augmented vertex objects
  - Integer key (index) associated with vertex
- 2D-array adjacency array
  - Reference to edge object for adjacent vertices
  - Null for non nonadjacent vertices
- The “old fashioned” version just has 0 for no edge and 1 for edge



		0	1	2	3
$u \longrightarrow$	0		$e$	$g$	
$v \longrightarrow$	1	$e$		$f$	
$w \longrightarrow$	2	$g$	$f$		$h$
$z \longrightarrow$	3			$h$	

# Performance

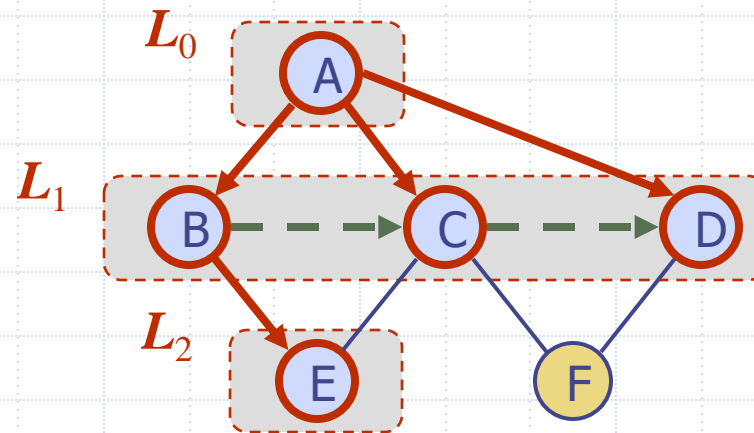
<ul style="list-style-type: none"><li>▪ <math>n</math> vertices, <math>m</math> edges</li><li>▪ no parallel edges</li><li>▪ no self-loops</li></ul>	Edge List	Adjacency List	Adjacency Matrix
Space	$n + m$	$n + m$	$n^2$
<b>incidentEdges</b> ( $v$ )	$m$	$\text{deg}(v)$	$n$
<b>areAdjacent</b> ( $v, w$ )	$m$	$\min(\text{deg}(v), \text{deg}(w))$	1
<b>insertVertex</b> ( $o$ )	1	1	$n^2$
<b>insertEdge</b> ( $v, w, o$ )	1	1	1
<b>removeVertex</b> ( $v$ )	$m$	$\text{deg}(v)$	$n^2$
<b>removeEdge</b> ( $e$ )	1	1	1



# Graph Search Algorithms

- Systematic search of every edge and vertex of the graph
- Graph  $G = (V, E)$  is either directed or undirected
- Applications
  - Compilers
  - Networks
  - Graphics
  - Gaming

# Breadth-First Search



# Breadth-First Search

- Breadth-first search (BFS) is a general technique for traversing a graph
- A BFS traversal of a graph  $G$ 
  - Visits all the vertices and edges of  $G$
  - Determines whether  $G$  is connected
  - Computes the connected components of  $G$
  - Computes a spanning forest of  $G$
- BFS on a graph with  $n$  vertices and  $m$  edges takes  $O(n + m)$  time
- BFS can be further extended to solve other graph problems
  - Find and report a path with the minimum number of edges between two given vertices

# BFS Algorithm

- The algorithm uses a mechanism for setting and getting “labels” of vertices and edges

## Algorithm **BFS**( $G$ )

**Input** graph  $G$

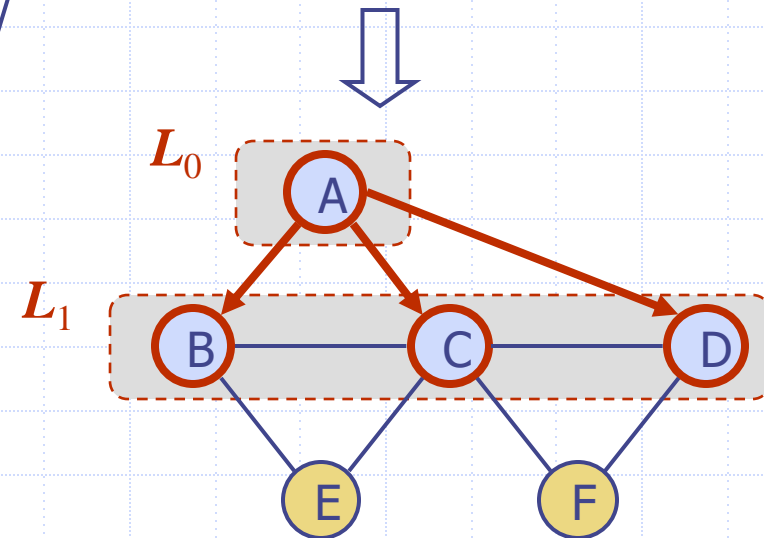
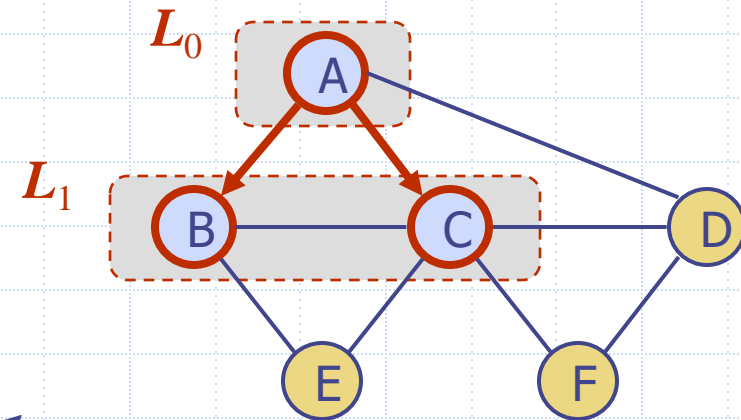
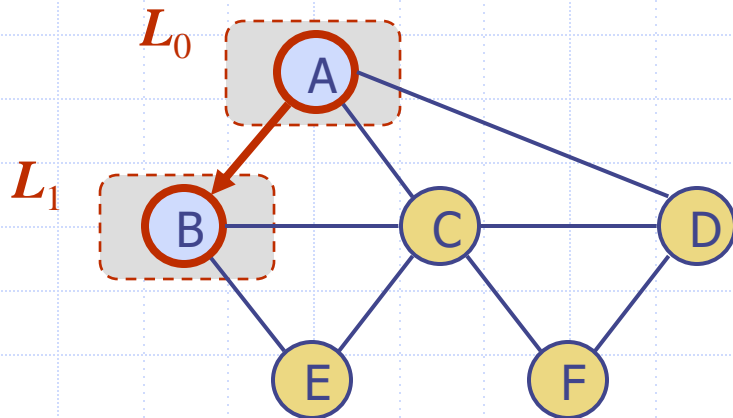
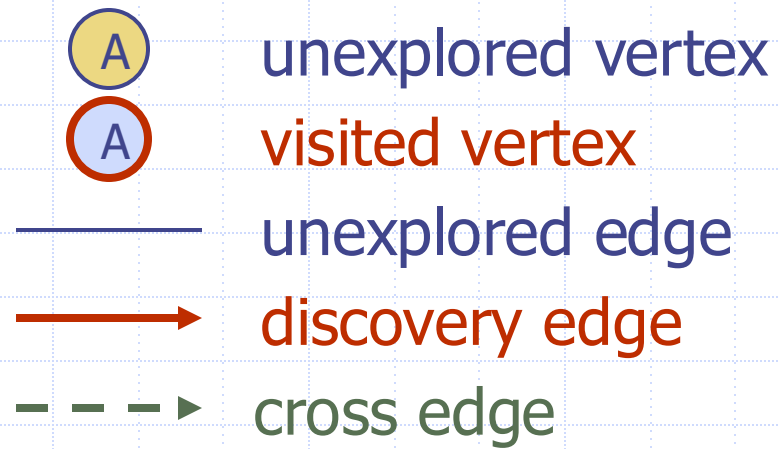
**Output** labeling of the edges  
and partition of the  
vertices of  $G$

```
for all  $u \in G.vertices()$ 
     $setLabel(u, UNEXPLORED)$ 
for all  $e \in G.edges()$ 
     $setLabel(e, UNEXPLORED)$ 
for all  $v \in G.vertices()$ 
    if  $getLabel(v) = UNEXPLORED$ 
         $BFS(G, v)$ 
```

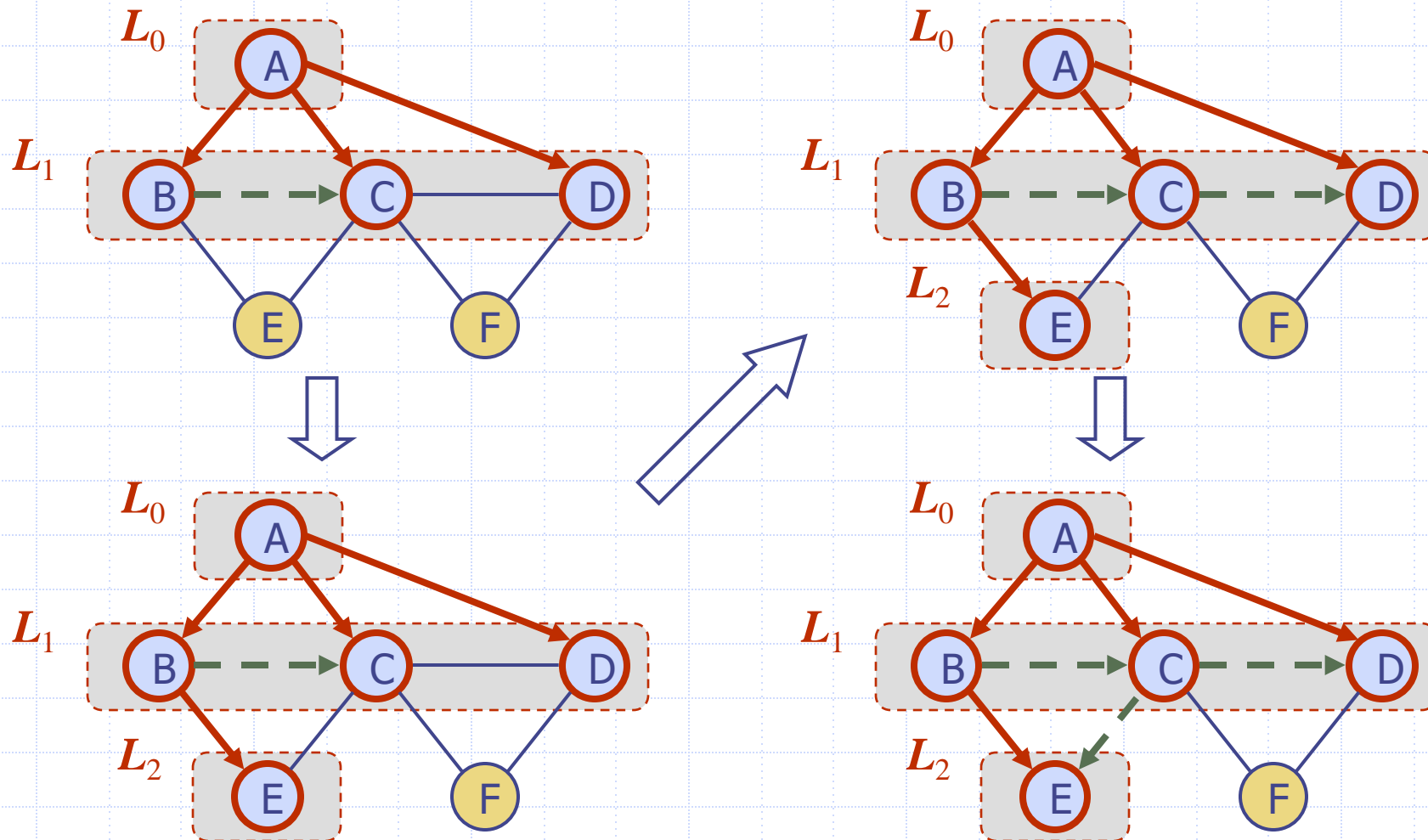
## Algorithm **BFS**( $G, s$ )

```
 $L_0 \leftarrow$  new empty sequence
 $L_0.addLast(s)$ 
 $setLabel(s, VISITED)$ 
 $i \leftarrow 0$ 
while  $\neg L_i.isEmpty()$ 
     $L_{i+1} \leftarrow$  new empty sequence
    for all  $v \in L_i.elements()$ 
        for all  $e \in G.incidentEdges(v)$ 
            if  $getLabel(e) = UNEXPLORED$ 
                 $w \leftarrow opposite(v, e)$ 
                if  $getLabel(w) = UNEXPLORED$ 
                     $setLabel(e, DISCOVERY)$ 
                     $setLabel(w, VISITED)$ 
                     $L_{i+1}.addLast(w)$ 
                else
                     $setLabel(e, CROSS)$ 
     $i \leftarrow i + 1$ 
```

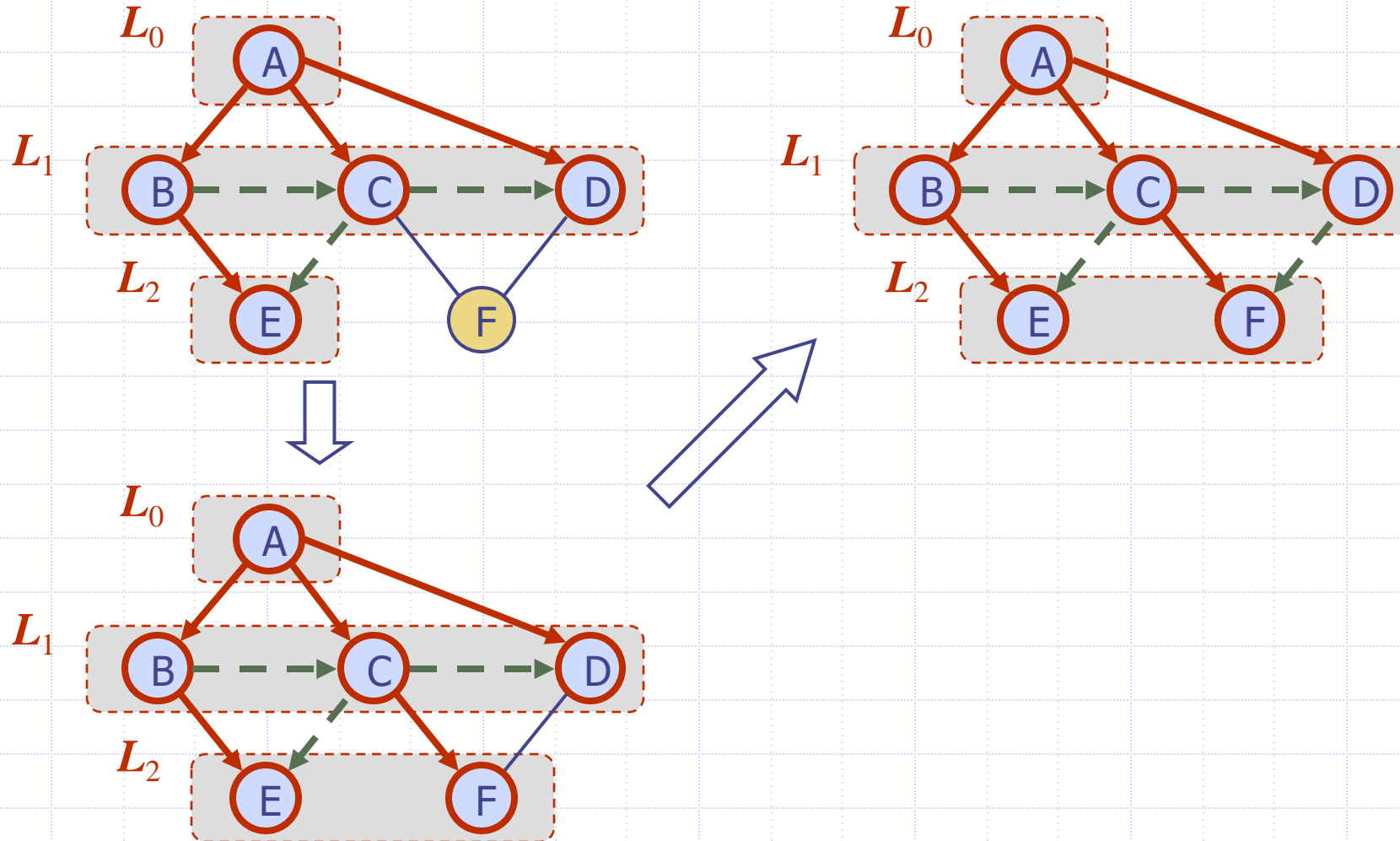
# Example



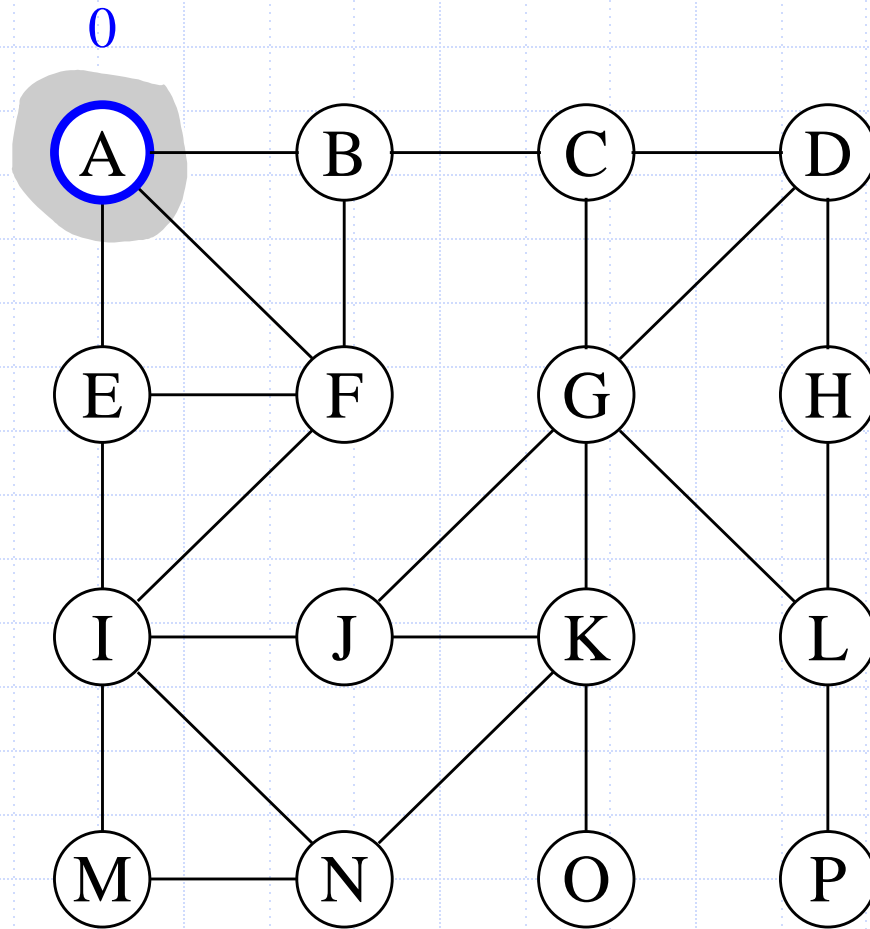
# Example (cont.)



# Example (cont.)

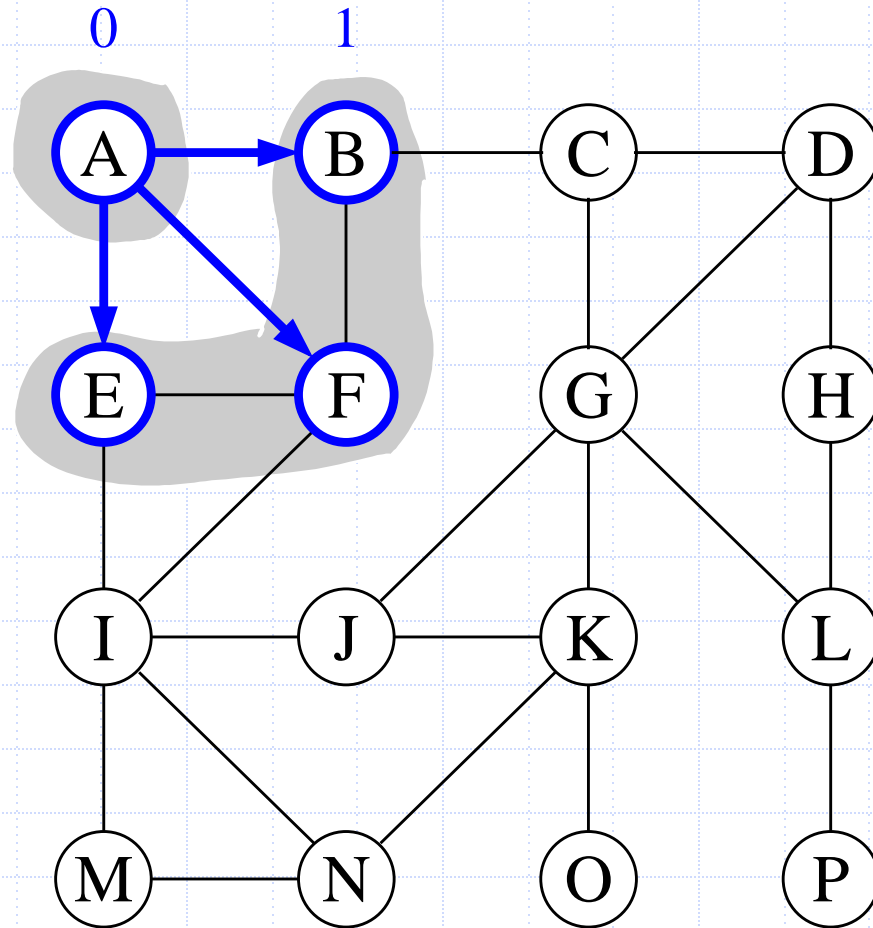


# BFS - Example

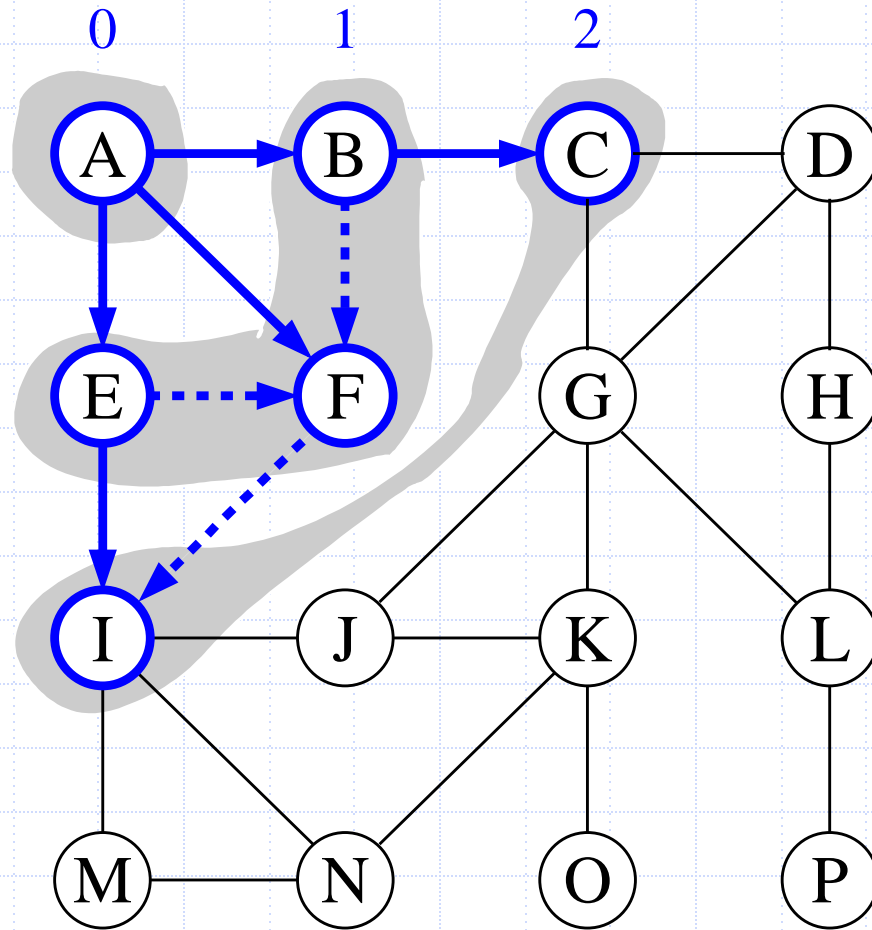




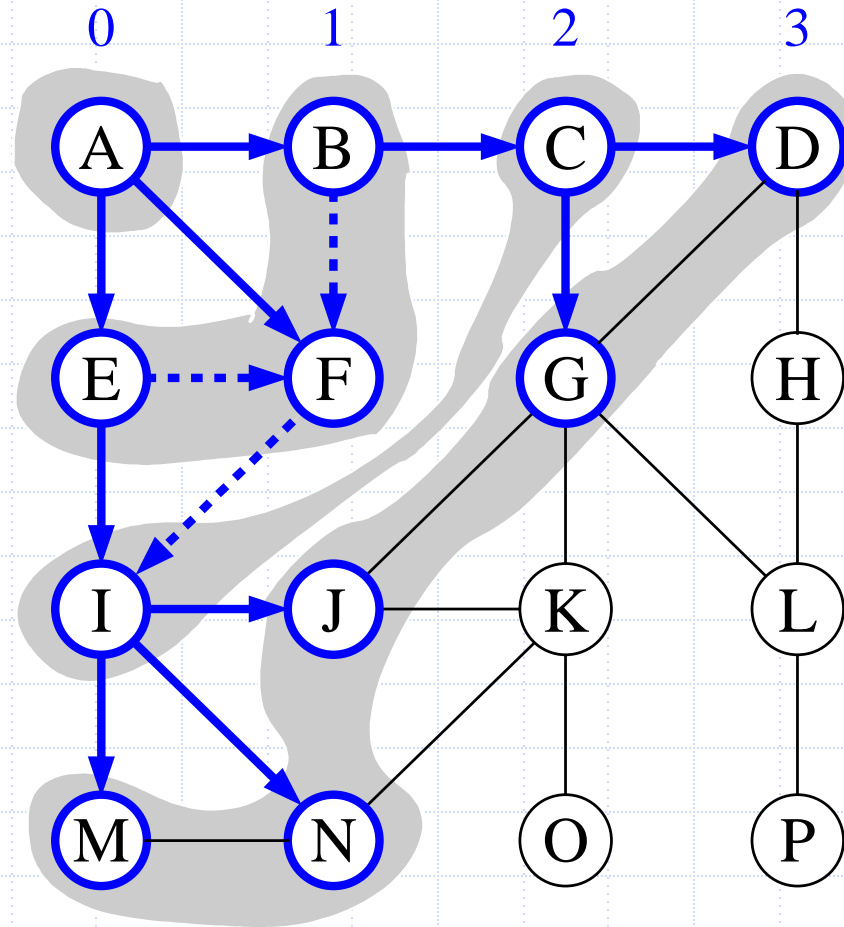
# BFS - Example



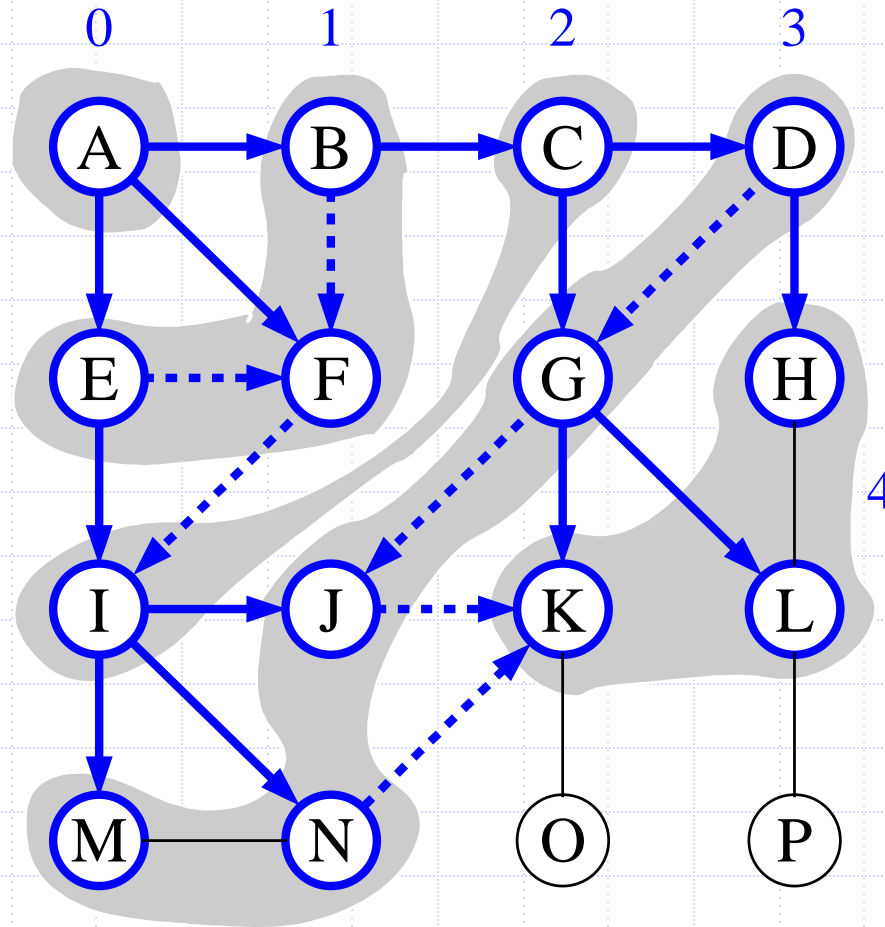
# BFS - Example



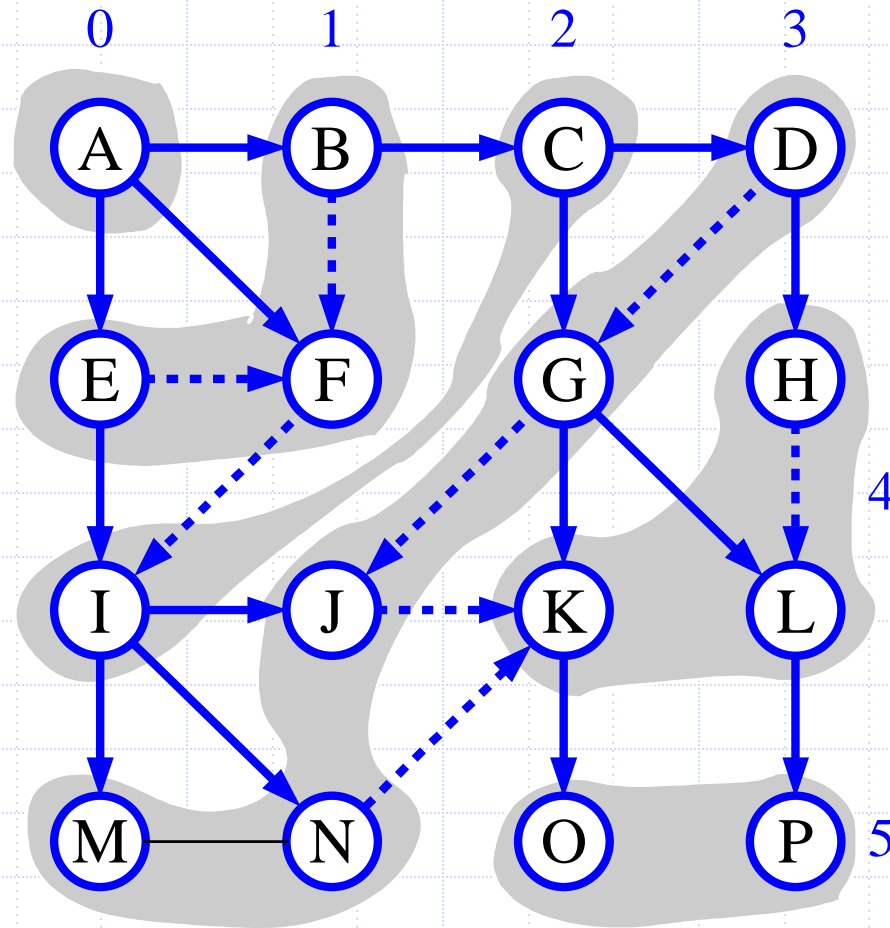
# BFS - Example



# BFS - Example



# BFS - Example



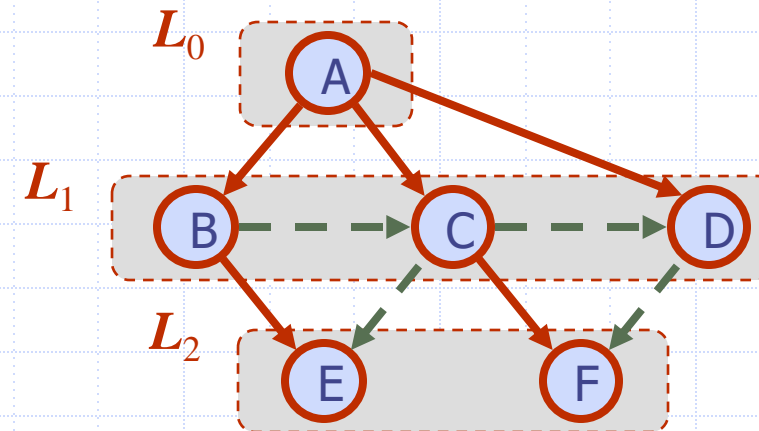
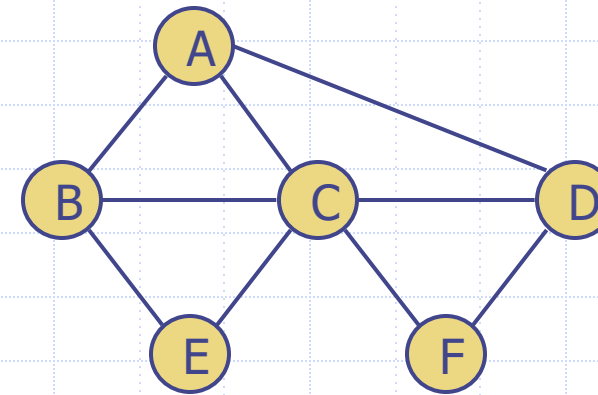
# Analysis

- Setting/getting a vertex/edge label takes  $O(1)$  time
- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as VISITED
- Each edge is labeled twice
  - once as UNEXPLORED
  - once as DISCOVERY or CROSS
- Each vertex is inserted once into a sequence  $L_i$
- Method incidentEdges is called once for each vertex
- BFS runs in  $O(n + m)$  time provided the graph is represented by the adjacency list structure
  - Recall that  $\sum_v \deg(v) = 2m$

# Properties

## Notation

- $G_s$ : connected component of  $s$
- $G_s$  is a breadth first tree
  - $V_s$  consists of the vertices reachable from  $s$ , and
  - for all  $v$  in  $V_s$  there is a unique simple path from  $s$  to  $v$  in  $G_s$  that is also a shortest path from  $s$  to  $v$  in  $G$
- The edges in  $G_s$  are called tree edges
- For every vertex  $v$  reachable from  $s$ , the path in the breadth first tree from  $s$  to  $v$ , corresponds to a shortest path in  $G$



# Properties (2)

## Notation

$G_s$ : connected component of  $s$

## Property 1

$BFS(G, s)$  visits all the vertices and edges of  $G_s$

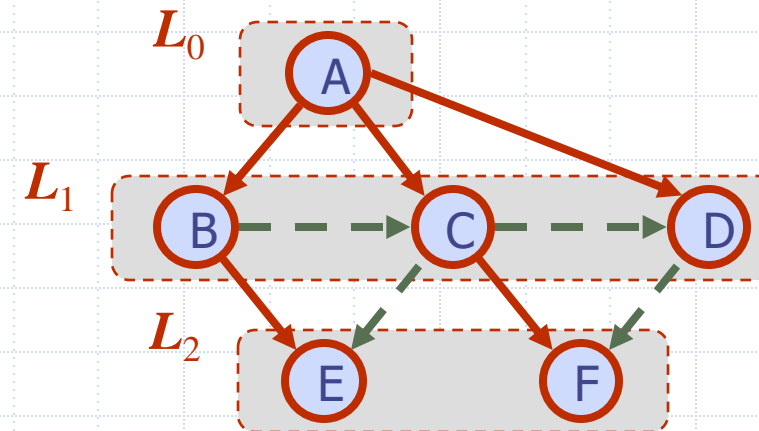
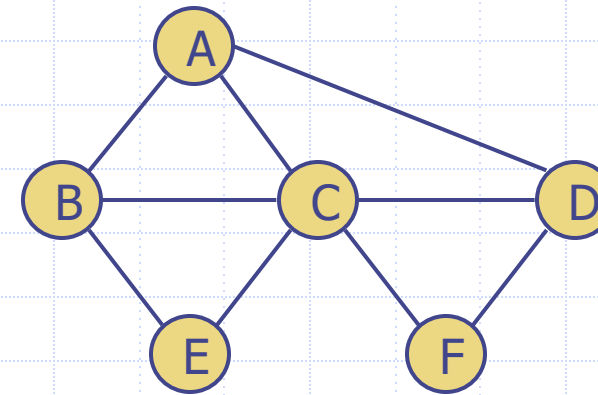
## Property 2

The discovery edges labeled by  $BFS(G, s)$  form a spanning tree  $T_s$  of  $G_s$

## Property 3

For each vertex  $v$  in  $L_i$

- The path of  $T_s$  from  $s$  to  $v$  has  $i$  edges
- Every path from  $s$  to  $v$  in  $G_s$  has at least  $i$  edges

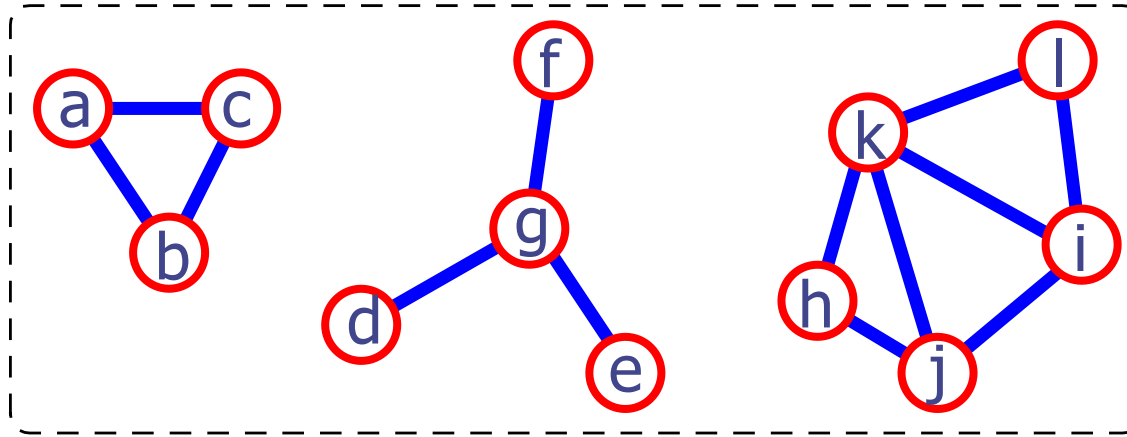




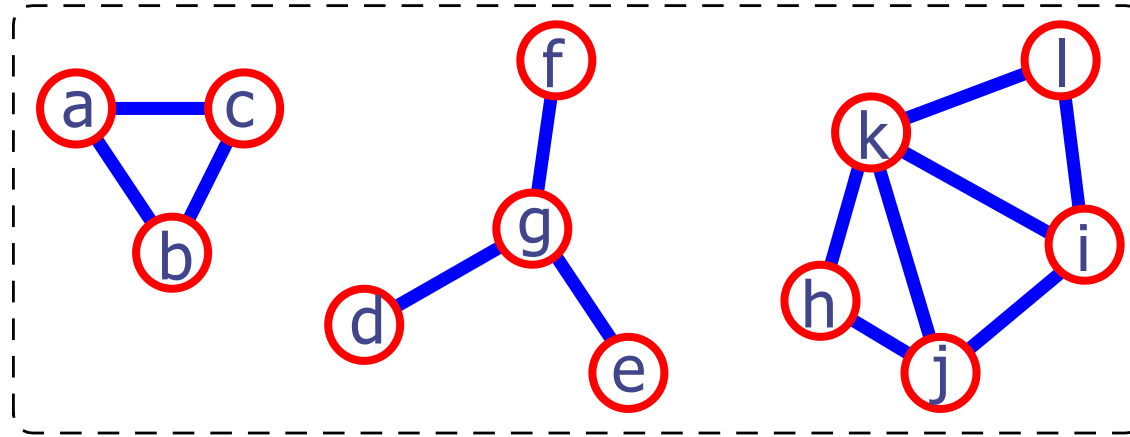
# Applications

- We can specialize the BFS traversal of a graph  $G$  to solve the following problems in  $O(n + m)$  time
  - Compute the connected components of  $G$
  - Compute a spanning forest of  $G$
  - Given two vertices of  $G$ , find a path in  $G$  between them with the minimum number of edges, or report that no such path exists
  - Check if a connected graph  $G$  is bipartite.

# Applications – Computing Connected Components



# Applications – Computing Spanning Forest

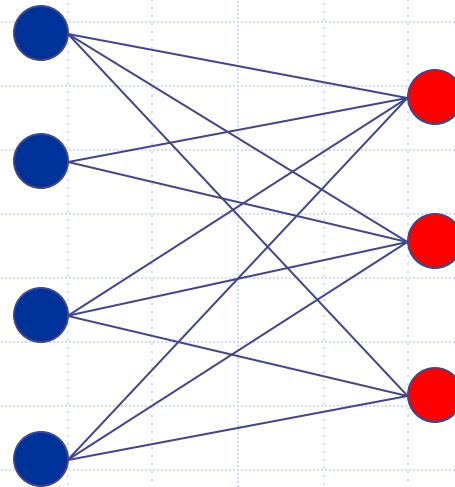


# Applications – Shortest Path between two nodes

- In a BFS starting from a vertex  $v$ , the level number of vertex  $u$  is the length of the shortest path from  $v$  to  $u$ .
- Proof
  - show there is a path from  $u$  to  $v$ .
  - a path of smaller length will jump a level
    - ◆ violating the BFS.

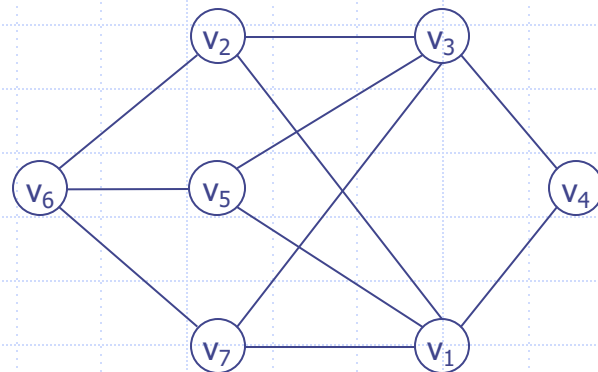
# Applications – Check if a graph is bipartite

- An undirected graph  $G = (V, E)$  is **bipartite** if the nodes can be colored red or blue such that every edge has one red and one blue end.

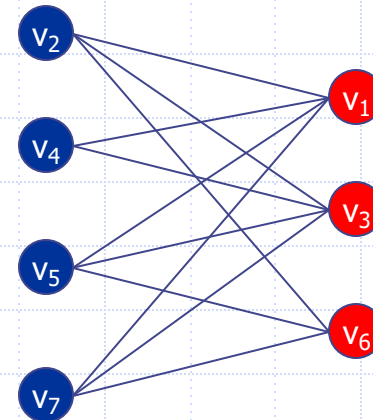


# Testing Bipartiteness

- Given a graph  $G$ , is it bipartite?
- Before attempting to design an algorithm, we need to understand structure of bipartite graphs.



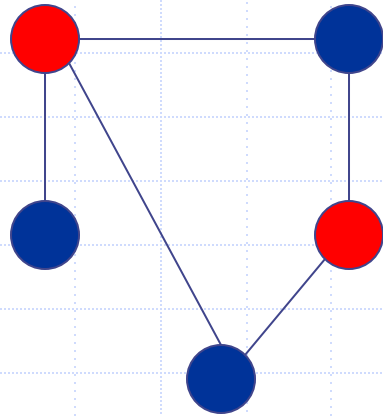
a bipartite graph  $G$



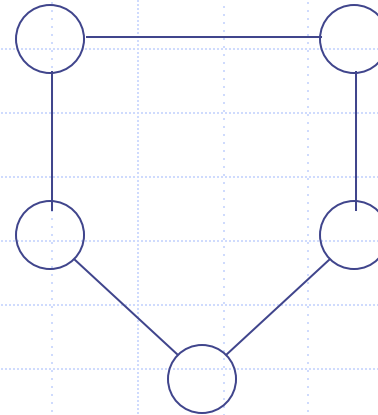
another drawing of  $G$

# Preliminary

- Lemma. If  $G$  has an odd cycle, then it cannot be bipartite.
- Proof: Not possible to 2-color the odd cycle, let alone  $G$ .



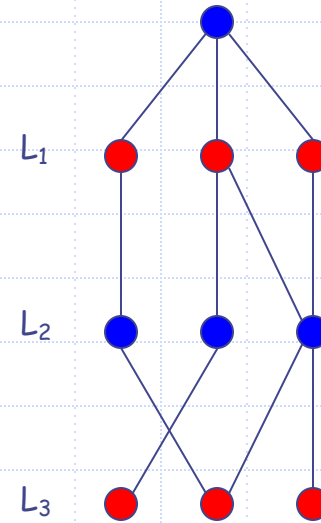
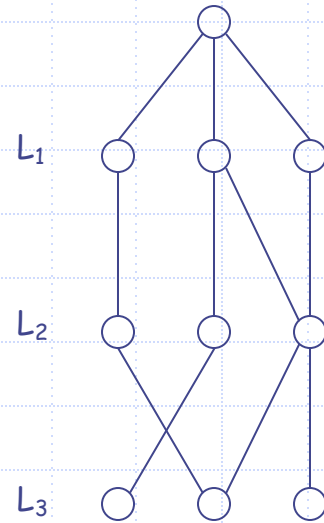
bipartite  
(2-colorable)



not bipartite  
(not 2-colorable)

# Bipartiteness and BFS

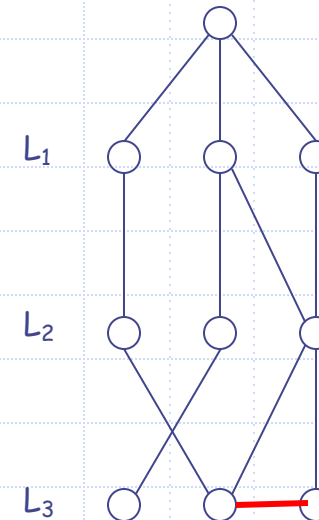
- Lemma. Let  $G$  be a connected graph, and let  $L_0, \dots, L_k$  be the layers produced by BFS starting at node  $s$ . Exactly one of the following holds.
  - case 1: No edge of  $G$  joins two nodes of the same layer, and  $G$  is bipartite.





# Bipartiteness and BFS

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  - case 1: No edge of  $G$  joins two nodes of the same layer, and  $G$  is bipartite.
  - case 2: An edge of  $G$  joins two nodes of the same layer, and  $G$  contains an odd-length cycle (and hence is not bipartite).



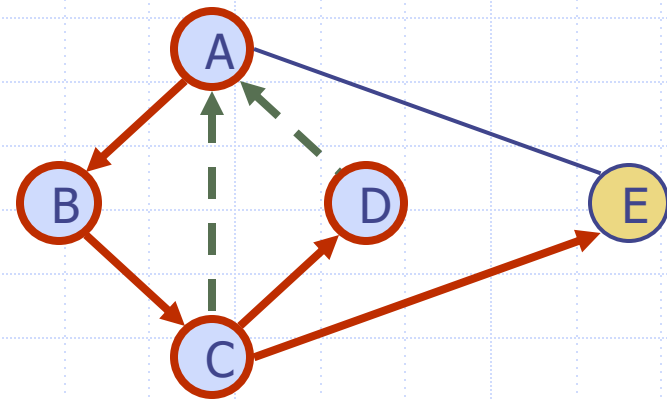
# Bipartiteness and BFS

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  - case 2: An edge of  $G$  joins two nodes of the same layer, and  $G$  contains an odd-length cycle (and hence is not bipartite).
- Proof case 2:
  - Suppose  $(x,y)$  is an edge with  $x, y$  in the same level  $L_j$ .
  - Let  $z = \text{LCA}(x, y)$  – lowest common ancestor
  - Let  $L_i$  be the level containing  $z$
  - Consider the cycle that takes edge from  $x$  to  $y$ , then the path from  $y$  to  $x$ , then  $z$  to  $x$
  - The length of this path is  $1+(j-i)+(j-i)$ , which is odd

# Question

- If  $G$  has only even length cycles, then  $G$  is bipartite.

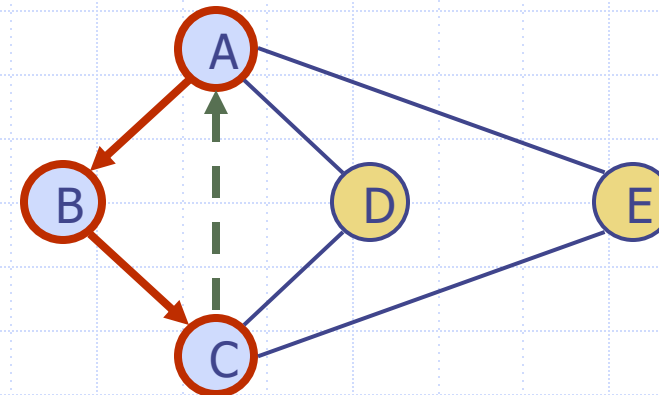
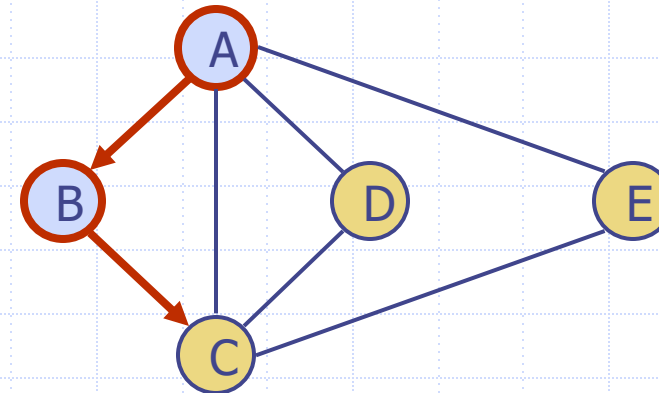
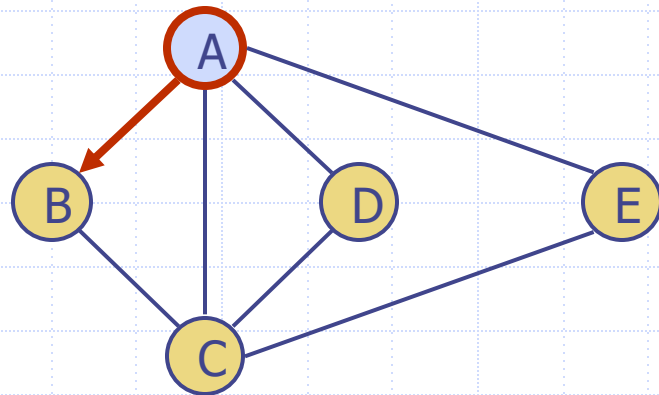
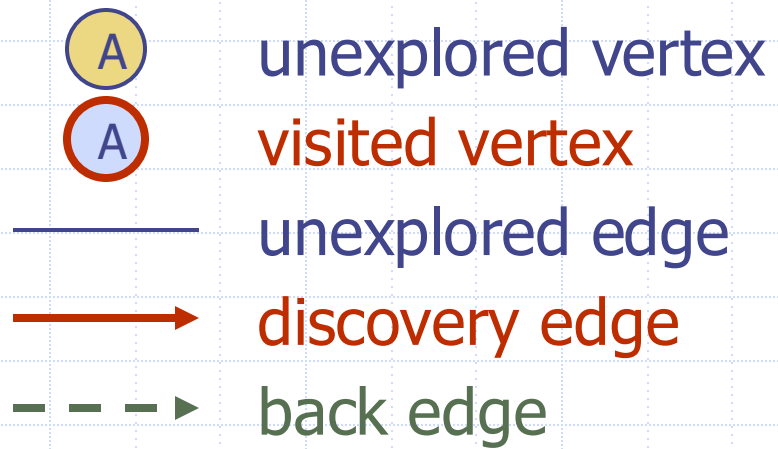
# Depth-First Search



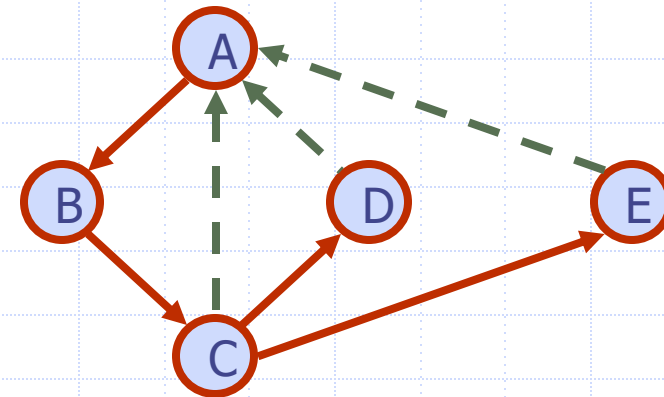
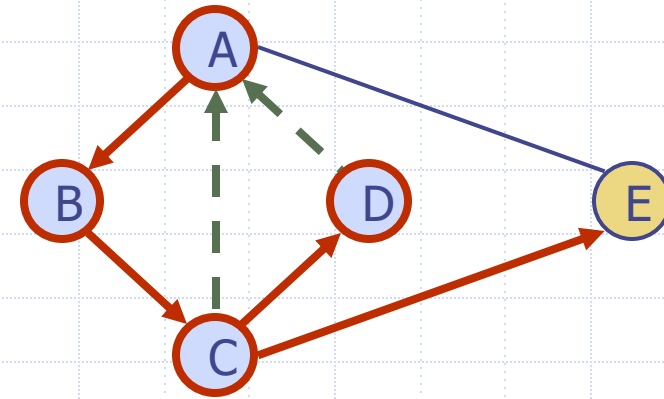
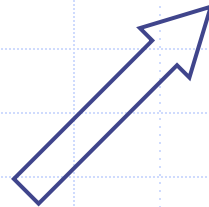
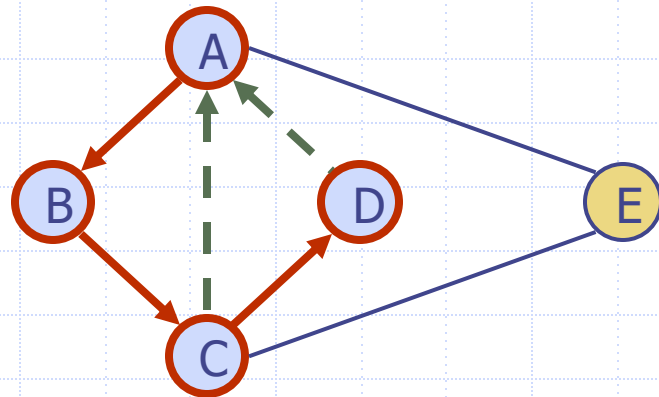
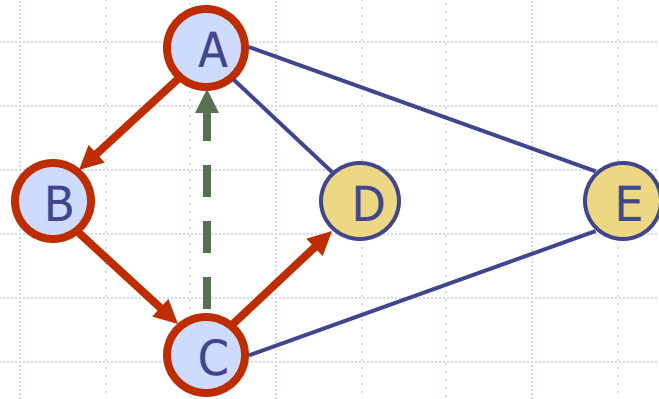
# Depth-First Search

- Depth-first search (DFS) is a general technique for traversing a graph
- A DFS traversal of a graph  $G$ 
  - Visits all the vertices and edges of  $G$
  - Determines whether  $G$  is connected
  - Computes the connected components of  $G$
  - Computes a spanning forest of  $G$
- DFS on a graph with  $n$  vertices and  $m$  edges takes  $O(n + m)$  time
- DFS can be further extended to solve other graph problems
  - Find and report a path between two given vertices
  - Find a cycle in the graph
- Depth-first search is to graphs what Euler tour is to binary trees

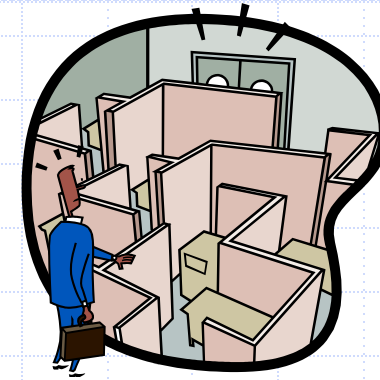
# Example



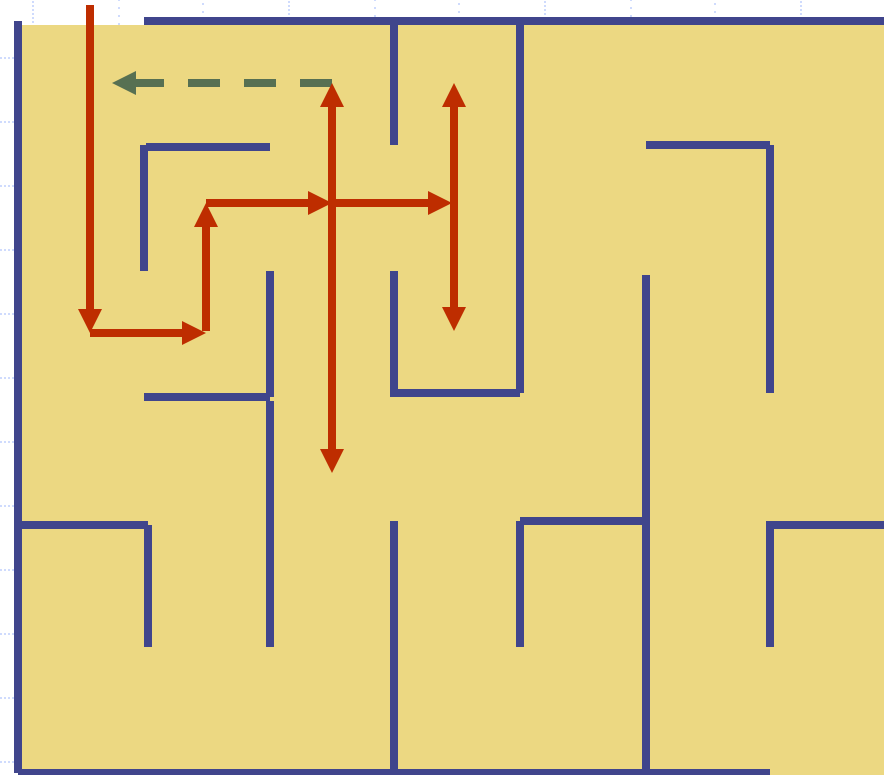
# Example (cont.)



# DFS and Maze Traversal



- The DFS algorithm is similar to a classic strategy for exploring a maze
  - We mark each intersection, corner and dead end (vertex) visited
  - We mark each corridor (edge) traversed
  - We keep track of the path back to the entrance (start vertex) by means of a rope (recursion stack)





# DFS for an Entire Graph

- The algorithm uses a mechanism for setting and getting “labels” of vertices and edges

## Algorithm *DFS(G)*

**Input** graph  $G$

**Output** labeling of the edges of  $G$   
as discovery edges and  
back edges

**for all**  $u \in G.vertices()$

$setLabel(u, UNEXPLORED)$

**for all**  $e \in G.edges()$

$setLabel(e, UNEXPLORED)$

**for all**  $v \in G.vertices()$

**if**  $getLabel(v) = UNEXPLORED$   
         $DFS(G, v)$

## Algorithm *DFS(G, v)*

**Input** graph  $G$  and a start vertex  $v$  of  $G$

**Output** labeling of the edges of  $G$   
in the connected component of  $v$   
as discovery edges and back edges

$setLabel(v, VISITED)$

**for all**  $e \in G.incidentEdges(v)$

**if**  $getLabel(e) = UNEXPLORED$

$w \leftarrow opposite(v, e)$

**if**  $getLabel(w) = UNEXPLORED$

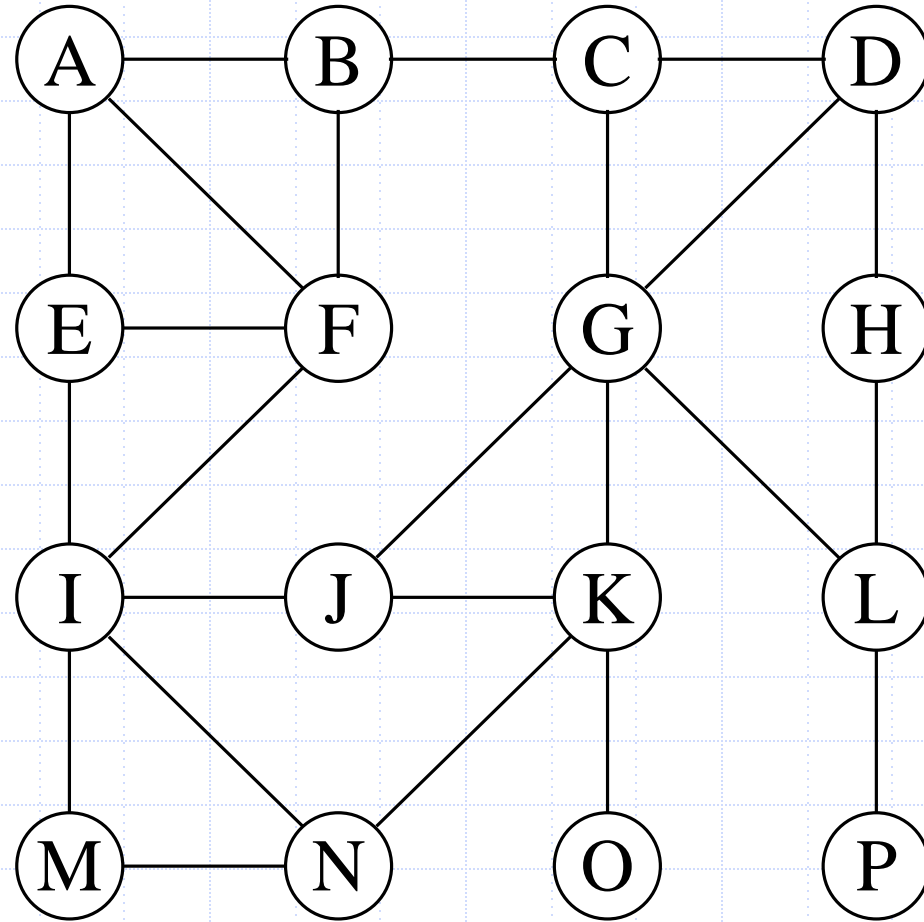
$setLabel(e, DISCOVERY)$

$DFS(G, w)$

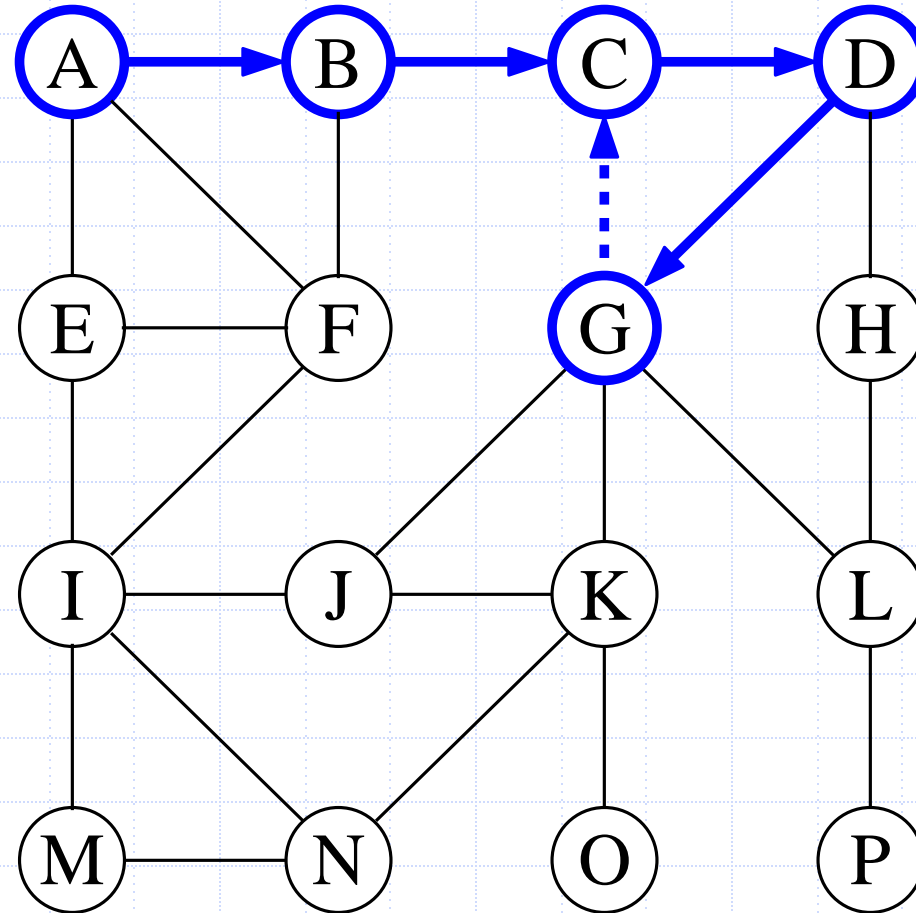
**else**

$setLabel(e, BACK)$

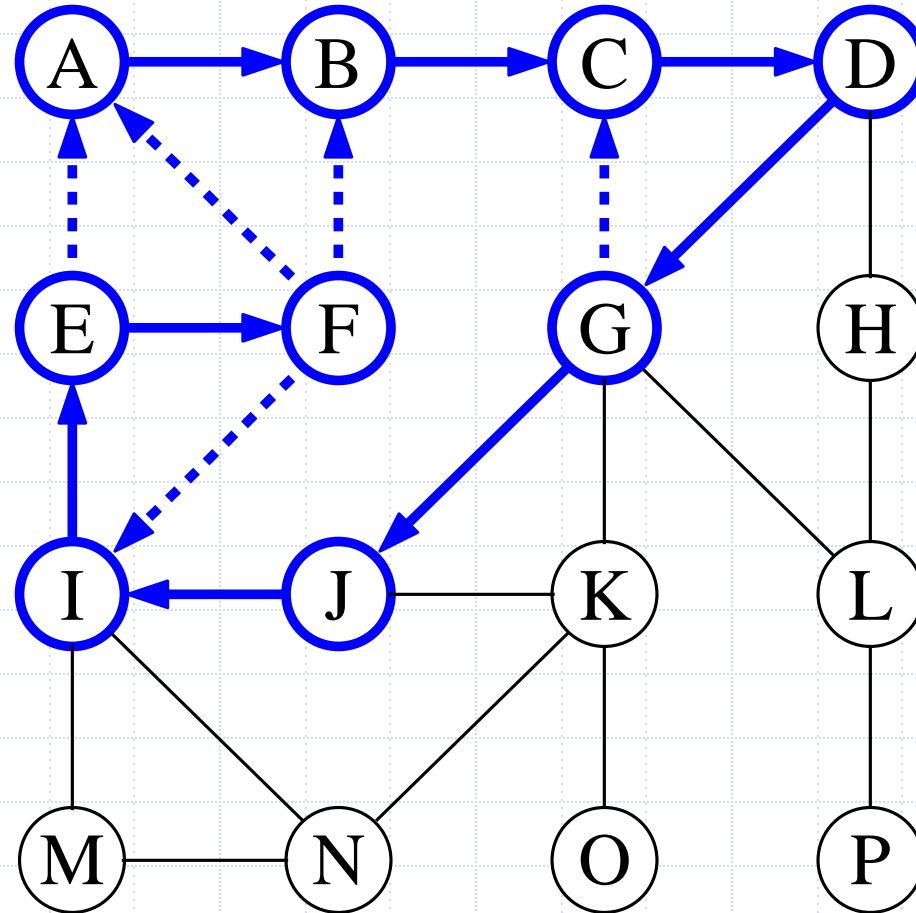
# Example



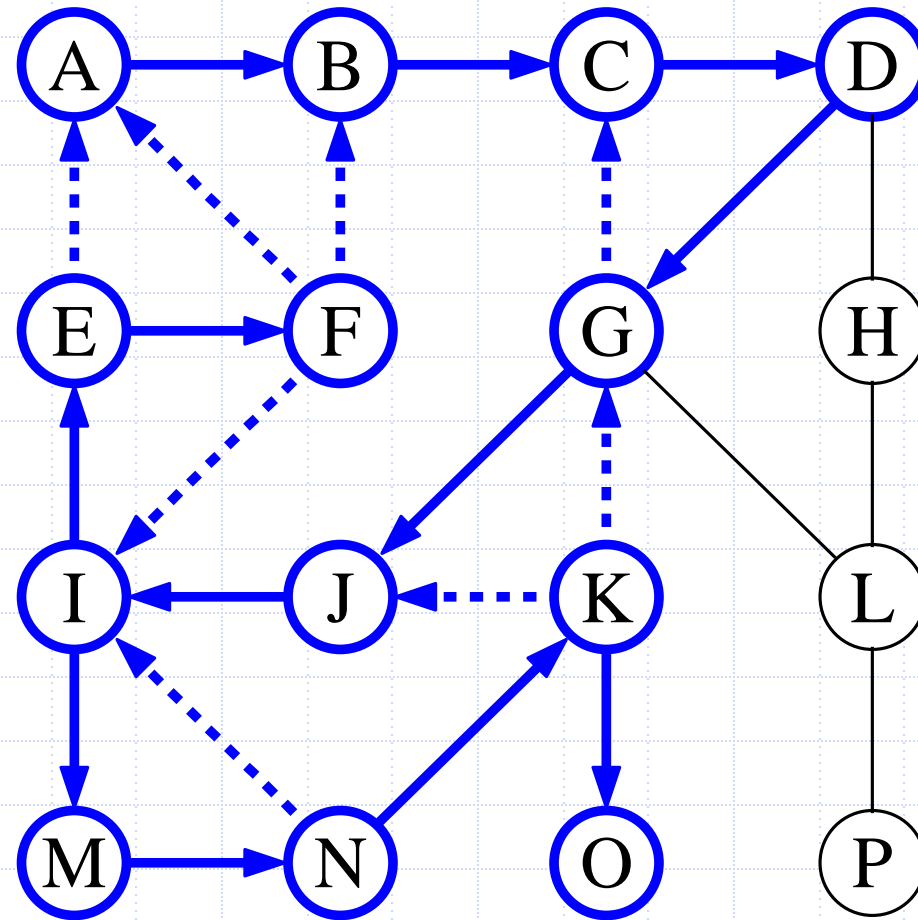
# Example



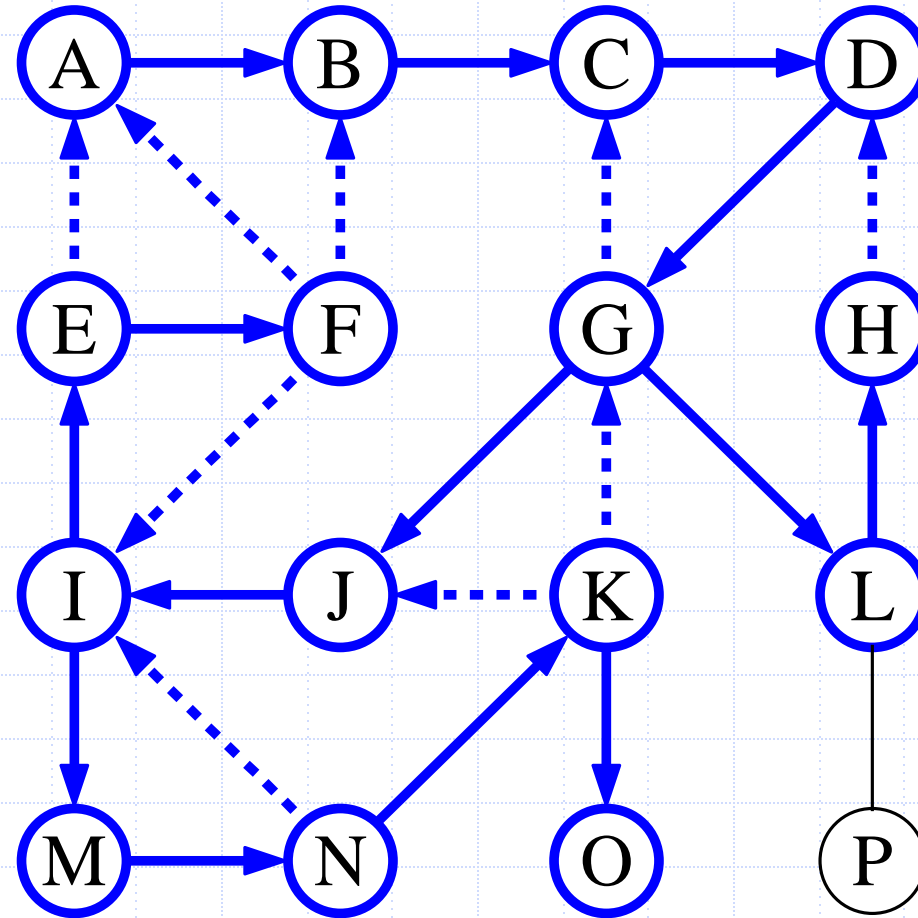
# Example



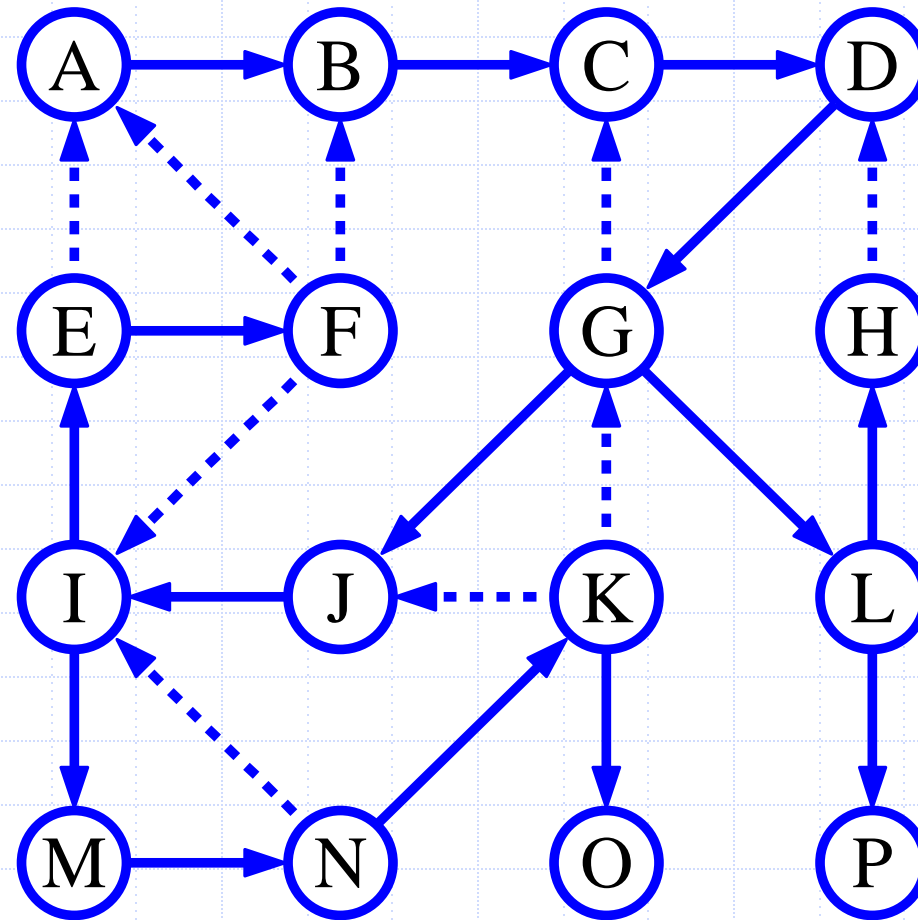
# Example



# Example



# Example



# Analysis of DFS

- Setting/getting a vertex/edge label takes  $O(1)$  time
- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as VISITED
- Each edge is labeled twice
  - once as UNEXPLORED
  - once as DISCOVERY or BACK
- Method incidentEdges is called once for each vertex
- DFS runs in  $O(n + m)$  time provided the graph is represented by the adjacency list structure
  - Recall that  $\sum_v \deg(v) = 2m$



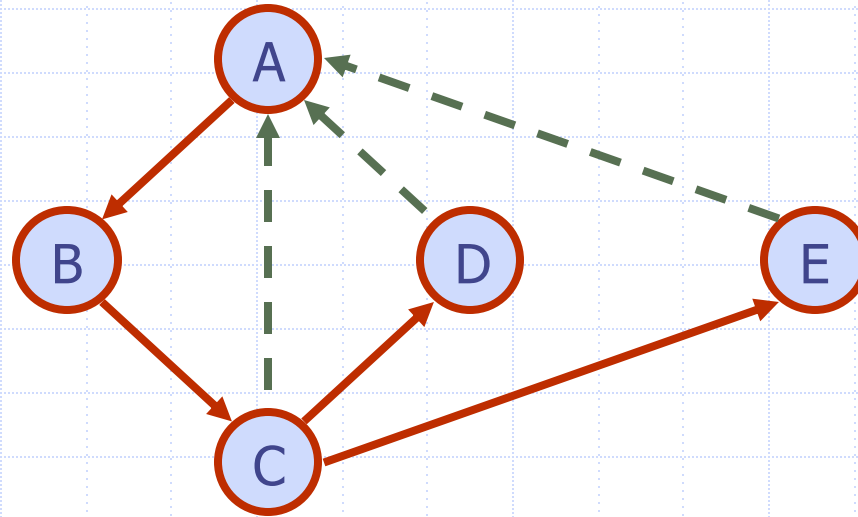
# Properties of DFS

## Property 1

$DFS(G, v)$  visits all the vertices and edges in the connected component of  $v$

## Property 2

The discovery edges labeled by  $DFS(G, v)$  form a spanning tree of the connected component of  $v$



# Applications - Path Finding



- We can specialize the DFS algorithm to find a path between two given vertices  $u$  and  $z$
- We call  $DFS(G, u)$  with  $u$  as the start vertex
- We use a stack  $S$  to keep track of the path between the start vertex and the current vertex
- As soon as destination vertex  $z$  is encountered, we return the path as the contents of the stack

```
Algorithm pathDFS( $G, v, z$ )  
  setLabel( $v, VISITED$ )  
  S.push( $v$ )  
  if  $v = z$   
    return S.elements()  
  for all  $e \in G.incidentEdges(v)$   
    if getLabel( $e$ ) = UNEXPLORED  
       $w \leftarrow opposite(v, e)$   
      if getLabel( $w$ ) = UNEXPLORED  
        setLabel( $e, DISCOVERY$ )  
        S.push( $e$ )  
        pathDFS( $G, w, z$ )  
        S.pop( $e$ )  
      else  
        setLabel( $e, BACK$ )  
  S.pop( $v$ )
```

# Applications - Cycle Finding

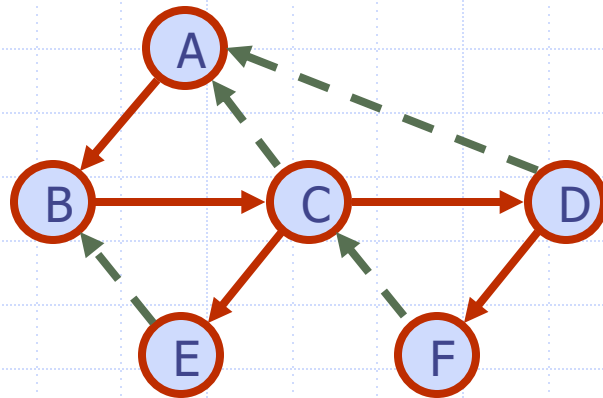


- We can specialize the DFS algorithm to find a simple cycle.
- We use a stack  $S$  to keep track of the path between the start vertex and the current vertex
- As soon as a back edge  $(v, w)$  is encountered, we return the cycle as the portion of the stack from the top to vertex  $w$

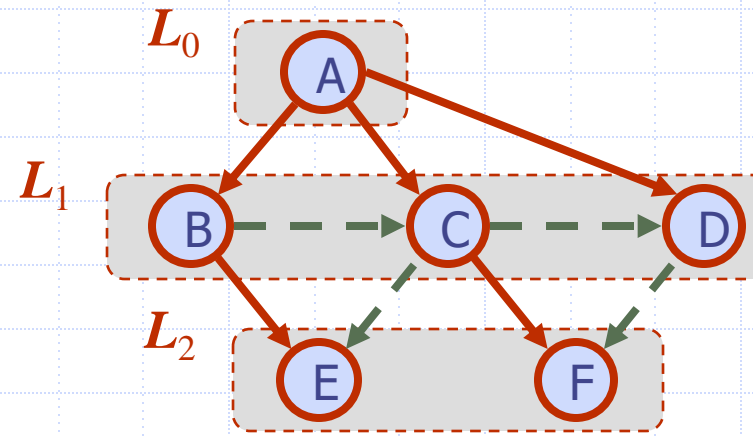
```
Algorithm cycleDFS( $G, v, z$ )  
  setLabel( $v, VISITED$ )  
  S.push( $v$ )  
  for all  $e \in G.incidentEdges(v)$   
    if getLabel( $e$ ) = UNEXPLORED  
       $w \leftarrow opposite(v, e)$   
      S.push( $e$ )  
      if getLabel( $w$ ) = UNEXPLORED  
        setLabel( $e, DISCOVERY$ )  
        pathDFS( $G, w, z$ )  
        S.pop( $e$ )  
      else  
         $T \leftarrow$  new empty stack  
        repeat  
           $o \leftarrow S.pop()$   
          T.push( $o$ )  
        until  $o = w$   
        return T.elements()  
  S.pop( $v$ )
```

# DFS vs. BFS

Applications	DFS	BFS
Spanning forest, connected components, paths, cycles	✓	✓
Shortest paths		✓
Biconnected components	✓	



DFS

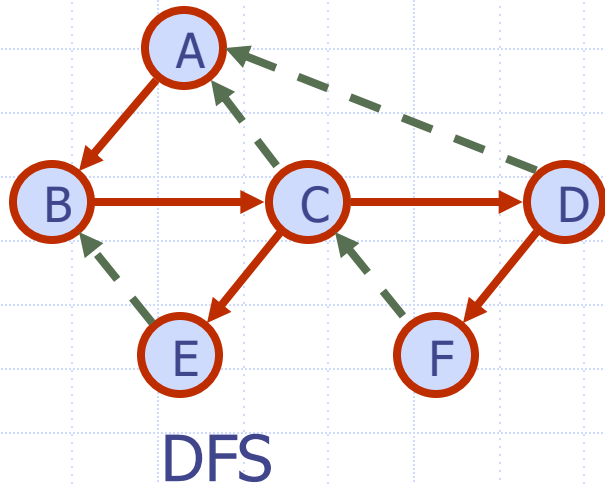


BFS

# DFS vs. BFS (cont.)

## Back edge $(v, w)$

- $w$  is an ancestor of  $v$  in the tree of discovery edges



## Cross edge $(v, w)$

- $w$  is in the same level as  $v$  or in the next level

