

# An efficient composition of bidirectional programs by tupling and lazy updates

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**Abstract.** Bidirectional transformation (BX) is a solution of view update problems and widely used for synchronizing data. Its semantics and correctness are researched well, but the efficiency and optimization are not considered well. In this work we focus on the evaluation of composition, because it includes some problems of evaluation time efficiency and memory allocation. To solve these problems we make *put* and *get* more tight, based on the idea of tupling. Because simple tupled result includes some redundancies for left associative compositions, we apply two optimization techniques: lazy update and lazy evaluation. We show some experimental results that our optimized approach is faster than the original approach that is used in an actual BX language.

**Keywords:** Bidirectional transformation · Tupling · Optimization · ??.

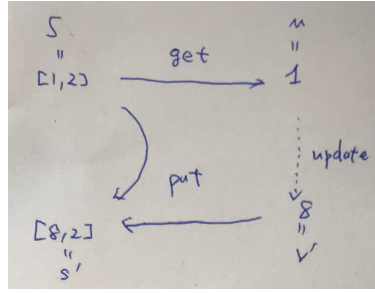
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## 1 Introduction

In software, there are strong demands for synchronizing data. In database community this is known as “view update problem” and researched for a long time [1]. As a solution for this problem, bidirectional transformation (BX) is introduced [2]. As an example, let us consider a small BX program of *pHead*<sup>4</sup>. BX provides two functions: *get* and *put*. The following is one example of *pHead*’s evaluation.

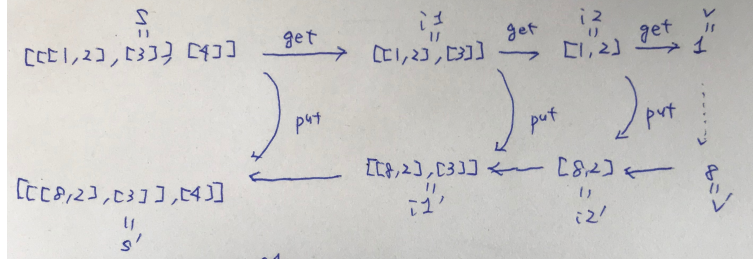
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<sup>4</sup> The actual program is shown in the next section.



Here, the original source  $s$ ,  $[1, 2]$ , is given by a user. *get* is a projection: *get* of *pHead* picks the first element of the given original source as a view. After the view  $v$  is obtained, the user can modify the view. In this case, he or she modified the view to 8 from 1. From this updated view, how can we obtain new updated source? This is “view update problem.” For this, BX provides *put*, update on the original source. In this example, *put* of *pHead* constructs a new list  $[8, 2]$  from the updated view ( $v'$ ) and the original source ( $s$ ).

For more various evaluations, we can use composition of programs. Let us consider another BX example program, three composition of *pHead*: *pHead*◦*pHead*◦*pHead*. The following is one example of its evaluation.



In this example, the original source  $s$  is  $[[[1, 2], [3]], [4]]$ . By repetitious evaluation of *gets* of *pHead*, we can obtain the view  $v$ , 1. To obtain the final updated source  $s'$ , we need to evaluate *put* three times. The first *put* evaluation is from  $i_2$  and  $v'$  and we can obtain  $i_2'$ .

For evaluating *put*-direction, there are two strategies. One is “not keeping any intermediate states and obtaining them by evaluation when they are needed.” In this example, “intermediate states” are  $i_1$  and  $i_2$ . If we choose this strategy, the number of *gets* will be quadratic. This is a problem, because the evaluation will be slow if the BX programs include many compositions. In this example, two *gets* are required for obtaining  $i_2$  and one *get* is required for obtaining  $i_1$ . In total, three *gets* are required.

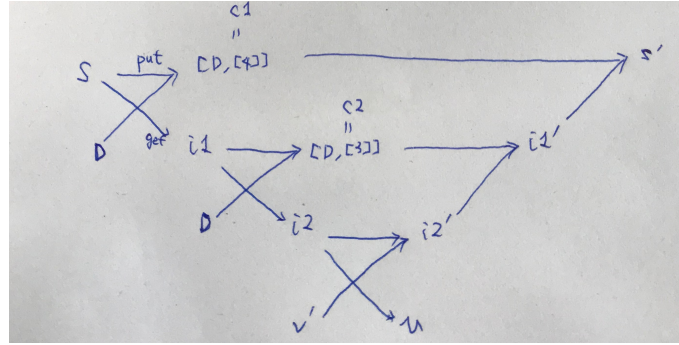
The other strategy is “keeping all intermediate states and using them when they are needed.” This strategy causes a storage problem. If views includes most information, for evaluating *put* the needed parts of the original source will be small. It is redundant to keep all intermediate states.

In this work, we choose another strategy “keeping complements and using them when they are needed.” Complements are smaller program fragments than the original intermediate states. Therefore this strategy solves the previous two problems. Readers might already know that it is a hard problem to obtain complements  $\square$ . In our work, thanks to the following finding it is not hard:

In very-well behaved BX programs, *put* can be a complement function for *get*, *get* can be a complement function for *put*.

For using complement, we combine *put* and *get*, and produce new function *pg* in Section 3. This is a kind of tupling. Because parts of *put* and *get* are doing the same computation, we can make efficient by tupling them.

As an example, let us consider the previous example again:  $pHead \circ pHead \circ pHead$ .



In the figure, *c1* and *c2* are complements. The points in this figure are two. After evaluation of the first *pg*, we do not need to keep the original source *s*, because all its information is in *c1* and *i1*. This evaluation looks better than previous two strategies: this does not require repeated evaluation and require smaller storage than the original sources. Actually, the simple combined *pg* is not effective for left associative compositions. To achieve efficient evaluation, we introduce *cpg*, lazy update version of *pg*, in Section 4 and *kpg*, lazy evaluation version of *cpg*, in Section 5. In Section 6 we combine *pg* and *kpg* to achieve efficiency for both associative compositions. In Section 7 we compare all approaches including the original BX approach by the evaluation time and memory allocation.

**Contribution of this paper** We can summarize contribution of this paper as follows.

- Improvement of evaluation efficiency
  - This is both in evaluation time and memory allocation
- Optimization by tupling
  - In BX, as far as authors know optimization by *get* and *put* more tight is the first attempt

## 2 Bidirectional Programming Language: minBiGUL

MinBiGUL, our target language in this paper, is a subset of BiGUL [?] which is a simple yet powerful putback-based bidirectional language. BiGUL supports two transformations: a forward transformation *get* producing a view from a source and a backward transformation *put* taking a source and a modified view to produce an updated source. Intuitively, if we have a BiGUL program  $bx$ , these two transformations are following functions:

$$get \llbracket bx \rrbracket : s \rightarrow v, \quad put \llbracket bx \rrbracket : s * v \rightarrow v$$

BiGUL is well-behaved since two functions  $put \llbracket bx \rrbracket$  and  $get \llbracket bx \rrbracket$  satisfy the round-trip laws as follows:

$$\begin{aligned} put \llbracket bx \rrbracket s (get \llbracket bx \rrbracket s) &= s & [GETPUT] \\ get \llbracket bx \rrbracket (put \llbracket bx \rrbracket s v) &= v & [PUTGET] \end{aligned}$$

The GETPUT law means that if there is no change to the view, there should be no change to the source. The PUTGET law means that we can recover the modified view by applying the forward transformation to the updated source.

MinBiGUL inherited from BiGUL also supports transformations *put* and *get* which are satisfy two above laws. In addition, we restrict adaptive cases of BiGUL on minBiGUL. Then *put* and *get* satisfy one more law, PUTPUT, like the following:

$$put \llbracket bx \rrbracket (put \llbracket bx \rrbracket s v') v = put \llbracket bx \rrbracket s v \quad [PUTPUT]$$

The PUTPUT law means that a source update should overwrite the effect of previous source updates. Due to the satisfaction of three laws, GETPUT, PUTGET and PUTPUT, minBiGUL is very well-behaved.

### 2.1 Syntax

The syntax of minBiGUL is briefly written as follows:

$$\begin{aligned} bx := & Skip \ h \mid Replace \mid Prod \ bx_1 \ bx_2 \mid RearrS \ f_1 \ f_2 \ bx \mid RearrV \ g_1 \ g_2 \ bx \\ & \mid Case \ cond_{sv} \ cond_s \ bx_1 \ bx_2 \mid Compose \ bx_1 \ bx_2 \end{aligned}$$

A minBiGUL program may be either a skip of a function or a replacement or a product of two minBiGUL programs or a source/view rearrangement or a case combinator without adaptive cases or a composition of some minBiGUL programs.

For source/view rearrangement, BiGUL use just one lambda expression to express how to deconstruct as well as reconstruct data. It is a kind of bijection. However, to be able to implement it in OCaml, the environment used for developing minBiGUL and solutions in the paper, we need give two functions which one is the inverse of the other. In the above syntax,  $f_2 = f_1^{-1}$  and  $g_2 = g_1^{-1}$ .

To help make demonstration more direct, we provide the following alternatives representation:

$$Prod \ bx_1 \ bx_2 \equiv bx_1 \times bx_2, \quad Compose \ bx_1 \ bx_2 \equiv bx_1 \circ bx_2$$

In general,  $\circ$  has a higher priority than  $\times$ . Since only consider a product of two minBiGUL programs is considered, it is unnecessary to define the associativity precedence of  $\times$ . The one of  $\circ$  may be left or right, however we do not declare the default.

## 2.2 Semantics

**Definition 1.**  $put \llbracket bx \rrbracket s v$

$put \llbracket Skip h \rrbracket s v =$   
 $\quad if\ h\ s = v\ then\ s\ else\ fail$   
 $put \llbracket Replace \rrbracket s v = v$   
 $put \llbracket bx_1 \times bx_2 \rrbracket (s_1, s_2) (v_1, v_2) =$   
 $\quad ((put \llbracket bx_1 \rrbracket s_1 v_1), (put \llbracket bx_2 \rrbracket s_2 v_2))$   
 $put \llbracket RearrS\ f_1\ f_2\ bx \rrbracket s v =$   
 $\quad f_2\ (put \llbracket bx \rrbracket (f_1\ s)\ v)$   
 $put \llbracket RearrV\ g_1\ g_2\ bx \rrbracket s v =$   
 $\quad put \llbracket bx \rrbracket s\ (g_1\ v)$   
 $put \llbracket Case\ cond_{sv}\ cond_s\ bx_1\ bx_2 \rrbracket s v =$   
 $\quad if\ cond_{sv}\ s\ v$   
 $\quad then\ s' \Leftarrow put \llbracket bx_1 \rrbracket s\ v$   
 $\quad else\ s' \Leftarrow put \llbracket bx_2 \rrbracket s\ v$   
 $\quad fi\ cond_s\ s';\ return\ s'$   
 $put \llbracket bx_1 \circ bx_2 \rrbracket s v =$   
 $\quad put \llbracket bx_1 \rrbracket s\ (put \llbracket bx_2 \rrbracket (get \llbracket bx_1 \rrbracket s)\ v)$

**Definition 2.**  $get \llbracket bx \rrbracket s$

$get \llbracket Skip h \rrbracket s =$   
 $\quad h\ s$   
 $get \llbracket Replace \rrbracket s = s$   
 $get \llbracket bx_1 \times bx_2 \rrbracket (s_1, s_2) =$   
 $\quad (get \llbracket bx_1 \rrbracket s_1, (get \llbracket bx_2 \rrbracket s_2))$   
 $get \llbracket RearrS\ f_1\ f_2\ bx \rrbracket s =$   
 $\quad get \llbracket bx \rrbracket (f_1\ s)$   
 $get \llbracket RearrV\ g_1\ g_2\ bx \rrbracket s =$   
 $\quad g_2\ (get \llbracket bx \rrbracket s)$   
 $get \llbracket Case\ cond_{sv}\ cond_s\ bx_1\ bx_2 \rrbracket s =$   
 $\quad if\ cond_s\ s$   
 $\quad then\ v' \Leftarrow get \llbracket bx_1 \rrbracket s$   
 $\quad else\ v' \Leftarrow get \llbracket bx_2 \rrbracket s$   
 $\quad fi\ cond_{sv}\ s\ v';\ return\ v'$   
 $get \llbracket bx_1 \circ bx_2 \rrbracket s =$   
 $\quad get \llbracket bx_2 \rrbracket (get \llbracket bx_1 \rrbracket s)$

In definitions 1 and 2, we use if-then-else-fi statements to express semantics of  $put \llbracket Case \rrbracket$  and  $get \llbracket Case \rrbracket$ . This statement is useful to describe many functions related to *Case* in this paper. Statement (if  $E_1$  then  $X_1$  else  $X_2$  fi  $E_2$ ) means if the test  $E_1$  is true, the statement  $X_1$  is executed and the assertion  $E_2$  must be true, otherwise, i.e.  $E_2$  is false, the statement  $X_2$  is executed and the assertion  $E_2$  must be false. If the values of  $E_1$  and  $E_2$  are distinct, the if-then-else-fi structure is undefined. We can write the equivalent if-then-else statement as follows:

$if\ E_1\ then\ X_1\ else\ X_2\ fi\ E_2; S$   
 $\equiv if\ E_1 = true\ then\ \{X_1; if\ E_2 = true\ then\ S\ else\ assert\ false;\}$   
 $\quad else\ \{X_2; if\ E_2 = false\ then\ S\ else\ assert\ false;\}$

Next, let's take a look at some examples to better understand about minBiGUL.

We start with quite obvious things as follows:

$put \llbracket Skip\ (\lambda x.(x * x)) \rrbracket 10\ 100 = 10$        $get \llbracket Skip\ (\lambda x.(x * x)) \rrbracket 10 = 100$   
 $put \llbracket Skip\ (\lambda_.()) \rrbracket 1\ () = 1$        $get \llbracket Skip\ (\lambda_.()) \rrbracket 1 = ()$   
 $put \llbracket Replace \rrbracket 1\ 100 = 100$        $get \llbracket Replace \rrbracket 1 = 1$

Now, let's consider the definition of *phead* in minBiGUL:

$phead = RearrS\ f_1\ f_2\ bx_s$  where:  $f_1 = \lambda(s :: ss).(s, ss)$ ,  $f_2 = \lambda(s, ss).(s :: ss)$ ,  
 $bx_s = RearrV\ g_1\ g_2\ bx_v$  where:  $g_1 = \lambda v.(v, ())$ ,  $g_2 = \lambda(v, ().v,$   
 $\quad bx_v = Replace \times (Skip\ (\lambda_.()))$

The above program rearranges the source, a non-empty list, to a pair of its head element  $s$  and its tail  $ss$ , and the view to a pair  $(v, ())$ , then we can use  $v$  to replace  $s$  and  $()$  to keep  $ss$ . Intuitively,  $put \llbracket phead \rrbracket s_0\ v_0$  returns a list whose head is  $v_0$  and tail is the tail of  $s_0$ , and  $get \llbracket phead \rrbracket s_0$  returns the head of the list  $s_0$ . For instance,  $put \llbracket phead \rrbracket [1, 2, 3]\ 100 = [100, 2, 3]$  and  $get \llbracket phead \rrbracket [1, 2, 3] = 1$ . If we wanna update the head element of the head element of a list of lists by using the view, we can define a composition like  $phead \circ phead$ . For example:

$$\begin{aligned} \text{put } \llbracket \text{phead} \circ \text{phead} \rrbracket \llbracket [1, 2, 3], [], [4, 5] \rrbracket 100 &= \llbracket [100, 2, 3], [], [4, 5] \rrbracket \\ \text{get } \llbracket \text{phead} \circ \text{phead} \rrbracket \llbracket [1, 2, 3], [], [4, 5] \rrbracket &= 1 \end{aligned}$$

### 3 pg

#### 3.1 Self-inverse function: pg

In minBiGUL or even BiGUL, when evaluating a composition of many programs, *gets* are re-evaluated so many times since no intermediate state is stored during the evaluation. This is a kind of information loss. One question is whether it is possible to calculate such programs without losing information. And the answer is yes. It comes from the the idea of reversible computation where all information during the evaluation need to be kept. In minBiGUL, we can do that by tupling *put* and *get*. A pair of a source and a view is accepted as the input of a function named *pg* to produce a new pair that contains the actual result of the corresponding minBiGUL program.

**Definition 3.**  $pg \llbracket bx \rrbracket (s, v) = (\text{put } \llbracket bx \rrbracket s \ v, \text{get } \llbracket bx \rrbracket s)$

*pg* is an involution that is self-inverse. An involution is a function  $f$  that satisfies  $f(f(x)) = x$  for all  $x$  in the domain of  $f$ .

$$\begin{aligned} \text{Proof. } pg \llbracket bx \rrbracket (pg \llbracket bx \rrbracket (s, v)) &= pg \llbracket bx \rrbracket ((\text{put } \llbracket bx \rrbracket s \ v), (\text{get } \llbracket bx \rrbracket s)) \quad [pg \text{ definition}] \\ &= (\text{put } \llbracket bx \rrbracket (\text{put } \llbracket bx \rrbracket s \ v) (\text{get } \llbracket bx \rrbracket s), \text{get } \llbracket bx \rrbracket (\text{put } \llbracket bx \rrbracket s \ v)) \quad [pg \text{ definition}] \\ &= (\text{put } \llbracket bx \rrbracket (\text{put } \llbracket bx \rrbracket s \ v, \text{get } \llbracket bx \rrbracket s), v) \quad [\text{PUTGET}] \\ &= (\text{put } \llbracket bx \rrbracket (s, \text{get } \llbracket bx \rrbracket s), v) \quad [\text{PUTPUT}] \\ &= (s, v) \quad [\text{GETPUT}] \end{aligned}$$

#### 3.2 Construction of pg

$$\begin{aligned} pg \llbracket \text{Skip } h \rrbracket (s, v) &\stackrel{1}{=} (\text{if } h \ s = v \text{ then } s \text{ else fail}, h \ s) \\ &\stackrel{2}{=} \text{if } h \ s = v \text{ then } (s, h \ s) \text{ else fail} \\ &\stackrel{3}{=} \text{if } h \ s = v \text{ then } (s, v) \text{ else fail} \end{aligned}$$

There is a trick in the construction of  $pg \llbracket \text{Skip } h \rrbracket$ . The first equality is simply based on the definitions of *pg*,  $\text{put } \llbracket \text{Skip } h \rrbracket$  and  $\text{get } \llbracket \text{Skip } h \rrbracket$ . The second one tuples two results of *put* and *get* in the body of the if-expression. And the last one is quite obvious. What we call the trick here is in the second equality where in some cases, the result of *pg* may be fail although there is no fail when evaluating  $\text{get } \llbracket \text{Skip } h \rrbracket$ .

$$\begin{aligned} pg \llbracket \text{Replace} \rrbracket (s, v) &= (v, s) \\ pg \llbracket bx_1 \times bx_2 \rrbracket ((s_1, s_2), (v_1, v_2)) &\stackrel{1}{=} ((\text{put } \llbracket bx_1 \rrbracket s_1 \ v_1), (\text{put } \llbracket bx_2 \rrbracket s_2 \ v_2), (\text{get } \llbracket bx_1 \rrbracket s_1), (\text{get } \llbracket bx_2 \rrbracket s_2)) \\ &\stackrel{2}{=} (s_1, v_1) \Leftarrow pg \llbracket bx_1 \rrbracket (s_1, v_1); \\ &\quad (s_2, v_2) \Leftarrow pg \llbracket bx_2 \rrbracket (s_2, v_2); \end{aligned}$$

$$\begin{aligned}
& ((s_1, s_2), (v_1, v_2)) \\
pg \llbracket RearrS \ f_1 \ f_2 \ bx \rrbracket(s, v) & \stackrel{1}{=} (f_2 \ (put \llbracket bx \rrbracket \ (f_1 \ s) \ v), get \llbracket bx \rrbracket \ (f_1 \ s)) \\
& \stackrel{2}{=} (s, v) \Leftarrow pg \llbracket bx \rrbracket(f_1 \ s, v); \\
& \quad (f_2 \ s, v) \\
pg \llbracket RearrV \ g_1 \ g_2 \ bx \rrbracket(s, v) & \stackrel{1}{=} (put \llbracket bx \rrbracket \ s \ (g_1 \ v), g_2 \ (get \llbracket bx \rrbracket \ s)) \\
& \stackrel{2}{=} (s, v) \Leftarrow pg \llbracket bx \rrbracket(s, g_1 \ v); \\
& \quad (s, g_2 \ v)
\end{aligned}$$

Constructions of  $pg$  for the replacement, the product and the source/view rearrangements are very clear when just paring  $put$  and  $get$  respectively, then doing basic changes.

$$\begin{aligned}
pg \llbracket Case \ cond_{sv} \ cond_s \ bx_1 \ bx_2 \rrbracket(s, v) & \\
\stackrel{1}{=} & \text{if } cond_{sv} \ s \ v \quad \stackrel{2}{=} \text{if } cond_{sv} \ s \ v \ \&\& \ cond_s \ s \\
& \text{then } s' \Leftarrow put \llbracket bx_1 \rrbracket \ s \ v \quad \text{then } (s', v') \Leftarrow pg \llbracket bx_1 \rrbracket(s, v) \\
& \text{else } s' \Leftarrow put \llbracket bx_2 \rrbracket \ s \ v \quad \text{else } (s', v') \Leftarrow pg \llbracket bx_2 \rrbracket(s, v) \\
& \text{fi } cond_s \ s'; \text{ return } s', \quad \text{fi } cond_s \ s' \ \&\& \ cond_{sv} \ s \ v'; \text{ return } (s', v') \\
& \text{if } cond_s \ s \\
& \text{then } v' \Leftarrow get \llbracket bx_1 \rrbracket \ s \\
& \text{else } v' \Leftarrow get \llbracket bx_2 \rrbracket \ s \\
& \text{fi } cond_{sv} \ s \ v'; \text{ return } v')
\end{aligned}$$

A restriction for  $pg \llbracket Case \rrbracket$  needs to be introduced here. We know that there is one entering condition and one exit condition when evaluating  $put \llbracket Case \rrbracket$  as well as  $get \llbracket Case \rrbracket$ . If a tupling  $put$  and  $get$  occurs, there will be 4 combinations of these conditions. This means that two entering conditions of  $put \llbracket Case \rrbracket$  and  $get \llbracket Case \rrbracket$  are not always simultaneously satisfied. The evaluated branches are distinct in the  $put$  and  $get$  directions for combinations  $((cond_{sv} \ s \ v) \ \&\& \ (not(cond_s \ s)))$  and  $((not(cond_{sv} \ s \ v) \ \&\& \ (cond_s \ s)))$ , which are restricted in this paper. This does not happen for the others which is used in the construction of  $pg \llbracket Case \rrbracket$ .

$$\begin{aligned}
pg \llbracket bx_1 \circ bx_2 \rrbracket(s, v) & \\
\stackrel{1}{=} & (put \llbracket bx_1 \rrbracket \ s \ (put \llbracket bx_2 \rrbracket \ (get \llbracket bx_1 \rrbracket \ s) \ v), get \llbracket bx_2 \rrbracket \ (get \llbracket bx_1 \rrbracket \ s)) \\
\stackrel{2}{=} & v_1 \Leftarrow get \llbracket bx_1 \rrbracket \ s; \quad \stackrel{3}{=} (s_1, v_1) \Leftarrow pg \llbracket bx_1 \rrbracket(s, dummy); \\
& (s_2, v_2) \Leftarrow pg \llbracket bx_2 \rrbracket(v_1, v); \quad (s_2, v_2) \Leftarrow pg \llbracket bx_2 \rrbracket(v_1, v); \\
& (s_3, v_3) \Leftarrow pg \llbracket bx_1 \rrbracket(s, s_2); \quad (s_3, v_3) \Leftarrow pg \llbracket bx_1 \rrbracket(s, s_2); \\
& \quad (s_3, v_2) \quad \quad \quad (s_3, v_2) \\
\stackrel{4}{=} & (s_1, v_1) \Leftarrow pg \llbracket bx_1 \rrbracket(s, construct\_dummy \ s); \\
& (s_2, v_2) \Leftarrow pg \llbracket bx_2 \rrbracket(v_1, v); \\
& (s_3, v_3) \Leftarrow pg \llbracket bx_1 \rrbracket(s_1, s_2); \\
& \quad (s_3, v_2)
\end{aligned}$$

The construction of  $pg \llbracket bx_1 \circ bx_2 \rrbracket$  can be considered as the soul of the  $pg$  function. The first two equalities comes from mentioned definitions and some basic transformations. The third one rewrites  $v_1 \Leftarrow get \llbracket bx_1 \rrbracket \ s$  into  $(s_1, v_1) \Leftarrow pg \llbracket bx_1 \rrbracket(s, dummy)$ . It is possible if we consider  $get \llbracket bx_1 \rrbracket \ s$  as the second element of  $pg \llbracket bx_1 \rrbracket(s, dummy)$  where  $dummy$  is a special value that makes the  $put \llbracket bx_1 \rrbracket$  valid. Due to GETPUT law, we can use  $get \ s$  to update the source  $s$  in the  $put$  direction. Then it is feasible to construct a  $dummy$  from the source.

The last equality changes *dummy* by an application *construct\_dummy s*, and also substitutes *s* in  $(s_3, v_3) \Leftarrow pg \llbracket bx_1 \rrbracket(s, s_2)$  by  $s_1$  which is the evaluated result of *put*  $\llbracket bx_1 \rrbracket s$  (*construct\_dummy s*). This transformation is possible because of the PUTPUT law. Then,  $v_3$  in the result pair  $(s_3, v_3)$  equals *dummy*. So we can realize that there is no loss information when computing a composition.

## 4 cpg

When evaluating  $pg \llbracket bx_1 \circ bx_2 \rrbracket$ , we realize that there are three *pg* calls, of which twice for  $pg \llbracket bx_1 \rrbracket$  and once for  $pg \llbracket bx_2 \rrbracket$ . If a given program is a left-associative composition, the number of *pg* calls will be exponential. Therefore, the runtime inefficiency is inevitable. In this section, we introduce a new function, *cpg*, accumulates changes in the source and the view to solve that problem.  $cpg \llbracket bx \rrbracket(ks, kv, s, v)$  is an extension of  $pg \llbracket bx \rrbracket(s, v)$  where *ks* and *kv* are continuations used to hold the modification information, and *s* and *v* are used to keep evaluated values. The output of this function is a 4-tuple  $(ks, kv, s, v)$ .

To be more convenient for presenting the definition of *cpg* as well as the other functions later, we provide some following utility functions:

$$\begin{aligned} fst &= \lambda(x_1, x_2).x_1 & snd &= \lambda(x_1, x_2).x_2 \\ con &= \lambda ks_1. \lambda ks_2. \lambda x. ((ks_1 \ x), (ks_2 \ x)) \end{aligned}$$

**Definition 4.**  $cpg \llbracket bx \rrbracket(ks, kv, s, v)$

$cpg \llbracket Skip \ h \rrbracket(ks, kv, s, v) = \text{if } h \ s = v \text{ then } (ks, kv, s, v) \text{ else fail}$

$cpg \llbracket Replace \rrbracket(ks, kv, s, v) = (kv, ks, v, s)$

$cpg \llbracket bx_1 \times bx_2 \rrbracket(ks, kv, s, v) =$   
 $(ks_1, kv_1, s_1, v_1) \Leftarrow cpg \llbracket bx_1 \rrbracket(fst \circ ks, fst \circ kv, fst \ s, fst \ v);$   
 $(ks_2, kv_2, s_2, v_2) \Leftarrow cpg \llbracket bx_2 \rrbracket(snd \circ ks, snd \circ kv, snd \ s, snd \ v);$   
 $(con \ ks_1 \ ks_2, con \ kv_1 \ kv_2, (s_1, s_2), (v_1, v_2))$

$cpg \llbracket RearrS \ f_1 \ f_2 \ bx \rrbracket(ks, kv, s, v) =$   
 $(ks, kv, s, v) \Leftarrow cpg \llbracket bx \rrbracket(f_1 \circ ks, kv, f_1 \ s, v);$   
 $(f_2 \circ ks, kv, s, v)$

$cpg \llbracket RearrV \ g_1 \ g_2 \ bx \rrbracket(ks, kv, s, v) =$   
 $(ks, kv, s, v) \Leftarrow cpg \llbracket bx \rrbracket(ks, g_1 \circ kv, s, g_1 \ v);$   
 $(ks, g_2 \circ kv, ks, g_2 \ v)$

$cpg \llbracket Case \ cond_{sv} \ cond_s \ bx_1 \ bx_2 \rrbracket(ks, kv, s, v) =$   
 $\text{if } cond_{sv} \ s \ v \ \&\& \ cond_s \ s$   
 $\text{then } (ks, kv, s', v') \Leftarrow cpg \llbracket bx_1 \rrbracket(ks, kv, s, v)$   
 $\text{else } (ks, kv, s', v') \Leftarrow cpg \llbracket bx_2 \rrbracket(ks, kv, s, v)$   
 $\text{fi } cond_s \ s' \ \&\& \ cond_{sv} \ s'v'; \text{ return } (ks, kv, s', v')$

$cpg \llbracket bx_1 \circ bx_2 \rrbracket(ks, kv, s, v) =$   
 $(ks_1, kv_1, s_1, v_1) \Leftarrow cpg \llbracket bx_1 \rrbracket(ks, id, s, construct\_dummy \ s);$   
 $(ks_2, kv_2, s_2, v_2) \Leftarrow cpg \llbracket bx_2 \rrbracket(kv_1, kv, v_1, v);$   
 $(ks_1 \circ ks_2, kv_2, ks_1 \ s_2, v_2)$

Apart from the last construction, the others are quite similar to the corresponding ones of *pg*, but have some updates over the source and the view on accumulative functions *ks* and *kv* respectively.



For  $cpg \llbracket bx_1 \circ bx_2 \rrbracket$ , there are only two  $cpg$  calls. The first call  $cpg \llbracket bx_1 \rrbracket$  requires parameter  $(ks, id, s, construct\_dummy\ s)$  where  $s$  and  $ks$  are corresponding to the source and the update over source. Because there is no real view here, we need to construct a dummy from the source. Then the continuation updating on this dummy should be initiated as an identity function. The first  $cpg$  call is assigned to a 4-tuple  $(ks_1, kv_1, s_1, v_1)$  where  $s_1$  is redundant because it is not used in the later evaluation process. Some computations to get  $s_1$  are seen as redundant. Such values and computations have negative impacts on the runtime as well as the memory allocation in the system. In the next assignment, a 4-tuple  $(ks_2, kv_2, s_2, v_2)$  is assigned by the second  $cpg$  call which uses the input as  $(kv_1, kv, v_1, v)$  where  $kv_1$  and  $v_1$  are obtained from the result of the first assignment, and  $kv$  and  $v$  come from the input. It is relatively similar to the second  $pg$  call assignment in  $pg \llbracket bx_1 \circ bx_2 \rrbracket$ . After two  $cpg$  calls, a function application,  $ks_1\ s_2$ , is used to produce the updated source instead of calling  $cpg \llbracket bx_1 \rrbracket$  one more time like in  $pg \llbracket bx_1 \circ bx_2 \rrbracket$ .

Suppose that we have a source  $s_0$  and a view  $v_0$ . The pair of the updated source and view  $(s, v)$  where  $s = put \llbracket bx \rrbracket\ s_0\ v_0$  and  $v = get \llbracket bx \rrbracket\ s_0$  can be obtained using  $cpg$  as follows:

$$s \Leftarrow s_0; v \Leftarrow v_0; (ks, kv, s, v) \Leftarrow cpg \llbracket bx \rrbracket (\lambda_.s, id, s, v);$$

$$(s; v)$$

In general, the begining of a continuation should be an identity function. However, to be able to use the function application to get the result of  $cpg \llbracket bx_1 \circ bx_2 \rrbracket$ , the accumulative function on the source  $s$  needs to be initiated as a constant function  $\lambda_.s$ .

Suppose the begining of continuations  $ks$  and  $kv$  are  $ks_0$  and  $id$  respectively. Let's consider  $cpg \llbracket phead_1 \circ phead_2 \rrbracket (ks_0, id, s, v)$  where  $s = [[1, 2, 3], [], [4, 5]]$  and  $v = 100$ . After the first two assignments in the definition of  $cpg$  for the composition, we have:  $ks_1 = f_2 \circ (con\ (fst \circ g_1 \circ id)\ (snd \circ f_1 \circ ks_0))$  and  $s_2 = [100, 2, 3]$  where  $f_1 = \lambda(s :: ss).(s, ss)$ ,  $f_2 = \lambda(s, ss).(s :: ss)$ ,  $g_1 = \lambda v.(v, ())$ .

Then:  $ks_1\ s_2 = (f_2 \circ (con\ (fst \circ g_1 \circ id)\ (snd \circ f_1 \circ ks_0)))\ s_2$

$$= f_2\ ((fst(g_1(id(s_2)))\ ,\ snd(f_1(ks_0(s_2))))\ )$$

$$= fst(g_1(id(s_2))) :: snd(f_1(ks_0(s_2))) = [100, 2, 3] :: snd(f_1(ks_0([100, 2, 3])))$$

If  $ks_0$  is an identity function,  $ks_1\ s_2 = [100, 2, 3] :: [2, 3]$ . This is an unexpected result when we target it to be the updated source. If  $ks_0 = \lambda_.s$  where  $s = [[1, 2, 3], [], [4, 5]]$ ,  $ks_1\ s_2 = [100, 2, 3] :: [[], [4, 5]] = [[100, 2, 3], [], [4, 5]]$ . This time, the result is what we want to see. Through the above example, using the continuation  $ks$  as a constant function at the beginning contributes to keep the unchanged parts in the source.

## 5 kpg

In the previous section, we have known that there are some variables which are evaluated but not used later when evaluating  $cpg \llbracket bx_1 \circ bx_2 \rrbracket$ . Now we introduce  $kpg$ , an extension of  $cpg$ , for keeping away such redundant computations. While  $cpg$  evaluate values eagerly,  $kpg$  does the opposite. Every values are evaluated

lazily in a computation of  $kpg$ . The input of  $kpg \llbracket bx \rrbracket$  is expanded to a 6-tuple  $(ks, kv, ks', kv', s, v)$  where  $ks$  and  $kv$  keep the modification information,  $s$  and  $v$  hold evaluated values, and  $ks'$  and  $kv'$  are used for lazy evaluation of actual values. The output of this function is also a 6-tuple  $(ks, kv, ks', kv', s, v)$ .

Suppose that we have a source  $s_0$  and a view  $v_0$ . The pair of the updated source and view  $(s, v)$  where  $s = put \llbracket bx \rrbracket s_0 v_0$  and  $v = get \llbracket bx \rrbracket s_0$  can be obtained using  $kpg$  as follows:

$$s \Leftarrow s_0; v \Leftarrow v_0; (ks, kv, ks', kv', s, v) \Leftarrow kpg \llbracket bx \rrbracket (\lambda \dots s, id, id, id, s, v);$$

$$(ks' \ s; kv' \ v)$$

The begining of accumulative functions  $ks'$  and  $kv'$  are set as identity, while  $ks$  and  $kv$  are initiated as the same with the corresponding ones in  $cpg$ .

**Definition 5.**  $kpg \llbracket bx \rrbracket (ks, kv, ks', kv', s, v)$

$$kpg \llbracket Skip \ h \rrbracket (ks, kv, ks', kv', s, v) =$$

$$s \Leftarrow ks' \ s; \quad v \Leftarrow kv' \ v; \quad ks' \Leftarrow id; \quad kv' \Leftarrow id;$$

$$if \ h \ s = v \ then \ (ks, kv, ks', kv', s, v) \ else \ fail$$

$$kpg \llbracket Replace \rrbracket (ks, kv, ks', kv', s, v) = (kv, ks, kv', ks', v, s)$$

$$kpg \llbracket bx_1 \times bx_2 \rrbracket (ks, kv, ks', kv', s, v) =$$

$$s \Leftarrow ks' \ s; \quad v \Leftarrow kv' \ v; \quad ks' \Leftarrow id; \quad kv' \Leftarrow id;$$

$$(ks_1, kv_1, ks'_1, kv'_1, s_1, v_1) \Leftarrow kpg \llbracket bx_1 \rrbracket (fst \circ ks, fst \circ kv, fst \circ ks', fst \circ kv', s, v);$$

$$(ks_2, kv_2, ks'_2, kv'_2, s_2, v_2) \Leftarrow kpg \llbracket bx_2 \rrbracket (snd \circ ks, snd \circ kv, snd \circ ks', snd \circ kv', s, v);$$

$$(con \ ks_1 \ ks_2, con \ kv_1 \ kv_2, con \ (ks'_1 \circ fst) \ (ks'_2 \circ snd),$$

$$con \ (kv'_1 \circ fst) \ (kv'_2 \circ snd),$$

$$(s_1, s_2), (v_1, v_2))$$

$$kpg \llbracket RearrS \ f_1 \ f_2 \ bx \rrbracket (ks, kv, ks', kv', s, v) =$$

$$(ks, kv, ks', kv', s, v) \Leftarrow kpg \llbracket bx \rrbracket (f_1 \circ ks, kv, f_1 \circ ks', kv', s, v);$$

$$(f_2 \circ ks, kv, f_2 \circ ks', kv', s, v)$$

$$kpg \llbracket RearrV \ g_1 \ g_2 \ bx \rrbracket (ks, kv, ks', kv', s, v) =$$

$$(ks, kv, ks', kv', s, v) \Leftarrow kpg \llbracket bx \rrbracket (ks, g_1 \circ kv, ks', g_1 \circ kv', s, v);$$

$$(ks, g_2 \circ kv, ks', g_2 \circ kv', s, v)$$

$$kpg \llbracket Case \ cond_{sv} \ cond_s \ bx_1 \ bx_2 \rrbracket (ks, kv, ks', kv', s, v) =$$

$$s \Leftarrow ks' \ s; \quad v \Leftarrow kv' \ v; \quad ks' \Leftarrow id; \quad kv' \Leftarrow id;$$

$$if \ cond_{sv} \ s \ v \ \&\& \ cond_s \ s$$

$$then \ (ks, kv, ks', kv', s', v') \Leftarrow kpg \llbracket bx_1 \rrbracket (ks, kv, ks', kv', s, v)$$

$$else \ (ks, kv, ks', kv', s', v') \Leftarrow kpg \llbracket bx_2 \rrbracket (ks, kv, ks', kv', s, v)$$

$$fi \ cond_s \ (ks' \ s') \ \&\& \ cond_{sv} \ s \ (kv' \ v'); \ return \ (ks, kv, ks', kv', s', v')$$

$$kpg \llbracket bx_1 \circ bx_2 \rrbracket (ks, kv, ks', kv', s, v) =$$

$$(ks_1, kv_1, ks'_1, kv'_1, s_1, v_1) \Leftarrow kpg \llbracket bx_1 \rrbracket (ks, id, ks', id, s, construct\_dummy \ s);$$

$$(ks_2, kv_2, ks'_2, kv'_2, s_2, v_2) \Leftarrow kpg \llbracket bx_2 \rrbracket (kv_1, kv, kv'_1, kv', v_1, v);$$

$$(ks_1 \circ ks_2, kv_2, ks_1 \circ ks'_2, kv'_2, s_2, v_2)$$

In the construction of  $kpg$ ,  $s$  and  $v$  hold actual values only in case of *Skip* and *Case*. Except them, the functions for computation will be kept in  $ks'$  and  $kv'$ . When evaluating  $kpg \llbracket bx_1 \circ bx_2 \rrbracket$ ,  $ks'_1$  and  $s_1$  in the result of the first assignment are still redundant but they are not evaluated. In  $kpg \llbracket bx_1 \ prod \ bx_2 \rrbracket$ , the evaluation of  $ks'$  and  $kv'$  will be done indendently in two assingments using  $kpg \llbracket bx_1 \rrbracket$

and  $kpg \llbracket bx_2 \rrbracket$ . There may be some recomputations in  $fst \circ ks'$  and  $snd \circ ks'$  as well as  $fst \circ kv'$  and  $snd \circ kv'$ . It is possible to evaluate actual values in  $s$  and  $v$  before calling  $kpg \llbracket bx_1 \rrbracket$  to remove the redundancy like that.

## 6 xpg

So far, when computing a composition, we only recursively call an unique function, either  $pg$  or  $cpg$  or  $kpg$ . To be more flexible, we make a new extension,  $xpg$ , allowing to call external functions.

**Definition 6.**  $xpg \llbracket bx \rrbracket(s, v)$

$xpg \llbracket bx \rrbracket(s, v) = \text{same with } pg \text{ if } bx \neq bx_1 \circ bx_2$

$xpg \llbracket bx_1 \circ bx_2 \rrbracket(s, v) =$

$$\begin{aligned} & (ks_1, kv_1, ks'_1, kv'_1, s_1, v_1) \leftarrow kpg \llbracket bx_1 \rrbracket(\lambda_.s, id, id, id, s, \text{construct\_dummy } s); \\ & (s_2, v_2) \leftarrow xpg \llbracket bx_2 \rrbracket(kv'_1 \ v_1, v); \\ & (ks_1 \ s_2, v_2) \end{aligned}$$

Similar to  $pg$ ,  $xpg \llbracket bx \rrbracket$  accepts a pair of the source and the view  $(s, v)$  to produce the new pair. The constructions of  $xpg \llbracket bx \rrbracket$  when  $bx$  is not a composition are the same as the ones of  $pg \llbracket bx \rrbracket$ . Note that,  $xpg$  is called recursively instead of  $pg$ . For  $xpg \llbracket bx_1 \circ bx_2 \rrbracket$ , we use two function calls and a function application to calculate the result. The first call and the function application come from  $kpg$  approaches, while the second call is based on  $pg$  approach.

## 7 Experiments

This section describes the experiments involving *minBiGUL*,  $pg$ ,  $cpg$ ,  $kpg$  and  $xpg$ . The evaluation time and the memory allocation are considered in each test.

### 7.1 Experiment environment & Test cases

We implemented 5 approaches with OCaml 4.07.1 in the same environment as follows: macOS 10.14.6, processor Intel Core i7 (2.6 GHz), RAM 16 GB 2400 MHz DDR4. The OCaml runtime system options and garbage collection parameters are set as default.

We also conducted tests on 5 cases, including many composition styles such as left or right associative, non-recursive or recursive. Table 1 shows more details on these cases.

In table 1,  $s_r$  and  $v_r$  are respectively updated source and view which are produced by applying *put* and *get* on original source  $s_0$  and original view  $v_0$ . This means:  $s_r = put \llbracket bx \rrbracket s_0 \ v_0$  and  $v_r = get \llbracket bx \rrbracket s_0$ , where  $bx$  is one of the 5 cases mentioned in the table. Note that the results  $s_r$  and  $v_r$  do not depend on the associative style of the composition. The first four test cases simply use  $n$  compose operators to make a composition of  $n+1$  similar *BX* programs which are non-recursive. For example, *lassoc-comp-replace*, left-associative composition of *Replaces*, looks like

**Table 1.** Test cases

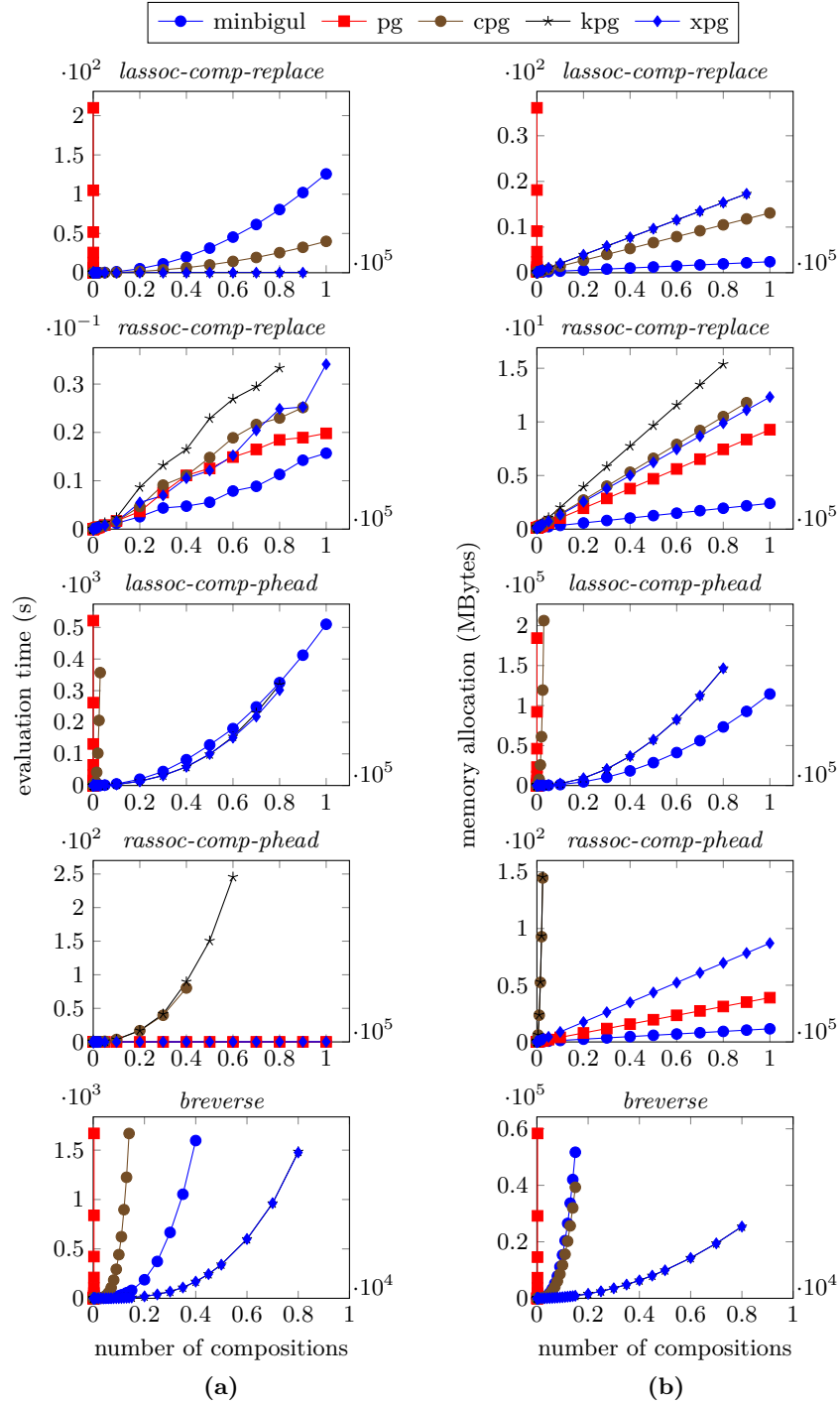
No	Name	Associative	Recursive	Input		Output	
				$s_0$	$v_0$	$s_r$	$v_r$
1	lassoc-comp-replace	left	no	1	100	100	1
2	rassoc-comp-replace	right	no	1	100	100	1
3	lassoc-comp-phead	left	no	$\underbrace{[[\dots [1] \dots]]}_{n+1 \text{ times}}$	100	$\underbrace{[[\dots [100] \dots]]}_{n+1 \text{ times}}$	1
4	rassoc-comp-phead	right	no	$\underbrace{[[\dots [1] \dots]]}_{n+1 \text{ times}}$	100	$\underbrace{[[\dots [100] \dots]]}_{n+1 \text{ times}}$	1
5	breverse	left/right	yes	$[1..n]$	$[1..n]$	$[n..1]$	$[n..1]$

$(\dots ((Replace \circ Replace) \circ Replace) \circ \dots \circ Replace) \circ Replace$ , while *rassoc-comp-replace*, right-associative composition of *Replaces*, is like  $Replace \circ (Replace \circ (Replace \circ \dots \circ (Replace \circ Replace) \dots))$ , where there are  $n + 1$  *Replaces* in each case. The last test case, *breverse*, is defined in terms of *bFoldr*, a putback function for *foldr* which is an important higher-order function on lists. This case is more natural than the other since *bFoldr* is a Case analysis whose a branch contains a composition  $bx_1 \circ bx_2$  where  $bx_1$  is a product of a simple function and *bFoldr*. It will look like a composition of more BX programs at some point if we slow down the evaluation. Note that  $\circ$  has a higher priority than  $\times$ . This leads to seemingly impossible to transform *bReverse* as well as *bFoldr* from left-associative style to right-associative style.

## 7.2 Results and discussions

Firstly, from definitions of *put*, *cpg*, *kpg* and *xpg*, we have  $No(cpg) = No(kpg) = No(xpg) = No(put)$  where  $No(f)$  is the number of function call  $f$ . Here we consider that  $No(xpg)$  in evaluating  $xpg \llbracket bx_1 \circ bx_2 \rrbracket$  includes both the number of *kpgs* which are used in  $kpg \llbracket bx_1 \rrbracket$  and the number of *xpgs* which are used in  $xpg \llbracket bx_2 \rrbracket$ . For right-associative and non-recursive compositions (*rassoc-comp-replace* and *rassoc-comp-phead*),  $No(get)$ ,  $No(put)$  and  $No(pg)$  are linear. For left-associative and non-recursive compositions (*lassoc-comp-replace* and *lassoc-comp-phead*),  $No(put)$  is still linear while  $No(get)$  is quadratic and  $No(pg)$  is under an exponential distribution. For recursive composition (*breverse*), all three are nonlinear. The appendix will provide more complete insights about those statements. Now we take a closer look at the empirical results.

Fig 1a illustrates the evaluation times in 5 test cases. *pg* times are always exponential for both left-associative compositions (*lassoc-comp-replace*, *lassoc-comp-phead*) and recursive compositions (*breverse*) since  $No(pg)$  in each case is under an exponential distribution. For left-associative and non-recursive compositions, *kpg* times are approximate to *xpg* times, and they are the most efficient. In case of *lassoc-comp-replace*, *kpg* time and *xpg* time are linear, *cpg* time and *minBiGUL* time are nonlinear. They are quite reasonable because the data sizes are constant,  $No(get)$  is quadratic for *minBiGUL* while there is a linear quantity of



**Fig. 1.** Experimental results (a) evaluation time (b) memory allocation

redundant evaluations in *cpg*. In case of *lassoc-comp-phead*, since the data sizes are linear and  $\text{No}(f)$  are either linear or quadratic or exponential, all times are nonlinear. For right-associative and non-recursive compositions, *minBiGUL*, *pg* and *xpg* times are linear. In case of *rassoc-comp-replace*, all times are small enough to consider all them as linear. In case of *rassoc-comp-phead*, both *cpg* and *kpg* times are quadratic because the data sizes are linear and the number of redundant evaluations are also linear. For recursive compositions (*breverse*), all times should be nonlinear since there is no linear number of function calls.

Fig 1b shows the memory usage which depends on the input size and number of compositions. In general, *minBiGUL* uses less memory than the others for non-recursive compositions (all tests except *breverse*). *pg* only uses memory efficient when handling right-associative compositions. Also for evaluating these compositions, both *cpg* and *kpg* are worst in memory allocation. For recursive compositions (*breverse*), *minBiGUL* uses significantly more memory than *kpg* as well as *xpg*.

## 8 Related Work

**BX languages and implementations** There are many BX languages [4] [6] [7] [8] [9] [10] (memo: BXtend, eMoflon, EVL+Strace, JTL, NMF. SDMLib should be added?) based on several application scenarios and BX researches [] (add papers for lenses). They mostly focus on the theory side, such as semantics and correctness, and do not focus on efficiency so much. For practical implementation of BX languages Anjorin et. al. introduces the first benchmark for BX [2]. We can say this paper will be first attempt to improve efficiency of BX composition evaluation. In this paper we focus on BiGUL [4] [5], its implementation uses “not keeping any intermediate states and obtaining them by evaluation when they are needed” strategy. Other BX languages might have the same problem, and our approach can be applicable.

**Optimization techniques** In this paper, we use several optimization techniques: tupling, lazy update, and lazy computation (**also fusion?**). Tupling [3] is an optimization technique by combining several computations. Lazy update .. delta lenses?

Lazy computation [] is also an old but effective widely-used technique.

- This part looks just short introduction of techniques ..

## 9 Conclusion and Future Work

In this paper we focus on efficiency of composition of BX programs. The essential finding comes from the idea of tupling: in very-well behaved BX programs we can use *put* as a compliment function for *get*, and vice versa. Based on the idea, we introduced *pg*, and improved it by several optimization techniques. From

experimental results, our fastest approach *xpg* is faster than other approaches for non purely right associative compositions. For right associative compositions, the original approach (miniBiGUL) is faster than *xpg* because *xpg* has some overhead cost. However this is not a problem, because usually programs are mix of left and right associative. If programmers know that their programs are purely right associative, they can choose miniBiGUL.

We will continue our work on the following points. First, our target language is limited to very-well behaved, because our main idea requires the put-put property. However, for practical programs, we need to extend our work to overcome the current limitations.

- Extend our approach – overcome our limitations
  - treat well-behaved programs – How to treat adaptive cases
  - In case expressions, the programs that use the different paths (put and get)
- How to obtain dummy?
- Type system & Typing – for safety

TODO: [FIX reference, order, contents ..](#)

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