# ADL x MLDS 2017 Fall HW3 - Game Playing

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#### **Outline**

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- Deep Q-Learning (DQN)
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#### Introduction

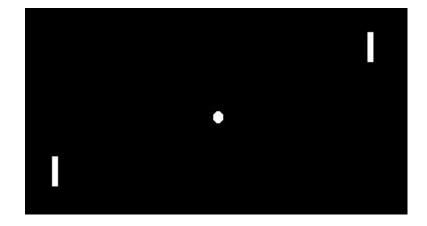
# **Game Playing**

- Implement an agent to play Atari games using Deep Reinforcement Learning
- In this homework, you are supposed to implement
  Policy Gradient and Deep Q-Learning (DQN)

## Introduction

# **Environment**

#### Pong



https://gym.openai.com/envs/

#### **Breakout**



# **Policy Gradient**

#### REINFORCE algorithm:



- 1. sample  $\{\tau^i\}$  from  $\pi_{\theta}(\mathbf{a}_t|\mathbf{s}_t)$  (run it on the robot)
- 2.  $\nabla_{\theta} J(\theta) \approx \sum_{i} \left( \sum_{t} \nabla_{\theta} \log \pi_{\theta}(\mathbf{a}_{t}^{i} | \mathbf{s}_{t}^{i}) \right) \left( \sum_{t} r(\mathbf{s}_{t}^{i}, \mathbf{a}_{t}^{i}) \right)$ 
  - 3.  $\theta \leftarrow \theta + \alpha \nabla_{\theta} J(\theta)$

# **REINFORCE** Baseline

- 1. Training loop(simplest version):
  - a. Play until a game is over(one player gets 21 points) with policy network  $\pi_{\theta}$  and store (s,a,r) tuples into memory m.
  - b. Discount and normalize rewards in memory into r to reduce variance
  - c. Approximate gradient  $\nabla_{\theta}J(\theta) \approx \sum_{(s_t,a_t,r_t')\in m} \nabla_{\theta}\log \pi_{\theta}(a_t|s_t)r_t'$
  - d.  $\theta \leftarrow \theta + \alpha \nabla_{\theta} J(\theta)$
  - e. Clear the memory m
- 2. Tips:
  - a. The trajectory length varies from game to game, hence sum the gradient instead of averaging it.
  - b. Feed  $s_t = s_t s_{t-1}$  into policy network, where  $s_t$  comes from environment at time step t and  $s_0 = s_0$
  - c. When one player gets point, reset the running add of discounted reward to zero

# Deep Q-Learning (DQN)

"classic" deep Q-learning algorithm:



- 1. take some action  $\mathbf{a}_i$  and observe  $(\mathbf{s}_i, \mathbf{a}_i, \mathbf{s}_i', r_i)$ , add it to  $\mathcal{B}$
- 2. sample mini-batch  $\{\mathbf{s}_j, \mathbf{a}_j, \mathbf{s}'_i, r_j\}$  from  $\mathcal{B}$  uniformly
- 3. compute  $y_j = r_j + \gamma \max_{\mathbf{a}'_j} Q_{\phi'}(\mathbf{s}'_j, \mathbf{a}'_j)$  using target network  $Q_{\phi'}$
- 4.  $\phi \leftarrow \phi \alpha \sum_{j} \frac{dQ_{\phi}}{d\phi}(\mathbf{s}_{j}, \mathbf{a}_{j})(Q_{\phi}(\mathbf{s}_{j}, \mathbf{a}_{j}) y_{j})$
- 5. update  $\phi'$ : copy  $\phi$  every N steps

# Improvements to Policy Gradient (BONUS)

- Variance Reduction
- Advanced Advantage Estimation
- Off-policy learning by Importance Sampling
- Natural Policy Gradient
- Trust Region Policy Optimization
- Proximal Policy Optimization

http://rll.berkeley.edu/deeprlcourse/f17docs/lecture\_4\_policy\_gradient.pdf http://rll.berkeley.edu/deeprlcourse/f17docs/lecture\_13\_advanced\_pg.pdf

# Improvements to DQN (BONUS)

- Double Q-Learning
- Dueling Network
- Prioritized Replay Memory
- Multi-Step Learning
- Noisy DQN
- Distributional DQN

# **Grading Policy**

- Baseline (6%)
- Policy Gradient (3%)
  - Getting averaging reward in 30 episodes over **7** in **Pong**
- DQN (3%)
  - Getting averaging reward in 100 episodes over **50** in **Breakout**
- Report (10%)
- Bonus (4%)

# Baseline (6%)

- Policy Gradient (3%)
  - Getting averaging reward in 30 episodes over **7** in **Pong**
  - Without OpenAl's Atari wrapper & reward clipping
  - Improvements to Policy Gradient are allowed, including Actor-Critic series
- DQN (3%)
  - Getting averaging reward in 100 episodes over 50 in Breakout
  - With OpenAl's Atari wrapper & reward clipping

### **Code Format**

- Please download the sample files from github
- Follow the instructions in README to install required packages
- **Four** functions you should implement in agent\_[pg|dqn].py
  - 1. \_\_init\_\_(self, env, args)
  - 2. init\_game\_setting(self)
  - 3. train(self)
  - 4. make action(self, state, test)
- **DO NOT** add any parameter in \_\_init\_\_(), init\_game\_setting() and make\_action()
- You can add new methods in the agent\_[pg|dqn].py
- You can add your arguments in argument.py

# **Report (10%)**

- Basic Performance (6%)
  - Describe your Policy Gradient & DQN model (1% + 1%)
  - Plot the learning curve to show the performance of your Policy Gradient on Pong (2%)
  - Plot the learning curve to show the performance of your DQN on Breakout (2%)
  - X-axis: number of time steps
  - Y-axis: average reward in last 30 episodes

# **Report (10%)**

- Experimenting with DQN hyperparameters (4%)
  - Choose one hyperparameter of your choice and run at least three other settings of this hyperparameter
  - You should find a hyperparameter that makes a nontrivial difference on performance
  - Plot all four learning curves in the same graph (2%)
  - Explain why you choose this hyperparameter and how it effect the results (2%)
  - Candidates: learning rate, gamma, network architecture, exploration schedule/rule, target network update frequency, etc.

# **Bonus (4%)**

- You can train on any environment to show your results
- Improvements to Policy Gradient (2%)
  - Implement at least two improvements to Policy Gradient (p.8) and describe why they can improve the performance (1%)
  - Plot a graph to compare and analyze the results with and without the improvements (1%)
- Improvements to DQN (2%)
  - Implement at least two improvements to DQN (p.9) and describe why they can improve the performance (1%)
  - Plot a graph to compare and analyze the results with and without the improvements (1%)
- Implement other advanced RL method, describe what it is and why it is better (2%)
  - Ex: Actor-Critic, A2C, A3C, ACKTR
- Up to 4 bonus points

## Late submission

- Please fill the <u>late submission form</u> first only if you will submit HW late
- Please push your code before you fill the form
- There will be 25% penalty per day for late submission, so you get 0% after four days
- You get 0% if the required files has bug.
  - If the error is due to the format issue, please come to fix the bug at the announced time, or you will get 10% penalty afterwards.

## **Submission**

- Deadline: 2017/12/16 23:59 (GMT+8)
- Your github **MUST** have 5 files under directory hw3/
  - agent\_dir/agent\_pg.py
  - agent\_dir/agent\_dqn.py
  - [saved model file] \* 2
  - report.pdf
  - argument.py (optional)
  - README (optional)
  - download.sh (optional)
  - other files you need
- If your model is too large for github, upload it to a cloud space and write download.sh to download the model
- Do not upload any file named the same with other sample codes

# **Grading**

- Please use Python with version >= 3.5
- The TAs will execute 'python3 test.py --test\_pg --test\_dqn'to run your code
- The execution should be done within 10 minutes, excluding model download
- Allowed packages:
  - PyTorch v0.2.0
  - Tensorflow r1.3
  - Keras 2.0.7 (Tensorflow backend only)
  - MXNet 0.11.0
  - CNTK 2.2
  - Numpy
  - Pandas
  - Python Standard Lib
- If you use other packages, please ask for permission first !!!

#### **Related Materials**

- Course & Tutorial:
  - Berkeley Deep Reinforcement Learning, Fall 2017
  - David Silver RL course
  - Nips 2016 RL tutorial
- Blog:
  - Andrej Karpathy's blog
  - Arthur Juliani's Blog
- Text Book:
  - Reinforcement Learning: An Introduction

#### **TA Information**

#### **TA hours**

- 有問題請利用TA hours、信箱或FB社團,請不要FB私訊助教!!
- If you have other questions,
  - please contact TAs via <u>adlxmlds@gmail.com</u>
  - post your questions on <u>facebook group</u>
  - go to TA office hours
    - 陳璽安 Thur 14:00-15:30 (徳田536)
    - 王耀賢 Fri 16:00-17:30 (電二531) (11/30開始)
    - 葉奕廷 Mon 10:30-12:00 (徳田524) (12/4請假)