

**The problem of thermalization.** Let  $\{|n\rangle\}_n$  be the set of normalized eigenstates for  $\hat{H}$  with energies  $E_n$ , then the state evolves  $|\psi\rangle \rightarrow |\psi(t)\rangle$  as

$$|\Psi(t)\rangle = \sum_n e^{-iE_n t} |n\rangle, \quad c_n = \langle n|\Psi\rangle.$$

However for observable  $\hat{O}$

$$\langle \hat{O}(t) \rangle = \langle \Psi(t) | \hat{O} | \Psi(t) \rangle = \sum_{n,n'} e^{it(E_n - E_{n'})} \bar{c}_n c_{n'} \langle n | \hat{O} | n' \rangle.$$

If a stationary value exists, this must be

$$\lim_{t \rightarrow \infty} \langle \hat{O}(t) \rangle = \lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t d\tau \langle \hat{O}(\tau) \rangle = \sum_n |c_n|^2 \langle n | \hat{O} | n \rangle = \text{tr} [\hat{\rho}_{\text{diag}} \hat{O}], \quad \hat{\rho}_{\text{diag}} \propto \sum_n c_n |c_n|^2 |n\rangle \langle n|,$$

with  $\text{tr} \hat{\rho}_{\text{diag}} = 1$ , which must be compared with the thermal ensemble

$$\hat{\rho}_{\text{th}} = \frac{1}{Z_\beta} e^{-\beta \hat{H}} = \frac{1}{Z_\beta} \sum_n e^{-\beta E_n} |n\rangle \langle n|.$$

We see that a thermal ensemble is attained if  $|c_n|^2 = \frac{1}{Z} e^{-\beta E_n}$ . The projectors on the eigenstates  $P_n = |n\rangle \langle n|$  are conserved quantities, so thermalization seems impossible.

**The importance of locality.** Consider Hamiltonian in the form

$$\hat{H} = \sum_j \hat{h}_j,$$

with  $\hat{h}_j$  an operator that acts non trivially on a finite range around the lattice  $j$ .

We also ask all the connected correlators to vanish

$$\lim_{|j-j'| \rightarrow \infty} \left( \langle \hat{O}_j \hat{O}_{j'} \rangle - \langle \hat{O}_j \rangle \langle \hat{O}_{j'} \rangle \right),$$

this is the definition of the cluster property.

**Conservation laws and locality.** According to different conserved charges  $\{\hat{H}_j\}$ , the entropy maximization constrained to the knowledge of the expectation values of  $\hat{H}_j$  gives a generalization of the Gibbs ensemble

$$\hat{\rho} = \frac{1}{Z} e^{-\sum_j \beta_j \hat{H}_j}.$$

To proof it we can

$$F[\hat{\rho}] = S[\hat{\rho}] + \lambda (\text{tr} \hat{\rho} - 1) - \sum_j \beta_j (\text{tr} \hat{\rho} \hat{H}_j - E_j),$$

where  $\lambda$  is a Lagrange multiplier to impose the normalization  $\text{tr} \hat{\rho} = 1$  and  $\beta_j$  are the Lagrange multipliers associated with the charges.

$$\delta F[\hat{\rho}] = -\text{tr} (\mathbb{1} + \ln \hat{\rho}) \delta \hat{\rho} + \lambda \text{tr} \delta \hat{\rho} - \sum_j \beta_j \text{tr} \hat{H}_j \delta \hat{\rho} \stackrel{(1)}{=} \text{tr} \left[ \left( \mathbb{1}(\lambda - 1 + \ln Z) + \sum_j \beta_j \hat{H}_j - \sum_j \beta_j \hat{H}_j \right) \delta \hat{\rho} \right] = 0,$$

with  $\stackrel{(1)}{=}$  we substitute  $\rho = \frac{1}{Z} e^{-\sum_j \beta_j \hat{H}_j}$ .