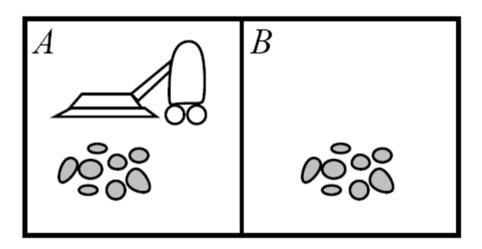
Part 2: Solving Problems by Searching



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"Motivation": The Vacuum Cleaner World



"World": two rooms A, B that may contain dirt

Agent: a vacuum cleaner

Percepts (observations): location and contents (e.g., [A,dirty])

Actions: GoLeft, GoRight, Suck, (NoOp)

Goal: world should be clean

TASK: Write an agent program that, starting in some world configuration *S*, plans action sequences that lead to achievement of the goal

Overview

Problem solving as search for appropriate action sequences

Definition of search problem

Some simple toy problems

A generic tree search algorithm schema

(Digression: Computational Complexity)

Five uninformed ('blind') search strategies:

- Breadth-First Search
- Uniform-Cost Search
- Depth-First Search (Backtracking Search)
- Depth-limited Search
- Iterative Deepening Search

Solving Problems by Planning Action Sequences

Observations:

- Simple Reflex Agents are too limited to solve real problems:
- Direct mapping from percept sequences to actions is too large to store
- Lack of flexibility and autonomy

More promising: Goal-based Problem Solving Agent

- Wants to achieve a goal
- Can execute a fixed (finite) set of actions
- Knows about the consequences of its actions*
- Tries to find sequences of actions that lead to desirable states (goals)
 - → no hard-wired program that prescribes every step

→ PROBLEM SOLVING as SEARCH for action sequences

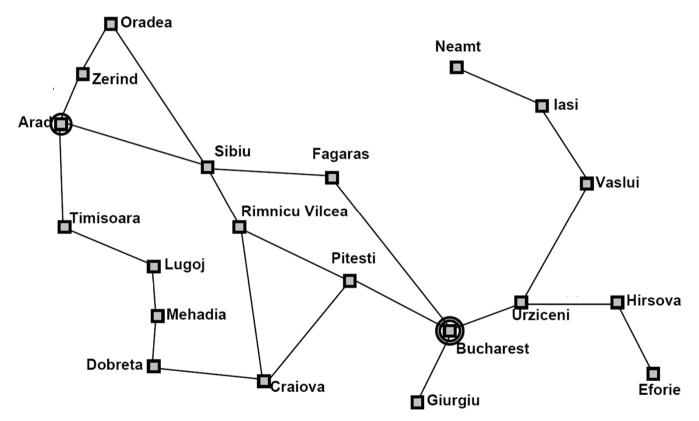
Note: For the moment, assume "offline" problem solving: solution is computed once, then executed "with eyes closed"; percepts (other than starting state) are ignored. Assumes that the world is deterministic and actions always produce the desired result

Problem Solving as Search

- The "Problem Solving as Search" paradigm may be one of the most fundamental contributions of (early) Al research to computer science
- Al has developed a large number of different search methods –
 e.g., "uninformed" search, "heuristic" search, "local" search,
 stochastic/probabilistic search (e.g., genetic/evolutionary algorithms), ...
- Many of these are now considered standard computer science algorithms
- Many tasks can be formulated as search problems
- Crucial: appropriate formulation of the task as a search problem
- Search methods are generic, i.e., independent of a particular task
 - → widely and directly applicable

Example: Travelling in Romania – Finding a Way from Arad to Bucharest 1)

Map:



Represented as:

Arad → Zerind

Zerind → Arad

Arad → Sibiu

Arad → Timisoara

Zerind → Oradea

Timisoara → Lugoj

Oradea → Sibiu

. . . .

¹⁾ from: Russell & Norvig, 2000

Definition: Well-defined Search Problems

A search problem can be formally defined by five components:

- the complete set of possible world states S (e.g., $\{in(Arad), in(Zerind), ...\}$) (terminology: "world states" = whatever is known (through percepts) about the world at a given point in time)
- for each state s, all possible actions that can be taken in s, and their effects
 (e.g., in the form of a successor function Succ(s) that gives, for each state s,
 a set of pairs <action, resultstate> :
 Succ(Arad) = {<Arad→Zerind, in(Zerind)> , <Arad→Sibiu, in(Sibiu)> , ...}, ...

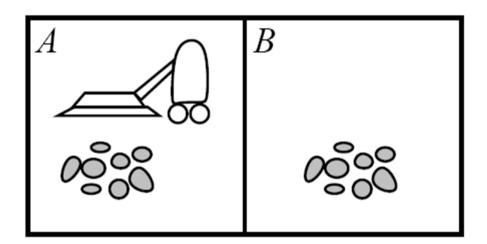
```
• the starting (initial) state (e.g., in(Arad))
```

- a goal test (a definition of which states constitute goals); can be
 - explicit (direct description of desired state), e.g., x = in(Bucharest)
 - implicit (needs to be computed), e.g., checkmate(x)
- **costs** c(x,a) of taking action a in state x (must be non-negative / greater than zero)

Search Problems: Basic Concepts

- State space = set of all possible states that can be reached from the initial state (defined by initial state and successor function)
- The state space forms a graph
- A path in the state space = sequence of states connected by actions
- A solution to a problem is a path from the initial state to a goal state
- The cost of a solution is the sum of the action costs along the solution path
- An optimal solution is a solution with minimum cost
- Search = process of finding a (possibly optimal) solution in the state space
- Search generally proceeds by creating (explicitly or implicitly) a search tree, exploring a (usually very small) subgraph of the state space graph

Some Simple Example Problems ("Toy Problems"): 1. The Vacuum Cleaner World



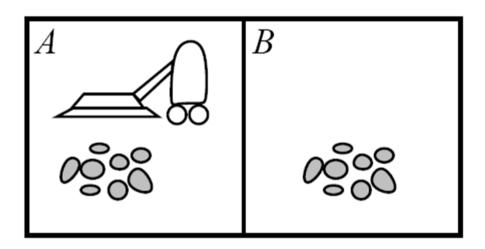
"World": two rooms A, B that may contain dirt

Agent: a vacuum cleaner

Percepts (observations): location and contents (e.g., [A,dirty])

Actions: GoLeft, GoRight, Suck **Goal**: world should be clean

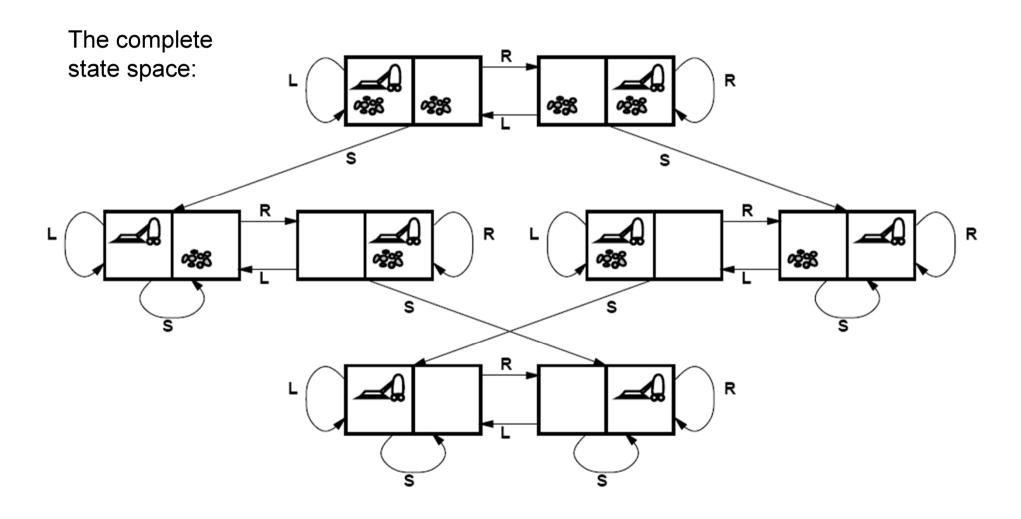
Some Simple Example Problems ("Toy Problems"): 1. The Vacuum Cleaner World



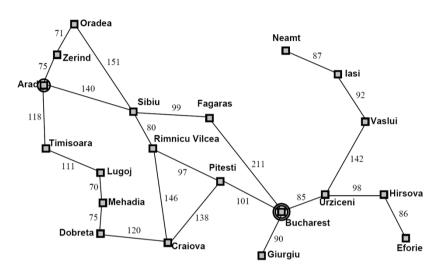
Formalisation as a Search Problem:

- States: triplets <RobotLoc, DirtyOrNotA, DirtyOrNotB>; 2 rooms, each may or may not contain dirt → 2 x 2 x 2 = 8 possible world states
- Initial state: any of the states could be the initial state
- Actions: Left (L), Right (R), Suck (S)
- Successor Function: defines effects of actions (see next slide)
- **Goal Test:** State = <A, clean, clean> or <B, clean, clean>
- Action costs: each step costs 1 → optimal solution = shortest path

Some Simple Example Problems ("Toy Problems"): 1. The Vacuum Cleaner World



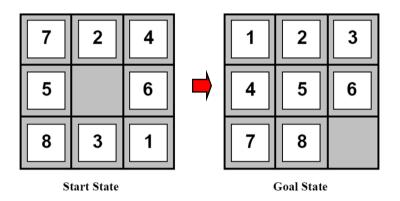
Some Simple Example Problems ("Toy Problems"): 2. The "Traveling in Romania" World



Formalisation as a Search Problem:

- States: the agent is in one of N cities \rightarrow N possible world states in(x)
- Initial state: in(Arad)
- Actions: $x \rightarrow y$, where x is the current city, and y is a city reachable from x via a direct connection
- Successor Function: defines all the roads
- **Goal Test:** State = in(Bucharest)
- Action costs: length of road from x to y \rightarrow path cost = total trip length

Some Simple Example Problems ("Toy Problems"): 3. The 8-Puzzle



Formalisation as a Search Problem:

- **States:** a state description specifies the location of each of the eight tiles and the blank in one of the 9 squares
 - → size of state space: 9!/2 = 181.440 reachable states! (4x4 15-puzzle: 1.300.000.000 ...)
- Initial state: any of the states could be the initial state
- **Actions:** MoveBlankLeft (L), MBRight (R), MBUp (U), MBDown(D)
- Goal Test: true if numbers obey a certain ordering
- Action costs: each step costs 1 → want to find shortest solution

Real-World Examples of Search Problems

- Route Finding
- Robot Navigation and Action Planning
- Automatic Assembly Sequencing, Scheduling
- Protein Design
- Generally: Millions of Optimisation/Configuration Problems
- Internet Searching
- Logical Reasoning (!)
- Learning (!)
- ...

... any problem that can be formalised as finding a sequence of operations to reach a desired state or produce a desired configuration.

Problem Solving as Search

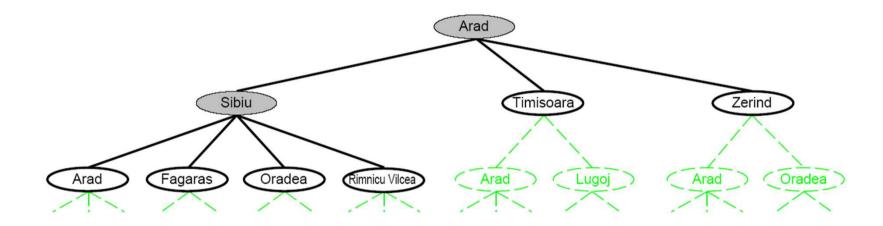
Basic idea of a general search process (simplified):

- start at initial state
- 2. "expand" the current state: try (all) possible actions applicable to current state, producing new states
- 3. check if one of the new states is a goal
- 4. if yes → solution found
- 5. if no → select one of the as yet unexpanded states for new search step
- 6. go to 2.
- → Search process produces a Search Tree
- Search Node: a node in the search tree (i.e., a state with extra information)
- Expanding a node/state = generating a new set of states by applying the successor function to the node (i.e., trying out all actions that could be taken in the current state)
- Search Strategy: method for deciding which node to expand next

Search Trees

Two more concepts:

- Leaf Node = node that has been generated, but not yet expanded
- "Fringe" = set of all current leaf nodes

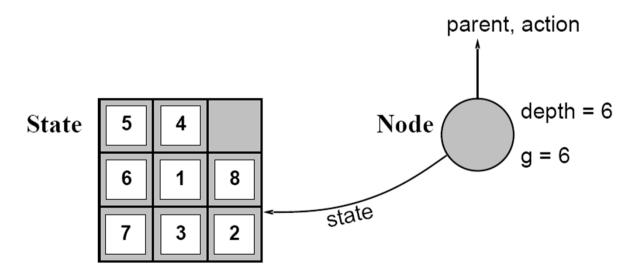


- **→ expanded** = {Arad, Sibiu}
- → fringe = {Arad, Fagaras, Oradea, Rimnicu, Timisoara, Zerind}

Implementation of Search Nodes

Node = data structure with 5 components:

- State: the state in state space to which the node corresponds
- Parent-Node: the node in the search tree that generated this node
- Action: the action that was applied to the parent to generate the node
- Path-Cost: the cost g(n) of the path from the initial state to the node n (the path is given by the parent pointers)
- Depth: the number of steps along the path from the initial node



Search Strategy =

Specific way of selecting next node to expand (from fringe)

Implement fringe as a queue data structure

Operations on a queue:

- Make-Queue(element,...)
- Empty?(queue)
- First(queue)
- Remove-First(queue)
- Insert(element, queue) •
- Insert-All(element, ..., queue)

this is the operation that distinguishes different types of queues ..

A Generic Tree Search Algorithm

```
function TREE-SEARCH (problem, fringe) returns a solution, or failure
   fringe \leftarrow Insert(Make-Node(Initial-State[problem]), fringe)
   loop do
       if fringe is empty then return failure
       node \leftarrow \text{Remove-Front}(fringe)
       if GOAL-TEST(problem, STATE(node)) then return node
       fringe \leftarrow InsertAll(Expand(node, problem), fringe)
```

Evaluation Criteria for Search Strategies

1. Completeness:

Is the algorithm guaranteed to find a solution if one exists?

2. Optimality:

Is the strategy guaranteed to find the optimal solution?

3. Time Complexity:

How long does it take to find a solution?

4. Space Complexity:

How much memory is needed to perform the search?

A Short Digression: Computational Complexity Analysis, O(·) Notation, NP Hard Problems

Complexity Analysis of Algorithms:

Most important questions:

- 1. How long does algorithm A take to solve problem P? ("time complexity")
- 2. How much space (memory) does algorithm *A* require to solve *P*? ("space complexity")

Complexity Analysis of Algorithms

Two approaches to determining time and space complexity:

- 1. **Benchmarking** run the algorithm on problem P (a specific implementation, on a specific machine, given a specific input) and measure the time taken (ms) and space used (bytes)
 - only very specific information
- 2. **Asymptotic Analysis** abstract mathematical analysis of how many "computation steps" (time) and "storage units" (space) the algorithm will (roughly) need, given not a specific input, but any input of "size" *n*
 - → independent of implementation details
 - \rightarrow independent of specific input tells us how algorithm behaves on a whole *class* of problems (e.g., "sorting lists of length n")
 - → tells us how computation costs grow with problem size

Asymptotic Analysis

```
function SUMMATION(sequence) return a number sum \leftarrow 0
for i \leftarrow 1 to LENGTH(sequence)
sum \leftarrow sum + sequence[i]
return sum
```

- **Step 1:** abstract over the input: find a parameter that characterises the size of the input Example: n = length of the sequence
- **Step 2:** abstract over the algorithm: find some (implementation-independent) measure that reflects the running time of the algorithm

Example: number of lines of code executed (or number of additions performed)

Step 3: analyse the algorithm to find a formula T that computes the total number of steps taken by the algorithm as a function of the size of the input Example: T(n) = 2n + 2 (lines of code)

Asymptotic Analysis

Problems with exact analysis:

- 1. precise number of steps may be different for different inputs
 - ightharpoonup usually only possible to compute worst case (T_{worst}) or average case complexity (T_{avg})
- 2. often impossible to perform exact analysis of the algorithm
 - \rightarrow use "order approximation": "SUMMATION algorithm is O(n)" Meaning: "its measure T(n) is at most some constant factor times n (with the possible exception of a few small values of n)"

Def.: Order of a function (complexity in "Big O Notation"):

T(n) is O(f(n)) if $T(n) \le k \cdot f(n)$ for some constant k, for all $n > n_0$

Ex.: QUICKSORT is $O(n^2)$ in the worst case, and $O(n \log n)$ in the average case

This is called **asymptotic analysis** (tells us how T grows as n grows)

Complexity Analysis of Problems

Problem complexity analysis:

- analyses the complexity of problems / problem classes (rather than specific algorithms)
- independent of a specific algorithm (i.e., no algorithm can do better in the worst case)

Examples:

- computing a random number between 0 and n is O(1)
- finding a word in a dictionary of n words is $O(\log n)$
- computing the maximum in a list of n numbers is O(n)
- sorting a list of *n* numbers is $O(n^2)$
- determining whether two graphs with n vertices are isomorphic is $O(c^n)$ (for some constant c)

Commonly Encountered Orders of Functions / Complexities

notation	name		
<i>O</i> (1)	constant		
$O(\log n)$	logarithmic	"tractable"	
$O([\log n]^c)$	polylogarithmic	ctak	
O(n)	linear	,tra	
$O(n \log n)$	"linearithmic", quasilinear, supralinear	•	
$O(n^2)$	quadratic		
$O(n^c), c > 1$	polynomial, sometimes called "algebraic"		
$O(c^n)$	exponential, sometimes called "geometric"		
O(n!)	factorial	ntractable	
		intra,	

Problem Classes in Algorithmic Complexity Theory

P – The class of polynomial problems:

- solvable in time polynomial in $n O(n^c)$ for some constant c
- considered ",easy" or ",solvable" (",tractable")
 - -- contains those problems with running times like O(n) or $O(n \log n)$
 - -- but also those with complexity $O(n^{1000})$...

NP – The class of non-deterministic polynomial problems:

- definition: a problem is in NP if there is some algorithm that can guess a solution and then verify, in polynomial time, whether the solution is correct
- general belief in complexity theory (though still not proved):
 - *NP* ≠ *P*
 - NP contains many problems with exponential complexity ($\geq O(c^n)$)
- exponential problems are intractable for even medium-sized inputs

Unfortunately, many of the interesting problems are in NP ...

Evaluation Criteria for Search Strategies

1. Completeness:

Is the algorithm guaranteed to find a solution when one exists?

2. Optimality:

Is the strategy guaranteed to find the optimal solution?

3. Time Complexity:

How long does it take to find a solution?

4. Space Complexity:

How much memory is needed to perform the search?

- → Problem Size is expressed in terms of 3 quantities:
 - b ... branching factor (max. number of successors of any node)
 - d ... depth of the shallowest goal node (= length of minimum length solution)
 - *m* ... maximum length of any path in the state space (may be infinite)

Time and space are measured in terms of the number of nodes generated

Five Uninformed (Blind) Search Strategies

- Breadth-First Search
- Uniform-Cost Search
- Depth-First Search (Backtracking Search)
- Depth-limited Search
- Iterative Deepening Search

"uninformed" / "blind":

- Search strategy has no understanding of the "meaning" of states
- All it can do is generate successors and recognise goal states

Alternative: "informed" / "heuristic" search:

- Search strategy knows (can estimate) which non-goal states are "more promising" than others
- Will be covered in next lecture ...

Five Uninformed (Blind) Search Strategies

... differ in how they instantiate the generic tree search algorithm:

- → which node is expanded next?
 - = how is the INSERTALL function realised?

```
function TREE-SEARCH( problem, fringe) returns a solution, or failure fringe \leftarrow \text{INSERT}(\text{MAKE-NODE}(\text{INITIAL-STATE}[problem]), fringe) loop do

if fringe is empty then return failure

node \leftarrow \text{REMOVE-FRONT}(fringe)

if \text{GOAL-TEST}(problem, \text{STATE}(node)) then return node

fringe \leftarrow \text{INSERTALL}(\text{EXPAND}(node, problem), fringe)
```

Question: Why not test for goal immediately after generating a node?

→ Will understand later: because a better solution might still be found later (before node itself is due for expansion) – cf. uniform cost search etc.; makes no big difference in terms of asymptotic complexity

Breadth-First Search (BFS)

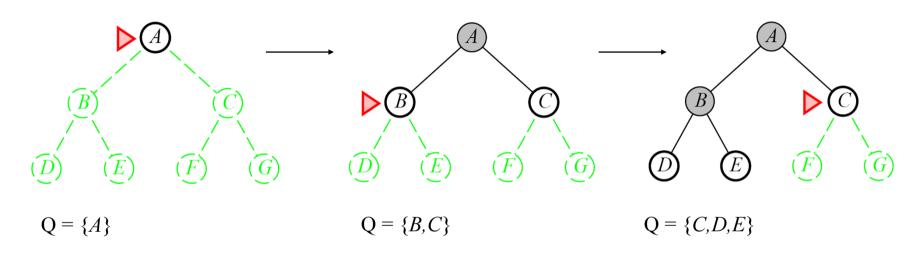
Basic strategy:

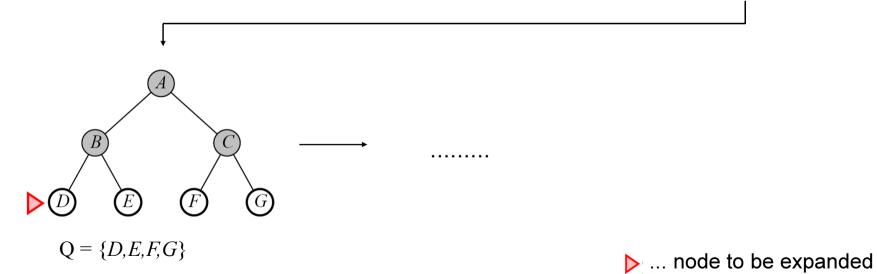
- Expand root node (i.e., initial state)
- then expand all successors of the root node
- then expand all their successors, etc.
- In general: expand all nodes at a given depth before expanding any nodes at the next level

Realisation:

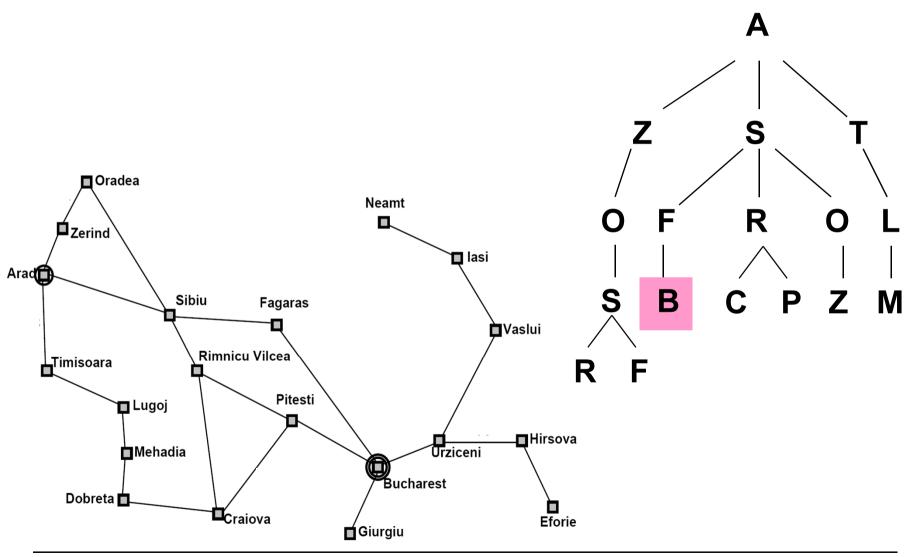
- Call TREE-SEARCH with an empty fringe that is a first-in-first-out (FIFO)
 queue
- FIFO puts all newly generated successors at the end of the queue
 - → nodes that are generated first will be expanded first

Breadth-First Search (BFS)

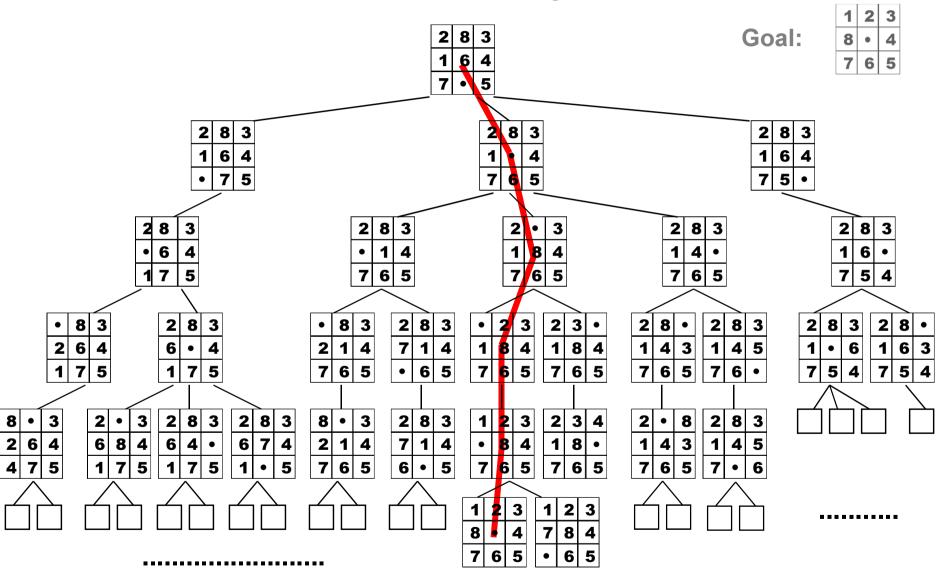




Breadth-First Search in Romania



Breadth-First Search: Solving the 8-Puzzle



Properties of Breadth-First Search

Completeness:

BFS is complete – if the shallowest goal node is at depth d, BFS will eventually find it (after expanding all nodes of depth $\leq d$)

Optimality:

BFS is optimal if cost is constant (it first finds the solution with the shortest path); not optimal in general

Time Complexity (in terms of nodes generated):

$$1 + b + b^2 + b^3 + \dots + b^d + (b^{d+1} - b) = O(b^{d+1})$$

Space Complexity:

Fringe must be kept in memory; at depth d+1, fringe size is $\leq b^{d+1}$

 \rightarrow space complexity is $O(b^{d+1})$

b ... branching factor (max. number of successors of any node)

d ... depth of the shallowest goal node (i.e., goal with minimum length path)

m ... maximum length of any path in the state space (may be infinite)

Time and Memory Requirements of BFS

Assumptions:

- branching factor b = 10
- compute 10,000 nodes/sec
- 1000 bytes / node

$$\left(\sum_{l=1}^{d+1} b^l\right) - b$$

Solution depth d	Nodes generated	Time	Memory
2	1,100	0.11 seconds	1 megabyte
4	111,100	11 seconds	100 megabytes
6	10 7	19 minutes	10 gigabytes
8	10 9	31 hours	1 terabyte
10	10^{-11}	129 days	100 terabytes
12	10^{13}	35 years	10 petabytes
14	10^{15}	3,523 years	1 exabyte

Breadth-First with Costs: Uniform-Cost Search (UCS)

Generalisation of Breadth-First Search to deal with different action costs

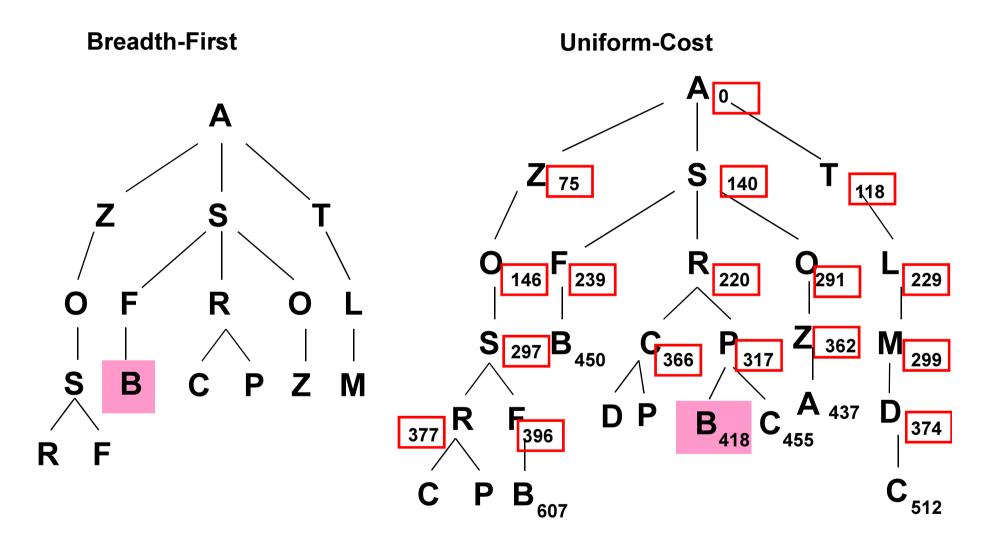
Basic strategy:

- Expand node with *lowest path cost* so far (i.e., cost from root to node)
 (instead of node with *shortest* path, as in BFS)
- Effect: finds optimal-cost solution first (instead of shortest-path solution)
- Equivalent to BFS if all costs are equal

Realisation:

Fringe = priority queue sorted by path cost (lowest first)

Uniform-Cost Search in Romania



Properties of Uniform-Cost Search

Completeness: is complete *if* all actions have cost > 0

(a zero-cost action leading back to the same state (e.g., no-op)

would lead to an infinite loop)

Optimality: optimal!

Time and Space Complexity:

- difficult to analyse in terms of d, because search is guided by cost rather than depths
- let C^* = cost of the optimal solution; assume each action costs at least ε
 - \rightarrow worst-case time and space complexity is $O(b^{\lceil C^*/\varepsilon \rceil})$
 - \Rightarrow can be much greater than b^d (because UCS can (and often does) explore large trees of cheap steps before exploring expensive and perhaps useful steps)
 - ightharpoonup when all steps have equal costs, then $O(b^{\lceil C^*/\varepsilon \rceil}) = O(b^d)$, of course...

Depth-First Search (DFS)

Basic strategy:

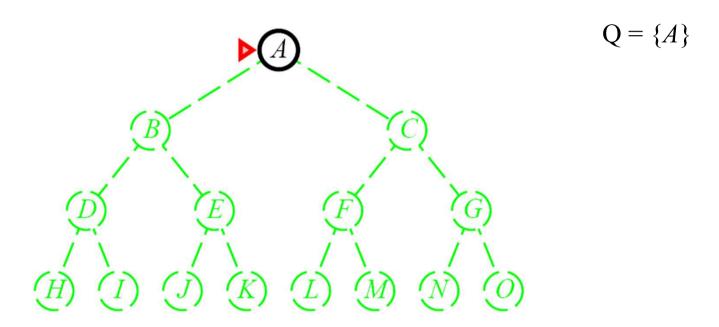
- Always expand the deepest node in the current fringe
- Expand a branch until either a solution is found or no more actions possible
- If no more successors (no more actions possible)
 - → backtrack to last choice point and expand alternative node

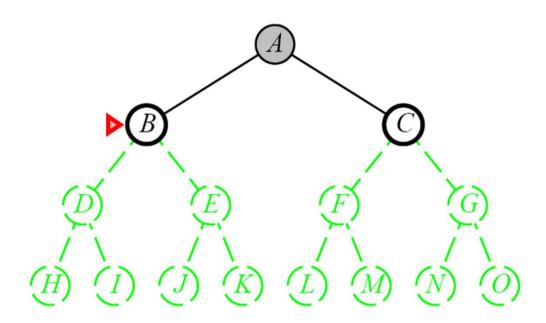
Realisation:

- Fringe = LIFO (last-in-first-out) queue ("stack")
 (i.e., newly expanded nodes are added to the beginning of the queue)
- Alternative implementation: recursive function that calls itself on each of its children in turn

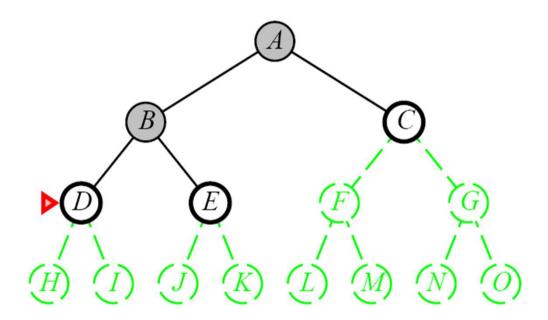
Advantage:

- Low memory requirements: needs to store only a single path at each moment, plus unexpanded siblings for each node on the path
- In other words: the fringe never ,explodes' to exponential size





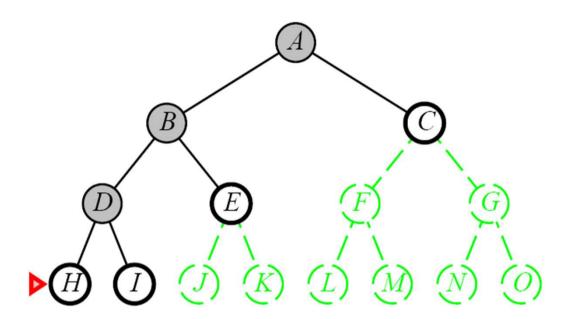
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$$Q = \{B, C\}$$



$$Q = \{A\}$$

$$Q = \{B,C\}$$

$$Q = \{D,E,C\}$$

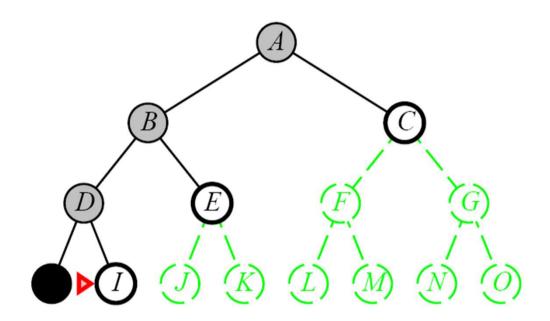


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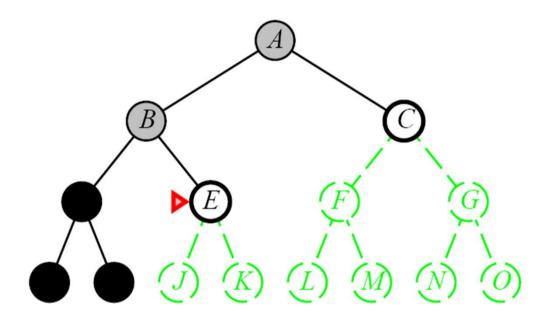
$$Q = \{D,E,C\}$$

$$Q = \{H,I,E,C\}$$



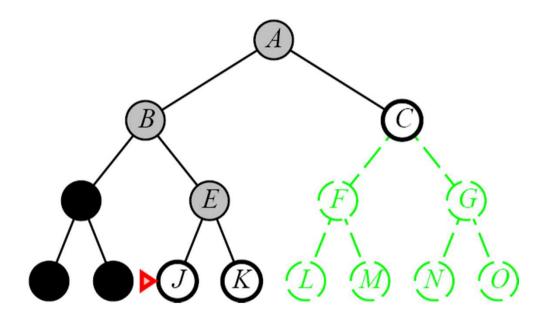
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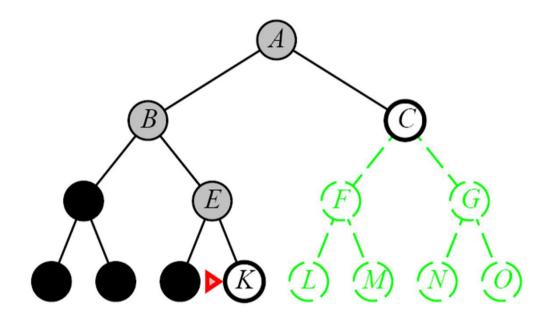
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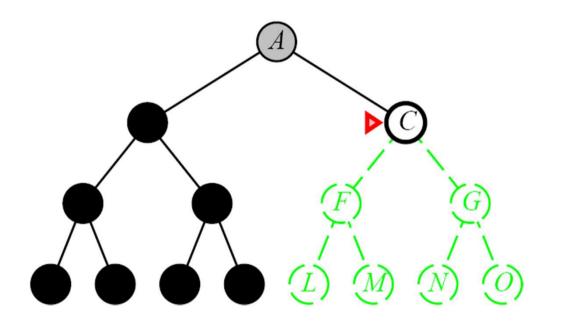
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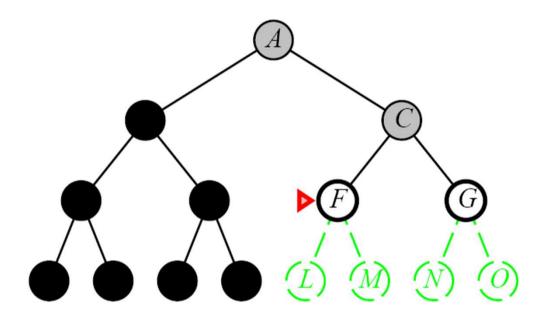


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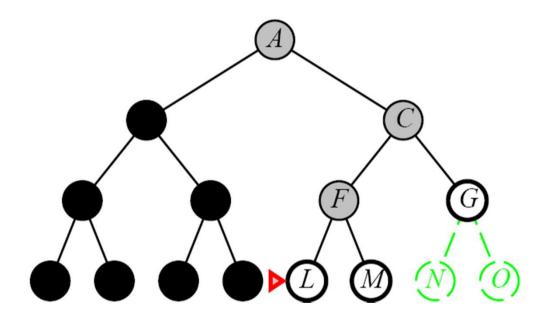
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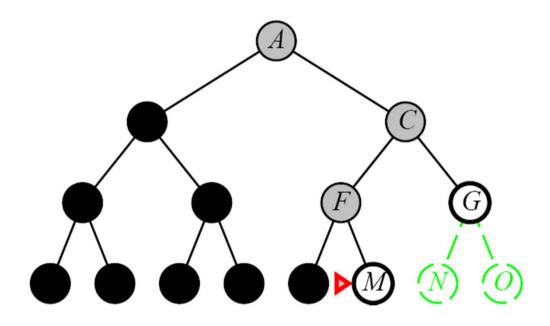
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Properties of Depth-First Search

Completeness:

DFS is **not** complete – can follow infinite paths (if $m = \infty$) and miss solution (even if solution might be very close to root)

Optimality:

DFS is **not** optimal – will find "left-most" solution, not shortest one

Time Complexity (in terms of nodes generated):

in the worst case, DFS generates entire search tree (if goal is in right-most path)

 \rightarrow time complexity is $O(b^m) >> O(b^d)$!!

Space Complexity:

only current branch + unexpanded nodes along branch need to be kept

→ space complexity is bm+1 = O(bm) → linear space complexity!!

b ... branching factor (max. number of successors of any node)

d ... depth of the shallowest goal node (i.e., goal with minimum length path)

m ... maximum length of any path in the state space (may be infinite)

Depth-Limited Search

Goal:

Fix DFS's problem with unbounded trees (infinite paths)

Basic strategy:

- Use DFS, but with a fixed depth limit l
- All nodes at depth l are treated as if they had no successors
- Problem: how to choose an appropriate l?

Effects:

- l is additional source of incompleteness (if we choose an l < d)
- Just like DFS, DLS is non-optimal (even if we choose l > d)
- Time complexity is $O(b^l)$
- Space complexity is O(bl)

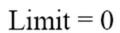
Iterative Deepening Depth-First Search

Basic idea:

- Iterative strategy for finding the best depth limit l
- Repeatedly call Depth-limited DFS with depth limit l = 0, 1, 2, ..., until a goal is found (when depth limit l reaches d)

```
function ITERATIVE-DEEPENING-SEARCH (problem) returns a solution inputs: problem, a problem for depth \leftarrow 0 to \infty do  result \leftarrow \text{DEPTH-LIMITED-SEARCH}(problem, depth)  if result \neq \text{cutoff then return } result  end
```

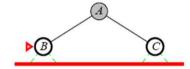
Iterative Deepening Depth-First Search

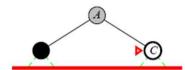


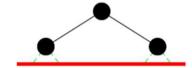


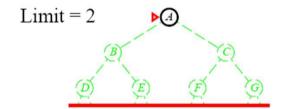


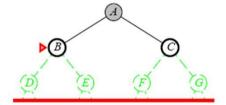


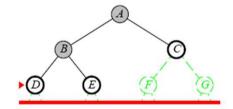


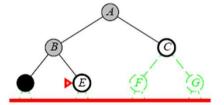


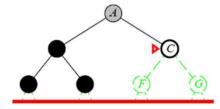


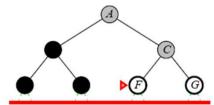


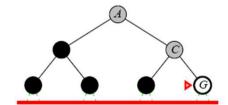


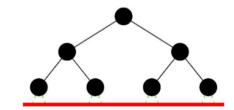




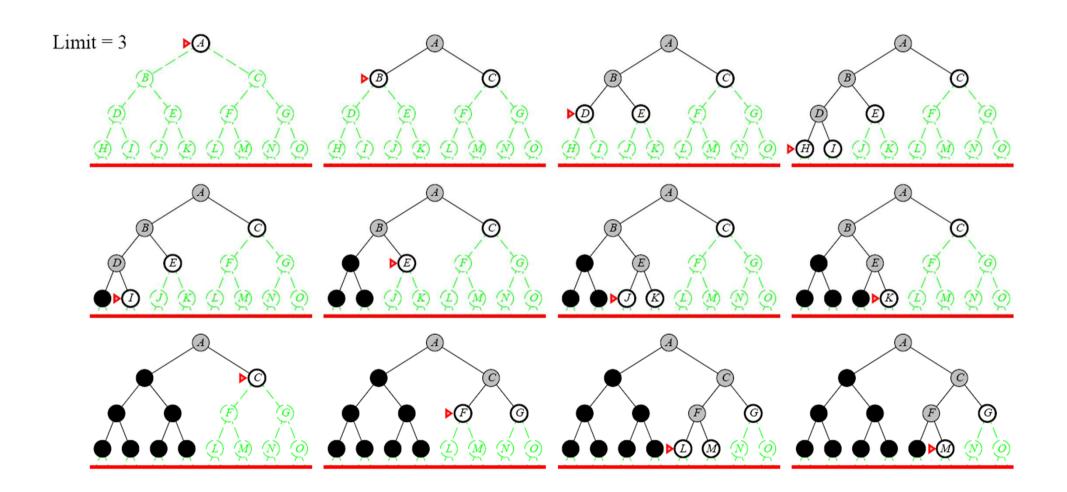








Iterative Deepening Depth-First Search



Properties of Iterative Deepening Search

Iterative Deepening combines advantages of DFS and BFS:

Completeness: complete (like breadth-first search)

Optimality: optimal if costs are uniform (like breadth-first search)

Time Complexity (in terms of nodes generated):

 $O(b^d)$ (analogous to breadth-first search)

Space Complexity:

O(bd) (analogous to depth-first search)

- *b* ... branching factor (max. number of successors of any node)
- d ... depth of the shallowest goal node (i.e., goal with minimum length path)
- *m* ... maximum length of any path in the state space (may be infinite)

Isn't Iterative Deepening Wasteful?

Apparent problem: All tree levels < *d* are generated multiple times!

But:

- Most of the nodes in a search tree (with a fixed branching factor b) are in the bottom level ($\sum_{i=1}^{d-1} b^i < b^d$)
- So it does not matter much if upper levels are generated several times

More precisely:

- In IDS, nodes at bottom level (depth d) are generated 1x, nodes at next-to-bottom level are generated 2x,, children of root are generated d x
 - \rightarrow # nodes generated: $(d)b + (d-1)b^2 + \cdots + (1)b^d = O(b^d)$
 - \rightarrow Time complexity of IDS is still $O(b^d)$
- → In general, Iterative Deepening is the preferred uninformed search method when there is a large search space and the depth of the solution is unknown

Complexity of Uninformed Search Methods: Summary

Criterion	Breadth- First	Uniform- Cost	Depth- First	Depth- Limited	Iterative Deepening
Complete? Time	$\mathop{Yes}_{b^{d+1}}$	$Yes^* \\ b^{\lceil C^*/\epsilon \rceil}$	${\color{red}No\atop b^m}$	$ \text{Yes, if } l \geq d \\ b^l $	Yes b^d
Space	b^{d+1}	$b^{\lceil C^*/\epsilon ceil}$	bm	bl	bd
Optimal?	Yes*	Yes	No	No	Yes*

b ... branching factor (max. number of successors of any node)

d ... depth of the shallowest goal node (i.e., goal with minimum length path)

 \emph{m} ... maximum length of any path in the state space (may be infinite)

l ... depth limit

Avoiding Repeated States

Problem:

- In many applications, some states can be reached via different action sequences, or actions are reversible (e.g., route finding, 8-puzzle, ...)
 - that is, the state space is a *graph*, not a tree
- Consequence: duplication of nodes and subtrees in the search tree, cycles, infinite paths!

Solution:

- Keep track of all nodes already expanded ("CLOSED list")
- Compare newly generated node to nodes in CLOSED list
- Expand new node only if not equal to a node in CLOSED
 - → General graph search algorithm

Avoiding Repeated States: The General Graph-Search Algorithm

The General Graph-Search Algorithm

Problems:

- Optimality may be lost by simply discarding new, duplicated states (may have lower cost than previously found node with same state)
 - → need to compare path costs
 - → may need to revise path costs of descendants of kept node
 - → things become more complex
- GRAPH-SEARCH must keep every node in memory (in *fringe* or *closed*)
 - → depth-first and iterative deepening search no longer have linear space costs
 - \rightarrow back to $O(b^d)$ space complexity ...
 - → many searches will be impossible because of memory limitations

Problems can sometimes be avoided by clever design of the search problem representation and state space!