Loop Invariant Using Alt-Ergo

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Recap: Weakest Preconditions

- The Weakest Preconditions (WP) methodology involves generating **Verification Conditions (VCs).**
- These VC are proved using a **Theorem Prover (Alt-Ergo)**.
- Given program P with pre-condition I and post-condition O, the overall VC to be proved is:

$$I \Longrightarrow wp (P, O)$$

- If P consists only of assignment statements, sequencing, and if/else, only one VC needs to be proved I ==> wp (P, O)
 - Assignment statement, x = expr:

$$\mathbf{wp} \ (\mathbf{x} = \mathbf{expr}, \mathbf{O}) = \mathbf{O} \ [\mathbf{x} < --\mathbf{expr}]$$

i.e., replace all occurrences of x in O by expr.

• Statement sequence, S1; S2:

$$wp (S1; S2, O) = wp (S1, wp (S2, O))$$

- If-Else, if(B) S1 else S2:
 - wp (if (B) S1 else S2, O) = [B ==> wp (S1, O) && not(B) ==> wp (S2, O)]
 - Wp(if(B) S1 else S2, O) = [B && wp (S1, O) || not(b) && wp (S2, O)]

If, if(B) S1:

- $\operatorname{wp}(\operatorname{if}(B) \operatorname{S1}, \operatorname{O}) = [B \Longrightarrow \operatorname{wp}(\operatorname{S1}, \operatorname{O}) \&\& \operatorname{not}(B) \Longrightarrow \operatorname{O}]$
- Wp (if (B) S1, O) = [B && wp (S1, O) \parallel not(B) && O]

Loops, while (B) S:

Loops are verified using Loop invariant {Inv}:

Given a while statement,

since S can be executed an unbounded number of times (0,1, 2,...), wp cannot be derived simply from B, S, and O.

Hence, we define Loop Invariant {Inv} such that

$$\mathbf{wp}$$
 (while (B) S, O) = \mathbf{Inv}

where Inv is a loop invariant condition to be supplied by the user and satisfying:

- Start VC: P ==> wp(initstmts, Inv)
- An intra-loop VC: Inv && B ==> wp (LoopStmts, Inv)
- An exit VC: Inv && not(B) ==> Q

P – Precondition, Q – Postcondition, B – Loop condition (or Loop Guard)

Hence to verify a loop, the above mentioned 3 verification conditions need to be checked.

Three Verification Conditions state that:

- 1. Start VC: {I} holds immediately before the loop, i.e., immediately after the initialization steps.
- 2. Intra-Loop VC: $\{I\}$ holds at the end of the loop body, given that $\{I \land B\}$ hold at the beginning of the loop body.
- 3. Exit VC: $\{I \land !B\} = > \{Q\} \text{ holds after exit of loop.}$

Developing Loop Invariants

In practice, developing the right loop invariant is an iterative process of starting with an initial estimate and progressively refining it until the intra-loop and exit verification conditions are satisfied.

Tools can check VCs, but tools cannot* formulate the invariant in general – user must do this!

* - open research problem in the field!

Steps to find the Loop Invariant:

STEP 1: Trace our program

STEP 2: Assume an invariant based on the relation between the values of the variables

STEP 3: Use Alt-ergo to check the 3 Verification Conditions (VC) [Intra loop VC, Exit VC, Start VC].

STEP 3a: If all the 3 VCs are valid, then the INVARIANT assumed is correct. Hence, we can stop.

STEP 3b: If any one of the VC is invalid (unknown), then it means the loop invariant we assumed is insufficient. Repeat step 3c, till all the VCs become valid.

STEP 3c: STRENGTHEN THE INVARIANT, generate the 3 VCs and check again in Alt-ergo till all the VCs become valid.

Annotations used in program verification:

- @requires Used to state the Pre-condition or the Input condition for the program.
- @ensures Used to state the Post-condition for the program.
- **@program** Used to provide the program statements.
- @var used to list the variables and their datatypes used.
- **@invariant** used to specify the loop invariant.

While Loop General Form:

```
P – Precondition,

Q – Postcondition,

B – Loop condition (or Loop Guard)

S1, S2 – Loop Statements

{P}

[ initalization statements]

While (B) {

S1;

S2;

}

{Q}
```

```
@requires P
@ensures Q
@program
@var comma – separated variables: datatype
[ initalization statements]
ainvariant: loop-invariant
While (B) {
      S1;
      S2;
}
@end
Example 1 : Sum of n integers
@requires n \ge 1
@ensures s = n*(n+1)/2
@program
      @var i, n, s: int
      s = 1;
      i = 1;
      @invariant:
      while (i \le n) {
      i = i+1;
      s = s+i;
      }
@end
```

STEP 1: Trace our program

Precondition: n > =1. Let us assume n = 5

- I S
- 1 1
- 2 3
- 3 6
- 4 10
- 5 15

$$S = I * (I+1)/2$$

STEP 2: Assume an invariant based on the relation between the values of the variables:

Inv:
$$S = I * (I+1)/2$$

STEP 3: Use Alt-ergo to check the 3 Verification Conditions (VC) [Intra loop VC, Exit VC, Start VC].

1. Intra-loop VC: Inv && B ==> wp (LoopStmts, Inv)

Inv:
$$S = I * (I+1)/2$$

B: I < n

Wp (Loopstmts,Inv):

Loop Stmts:

S1:
$$i = i+1$$
;

S2:
$$s = s + i$$
;

Wp(Loopstmts, Inv)

=> wp (S1; S2, Inv) = wp (S1, wp(S2, Inv))
=> wp (
$$i = i+1$$
; $s = s+i$; , $s = i * (i+1)/2$)

$$=> wp (i=i+1, wp (s=s+i, s=i*(i+1)/2)$$

[Substituting for s = s+i in s=i*(i+1)/2]

$$=> s+i=i*(i+1)/2$$

[Substituting for i=i+1]

$$=> s+(i+1) = (i+1)*(i+1+1)/2$$

$$=> s+(i+1) = (i+1)*(i+2)/2$$

$$=> s+(i+1) = (i^2 + 2*i+1*i+2)/2$$

$$=> s+(i+1) = (I^2 + 3*i + 2)/2$$

$$=> 2*(s+(i+1)) = (i^2 + 3*i + 2)$$

$$=> 2*_S + 2*(i+1) = i^2 + 3*_i + 2$$

$$=> 2*_S = i^2 + 3*_i + 2 - (2*_i + 1)$$

$$=> 2*_{S}= i^{2} + I$$

$$=> 2*_S = i*(i+1)$$

WP (LoopStmts, Inv) \Rightarrow s = i*(i+1)/2

Condition to be checked in alt-ergo:

$$S = i * (i+1)/2 & i < n => s = i*(i+1)/2$$

$$2*s = i*(i+1) & i < n ==> 2*s = i*(i+1)$$

Alt-ergo: Intraloop Verification Condition is Valid

2. Exit VC: Inv && not(B) ==> Q

Alt-ergo: Exit Verification Condition is unknown

Inv && not(B) \Longrightarrow Q is not valid

```
goal exit:
  forall i,n,s: int.
    2*s = i*(i+1) and i>=n -> 2*s = n*(n+1)

# [answer] unknown (0.0700 seconds) (4 steps)
```

STEP 3b: If any one of the VC is invalid (unknown), then it means the loop invariant we assumed is insufficient. Repeat step 3c, till all the VCs become valid.

STEP 3c: STRENGTHEN THE INVARIANT, generate the 3 VCs and check again in Alt-ergo till all the VCs become valid.

STEP 3c: STRENGTHENING THE INVARIANT:

Question: How to strengthen the invariant such that Inv && not(B) ==> Q becomes valid?

$$2*s = i*(i+1) && (i>=n) ==> 2*s = n*(n+1)$$

Question: Why is the following code "unknown" as per alt-ergo?

goal exit:

forall i,n,s: int.

$$2*s = i*(i+1)$$
 and $i>=n -> 2*s = n*(n+1)$

forall i,n,s: int.

LHS:
$$2*s = i*(i+1) && (i>=n)$$

If (i=n),

$$LHS = > 2*s = n*(n+1) == RHS$$

If(i>n), say n+1,

LHS ==>
$$2*s = (n+1)*(n+2)! = RHS$$

So the condition is valid only for i =n, for all other i>n, the condition is invalid. Hence alt-ergo says its unknown.

So, our invariant should ensure that the value of "i" should not exceed "n" in the condition Inv && not(B).

Inv:
$$s = i * (i+1)/2 && i <= n$$

We are strengthening the Invariant by adding another condition to limit the value of n.

Stronger conditions --> reduces the number of items in the matching set

Weaker conditions --> increases the number of items in the matching set

Revisiting - Exit VC: Inv && not(B) \Longrightarrow Q

Inv:
$$s = i * (i+1)/2 & i <= n$$

$$Not(B) : not(i < n) => i >= n$$

Q:
$$s = n*(n+1)/2$$

Exit VC: s = i * (i+1)/2 & i <= n & i >= n ==> s = n*(n+1)/2

LHS ==>
$$2*s = i * (i+1) && i <= n && i >= n$$

$$=> 2*s = i*(i+1) && i=n$$

$$=> 2*s= n*(n+1) = RHS.$$

Hence Valid.

Alt-Ergo Verification for Exit VC, Intra Loop Vc and Start VC:

1. Exit Verification Condition:

Inv && not(B) \Longrightarrow Q is valid

goal new exitve:

forall i,n,s: int.

2*s = i*(i+1) and $i \le n$ and $i \ge n - 2*s = n*(n+1)$

```
goal new_exitvc:
    forall i,n,s: int.
        2*s = i*(i+1) and i<=n and i>=n -> 2*s = n*(n+1)

# [answer] Valid (0.0680 seconds) (4 steps)
```

2. Intra-loop VC: Inv && B ==> wp (LoopStmts, Inv)

Inv:
$$s = i * (i+1)/2 & i <= n$$

B: i < n

Wp (Loopstmts,Inv):

Loop Stmts:

S1:
$$i = i+1$$
;

S2:
$$s = s + i$$
;

Wp(Loopstmts, Inv)

$$\Rightarrow$$
 wp (S1; S2, Inv) = wp (S1, wp(S2, Inv))

$$\Rightarrow$$
 wp (i = i+1; s = s+i; , s = i * (i+1)/2 && i<=n)

$$=> wp (i=i+1, wp (s=s+i, s=i*(i+1)/2 && i<=n)$$

wp (s=s+i, s=i*(i+1)/2&&i <=n)

[Substituting for s = s+i in s=i*(i+1)/2]

=> s+i = i * (i+1)/2 & i <= n

[Substituting for i = i+1]

=>
$$s+(i+1) = (i+1)*(i+1+1)/2 & i+1 <= n$$

=> $s+(i+1) = (i+1)*(i+2)/2 & i <= n-1$
=> $s+(i+1) = (i^2 + 2*i+1*i+2)/2 & i <= n-1$
=> $s+(i+1) = (i^2 + 3*i +2)/2 & i <= n-1$
=> $2*(s+(i+1)) = (i^2 + 3*i +2) & i <= n-1$
=> $2*(s+(i+1)) = (i^2 + 3*i +2) & i <= n-1$
=> $2*s + 2*(i+1) = i^2 + 3*i + 2 & i <= n-1$
=> $2*s = i^2 + 3*i + 2 - (2*(i+1)) & i <= n-1$
=> $2*s = i^2 + i & i <= n-1$
=> $2*s = i*(i+1) & i <= n-1$
=> $2*s = i*(i+1)/2 & i <= n-1$

Wp(Loopstmts, Inv) = s = i*(i+1)/2 && i <= n-1

Condition to be checked in alt-ergo:

Inv && B ==> wp (LoopStmts, Inv)
$$s = i * (i+1)/2 && i <= n && i < n ==> s = i*(i+1)/2 && i <= n-1$$

$$2*s = i*(i+1) && i <= n && i < n ==> 2*s = i*(i+1) && i <= n-1$$

Alt-ergo: Intraloop Verification Condition is Valid

```
goal new_intraloopyc:
forall i,n,s: int.

2*s = i*(i+1) and i<=n and i < n
    ->
    2*s = i*(i+1) and i<=n-1

# [answer] Valid (0.0790 seconds) (4 steps)</pre>
```

3. Start VC: P ==> wp(initstmts, Inv)

Inv:
$$s = i * (i+1)/2 && i <= n$$

P:
$$n >= 1$$

wp(initstmts, I) = wp(s=1; i=1, s=(i*(i+1)/2 && i<=n)

- S1: S=1
- S2: i=1

Wp (S2, Inv) ==>
$$2*s=1*(1+1) & 1 <= n$$
[substitute for i=1]

Wp (S1, Wp (S2, Inv)) ==>
$$2^{*1} = 1^{*}(1+1) & 1 <= n$$
 [Substitute for s=1]

P ==> wp(initstmts, Inv)

Substituting for P, wp(initstmts,P) in the verification Condition (VC) for start :

Start VC: (n>=1) -> 2*1 = 1*(1+1) && 1 <= n

```
goal new_startvc:
  forall i,n,s: int.
  (n>=1) -> (2*1) = (1*(1+1)) and 1<=n

# [answer] Valid (0.0800 seconds) (2 steps)</pre>
```

Hence, We have got our required Loop invariant: s = i * (i+1)/2 & i < n

Exercise Problems

Find the loop invariant and prove the verification conditions using Alt-Ergo:

- 1. Finding Sum of squares of n integers.
- 2. Find the post-condition and loop invariant. Assume appropriate pre-condition and prove the VCs.

```
x=c;
y=0;
While (x > 0) {
    x = x -1;
    y = y+1;
}
```

3. Find the post-condition and loop invariant, for the pre-condition x=10.

```
i = 0 \\ j = 0 \\ k = 0 \\ while (j < x) \\ \{ \\ j = j + k + 3 * i + 1 \\ k = k + 6 * i + 3 \\ i = i + 1 \\ \}
```

Solutions

Find the loop invariant and prove the verification conditions using Alt-Ergo:

1. Finding Sum of squares of n integers.

STEP 1: Trace our program

Precondition: n > =1. Let us assume n = 5

```
I S

1 1

2 5

3 14

4 30

5 55

S = i*(i+1)(2*i+1)/6
```

STEP 2: Assume an invariant based on the relation between the values of the variables:

Inv: S = i*(i+1)*(2*i+1)/6 && i <= n

STEP 3: Use Alt-ergo to check the 3 Verification Conditions (VC) [Intra loop VC, Exit VC, Start VC].

1. Intra-loop VC: Inv && B ==> wp (LoopStmts, Inv)

Inv:
$$S = i*(i+1)*(2*i+1)/6 && i <= n$$

B: i < n

Wp (Loopstmts,Inv):

Loop Stmts:

S1:
$$i = i+1$$
;

S2:
$$s = s + i * i$$
;

Wp(Loopstmts, Inv)

$$\Rightarrow$$
 wp (S1; S2, Inv) = wp (S1, wp(S2, Inv))

$$\Rightarrow$$
 wp (i = i+1; s = s+i*i; , s = i*(i+1)* (2*i+1)/6 && i<=n)

$$\Rightarrow$$
 wp (i=i+1, wp (s=s+i*i, s = i*(i+1) *(2*i+1)/6 && i<=n)

[Substituting for s = s+i*i in s = i*(i+1)*(2*i+1)/6 && i <= n]

$$=> s+i*i = i*(i+1)*(2*i+1)/6 && i<=n$$

[Substituting for i=i+1]

$$=> s+(i+1)*(i+1)=(i+1)*(i+1+1)*(2*(i+1)+1)/6$$

Intraloop VC: Inv && B ==> wp (LoopStmts, Inv)

$$(S = i*(i+1)*(2*i+1)/6 && i \le n) && (i \le n) -->$$

$$s+(i+1)*(i+1) = (i+1)*(i+1+1)*(2*(i+1)+1)/6$$

```
goal intraloopvc:
  forall i,s,n:int.

(s = i*(i+1) *(2*i+1)/6 and i<=n) and ( i < n) ->
  (s+(i+1) * (i+1) = (i+1) *(i+1+1) *(2*(i+1)+1) /6 )

# [answer] Valid (1.2620 seconds) (5 steps)
```

2. Exit Verification Condition:

Inv && not(B)
$$\Longrightarrow$$
 Q

Inv:
$$S = i*(i+1)*(2*i+1)/6 && i <= n$$

B:
$$i < n ==> not(B) = not(i < n)$$

$$Q: s = n*(n+1)*(2n+1)/6$$

Exit VC:

$$S = i*(i+1)*(2*i+1)/6 && i <= n && not(i < n) --> s = n*(n+1)*(2n+1)/6$$

Without strengthening condition ---> invalid

With Strengthening --> Valid

3. Start VC: P==> wp(initstmts, Inv)

Inv:
$$S = i*(i+1)*(2*i+1)/6 && i <= n$$

P: n>=1

wp(initstmts, I) = wp (s=1; i=1, S =
$$i*(i+1)*(2*i+1)/6 && i <= n$$
)

$$1=1*(1+1)*(2*1+1)/6 && 1 \le n$$

Start VC:

$$n \ge 1 - 1 = 1*(1+1)*(2*1+1)/6 & 1 \le n$$

```
goal start:
  forall i,s,n:int.
  n>=1 -> 1=1*(1+1)*(2*1+1)/6 and 1<=n

# [answer] Valid (0.0410 seconds) (2 steps)</pre>
```

Required Invariant: S = i*(i+1)*(2*i+1)/6 && i <= n

2. Find the post-condition and loop invariant. Assume appropriate pre-condition and prove the VCs.

```
int computesum(int c) { x=c; y=0; While (x > 0) { x = x - 1; y = y + 1; } return y; }
```

Postcondition: $Q \implies y = c$;

Some students assumed $Q \Rightarrow x+y=c$; which can also be considered.

Sol 1:

Precondition: P ==> c >=0

Trace: Let c = 5

X Y

5 0

4 1

3 2

2 3

4
 5

Assumed Invariant: X+y=c && x>=0

1. Intraloop VC: Inv && B ==> wp(Loopstmts, Inv)

```
Inv: x+y=c & x>=0
B: x>0
Wp(stmts, INV):
```

$$x = x - 1$$
;
 $y = y + 1$;
 $X + y = c & x > = 0$ [subs $y = y + 1$]
 $X + y + 1 = c & x > = 0$ [$x = x - 1$]
 $X - 1 + y + 1 = c & x - 1 > = 0$
 $X + y = c & x - 1 > = 0$

Intraloop VC: x+y=c & x>=0 & (x>0) -> x+y=c & x-1>=0 - valid

2. Exit VC: Inv && $not(B) \Longrightarrow Q$

Inv:
$$x+y=c & x>=0$$

Not(B): not(x>0)

Q: Y=c

Exit VC: x+y=c && x>=0 && not(x>0) -> y=c - valid

3. Start VC: **P** -> wp(initstmt, inv)

$$P : c > = 0$$

Initialization statements:

x=c;

y=0;

Wp(initstmts, x+y=c && x>=0)

Wp(x=c;y=0, x+y=c && x>=0)

=> x+0=c && x>=0 [subs y=0]

```
Wp => c+0=c \&\& c>=0 [subs x=c]
```

Startvc: $c \ge 0 - c + 0 = c & c \le 0 - valid$

Required Invariant: x+y=c & x>=0

```
goal startvc:
forall c:int.
c>=0 -> c+0=c and c>=0

goal exitvc:
forall x,y,c: int.

x+y=c and (x>=0) and not(x>0)
    -> y=c

goal intravc:
forall x,y,c:int.

x+y = c and x>=0 and (x>0)
    ->
        x+y=c and x-1>=0

# [answer] Valid (0.0900 seconds) (2 steps) # [answer] Valid (0.1530 seconds) (4 steps) # [answer] Valid (0.1840 seconds) (4 steps)
```

Sol 2:

```
Inv: c= x+y

1. Intra-loop VC: Inv && B ==> wp (LoopStmts, Inv)
Inv: c= x+y
B: x > 0
Wp (Loopstmts,Inv):

Loop Stmts: S1: x = x -1; S2: y = y+1;

Wp(Loopstmts, Inv):
=>Wp (S1, Wp (S2, Inv)):
=>Wp (x=x-1, Wp (y=y+1, c=x+y))
=>Wp(x=x-1, c=x+y+1)
=>c=x+y
WP (LoopStmts, Inv) => c=x+y
Intra-loop VC:
c = x + y && x>0 -> c = x + y
```

```
Alt-ergo: Exit Verification Condition is valid
2. Exit VC: Inv && not(B) \Longrightarrow Q
Inv: c = x + y
Not(B): Not(x > 0) => x <= 0
Q: c=x+y
Exit VC:
c = x + y and x \le 0 -> c = x + y
Alt-ergo: Exit Verification Condition is valid
3. Start VC: P ==> wp(initstmts, Inv)
Inv: c = x + y
P: x > 0
wp(initstmts, I) = wp(x=c,y=0,c=x+y)
S1: x=c
S2: y=0
Wp (S1, Wp (S2, Inv)):
=>Wp (x=c, Wp (y=0, c=x+y))
=>wp(x=c, c=x)
=>c=c
Start VC: x>0 -> c=c
```

Required Loop invariant: c=x+y

```
goal a:
    forall x,y,c: int.
    c = x + y and x>0 -> c = x + y

goal b:
    forall x,y,c: int.
    c = x + y and not(x>0) -> c = x + y

goal c:
    forall x,y,c:int.
    x>0 -> c=c

# [answer] Valid (0.0640 seconds) (3 steps) # [answer] Valid (0.0980 seconds) (3 steps) # [answer] Valid (0.1140 seconds) (2 steps)
```

3. Find the post-condition and loop invariant, for the pre-condition x=10.

```
i = 0
j = 0
k = 0
while (j < x)
\{
j = j + k + 3 * i + 1
k = k + 6 * i + 3
i = i + 1
\}
```

Precondition: P: x=10

Postcondition : Q: j = i*i*i and k = 3*i*i

1. Tracing:

I j k

0 0 0

113

2812

3 27 27

Invariant: j = i*i*i and k = 3*i*i

1. Intraloop VC: Inv && B ==> wp(loopstmts, inv)

Inv: j = I*I*I and k = 3*I*I

$$B: j \le x$$

Wp(loopstmts, inv)

$$j = j + k + 3 * i + 1$$

 $k = k + 6 * i + 3$
 $i = i + 1$

$$Wp ==> j = i*i*i \text{ and } k = 3*i*i$$

[subs
$$i=i+1$$
]

$$==> j = (I+1)*(I+1)*(I+1)$$
 and $k = 3*(I+1)*(I+1)$

[subs
$$k = k + 6 * i + 3$$
]

$$==> j = (I+1)*(I+1)*(I+1)$$
 and $(k+6*i+3) = 3*(I+1)*(I+1)$

[subs
$$j = j + k + 3 * i + 1$$
]

$$==> j + k + 3 * i + 1 = (I+1)*(I+1)*(I+1)$$
 and $(k + 6 * i + 3) = 3*(I+1)*(I+1)$

Intraloop VC:
$$(j = I*I*I \text{ and } k = 3*I*I) \&\& (j < x) -->$$

$$j + k + 3 * i + 1 = (I+1)*(I+1)*(I+1)$$
 and $(k + 6 * i + 3) = 3*(I+1)*(I+1)$

Alt-Ergo:

goal intrave:

forall i,j,k,x:int.

$$(j = i*i*i \text{ and } k = 3*i*i) \text{ and } (j < x) \rightarrow$$

$$(j + k + 3*i + 1 = (i+1)*(i+1)*(i+1) \text{ and } (k + 6*i + 3) = 3*(i+1)*(i+1))$$

2. Exit VC: Inv && not (B) ==> Q

Inv: j = I*I*I and k = 3*I*I

B: j < x ==> not(b) = not(j < x)

Q: j = i*i*I and k = 3*i*i

ExitVc: j = I*I*I and k = 3*I*I && not(j < x) => j = i*i*I and k = 3*i*i

j = i*i*i and k = 3*i*i and not(j < x) ->

j = i*i*I and k = 3*i*i

Start VC: P -> wp (initstmts, inv)

P: **x=10**

wp (initstmts, inv):

i = 0

j = 0

k = 0

Inv: j = I*I*I and k = 3*I*I

Wp (stmts, inv) => 0 = 0*0*0 and 0 = 3*0*0

Start VC: x=10 -> 0 = 0*0*0 and 0 = 3*0*0

Inv: $\mathbf{j} = \mathbf{I} \cdot \mathbf{I} \cdot \mathbf{I}$ and $\mathbf{k} = \mathbf{3} \cdot \mathbf{I} \cdot \mathbf{I}$